



**INVESTMENTS  
CORPORATION**

October 4, 2019

**PHILIPPINE STOCK EXCHANGE**

6<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> St. corner 5<sup>th</sup> Ave.  
BGC, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Re: **Foreign Ownership Monitoring Report as of September 30, 2019**  
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Ladies and Gentlemen:

Please find attached the Foreign Ownership Monitoring Report of SM Investments Corporation as of September 30, 2019.

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Elmer B. Serrano', with a long, sweeping flourish extending upwards and to the right.

**ELMER B. SERRANO**  
Corporate Secretary

Encl. a/s



October 01, 2019

PHILIPPINE STOCK EXCHANGE, INC.  
PSE Center, Exchange Road,  
Ortigas Complex, Pasig City

Attention : **MR. JOSE VALERIANO B. ZUNO III**  
**HEAD - PSE DISCLOSURE DEPT.**

Subject: FOREIGN OWNERSHIP MONITORING REPORT AS OF September 30, 2019

Gentlemen:

In compliance with Memo for Brokers No. 304-98, Series of 1998 of the Philippine Stock Exchange, Inc., we report herewith the foreign ownership level of the indicated listed company:

ISSUER	SM INVESTMENTS CORPORATION COMMON SHARES
TOTAL OUTSTANDING SHARES (Net of Treasury Shares)	1,204,582,867
SHARES ALLOWED TO FOREIGNERS	481,833,147
SHARES OWNED BY FOREIGNERS % Owned by Foreigners	390,238,025 32.3961
SHARES OWNED BY FILIPINOS (Net of Treasury Shares) % Owned by Filipinos	814,344,842 67.6039
TREASURY SHARES	0
SETTLEMENT DATE: LAST PROCESSED BY PCD	September 26, 2019
DATE OF LAST DIRECT TRANSFER REQUEST PROCESSED (IF ANY)	September 05, 2019
TOTAL NUMBER OF STOCKHOLDERS	1,261

Thank you.

BDO-TRUST & INVESTMENTS GROUP  
SECURITIES SERVICES AND CORPORATE AGENCIES DEPT.

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