

Today's plan

- Transform and conquer algorithms (partial)
- Review for the midterm

A curious little problem

- Given an (unsorted) array containing distinct integers, print out the “next-largest” value for each element of the array.
 - For example $[8,6,7,5,3,0,9] \rightarrow [9,7,8,6,5,3,\text{inf}]$
 - “inf” is some kind of magical “infinity” number which we will assume to exist

Transform and Conquer

Textbook sections:

6.1, 6.4

Transform and Conquer

- This technique solves a problem by a transformation to:
 - a more convenient instance of the same problem (aka **instance simplification**)
 - a different representation of the same instance (aka **representation change**)

Transform and Conquer examples

- **Instance simplification (pre-sorting)**
 - Checking element uniqueness in an array
 - Computing the mode
- **Representation change**
 - Heap
 - Implementation
 - Insert and Delete
 - Construction
 - Heap sort

Element uniqueness
in an array

Example: Element uniqueness in an array

- Problem: Determine if all elements in an array are distinct
- Brute force algorithm
 - Compare all pairs of elements
 - Efficiency: $O(n^2)$
- Instance simplification (presorting)
 - Stage 1: sort by efficient sorting algorithm (e.g. mergesort)
 - Stage 2: scan array to check pairs of adjacent elements
 - Efficiency: $O(n \log n) + O(n) = O(n \log n)$

Example: Element uniqueness in an array

ALGORITHM *PresortElementUniqueness*($A[0..n - 1]$)

//Solves the element uniqueness problem by sorting the array first

//Input: An array $A[0..n - 1]$ of orderable elements

//Output: Returns “true” if A has no equal elements, “false” otherwise

sort the array A

for $i \leftarrow 0$ **to** $n - 2$ **do**

if $A[i] = A[i + 1]$ **return false**

return true

Computing
a mode

Computing a mode

- The ***mode*** is the value that occurs most often in a given list of numbers.

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

Mode: 6

Computing a mode

- Brute Force:
 - Scan the list
 - Compute the frequencies of all distinct values
 - Find the value with the largest frequency

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5
Frequency	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

$i \uparrow$

Data	5	1
Frequency	1	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5	1	6
Frequency	1	1	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5	1	6	7
Frequency	1	1	1	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

↑
i

Data	5	1	6	7
Frequency	1	1	2	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5	1	6	7
Frequency	2	1	2	1

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

↑
i

Data	5	1	6	7
Frequency	2	1	2	2

Computing a mode

- Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

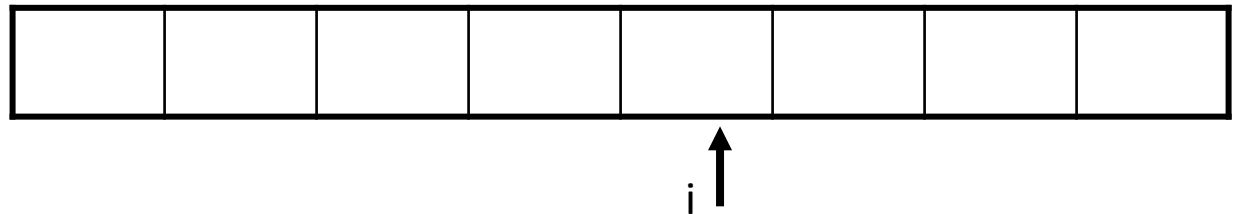
↑
i

Data	5	1	6	7
Frequency	2	1	3	2

Max

Computing a mode

- Efficiency (worst-case):
 - A list with no equal elements
 - i^{th} element is compared with $i - 1$ elements in the “Data” array



Data

Frequency

Computing a mode

- Efficiency (worst-case):
 - Creating auxiliary list (“Data” array):
 $0 + 1 + 2 + \dots + n - 1 = O(n^2)$
 - Finding max: $O(n)$
 - Efficiency (worst-case): $O(n^2)$

Computing a mode (pre-sorting)

- Sort the input
- All equal values will be adjacent to each other
- Find the longest run of adjacent equal values in the sorted array

Computing a mode (pre-sorting)

ALGORITHM *PresortMode*($A[0..n - 1]$)

//Computes the mode of an array by sorting it first

//Input: An array $A[0..n - 1]$ of orderable elements

//Output: The array's mode

sort the array A

$i \leftarrow 0$ //current run begins at position i

$modefrequency \leftarrow 0$ //highest frequency seen so far

while $i \leq n - 1$ **do**

$runlength \leftarrow 1$; $runvalue \leftarrow A[i]$

while $i + runlength \leq n - 1$ **and** $A[i + runlength] = runvalue$

$runlength \leftarrow runlength + 1$

if $runlength > modefrequency$

$modefrequency \leftarrow runlength$; $modevalue \leftarrow runvalue$

$i \leftarrow i + runlength$

return $modevalue$

Computing a mode (pre-sorting)

- Efficiency:

$$\begin{aligned}T(n) &= T_{\text{sort}}(n) + T_{\text{scan}}(n) \\&= O(n \log n) + O(n) \\&= O(n \log n)\end{aligned}$$

Searching with
presorting

Searching with presorting

- Problem: Search for a given key K in an array $A[0..n-1]$
- Presorting-based algorithm:
 - Stage 1 Sort the array by an efficient sorting algorithm
 - Stage 2 Apply binary search
- Efficiency: $O(n \log n) + O(\log n) = O(n \log n)$
- Good or bad? (Note that sequential search is $O(n)$)
- Why do we have our dictionaries, telephone directories, etc. sorted?

Why is presorting better?

- What if we have $A[1000]$ and search a million times?
- With Linear/Sequential Search:
 - Search is $O(n) \rightarrow 500$ steps per search (average case)
 - 1,000,000 searches $\rightarrow 500,000,000$ steps
 - Total time: 500,000,000 steps
- With Presort + Binary Search
 - Presort = $O(n \log n) = 1000 * 10 = 10,000$ steps
 - Search is $O(\log n) \rightarrow 10$ steps per search (average case)
 - 1,000,000 searches $\rightarrow 10,000,000$ steps
 - Total time: 10,010,000 steps
- P+BS is about 50x better

MIDTERM REVIEW

Exam details

- Actual exam writing time will be 95 minutes
- Closed book, notes, devices, etc.
- 25 pts
 - 19 pts TF/MC
 - 6 pts problem solving/writing pseudocode
- DTC: Thursday 3:30, Rm 645
- BBY: Friday 3:30, SW2-1850

Last Name

First Name

Set

You'll need a #2 pencil

Make sure you fill in all of this
stuff on the Scantron form

Version

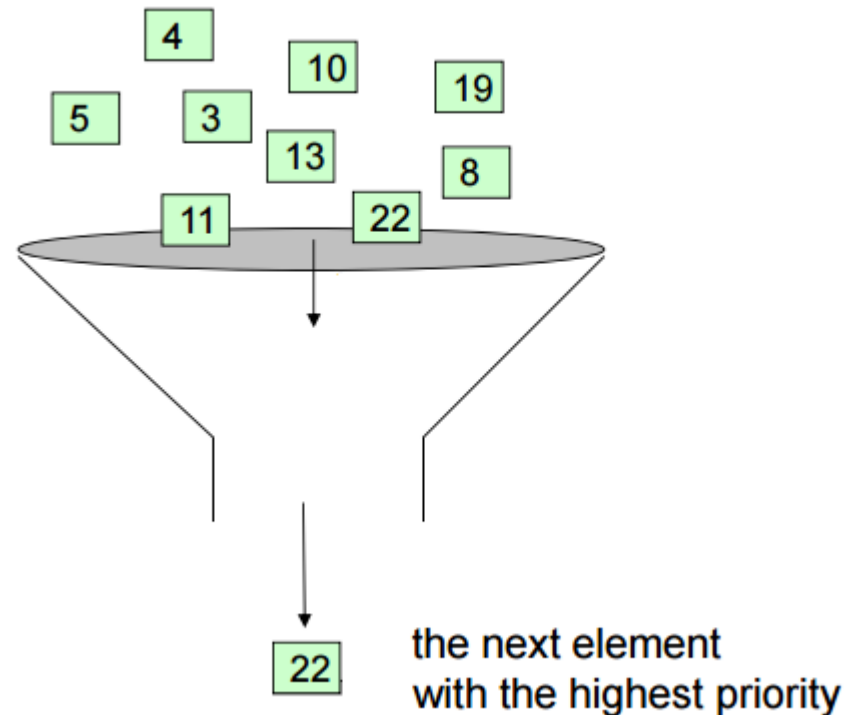
Student Number
(replace "A" with "0")

SMITH										JOHN																				A									
<div>Grid of bubbles for letters A-Z, with some bubbles filled in (e.g., A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z).</div>																																							
SEX										FEMALE <input type="radio"/> MALE <input type="radio"/>										IDENTIFICATION NUMBER																			
TEST VERSION										SPECIAL CODES										A00123456																			
<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>										<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>										<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>																			
DO NOT WRITE										GRADE OR EDUCATION																													
<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>										<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>										<div>Grid of bubbles for digits 0-9, with some bubbles filled in (e.g., 0, 1, 2, 3, 4, 5, 6, 7, 8, 9).</div>																			

Representation change: Heaps and Heapsort

Sample problem

- You're running a hospital
- Patients are coming in with different priority



Simple implementations

- Arraylist

- Insert: $O(1)$
- deleteMax: $O(n)$

7	5	8	1	9
---	---	---	---	---

- SortedArraylist

- Insert: $O(\log n + n)$
- deleteMax: $O(n)$

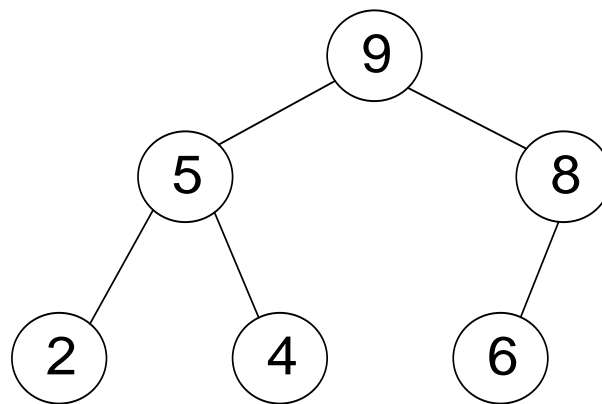
9	8	7	5	1
---	---	---	---	---

Representation change

- Idea:
 - Given an array
 - Transform to a new data structure
(Make a “**heap**” out of it)
- Efficiency of heap:
 - Insert an item: $O(\log n)$
 - Delete an item with max priority: $O(\log n)$

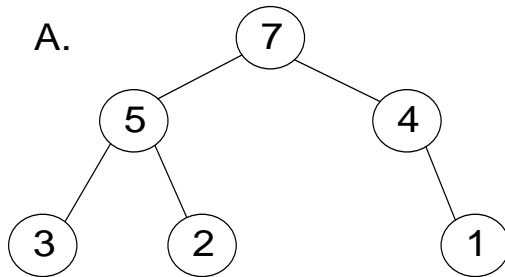
Heap definition

- Almost complete binary tree
 - filled on all levels, except last, where filled from left to right
- Every parent is greater than (or equal to) child

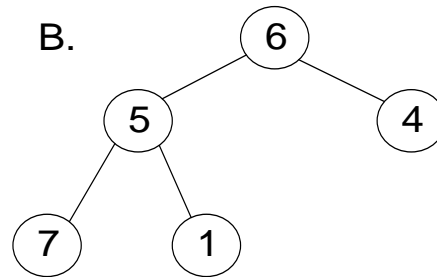


Heap or No Heap?

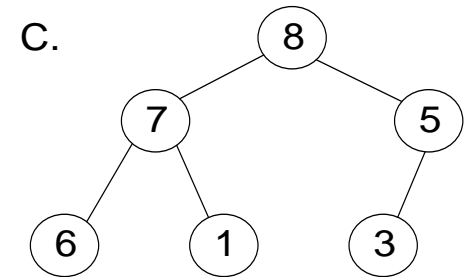
NO



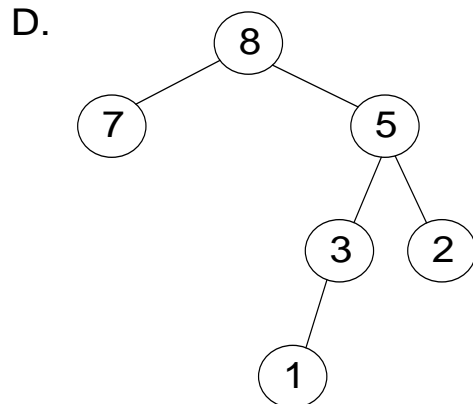
NO



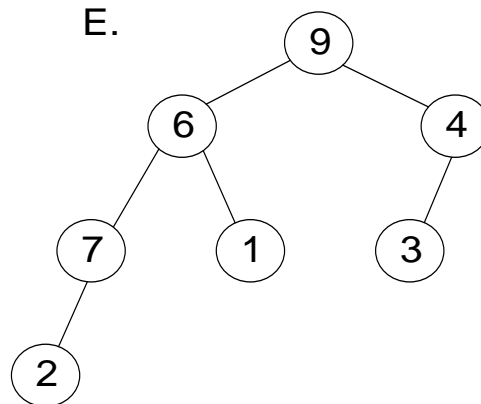
YES



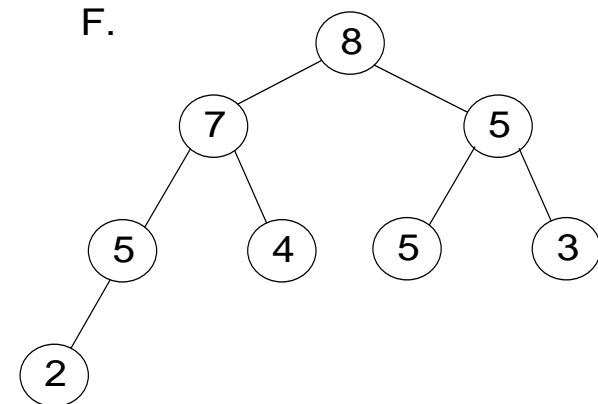
NO



NO

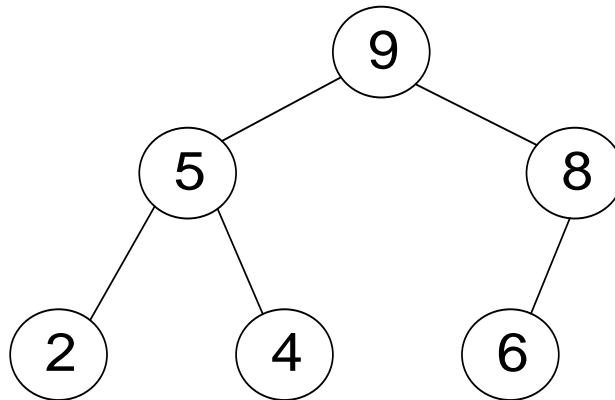


YES



Heap properties

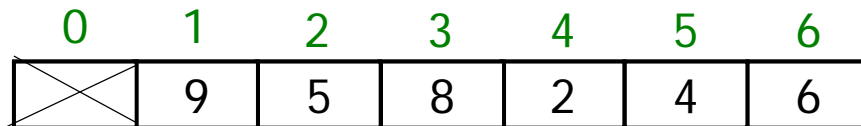
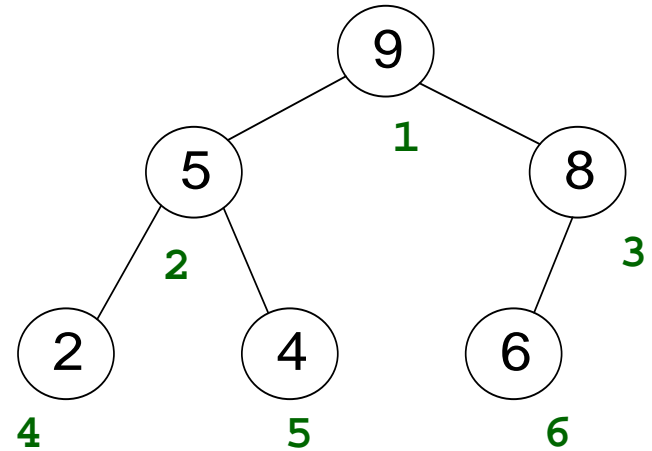
- Max element is in root
- Heap with N elements has height = $\lfloor \log_2 N \rfloor$



N = 6
Height = 2

Heap implementation

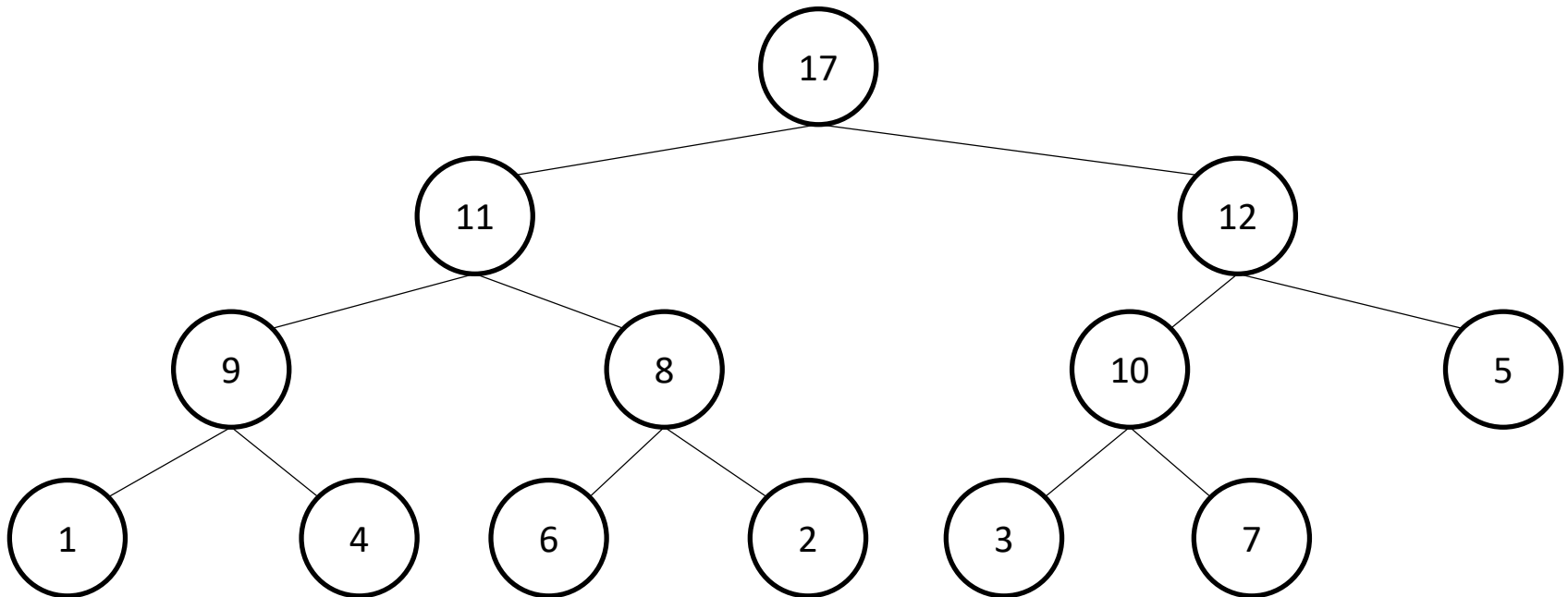
- Use an array: no need for explicit parent or child pointers.
 - $\text{Parent}(i) = \lfloor i/2 \rfloor$
 - $\text{Left}(i) = 2i$
 - $\text{Right}(i) = 2i + 1$



Example 1

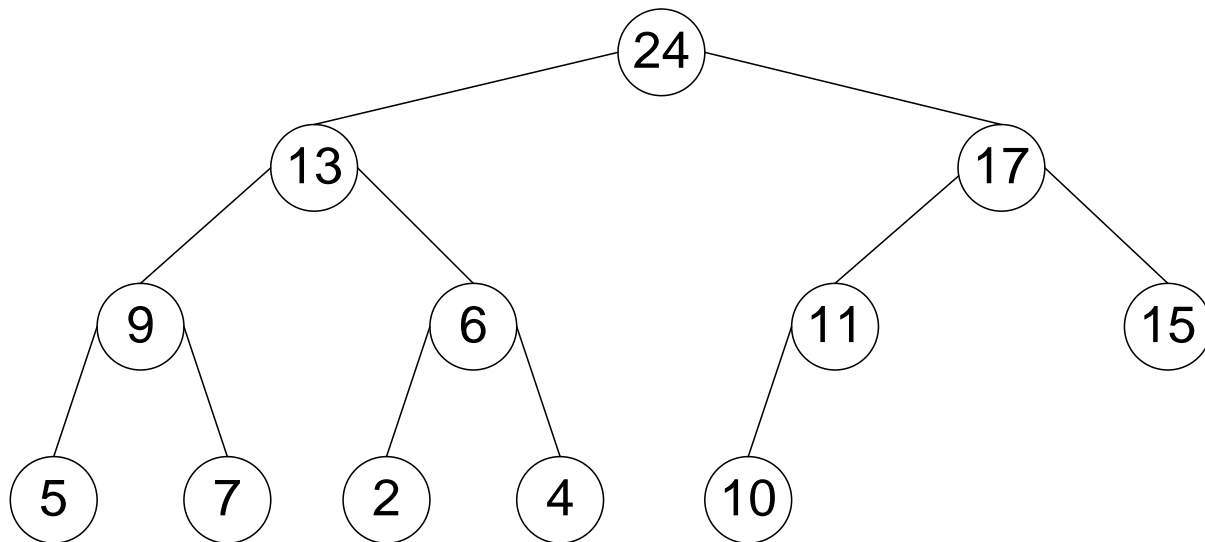
- Draw the tree representation of this heap

Index	1	2	3	4	5	6	7	8	9	10	11	12	13
value	17	11	12	9	8	10	5	1	4	6	2	3	7



Example 2

- Draw the array representation of this heap



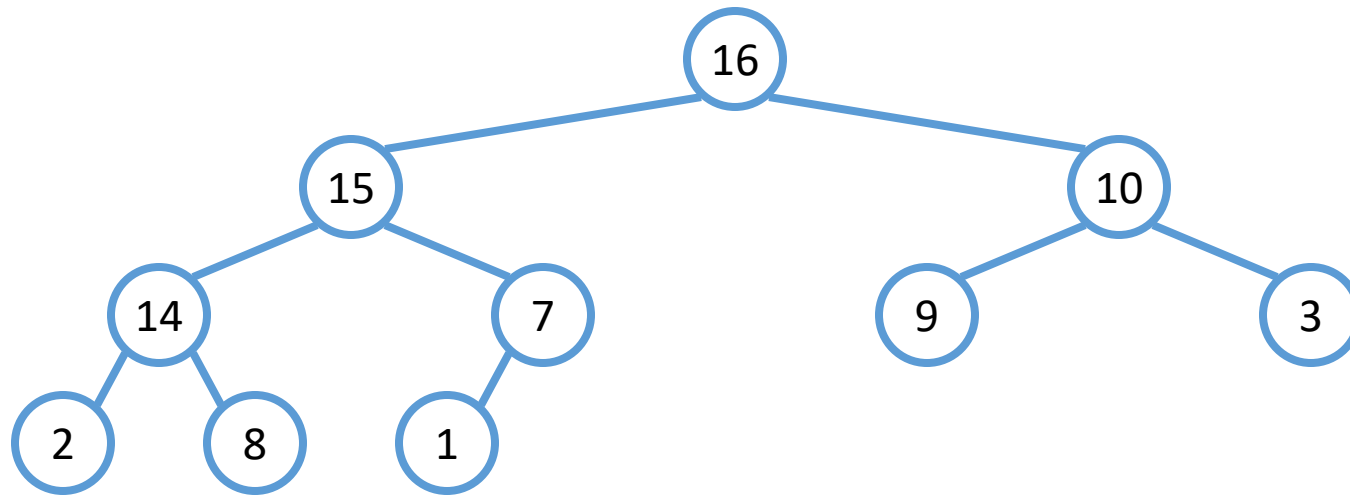
Index	1	2	3	4	5	6	7	8	9	10	11	12
value	24	13	17	9	6	11	15	5	7	2	4	10

Heap insertion

- Insert into next available slot
- Bubble up until it's heap ordered (heapify)

Insert to heap example

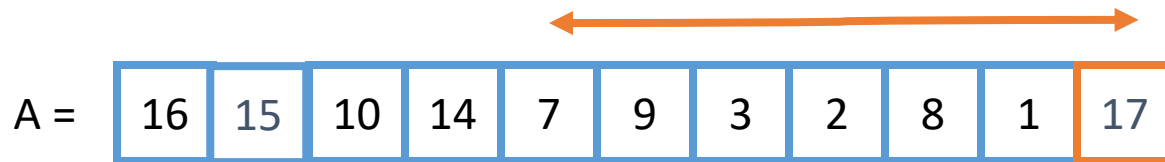
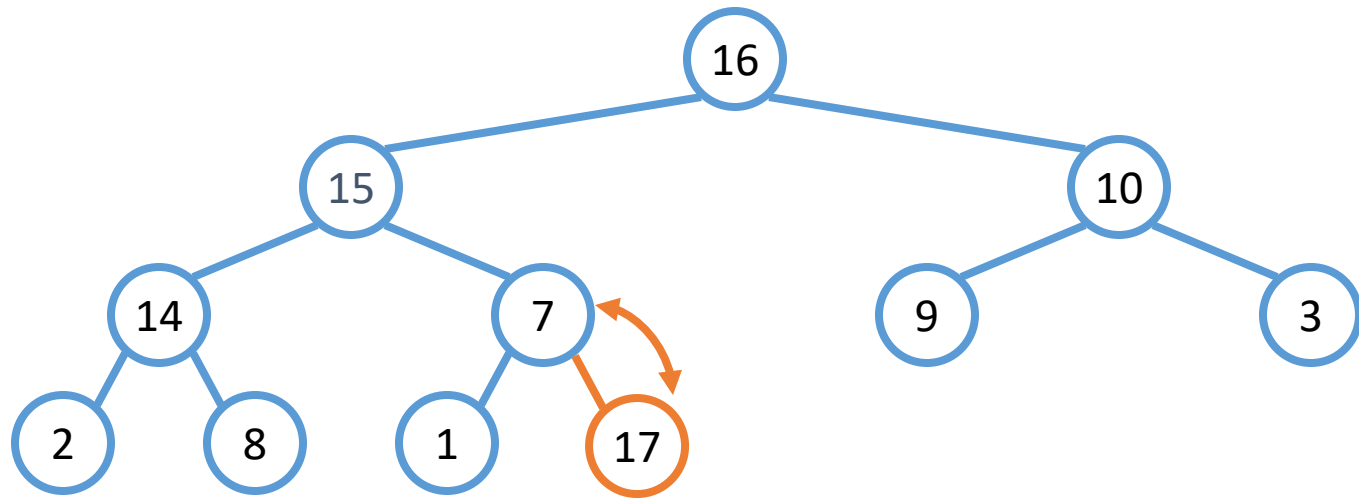
- Insert 17



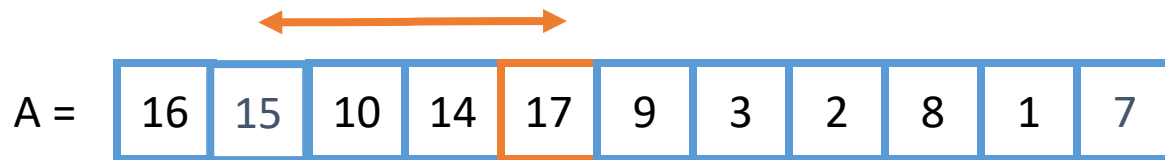
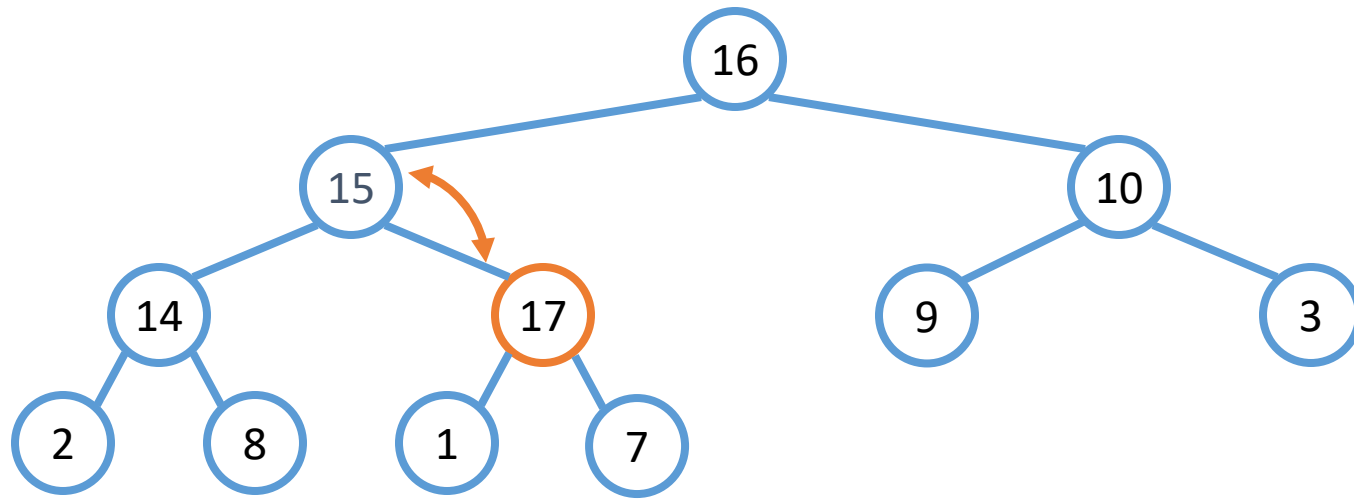
A =

16	15	10	14	7	9	3	2	8	1
----	----	----	----	---	---	---	---	---	---

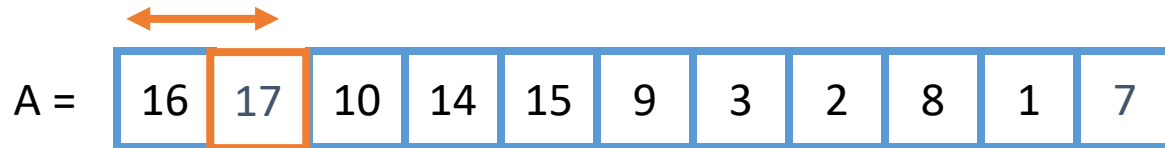
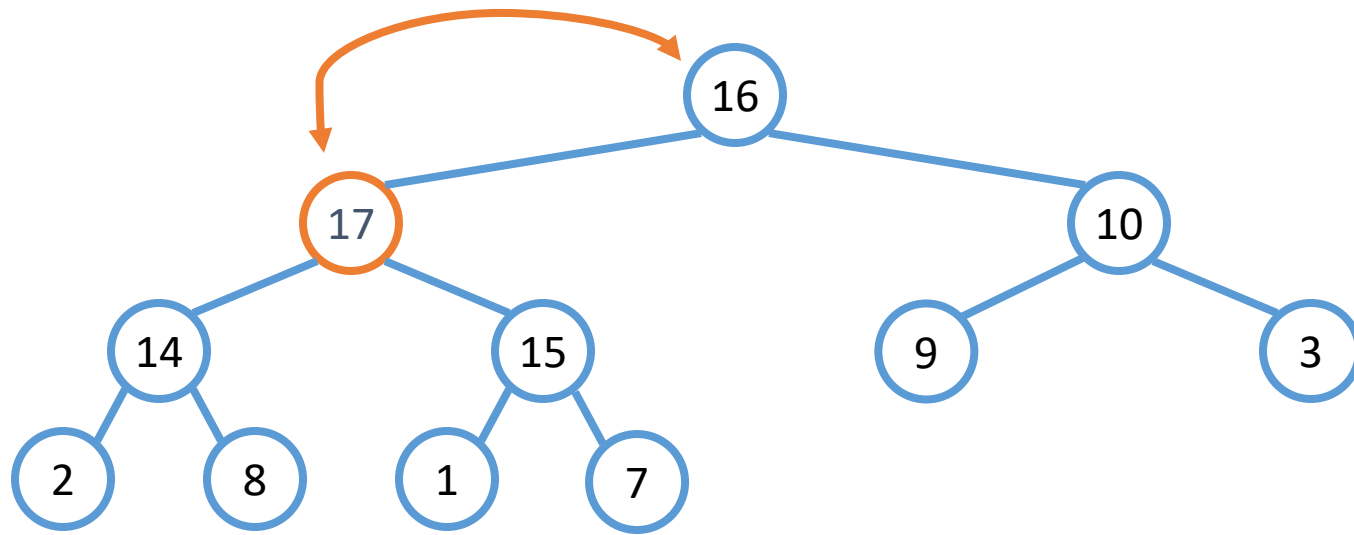
Insert to heap example



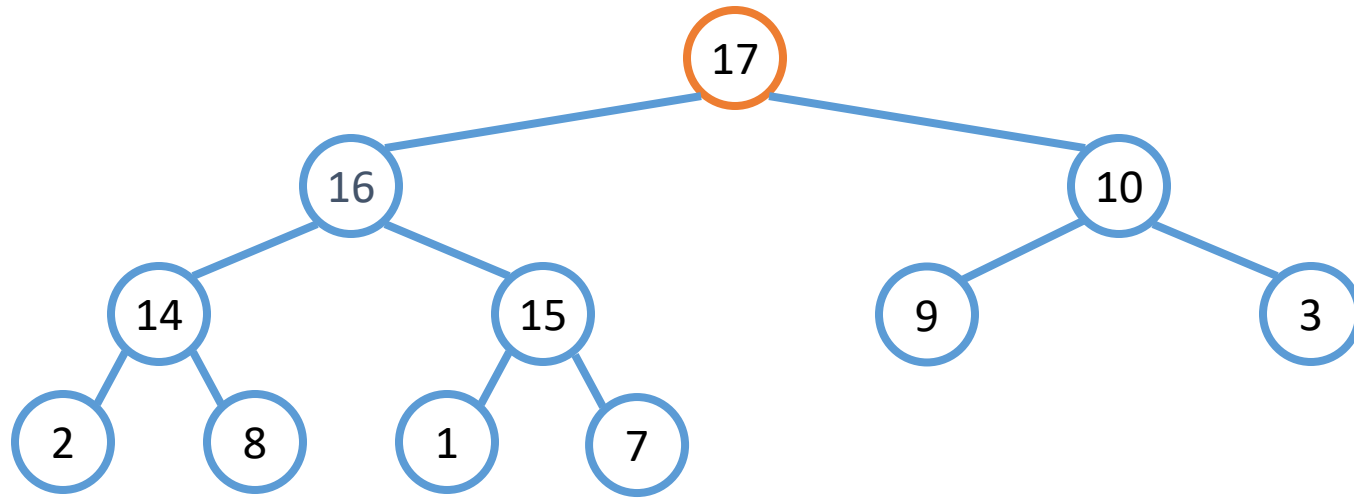
Insert to heap example



Insert to heap example



Insert to heap example

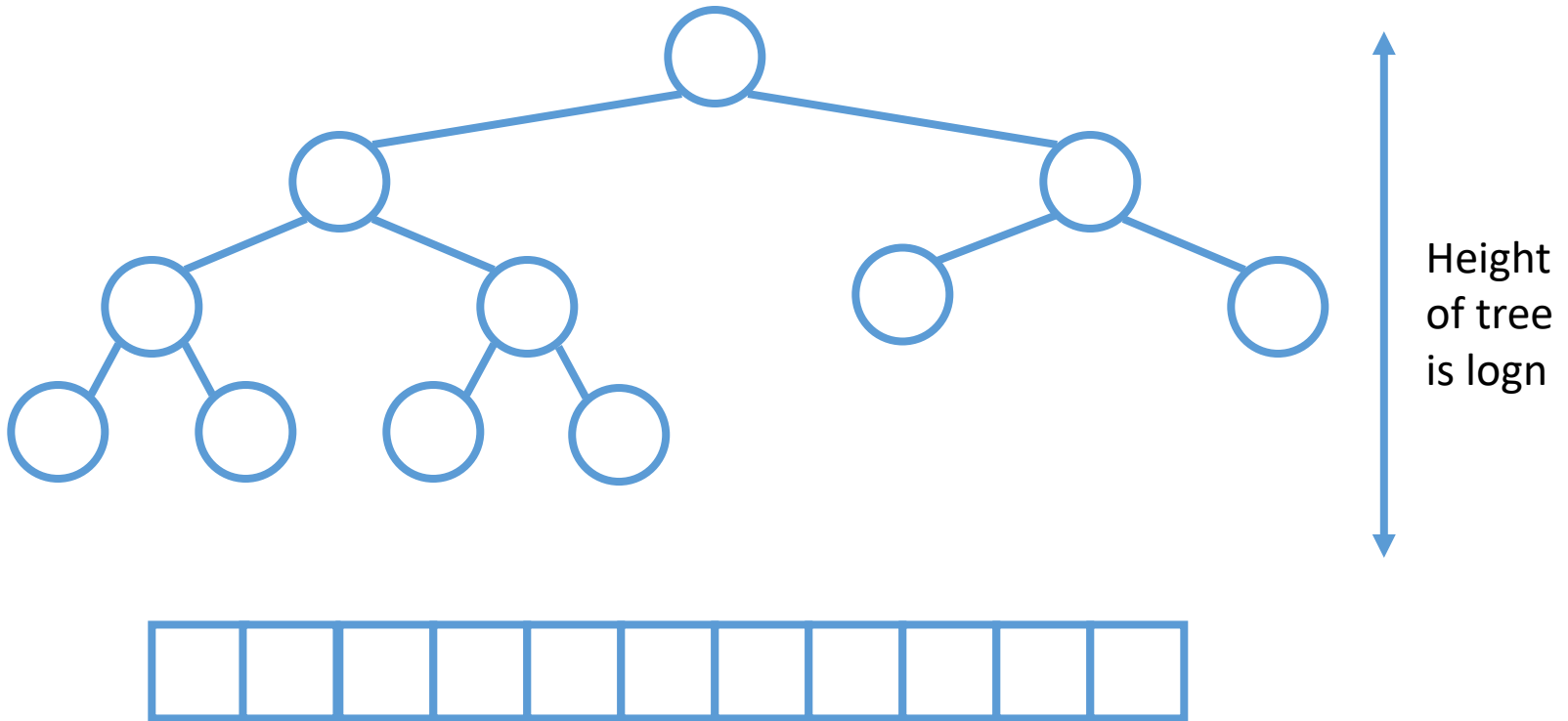


A =

17	16	10	14	15	9	3	2	8	1	7
----	----	----	----	----	---	---	---	---	---	---

Insert to heap example

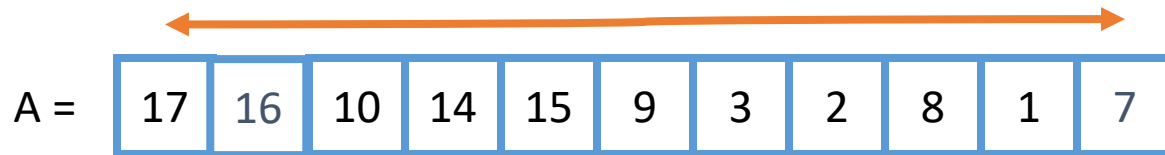
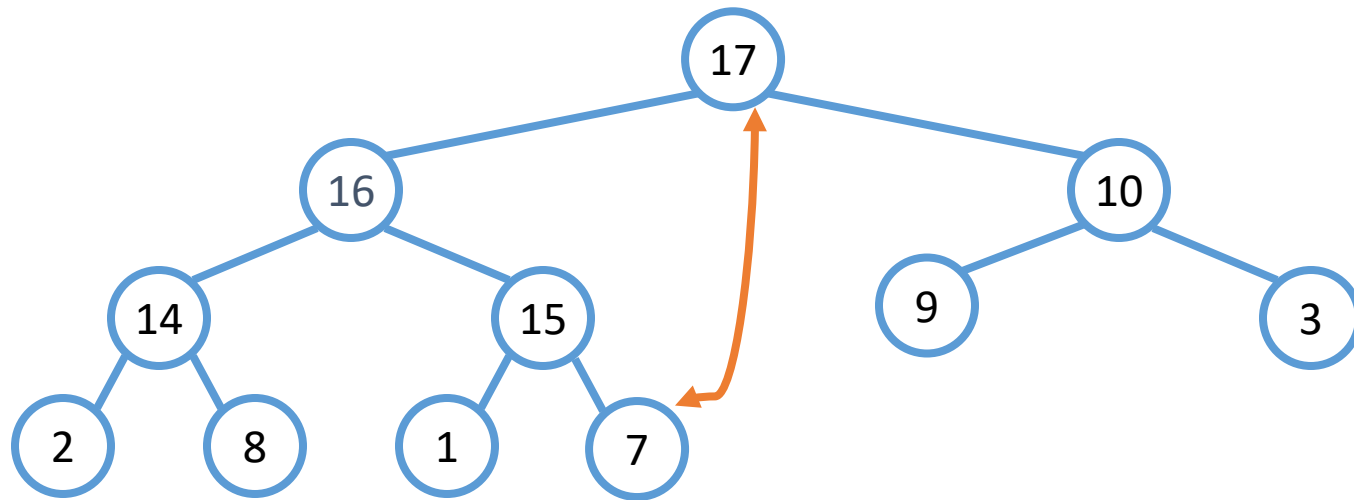
- ▶ Efficiency is $O(\log n)$



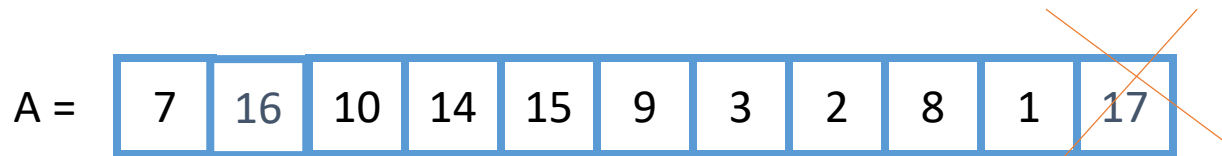
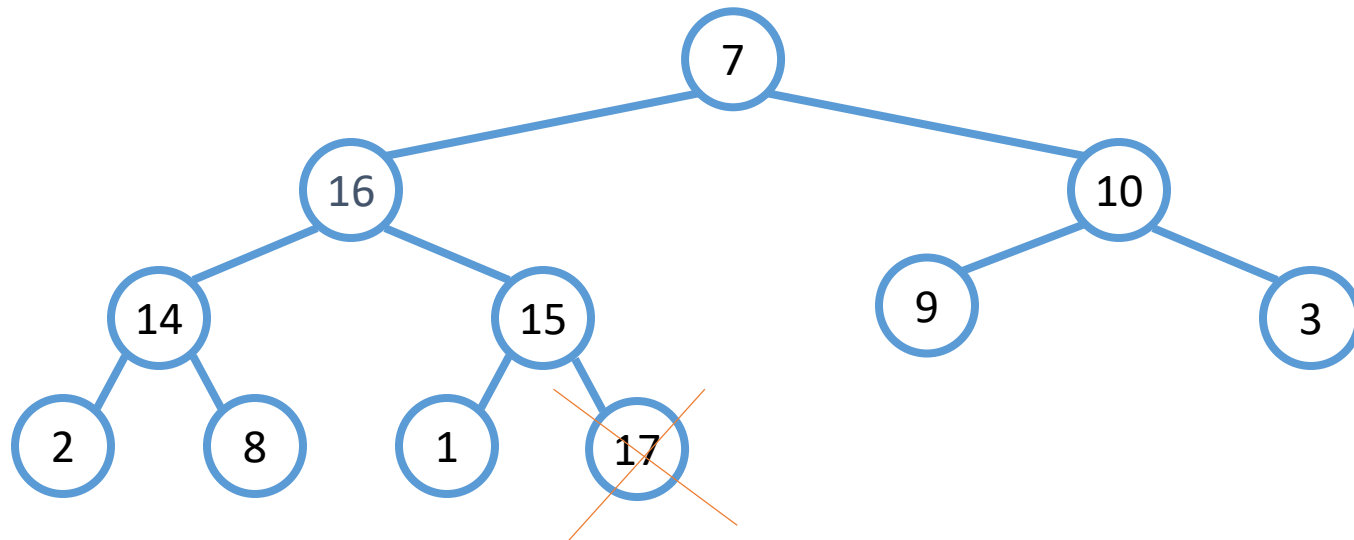
Delete max from heap

- Exchange root with rightmost leaf
- Delete element
- Bubble root down until it's heap ordered

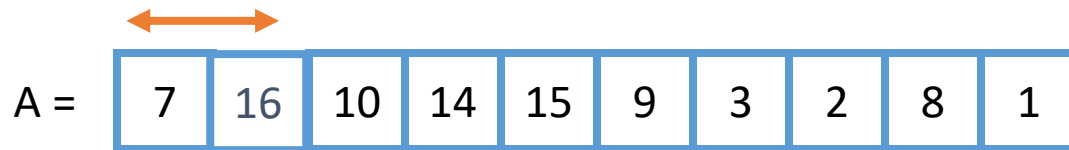
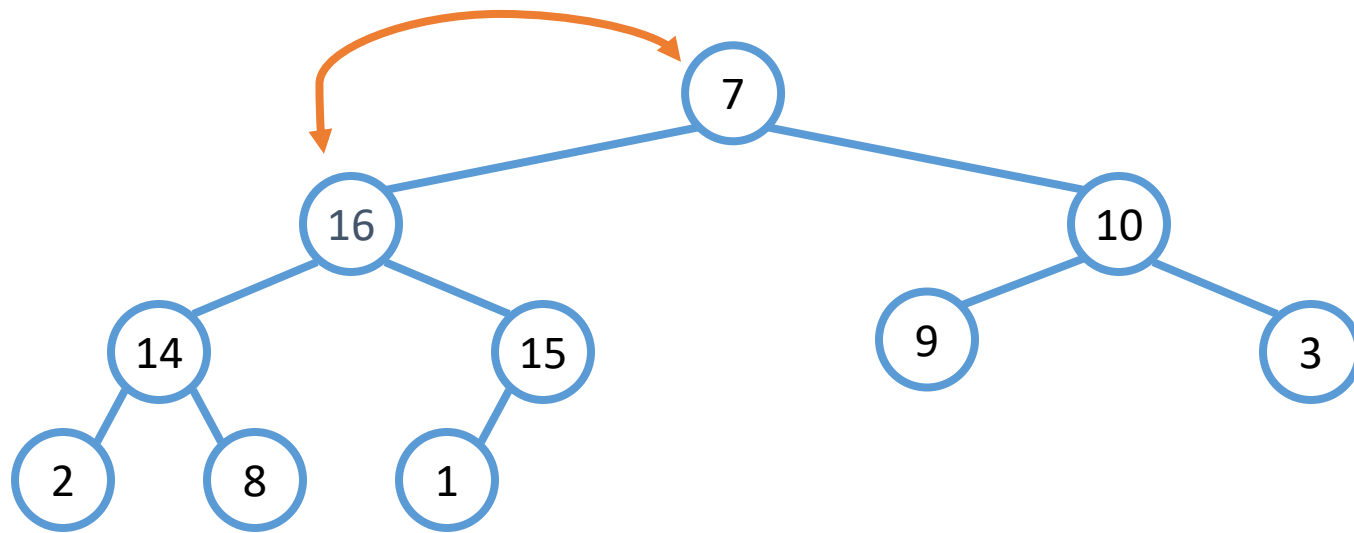
Delete max from heap Example



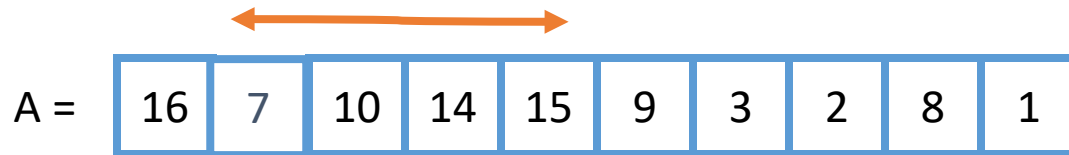
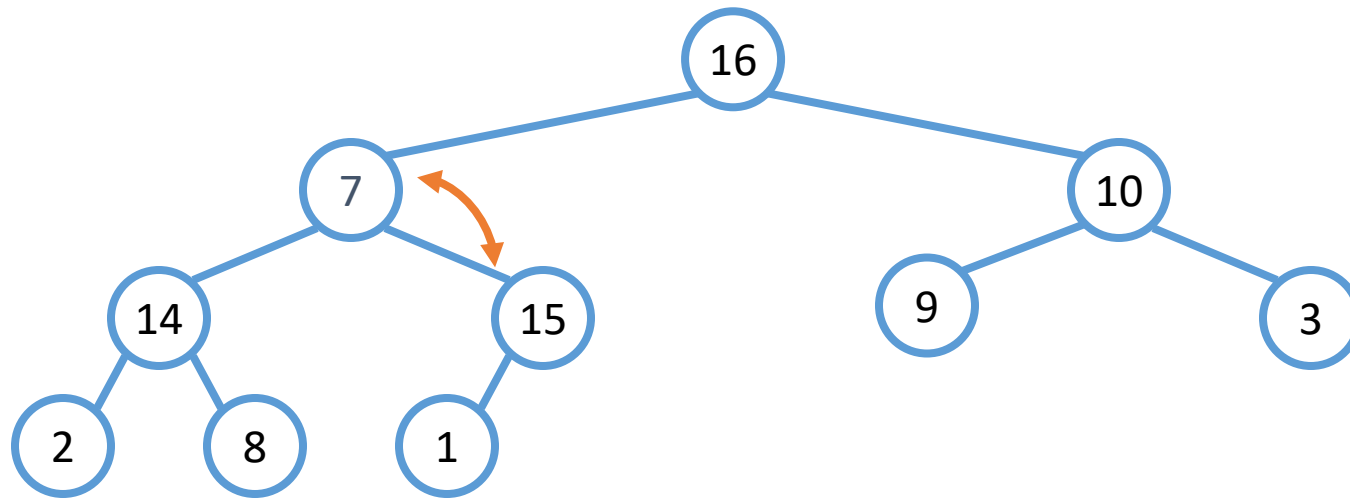
Delete max from heap Example



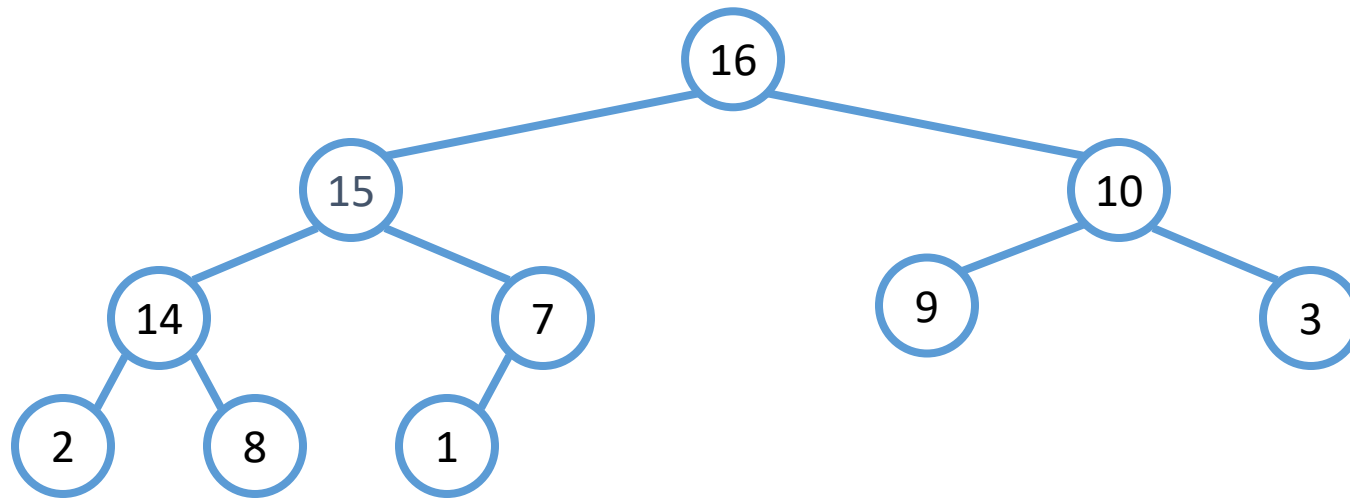
Delete max from heap Example



Delete max from heap Example



Delete max from heap Example

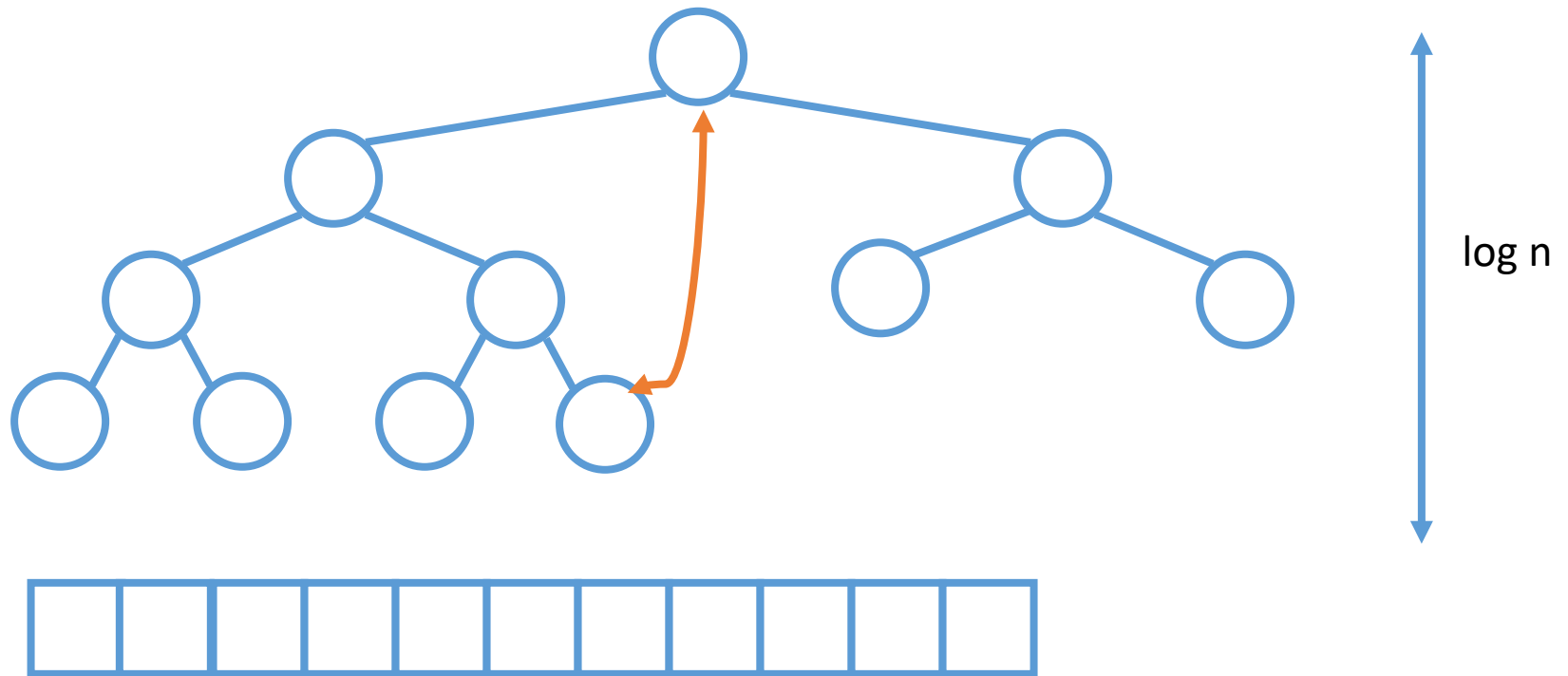


A =

16	15	10	14	7	9	3	2	8	1
----	----	----	----	---	---	---	---	---	---

Delete from heap Example

- ▶ Efficiency is $O(\log n)$

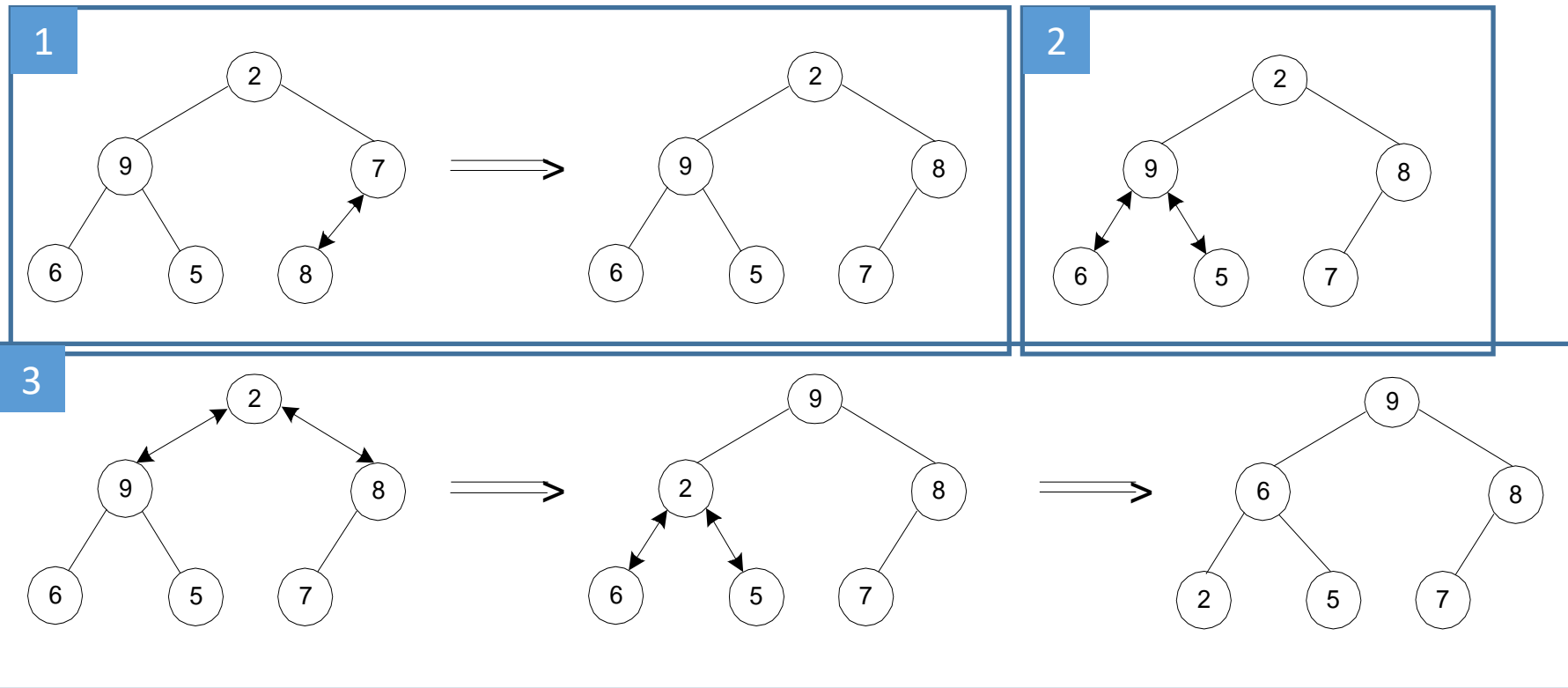


Heap Construction

- Step 0: Initialize the structure with keys in the order given
- Step 1: Starting with the last (rightmost) parental node, fix the heap rooted at it, if it doesn't satisfy the heap condition: keep exchanging it with its largest child until the heap condition holds
- Step 2: Repeat Step 1 for the preceding parental node

Example of heap construction

Construct a heap for the list 2, 9, 7, 6, 5, 8



HeapSort

HeapSort

- How can we use a Heap to sort an arbitrary array?
 - Stage 1: Transform the array into a heap (Construct a heap)
 - Stage 2: Call RemoveMax to get all array elements in sorted order

Analysis of Heapsort

- Stage 1: Build heap for a given list of n keys
 - $O(n \log n)$
- Stage 2: Repeat operation of root removal $n-1$ times (fix heap)
 - $O(n \log n)$

Practice problems

- Chapter 6.1, page 205, questions 2, 3, 7
- Chapter 6.4, page 233, question 1,2,7