Family Name

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**School of Computing and** 

**Information Technology** 

## **CSCI317**

# **Database Performance Tuning**

This paper is for students studying at the Singapore Institute of Management Pte Ltd.

#### S3-2020 FINAL EXAMINATION

Date: ???

Time: ???

Exam value: 40% of the subject assessment

Marks available: 40 marks

#### **DIRECTIONS TO CANDIDATES**

- (1) The answers to the questions included in the final examination must be hand written with a BLACK or DARK BLUE PEN on the WHITE PIECES of paper in A4 format. No pencil and no other colour of paper is allowed.
- (2) When finished, take the pictures of the hand-written solution, save the pictures in files (jpeg, jpg, gif, bmp, png formats are all acceptable), and submit the files through Moodle. Using mobile phone cameras is all right. It is possible to take more than one picture per answer to assure the good readability of an answer. The marks will be deducted for submissions in the different formats. No more than 20 files can be submitted and no more than 200Mbytes can be submitted. Please well plan your pictures.
- (3) The file must have the names indicating a number of the respective question in the final examination paper like q1, q2, ... and q1-1, q1-2, ... when more than one picture is used for an answer of a question. Marks will be deducted for the incorrect file names.
- (4) All answers including the drawings must be hand written. No printed material will be evaluated.
- (5) Marks will be deducted for the late submissions at a rate of 1 mark per 1 minute late.

#### Introduction

The questions 2, 4, 5, and 6 of the examination paper are related to the following simplified version of TPC-H benchmark database used in the laboratory classes.

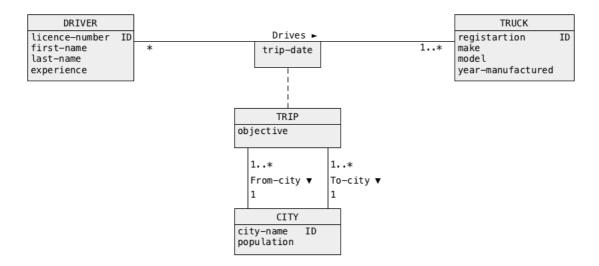
```
CUSTOMER (
                                           NOT NULL,
C CUSTKEY
                    NUMBER (12)
C_NAME VARCHAR(25) NOT NULL,
C_ADDRESS VARCHAR(40) NOT NULL,
C_NATIONKEY NUMBER(12) NOT NULL,
C_ACCTBAL NUMBER(6) NOT NULL,
C_PHONE NUMBER(12) NOT NULL,
CONSTRAINT CUSTOMER PKEY PRIMARY KEY (C CUSTKEY) );
PART (
P_PARTKEY NUMBER(12)
P_NAME VARCHAR(55)
                                         NOT NULL,
P_NAME VARCHAR(55) NOT NULL,
P_BRAND CHAR(10) NOT NULL,
P_SIZE NUMBER(12) NOT NULL,
P_RETAILPRICE NUMBER(12,2) NOT NULL,
CONSTRAINT PART PKEY PRIMARY KEY (P PARTKEY) );
PARTSUPP (
                    NUMBER (12)
PS PARTKEY
                                         NOT NULL,
PS_SUPPNAME VARCHAR(55) NOT NULL,
PS_AVAILQTY NUMBER(12) NOT NULL,
CONSTRAINT PARTSUPP_PKEY PRIMARY KEY (PS_PARTKEY, PS_SUPPNAME),
CONSTRAINT PARTSUPP FKEY FOREIGN KEY (PS PARTKEY)
            REFERENCES PART (P PARTKEY) );
ORDERS (
O_ORDERKEY NUMBER(12) NOT NULL,
O_CUSTKEY NUMBER(12) NOT NULL,
O_TOTALPRICE NUMBER(12,2) NOT NULL,
O_ORDERDATE DATE NOT NULL,
CONSTRAINT ORDERS PKEY PRIMARY KEY (O ORDERKEY),
CONSTRAINT ORDERS FKEY1 FOREIGN KEY (O CUSTKEY)
                     REFERENCES CUSTOMER (C CUSTKEY) );
LINEITEM (
L_ORDERKEY NUMBER(12) NOT NULL,
L_PARTKEY NUMBER(12) NOT NULL,
L_LINENUMBER NUMBER(12) NOT NULL,
L_QUANTITY NUMBER(12,2) NOT NULL,
L_SHIPDATE DATE NOT NULL,
L_TAX NUMBER(4,2) NOT NULL,
       CONSTRAINT LINEITEM PKEY PRIMARY KEY (L ORDERKEY, L LINENUMBER),
       CONSTRAINT LINEITEM FKEY1 FOREIGN KEY (L ORDERKEY)
                    REFERENCES ORDERS (O ORDERKEY),
       CONSTRAINT LINEITEM FKEY2 FOREIGN KEY (L PARTKEY)
                      REFERENCES PART (P PARTKEY) );
```

Assume that, the relational tables listed above occupy the following amounts of disk storage:

```
CUSTOMER 100 Mbytes
PART 40 Mbytes
PARTSUPP 100 Mbytes
ORDERS 200 Mbytes
LINEITEM 700 Mbytes
```

Question 1 (7 marks)

The following conceptual schema represents a database domain where the drivers use the trucks for the trips from city to city. We assume that a driver can make at most one trip per day. Each trip has an objective, like for example delivery of the ordered items, collection of parcels to be delivered to another place, etc. All other attributes are self-explanatory.



(1) Perform simplification of the conceptual schema above and re-draw the simplified conceptual schema.

(2 marks)

(2) We would like to improve the performance of the following class of applications:

Find the first and the last names of drivers (attributes first-name, last-name in a class DRIVER) who travelled between two given cities (attribute city-name in a class CITY) and used a vehicle manufactured before a given date (attribute year-manufactured in a class TRUCK).

The following application belongs to the class of applications given above.

Find the first and the last names of drivers who travelled from Dapto to Sydney and used an old vehicle manufactured before a year 2000.

Find the denormalizations of the simplified conceptual schema that improves the performance of the class of applications described above. Re-draw the simplified conceptual schema after the denormalizations.

(5 marks)

Question 2 (7 marks)

Consider the following fragment of query processing plan.

Id	Operation	I	Name	I	Rows	Bytes	TempSpc	Cost	(%CPU)	Time	
0    * 1    * 2    * 3    * 4	SELECT STATEMENT HASH JOIN TABLE ACCESS FULL HASH JOIN RIGHT ANTI TABLE ACCESS FULL TABLE ACCESS FULL		CUSTOMER LINEITEM		317K  317K  40091   317K  150K  450K	39M 39M 430K 35M 1318K 46M	       3080K  	17968 17968 390 17577 12153	(1)   (1)   (1)   (1)	00:00:01 00:00:01 00:00:01 00:00:01 00:00:01	

Predicate Information (identified by operation id):

```
1 - access("O_CUSTKEY"="C_CUSTKEY")
2 - filter("C_ACCTBAL">200)
3 - access("O_ORDERKEY"="L_ORDERKEY")
4 - filter("L_TAX">0.1)
5 - filter("O_CUSTKEY">=0)
```

SQL> -- Explaining plan for the given query processing plan

(1) Find and draw a syntax tree of the query processing plan listed above. To draw a syntax tree, use the relational algebra operations (and NOT Oracle query processing plan operations) explained during the lecture classes.

(3 marks)

(2) Discover and write SELECT statement that may have a query processing plan listed above.

(4 marks)

```
SQL> explain plan for
      select *
  3 from orders join customer
  4 on o_custkey = c_custkey
5 where o_orderkey not in
    (select l_orderkey from lineitem where l_tax > 0.1)
  7 and o_custkey >= 0
8 and c_acctbal > 200;
Explained.
SOL>
SQL> -- Displaying the explained plan SQL> SELECT * FROM TABLE(DBMS_XPLAN.DISPLAY);
PLAN TABLE OUTPUT
Plan hash value: 1426367367
| Id | Operation
                                      | Name | Rows | Bytes |TempSpc| Cost (%CPU)| Time
          SELECT STATEMENT
                                                         379K|
                                                                                     20967
                                                                   100MI
                                                                                                (1)|
                                                                                                      00:00:01
                                                         379K
           HASH JOIN
                                                                   100M
                                                                                                      00:00:01
                                                                                     20967
                                                                                                (1)
            TABLE ACCESS FULL | CUSTOME
HASH JOIN RIGHT ANTI
TABLE ACCESS FULL | LINEITE
TABLE ACCESS FULL | ORDERS
                                        CUSTOMER
                                                      40091
                                                                  6225K
                                                                                       390
                                                                                                (1)
                                                                                                      00:00:01
                                                                                     17917
                                                                            1536K
    3
                                                         379KI
                                                                    42M i
                                                                                                (1)
                                                                                                      00:00:01
                                                       74757
                                                                   657K
                                                                                     12153
                                                                                                      00:00:01
Predicate Information (identified by operation id):
   1 - access("0 CUSTKEY"="C CUSTKEY")
2 - filter("CŪSTOMER"."C ĀCCTBAL">200 AND "C_CUSTKEY">=0)
   3 - access("ORDERS"."0_ORDERKEY"="L_ORDERKEY")
   4 - filter("L_TAX">0.1)
5 - filter("ORDERS"."O_CUSTKEY">=0)
21 rows selected.
```

```
-- Explaining plan for the given query processing plan explain plan for select * from orders join customer on o_custkey = c_custkey where o_orderkey not in (select l_orderkey from lineitem where l_tax > 0.1) and o_custkey >= 0 and c_acctbal > 200;
-- Displaying the explained plan SELECT * FROM TABLE(DBMS_XPLAN.DISPLAY);
SPOOL OFF
```

Question 3 (6 marks)

A relational table PARTSUPP contains information about the part supplied by suppliers.

```
PARTSUPP(supplier#, part#, quantity, shipdate)
```

A relational table PARTSUPP has a composite primary key (supplier#, part#, shipdate)

#### Assume that:

- (i) a relational table PARTSUPP occupies 5000 data blocks,
- (ii) a blocking factor in a relational table PARTSUP is 100 rows per block,
- (iii) a relational table PARTSUPP contains information about 100 suppliers,
- (iv) a relational table PARTSUPP contains information about 500 parts,
- (v) a primary key is automatically indexed,
- (vi) an attribute part# is indexed,
- (vii) all indexes are implemented as B\*-trees with a fanout equal to 20,
- (viii) a leaf level of an index on attribute part# consists of 50 data blocks,
- (ix) a leaf level of an index on primary key consists of 700 data blocks.

For each one of the following queries briefly describe how the database system processes each query and estimate the total number of read block operations needed to compute each query.

```
(1) SELECT quantity
FROM PARTSUPP
WHERE supplier# = 7 AND part# = 1 AND shipdate ='01-DEC-2019';
(2) SELECT quantity
FROM PARTSUP
WHERE part# = 100 OR shipdate > '01-JAN-2020';
(3) SELECT part#, COUNT(*)
FROM PARTSUPP
GROUP BY part#;
(4) SELECT supplier#, part#, quantity
FROM PARTSUPP
ORDER BY supplier#, part#;
```

```
(5) SELECT COUNT(*)
  FROM PARTSUP
  WHERE quantity > 1000 AND shipdate > '01-JAN-2020';
```

```
(6) SELECT *
   FROM PARTSUP
   WHERE part# = 12345;
```

Question 4 (6 marks)

Consider the following SELECT statements.

- (1) SELECT C\_NATIONKEY, COUNT(\*)
   FROM CUSTOMER
   GROUP BY C NATIONKEY;
- (2) SELECT C\_NATIONKEY, C\_ACCTBAL FROM CUSTOMER ORDER BY C NATIONKEY, C ACCTBAL
- (3) SELECT COUNT(C\_PHONE)
   FROM CUSTOMER;
- (4) SELECT C\_NATIONKEY, SUM(C\_ACCTBAL)
   FROM CUSTOMER
   GROUP BY C NATIONKEY;
- (5) SELECT \*
  FROM CUSTOMER
  WHERE C NATIONKEY = 12345 AND C NAME = 'JAMES'
- (6) SELECT C\_NAME
   FROM CUSTOMER
   WHERE C ACCTBAL =100;
- (1) Find the smallest number of indexes that improve performance of all queries listed above.
  (3 marks)
- (2) For each query briefly explain how the indexes will be used to process a query.

(3 marks)

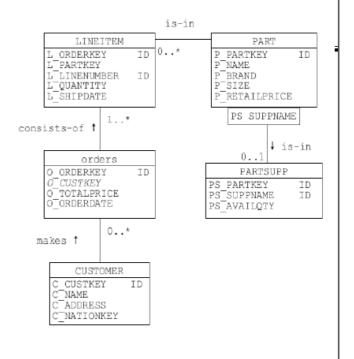
# Question 2

SELECT (SELECT COUNT( DISTINCT O\_TOTALPRICE )
 FROM ORDERS) PTOTAL,
 (SELECT COUNT( DISTINCT O\_ORDERDATE)
 FROM ORDERS) DTOTAL

FROM DUAL;

Since both the attributes o\_totalprice and o\_orderdate are not found in any of the indexes, the query processor will perform a full-table scan on the table ORDERS. Retrieval can be improved if the following index is created:

create index ordersIdx1 on
ORDERS(o\_orderdate, o\_totalprice);



Question 5 (6 marks)

Consider the following SELECT statements.

```
(1) SELECT C CUSTKEY
   FROM CUSTOMER
   WHERE ( SELECT COUNT(*)
           FROM ORDERS
           WHERE ORDERS.O CUSTKEY = CUSTOMER.C CUSTKEY ) > 10;
(2) SELECT DISTINCT (SELECT COUNT(*)
                    FROM PART P
                    WHERE P.P BRAND = PART.P BRAND) TOTAL, P BRAND
   FROM PART;
(3) CREATE INDEX IDX ON PART (P NAME);
   SELECT *
   FROM PART
   WHERE (UPPER(P NAME) = 'BOLT' AND P RETAILPRICE > 2);
   DROP INDEX IDX;
(4) SELECT O ORDERKEY, O CUSTKEY
   FROM ORDERS
   WHERE O TOTALPRICE > 10
    UNION
   SELECT O ORDERKEY, O CUSTKEY
   FROM ORDERS
   WHERE O TOTALPRICE < 5;
```

Find and write more efficient implementations of SELECT statements listed above.

Question 6 (8 marks)

Consider a fragment of simple JDBC application listed below. It is a typical example of a pretty poor, from performance point of view, JDBC program. Rewrite a code written below to improve the performance of the application it is included in. There is no need to write the entire JDBC application.

Explain all details why your version of JDBC code is more efficient than the original one.

## **End of Examination**