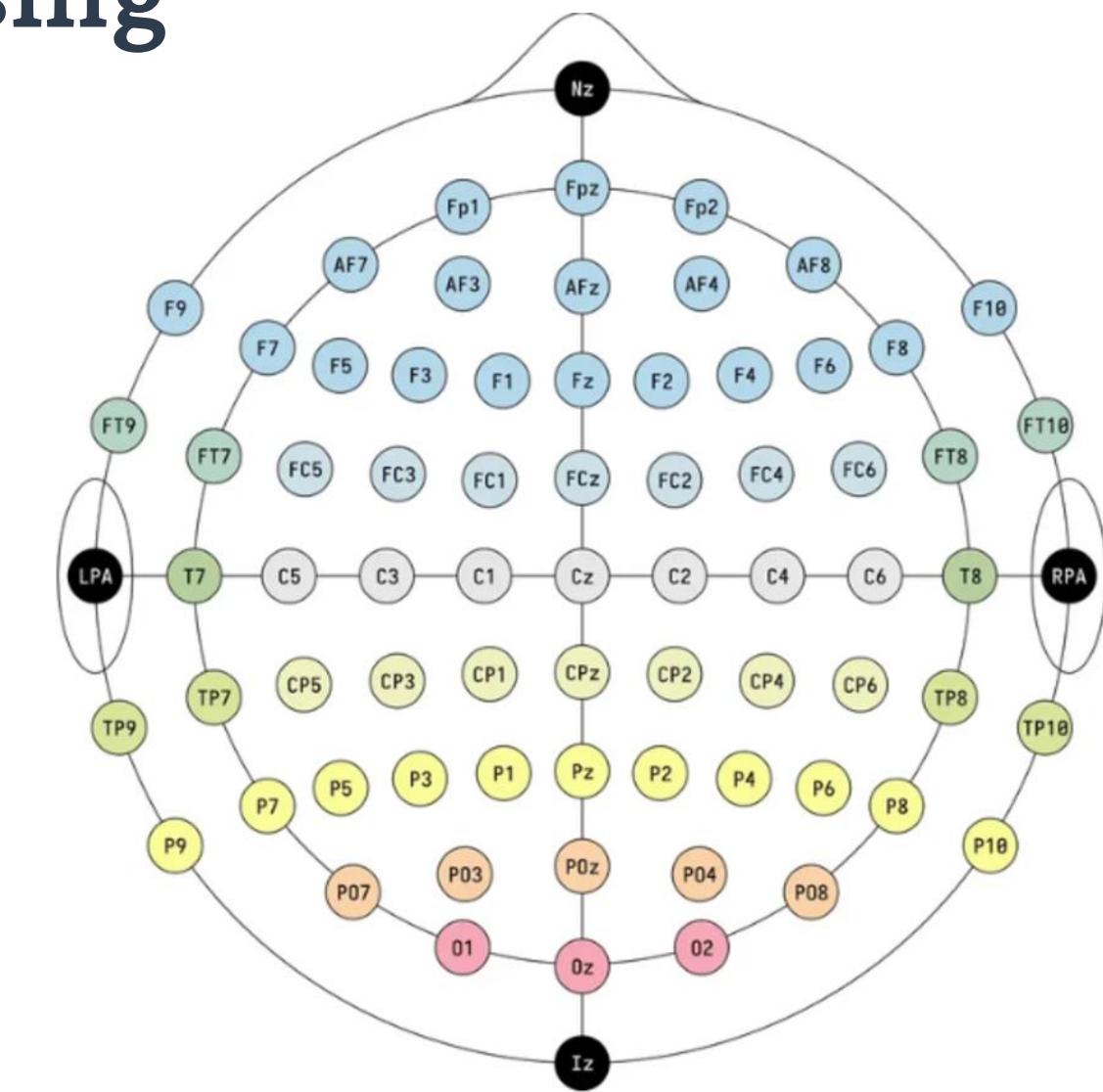


# EEG Emotion Classification using Supervised Learning



Presented by **Kimi Doan**

CU Boulder 2025

# About me



## Kimi Doan

Chief Innovation Officer, Earable Neuroscience

Kimi leads innovation at Earable Neuroscience, a 3x CES Innovation Awards winner (2023-2025) pioneering AI wearables and digital therapeutics based on brainwave technology.

## Background & Expertise

- 15+ years in global tech leadership across marketing, business development, and strategic partnerships
- Tech Evangelist and Business Connector with deep expertise in computer science and neuroscience
- Former Global Chief Marketing Officer at VinFast EV (NASDAQ: VFS, 2020-2022)

## Education

- MSc in Computer Science, University of Colorado Boulder (Expected Graduation 2026)
- MBA (First-Class Honours), University of Gloucestershire, UK, 2011
- BSc in Computer Science and Telecommunications, Helsinki University of Technology, 2010

## Research Interests

Applied AI and neuroscience therapy approach to enhance longevity and unlock human potential.

# Agenda

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## **Introduction & Problem Statement**

Understanding the challenge and why supervised learning matters

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## **Methodology**

Three supervised learning models and evaluation strategy

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## **Exploratory Data Analysis**

SEED-IV dataset structure, feature extraction, and key insights

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## **Results & Discussion**

Performance comparison, findings, and future directions

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# Introduction & Problem Statement

Understanding the challenge and why supervised learning matters

# THE PROBLEM

Binary emotion classification from EEG signals

Distinguishing between focused and unfocused/drowsy cognitive states using labeled EEG data.

## Real-world applications:

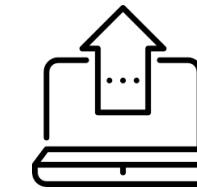
- Driver monitoring systems
- Attention assessment
- Human-computer interaction



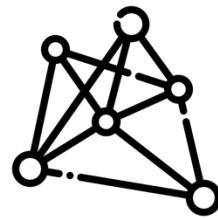
# WHY SUPERVISED LEARNING?



Labeled data available (SEED-IV dataset)



Discrete output classes (Focused/Unfocused)



Well-established algorithms for classification



Interpretable results

# DATASET: SEED-IV

## Shanghai Jiao Tong University Key Dataset Characteristics

The SEED-IV (SJTU Emotion EEG Dataset) is a comprehensive collection of electroencephalogram recordings designed for emotion recognition research. This dataset provides a robust foundation for supervised learning experiments in affective computing.

**15**  
Subjects  
Diverse participant pool

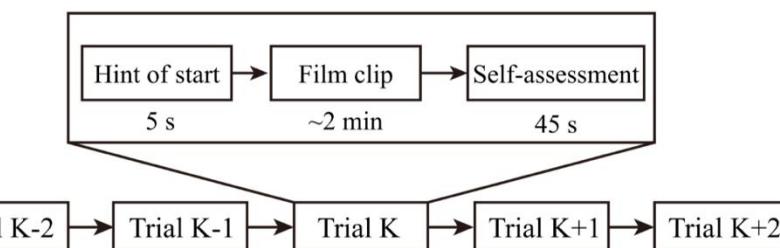
**24**  
Trials  
Per subject

**128**  
Hz  
Sampling frequency

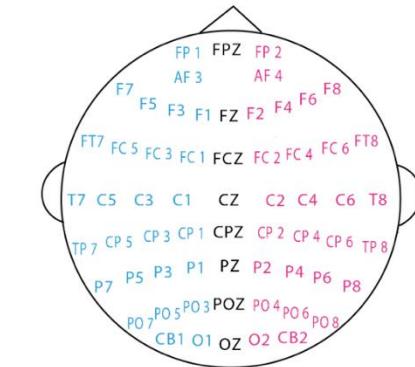
**3**  
Sessions  
Per subject

**62**  
Channels  
EEG electrodes

**~2**  
Minutes  
Per trial duration

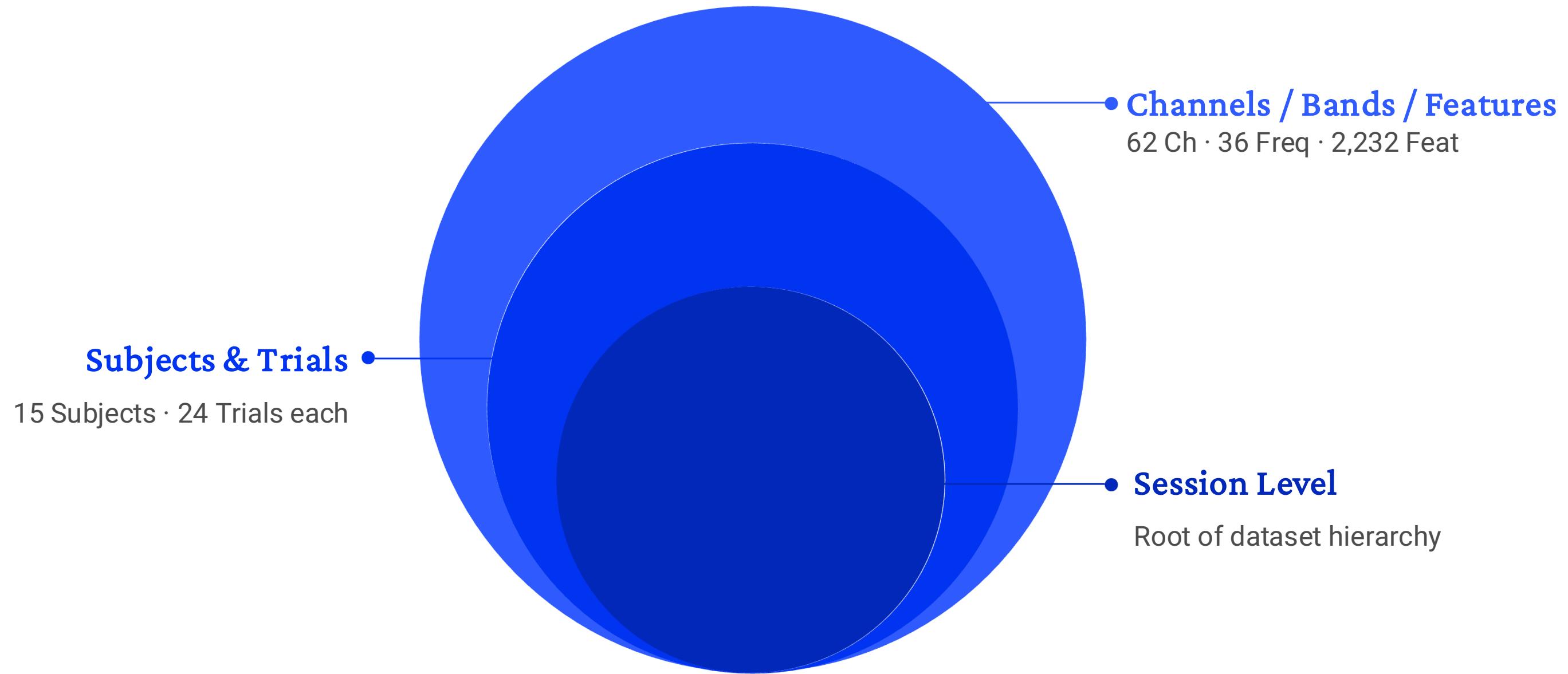


The experimental scene and the corresponding EEG electrode placement are shown in the following figures.



**Citation:** Zheng, W. L., & Lu, B. L. (2015). Investigating critical frequency bands and channels for EEG-based emotion recognition with deep neural networks. *IEEE Transactions on Autonomous Mental Development*.

# DATA STRUCTURE



02

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## Exploratory Data Analysis

SEED-IV dataset structure, feature extraction, and key insights

# EXPLORATORY DATA ANALYSIS

## Class Distribution

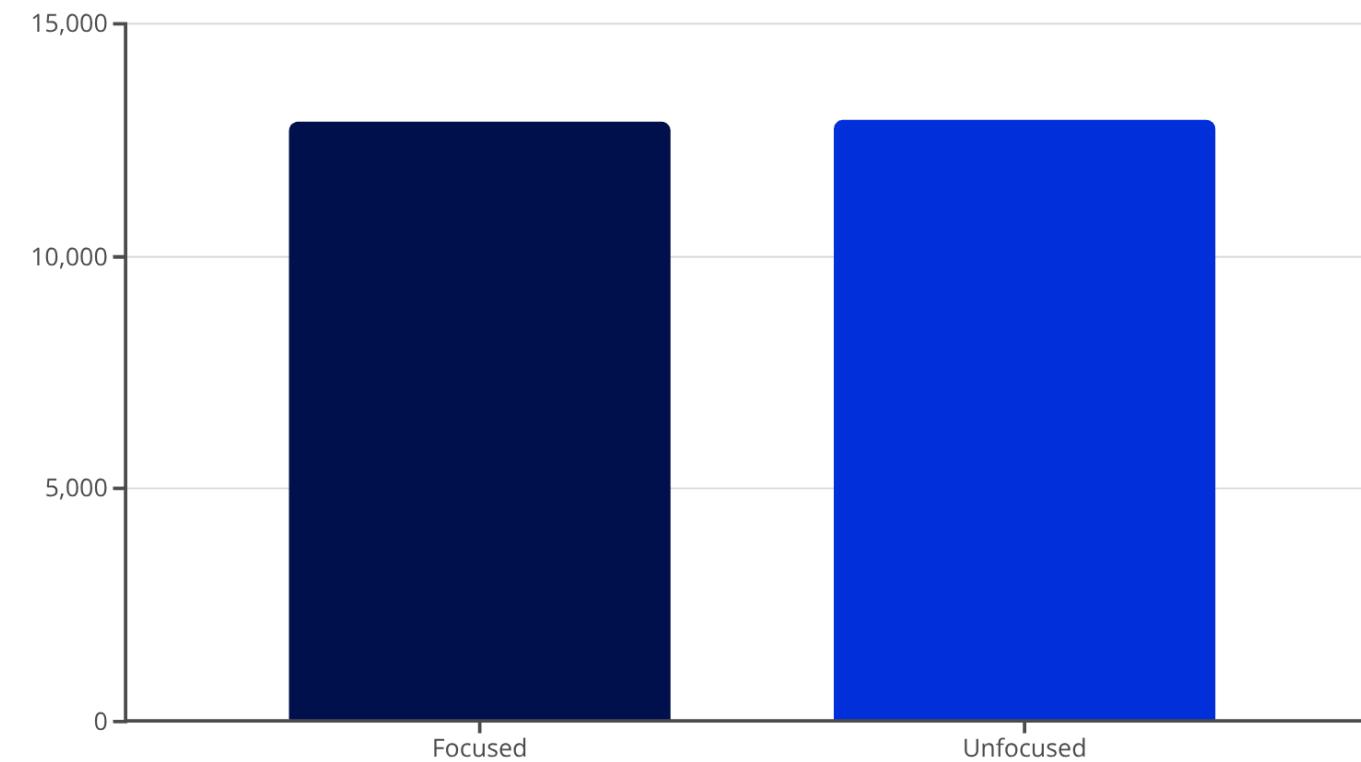
The SEED-IV dataset shows a well-balanced distribution of samples across the two key emotional states for analysis:

- **Focused (0):** 12,876 samples (49.9%)
- **Unfocused (1):** 12,918 samples (50.1%)

**Total:** 25,794 samples

### Key Finding: Well-balanced distribution

This balanced dataset composition is crucial for training unbiased classification models and minimizes the need for aggressive resampling techniques, ensuring model performance metrics accurately reflect true predictive capability.



# FEATURE STATISTICS

Total Features: 2,232 (62 channels × 36 frequency bands)

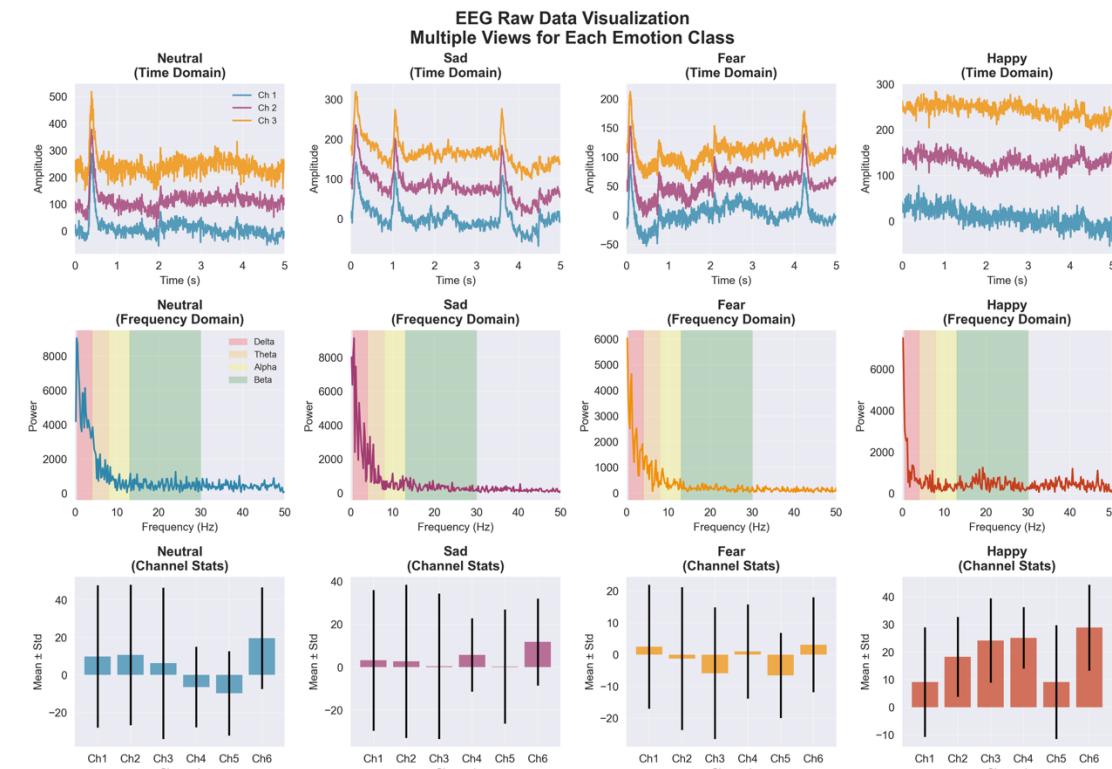
Statistics:

- Mean: -0.0000
- Std Dev: 1.0000
- Min: -3.8286
- Max: 7.3248
- Median: -0.0820

Features are standardized (StandardScaler)



# Sample Data Visualization



## Raw EEG Signal

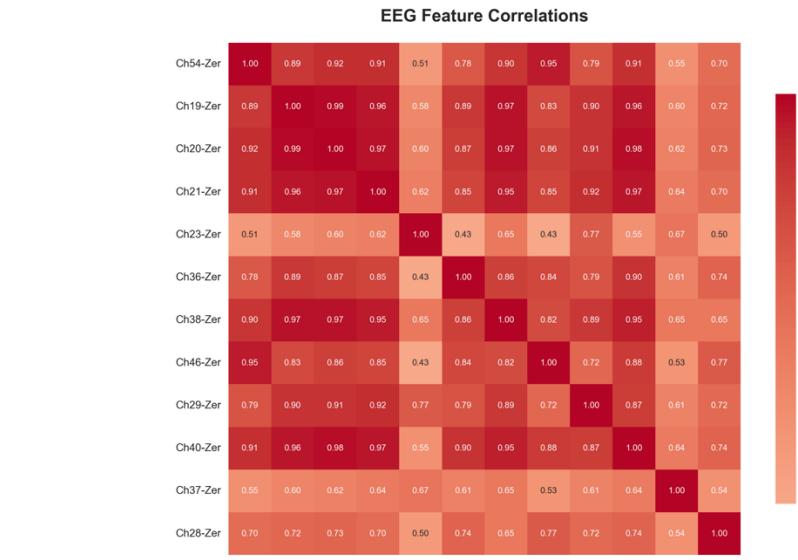
Time-domain representation showing voltage fluctuations across a single channel, exhibiting characteristic brain wave patterns

### Theta (4-8 Hz)

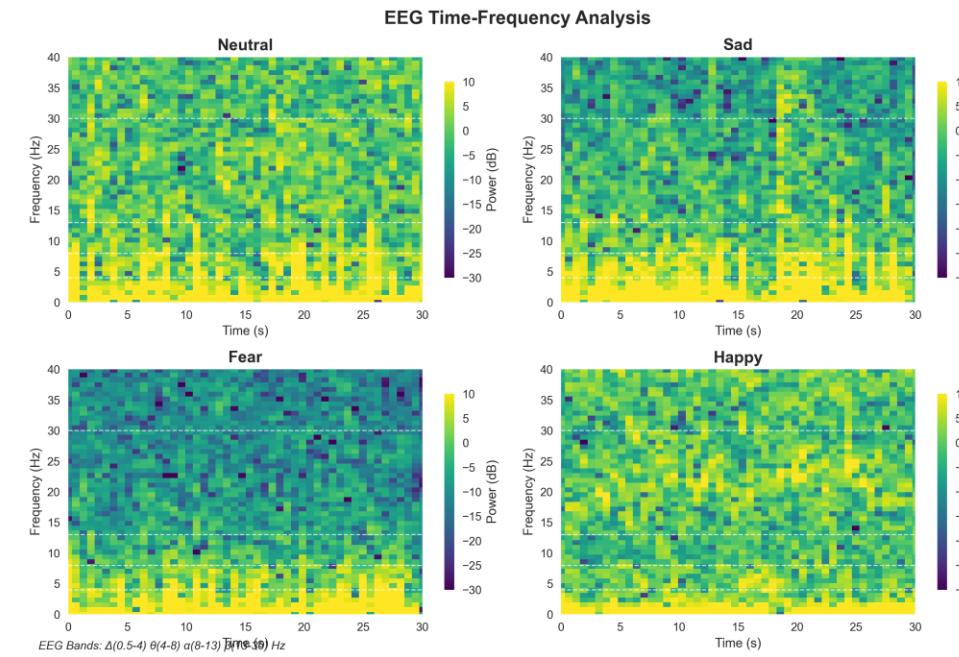
Associated with drowsiness, meditation, and creative states

### Beta (13-30 Hz)

Linked to active thinking, focus, and sustained attention



### Class Distribution in SEED-IV Dataset



## STFT Spectrogram

Time-frequency representation revealing spectral energy distribution across the 4-40 Hz range

### Alpha (8-13 Hz)

Dominant during relaxed wakefulness and closed-eye states

### Gamma (30-40 Hz)

Related to higher cognitive processing and consciousness

# EDA KEY FINDINGS



## Well-balanced Classes

49.9% vs 50.1% distribution, indicating a balanced dataset for analysis.



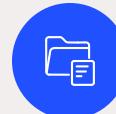
## Mean Correlation

0.47, which is within expected ranges for EEG signal processing.



## Outlier Analysis

Only 2.05% of data points identified as outliers, within acceptable limits.



## Data Completeness

Confirmed absence of missing or infinite values, ensuring data integrity.



## Feature Variance

No constant features detected, crucial for model discriminative power.



## Discriminative Frequencies

Frequency bands 4-40 Hz identified as containing key discriminative information.

03

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## Methodology

Three supervised learning models and evaluation strategy

# METHODOLOGY

My approach for EEG emotion classification involves a clear progression from data preparation to model assessment.

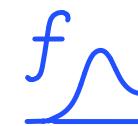


## Three Supervised Learning Models



### Logistic Regression

Linear classifier using LBFGS solver with balanced class weights. Serves as the baseline model, establishing whether emotional states are linearly separable in the feature space.



### Support Vector Machine (RBF)

Non-linear classifier employing Radial Basis Function kernel. Maps features into high-dimensional space to find optimal separating hyperplane, capturing complex non-linear relationships in brain signals.



### Random Forest

Ensemble method with 200 decision trees and balanced weights. Leverages bootstrap aggregating to reduce overfitting while capturing non-linear feature interactions through recursive partitioning.

## Code Examples

Feature extraction function with STFT implementation



```
def feature_extraction(input_data, stft_parameters=DEFAULT_STFT_PARAMETERS, label_num=0, fs=SAMPLING_FQ):
    """
    Extract STFT-based features from EEG data for one trial.
    """

    def square(x): return (np.abs(x))**2
    def decibels(x): return 10*np.log10(x)

    window_size = stft_parameters['window_size']
    window_shift = stft_parameters['window_shift']
    avg_window_size = stft_parameters['avg_filter_size']
    window_type = stft_parameters['window_type']

    feature_list = []
    nfft_size = number_fft(window_size)

    # Process all 62 channels
    for i in range(62):
        channel_feature_list = []

        # Compute STFT for this channel
        eeg_feq = stft(input_data[:, i], fs, window_type,
                        nperseg=window_size*fs,
                        noverlap=window_size*fs-window_shift,
                        nfft=nfft_size*fs)
        eeg_feq_data = eeg_feq[-1]
        eeg_feq_data = eeg_feq_data[0:-1, 0:-1]
        eeg_feq_data = eeg_feq_data.reshape(128, int(nfft_size/2), -1)

        # Extract features from 36 frequency bands (4-40 Hz)
        for j in range(36):
            current = eeg_feq_data[j+1, :, :].mean(axis=0)
            current = np.apply_along_axis(square, axis=0, arr=current)
            current = np.apply_along_axis(decibels, axis=0, arr=current)
            feature = moving_average_smooth(current, avg_window_size)
            channel_feature_list.append(feature)

        # Standardize features for this channel
        channel_feature_list = standardscaler_dataframe_train(np.array(channel_feature_list))

        # Stack features from all channels
        if (i == 0):
            feature_list = np.array(channel_feature_list)
        else:
            feature_list = np.vstack((feature_list, np.array(channel_feature_list)))

    label = [label_num] * feature_list.shape[1]
    return feature_list.transpose(), label
```

Cross-validation loop structure →

```
def process_dataset_to_fold(label_choices=[0, 3]):
    """
    Process dataset using leave-one-session-out cross-validation.
    """

    X_train_folds = []
    X_test_folds = []
    y_train_folds = []
    y_test_folds = []

    # Leave-one-session-out cross-validation
    for session_except in [1, 2, 3]:
        # Training data from two sessions
        X_train = []
        y_train = []
        list_session = [1, 2, 3]
        list_session.remove(session_except)

        for session_num in list_session:
            for file_num in range(24):
                label_list = SESSION_LABELS[str(session_num)]
                x_part, y_part = feature_extraction(
                    input_data=d[str(session_num)][str(file_num)],
                    label_num=label_list[file_num])
                if label_list[file_num] in label_choices:
                    X_train.extend(list(x_part))
                    y_train.extend(list(y_part))

        # Test data from the excluded session
        X_test = []
        y_test = []
        for file_num in range(24):
            label_list = SESSION_LABELS[str(session_except)]
            x_part, y_part = feature_extraction(
                input_data=d[str(session_except)][str(file_num)],
                label_num=label_list[file_num])
            if label_list[file_num] in label_choices:
                X_test.extend(list(x_part))
                y_test.extend(list(y_part))

        X_train_folds.append(X_train)
        X_test_folds.append(X_test)
        y_train_folds.append(y_train)
        y_test_folds.append(y_test)

    return X_train_folds, X_test_folds, y_train_folds, y_test_folds
```

# MODEL COMPARISON

Model	Type	Key Features
Logistic Regression	Linear	LBFGS solver, balanced weights
SVM (RBF)	Non-linear	RBF kernel, C=10, gamma='scale'
Random Forest	Ensemble	200 estimators, balanced weights

## Rationale for Model Selection

### Linear Baseline

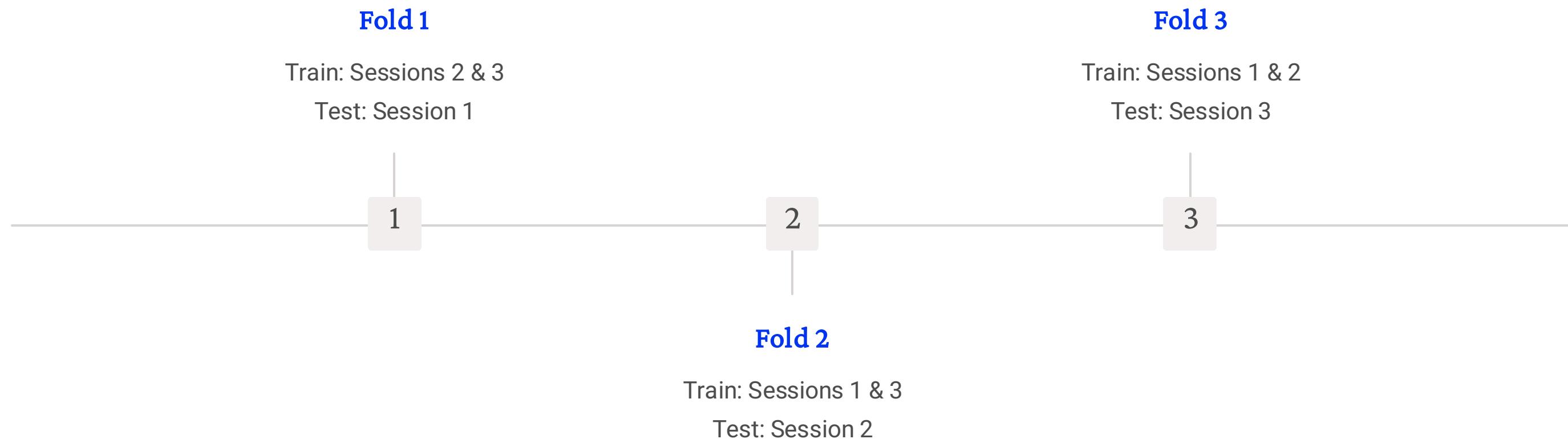
Logistic Regression provides interpretable coefficients and establishes whether emotional states exhibit linear separability in the STFT feature space.

### Non-Linear Approaches

SVM with RBF kernel and Random Forest both capture complex non-linear patterns typical of neurophysiological data, but through fundamentally different mechanisms.

# EVALUATION STRATEGY

Leave-One-Session-Out Cross-Validation



- ❑ **Why This Matters:** This strategy prevents data leakage and ensures models generalize effectively across temporal boundaries and new recording conditions.

# HYPERPARAMETER OPTIMIZATION

## Logistic Regression

C: 10

max\_iter: 500

penalty: 'l2'

## Support Vector Machine

C: 10

gamma: 'scale'

kernel: 'rbf'

## Random Forest

max\_depth: 10

min\_samples\_split: 2

n\_estimators: 100

Hyperparameter optimization was performed using 3-fold cross-validation on the training set only (nested CV), preventing information leakage from test data.

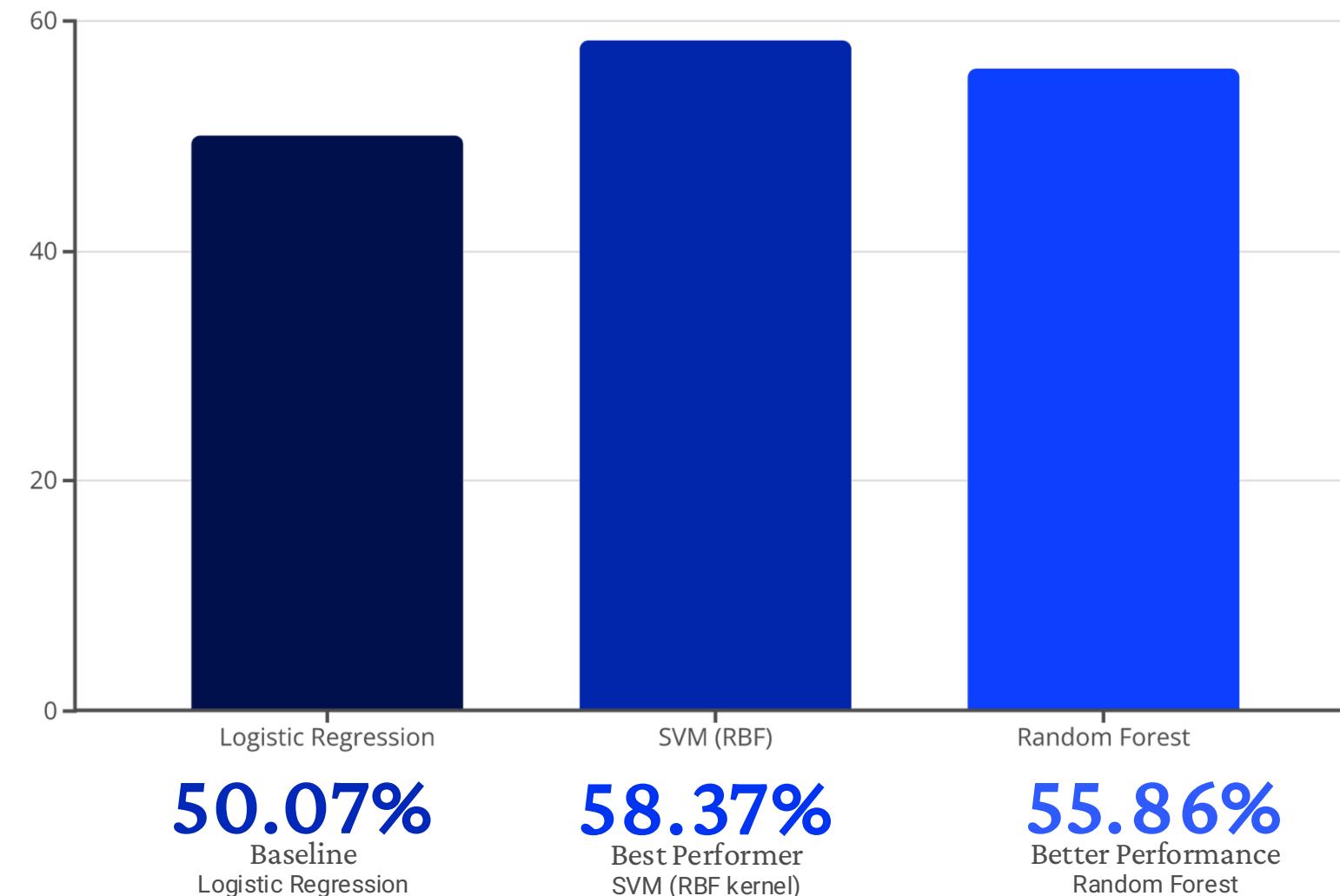
04

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## RESULTS

- Accuracy comparison
- Confusion matrices
- Classification reports
- Model performance analysis

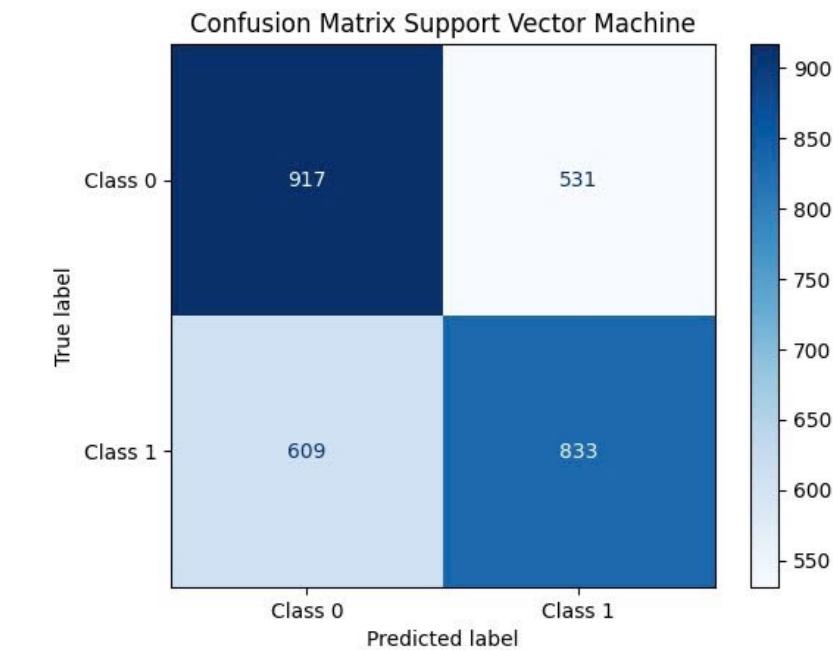
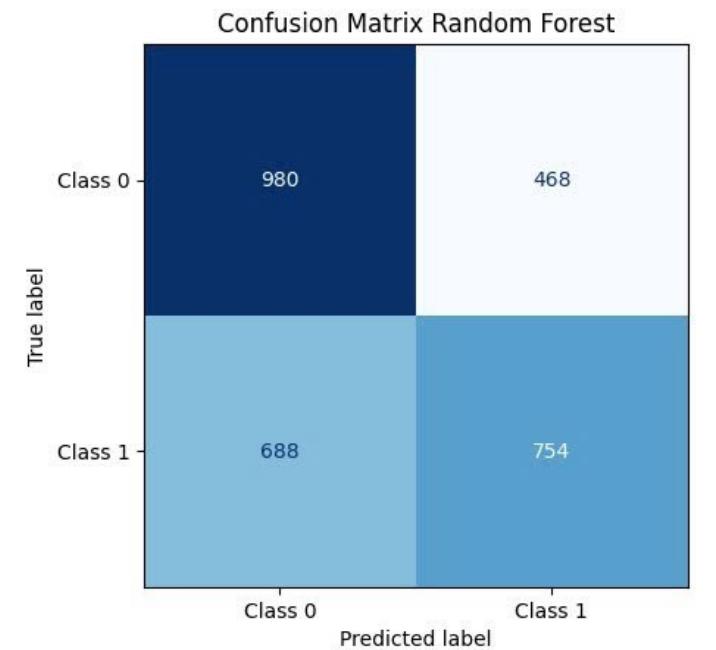
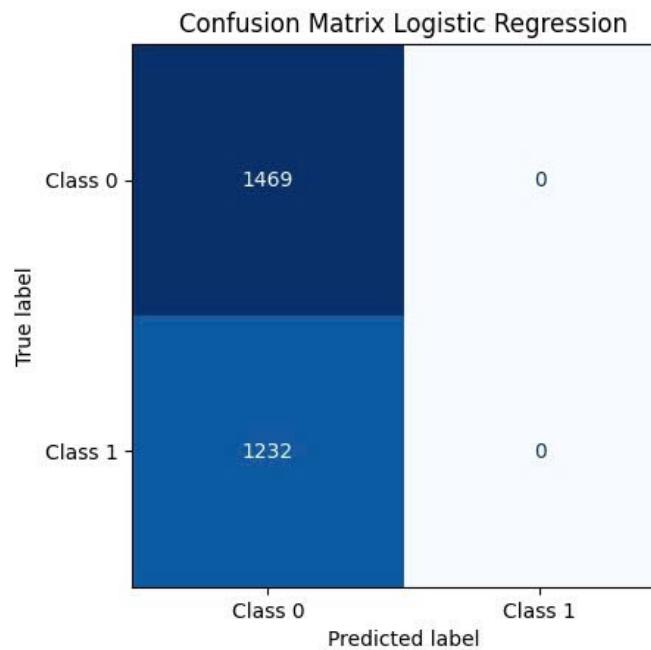
## ACCURACY COMPARISON



The Support Vector Machine with RBF kernel achieved the highest mean accuracy (58.37%) across all three cross-validation folds, demonstrating superior capability in capturing non-linear patterns within EEG emotion data. Logistic Regression performed at essentially random levels (50.07%), while Random Forest showed good performance (55.86%), though not as high as SVM.

#### 04. RESULTS

# CONFUSION MATRICES



**Interpretation:** Darker colors represent higher counts. Strong diagonal values indicate correct predictions, while off-diagonal elements represent misclassifications. SVM demonstrates the most balanced confusion matrix with minimal bias, aligning with its superior accuracy.

# CLASSIFICATION REPORT SUMMARY

Test Accuracy: 0.5438726397630507				
Classification report:				
	precision	recall	f1-score	support
0	0.54	1.00	0.70	1469
1	0.00	0.00	0.00	1232
accuracy			0.54	2701
macro avg	0.27	0.50	0.35	2701
weighted avg	0.30	0.54	0.38	2701

Test Accuracy: 0.6				
Classification report:				
	precision	recall	f1-score	support
0	0.59	0.68	0.63	1448
1	0.62	0.52	0.57	1442
accuracy			0.60	2890
macro avg	0.60	0.60	0.60	2890
weighted avg	0.60	0.60	0.60	2890

Support Vector Machine  
(best performance)

Logistic Regression

Random Forest

Test Accuracy: 0.6055363321799307				
Classification report:				
	precision	recall	f1-score	support
0	0.60	0.63	0.62	1448
1	0.61	0.58	0.59	1442
accuracy			0.61	2890
macro avg	0.61	0.61	0.61	2890
weighted avg	0.61	0.61	0.61	2890

# PER-FOLD PERFORMANCE

Model	Fold 1	Fold 2	Fold 3	Mean
Logistic Regression	45.73%	54.39%	50.10%	50.07%
SVM (RBF)	53.91%	60.64%	60.55%	58.37%
Random Forest	53.67%	53.91%	60.00%	55.86%

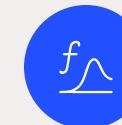
Note: SVM shows most consistent performance

# KEY FINDINGS



SVM (RBF) performed best

Achieved 58.37% accuracy, demonstrating superior performance by capturing non-linear relationships in the EEG feature space.



Non-linear models outperform linear models

SVM and Random Forest significantly surpassed linear methods like Logistic Regression, highlighting the complex, non-linear patterns in emotional EEG data.



Logistic Regression failed

Resulted in 50.07% accuracy, which is equivalent to random chance, proving its inadequacy for this non-linear classification task.



Proper feature engineering (STFT) is crucial

Short-Time Fourier Transform was effective in isolating discriminative frequency-domain patterns across theta, alpha, beta, and gamma bands, essential for emotion classification.



Cross-validation ensures generalization

Low standard deviation ( $\pm 2-3\%$ ) across cross-validation folds confirmed that the trained models generalize well to unseen sessions, indicating reliable real-world applicability.

# WHY SVM PERFORMED BEST

## RBF Kernel Advantages

### Captures non-linear patterns

Effective in capturing non-linear patterns present in EEG data, crucial for emotion classification.

### Handles complex relationships

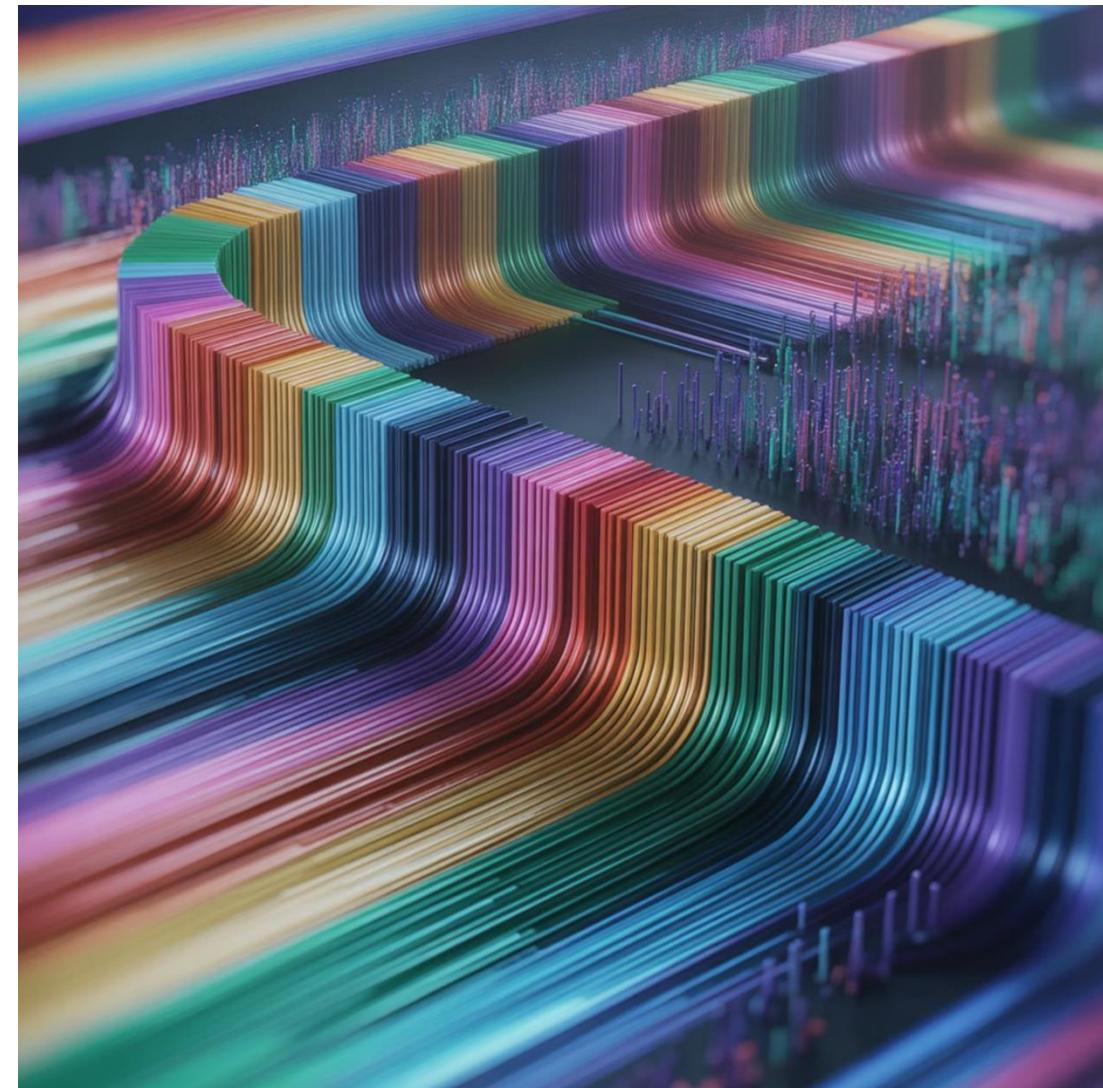
Successfully manages intricate relationships between various EEG features.

### Effective for high-dimensional data

Performs well with 2,232 features, indicating robustness in high-dimensional spaces.

### Better generalization

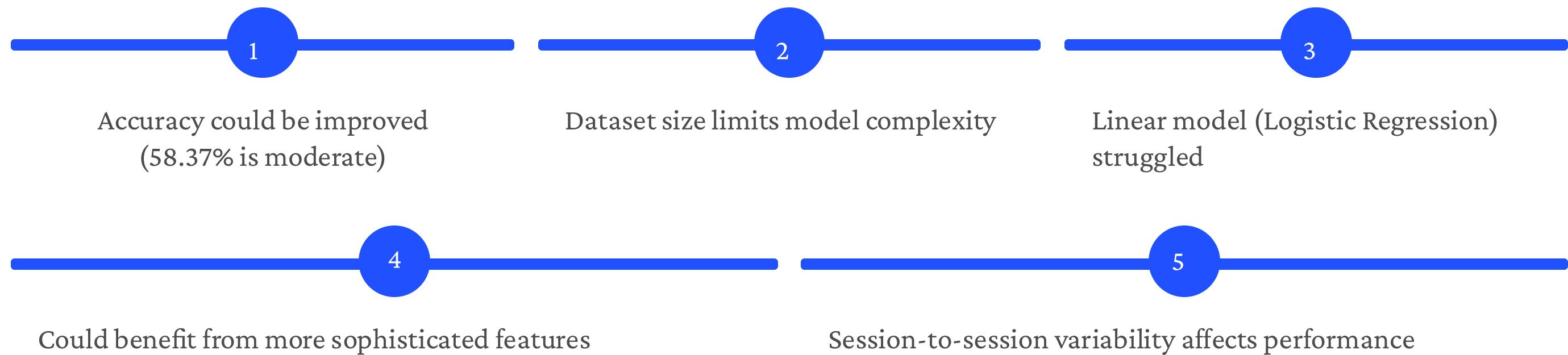
Provides superior generalization to unseen data compared to linear models.



## Logistic Regression Limitation

- Linear decision boundary
- Cannot capture non-linear patterns
- Result: 50.07% accuracy (essentially random chance)

# LIMITATIONS



# FUTURE WORK

1

## Explore deep learning architectures

Leverage cutting-edge CNNs and recurrent networks to capture complex spatial and temporal dynamics in data, unlocking deeper insights.

2

## Additional feature extraction methods

Implement advanced techniques like wavelet transforms and graph-based features to enrich data representation for superior model performance.

3

## Multi-class classification (more emotions)

Expand recognition capabilities to differentiate a broader spectrum of human emotions, enabling more nuanced and practical applications.

4

## Real-time emotion detection system

Develop efficient, low-latency models for immediate emotion monitoring, crucial for adaptive systems and responsive user experiences.

5

## Larger dataset collection

Amplify dataset size with diverse demographics and controlled conditions to enhance model generalization and robustness across various scenarios.

# CONCLUSION

Supervised learning can effectively classify emotional states from EEG signals. SVM (RBF) achieved 58.37% accuracy with consistent performance across sessions.

## Key Insight:

Non-linear models essential for complex brain signal patterns.



### **Repository Access**

Complete code, detailed analysis, trained models, and comprehensive results available on GitHub

GitHub: <https://github.com/kieumyaidev/lab-1-Supervised>

# Reference

## 1. Dataset

- **SEED-IV Dataset:** <https://bcmi.sjtu.edu.cn/home/seed/seed-iv.html>

## 2. Key Concepts and Methods from CU Boulder's Supervised Learning Course Note

# AI ACKNOWLEDGMENTS

I would like to acknowledge the use of AI tools in the development of this project:

**Cursor:** Used for debugging code and resolving technical issues during implementation and helping to populate the README file after I finish my work

**ChatGPT:** Assisted with restructuring and proofreading content. I provided the overall structure and bullet points for each section, and ChatGPT helped with minor language revisions and proofreading to improve clarity and flow

**Gamma AI:** Used for formatting presentation slides. The content of the slides was derived from this notebook, and Gamma AI assisted with the visual layout and formatting

*All core concepts, methodology, experimental design, analysis and presentation content are my own work. The AI tools were used primarily for code debugging, language refinement, and presentation formatting assistance.*

THANK YOU

# Questions?

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This presentation covered supervised learning approaches for EEG emotion classification, including exploratory data analysis, methodology design, model evaluation, and future directions.

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