

# Introduction to Number Theory

Killian O'Brien

6G6Z0024 Applied Cryptography

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# Introduction to the unit

• Teaching team: Dr Killian O'Brien and Dr Safiullah Khan





See Moodle for contact details.

- 6G6Z0024 Applied Cryptography (15 credits)
- Timetable
- Let's look at the <u>Moodle</u> page for the unit.

### **Introduction to Number Theory**

We deal with the positive and negative *counting* numbers, more properly named the *integers*, and denoted by the symbol  $\mathbb{Z}$ , (coming from the German *Zahl*, for number)

- $\mathbb{Z} = \{..., -3, -2, -1, 0, 1, 2, 3, ...\}$
- $\mathbb{Z}$  is an *infinite* set.
- $\mathbb{Z}$  obviously carries the operations of addition, +, and multiplication, ·, that you've known from primary school.

Modern cryptography relies heavily on techniques and facts from *number theory*, which is the mathematical study of the integers and their properties under + and ·.

#### Topics we need to know

- The divisibility relation on  $\mathbb{Z}$ .
- Greatest Common Divisors (gcd) and the Euclidean Algorithm.
- The congruence relation and modular arithmetic.
- Prime numbers and
  - The Fundamental Theorem of Arithmetic and prime factorizations
  - Fermat's Little Theorem
  - Euler's totient function
  - Euler's theorem
  - Primality testing, the Miller-Rabin test
- The Chinese Remainder Theorem
- Discrete logarithms

All these covered in <u>Stallings, Chapter 2: Introduction to Number Theory.</u>

# The divisibility relation

- Recall, a *relation* in computer science / mathematics is a formula  $A(x_1, ..., x_n)$ , so that when values are supplied for the variables  $x_1, ..., x_n$ , results in a *statement*  $A(x_1, ..., x_n)$ , i.e. something which is true or false.
- For a pair of integers a, b, with  $b \ne 0$ , we say b divides a, and write  $b \mid a$  if there exists an integer c such that  $a = b \cdot c$ ,

and if no such integer c exists then we say b does not divide a, and can write  $b \nmid a$ .

- So  $b \mid a$  is a binary relation on a, b, i.e. a statement that is true or false, depending on the values of a, b.
- If  $b \mid a$  then we say b is a factor or divisor of a.

#### Examples

- 3 | 15, 5 | 15, 1 | 15, 15 | 15.
- 3 ∤ 10, 17 ∤ 20.

#### **Properties of divisibility**

The divisibility relation enjoys the following properties, which can all be demonstrated (and proved) using its definition and basic properties of the integers.

- If a|1 then  $a = \pm 1$ , i.e. a = -1 or a = +1.
- If a|b and b|a then  $a = \pm b$ .
- For all non-zero integers b, we have b|0, i.e. everything divides 0.
- If a|b and b|c then a|c, i.e. the divisibility relation is transitive, it travels through intermediaries.
- If x|y and x|z then for all pairs of integer coefficients  $\alpha$ ,  $\beta$ , we have

$$x|(\alpha \cdot y + \beta \cdot z),$$

i.e. x divides all *linear combinations* of y and z.

To familiarise yourself with these, work through some examples of the transitivity of divisibility and the divisibility of linear combinations.

# The integer division algorithm

Do you remember this kind of thing from primary school?

- 20 divided by 3, goes in 6 times, with remainder 2.
- $20 = 6 \cdot 3 + 2$

The *integer division algorithm* is simply a formalization of this. It is:

- Given any postitive integer *n* and any non-negative integer *a*, we can divide *a* by *n* to get an integer quotient *q* and remainder *r* that satisfy
- a = qn + r, and  $0 \le r < n$ , and  $q = \lfloor a/n \rfloor$
- [x] is defined as the largest integer less than x, the so-called *floor* function.

# **Greatest Common Divisors (gcd)**

We write gcd (a, b) for the greatest common divisor of a and b. So gcd is defined by

- gcd(a, b) = d, where d is the alrgest integer that divides both a and b.
- For neatness, we also define gcd(0,0) = 0.

#### For example

- gcd(60, 24) = 12, gcd(100, 75) = 25, gcd(15, 32) = 1.
- Note that, by its definition, gcd will always be non-negative, i.e. gcd (−60, 24) = 12.

For small arguments a, b, we can calculate gcd (a, b) in our heads, so to speak.

- gcd(25,3) = ?, gcd(99,27) = ?, ...
- But what about gcd (12349878973245, 324765)?

# The Euclidean Algorithm

In fact there is a classic algorithm that can quickly determine gcd, and establishes the following, non-obvious fact,

• gcd (a, b) is the smallest postitive integer d that can be written in the form

$$d = x \cdot a + y \cdot b$$
,

for integer coefficients x, y.

The Euclidean algorithm was known to ancient mathematicians and has severl important uses and generalisations in mathematics and cryptography.

#### The Euclidean Algorithm

A detailed treatment is given in Stallings. The algorithm depends on the following property of gcd.

• If a = qn + r then gcd(a, n) = gcd(n, r).

#### This is true because

- if d is a common divisor of a and n, then since r = a qn, i.e. r is a linear combination of a and n, then d divides r also. And so d is a common divisor of n and r.
- Similarly we can show that if e is a common divisor of n and r, then e divides a also. And so e will be a common divisor of a and n.
- So the pairs (a, n) and (n, r) have the exact same set of common divisors.
- Therefore,

$$gcd(a, n) = gcd(n, r).$$

# The Euclidean Algorithm

The algorithm works by repeatedly applying the property from the last slide, to a sequence of integer divisions, until the gcd is clear. Best seen with a worked example

- What is gcd (710, 310)?
- $710 = 2 \cdot 310 + 90$  so gcd(710, 310) = gcd(310, 90),
- $310 = 3 \cdot 90 + 40$  so gcd(310, 90) = gcd(90, 40),
- $90 = 2 \cdot 40 + 10 \text{ so gcd } (90, 40) = \text{gcd } (40, 10),$
- $40 = 4 \cdot 10 + 0$  so gcd(40, 10) = gcd(10, 0) = 10.

#### Note that

- The algorithm will terminate, since the remainders are a strictly decreasing sequence of non-negative integers.
- By definition of divisibility, gcd(x, 0) = x, for all integers x.
- The gcd equations associated to the integer divisions all link together.
- So we can conclude that

$$gcd(710, 310) = 10.$$

See Stallings for the full detail, a flowchart specification of the algorithm, and more examples.

# The mod operator and the congruence relation

For an integer a and a positive integer n we say that a modulo n is the remainder r in the integer division of a by n.

- $a = qn + r, 0 \le r < n$
- We write  $(a \mod n) = r$ .
- *n* is called the *modulus* in this expression.

#### For example

•  $(11 \mod 7) = 4 \text{ and } (-11 \mod 4) = 1.$ 

There is an associated binary relation here. We say that two integers a and b are congruent modulo n, written as

$$a \equiv b \pmod{n}$$
,

if

- $(a \mod n) = (b \mod n)$
- That is, if a and b leave the same remainder, after division by n.

# The mod operator and the congruence relation

#### Examples

- $23 \equiv 8 \pmod{5}$
- $-11 \equiv 5 \pmod{8}$
- $81 \equiv 0 \pmod{27}$

The congruence relation has the following properties

- $a \equiv b \pmod{n}$  if and only if  $n \mid (a b)$
- $a \equiv a \pmod{n}$ , called *reflexivity*
- $a \equiv b \pmod{n}$  implies that  $b \equiv a \pmod{n}$ , called *symmetry*
- If  $a \equiv b \pmod{n}$  and  $b \equiv c \pmod{n}$ , then  $a \equiv c \pmod{n}$ , called transitivity
- These last three properties mean congruence modulo n is an equivalence relation on  $\mathbb{Z}$ .

#### Modular arithmetic

• The mod operator (a mod n) maps all integers a into the set

$$\mathbb{Z}_n = \{0, 1, 2, 3, ..., n-1\}.$$

- This is the set of *residues*, or *remainders*, modulo *n*.
- The familiar operations of + and · on  $\mathbb{Z}$  extend to  $\mathbb{Z}_n$  in a natural way.

$$(a \mod n) + (b \mod n) := ((a + b) \mod n)$$
  
 $(a \mod n) \cdot (b \mod n) := ((a \cdot b) \mod n)$ 

This means that  $\mathbb{Z}_n$ , with the operations of + and · will form a *closed system* with respect to these operations, i.e. for any pair x, y from  $\mathbb{Z}_n$ , x + y and  $x \cdot y$  will again be elements of  $\mathbb{Z}_n$ .

See Stallings for worked examples of  $\mathbb{Z}_8$  under + and  $\cdot$ .

#### Modular arithmetic

• So given x from  $\mathbb{Z}_n$ , x will have an additive inverse, n-x, which satisfies

$$x + (n - x) \equiv 0 \pmod{n}.$$

• Given x from  $\mathbb{Z}_n$ , if there exists a y in  $\mathbb{Z}_n$  which satisfies

$$x \cdot y \equiv 1 \pmod{n}$$
,

then we say y is the multiplicative inverse of x modulo n, and vice versa. We can write  $y \equiv x^{-1}$  (mod n).

• But multiplicative inverses do not necessarily exist for every element of  $\mathbb{Z}_n$ .

#### Modular arithmetic

This is connected to the issue of cancellation in  $\mathbb{Z}_n$ .

- If  $(a + b) \equiv (a + c) \pmod{n}$  then  $b \equiv c \pmod{n}$ .
- If  $(a \cdot b) \equiv (a \cdot c) \pmod{n}$  then it's not neccessarily true that  $b \equiv c \pmod{n}$ .
- However if  $a^{-1}$  (mod n) exists then we can cancel from products as

$$a^{-1}(a \cdot b) \equiv a^{-1}(a \cdot c) \pmod{n}$$

and so

$$(a^{-1}a) \cdot b \equiv (a^{-1}a) \cdot c \pmod{n}$$

and so

$$b \equiv c \pmod{n}$$
.

# Extended Euclidean algorithm and multiplicative inverses

Using linear combinations and the Euclidean algorithm we can show that

• for a in  $\mathbb{Z}_n$ , a multiplicative inverse of a modulo n will exists if and only if  $\gcd(a, n) = 1$ .

#### Terminology

• If gcd(x, y) = 1 then x, y are said to be relatively prime, or coprime.

See Stallings chapter 2 for details.