

CAS 760
Simple Type Theory
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5 Proof Systems

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Outline

1. Background.
2. A proof system for Alonzo.
3. Soundness and completeness.

1. Background

Proofs and Theorems

- A **proof system** \mathfrak{P} for Alonzo consists of a decidable set of **axioms** and **rules of inference**.
- A **proof of \mathbf{A}_o in \mathfrak{P}** is a finite sequence Π of formulas of Alonzo ending with \mathbf{A}_o such that every formula in Π is:
 1. An axiom of \mathfrak{P} or
 2. Inferred from previous formulas in Π by one of the rules of inference of \mathfrak{P} .
- $\vdash_{\mathfrak{P}} \mathbf{A}_o$ asserts that there is a proof of \mathbf{A}_o in \mathfrak{P} .
- A **theorem** of \mathfrak{P} is a formula such that $\vdash_{\mathfrak{P}} \mathbf{A}_o$.

Proofs from Premises

- Let Γ be a set of formulas.
- A **proof of \mathbf{A}_o from Γ in \mathfrak{P}** is a pair (Π_1, Π_2) of finite sequences of formulas of Alonzo ending with \mathbf{A}_o such that Π_1 is a proof in \mathfrak{P} , Π_2 ends with \mathbf{A}_o , and every formula in Π_2 is:
 1. A member of Γ ,
 2. A member of Π_1 (and thus a theorem of \mathfrak{P}), or
 3. Inferred from previous formulas in Π_2 by one of the rules of inference of \mathfrak{P} modified, if necessary, so that the free variables in members of Γ are treated as constants instead of as universally quantified variables as in axioms.
- $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$ asserts that there is a proof of \mathbf{A}_o from Γ in \mathfrak{P} .

Soundness and Completeness

- \mathfrak{P} is **sound** if
$$\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o \text{ implies } \Gamma \models \mathbf{A}_o,$$
where Γ is a set of formulas.
- \mathfrak{P} is **complete (in the general sense)** if
$$\Gamma \models \mathbf{A}_o \text{ implies } \Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o,$$
where Γ is a set of sentences.
- \mathfrak{P} is **complete in the standard sense** if
$$\Gamma \models^s \mathbf{A}_o \text{ implies } \Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o,$$
where Γ is a set of sentences.

Henkin's Soundness and Completeness Theorem

- **Theorem.** There is no sound proof system for Alonzo that is complete in the standard sense.

Proof. Theorem 8.2 proved in Chapter 9.

- **Theorem (Henkin, 1950).** There is a sound proof system for Church's type theory that is complete in the general sense.
- **Proposition.** If \mathfrak{P} is sound and complete proof system for Alonzo and Γ is a set of sentences that is inconsistent in \mathfrak{P} , then $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$ for all formulas \mathbf{A}_o .

2. A Proof System for Alonzo

A Proof System for Alonzo [1/4]

\mathfrak{A} (named for Peter Andrews) is a proof system for Alonzo that has the following axioms and rules of inference:

A1 (Truth Values)

$$((g : o \rightarrow o) T_o \wedge (g : o \rightarrow o) F_o) \Leftrightarrow \forall x : o . (g : o \rightarrow o) x.$$

A2 (Leibniz's Law)

$$(x : \alpha) = (y : \alpha) \Rightarrow \\ ((h : \alpha \rightarrow o) (x : \alpha) \Leftrightarrow (h : \alpha \rightarrow o) (y : \alpha)).$$

A3 (Extensionality)

$$(f : \alpha \rightarrow \beta) = (g : \alpha \rightarrow \beta) \Leftrightarrow \\ \forall x : \alpha . (f : \alpha \rightarrow \beta) x \simeq (g : \alpha \rightarrow \beta) x.$$

A4 (Beta-Reduction)

$$\mathbf{A}_\alpha \downarrow \Rightarrow (\lambda \mathbf{x} : \alpha . \mathbf{B}_\beta) \mathbf{A}_\alpha \simeq \mathbf{B}_\beta[(\mathbf{x} : \alpha) \mapsto \mathbf{A}_\alpha]$$

provided \mathbf{A}_α is free for $(\mathbf{x} : \alpha)$ in \mathbf{B}_β .

A Proof System for Alonzo [2/4]

A5 (Definedness)

1. $(\mathbf{x} : \alpha) \downarrow$.
2. $\mathbf{c}_\alpha \downarrow$.
3. $(\mathbf{A}_\alpha = \mathbf{B}_\alpha) \downarrow$.
4. $(\mathbf{A}_\alpha = \mathbf{B}_\alpha) \Rightarrow \mathbf{A}_\alpha \downarrow$.
5. $(\mathbf{A}_\alpha = \mathbf{B}_\alpha) \Rightarrow \mathbf{B}_\alpha \downarrow$.
6. $(\mathbf{F}_{\alpha \rightarrow o} \mathbf{A}_\alpha) \downarrow$.
7. $\mathbf{F}_{\alpha \rightarrow o} \mathbf{A}_\alpha \Rightarrow \mathbf{F}_{\alpha \rightarrow o} \downarrow$.
8. $\mathbf{F}_{\alpha \rightarrow o} \mathbf{A}_\alpha \Rightarrow \mathbf{A}_\alpha \downarrow$.
9. $(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{A}_\alpha) \downarrow \Rightarrow \mathbf{F}_{\alpha \rightarrow \beta} \downarrow$ where $\beta \neq o$.
10. $(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{A}_\alpha) \downarrow \Rightarrow \mathbf{A}_\alpha \downarrow$ where $\beta \neq o$.
11. $(\lambda \mathbf{x} : \alpha . \mathbf{B}_\beta) \downarrow$.
12. $\mathbf{A}_\alpha \downarrow \Rightarrow (\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha) \simeq (\mathbf{A}_\alpha = \mathbf{B}_\alpha)$.
13. $\mathbf{B}_\alpha \downarrow \Rightarrow (\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha) \simeq (\mathbf{A}_\alpha = \mathbf{B}_\alpha)$.

A Proof System for Alonzo [3/4]

A6 (Definite Description)

1. $(\exists! x : \alpha . \mathbf{A}_o) \Rightarrow (\mathbf{I} x : \alpha . \mathbf{A}_o) \in \{x : \alpha \mid \mathbf{A}_o\}.$
2. $\neg(\exists! x : \alpha . \mathbf{A}_o) \Rightarrow (\mathbf{I} x : \alpha . \mathbf{A}_o) \uparrow.$

A7 (Pairs)

1. $\forall x : \alpha, y : \beta . (x, y) \downarrow.$
2. $(\mathbf{A}_\alpha, \mathbf{B}_\beta) \downarrow \Rightarrow \mathbf{A}_\alpha \downarrow.$
3. $(\mathbf{A}_\alpha, \mathbf{B}_\beta) \downarrow \Rightarrow \mathbf{B}_\beta \downarrow.$
4. $\forall p : \alpha \times \beta . p = (\text{fst}_{(\alpha \times \beta) \rightarrow \alpha} p, \text{snd}_{(\alpha \times \beta) \rightarrow \beta} p).$
5. $\forall x, x' : \alpha, y, y' : \beta . (x, y) = (x', y') \Rightarrow (x = x' \wedge y = y').$

A Proof System for Alonzo [4/4]

R1 (Modus Ponens) From \mathbf{A}_o and $\mathbf{A}_o \Rightarrow \mathbf{B}_o$ infer \mathbf{B}_o .

R2 (Quasi-Equality Substitution) From $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$ and \mathbf{C}_o infer the result of replacing one occurrence of \mathbf{A}_α in \mathbf{C}_o by an occurrence of \mathbf{B}_α , provided that the occurrence of \mathbf{A}_α in \mathbf{C}_o is not the first argument of a function abstraction or a definite description.

Proofs from Premises in \mathfrak{A}

- Let \mathbf{A}_o be a formula and Γ be a set of formulas of Alonzo.
- A **proof of \mathbf{A}_o from Γ in \mathfrak{A}** is a pair (Π_1, Π_2) of finite sequences of formulas of Alonzo such that Π_1 is a proof in \mathfrak{A} , Π_2 ends with \mathbf{A}_o , and every formula \mathbf{D}_o in Π_2 satisfies one of the following conditions:
 1. \mathbf{D}_o is a member of Γ .
 2. \mathbf{D}_o is in Π_1 (and thus a theorem of \mathfrak{A}).
 3. \mathbf{D}_o is inferred from two previous formulas in Π_2 by R1.
 4. \mathbf{D}_o is obtain from previous formulas $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$ and \mathbf{C}_o in Π_2 by replacing one occurrence of \mathbf{A}_α in \mathbf{C}_o by an occurrence of \mathbf{B}_α , provided that the occurrence of \mathbf{A}_α in \mathbf{C}_o is not the first argument of a function abstraction or a definite description and not in the second argument of a function abstraction $\lambda \mathbf{x} : \beta . \mathbf{E}_\gamma$ or a definite description $\mathbf{I} \mathbf{x} : \beta . \mathbf{E}_o$ where $(\mathbf{x} : \beta)$ is free in a member of Γ and free in $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$.

3. Soundness and Completeness

Soundness and Consistency Theorems

- Theorem (Soundness Theorem). \mathfrak{A} is sound.

Proof. Theorem B.11 in Appendix B.

- Corollary (Consistency Theorem). If a set of formulas is satisfiable, then it is consistent in \mathfrak{A} .

Frugal Models

- A general model M of L is **frugal** if $\|M\| \leq \|L\|$.
 - **Theorem.** Every frugal infinite general model of a language of power ω is a nonstandard model.
 - **Theorem (Henkin's Theorem).** Every set of sentences in \mathcal{L} that is consistent in \mathfrak{A} has a frugal general model.
- Proof.** Theorem C.3 in Appendix C.

Completeness Theorems

- **Theorem (Provability equals Validity).** \mathfrak{A} is sound and complete.

Proof. Follows from Soundness Theorem, Henkin's Theorem, and metatheorems proved in Appendix A.

- **Corollary (Consistency equals Satisfiability).** Let Γ be a set of sentences. Then Γ is consistent in \mathfrak{A} iff Γ is satisfiable.
- **Corollary (Compactness Theorem).** Let Γ be a set of sentences. Then Γ is satisfiable iff every finite subset of Γ is satisfiable.
- **Theorem (Nonstandard Models Exist).** Let Γ be a set of sentences that has infinite models. Then Γ has nonstandard models.

The End.