

CAS 760
Simple Type Theory
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5 Proof Systems

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Outline

1. Background.
2. A proof system for Alonzo.
3. Soundness and completeness.

1. Background

Proofs and Theorems

- A proof system \mathfrak{P} for Alonzo consists of a decidable set of axioms and rules of inference.
- A proof of \mathbf{A}_o in \mathfrak{P} is a finite sequence Π of formulas of Alonzo ending with \mathbf{A}_o such that every formula in Π is:
 1. An axiom of \mathfrak{P} or
 2. Inferred from previous formulas in Π by one of the rules of inference of \mathfrak{P} .
- $\vdash_{\mathfrak{P}} \mathbf{A}_o$ asserts that there is a proof of \mathbf{A}_o in \mathfrak{P} .
- A theorem of \mathfrak{P} is a formula such that $\vdash_{\mathfrak{P}} \mathbf{A}_o$.

Proofs from Premises

- Let Γ be a set of formulas.
- A proof of \mathbf{A}_o from Γ in \mathfrak{P} is a pair (Π_1, Π_2) of finite sequences of formulas of Alonzo ending with \mathbf{A}_o such that Π_1 is a proof in \mathfrak{P} , Π_2 ends with \mathbf{A}_o , and every formula in Π_2 is:
 1. A member of Γ ,
 2. A member of Π_1 (and thus a theorem of \mathfrak{P}), or
 3. Inferred from previous formulas in Π_2 by one of the rules of inference of \mathfrak{P} modified, if necessary, so that the free variables in members of Γ are treated as constants instead of as universally quantified variables as in axioms.
- $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$ asserts that there is a proof of \mathbf{A}_o from Γ in \mathfrak{P} .

Soundness and Completeness

- \mathfrak{P} is sound if
 - $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$ implies $\Gamma \vDash \mathbf{A}_o$,
where Γ is a set of formulas.
- \mathfrak{P} is complete (in the general sense) if
 - $\Gamma \vDash \mathbf{A}_o$ implies $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$,
where Γ is a set of sentences.
- \mathfrak{P} is complete in the standard sense if
 - $\Gamma \vDash^s \mathbf{A}_o$ implies $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$,
where Γ is a set of sentences.

Henkin's Soundness and Completeness Theorem

- **Theorem.** There is no sound proof system for Alonzo that is complete in the standard sense.
Proof. Theorem 8.2 proved in Chapter 9.
- **Theorem (Henkin, 1950).** There is a sound proof system for Church's type theory that is complete in the general sense.
- **Proposition.** If \mathfrak{P} is sound and complete proof system for Alonzo and Γ is a set of sentences that is inconsistent in \mathfrak{P} , then $\Gamma \vdash_{\mathfrak{P}} \mathbf{A}_o$ for all formulas \mathbf{A}_o .

2. A Proof System for Alonzo

A Proof System for Alonzo [1/4]

\mathfrak{A} (named for Peter Andrews) is a proof system for Alonzo that has the following axioms and rules of inference:

A1 (Truth Values)

$$((g : o \rightarrow o) T_o \wedge (g : o \rightarrow o) F_o) \Leftrightarrow \forall x : o . (g : o \rightarrow o) x.$$

A2 (Leibniz's Law)

$$\begin{aligned} (x : \alpha) = (y : \alpha) &\Rightarrow \\ ((h : \alpha \rightarrow o) (x : \alpha)) &\Leftrightarrow (h : \alpha \rightarrow o) (y : \alpha)). \end{aligned}$$

A3 (Extensionality)

$$\begin{aligned} (f : \alpha \rightarrow \beta) = (g : \alpha \rightarrow \beta) &\Leftrightarrow \\ \forall x : \alpha . (f : \alpha \rightarrow \beta) x &\simeq (g : \alpha \rightarrow \beta) x. \end{aligned}$$

A4 (Beta-Reduction)

$$\begin{aligned} \mathbf{A}_\alpha \downarrow &\Rightarrow (\lambda x : \alpha . \mathbf{B}_\beta) \mathbf{A}_\alpha \simeq \mathbf{B}_\beta [(x : \alpha) \mapsto \mathbf{A}_\alpha] \\ \text{provided } \mathbf{A}_\alpha &\text{ is free for } (x : \alpha) \text{ in } \mathbf{B}_\beta. \end{aligned}$$

A Proof System for Alonzo [2/4]

A5 (Definedness)

1. $(x : \alpha) \downarrow.$
2. $c_\alpha \downarrow.$
3. $(A_\alpha = B_\alpha) \downarrow.$
4. $(A_\alpha = B_\alpha) \Rightarrow A_\alpha \downarrow.$
5. $(A_\alpha = B_\alpha) \Rightarrow B_\alpha \downarrow.$
6. $(F_{\alpha \rightarrow o} A_\alpha) \downarrow.$
7. $F_{\alpha \rightarrow o} A_\alpha \Rightarrow F_{\alpha \rightarrow o} \downarrow.$
8. $F_{\alpha \rightarrow o} A_\alpha \Rightarrow A_\alpha \downarrow.$
9. $(F_{\alpha \rightarrow \beta} A_\alpha) \downarrow \Rightarrow F_{\alpha \rightarrow \beta} \downarrow \text{ where } \beta \neq o.$
10. $(F_{\alpha \rightarrow \beta} A_\alpha) \downarrow \Rightarrow A_\alpha \downarrow \text{ where } \beta \neq o.$
11. $(\lambda x : \alpha . B_\beta) \downarrow.$
12. $A_\alpha \downarrow \Rightarrow (A_\alpha \simeq B_\alpha) \simeq (A_\alpha = B_\alpha).$
13. $B_\alpha \downarrow \Rightarrow (A_\alpha \simeq B_\alpha) \simeq (A_\alpha = B_\alpha).$

A Proof System for Alonzo [3/4]

A6 (Definite Description)

1. $(\exists! x : \alpha . \mathbf{A}_o) \Rightarrow (\text{Ix} : \alpha . \mathbf{A}_o) \in \{x : \alpha \mid \mathbf{A}_o\}.$
2. $\neg(\exists! x : \alpha . \mathbf{A}_o) \Rightarrow (\text{Ix} : \alpha . \mathbf{A}_o) \uparrow.$

A7 (Pairs)

1. $\forall x : \alpha, y : \beta . (x, y) \downarrow.$
2. $(\mathbf{A}_\alpha, \mathbf{B}_\beta) \downarrow \Rightarrow \mathbf{A}_\alpha \downarrow.$
3. $(\mathbf{A}_\alpha, \mathbf{B}_\beta) \downarrow \Rightarrow \mathbf{B}_\alpha \downarrow.$
4. $\forall p : \alpha \times \beta . p = (\text{fst}_{(\alpha \times \beta) \rightarrow \alpha} p, \text{snd}_{(\alpha \times \beta) \rightarrow \beta} p).$
5. $\forall x, x' : \alpha, y, y' : \beta . (x, y) = (x', y') \Rightarrow (x = x' \wedge y = y').$

A Proof System for Alonzo [4/4]

R1 (Modus Ponens) From \mathbf{A}_o and $\mathbf{A}_o \Rightarrow \mathbf{B}_o$ infer \mathbf{B}_o .

R2 (Quasi-Equality Substitution) From $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$ and \mathbf{C}_o infer the result of replacing one occurrence of \mathbf{A}_α in \mathbf{C}_o by an occurrence of \mathbf{B}_α , provided that the occurrence of \mathbf{A}_α in \mathbf{C}_o is not the first argument of a function abstraction or a definite description.

Proofs from Premises in \mathfrak{A}

- Let \mathbf{A}_o be a formula and Γ be a set of formulas of Alonzo.
- A proof of \mathbf{A}_o from Γ in \mathfrak{A} is a pair (Π_1, Π_2) of finite sequences of formulas of Alonzo such that Π_1 is a proof in \mathfrak{A} , Π_2 ends with \mathbf{A}_o , and every formula \mathbf{D}_o in Π_2 satisfies one of the following conditions:
 - \mathbf{D}_o is a member of Γ .
 - \mathbf{D}_o is in Π_1 (and thus a theorem of \mathfrak{A}).
 - \mathbf{D}_o is inferred from two previous formulas in Π_2 by R1.
 - \mathbf{D}_o is obtained from previous formulas $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$ and \mathbf{C}_o in Π_2 by replacing one occurrence of \mathbf{A}_α in \mathbf{C}_o by an occurrence of \mathbf{B}_α , provided that the occurrence of \mathbf{A}_α in \mathbf{C}_o is not the first argument of a function abstraction or a definite description and not in the second argument of a function abstraction $\lambda x : \beta . \mathbf{E}_\gamma$ or a definite description $I x : \beta . \mathbf{E}_o$ where $(x : \beta)$ is free in a member of Γ and free in $\mathbf{A}_\alpha \simeq \mathbf{B}_\alpha$.

3. Soundness and Completeness

Soundness and Consistency Theorems

- Theorem (Soundness Theorem). \mathfrak{A} is sound.
Proof. Theorem B.11 in Appendix B.
- Corollary (Consistency Theorem). If a set of formulas is satisfiable, then it is consistent in \mathfrak{A} .

Frugal Models

- A general model M of L is **frugal** if $\|M\| \leq \|L\|$.
- **Theorem.** Every frugal infinite general model of a language of power ω is a nonstandard model.
- **Theorem (Henkin's Theorem).** Every set of sentences in Alonzo that is consistent in \mathfrak{A} has a frugal general model.

Proof. Theorem C.3 in Appendix C.

Completeness Theorems

- **Theorem (Provability equals Validity).** \mathfrak{A} is sound and complete.

Proof. Follows from Soundness Theorem, Henkin's Theorem, and metatheorems proved in Appendix A.

- **Corollary (Consistency equals Satisfiability).** Let Γ be a set of sentences. Then Γ is consistent in \mathfrak{A} iff Γ is satisfiable.
- **Corollary (Compactness Theorem).** Let Γ be a set of sentences. Then Γ is satisfiable iff every finite subset of Γ is satisfiable.
- **Theorem (Nonstandard Models Exist).** Let Γ be a set of sentences that has infinite models. Then Γ has nonstandard models.

The End.