

# Announcements

- ▶ No labs next week!
- ▶ Farnaz's lab students:
  - She will be available in her office (SW2-363) during lab times (and other times – see Learning Hub) and by email
- ▶ My lab students:
  - I'll be in DTC Thursday 5 Dec and BBY Friday 6 Dec; email me if you wish to meet

# More announcements

- ▶ We do have LECTURE next week
  - A little more material
  - Last minute final-exam review
- ▶ Final exam is Monday 9 Dec, 8am
- ▶ I'll be posting some review materials soon – watch Learning Hub

# Backtracking and Branch & Bound

(Chapter 12)

# Backtracking and Branch & Bound

- ▶ Backtracking
  - n-Queens problem
- ▶ Branch & Bound
  - Assignment problem

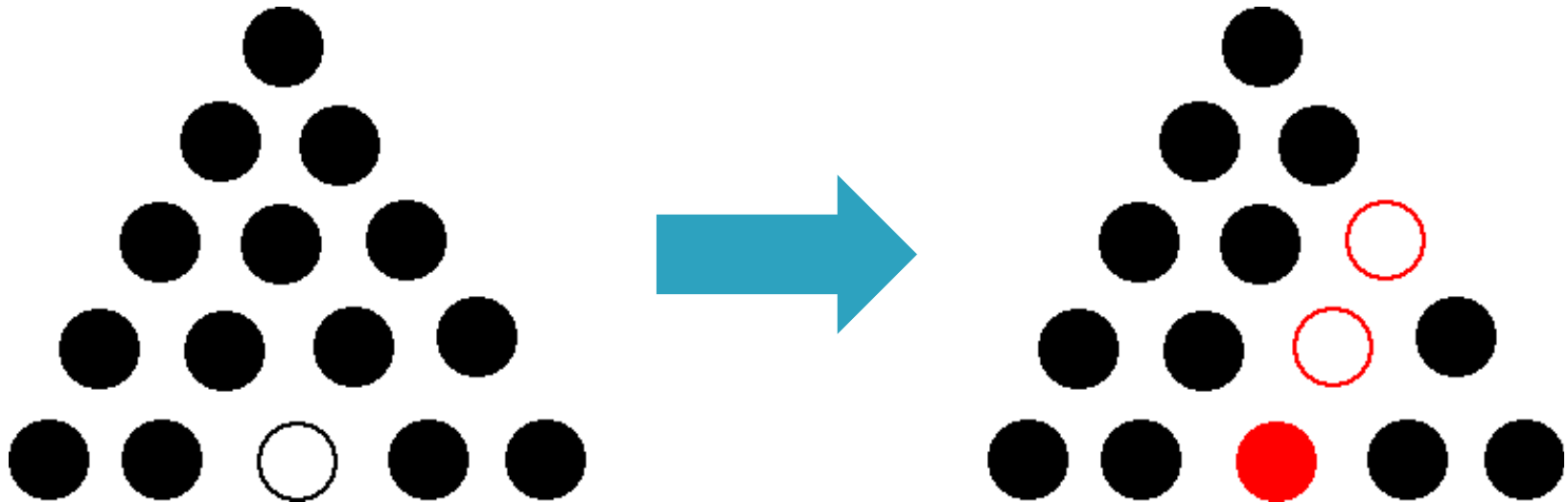
# Backtracking

- ▶ Suppose you have to make a series of *decisions*, among various *choices*, where
  - You don't have enough information to know what to choose
  - Each decision leads to a new set of choices
  - Some sequence of choices (possibly more than one) may be a solution to your problem
- ▶ Backtracking is a methodical way of trying out various sequences of decisions, until you find one that “works”

# Golf-tee puzzle

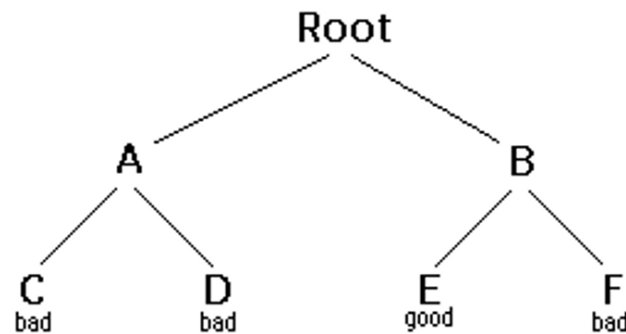


# Valid move



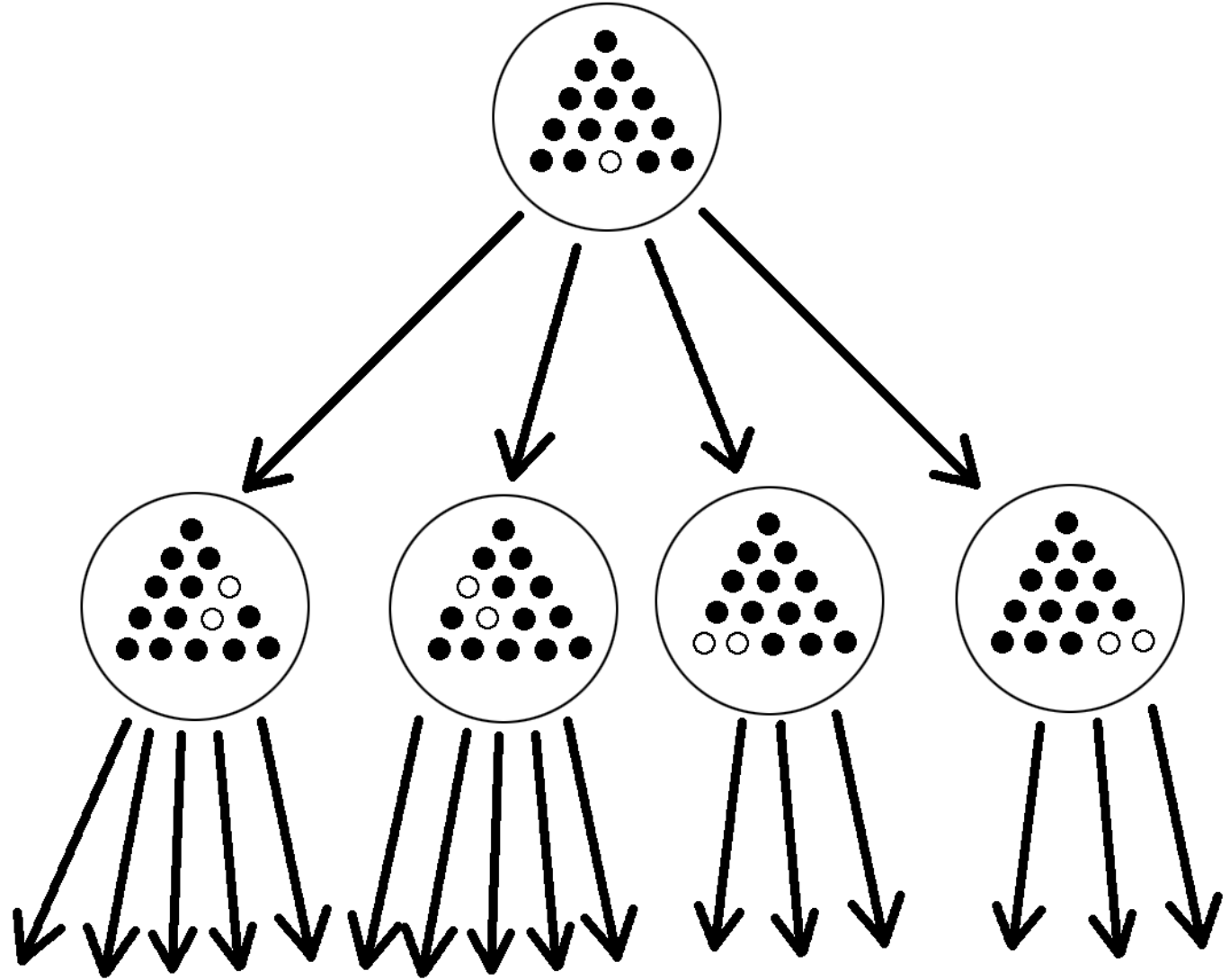
# Backtracking

- ▶ Think of the solutions as being organized in a tree
  - The root represents initial state before the search begins
  - Nodes at first level represent first choice
  - Second... second choice..etc





# State-space tree



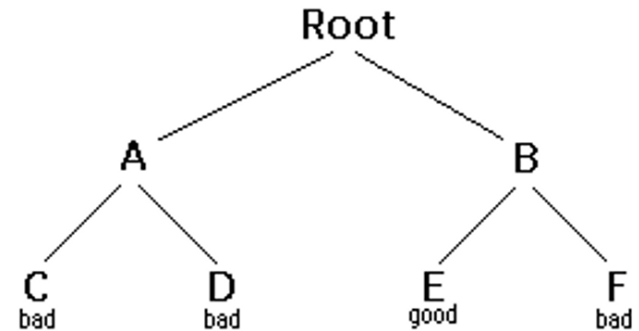
# Backtracking in words

## ▶ IDEA:

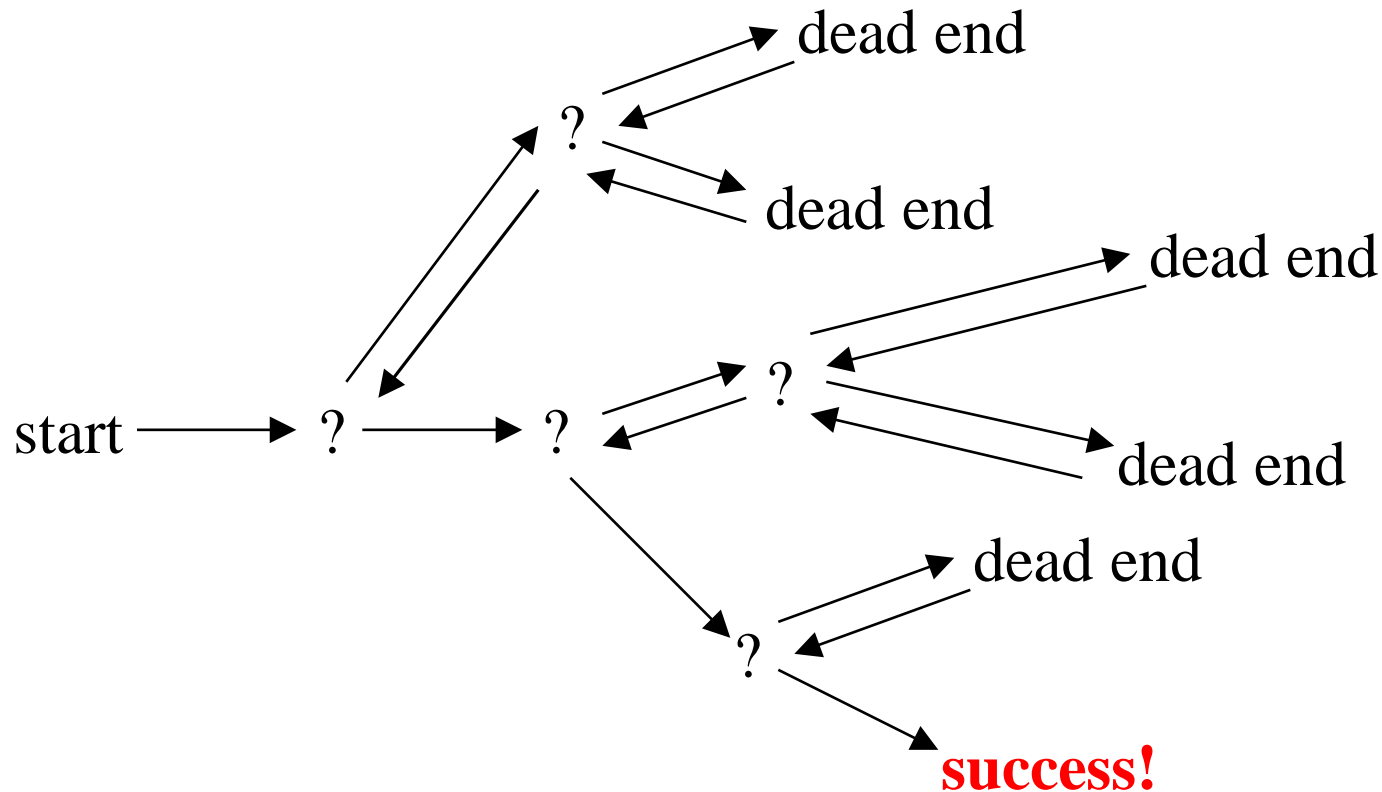
- Construct solutions one component at a time
- If a partial solution can be developed further without violating constraints:
  - Choose first legitimate option for the next component
- If there is *no option* for the next component
  - Backtrack to replace the last component of partial solution

# Backtracking – Abstract Example

- ▶ Starting at Root, your options are A and B. You choose A.
- ▶ At A, your options are C and D. You choose C.
- ▶ C is bad. Go back to A.
- ▶ At A, you have already tried C, and it failed. Try D.
- ▶ D is bad. Go back to A.
- ▶ At A, you have no options left to try. Go back to Root.
- ▶ At Root, you have already tried A. Try B.
- ▶ At B, your options are E and F. Try E.
- ▶ E is good. Congratulations!



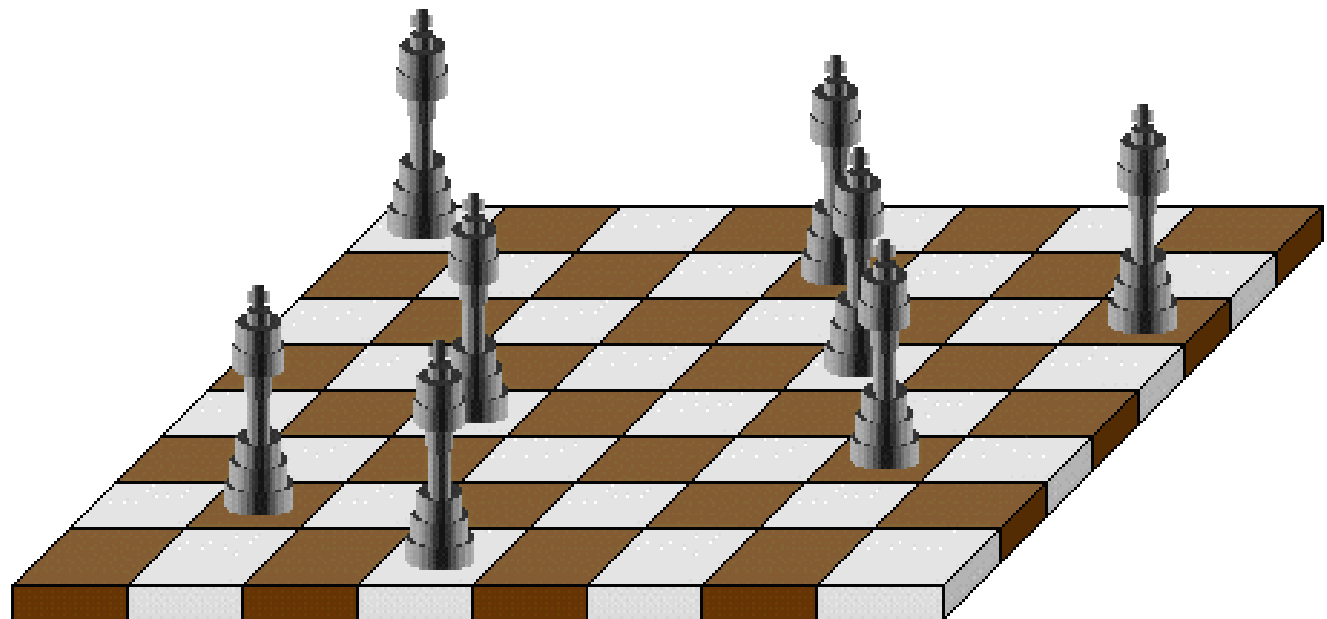
# Backtracking (animation)



The tree used to build solutions is called the *state-space tree*  
The nodes are *partial solutions*  
The edges are *choices*

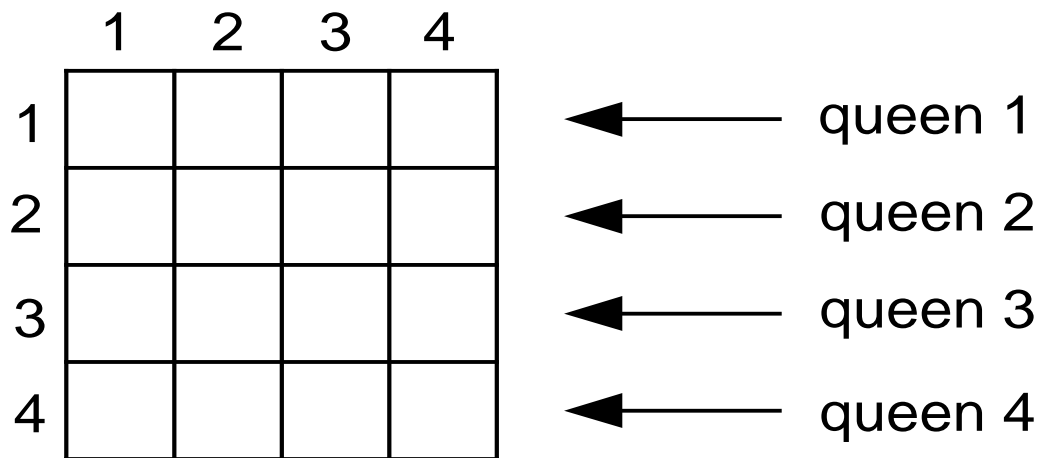
# Example: $n$ -Queens Problem

- ▶ Place  $n$  queens on an  $n$ -by- $n$  chess board so that no pair of them are in the same row, column or diagonal
  - i.e. no queens are attacking each other



# Example: 4-Queens

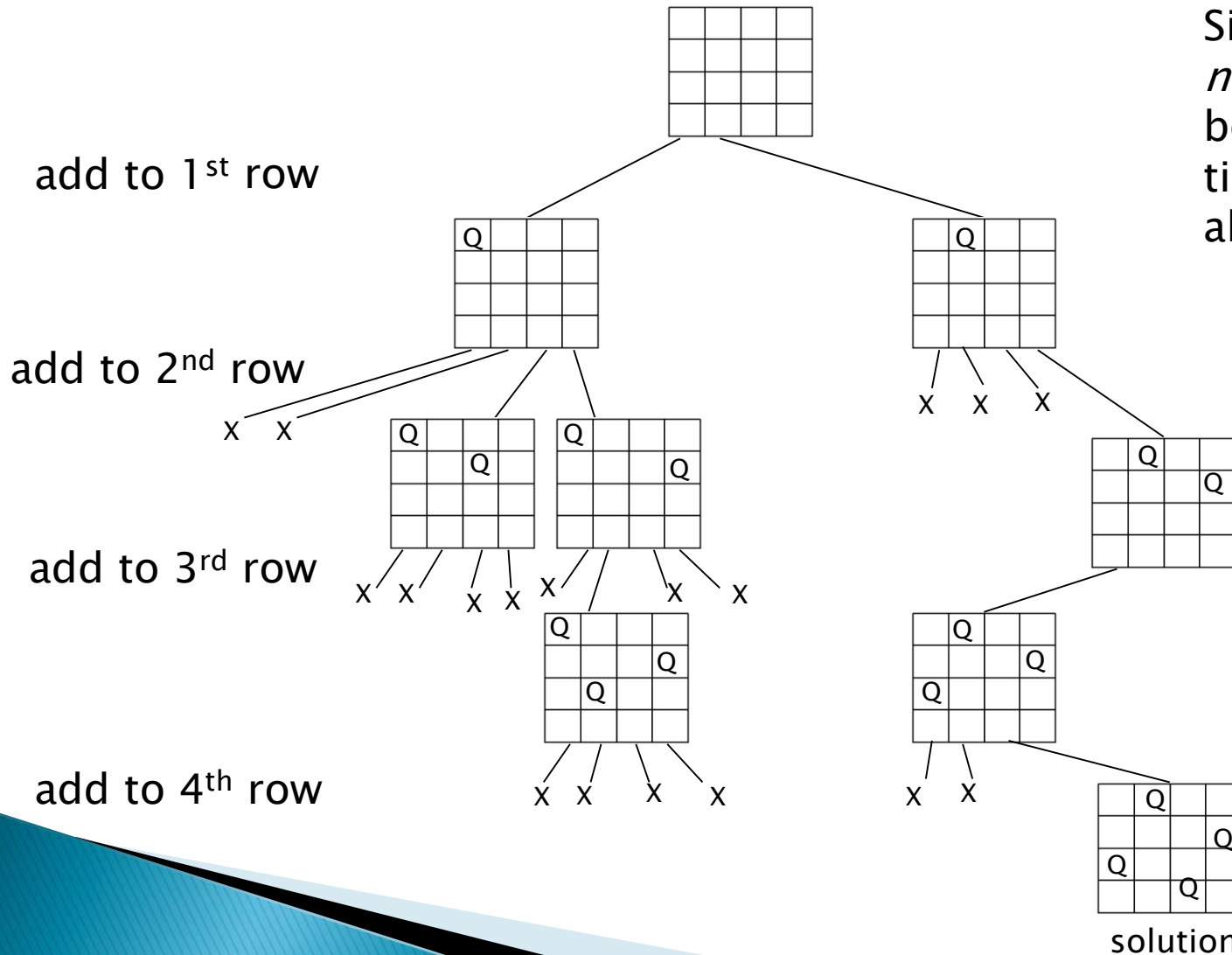
►  $n=4$



- We can solve it by backtracking
  - Root is empty board
  - At level  $i$ ... put a queen in row  $i$

# State-Space Tree of 4-Queens

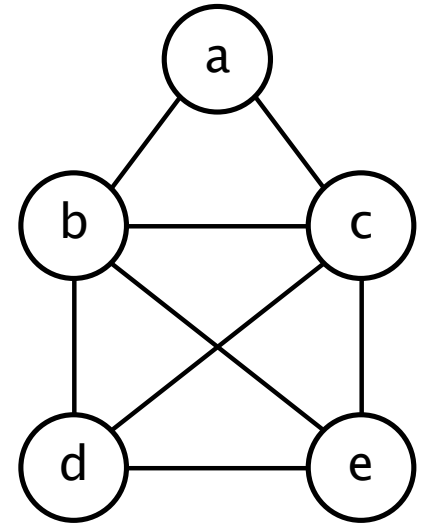
Side note: for any  $n > 3$ , a solution can be found in linear time (not with this algorithm)







# Hamiltonian cycle example



# Backtracking and Branch & Bound

- ▶ Backtracking
  - $n$ -Queens problem
- ▶ Branch and Bound
  - Assignment problem

# Branch and Bound

► The idea:

Set up a **bounding function**, which is used to compute a **bound** (for the value of the objective function) **at a node** on a state-space tree and determine **if it is promising**

- **Promising** (if the bound is better than the value of the best solution so far): expand beyond the node.
- **Non-promising** (if the bound is no better than the value of the best solution so far): do not expand beyond the node (pruning the state-space tree).

# Assignment problem

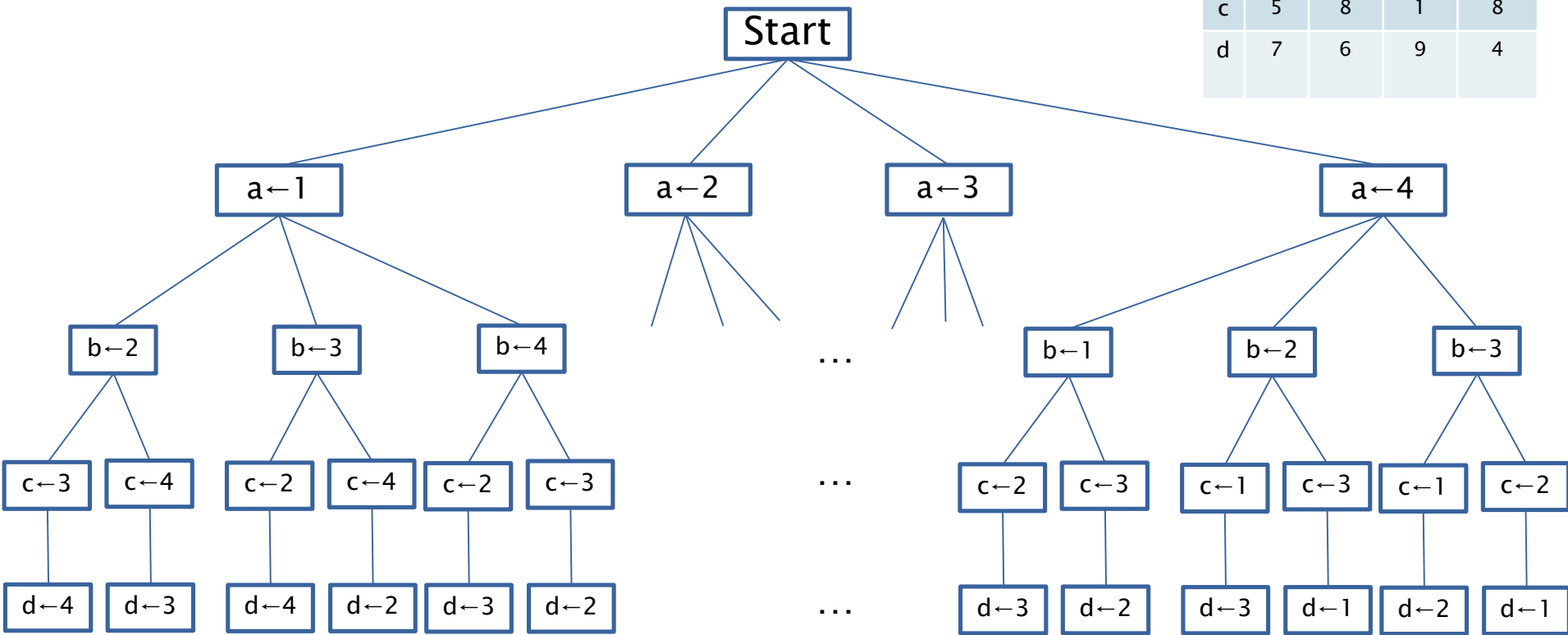
Select one element in each row of the cost matrix  $C$  so that:

- ▶ no two selected elements are in the same column
- ▶ the sum is minimized

	Job 1	Job 2	Job 3	Job 4
Person a	9	2	7	8
Person b	6	4	3	7
Person c	5	8	1	8
Person d	7	6	9	4

# Assignment Problem (Brute Force)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



# Assignment Problem (Branch & Bound)

Lower bound: Any solution to this problem will have total cost at least 10

$$lb = 2 + 3 + 1 + 4 = 10$$

Start

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4

# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4

$$lb = 2 + 3 + 1 + 4 = 10$$

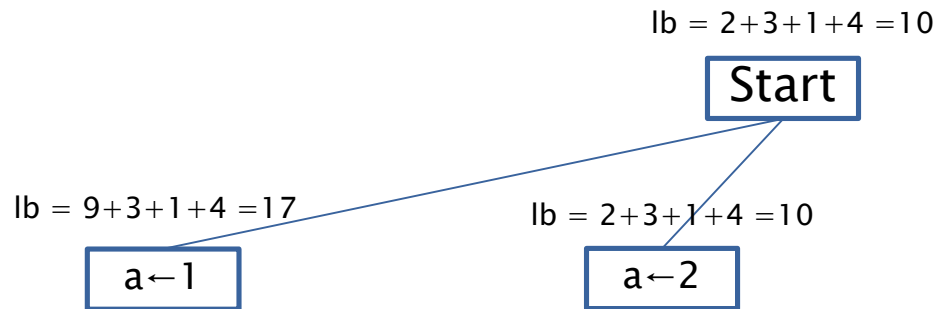
Start

$$lb = 9 + 3 + 1 + 4 = 17$$

a ← 1

# Assignment Problem (Branch & Bound)

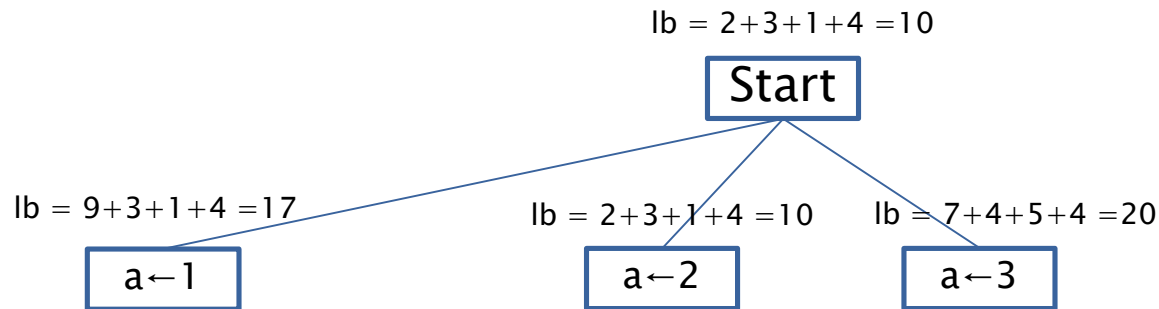
	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4





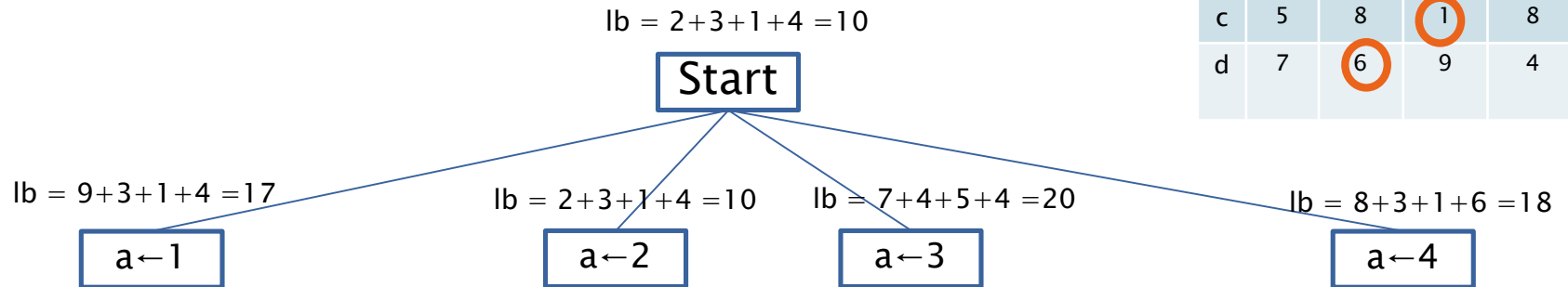
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



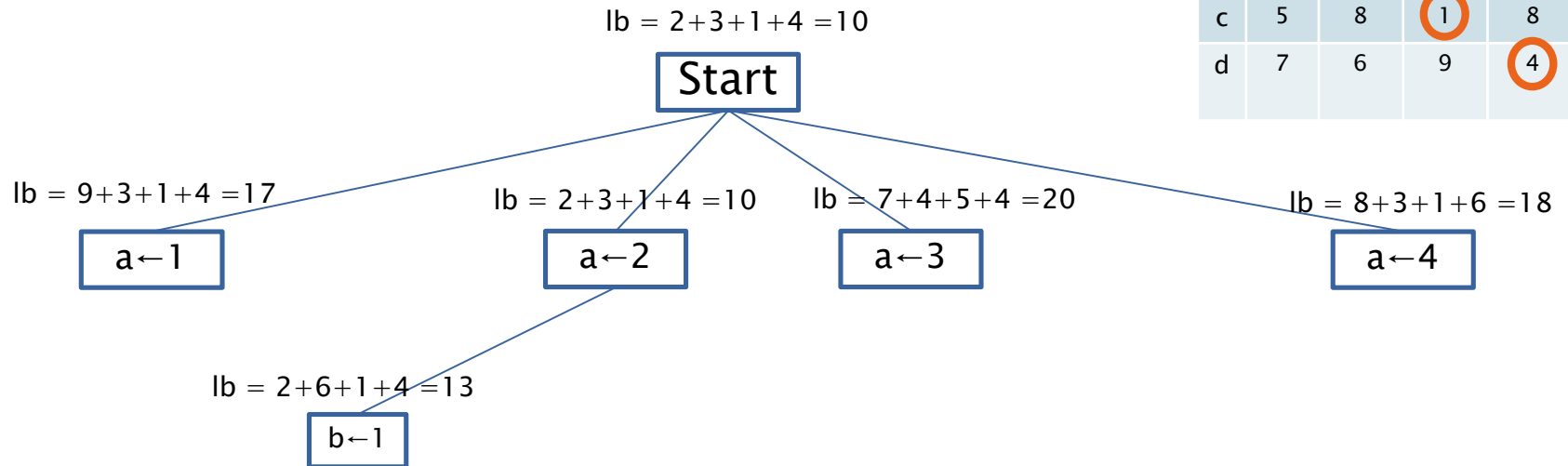
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



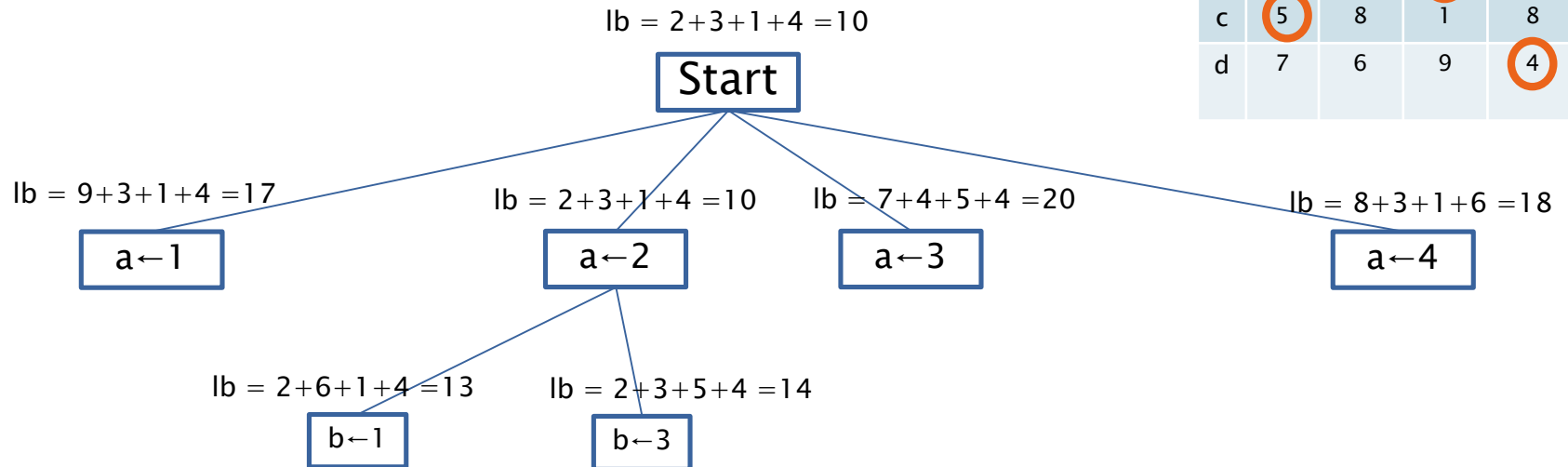
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



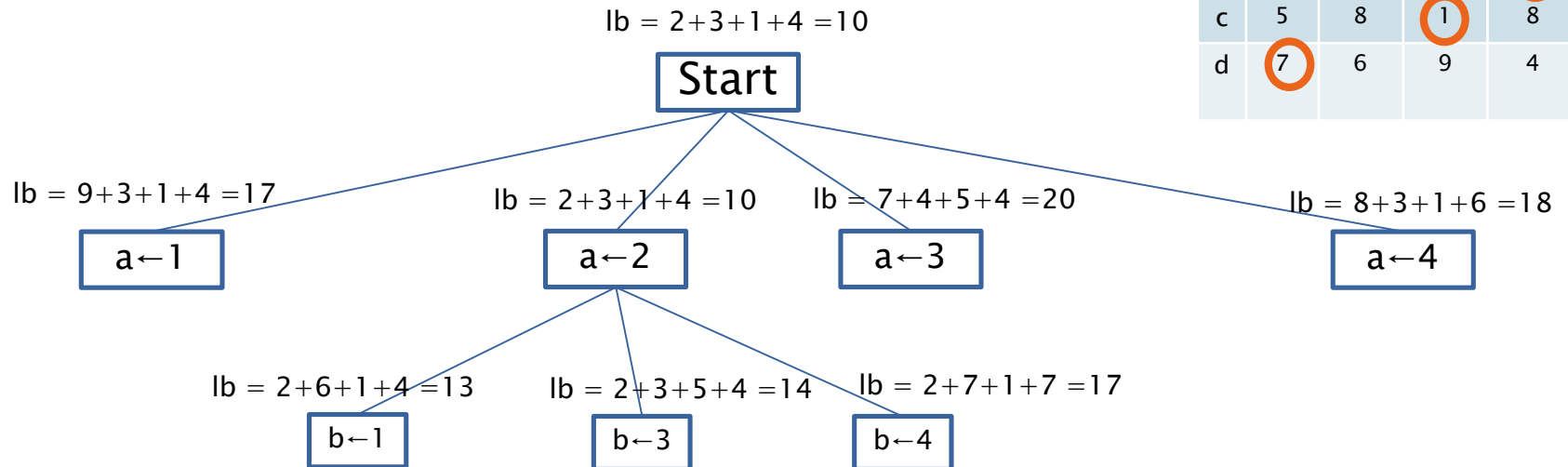
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



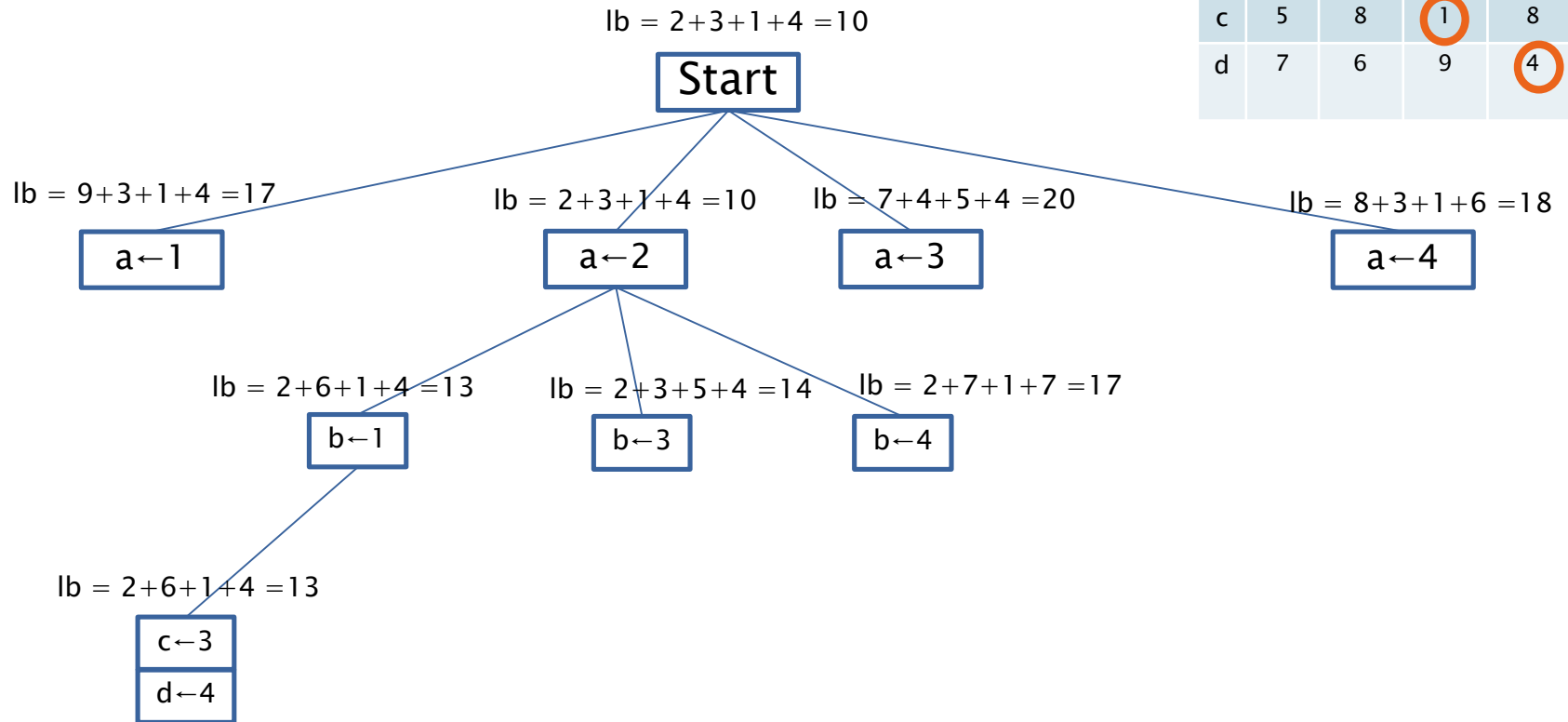
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



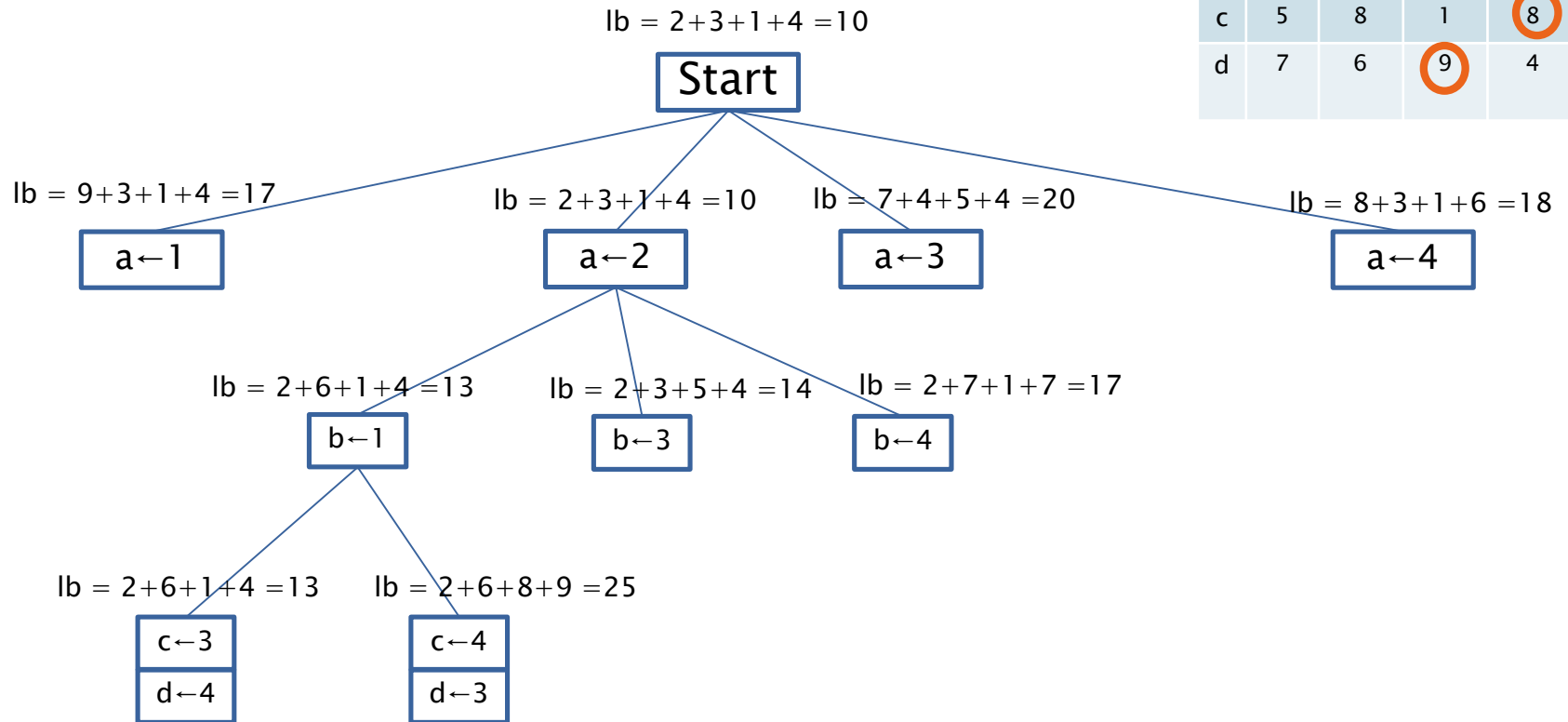
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



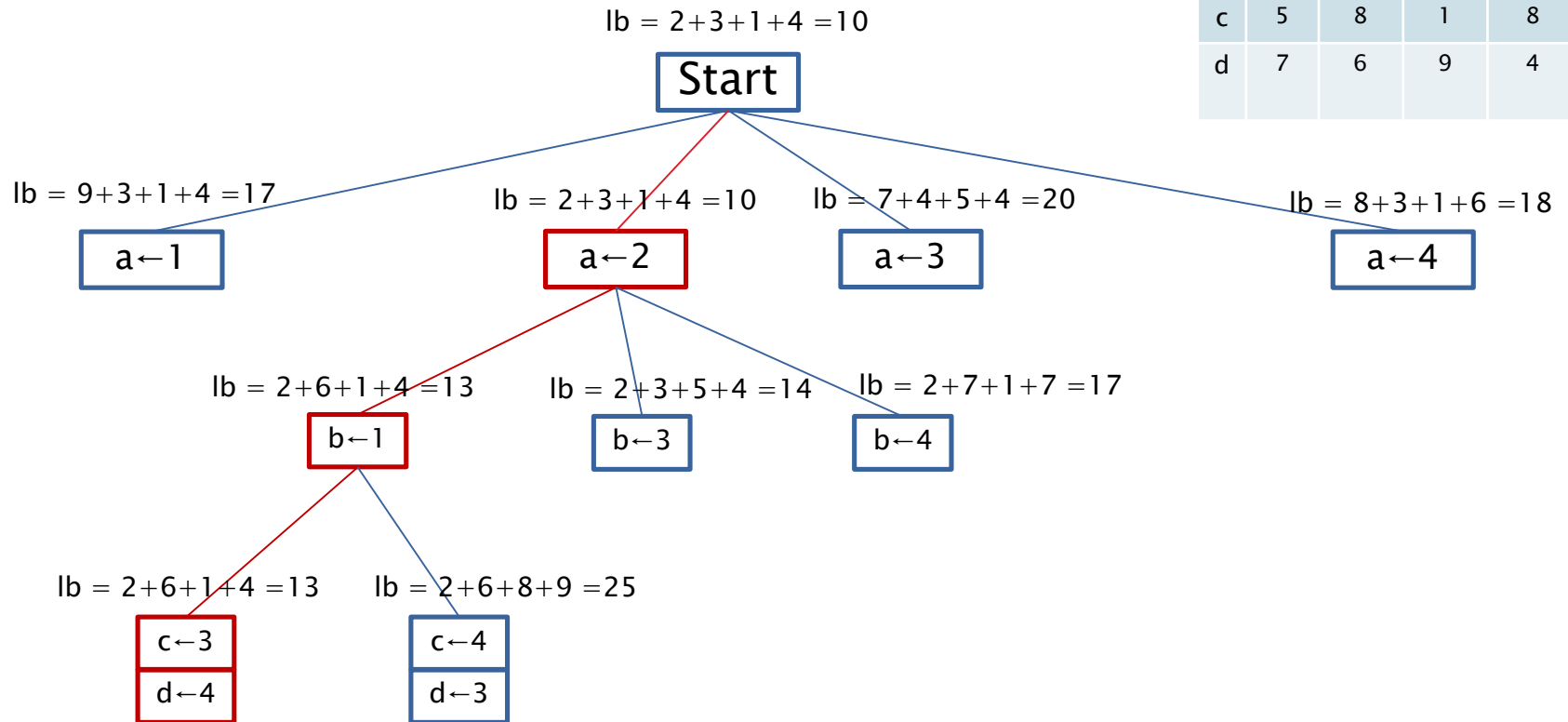
# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



# Assignment Problem (Branch & Bound)

	Job 1	Job 2	Job 3	Job 4
a	9	2	7	8
b	6	4	3	7
c	5	8	1	8
d	7	6	9	4



**Solution**



# Limitations of Algorithms

(Chapter 11)

# What We Have Seen So Far

- ▶ Lots of algorithms
  - Different kinds
    - (e.g. divide and conquer/dyn. programming)
  - Different applications
    - (e.g. sorting/graph probs)
- ▶ Focus on efficient problem solving
- ▶ Today:
  - What are the *limits* of algorithmic problem solving?

# Types of Problems

## ▶ *Decision problems*

- Problems that have a yes/no answer
- Example:
  - Does this graph have a Hamiltonian cycle?

## ▶ *Optimization problems*

- Problems that involve maximizing or minimizing some parameter
- Example:
  - What is the shortest path from A to B?

# Types of Problems

- ▶ Optimization problems can be framed as decision problems...
- ▶ Example
  - How many colors are needed to color this map?
  - Vs.
  - Can I color this map with 4 colors?
- ▶ This is often called the *decision problem version* of an optimization problem

# A Basic Question

- ▶ Can every decision problem be solved by an algorithm?
- ▶ In other words:
  - Can we always write down a step by step process that will give the right yes/no answer to a question?

# Program Termination

- ▶ We can write a program that never stops

```
X ← true  
while (X)  
{  
}  

```

- ▶ This can happen by accident too
  - And it is irritating to debug
  - It would be nice if we could know in advance if our program was going to run forever

# The Halting Problem

- ▶ Here is a important theoretical question:

**Can we write a program that tests whether or not an arbitrary program will terminate?**

- ▶ This is *The Halting Problem*
  - Originally posed by Alan Turing
  - (The actual question is a bit more precise)

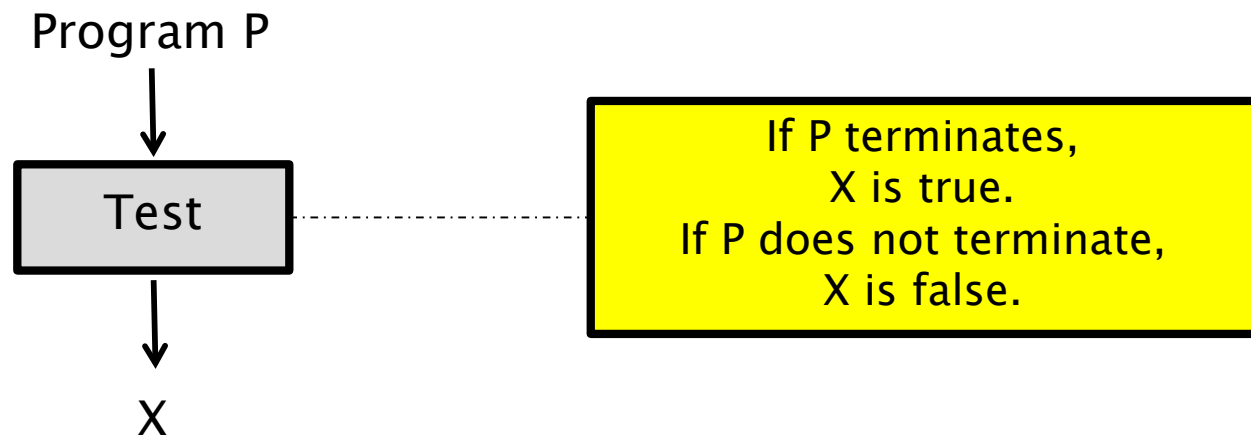
# The Halting Problem

- ▶ We will *prove* that no such program exists
- ▶ The proof is by *contradiction*
  - So we assume that the program does exist...
  - Then we show that assumption leads to nonsense
- ▶ This is a standard method of proof in math



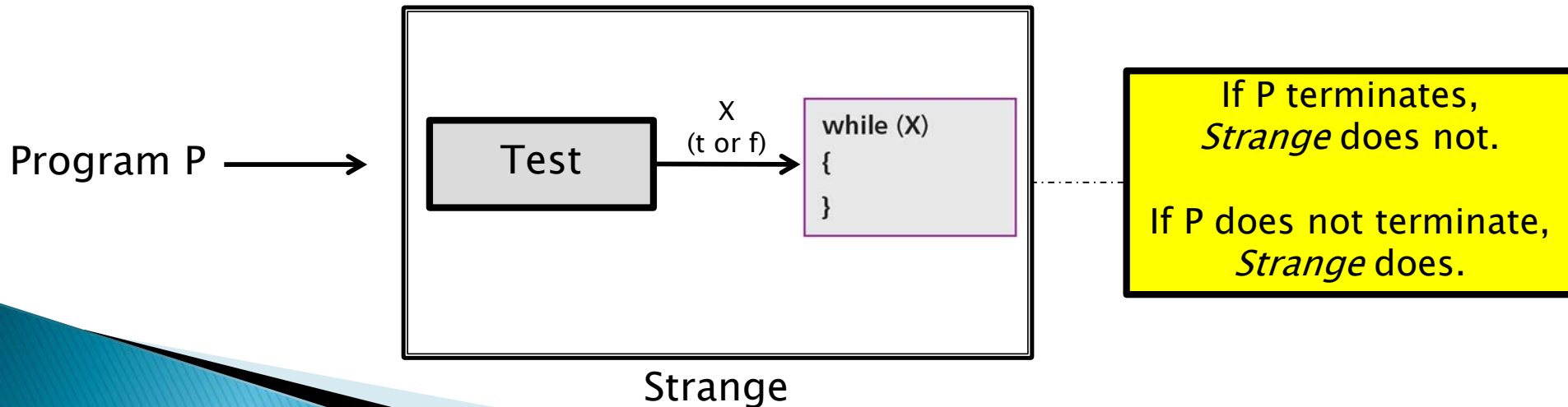
# Proof – Step 1

- ▶ Suppose we have a program called *Test* such that:
  - It takes a program *P* as input
    - It returns *true* if the program *P* terminates
    - It returns *false* if the program *P* runs forever



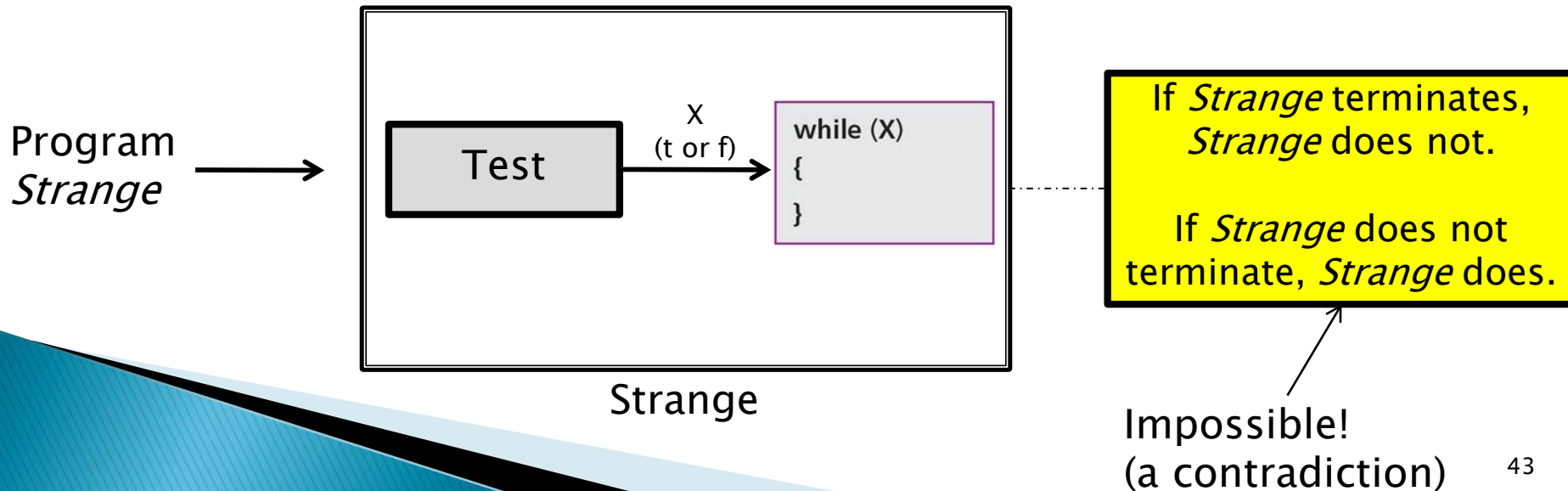
# Proof – Step 2

- ▶ Now create another program called *Strange* made of two parts:
  - A copy of *Test* at the beginning
  - An empty loop—a loop with an empty body—at the end.
  - The loop uses *X* as the testing variable, which is actually the output of the *Test* program.
  - This program also uses *P* as the input.



# Proof – Step 3

- ▶ So now we have written the program *Strange*
  - It takes any program as input
  - So we can put *Strange* as the input for itself



# What We Showed

- ▶ If we assume *Test* exists, then:
  - The program *Strange* also exists
- ▶ But the program *Strange* can not exist
  - Because it terminates AND does not terminate at the same time
- ▶ Therefore... the program *Test* does not exist

**There is no program that tests whether or not an arbitrary program will terminate.**

# What We Showed (cont.)

- ▶ We started with a simple decision problem:
  - Does an input program ever terminate?
- ▶ We showed that there is no program that solves this problem

# What This Means

- ▶ There are decision problems that can not be solved *by any algorithm*
  - The Halting Problem is an example

We say a decision problem is **undecidable** if it can not be solved by any algorithm.

- ▶ So what we normally say:
  - The Halting Problem is undecidable