



**INVESTMENTS  
CORPORATION**

November 9, 2020

**PHILIPPINE STOCK EXCHANGE**

6<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> St. corner 5<sup>th</sup> Ave.  
BGC, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

**PHILIPPINE DEALING & EXCHANGE CORP.**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
*Head, Issuer Compliance and Disclosure Department*

Re: **Foreign Ownership Monitoring Report as of October 31, 2020**  
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Ladies and Gentlemen:

Please find attached the Foreign Ownership Monitoring Report of SM Investments Corporation as of October 31, 2020.

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Elmer B. Serrano', written over a horizontal line.

**ELMER B. SERRANO**  
Corporate Secretary

Encl. a/s



November 03, 2020

SM INVESTMENTS CORPORATION  
10/F ONE E-COM CENTER, HARBOR  
DRIVE, MOA COMPLEX, CBP-IA,  
PASAY CITY 1300

**Attention : MR. JOSE T. SIO**  
CHAIRMAN OF THE BOARD

Subject: FOREIGN OWNERSHIP MONITORING REPORT AS OF October 31, 2020

Gentlemen:

In compliance with Memo for Brokers No. 304-98, Series of 1998 of the Philippine Stock Exchange, Inc., we report herewith the foreign ownership level of the indicated listed company:

ISSUER		SM INVESTMENTS CORPORATION
		COMMON SHARES
TOTAL OUTSTANDING SHARES (Net of Treasury Shares)		1,204,582,867
SHARES ALLOWED TO FOREIGNERS		481,833,147
SHARES OWNED BY FOREIGNERS % Owned by Foreigners		418,204,892 34.7178
SHARES OWNED BY FILIPINOS (Net of Treasury Shares) % Owned by Filipinos		786,377,975 65.2822
TREASURY SHARES		0
SETTLEMENT DATE: LAST PROCESSED BY PCD		October 28, 2020
DATE OF LAST DIRECT TRANSFER REQUEST PROCESSED (IF ANY)		October 29, 2020
TOTAL NUMBER OF STOCKHOLDERS		1,259

Thank you.

BDO - TRANSACTION BANKING GROUP  
SECURITIES OPERATIONS

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