

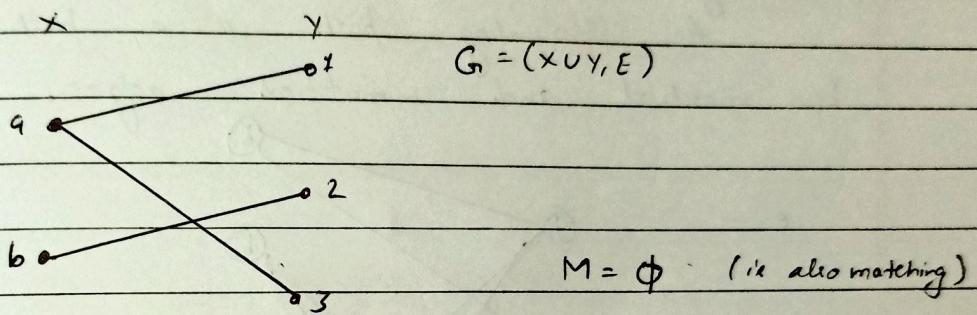
UNIT - 6

OPTIMIZATION OF GRAPHS

(Matching & Network Flow)

Matching —

A matching M in a graph G is the set of edges such that every vertex of G is incident to atmost one edge in M .



$$M_0 = \{ (a, 3), (a, 1) \} \quad \checkmark$$

$$M_1 = \{ (a, 3), (a, 1) \} \quad \times$$

→ Not a matching

Perfect matching $\leftarrow M_2 = \{ (a, 3), (b, 2) \} \quad \checkmark, |M_2| = 2$
 from X to Y ↳ X -saturated, not Y -saturated.

→ The size of a matching is the number of edge in M

→ The match M is said to be maximum if has largest possible size.

→ Matching having all vertices of X , then, M is X -saturated.

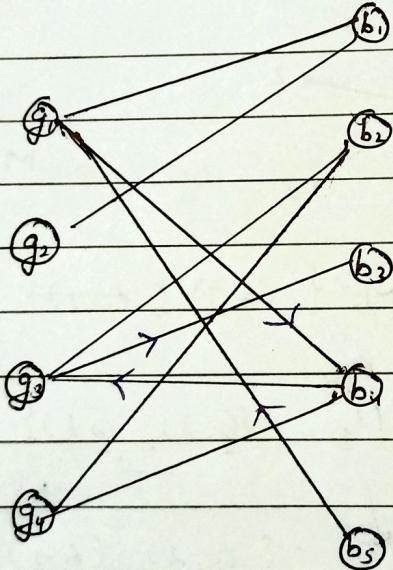
Perfect Matching

A matching contains all the vertices of G .

Alternating Path

An alternating path is a path that alternates b/w matched and unmatched edges.

ex -



$$M = \{ (g_1, b_1), (g_1, b_2), (g_3, b_3), (g_4, b_2) \}$$

Path -

$$(b_5, g_1) \quad (g_1, b_4) \quad (b_4, g_3) \quad (g_3, b_1)$$

U.M.

M



Not augmenting
path

Augmenting Path

An alternating path is said to be augmenting path starts & ends on unmatched vertices.

Augmenting Path Algorithm

It is used to find maximum matching.

Input: $G = (X \cup Y, E)$

Output: Maximum matching.

Starts with $M = \emptyset$

while (there exists an augmenting path wrt. M)

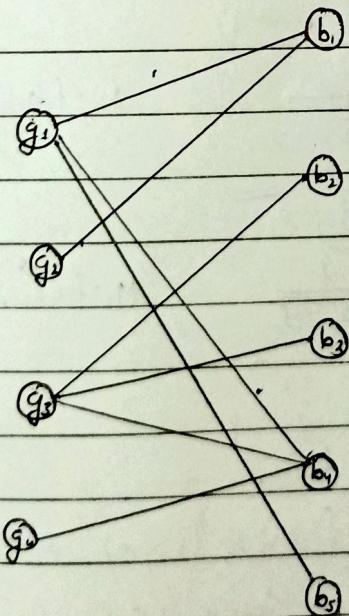
{

$$M = M \Delta P \equiv (M - P) \cup (P - M)$$

}

Return M , // Here M is maximum matching.

Q



Iterations—

I. $M = \emptyset$

Let, $P = (g_1, b_1)$

$M = (M \Delta P)$

w.r.t M ($M = \{g_1, b_1\}\}$)

II. $P = (b_1, g_1) (g_1, b_1) (b_1, g_1)$

$M = M \Delta P = (M - P) \cup (P - M)$

$M = \{(b_1, g_1), (b_1, g_1)\}$

III. $P = \underset{M}{(b_1, g_1)} \underset{M}{(g_1, b_1)} \underset{M}{(b_1, g_1)}$

$M = M \Delta P = \{(b_1, g_1), (b_1, g_1), (b_1, g_1)\}$

→ Not necessary to take all the matching edge to find augmenting path.

(IV) $P = (b_2, g_3) (g_3, b_4) (b_4, g_2)$

$M = M \Delta P = \{ (b_2, g_3), (b_4, g_2), (b_1, g_1), (g_1, b_5) \}$

$P - M$

$M - P$

$|M| = 4$

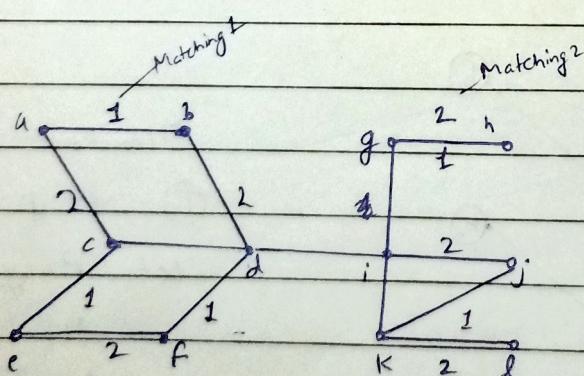
(V) P does not exist. There is no alternating augmenting path.

Stop

∴ Current M is the maximum matching

- Every component of the symmetric difference of two matching is an alternating path or an even cycle.

ex -

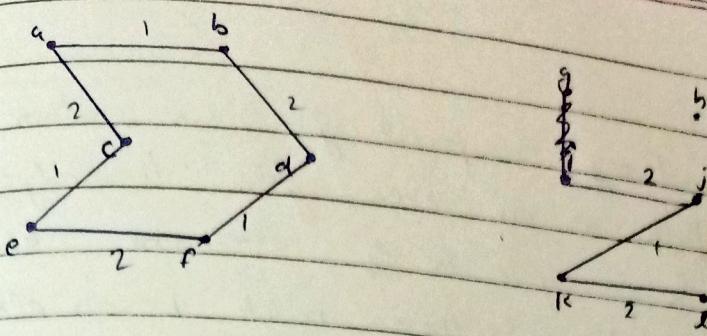


$$M_1 = \{ (a, b), (c, e), (d, h), (g, i) \}$$

$$M_2 = \{ (a, c), (c, f), (b, d), (g, h), (i, j), (k, l) \}$$

$M_1 \Delta M_2 = F$

$$F = (M_1 - M_2) \cup (M_2 - M_1) = \{ (a, b), (c, e), (d, h), (i, g), (k, j), (a, c), (c, f), (b, d), (g, h), (i, j), (k, l) \}$$



Bonje, 1957) $P \leftrightarrow Q$

A matching M is maximum iff there is no augmenting path w.r.t. M .

Proof - By Contradiction - Suppose M is not maximum.
(P.S.W.) Given that M is maximum matching.
 Suppose M is not maximum & it is not
 then exist an augmenting path P co.r.t. to M .

So, By Augmenting path Algorithm, there exist a matching M' ,

$$M' = M \Delta P$$

$$\Rightarrow |M'| = |M| + 1$$

So, M is not maximum, which is contradiction.
 There does not exist Augmenting path.

\rightarrow There is no augmenting path p w.r.t M .
 Suppose M is not maximum. Let M^* be a maximum
 matching then $|M^*| > |M|$

$$\text{Let } Q = M \Delta M^*$$

Q has more edges from M^* .

(ii) $Q = M \cup M^*$

Every component of Q is either alternate path or an even cycle. the edges of every path & cycle in Q alternates b/w edges in M & M^* . So there must be an alternating path with more edges from M^* . So there this is contradiction.

Neighbours of a Set in Bipartite Graph

Let $G = (X \cup Y, E)$, if

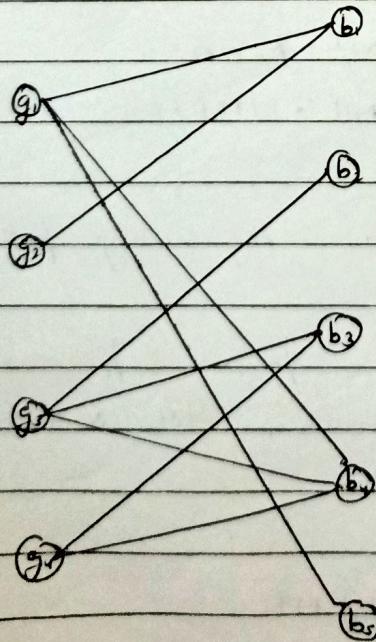
$S \subseteq X$, then

$$N(S) = \{y \in Y \mid (x, y) \in E \text{ for all } x \in S\}$$



Neighbours
 S

ex-



$$X = \{g_1, g_2, g_3, g_4\}$$

$$Y = \{b_1, b_2, b_3, b_4, b_5\}$$

Let,

$$S = \{g_1, g_2\} \subseteq X$$

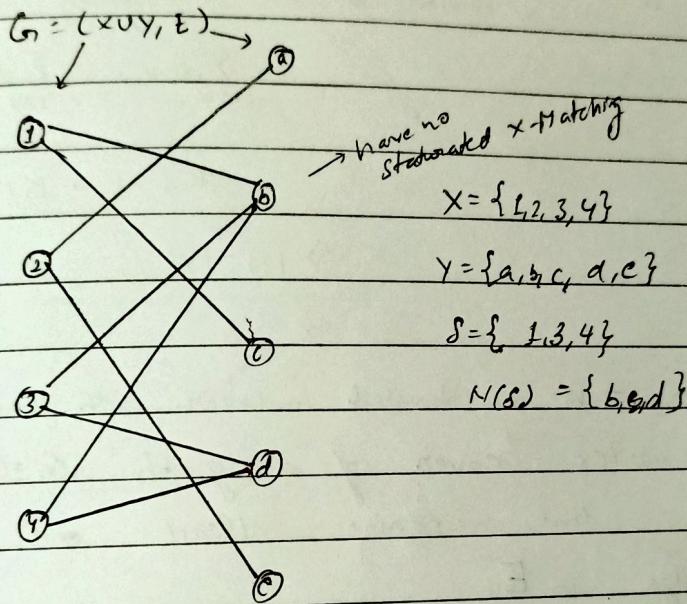
$$N(S) = \{b_1, b_2, b_5\}$$

$$|N(S)| \leq |Y|$$

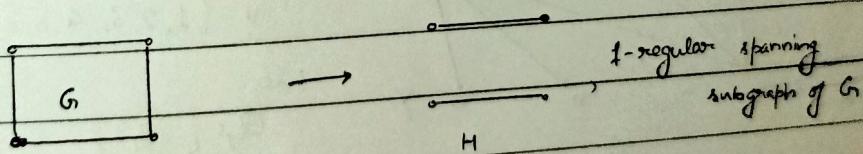
Hulle's Theorem

A bipartite graph $G = (X \cup Y, E)$ has a matching that saturates X iff $|S| \leq |N(S)|$, $\forall S \subseteq X$

$$\# \text{ subsets} = 2^{|X|}$$



→ A k -regular spanning subgraph is called k -factor:



$$H \subseteq G$$

So, H is 1-regular and it is 1-factor subgraph

→ A subgraph $H \subseteq G$ is a 1-factor of G iff $E(H)$ is a perfect matching of G

→ If $G = (X \cup Y, E)$ is k -regular, with $k > 1$, then
 G has a 1-factor / perfect matching.
 (matching which have all the vertices)

$X \quad Y$

$$|X| = |Y|$$

• •
• •
• •

Since G is k -regular, then,

$$\sum_{v \in X} d(v) = \sum_{v \in Y} d(v)$$

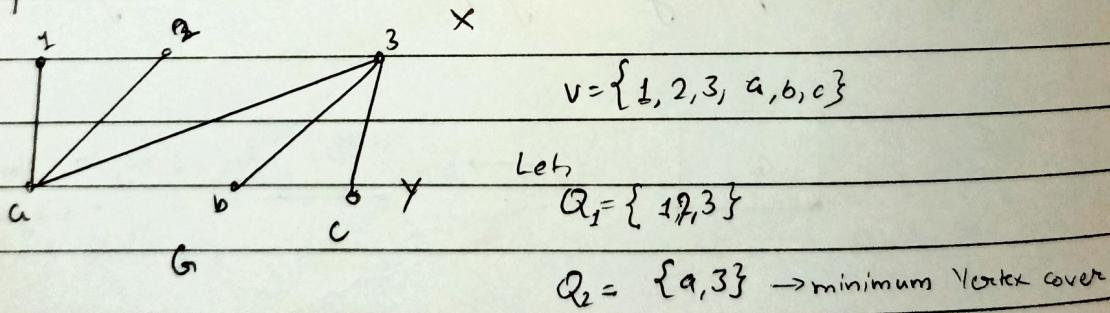
$$k|X| = k|Y|$$

$$\Rightarrow |X| = |Y|$$

Vertex Cover → We are interested in covers with minimum size.

A vertex cover of a graph $G = (V, E)$ is a set $Q \subseteq V$ that contains atleast one end point of every edge in E .

Example —



Matching $M = \{(1, a), (3, c)\} \rightarrow \text{maximum matching.}$

If has no x -saturated matching. ??

Let, $S = \{1, 2\}$, $|S| = 2$

$$N(S) = \{a\}$$

$$|S| > |N(S)|$$

→ Hall's Theorem doesn't satisfy.

Hence the given graph has no x -saturation.

→ If $G' \subseteq G$ and G' contains the edges $(x, y) \in E$

$$G' = (V', E')$$

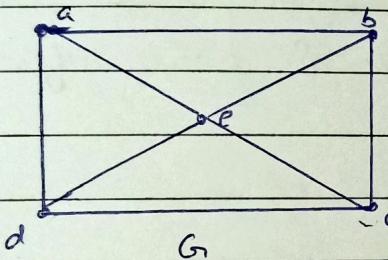
$$G = (V, E)$$

$$V' \subseteq V, E' \subseteq E$$

with $x, y \in V'$, then G' is an induced subgraph of G .

$E' = E \cap (V' \times V')$ → all the edges in induced subgraph depend on the vertex set

example —

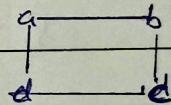


$$V = \{a, b, c, d, e\}$$

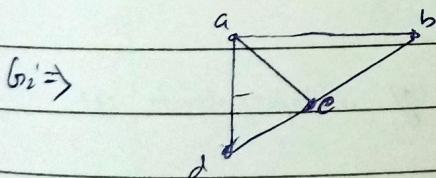
$$V_1' = \{a, b, c, d\}$$

then,

$$G' \Rightarrow$$

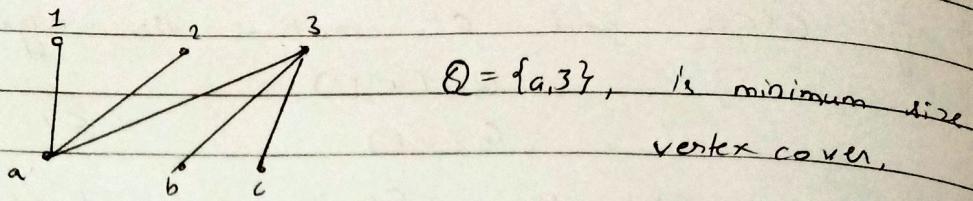


$$V_2' = \{a, b, e, d\}$$



Kong's Theorem

If G is a bipartite graph, then the maximum size of a matching in G equals the minimum size of a vertex cover of G .



max-matching : $M = \{(2, a), (3, c)\}$

$$|Q| = 2$$

$$|M| = 2$$

Independent Set \rightarrow we are interested in maximum size.

An independent set is a set $S \subseteq V$ such that no two vertices in S are adjacent.

In Above graph -

$$S_1 = \{1, 2\}, S_2 = \{2, 3\}, S_3 = \{c, b\}$$

$$S = \{1, 2, b, c\} \rightarrow \text{Maximum size}, |S| = 4$$

$\bar{S} = \{3, a\} \rightarrow$ complement of independent set
 $|S| = 2$
 vertex open cover

So, complement of an independent set is a vertex cover.

In a graph $G = (V, E)$, $S \subseteq V$ is an independent set iff \bar{S} is a vertex covering of G .

Also, then $\alpha(G) + \beta(G) = |V| \rightarrow$ true for all graph.

where $\alpha(G) = \text{max. size of Ind. set}$, $\beta(G) = \text{min. size of vertex cover.}$

Proof

If S is an independent set, every edge is incident to at most one vertex in S . This implies that every edge is incident to at least one vertex in S .

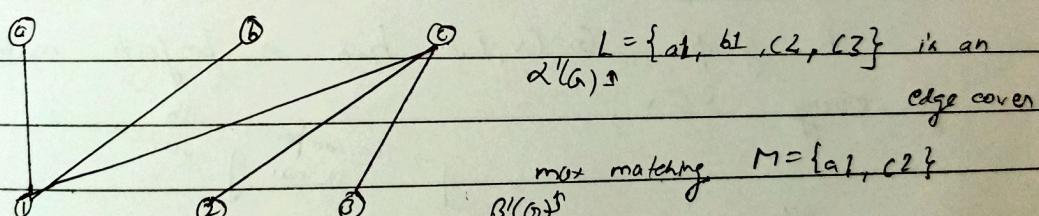
So, S covers all the edges of G . So S is a vertex cover.

Let S be a vertex cover of G , then S covers all the edges of G , then there are no edge joining vertices of S .

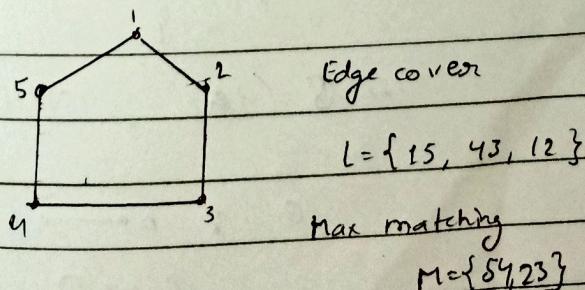
So, S is an independent set.

Edge cover (minimum size)

An edge cover of G is a set of edges, LCE, such that every vertex of G is incident to some edge of L.



$$\alpha'(G) + \beta'(G) = |V|$$



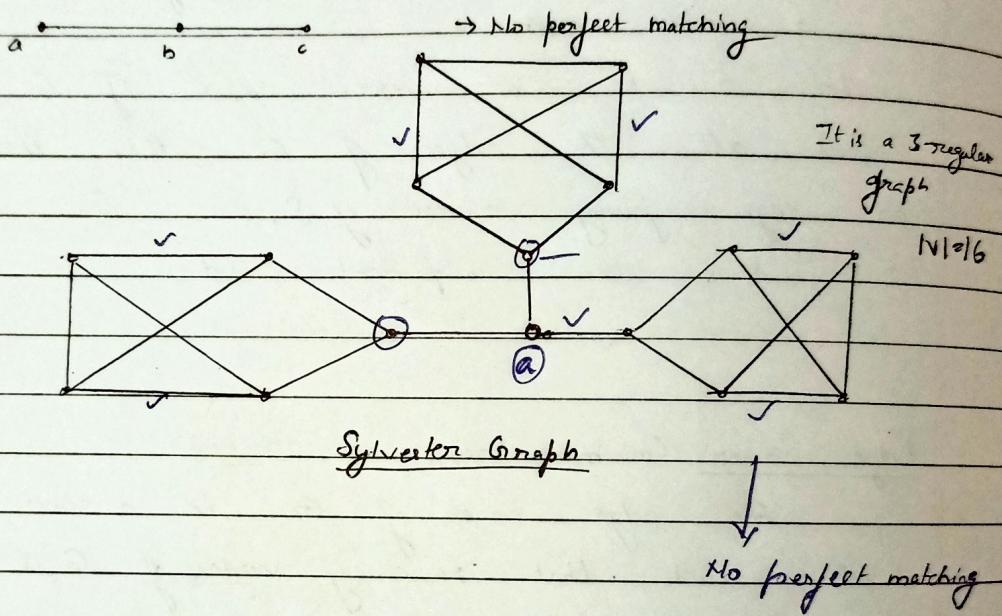
$$\alpha'(G) = 3$$

$$\beta'(G) = 2$$

$$3 + 2 = 5 = |V|$$

Matching in General Graph:

A perfect match is matching, which matches all the vertices of graph

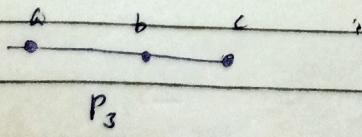


Tutte's Theorem

A graph $G = (v, E)$ has a perfect matching iff for every $S \subseteq v$,

$$\# \text{ odd components} = C_{\text{odd}}(G-S) \leq |S|$$

↑ The component with
odd no. of vertices



$$v = \{a, b, c\}$$

$$\text{let } S = \{\textcircled{a}\}, |S|=1$$

$$S = \{b\}$$

$$G-S \vdash \textcircled{a} \quad \textcircled{c}$$

$$C_{\text{odd}} = 2$$

$$G-S \vdash b \longrightarrow c$$

$$C_{\text{odd}} = 0$$

$$\therefore 0 \leq 1$$

$$C_{\text{odd}} \geq |S|$$

In Sylvester Graph,
 $S = \{a\}$

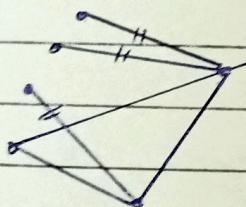
$G_1 - S :$

$\text{Card} \geq 3 \rightarrow$ components

$$181 = 1$$

$\text{Card} > 3$, i.e. it has no perfect matching

- A bridge is an edge of a graph G , whose removal increase the no. of components.



→ 3-bridge edges.

Theorem:- An edge of a connected graph is a bridge edge iff it does not lie on any cycle.

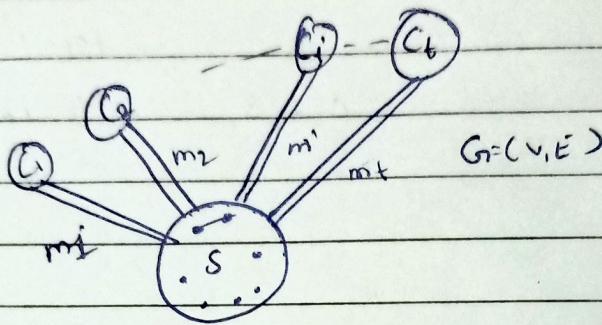
- A graph containing no bridges is called bridgeless graph.

Theorem - (Peterson Theorem, 1896)

If G is a bridgeless graph 3-regular graph, then it has a perfect matching.

$$G = (V, E)$$

Let $S \subseteq V$, let C_1, C_2, \dots, C_t be the odd components of $G - S$.



Let m_i be the no. of edges with one end in C_i & other end in S .

then, $\sum_{v \in C_i} d(v) = 3|C_i|$

$$\sum_{v \in C_i} d(v) = 3|C_i|, \text{ where } |C_i| \text{ are number of}$$

odd even vertices in C_i .

$$\text{So, } m_i = 3|C_i| - 2\theta_{C_i}, \quad \left\{ \begin{array}{l} (\text{odd} - \text{even}) = \text{odd} \\ \downarrow \quad \downarrow \\ \text{No. of vertex \& edges} \\ \text{in component } C_i \end{array} \right.$$

where $m_i \neq 1$, \because it is 3-regular graph.

$$m_i \geq 3$$

$\Rightarrow m_i$ is odd no. \uparrow

$$\Rightarrow \sum_{i=1}^t m_i \geq 3t$$

$$t \leq \sum_{i=1}^t m_i$$

~~$$\text{Since, } \sum_{i=1}^t m_i \leq \sum_{i=1}^t 3$$~~

Since, $\sum_{i=1}^t m_i \leq \sum_{v \in G} d(v)$

$$t \leq \frac{\sum_{v \in G} d(v)}{3} = 151$$

$$t \leq \frac{151}{3}$$

$t \leq 151 \Rightarrow$ By Titter Theorem

Graph G have a perfect matching.

Stable Matching

Input: The Stable matching Problem

Input: List of men, women & their preferences

Output: A stable marriage.

men	Preferences				Women	Preferences			
	High	Low	High	Low		High	Low	High	Low
1	2	4	1	3	1	2	1	4	3
2	3	1	4	2	2	4	3	1	2
3	2	3	①	4	3	1	4	3	2
4	4	1	3	2	4	2	1	4	3

→ A matching is a 1-1 corresponding b/w men & women

ex- $M_1 = \{(1, 2), (2, 3), (3, 1), (4, 4)\}$

If $(m, w) \in M$, then

$$m = P_m(w), \text{ &}$$

$$w = P_w(m)$$

m is partner of w .

If there is no blocking pair, then matching is stable
 $m \in M$ is the partner of w .

\rightarrow A pair $(m, w) \notin M$ is said to be a blocking pair for matching M if m prefers w to $P_M(m)$

$(3, 2)$ is a blocking pair of M ,

The man 3 prefers woman 2 to 1 = $P_M(3)$
 woman 2 prefers man 3 to 1 = $P_M(2)$

So, $(3, 2) \notin M$, is a blocking pair \exists in M_2
 $M_2 = \{(1, 1), (2, 3), (3, 2), (4, 4)\}$

Is $(1, 2)$ a blocking pair?

man 1 prefers woman 2 to 1.

woman 2 prefers 3 to 1

so $(1, 2) \notin M_2$, it is not a blocking

\rightarrow A matching for which, there is at least one blocking pair is called unstable matching, otherwise stable.

Q The matching $M = \{(1, 4), (2, 3), (3, 2), (4, 1)\}$ is stable matching. How?

$\checkmark \because 2, 3, 4$ have a first preference, but not 1
 $(1, 2)$ is NOT blocking.

man 1 prefers 2 to 4,

woman 2 prefers 3 to 1.

Gale-Shapley Algorithm.

Initially, all men & women are free.

while (there is a man m who is free)

{ $w =$ highest ranked woman in m 's preference
list to whom m has not yet proposed.

If (w is free)

(m, w) becomes engaged.

else if (w is currently engaged with man m')

{ If (w prefers m to m')

m remains free

else (w prefers m' to m)

{ (m', w) becomes ~~in to m'~~ engaged

m' becomes free

}

3

}

I (1, 2) engaged — \times

II (1, 3) engaged ↑ 3 ✓

III (3, 2) 2 — 1 \times - (free)

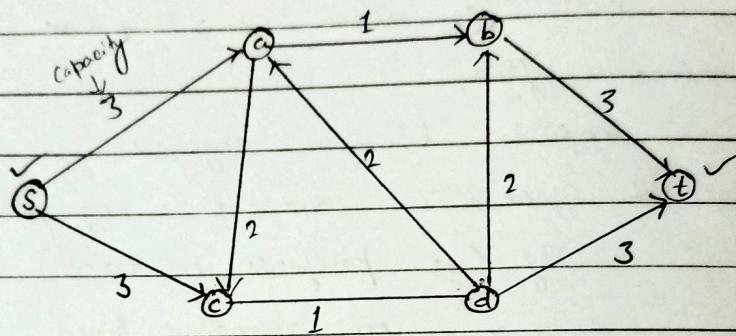
IV (4, 4) engaged. ↑ 4

V (1, 4) 4 ← 1

VI (4, 1)

Flow Network

A flow network $G = (V, E)$ is a directed graph where each edge $(u, v) \in E$ has a capacity $c(u, v) \geq 0$, a source s and a sink t .



Flow in Network

Defn → A flow f in G is a real valued function
 $f: V \times V \rightarrow \mathbb{R}$ such that

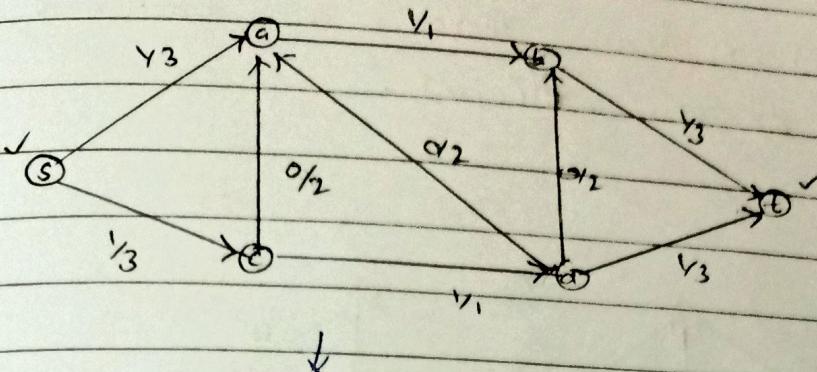
I. $f(u, v) \leq c(u, v)$: capacity rule, that is flow on an edge cannot exceed the capacity of the edge.

II. Skew Symmetry : $f(u, v) = -f(v, u)$

III. Flow conservation for every vertex :

$$\forall \{s, t\}, \sum_{u \in V} f(u, v) = \sum_{w \in V} f(v, w)$$

↓
Amount of flow into a node equals the amount of flow out of it.



The flow is valid

Given a flow network, find that maximum flow out of it from s to t .

In the previous valid flow network,

Total outgoing flow from s to t . $= 1+1 = 2$

Total incoming flow from s to t $= 1+1 = 2$

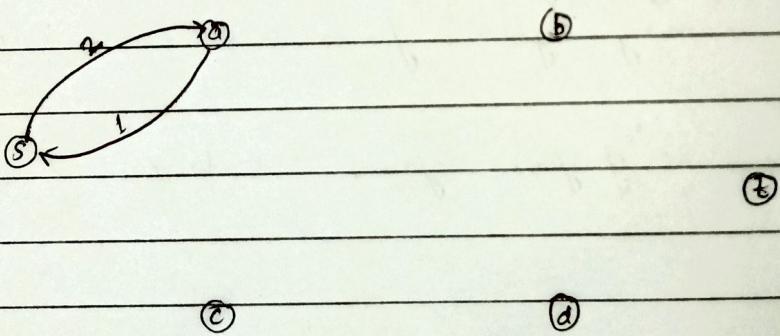
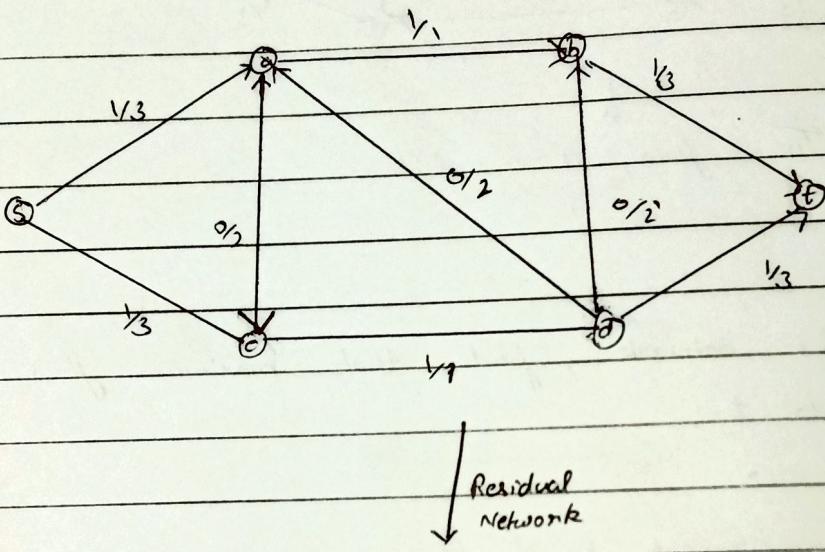
Total amount of flows from s to t $= 2$ (maximum)

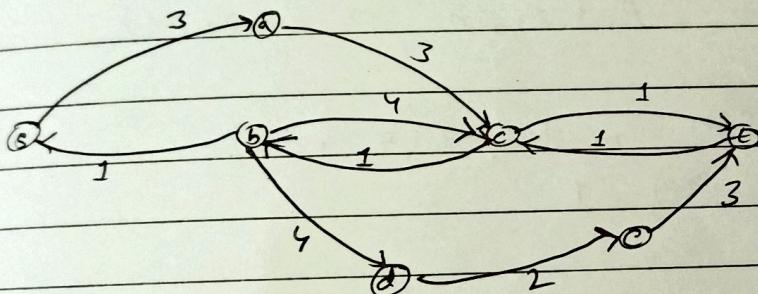
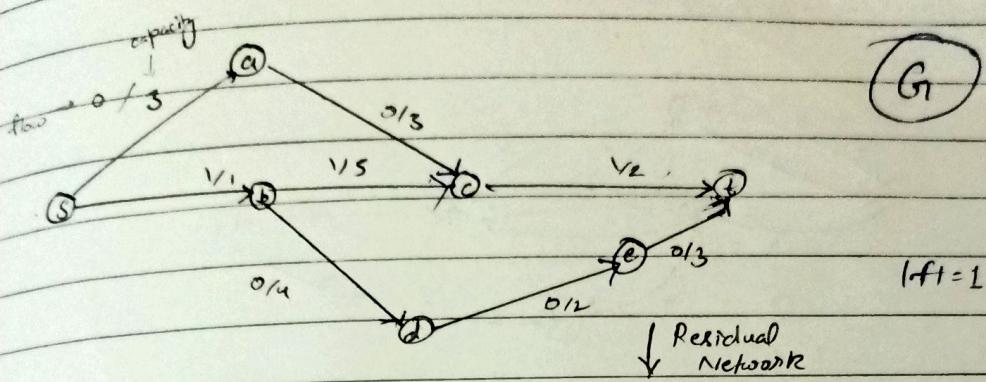
Residual Network & Augmenting Path -

Consider an arbitrary flow f in a network G . The residual network G_f has the same number of vertices as the original network and one or two edges for each edge (u, v) in the original network by using following :-

If $f(u, v) < c(u, v)$, then there is a forward edge (u, v) with flow capacity $f \leftarrow c(u, v) - f(u, v)$

If $f(u, v) > 0$, then there is a backward edge (v, u) with capacity $c_f(v, u) = f(u, v)$.



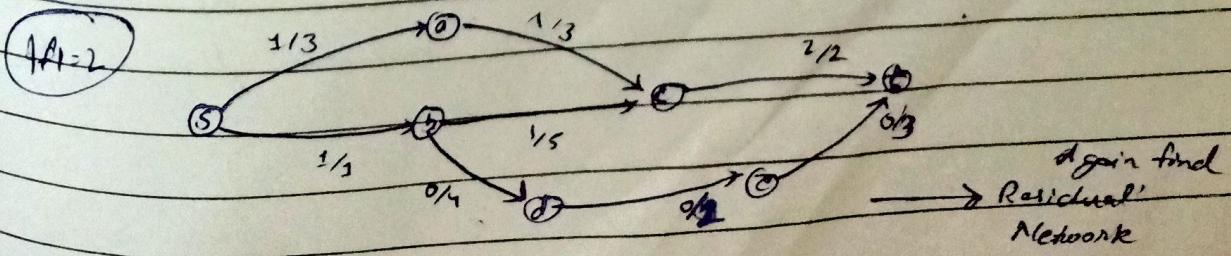


→ Augmenting Path — An augmenting path is simply a path in the residual network from source 's' to sink 't', whose purpose is to increase the flow.

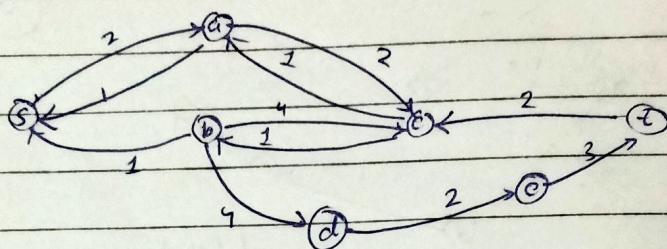
In Above,

Let $P = \{sa, ac, cd, ct\}$ be an augmenting path, the path capacity
 $S(P) = \min \{c(s,a), c(a,c), c(c,d), c(d,t)\}$
 $= \min (3, 3, 1) = 1$
 $S(P) = 1$.

Increase the flow along augmenting path by $S(P)$ unit.



Again residual network —

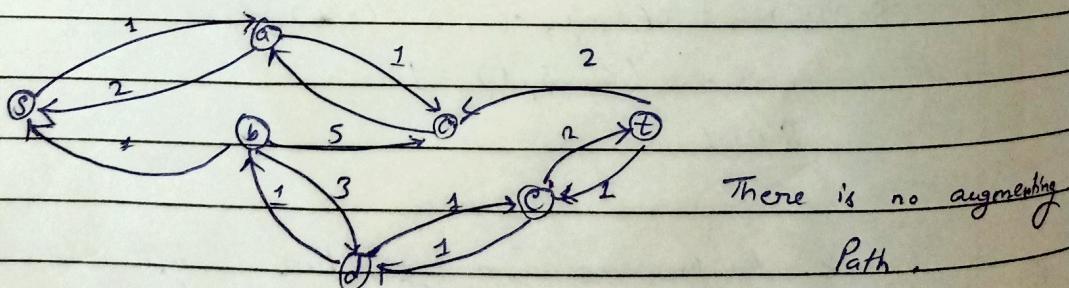
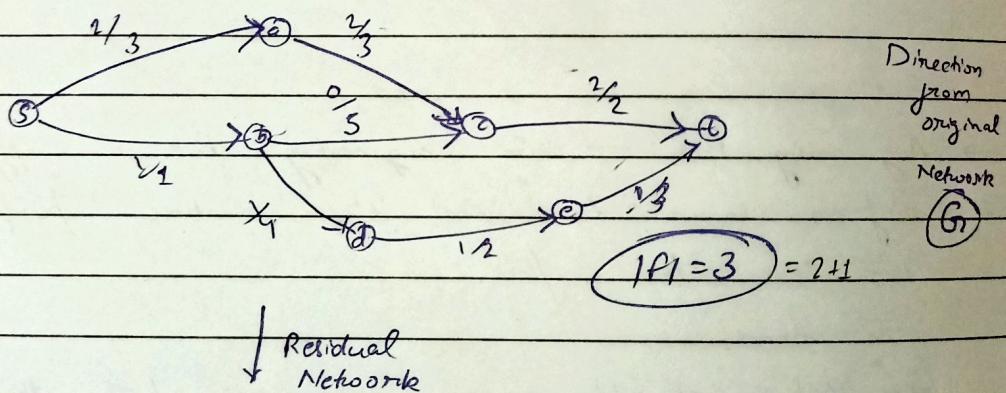


$$P = \{s, a, c, b, d, e, t\}$$

Augmenting Path, $P = \{sa, ac, cb, bd, de, et\}$

$$S(P) = \min \{2, 2, 1, 4, 2, 3\}$$

$$S(P) = 1$$



We will STOP now,
maximum flow is $|f| = 3$

Augmenting Path Algorithm (Ford & Fulkerson Algorithm, 1956)

[Input: Flow Network G_f

↳ for maximum flow

Output: Maximum Flow]

{ Initially $f=0$, arbitrary flow will be taken zero initially

while (G_f contains an augmenting path P)

{ Identify an augmenting path p in G_f ;

$$s(p) = \min \{ c_p(u, v) / (u, v) \}$$

augment $s(p)$ unit flow along the augmenting path in G_f

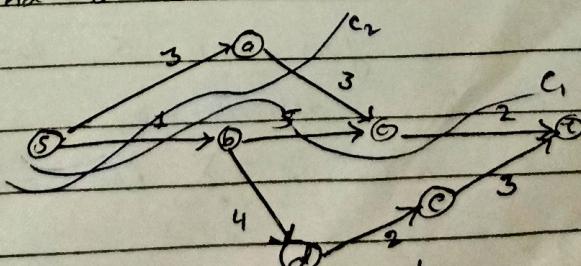
Return (maxflow)

}

$$v = s \cup \bar{s}, s \cap \bar{s} = \emptyset$$

→ A cut (S, \bar{S}) partitions the vertex v into two subsets S & \bar{S} , $S \subseteq v$ & $\bar{S} = V - S$ & it contains the edges with one end point in S with one end point in \bar{S} .

$$V = \{s, a, b, c, d, e, t\}$$



$$\text{Let } S = \{s, a, c\}$$

$$\text{then } \bar{S} = \{b, d, e, t\}$$

$$C_1(S, \bar{S}) := \{(s, b), (a, c)\}$$

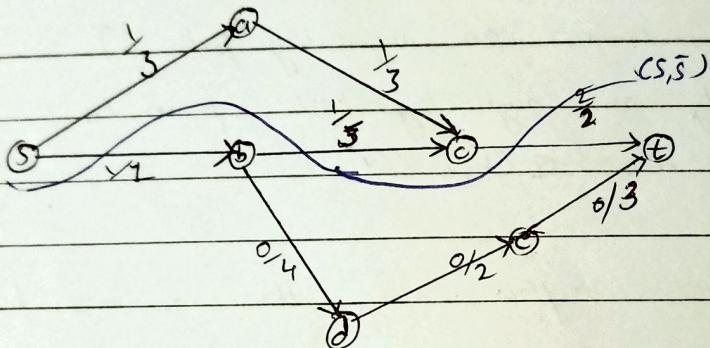
S -cut

$$G(S, \bar{S}) := \{(s, b), (b, c), (c, t)\}$$

The cut (S, \bar{S}) is an s-t cut if $s \in S$ & $t \in \bar{S}$.

The capacity of s-t cut is given by

$$c(S, \bar{S}) = \sum_{\substack{u \in S \\ v \in \bar{S}}} c(u, v)$$



$$(S, \bar{S}) = \{(s, b), (b, c), (c, t)\}$$

$$= c(s, b) + c(c, t) \rightarrow \text{Capacity of edges start from } s \text{ & end at } \bar{S}.$$

$$= 1 + 2 = 3$$

$$(b, c) \rightarrow \bar{S} \times S \neq S \times \bar{S}$$

A min cut is an s-t cut having min capacity.

If f is a flow in G , then the net flow across the cut (S, \bar{S}) is defined by

$$f(S, \bar{S}) = \sum_{e \text{ out from } S} f(e) - \sum_{e \text{ into } S} f(e), \quad e \rightarrow \text{edge}$$

$$= 1 + 2 - 1 = 2 \rightarrow \text{Total flow across the cut (G)}$$

Lemma I: Let f be a flow in a flow network G with source s & sink t and let (S, \bar{S}) be a cut $s-t$ cut on G , the net flow across (S, \bar{S}) is $|f|$.

II: Let f be any flow and (S, \bar{S}) be a $s-t$ cut, then $|f| \leq c(S, \bar{S})$

III If $|f| = c(S, \bar{S})$, then f is max-flow and (S, \bar{S}) is min-cut.

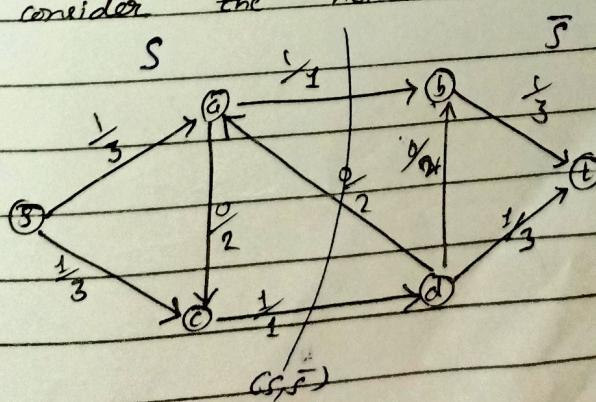
Lemma: If f is a flow in a flow network G with source s & sink t , then the following are equivalent:

I f is a max flow in G .

II The residual network have no augmenting path.

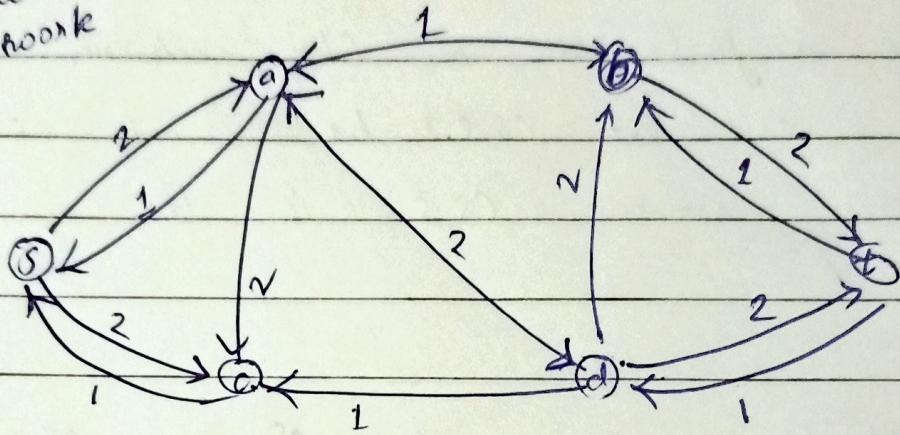
III $|f| = c(S, \bar{S})$

I Let us consider the network - . Find max-flow f .



Initially $|f| = 2$.

Residual Network



$P = \{S, c, d\} \rightarrow$ No augmenting path

$\therefore |P| = 2$ is maximum flow.

$$(S, \bar{S}) = \{(a, b), (c, d), (d, a)\}$$

$$C(S, \bar{S}) = c(a, b) + c(c, d) \rightarrow \text{edges capacity which start from } S \text{ & end at } \bar{S}$$

$$= 1 + 1$$

$$= 2$$