



## INVESTMENTS CORPORATION

February 7, 2020

**PHILIPPINE STOCK EXCHANGE**

6<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> St. corner 5<sup>th</sup> Ave.  
BGC, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Re: **Report on Number of Shareholders as of January 31, 2020**  
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Ladies and Gentlemen:

Please find attached the Report on Number of Shareholders of SM Investments Corporation as of January 31, 2020.

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Elmer B. Serrano', written over a horizontal line.

**ELMER B. SERRANO**  
Corporate Secretary

Encl. a/s



February 04, 2020

SM INVESTMENTS CORPORATION  
10/F ONE E-COM CENTER, HARBOR  
DRIVE, MOA COMPLEX, CBP-IA,  
PASAY CITY 1300

Attention : **MR. JOSE T. SIO**  
**CHAIRMAN OF THE BOARD**

Gentlemen:

Per your request, we report to you herewith the number of stockholders owning at least one board lot each of **SM INVESTMENTS CORPORATION**, as of January 31, 2020.

|   |   |                  |
|---|---|------------------|
| Total Issued and Outstanding Shares (Net of Treasury Shares<br>Common | : | 1,204,582,867    |
| Total Number of Stockholders<br>Common                                | : | 1,262            |
| Number of Shares per Board Lot<br>Common                              | : | 10               |
| Total Number of Stockholders Owning at least 1 Board Lot<br>Common    | : | 1,217            |
| Closing market Price  | : | 971.00           |
| Last trading date   | : | January 31, 2020 |

Thank you.

BDO-TRANSACTION BANKING GROUP  
SECURITIES OPERATIONS

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