

Section 2.1

I talked to Kie Seng about this section.

Definition 1. The 'idempotent completion' $\text{Kar}(\mathcal{C})$ (also called the 'Karoubi envelope') of a category \mathcal{C} is defined as follows:

1. an object of $\text{Kar}(\mathcal{C})$ is a pair (C, p) where C is an object of \mathcal{C} and $C \xrightarrow{p} C$ is an idempotent,
2. a morphism $(C, p) \rightarrow (C', p')$ of $\text{Kar}(\mathcal{C})$ is a map $C \xrightarrow{C'} in \mathcal{C} such that $fp = f = p'f$.$

Remark 1. If you have two maps $(C, p) \xrightarrow{f} (C', p') \xrightarrow{g} (C'', p'')$, then their composition gf is also a map $(C, p) \rightarrow (C'', p'')$ in $\text{Kar}(\mathcal{C})$ since

$$gfp = g(fp) = gf = (p''g)f = p''gf.$$

Lemma 1. *The identity morphism on (C, p) in $\text{Kar}(\mathcal{C})$ is the morphism p itself:*

$$\text{id}_{(C, p)} = \left(C \xrightarrow{p} C \right).$$

Proof. First, check that p is indeed a morphism $(C, p) \rightarrow (C, p)$ in $\text{Kar}(\mathcal{C})$. This amounts to checking that $p^2 = p$, which is guaranteed by the definition of (C, p) .

Next, check that p satisfies the identity axioms. Let $(C, p) \xrightarrow{f} (C', p')$ be another morphism in $\text{Kar}(\mathcal{C})$. Then, by definition, $fp = f = p'f$ as maps in \mathcal{C} . It follows by the above remark that $fp = f = p'f$ as maps in $\text{Kar}(\mathcal{C})$, as well. \square

Part (1)

Let **primeVec** denote the full subcategory of **fdVec** consisting of vector spaces with prime dimensions.

Claim 1. *The idempotent completion of **primeVec** is isomorphic to **fdVec**:*

$$\text{Kar}(\mathbf{primeVec}) \cong \mathbf{fdVec}.$$

Proof. Define a functor $F : \text{Kar}(\mathbf{primeVec}) \rightarrow \mathbf{fdVec}$ by

$$\begin{aligned} (V, p) &\longmapsto pV \\ \left((V, p) \xrightarrow{f} (V', p') \right) &\longmapsto \left(pV \xrightarrow{f|_{pV}} p'V' \right) \end{aligned}$$

Why is this well defined on morphisms? We need to check that $\text{Im}(f|_{pV}) \subset p'V'$. But we know that $f(pv) = p'f(v) \subset p'V'$ since f is a morphism in $\text{Kar}(\mathbf{primeVec})$. Thus, F is well defined.

We also need to check that F is indeed a functor. That is, we need to verify that

1. $F(\text{id}_X) = \text{id}_{F(X)}$ for all objects X in $\text{Kar}(\mathbf{primeVec})$,

2. $F\left((V, p) \xrightarrow{f} (V', p') \xrightarrow{g} (V'', p'')\right) = F(V, p) \xrightarrow{F(f)} F(V', p') \xrightarrow{F(g)} F(V'', p'')$ for all $(V, p), (V', p'), (V'', p'')$ and f, g in $\text{Kar}(\mathbf{primeVec})$.

To prove 1, notice that $\text{id}_{(V, p)}$ is the morphism $V \xrightarrow{p} V$. Thus, $F(\text{id}_{(V, p)}) = p|_{pV}$. By idempotency, $p(pv) = pv$, so $p|_{pV} = \text{id}_{pV}$ as required. To prove 2, notice that $(g \circ f)|_{pV} = g|_{p'V'} \circ f|_{pV}$.

We want to show that F is an equivalence. To do this, we will prove that F is full, faithful and essentially surjective:

1. Proof that F is full: Take $pV \xrightarrow{g} p'V'$ in \mathbf{fdVec} . We will construct a morphism $(V, p) \xrightarrow{f} (V', p')$ in $\text{Kar}(\mathbf{primeVec})$ with $F(f) = g$. Define

$$f(v) = \begin{cases} g(v) & \text{if } v = pw \text{ for some } w \in V, \\ 0 & \text{otherwise.} \end{cases}$$

We need to check that f is a morphism in $\text{Kar}(\mathbf{primeVec})$. Firstly, we will check that it is linear map from V to V' in $\mathbf{primeVec}$. It suffices to check this on the subspace pV . For $v, w \in pV$ and scalars a, b , we have

$$f(av + bw) = g(av + bw) = ag(v) + bg(w) = af(v) + bf(w),$$

since g is linear by assumption. Secondly, we need to check that f satisfies the property $fp = f = p'f$. Again, it suffices to check this on the subspace pV . For $v \in V$, we have $fp(pv) = f(pv) = g(pv)$ since $p^2 = p$. Now, $g(pv) = p'v'$ for some $v' \in V'$. Thus, $fp(pv) = f(pv) = p'v' = p'(p'v') = p'g(pv) = p'f(pv)$ since $(p')^2 = p'$. Therefore, f is a morphism in $\text{Kar}(\mathbf{primeVec})$.

Now, $F(f) = g$ since $f|_{pV} = g$ by definition. Thus, F is full.

2. Proof that F is faithful: Take morphisms $(V, p) \xrightarrow{f, g} (V', p')$ in $\text{Kar}(\mathbf{primeVec})$ with $F(f) = F(g)$. Then $f|_{pV} = g|_{pV}$. So, for $v \in V$,

$$f(v) = f(pv) = g(pv) = g(v),$$

since $f = fp$ and $g = gp$. Thus, $f = g$.

3. Proof that F is essentially surjective: Take V' in \mathbf{fdVec} . We will construct (V, p) in $\text{Kar}(\mathbf{primeVec})$ with $F(V, p) \cong V'$. Let $\{e_1, \dots, e_n\}$ be a basis for V' . Let ϕ be the smallest prime such that $\phi \geq n$. Let e_{n+1}, \dots, e_ϕ be formal symbols. Define V to be the vector space with basis $\{e_1, \dots, e_\phi\}$. Define $p : V \rightarrow V$ to be the projection onto the first n basis vectors. That is, p is the linear map defined by

$$p(e_i) = \begin{cases} e_i & \text{if } i \leq n, \\ 0 & \text{otherwise.} \end{cases}$$

Then $p^2 = p$. So $(V, p) \in \text{Kar}(\mathbf{primeVec})$. Also, pV has dimension n . So $F(V, p) = pV \cong V'$.

□

Part (2)

In this part, we will construct an equivalence $\nu_{\mathcal{C}} : \text{Kar}(\text{Kar}(\mathcal{C})) \xrightarrow{\cong} \text{Kar}(\mathcal{C})$.

Before we do this, let's understand $\text{Kar}(\text{Kar}(\mathcal{C}))$. An object K of $\text{Kar}(\text{Kar}(\mathcal{C}))$ is a pair (X, q) , where X is an object of $\text{Kar}(\mathcal{C})$, such that $X \xrightarrow{q} X$ is an idempotent. We can write $X = (C, p)$ where C is an object of \mathcal{C} and $C \xrightarrow{p} C$ is an idempotent. Thus, K is a triple (C, p, q) with p and q idempotents. Notice that $(C, p) \xrightarrow{q} (C, p)$ is a morphism in $\text{Kar}(\mathcal{C})$ and consequently it is a morphism $C \xrightarrow{q} C$ in \mathcal{C} satisfying the property $qp = q = pq$. Summing up, an object K of $\text{Kar}(\text{Kar}(\mathcal{C}))$ is a tuple (C, p, q) with

1. C an object in \mathcal{C} ,
2. $C \xrightarrow{p, q} C$ idempotents in \mathcal{C} , and
3. $qp = q = pq$.

A morphism $(C, p, q) \xrightarrow{f} (C', p', q')$ of $\text{Kar}(\text{Kar}(\mathcal{C}))$ is a map $(C, p) \xrightarrow{f} (C', p')$ in $\text{Kar}(\mathcal{C})$ such that $f q = f = q' f$. Unwrapping definitions further, f is a morphism $C \rightarrow C'$ in \mathcal{C} satisfying $f p = f = p' f = f q = q' f$.

Define the ‘forgetful’ functor $\nu_{\mathcal{C}} : \text{Kar}(\text{Kar}(\mathcal{C})) \rightarrow \text{Kar}(\mathcal{C})$ by

$$\begin{aligned} (C, p, q) &\longmapsto (C, q), \\ \left((C, p, q) \xrightarrow{f} (C', p', q') \right) &\longmapsto \left((C, q) \xrightarrow{f} (C', q') \right). \end{aligned}$$

We must check that $\nu_{\mathcal{C}}$ is indeed a functor. Note that both $\text{id}_{(C, p, q)}$ and $\text{id}_{(C, q)}$ are the same morphism $C \xrightarrow{q} C$ in \mathcal{C} . Thus, $\nu_{\mathcal{C}}(\text{id}_{(C, p, q)}) = \text{id}_{(C, q)}$. The fact that $\nu_{\mathcal{C}}$ preserves composition is trivial.

Claim 2. *The functor $\nu_{\mathcal{C}}$ is an equivalence.*

Proof. We will show that $\nu_{\mathcal{C}}$ is full, faithful and essentially surjective.

1. Proof that $\nu_{\mathcal{C}}$ is full: Fix objects (C, p, q) and (C', p', q') in $\text{Kar}(\text{Kar}(\mathcal{C}))$. Consider $\nu_{\mathcal{C}}(C, p, q) \xrightarrow{f} \nu_{\mathcal{C}}(C', p', q')$. That is, f is a morphism $C \rightarrow C'$ in \mathcal{C} satisfying $f q = f = q' f$. We will show that f is also a morphism in $\text{Kar}(\text{Kar}(\mathcal{C}))$. That is, we need to verify $f p = f = p' f$.

We know that $p q = q = q p$ and $p' q' = q' = q' p'$. Using these properties, along with the relation $f q = f = q' f$, we get

$$f p = (f q) p = f (q p) = f q = f = q' f = (p' q') f = p' (q' f) = p' f,$$

as required. Now, it is obvious that $\nu_{\mathcal{C}}(f) = f$. Thus, $\nu_{\mathcal{C}}$ is full.

2. Proof that $\nu_{\mathcal{C}}$ is faithful: This is trivial by expanding definitions. Suppose f, g are morphisms $(C, p, q) \rightarrow (C', p', q')$ in $\text{Kar}(\text{Kar}(\mathcal{C}))$ with $\nu_{\mathcal{C}}(f) = \nu_{\mathcal{C}}(g)$. Then f and g considered as morphisms $C \rightarrow C'$ in \mathcal{C} must be equal. It follows by definition that f and g are equal, when considered as morphisms $(C, p, q) \rightarrow (C', p', q')$.
3. Proof that $\nu_{\mathcal{C}}$ is essentially surjective: Let (C, q) be an object in $\text{Kar}(\mathcal{C})$. Then (C, id_C, q) is an object in $\text{Kar}(\text{Kar}(\mathcal{C}))$ and $\nu_{\mathcal{C}}(C, \text{id}_C, q) = (C, q)$.

□

Part (3)

Claim 3. *There is a fully faithful functor $i_{\mathcal{C}} : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$ given by $C \mapsto (C, \text{id}_C)$.*

Remark 2. This gives an embedding of \mathcal{C} into $\text{Kar}(\mathcal{C})$.

Proof. Define $i_{\mathcal{C}} : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$ by

$$\begin{aligned} C &\longmapsto (C, \text{id}_C) \\ \left(C \xrightarrow{f} C' \right) &\longmapsto \left((C, \text{id}_C) \xrightarrow{f} (C', \text{id}_{C'}) \right) \end{aligned}$$

We need to check that this is a functor. Note that $\text{id}_{(C, \text{id}_C)}$ is the identity morphism id_C in \mathcal{C} . Thus, $i_{\mathcal{C}}(\text{id}_C) = \text{id}_{(C, \text{id}_C)}$ as required. The fact that $i_{\mathcal{C}}$ preserves composition is trivial.

We will show that $i_{\mathcal{C}}$ is full. Fix objects C, C' in \mathcal{C} . Consider the morphism $(C, \text{id}_C) \xrightarrow{f} (C', \text{id}_{C'})$ in $\text{Kar}(\mathcal{C})$. By definition, this is a map $C \xrightarrow{f} C'$ in \mathcal{C} . Then $i_{\mathcal{C}}(f) = f$ and so $i_{\mathcal{C}}$ is full.

Finally, we prove that $i_{\mathcal{C}}$ is faithful. Again this follows by expanding definitions. Fix C, C' . Suppose we have morphisms $C \xrightarrow{f, g} C'$ in \mathcal{C} with $i_{\mathcal{C}}(f) = i_{\mathcal{C}}(g)$. Then the maps $(C, \text{id}_C) \xrightarrow{f, g} (C', \text{id}_{C'})$ are equal. By definition, it must be the case that f, g are equal as maps $C \rightarrow C'$ in \mathcal{C} . \square

Part (4)

Definition 2. Given a functor $F : \mathcal{C} \rightarrow \mathcal{D}$, there is a functor $\text{Kar}(F) : \text{Kar}(\mathcal{C}) \rightarrow \text{Kar}(\mathcal{D})$ given by

$$\begin{aligned} \text{Kar}(F)(C, p) &= (F(C), F(p)) \\ \text{Kar}(F)((C, p) \xrightarrow{f} (C', p')) &= (F(C), F(p)) \xrightarrow{F(f)} (F(C'), F(p')). \end{aligned}$$

We need to check that this is well defined: is $F(f)$ a map in $\text{Kar}(\mathcal{D})$? It needs to satisfy the property $F(f)F(p) = F(f) = F(p')F(f)$. This follows from the corresponding property of f in $\text{Kar}(\mathcal{C})$ and functoriality:

$$F(f)F(p) = F(fp) = F(f) = F(p'f) = F(p')F(f).$$

The fact that $\text{Kar}(F)$ preserves identities and composition is straightforward to verify.

Theorem 1. *A functor $F : \text{Kar}(\mathcal{C}) \rightarrow \text{Kar}(\mathcal{D})$ is determined up to natural isomorphism by its restriction $F|_{\mathcal{C}} : \mathcal{C} \rightarrow \text{Kar}(\mathcal{D})$:*

$$F \cong (\iota_{\mathcal{D}} \circ \text{Kar}(F|_{\mathcal{C}})).$$

Remark 3. By $F|_{\mathcal{C}}$ we mean the composition $F \circ i_{\mathcal{C}}$ of F and the embedding $i_{\mathcal{C}} : \mathcal{C} \rightarrow \text{Kar}(\mathcal{C})$.

This is an exercise in notation. Suppose (C, p) and (D, q) are objects in $\text{Kar}(\mathcal{C})$. Let $C \xrightarrow{f} D$ be a morphism in \mathcal{C} satisfying $fp = f = qf$. Write f_p^q for the corresponding morphism $(C, p) \rightarrow (D, q)$ in $\text{Kar}(\mathcal{C})$. Also, given a morphism $(C, p) \xrightarrow{g_p^q} (D, q)$ in $\text{Kar}(\mathcal{C})$, write $\overline{g_p^q}$ for the underlying morphism $C \rightarrow D$ in \mathcal{C} .

Remark 4. If $\overline{f_p^q} = \overline{g_p^q}$ then $f_p^q = g_p^q$.

Remark 5. We have that $\overline{f \circ g} = \overline{f} \circ \overline{g}$.

Remark 6. If we have $(C, p) \xrightarrow{f_p^{p'}} (C', p')$ and $(C', p') \xrightarrow{g_{p'}^{p''}} (C'', p'')$, then we get a morphism $(g \circ f)_p^{p''}$ from (C, p) to (C'', p'') .

Lemma 2. Given a functor $F : \text{Kar}(\mathcal{C}) \rightarrow \text{Kar}(\mathcal{D})$ and (C, p) an object of $\text{Kar}(\mathcal{C})$,

$$F(C, p) = (\widehat{C_p}, \overline{F(p_p^p)})$$

for some object $\widehat{C_p}$ in \mathcal{D} .

Proof. Write $F(C, p) = (\widehat{C_p}, \widehat{p})$ for some object $(\widehat{C_p}, \widehat{p})$ in $\text{Kar}(\mathcal{D})$. By lemma 1, p_p^p is the identity of (C, p) . Thus, $F(p_p^p)$ is the identity of $(\widehat{C_p}, \widehat{p})$. Again using lemma 1, it follows that $\overline{F(p_p^p)} = \widehat{p}$. \square

Remark 7. We will use the notation $(\widehat{C_p}, \overline{F(p_p^p)})$ to denote the element $F(C, p)$ from herein.

Recall that an element of $\text{Kar}(\text{Kar}(\mathcal{C}))$ is $\left((C, p), (C, p) \xrightarrow{q} (C, p)\right)$ where (C, p) is an object and q is a morphism of $\text{Kar}(\mathcal{C})$. A map $\left((C, p), (C, p) \xrightarrow{q} (C, p)\right) \rightarrow \left((C', p'), (C', p') \xrightarrow{q'} (C', p')\right)$ in $\text{Kar}(\text{Kar}(\mathcal{C}))$ is a map $(C, p) \xrightarrow{f_p^{p'}} (C', p')$ in $\text{Kar}(\mathcal{C})$ satisfying $f_p^{p'} q = f_p^{p'} = q' f_p^{p'}$. That is, it is the map $(f_p^{p'})_q^{q'}$.

Lemma 3. We have that

$$\iota_{\mathcal{C}} \left((C, p), (C, p) \xrightarrow{q} (C, p) \right) = (C, C \xrightarrow{\bar{q}} C) \text{ and } \iota_{\mathcal{C}} \left((f_p^{p'})_q^{q'} \right) = f_{\bar{q}}^{\bar{q}'}$$

Proof. We just need to translate the definition of $\iota_{\mathcal{C}}$ into the new notation. Previously, we wrote an object of $\text{Kar}(\text{Kar}(\mathcal{C}))$ as the triple (C, p, q) and defined

$$\iota_{\mathcal{C}}(C, p, q) = (C, q),$$

$$\iota_{\mathcal{C}} \left((C, p, q) \xrightarrow{f} (C', p', q') \right) = (C, q) \xrightarrow{f} (C', q').$$

Now, the morphism q in the triple (C, p, q) is actually the underlying map $C \xrightarrow{\bar{q}} C$ of the morphism $(C, p) \xrightarrow{q} (C, p)$. Then, translating into the new notation, (C, p, q) becomes $\left((C, p), (C, p) \xrightarrow{q} (C, p)\right)$ and (C, q) becomes (C, \bar{q}) , giving us the required result.

To get the second result, translate into the new notation again. We get that $(C, p, q) \xrightarrow{f} (C', p', q')$ becomes

$$\left((C, p), (C, p) \xrightarrow{q} (C, p) \right) \xrightarrow{(f_p^{p'})_q^{q'}} \left((C', p'), (C', p') \xrightarrow{q'} (C', p') \right)$$

and $(C, q) \xrightarrow{f} (C', q')$ becomes $(C, \bar{q}) \xrightarrow{f_{\bar{q}}^{\bar{q}'}} (C', \bar{q}')$. This gives the required result. \square

Proof of theorem 1. The idea will be to find isomorphisms

$$F(C, p) \xrightarrow{\cong} \iota_{\mathcal{D}} \circ \text{Kar}(F|_{\mathcal{C}})(C, p)$$

that are natural in (C, p) . Firstly, compute

$$\text{Kar}(F|_{\mathcal{C}})(C, p) = (F \circ i_{\mathcal{C}}(C), F \circ i_{\mathcal{C}}(p)) = \left(F(C, \text{id}_C), F(C, \text{id}_C) \xrightarrow{F(p_{\text{id}_C}^{\text{id}_C})} F(C, \text{id}_C) \right).$$

Thus, by lemma 3, we calculate

$$\iota_{\mathcal{D}} \circ \text{Kar}(F|_{\mathcal{C}})(C, p) = \left(\widehat{C_{\text{id}_C}}, \widehat{C_{\text{id}_C}} \xrightarrow{\overline{F(p_{\text{id}_C}^{\text{id}_C})}} \widehat{C_{\text{id}_C}} \right).$$

We have a map $(C, p) \xrightarrow{p_p^{\text{id}_C}} (C, \text{id}_C)$. Applying F we get a map

$$F(C, p) = \left(\widehat{C_p}, \overline{F(p_p^p)} \right) \xrightarrow{F(p_p^{\text{id}_C})} \left(\widehat{C_{\text{id}_C}}, \overline{F((\text{id}_C)_{\text{id}_C}^{\text{id}_C})} \right) = F(C, \text{id}_C).$$

We will show that this is also a map

$$\left(\widehat{C_p}, \overline{F(p_p^p)} \right) \xrightarrow{F(p_p^{\text{id}_C})} \left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right).$$

To do this, we need $\overline{F(p_{\text{id}_C}^{\text{id}_C})} \circ \overline{F(p_p^{\text{id}_C})} = \overline{F(p_p^{\text{id}_C})}$. By functoriality, $\overline{F(p_{\text{id}_C}^{\text{id}_C})} \circ \overline{F(p_p^{\text{id}_C})} = \overline{F(p_{\text{id}_C}^{\text{id}_C} \circ p_p^{\text{id}_C})}$. Then $p_p^{\text{id}_C} = p_{\text{id}_C}^{\text{id}_C} \circ p_p^{\text{id}_C}$ since $p_p^{\text{id}_C} = p_{\text{id}_C}^{\text{id}_C} \circ p_p^{\text{id}_C}$, which gives us the required result. We will show that this map $F(p_p^{\text{id}_C})$ is an isomorphism in $\text{Kar}(\mathcal{D})$.

We construct its inverse in a similar way. We have a map $(C, \text{id}_C) \xrightarrow{p_{\text{id}_C}^p} (C, p)$ which gives a map

$$F(C, \text{id}_C) = \left(\widehat{C_{\text{id}_C}}, \overline{F((\text{id}_C)_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F(p_{\text{id}_C}^p)} \left(\widehat{C_p}, \overline{F(p_p^p)} \right) = F(C, p).$$

This is also a map

$$\left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F(p_{\text{id}_C}^p)} \left(\widehat{C_p}, \overline{F(p_p^p)} \right).$$

since we have that $\overline{F(p_p^p)} \circ \overline{F(p_{\text{id}_C}^{\text{id}_C})} = \overline{F(p_{\text{id}_C}^p)}$ by reasoning analogous to above.

We will show that these maps $F(p_p^{\text{id}_C})$ and $F(p_{\text{id}_C}^p)$ are mutually inverse. We need that

$$\left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F(p_{\text{id}_C}^p)} \left(\widehat{C_p}, \overline{F(p_p^p)} \right) \xrightarrow{F(p_p^{\text{id}_C})} \left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right)$$

is the identity. That is, we need that $\overline{F(p_p^{\text{id}_C})} \circ \overline{F(p_{\text{id}_C}^p)} = \overline{F(p_{\text{id}_C}^{\text{id}_C})}$. Since $\overline{(p_p^{\text{id}_C} \circ p_{\text{id}_C}^p)} = \overline{p_{\text{id}_C}^{\text{id}_C}}$, we have that $p_p^{\text{id}_C} \circ p_{\text{id}_C}^p = p_{\text{id}_C}^{\text{id}_C}$. Then the required result follows by functoriality.

By analogous reasoning we can show that

$$\left(\widehat{C_p}, \overline{F(p_p^p)} \right) \xrightarrow{F(p_p^{\text{id}_C})} \left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F(p_{\text{id}_C}^p)} \left(\widehat{C_p}, \overline{F(p_p^p)} \right)$$

is the identity. Therefore, $F(p_p^{\text{id}_C})$ is an isomorphism from $F(C, p)$ to $\iota_{\mathcal{D}} \circ \text{Kar}(F|_{\mathcal{C}})(C, p)$.

It remains to prove that this isomorphism is natural in (C, p) . For every $(C, p) \xrightarrow{f_p^q} (D, q)$, we need to check that the square

$$\begin{array}{ccc} F(C, p) & \xrightarrow{F(f_p^q)} & F(D, q) \\ \downarrow F(p_p^{\text{id}_C}) & & \downarrow F(q_q^{\text{id}_D}) \\ (\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})}) & \xrightarrow{\iota_{\mathcal{D}} \circ \text{Kar}(F \circ i_{\mathcal{C}})(f_p^q)} & (\widehat{D_{\text{id}_D}}, \overline{F(p_{\text{id}_D}^{\text{id}_D})}) \end{array}$$

commutes. Firstly, let us compute

$$\text{Kar}(F \circ i_{\mathcal{C}})(f_p^q) = \left(F \circ i_{\mathcal{C}}(\overline{f_p^q}) \right)_{F \circ i_{\mathcal{C}}(p)}^{F \circ i_{\mathcal{C}}(q)} = \left(\left(\widehat{C_{\text{id}_C}}, \overline{F((\text{id}_C)_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F((\overline{f_p^q})_{\text{id}_C}^{\text{id}_D})} \left(\widehat{D_{\text{id}_D}}, \overline{F((\text{id}_D)_{\text{id}_D}^{\text{id}_D})} \right) \right)_{F \circ i_{\mathcal{C}}(p)}^{F \circ i_{\mathcal{C}}(q)}$$

Notice that $(\overline{f_p^q})_{\text{id}_C}^{\text{id}_D} = f_{\text{id}_C}^{\text{id}_D}$. Then, applying lemma 3,

$$\begin{aligned} \iota_{\mathcal{D}} \circ \text{Kar}(F \circ i_{\mathcal{C}})(f_p^q) &= \left(\left(\widehat{C_{\text{id}_C}}, \overline{F \circ i_{\mathcal{C}}(p)} \right) \xrightarrow{F(f_{\text{id}_C}^{\text{id}_D})} \left(\widehat{D_{\text{id}_D}}, \overline{F \circ i_{\mathcal{C}}(q)} \right) \right) \\ &= \left(\left(\widehat{C_{\text{id}_C}}, \overline{F(p_{\text{id}_C}^{\text{id}_C})} \right) \xrightarrow{F(f_{\text{id}_C}^{\text{id}_D})} \left(\widehat{D_{\text{id}_D}}, \overline{F(q_{\text{id}_D}^{\text{id}_D})} \right) \right). \end{aligned}$$

Using this, we get the naturality condition easily by functoriality:

$$F(f_{\text{id}_C}^{\text{id}_D}) \circ F(p_p^{\text{id}_C}) = F((f \circ p)_p^{\text{id}_D}) = F(f_p^{\text{id}_D}) = F((q \circ f)_p^{\text{id}_D}) = F(q_q^{\text{id}_D}) \circ F(f_p^q).$$

□

Section 2.2

Part (1)

Recall the following definitions.

Definition 3. Given a functor $F : \mathcal{J} \rightarrow \mathcal{C}$, the category \mathbf{C}_F of cones is the category where:

1. objects are pairs $(C, (C \xrightarrow{f_j} Fj)_{j \in \mathcal{J}})$ of objects $C \in \mathcal{C}$ and families of morphisms $(C \xrightarrow{f_j} Fj)_{j \in \mathcal{J}}$ in \mathcal{C} such that the triangle

$$\begin{array}{ccc} C & & \\ f_j \downarrow & \searrow f_{j'} & \\ Fj & \xrightarrow{Fg} & Fj' \end{array}$$

commutes for all $j \xrightarrow{g} j'$ in \mathcal{J} ,

2. morphisms $(C, (f_j)_{j \in \mathcal{J}}) \rightarrow (C', (f'_j)_{j \in \mathcal{J}})$ are maps $C \xrightarrow{f} C'$ in \mathcal{C} such that the triangle

$$\begin{array}{ccc} C & \xrightarrow{f} & C' \\ & \searrow f_j & \downarrow f'_j \\ & & Fj \end{array}$$

commutes for all $j \in \mathcal{J}$.

Definition 4. Given categories and functors

$$\begin{array}{ccc} & \mathcal{B} & \\ & \downarrow Q & \\ \mathcal{A} & \xrightarrow{P} & \mathcal{C} \end{array}$$

the comma category $(P \downarrow Q)$ is the category where

1. objects are tuples (A, h, B) with $A \in \mathcal{A}$, $B \in \mathcal{B}$ and $PA \xrightarrow{h} QB$ in \mathcal{C} ,
2. morphisms $(A, h, B) \rightarrow (A', h', B')$ are pairs $(A \xrightarrow{f} A', B \xrightarrow{g} B')$ such that the square

$$\begin{array}{ccc} PA & \xrightarrow{Pf} & PA' \\ \downarrow h & & \downarrow h' \\ QB & \xrightarrow{Qg} & QB' \end{array}$$

commutes.

Consider categories \mathcal{J} and \mathcal{C} and functor $F : \mathcal{J} \rightarrow \mathcal{C}$. We can interpret \mathcal{J} as a functor $\mathcal{C} \rightarrow \text{Fun}(\mathcal{J} \rightarrow \mathcal{C})$ defined on objects by

$$C \longmapsto \left(\begin{array}{l} j \mapsto C \\ (j \xrightarrow{f} j') \mapsto \text{id}_C \end{array} \right).$$

The functor \mathcal{J} sends a morphism $C \xrightarrow{g} C'$ in \mathcal{C} to the natural transformation $\mathcal{J}(C) \rightarrow \mathcal{J}(C')$ with components $J(C)(j) = C \xrightarrow{g} C' = J(C')(j')$. It is easy to verify that this is indeed a natural transformation (i.e. it satisfies the naturality square). To verify that \mathcal{J} is a functor, we need to check that it preserves identities and composition. This is trivial.

Moreover, we can interpret F as a functor $\mathbf{1} \rightarrow \text{Fun}(\mathcal{J} \rightarrow \mathcal{C})$ defined on objects by

$$1 \longmapsto \left(\begin{array}{l} j \mapsto Fj \\ (j \xrightarrow{f} j') \mapsto (Fj \xrightarrow{Ff} Fj') \end{array} \right).$$

The functor $F : \mathbf{1} \rightarrow \text{Fun}(\mathcal{J} \rightarrow \mathcal{C})$ sends the identity morphism in $\mathbf{1}$ to the identity natural transformation on $F(1)$. It follows F preserves identities and composition. Thus, we have the following categories and functors:

$$\begin{array}{ccc} & \mathbf{1} & \\ & \downarrow F & \\ \mathcal{C} & \xrightarrow{\mathcal{J}} & \text{Fun}(\mathcal{J} \rightarrow \mathcal{C}) \end{array}$$

Using these interpretations of \mathcal{J} and F , we get a comma category $(\mathcal{J} \downarrow F)$. Its objects are tuples $(C, h, 1)$ with $C \in \mathcal{C}$ and $\mathcal{J}(C) \xrightarrow{h} F(1)$. Note that h is a natural transformation, so we get components h_j for all $j \in \mathcal{J}$ satisfying the commutative square:

$$\begin{array}{ccc} C & \xrightarrow{\text{id}_C} & C \\ \downarrow h_j & & \downarrow h_{j'} \\ Fj & \xrightarrow{Fg} & Fj' \end{array}$$

Notice that the above square is exactly saying that $(C, (h_j)_{j \in \mathcal{J}})$ is an object in the category \mathbf{C}_F of cones of F . Thus, every object in $(\mathcal{J} \downarrow F)$ can be considered as an object in \mathbf{C}_F . Similarly, an object $(C, (h_j)_{j \in \mathcal{J}})$ in \mathbf{C}_F can be considered as an object $(C, h, 1)$ in $(\mathcal{J} \downarrow F)$ where h is that natural transformation with components h_j .

Now consider a morphism $(C, h, 1) \rightarrow (C', h', 1)$ in $(\mathcal{J} \downarrow F)$. By definition, it is a pair $(C \xrightarrow{g} C', 1 \xrightarrow{\text{id}_1} 1)$ of morphisms in \mathcal{C} and $\mathbf{1}$ such that the square of functors and natural transformations in $\text{Fun}(\mathcal{J} \rightarrow \mathcal{C})$

$$\begin{array}{ccc} \mathcal{J}(C) & \xrightarrow{\mathcal{J}(g)} & \mathcal{J}(C') \\ h \downarrow & & \downarrow h' \\ F(1) & \xrightarrow{F(\text{id}_1)} & F(1) \end{array}$$

commutes. This is exactly saying that, for all $j \in \mathcal{J}$, the square

$$\begin{array}{ccc} \mathcal{J}(C)(j) = C & \xrightarrow{\mathcal{J}(g)_j = g} & \mathcal{J}(C')(j) = C' \\ h_j \downarrow & & \downarrow h'_j \\ F(1)(j) = F(j) & \xrightarrow{\text{id}_{Fj}} & F(1)(j) = F(j) \end{array}$$

commutes. This is exactly the property that $C \xrightarrow{g} C'$ is a map $(C, (h_j)_{j \in \mathcal{J}}) \rightarrow (C', (h'_j)_{j \in \mathcal{J}})$ in \mathbf{C}_F . Thus, a morphism $(C \xrightarrow{g} C', 1 \xrightarrow{\text{id}_1} 1)$ from $(C, h, 1)$ to $(C', h', 1)$ in $(\mathcal{J} \downarrow F)$ can be considered as a morphism $C \xrightarrow{g} C'$ from $(C, (h_j)_{j \in \mathcal{J}})$ to $(C', (h'_j)_{j \in \mathcal{J}})$ in \mathbf{C}_F . Similarly, a morphism $C \xrightarrow{g} C'$ from $(C, (h_j)_{j \in \mathcal{J}})$ to $(C', (h'_j)_{j \in \mathcal{J}})$ in \mathbf{C}_F can be considered as a morphism $(C \xrightarrow{g} C', 1 \xrightarrow{\text{id}_1} 1)$ from $(C, h, 1)$ to $(C', h', 1)$ in $(\mathcal{J} \downarrow F)$.

Therefore, the comma category $(\mathcal{J} \downarrow F)$ is the same as the category \mathbf{C}_F of cones of F .

Section 2.3

Part (1)

In this section we will prove the Yoneda lemma. Recall the following definitions.

Definition 5. Let \mathcal{A} be a locally small category and A an object in \mathcal{A} . Define the functor $H_A : \mathcal{A}^{\text{op}} \rightarrow \mathbf{Set}$ by

$$H_A(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A),$$

for objects B in \mathcal{A} and

$$\begin{aligned} H_A(B \xrightarrow{g} B') : \text{Hom}_{\mathcal{A}}(B' \rightarrow A) &\longrightarrow \text{Hom}_{\mathcal{A}}(B \rightarrow A) \\ p &\longmapsto p \circ g, \end{aligned}$$

for morphisms $B \xrightarrow{g} B'$ in \mathcal{A} . Moreover, given a map $A \xrightarrow{f} A'$ in \mathcal{A} , define the induced natural transformation $H_f : H_A \rightarrow H_{A'}$ by its components

$$(H_f)_B : H_A(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A) \longrightarrow H_{A'}(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A')$$

$$p \longmapsto f \circ p,$$

for all B in \mathcal{A} .

Given a functor $X : \mathcal{A}^{\text{op}} \rightarrow \mathbf{Set}$, consider the morphisms $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$. These are natural transformations from H_A to X .

Theorem 2 (Yoneda). *For \mathcal{A} locally small, $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$ is a set¹, and*

$$\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) \cong X(A)$$

naturally in A in \mathcal{A} and X in $[\mathcal{A}^{\text{op}}, \mathbf{Set}]$.

This is theorem 4.2.1 in Leinster.

Proof. We will define mutually invertible maps

$$\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) \xrightleftharpoons{(\cdot)} X(A)$$

for each A and X . Then we will show that the bijection (\cdot) is natural in A and X .

For a natural transformation $\alpha : H_A \Rightarrow X$, defined $\hat{\alpha} = \alpha_A(\text{id}_A)$. This is an element of $X(A)$. Why? By definition of a natural transformation, $H_A(A) = \text{Hom}_{\mathcal{A}}(A \rightarrow A) \xrightarrow{\alpha_A} X(A)$ is a map in \mathbf{Set} . So $\alpha_A(\text{id}_A)$ is an element of $X(A)$.

For $x \in X(A)$, define a natural transformation $\tilde{x} : H_A \rightarrow X$ as follows. Given B in \mathcal{A} , we need to define the component $\tilde{x}_B : H_A(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A) \rightarrow X(B)$ as a map in \mathbf{Set} . For $f \in \text{Hom}_{\mathcal{A}}(B \rightarrow A)$, define

$$\tilde{x}_B(f) = (X(f))(x).$$

Notice that $X(f)$ is a map $X(A) \rightarrow X(B)$ in \mathbf{Set} , so $X(f)(x) \in X(B)$ as required. We need to prove that the components satisfy the naturality condition. That is, for any map $B' \xrightarrow{g} B$ in \mathcal{A} , the square

$$\begin{array}{ccc} H_A(B) = \text{Hom}_{\mathcal{A}}(B, A) & \xrightarrow{H_A g} & H_A(B') = \text{Hom}_{\mathcal{A}}(B', A) \\ \tilde{x}_B \downarrow & & \downarrow \tilde{x}_{B'} \\ X(B) & \xrightarrow{Xg} & X(B') \end{array}$$

¹Without knowing this first, the isomorphism $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) \cong X(A)$ doesn't make sense. We need to know that $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$ lives in \mathbf{Set} before we can talk about isomorphisms between it and $X(A)$. Moreover, it's not possible to prove that $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$ is a set without proving the Yoneda lemma, despite what Leinster implies. There is actually more going on here and what I've done isn't strictly correct. (I assume that $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$ is a class and that a class which is in bijection with a set is a set.) See <https://math.stackexchange.com/questions/2245952/yoneda-lemma-why-is-mathscr-a-textop-textbfseth-a-x-a-set/2246284#2246284> if you're interested (especially the comments on HeinrichD's answer).

commutes. This follows by unwrapping definitions: for all $f \in \text{Hom}_{\mathcal{A}}(B, A)$, we have

$$\begin{array}{ccc} f & \xrightarrow{\quad} & f \circ g \\ \downarrow & & \downarrow \\ (Xf)(x) & \xrightarrow{\quad} & (X(f \circ g))(x) \\ & & \downarrow \\ & & (Xg \circ Xf)(x) \end{array}$$

and $X(f \circ g) = (Xg \circ Xf)$ by functoriality. (Remember X is contravariant.) Thus, the square commutes.

We have now defined $(\hat{\cdot})$ and $(\tilde{\cdot})$. We will show that they are mutually inverse: for $x \in X(A)$,

$$\hat{\tilde{x}} = \tilde{x}_A(\text{id}_A) = (X(\text{id}_A))(x) = \text{id}_{X(A)}(x) = x.$$

Next, we want to show $\tilde{\hat{\alpha}} = \alpha$ for natural transformation $\alpha : H_A \rightarrow X$. This amounts to showing all the components are equal: $\tilde{\hat{\alpha}}_B = \alpha_B$ for B in \mathcal{A} . These are functions of sets $H_A(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A) \rightarrow X(B)$, so to check equality, we need to verify

$$\tilde{\hat{\alpha}}_B(f) = \alpha_B(f)$$

for all $f \in \text{Hom}_{\mathcal{A}}(B \rightarrow A)$. By definition, $\tilde{\hat{\alpha}}_B(f) = (Xf)(\hat{\alpha}) = (Xf)(\alpha_A(\text{id}_A))$. Also, we get that

$$(Xf)(\alpha_A(\text{id}_A)) = \alpha_B((H_A(f))(\text{id}_A)) = \alpha_B(f) \quad (1)$$

by naturality of α :

$$\begin{array}{ccc} H_A(A) = \text{Hom}_{\mathcal{A}}(A \rightarrow A) & \xrightarrow{H_A(f) = - \circ f} & H_A(B) = \text{Hom}_{\mathcal{A}}(B \rightarrow A) \\ \downarrow \alpha_A & & \downarrow \alpha_B \\ X(A) & \xrightarrow{Xf} & X(B). \end{array}$$

This establishes the bijection $(\hat{\cdot})$ and allows us to conclude that $\text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X)$ is a set. It remains to show that it is natural in A and X . Let us spell out what this actually means. We have two functors

$$\begin{aligned} F : [\mathcal{A}^{\text{op}}, \mathbf{Set}] \times \mathcal{A}^{\text{op}} &\longrightarrow \mathbf{Set} \\ (X, A) &\longmapsto \text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) \\ (X \xRightarrow{\beta} X', A \xrightarrow{f} A') &\longmapsto \left((H_{A'} \xRightarrow{\alpha} X) \mapsto (H_A \xRightarrow{\beta \circ \alpha \circ H_f} X') \right) \\ G : [\mathcal{A}^{\text{op}}, \mathbf{Set}] \times \mathcal{A}^{\text{op}} &\longrightarrow \mathbf{Set} \\ (X, A) &\longmapsto X(A) \\ (X \xRightarrow{\beta} X', A \xrightarrow{f} A') &\longmapsto (X(A') \xrightarrow{\beta_A \circ Xf} X'(A)). \end{aligned}$$

We want to show that $(\hat{\cdot})$ is a natural transformation in two variables X, A between F and G . Exercise 1.3.29 in Leinster states that this is equivalent to checking naturality in each variable separately. That is, it suffices to show that

1. (\cdot) is a natural transformation between F^X and G^X for every X in $[\mathcal{A}^{\text{op}}, \mathbf{Set}]$, and
2. (\cdot) is a natural transformation between F_A and G_A for every A in \mathcal{A}^2 .

To check 1., we need to check the following square commutes for each X in $[\mathcal{A}^{\text{op}}, \mathbf{Set}]$ and $B \xrightarrow{f} A$ in \mathcal{A} :

$$\begin{array}{ccc}
 \text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) & \xrightarrow{F^X(f) = - \circ H_f} & \text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_B \rightarrow X) \\
 \downarrow (\cdot) & & \downarrow (\cdot) \\
 X(A) & \xrightarrow{G^X(f) = Xf} & X(B).
 \end{array}$$

For a natural transformation $\alpha : H_A \Rightarrow X$, we have

$$\begin{array}{ccc}
 \alpha & \xrightarrow{\quad} & \alpha \circ H_f \\
 \downarrow & & \downarrow \\
 \alpha_A(\text{id}_A) & \xrightarrow{\quad} & (\alpha \circ H_f)_B(\text{id}_B) \\
 & & \downarrow \\
 & & (Xf)(\alpha_A(\text{id}_A)).
 \end{array}$$

Using equation 1, we compute

$$(\alpha \circ H_f)_B(\text{id}_B) = \alpha_B((H_f)_B(\text{id}_B)) = \alpha_B(f \circ \text{id}_B) = \alpha_B(f) = (Xf)(\alpha_A(\text{id}_A)).$$

Thus, we have shown the naturality of (\cdot) between F^X and G^X . To check naturality between F_A and G_A , we need that the square

$$\begin{array}{ccc}
 \text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_A \rightarrow X) & \xrightarrow{F_A(\beta) = \beta \circ -} & \text{Hom}_{[\mathcal{A}^{\text{op}}, \mathbf{Set}]}(H_B \rightarrow X) \\
 \downarrow (\cdot) & & \downarrow (\cdot) \\
 X(A) & \xrightarrow{G_A(\beta) = \beta_A} & X(B).
 \end{array}$$

commutes for all A in \mathcal{A} and all natural transformations

$$\begin{array}{ccc}
 & X & \\
 \mathcal{A}^{\text{op}} & \Downarrow \beta & \mathbf{Set}. \\
 & X' &
 \end{array}$$

Again, we compute this directly. For $\alpha : H_A \Rightarrow X$, we have

$$\begin{array}{ccc}
 \alpha & \xrightarrow{\quad} & \beta \circ \alpha \\
 \downarrow & & \downarrow \\
 \alpha_A(\text{id}_A) & \xrightarrow{\quad} & (\beta \circ \alpha)_A(\text{id}_A) \\
 & & \downarrow \\
 & & \beta_A(\alpha_A(\text{id}_A)).
 \end{array}$$

²Recall that if $F : \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{C}$ is a functor then for each A in \mathcal{A} , we have that $F^A : \mathcal{B} \rightarrow \mathcal{C}$ is a functor defined on objects by $F^A(B) = F(A, B)$ and on maps g in \mathcal{B} by $F^A(g) = F(\text{id}_A, g)$. Similarly, for each B in \mathcal{B} , the functor $F_B : \mathcal{A} \rightarrow \mathcal{C}$ is defined on objects by $F_B(A) = F(A, B)$ and on maps f in \mathcal{A} by $F_B(f) = F(f, \text{id}_B)$.

We get $(\beta \circ \alpha)_A = \beta_A \circ \alpha_A$ by definition of composition in the functor category $[\mathcal{A}^{\text{op}}, \mathbf{Set}]$. Thus, we have shown the naturality of (\cdot) between F_A and G_A . \square

Part (2)

How does this part relate to the Yoneda lemma?

In this section we will explain why $[\mathcal{C}^{\text{op}}, \mathcal{D}]$ is the same as $[\mathcal{C} \rightarrow \mathcal{D}^{\text{op}}]$. (By $\text{Fun}(\mathcal{C} \rightarrow \mathcal{D})$ I assume you mean the category of functors, not the *set* of functors.)

A functor is defined by what it does to objects and what it does to morphisms. A functor $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$ sends objects in \mathcal{C} to objects in \mathcal{D} . Secondly, F sends maps $C \xleftarrow{f} C'$ in \mathcal{C}^{op} to maps $F(C') \xrightarrow{F(f)} F(C)$ in \mathcal{D} . But $C \xleftarrow{f} C'$ in \mathcal{C}^{op} is simply a map $C \xrightarrow{f} C'$ in \mathcal{C} . So we can consider F as sending maps $C \xrightarrow{f} C'$ in \mathcal{C}^{op} to maps $F(C') \xrightarrow{F(f)} F(C)$ in \mathcal{D} .

In comparison, a functor $G : \mathcal{C} \rightarrow \mathcal{D}^{\text{op}}$ sends objects in \mathcal{C} to objects in \mathcal{D} . It sends maps $C \xrightarrow{f} C'$ in \mathcal{C} to maps $G(C) \xrightarrow{G(f)} G(C')$ in \mathcal{D}^{op} . Notice that $G(C) \xrightarrow{G(f)} G(C')$ in \mathcal{D}^{op} is simply a map $G(C') \xleftarrow{G(f)} G(C)$ in \mathcal{D} . Thus, we can consider G as sending maps $C \xrightarrow{f} C'$ in \mathcal{C} to maps $G(C') \xleftarrow{G(f)} G(C)$ in \mathcal{D} . But this is exactly the same behaviour as a functor $\mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$ described above. So we can consider functors $\mathcal{C} \rightarrow \mathcal{D}^{\text{op}}$ as functors $\mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$.

Also, we can consider natural transformations

$$\begin{array}{ccc} & F & \\ \mathcal{C}^{\text{op}} & \Downarrow \alpha & \mathcal{D} \\ & G & \end{array}$$

as natural transformations

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \Uparrow \beta & \mathcal{D}^{\text{op}} \\ & G & \end{array}$$

in the following way. A natural transformation $\alpha : F \rightarrow G$ in $[\mathcal{C}^{\text{op}}, \mathcal{D}]$ is defined to be the components $(F(C) \xrightarrow{\alpha_C} G(C))_{C \in \mathcal{C}^{\text{op}}}$. The components are maps in \mathcal{D} . But the objects in \mathcal{C}^{op} are exactly the objects in \mathcal{C} . So we can equally describe α by the components $(F(C) \xrightarrow{\alpha_C} G(C))_{C \in \mathcal{C}}$. The naturality condition states that for every morphism $C \xrightarrow{f} D$ in \mathcal{C} , the square of morphisms in \mathcal{D}

$$\begin{array}{ccc} F(C) & \xleftarrow{F(f)} & F(D) \\ \alpha_C \downarrow & & \downarrow \alpha_D \\ G(C) & \xleftarrow{G(f)} & G(D) \end{array}$$

commutes.

Now, a natural transformation $\beta : G \rightarrow F$ in $[\mathcal{C}, \mathcal{D}^{\text{op}}]$ is defined to be the components

$$(G(C) \xrightarrow{\beta_C} F(C))_{C \in \mathcal{C}}$$

of maps in \mathcal{D}^{op} . Equally, we can describe the components to be maps $(F(C) \xrightarrow{\beta_C} G(C))_{C \in \mathcal{C}}$ in \mathcal{D} . The

naturality condition states that for every morphism $C \xrightarrow{f} D$ in \mathcal{C} , the square of morphisms in \mathcal{D}^{op}

$$\begin{array}{ccc} G(C) & \xrightarrow{G(f)} & G(D) \\ \beta_C \downarrow & & \downarrow \beta_D \\ F(C) & \xrightarrow{F(f)} & F(D) \end{array}$$

commutes. This is equivalent to the statement that the square of morphisms in \mathcal{D}

$$\begin{array}{ccc} G(C) & \xleftarrow{G(f)} & G(D) \\ \beta_C \uparrow & & \uparrow \beta_D \\ F(C) & \xleftarrow{F(f)} & F(D) \end{array}$$

commutes. But this description of β is exactly the same as the description of a natural transformation $\alpha : F \rightarrow G$ in $[\mathcal{C}^{\text{op}}, \mathcal{D}]$. So we can interpret natural transformations $G \rightarrow F$ in $[\mathcal{C}, \mathcal{D}^{\text{op}}]$ as natural transformations $F \rightarrow G$ in $[\mathcal{C}^{\text{op}}, \mathcal{D}]$, and visa versa.

I talked to Kie Seng about the second half of this part.

Claim 4. *We have the following non-equivalence*

$$\mathbf{Set}^{\text{op}} \not\cong \mathbf{Set}.$$

To prove this we need a lemma.

Lemma 4. *An equivalence between categories sends initial objects to initial objects and terminal objects to terminal objects.*

I looked at <https://math.stackexchange.com/a/840065> when proving this.

Proof. Suppose $F : \mathcal{C} \rightarrow \mathcal{D}$ is an equivalence and I an initial object of \mathcal{C} . We will show that $F(I)$ is initial in \mathcal{D} .

Let D be an object in \mathcal{D} . Since F is essentially surjective, there is some object C in \mathcal{C} and some isomorphism $F(C) \xrightarrow{\phi} D$. (Note that it is not correct to say that $F(I) \xrightarrow{F(f)} F(C) \xrightarrow{\phi} D$ is the only map $F(I) \rightarrow D$, since we do not know that the isomorphism ϕ is the only map $F(C) \rightarrow D$.)

We claim that we have a bijection

$$\text{Hom}_{\mathcal{D}}(F(I) \rightarrow F(C)) \longrightarrow \text{Hom}_{\mathcal{D}}(F(I) \rightarrow D)$$

$$f \longmapsto \phi \circ f$$

We see that this map is injective since if $\phi \circ f = \phi \circ g$ then $f = \phi^{-1} \circ \phi \circ f = \phi^{-1} \circ \phi \circ g = g$. Also, given $F(I) \xrightarrow{g} D$, we have that $\phi^{-1} \circ g$ is a map $F(I) \rightarrow F(C)$ with $\phi \circ (\phi^{-1} \circ g) = g$. So the map is also surjective.

Moreover, since F is full and faithful, we have a bijection

$$\text{Hom}_{\mathcal{C}}(I \rightarrow C) \longrightarrow \text{Hom}_{\mathcal{D}}(F(I) \rightarrow F(C)).$$

(It suffices to have a surjection, since we know that $\text{Hom}_{\mathcal{C}}(I \rightarrow C)$ is a singleton.)

Therefore, we have a bijection between $\text{Hom}_{\mathcal{C}}(I \rightarrow C)$ and $\text{Hom}_{\mathcal{D}}(F(I) \rightarrow D)$ for any object D and so $F(I)$ must be initial.

The proof of the second half of the lemma is analogous. \square

Proof of claim 4. Suppose $F : \mathbf{Set} \rightarrow \mathbf{Set}^{\text{op}}$ is an equivalence. We know that \emptyset is initial in \mathbf{Set} and the set $\mathbf{1}$ of one object is initial in \mathbf{Set}^{op} . By the above lemma, $F(\emptyset) \cong \mathbf{1}$.

Also, $\mathbf{1}$ is terminal in \mathbf{Set} and \emptyset is terminal in \mathbf{Set}^{op} . So $F(\mathbf{1}) \cong \emptyset$. Now, suppose $S \neq \emptyset$ is a set. There exists a map $\mathbf{1} \xrightarrow{f} S$ in \mathbf{Set} . Then $F(S) \xrightarrow{F(f)} F(\mathbf{1})$ is also a map in \mathbf{Set} . Since $F(\mathbf{1}) \cong \emptyset$, this implies we have a map $F(S) \rightarrow \emptyset$ in \mathbf{Set} . Therefore, $F(S) = \emptyset$.

Combining the results of the preceding two paragraphs, we get that, for any set R ,

$$F(R) \cong \begin{cases} \mathbf{1} & \text{if } R = \emptyset, \\ \emptyset & \text{if } R \neq \emptyset. \end{cases}$$

This implies F is not essentially surjective. \square

Claim 5. *We have the following equivalence*

$$\mathbf{fdVec}^{\text{op}} \cong \mathbf{fdVec}.$$

Proof. This follows from the fact that $[\mathcal{C}^{\text{op}}, \mathcal{D}] = [\mathcal{C}, \mathcal{D}^{\text{op}}]$ for any categories \mathcal{C} and \mathcal{D} . Consider the dual functor $()^* : \mathbf{fdVec} \rightarrow \mathbf{fdVec}^{\text{op}}$. We can also consider it as a functor $()^* : \mathbf{fdVec}^{\text{op}} \rightarrow \mathbf{fdVec}$. We will show that there are natural isomorphisms $()^* \circ ()^* \rightarrow \text{id}_{\mathbf{fdVec}}$ and $\text{id}_{\mathbf{fdVec}^{\text{op}}} \rightarrow ()^* \circ ()^*$.

In example 1.3.4, Leinster constructs a natural isomorphism

$$\begin{array}{ccc} & ()^* \circ ()^* & \\ \text{fdVec} & \downarrow \alpha & \text{fdVec} \\ & \text{id}_{\mathbf{fdVec}} & \end{array}$$

This gives us one of the required natural isomorphisms. We can rewrite this as

$$\begin{array}{ccc} & ()^* \circ ()^* & \\ (\mathbf{fdVec}^{\text{op}})^{\text{op}} & \downarrow \alpha & \mathbf{fdVec} \\ & \text{id}_{\mathbf{fdVec}} & \end{array}$$

Applying the result $[(\mathbf{fdVec}^{\text{op}})^{\text{op}}, \mathbf{fdVec}] = [\mathbf{fdVec}^{\text{op}}, \mathbf{fdVec}^{\text{op}}]$, we get that

$$\begin{array}{ccc} & ()^* \circ ()^* & \\ \mathbf{fdVec}^{\text{op}} & \uparrow \alpha & \mathbf{fdVec}^{\text{op}} \\ & \text{id}_{\mathbf{fdVec}^{\text{op}}} & \end{array}$$

is also a natural isomorphism. This is the other required natural isomorphism. \square