



**INVESTMENTS  
CORPORATION**

January 8, 2021

**PHILIPPINE STOCK EXCHANGE**

6<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> St. corner 5<sup>th</sup> Ave.  
BGC, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Re: **Report on Number of Shareholders as of December 31, 2020**  
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Ladies and Gentlemen:

Please find attached the Report on Number of Shareholders of SM Investments Corporation as of November 30, 2020.

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Elmer B. Serrano', written over a horizontal line.

**ELMER B. SERRANO**  
Corporate Secretary

Encl. a/s



January 04, 2021

SM INVESTMENTS CORPORATION  
10/F ONE E-COM CENTER, HARBOR  
DRIVE, MOA COMPLEX, CBP-IA,  
PASAY CITY 1300

Attention : **MR. JOSE T. SIO**  
**CHAIRMAN OF THE BOARD**

Gentlemen:

Per your request, we report to you herewith the number of stockholders owning at least one board lot each of **SM INVESTMENTS CORPORATION**, as of December 31, 2020.

Total Issued and Outstanding Shares (Net of Treasury Shares Common	:	1,204,582,867
Total Number of Stockholders Common	:	1,256
Number of Shares per Board Lot Common	:	5
Total Number of Stockholders Owning at least 1 Board Lot Common	:	1,232
Closing market Price	:	1,049.000
Last trading date	:	December 29, 2020

Thank you.

BDO - TRANSACTION BANKING GROUP  
SECURITIES OPERATIONS

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