

# Midterm

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## 1. [Exercise 1.43]

Without loss of generality, suppose  $\gamma$  is parametrized by arc length, since the curvature does not depend on reparametrization of the curve. Since  $|\gamma(t)|^2 = \langle \gamma(t), \gamma(t) \rangle$  has a local maximum value of  $r^2$  at time  $t_0$ , we have  $\frac{d^2}{dt^2} \langle \gamma(t), \gamma(t) \rangle|_{t_0} \leq 0$ , that is,

$$\frac{1}{2} \frac{d^2}{dt^2} \langle \gamma(t), \gamma(t) \rangle|_{t_0} = \frac{d}{dt} \langle \gamma(t), \gamma'(t) \rangle|_{t_0} = \langle \gamma(t_0), \gamma''(t_0) \rangle + \langle \gamma'(t_0), \gamma'(t_0) \rangle = \langle \gamma(t_0), \gamma''(t_0) \rangle + 1 \leq 0.$$

Therefore,  $\langle \gamma(t_0), \gamma''(t_0) \rangle \leq -1$ ,  $|\langle \gamma(t_0), \gamma''(t_0) \rangle| \geq 1$ , so that

$$\kappa(t_0) = |\gamma''(t_0)| \geq 1/|\gamma(t_0)| = 1/r.$$

There is no upper bound for  $\kappa(t_0)$ , as a circle centered at  $(r - \epsilon, 0)$  with radius  $\epsilon$  yields a local maximum value of  $r$  of a function  $t \mapsto |\gamma(t)|$  while the curvature at that point is  $1/\epsilon$ , which can be arbitrarily large. So there is no upper bound on  $\kappa$ .  $\square$

## 2. [Exercise 1.62]

$\gamma' = (1, 2t, 3t^2)$ ,  $\gamma'' = (0, 2, 6t)$ , so

$$\kappa = \frac{|\gamma' \times \gamma''|}{|\gamma'|^3} = \frac{|(6t^2, -6t, 2)|}{|(1, 2t, 3t^2)|^3} = \frac{2\sqrt{1+9t^2+9t^4}}{(1+4t^2+9t^4)^{3/2}}.$$

$\gamma''' = (0, 0, 6)$ , so

$$\tau = \frac{(\gamma' \times \gamma'') \cdot \gamma'''}{|\gamma' \times \gamma''|^2} = \frac{12}{4(1+9t^2+9t^4)} = \frac{3}{1+9t^2+9t^4}.$$

This formula comes from the Exercise 1.65.  $\square$

## 3. [Exercise 1.76]

Let  $\kappa$  be the curvature of  $\gamma$  and  $\hat{\kappa}$  be the curvature of  $\hat{\gamma}$ . Similarly, Then, let  $\tau$  be the

torsion of  $\gamma$  and  $\hat{\tau}$  be the torsion of  $\hat{\gamma}$ .

$$|\gamma'(t)| = \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} = |\hat{\gamma}'(t)|,$$

$$\gamma' \times \gamma'' = (y'z'' - y''z', z'x'' - z''x', x'y'' - x''y'),$$

$$\hat{\gamma}' \times \hat{\gamma}'' = (-x'y'' + x''y', -y'z'' + y''z', z'x'' - z''x')$$

so that  $|\gamma' \times \gamma''| = |\hat{\gamma}' \times \hat{\gamma}''|$  and hence

$$\kappa = \frac{|\gamma' \times \gamma''|}{|\gamma'|^3} = \frac{|\hat{\gamma}' \times \hat{\gamma}''|}{|\hat{\gamma}'|^3} = \hat{\kappa}.$$

Similarly, for the torsion,

$$(\gamma' \times \gamma'') \cdot \gamma''' = \det(\gamma', \gamma'', \gamma''') = \begin{vmatrix} x & x' & x'' \\ y & y' & y'' \\ z & z' & z'' \end{vmatrix} = \begin{vmatrix} z & z' & z'' \\ x & x' & x'' \\ y & y' & y'' \end{vmatrix} = - \begin{vmatrix} z & z' & z'' \\ x & x' & x'' \\ -y & -y' & -y'' \end{vmatrix} = -(\hat{\gamma}' \times \hat{\gamma}'') \cdot \hat{\gamma}'''$$

so that

$$\hat{\tau} = \frac{(\hat{\gamma}' \times \hat{\gamma}'') \cdot \hat{\gamma}'''}{|\hat{\gamma}' \times \hat{\gamma}''|^2} = \frac{-(\gamma' \times \gamma'') \cdot \gamma'''}{|\gamma' \times \gamma''|^2} = -\tau.$$

In summary,  $\kappa = \hat{\kappa}$  and  $\tau = -\hat{\tau}$ . □

#### 4. [Exercise 3.5]

Let  $\gamma(t) = (\gamma_1(t), \gamma_2(t))$ .

$$(f \circ \gamma)'(t) = \nabla f(\gamma(t)) \cdot \gamma'(t) = f_u(\gamma(t))\gamma_1'(t) + f_v(\gamma(t))\gamma_2'(t),$$

$$(f \circ \gamma)''(t) = \gamma_1'(t)\nabla f_u(\gamma(t)) \cdot \gamma'(t) + f_u(\gamma(t))\gamma_1''(t) + \gamma_2'(t)\nabla f_v(\gamma(t)) \cdot \gamma'(t) + f_v(\gamma(t))\gamma_2''(t),$$

$$\begin{aligned} (f \circ \gamma)''(0) &= a(f_{uu}(q)a + f_{uv}(q)b) + \cancel{f_u(q)\gamma_1''(0)}^0 + b(f_{vu}(q)a + f_{vv}(q)b) + \cancel{f_v(q)\gamma_2''(0)}^0 \\ &= a^2 f_{uu}(q) + 2ab f_{uv}(q) + b^2 f_{vv}(q). \end{aligned}$$

□

#### 5. [Exercise 3.7]

By stretching  $E$  along the axes, we may find a *linear* diffeomorphism  $f$  from  $S^2$  to  $E$ , which is the unit sphere in  $\mathbb{R}^3$  as in Example 3.14. Let  $L \in O(3)$ , that is a linear transformation on  $\mathbb{R}^3$  whose image of  $S^2$  is again  $S^2$ . ( $O(3)$  is the set of orthogonal transformations

on  $\mathbb{R}^3$ .) Then, we have a linear diffeomorphism between  $E$  and  $E$  itself:

$$\varphi_L: E \rightarrow E, \quad \varphi_L = f \circ L \circ f^{-1}.$$

[Reason: Note that there is a 1-1 correspondence between  $L$ 's and  $\varphi_L$ 's, since  $f$  is invertible. Let  $\varphi$  be a linear transformation from  $\mathbb{R}^3$  to  $\mathbb{R}^3$  that restrict to a diffeomorphism from  $E$  to  $E$ , then, since  $f^{-1} \circ \varphi \circ f$  is linear and maps  $S^2$  to  $S^2$ , it should be a linear transformation in  $O(3)$ .] Therefore, the set of such linear transformations is

$$\{f \circ L \circ f^{-1} : L \in O(3)\}; \quad f: S^2 \rightarrow E, \quad f(x, y, z) = (ax, by, cz).$$

There is no difference on whether  $a, b, c$  are distinct or not. In particular when  $a = b = c$ , the above set coincides with  $O(3)$ .

## 6. [Exercise 3.12]

Extend  $\gamma$  to a periodic function on  $\mathbb{R}$ , by defining  $\gamma_{\text{new}}(t) = \gamma(\tilde{t})$ , where  $\tilde{t} = t + k(a, b)$  for some integer  $k$  and satisfying  $\tilde{t} \in [a, b]$ . Write  $\gamma_{\text{new}}$  as just  $\gamma$  with an abusing of notation.

(1) Note  $d\varphi_{(t,x,y)} = ((1 - x\kappa)t - y\tau\mathbf{n} + x\tau\mathbf{b}, \mathbf{n}, \mathbf{b})$ , from Frenet–Serret formula. So, the Jacobian matrix of  $\varphi$  does not vanish whenever  $|x| < 1/\kappa$ . So, by the inverse function theorem, we can find  $\epsilon_t > 0$  and  $U_t \ni t$ , an open set in  $\mathbb{R}$ , such that  $\varphi$  is a diffeomorphism onto  $U_t \times B_{\epsilon_t}$  from the image of this under  $\varphi$ . Since  $[a, b]$  is compact, we can find a finite numbers of  $t$ , namely  $t_1, \dots, t_k$  such that  $\{U_{t_i} : i = 1, \dots, k\}$  covers  $[a, b]$  entirely. Then, letting  $\epsilon = \min(\epsilon_1, \dots, \epsilon_k, \delta/2)/2$  where  $\delta$  denote the Lebesgue number of the open covering  $\{U_t : t \in [a, b]\}$ , any open ball of radius  $\epsilon$  is entirely contained in one of  $U_t$ 's, so by gluing those open neighborhoods, we can find a smooth inverse of  $\varphi$  on  $U \times B_{2\epsilon}$ , where  $U$  is an open set containing  $[a, b]$  and the smoothness comes from the inverse function theorem.

(2) Since  $\varphi: U \times B_{2\epsilon}$  is diffeomorphic, by restricting, we have  $\phi$  is a diffeomorphism.

(3) Suppose not, then there is either a point  $p \in S_\epsilon$  where  $\text{dist}(p, C) \neq \epsilon$ , or there is a point satisfying  $\text{dist}(p, C) = \epsilon$  while  $p \notin S_\epsilon$ .

Assume the first. Then  $p = \phi(t, \theta)$  for some  $t \in [a, b]$  and  $\theta \in [0, 2\pi]$ . Since  $\text{dist}(p, \gamma(t)) = \epsilon$ , we obtain  $\text{dist}(p, C) < \epsilon$ , as it cannot be greater than  $\epsilon$ . Let  $\gamma(t_0)$  be the nearest point on  $C$  from  $p$ , then we have  $p = \varphi(t_0, x, y)$  for some  $t_0 \in [a, b]$  and  $(x, y) \in B_\epsilon$ . But this contradicts to that  $p$  is the boundary point of the image of  $\varphi_\epsilon$ .

Assume the second: there is a point satisfying  $\text{dist}(p, C) = \epsilon$  while  $p \notin S_\epsilon$ . Then, letting  $\gamma(t)$  be the nearest point on  $C$  from  $p$ ,  $\mathbf{t}(t)$  should be perpendicular to  $p - \gamma(t)$ ,

since  $\frac{d}{dt} \langle p - \gamma(t), p - \gamma(t) \rangle = \langle p - \gamma(t), \mathbf{t}(t) \rangle = 0$ . Therefore, there exists  $\theta$  such that  $p - \gamma(t) = (\epsilon \cos \theta) \mathbf{n} + (\epsilon \sin \theta) \mathbf{b}$ , which is a contradiction.

Therefore,  $S_\epsilon = \{p \in \mathbb{R}^3 : \text{dist}(p, C) = \epsilon\}$ .

## 7. [Exercise 3.14]

Let us define  $\varphi: U \rightarrow \{(x, y, z) : x^2 + y^2 = 1\}$  as

$$\varphi(x, y) = \left( \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}}, \log \sqrt{x^2 + y^2} \right).$$

Note that this is well-defined as  $\sqrt{x^2 + y^2} \neq 0$ , and the square sum of the first and the second coordinate of  $\varphi(x, y)$  is 1. Let us show  $\varphi$  is actually a diffeomorphism.

First,  $\varphi$  is clearly differentiable, since it is a composition of differentiable functions. Second,  $\varphi$  has an inverse function, namely

$$\psi: \{(x, y, z) : x^2 + y^2 = 1\} \rightarrow U, \quad \psi(x, y, z) = (xe^z, ye^z),$$

which is also differentiable. Therefore,  $\varphi$  is a diffeomorphism between  $U = \mathbb{R}^2 - \{(0, 0)\}$  and  $\{(x, y, z) : x^2 + y^2 = 1\}$ , indicating that the given cylinder can be covered by a single surface patch from  $U$ .  $\square$

## 8. [Exercise 3.18]

- (1) Following the problem, assume  $\gamma$  is parametrized by arc length,  $n$  is a unit vector, and by applying a rigid motion, assume  $P$  equals the  $xy$ -plane. Consider an tubular  $\epsilon$ -neighborhood of the curve  $\gamma$ :

$$E = \{\gamma(t) + \eta N(t) : \eta \in (-\epsilon, \epsilon), N(t) = R_{90}(\gamma'(t))\}$$

where  $\varphi$  being a diffeomorphism from  $(-\epsilon, \epsilon) \times (a, b)$  onto its image and being injective on  $(-\epsilon, \epsilon) \times [a, b]$ , for some  $0 < \epsilon \leq 1$ .

Let  $D$  be the standard cylinder, and let

$$F = \{(x, y, z) : 1 - \epsilon < \sqrt{x^2 + y^2} < 1 + \epsilon, z \in \mathbb{R}\}$$

be a tubular neighborhood of  $D$ , as in Exercise 3.11. Define a map as follows:

$$f: F \rightarrow E, \quad f((1 + \eta) \cos \theta, (1 + \eta) \sin \theta, z) = \gamma(t) + \eta N(t) + zn,$$

where  $t = a + (b - a)\theta/(2\pi)$  and  $\eta \in (-\epsilon, \epsilon)$ . Then  $f$  becomes a diffeomorphism, as  $(t, \eta) \mapsto \gamma(t) + \eta N(t)$  is a diffeomorphism,  $z \mapsto zn$  is also a diffeomorphism from a straight line to a straight line, and  $(t, \eta) \mapsto \gamma(t) + \eta N(t)$  runs on  $xy$ -plane while  $z \mapsto zn$  runs over the  $z$ -axis. Therefore,  $f$  is a diffeomorphism from  $F$  to  $E$ . Therefore, by restricting  $f$  on  $C$ , we get a diffeomorphism from  $D$  to  $C$ , the standard cylinder.

- (2) The projection of  $\tilde{C}$  should not self-intersect. If so, let  $p$  and  $q$  be those points, then there is a real number  $k$  satisfying  $p - q = kn$ , which violates the bijectivity. Otherwise, construct a generalized cylinder  $C = \{\gamma(t) + sn : t \in [a, b], s \in \mathbb{R}\}$  where  $\gamma(t) = \tilde{\gamma}(t) - \langle \tilde{\gamma}(t), n \rangle n$  is the orthogonal projection of  $\tilde{\gamma}(t)$  onto a plane orthogonal to  $n$  passing  $(0, 0, 0)$ . Since  $\gamma$  is a plane curve, it is well-defined by (1). Now, observe that  $\tilde{C} = C$  as in (3) below. Thus,  $\tilde{C}$  will be a regular surface diffeomorphic to the standard cylinder if the projection of  $\tilde{C}$  should not self-intersect.

(3)

$$\begin{aligned}\tilde{C} &= \{\tilde{\gamma}(t) + sn : t \in [a, b], s \in \mathbb{R}\} = \{\tilde{\gamma}(t) + (s - \langle \tilde{\gamma}(t), n \rangle)n : t \in [a, b], s \in \mathbb{R}\} \\ &= \{\gamma(t) + sn : t \in [a, b], s \in \mathbb{R}\} = C.\end{aligned}$$

Since  $\langle \gamma(t), n \rangle = \langle \tilde{\gamma}(t) \rangle - \langle \tilde{\gamma}(t) \rangle \langle n, n \rangle = 0$ , the trace of  $\gamma$  lies in the plane  $P$  containing  $(0, 0, 0)$  with normal vector  $n$ . This completes the proof.

## 9. [Exercise 3.21]

It is enough to follow the proof of Theorem 3.27. By hypothesis, one of the two partial derivative of  $f$  at  $p$  is nonzero. Assume without loss of generality, that  $\frac{\partial f}{\partial y}(p) \neq 0$ . Otherwise, rotate the domain in order to make the assumption hold. Define  $\psi: U \rightarrow \mathbb{R}^2$  as  $\psi(x, y) = (x, f(x, y))$ . The Jacobian matrix for  $\psi$  at  $p$  is

$$d\psi_p = \begin{pmatrix} 1 & 0 \\ f_x(p) & f_y(p) \end{pmatrix},$$

where  $\det(d\psi_p) = f_y(p) \neq 0$ . By the inverse function theorem, there exists a neighborhood  $\tilde{U}$  of  $p$  in the preimage of  $C = f^{-1}(\lambda)$  and a neighborhood  $W$  of  $\psi(p)$  where  $\psi: \tilde{U} \rightarrow W$  is invertible with smooth inverse  $\psi^{-1}: W \rightarrow \tilde{U}$ . When  $\psi(x, y_0) = (x, w_0)$  where  $(x, y_0) \in \tilde{U}$  and  $(x, w_0) \in W$ , define  $\psi_y^{-1}(w_0) = y_0$ . Let  $\mathcal{U} = \{x : (x, y) \in \tilde{U} \text{ for some } y\}$ , then  $\mathcal{U} \subseteq \mathbb{R}$  is an open set since it is the projection of an open set  $\tilde{U}$  onto the  $x$ -axis. Now, define

$$h: \mathcal{U} \rightarrow \mathbb{R}, \quad h(x) = \psi_y^{-1}(x, y),$$

which is the height of the unique point in  $\tilde{U}$  above  $x$ , where the temperature equals  $\lambda$ . Note

that  $C \cap \tilde{U} = \{(x, h(x)) : x \in \mathcal{U}\}$ , which is a graph of  $h$ . Therefore, it is diffeomorphic to the domain  $\mathcal{U}$ , by the diffeomorphism  $h$ , by Lemma 3.17. Therefore,  $C \cap \tilde{U}$  is an open neighborhood of  $p$  in  $C$ , which is the trace of a regular plane curve  $(x, h(x)) : x \in \mathcal{U}$ . This completes the proof.  $\square$

**10.** [Exercise 3.24]

Let  $q = (s, t) \in U$ . Now,

$$d\sigma_q = \begin{pmatrix} (\sigma_1)_s(q) & (\sigma_1)_t(q) \\ (\sigma_2)_s(q) & (\sigma_2)_t(q) \\ (\sigma_3)_s(q) & (\sigma_3)_t(q) \end{pmatrix} = \begin{pmatrix} | & | \\ \gamma'(t) & \gamma'(t) + s\gamma''(t) \\ | & | \end{pmatrix}.$$

This matrix has the same rank as  $\begin{pmatrix} \gamma'(t) & \gamma''(t) \end{pmatrix}$  by an elementary row operation, as  $s \neq 0$ . Suppose it has rank less than 2, then  $\gamma'(t)$  and  $\gamma''(t)$  are linearly dependent so that  $\gamma' \times \gamma'' = \mathbf{0}$ , which contradicts to the assumption that the curvature of  $\gamma$  is nowhere-vanishing. Therefore,  $\begin{pmatrix} \gamma'(t) & \gamma''(t) \end{pmatrix}$  should have rank 2, hence so is  $d\sigma_q$ .  $\square$