



Change of Financial Status / Investment Objective & Experience

致：華泰（香港）期貨有限公司

To: Huatai (Hong Kong) Futures Limited

請將已填妥的表格郵寄至香港中環皇后大道中 99 號中環中心 2102 室或掃描電郵至 **cs@htfc.com.hk**。如欲查詢，請致電 +86 400-628-0888（接通後按 9 再按 8 再按 1）或 +852 39161688 與客服部聯絡。Please post your completed form to Customer Service Department, Room 2102, The Center, 99 Queen's Road Central, Hong Kong, or by email to **cs@htfc.com.hk**. For inquiries, please contact us at +86 400-628-0888 (Press 9 & 8 & 1) or +852 39161688.

吾等謹此通知貴公司更改以下資料：We hereby notify you of changing the following information:

*以“√”號選擇一項 * tick "√" one

**以“√”號選擇最少一項 ** tick "√" at least one

財政狀況 Financial Profile*	
個人年收入 / 公司淨利潤(HK\$港幣) Individual Annual Income/Corporate Annual Profit (HKD)*	<input type="checkbox"/> <250,000 <input type="checkbox"/> 250,000 ~ 500,000 <input type="checkbox"/> 500,001~1,000,000 <input type="checkbox"/> > 1,000,000 請註明金額 Please specify amount: _____
資產總值 (HK\$港幣) Total Value of Assets (HKD) *	<input type="checkbox"/> <500,000 <input type="checkbox"/> 500,000 ~ 2,500,000 <input type="checkbox"/> 2,500,001~5,000,000 <input type="checkbox"/> >5,000,000 請註明金額 Please specify amount: _____ (Including liquid assets and fixed assets 包括流動資產及非流動資產)
流動資產總值 (HK\$港幣) Total Value of Liquid Assets (HKD) *	<input type="checkbox"/> <500,000 <input type="checkbox"/> 500,000 ~ 2,500,000 <input type="checkbox"/> 2,500,001~5,000,000 <input type="checkbox"/> 5,000,001~8,000,000 <input type="checkbox"/> >8,000,000 請註明金額 Please specify amount: _____ (Liquid Assets means cash or assets that can be converted into cash in a short time 流動資產指現金或可短期變現的資產)
財富来源 Source of Wealth**	
個人/聯名戶 Individual/Joint Account: <input type="checkbox"/> 薪金收入 Salary <input type="checkbox"/> 投資回報 Return of Investments <input type="checkbox"/> 儲蓄 Savings <input type="checkbox"/> 退休金 Pension <input type="checkbox"/> 營業收入 Business Income <input type="checkbox"/> 出售/出租物業/資產 Sale/Lease of Property / Assets <input type="checkbox"/> 其他，請說明 Others, please specify: _____	
公司戶 Corporate Account: <input type="checkbox"/> 營業收入 Business Income <input type="checkbox"/> 投資回報 Return on Investments <input type="checkbox"/> 儲蓄 Savings <input type="checkbox"/> 服務收入 Service Income <input type="checkbox"/> 股息/利息收入 Dividend/ Interest <input type="checkbox"/> 出售/出租物業/資產 Sale/Lease of Property / Assets <input type="checkbox"/> 其他，請說明 Others, please specify: _____	
客戶投資目標 Client Investment Objective **	
<input type="checkbox"/> 長期資本增長 Long Term Capital Growth <input type="checkbox"/> 投機 Speculation <input type="checkbox"/> 套利 Arbitrage <input type="checkbox"/> 對沖 Hedging <input type="checkbox"/> 其它，請註明 Others, please specify: _____	

投資經驗 Investment Experience

投資產品 Investment Products	一年以下 <1Year	一至三年 1-3Years	三至五年 3-5Years	五年以上 >5Years
<input type="checkbox"/> 股票 Shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 牛熊證 CBBC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 衍生權證（窩輪）Warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 期貨 Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 期權 Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 基金 Funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 債券 Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 其他 Others	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

本人/吾等確認上述所填寫的資料均屬真實、完全及正確。I/ We confirm the above information in this form is true, complete and correct.

客戶簽署* Client Signature(s)*	請用留存於華泰（香港）期貨之印鑑式樣 Please use the signature(s) field with Huatai (Hong Kong) Futures.	賬戶號碼* Account No.*	
客戶名稱* Client Name*		日期* Date*	日 day / 月 month / 年 year

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<input type="checkbox"/> Original <input type="checkbox"/> E-mail Check <input type="checkbox"/> Phone Check	Phone verified by	Phone number	Date	Time
Handle by	Compliance Approved by	RO Approved by	Input by	Checked by