COMP 4983: Lab Exercise #9 Mark: /60

[Due: Mar 23, 2020 @1730

Assignment Submission Folders

Name: BCIT ID: Lab Set: 3M

### Instructions:

In this lab, you will

- ullet compute the average leave-one-out cross-validation (LOOCV) estimate of prediction error on paper for k-Nearest Neighbors (k-NN) for a trivial dataset
- implement k-NN in Python without the use of library functions and verify your k-NN implementation using the KNeighborClassifier.fit() and KNeighborClassifier.predict() functions from sklearn.neighbors
- use k-NN to classify handwritten digits as well as determine the best value of k using 10-fold cross-validation

# Part 1: LOOCV for k-NN (on paper)

[20 marks] In this part of the lab, you will compute the average LOOCV estimate of prediction error on paper for 3-NN using Euclidean distance as the distance metric on a training set consisting of the following five (5) training samples:

Sample	Input Vector	Output Value
<i>x</i> <sub>1</sub>	(1, 1)	0
$x_2$	(2, 3)	0
<i>x</i> <sub>3</sub>	(3, 2)	0
$x_4$	(3, 4)	1
<i>x</i> <sub>5</sub>	(2, 5)	1

In each trial of cross-validation (as you compute the average LOOCV estimate of prediction error), clearly indicate the

- · samples in the training set and the validation set
- ullet nearest neighbors for each sample in the validation set
- results of majority vote
- cross-validation estimate of prediction error

Show all your steps and add comments as necessary to make sure your answers are clear and unambiguous.

# Part 2: k-NN Implementation

[20 marks] In this part of the lab, you will first implement k-NN for multiclass classification in Python without the use of the scikit-learn package. Replace  $\ref{eq:condition}$  in kNN() as defined below with appropriate code (using Euclidean distance as the distance metric):

```
# k-Nearest Neighbors
# Inputs:
# x: a test sample
# Xtrain: array of input vectors of the training set
# Ytrain: array of output values of the training set
# k: number of nearest neighbors
# Outputs:
# y_pred: predicted value for the test sample
def kNN(x, Xtrain, Ytrain, k):
    ???
return y_pred
```

Thereafter, you will verify your kNN() implementation using the KNeighborClassifier.fit() and KNeighborClassifier.predict() functions from sklearn.neighbors.

### Steps:

- 1) Create a new Python script using the filename  $kNN\_lab9\_lastname\_firstname.py$  and save it in your working directory.
- 2) Implement kNN() without the use of library functions.
- 3) Compute the average LOOCV estimate of prediction error for 3-NN using kNN() on the five (5) training samples provided in Part 1. You may use this output to verify your calculation from Part 1.
- 4) Compute the average LOOCV estimate of prediction error for 3-NN using the KNeighborClassifier.fit() and KNeighborClassifier.predict() functions from sklearn.neighbors on the five (5) training samples provided in Part 1 and verify your kNN() implementation.

# Part 3: Classification of Handwritten Digits

[20 marks] In this part of the lab, you will

- apply the k-NN classifier from Part 2 to classify images of handwritten digits, 0-9, from the MNIST dataset
- ullet determine the best value of k using  $10 ext{-fold cross-validation}$  on the training set
- evaluate the error rate (percentage of misclassifications) of the k-NN classifier on the test set

The MNIST dataset is one of the most well-studied dataset in machine learning. It consists of a training set of 60000 samples and a test set of 10000 samples. We will use 1200 samples from the MNIST dataset.

In the MNIST dataset, each sample is an 8 pixel by 8 pixel grayscale image of a handwritten digit, 0-9, reshaped into a row vector of 64 elements, where each pixel value is an integer ranging between 0 (white) and 16 (black).

#### Steps:

- 1) Download the handwritten digit dataset, data\_lab9.csv, from BCIT Learning Hub (Content | Laboratory Material | Lab 9) and save it in your working directory. The dataset, data\_lab9.csv, contains 1,201 rows (including a header row) and 65 columns. Each row of 65 columns contains the 64 pixels of a handwritten digit image, followed by the digit, 0-9, that this image corresponds to.
- 2) Add to your script, kNN\_lab9\_lastname\_firstname.py, to read from data\_lab9.csv.
- 3) Split the dataset into training and test sets, with the first 75% of the dataset for training and the remaining 25% for testing.
- 4) For each k = [1,3,5,...,21], apply the k-NN classifier from Part 2 on the training set and evaluate the average cross-validation estimate of prediction error using 10-fold cross-validation. Plot the average cross-validation estimate of prediction error as a function of k. Include in your plot, a terse descriptive title, x-axis label, y-axis label and a legend. You may use KNeighborClassifier.fit() and KNeighborClassifier.predict() from sklearn.neighbors.
- 5) Determine the best value of k from Step (4).
- 6) Using the best value of k, evaluate and output the error rate (percentage of misclassifications) on the test set.

### Deliverables:

All work submitted is subject to the standards of conduct as specified in BCIT Policy 5104. Late submissions will not be accepted.

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- Submit your scanned solution to Part 1 of this lab exercise to BCIT Learning Hub (Laboratory Submission | Lab 9). Your submission must include a cover page clearly specifying your name and student number.
- Ensure that your source code for Parts 2 and 3 are adequately commented and submit using the filename  $kNN\_lab9\_lastname\_firstname.py$  to BCIT Learning Hub (Laboratory Submission | Lab 9).