

# Chap.4 Divide and Conquer

- Maximum subarray
- The substitution method
- The recursion-tree method
- The master method

# Designing Algorithms

- There are a number of design paradigms for algorithms that have proven useful for many types of problems
- Insertion sort : incremental approach
- Other examples of design approaches
  - divide and conquer
  - dynamic programming
  - greedy algorithms...

# Divide and Conquer

- A good divide and conquer algorithm generally implies an easy recursive version of the algorithm
- Three steps
  - **Divide** the problem into a number of subproblems
  - **Conquer** the subproblems by solving them recursively. When the subproblem size is small enough, just solve the subproblem.
  - **Combine** subproblems to form the solution of the original problem

# Recurrence

- Definition

a recurrence is an equation or inequality that describes a function in terms of its value on smaller inputs

- Ex)

$$T(n) = \begin{cases} \Theta(1) \\ aT(n/b) + D(n) + C(n) \end{cases}$$

Conquer cost

Divide cost

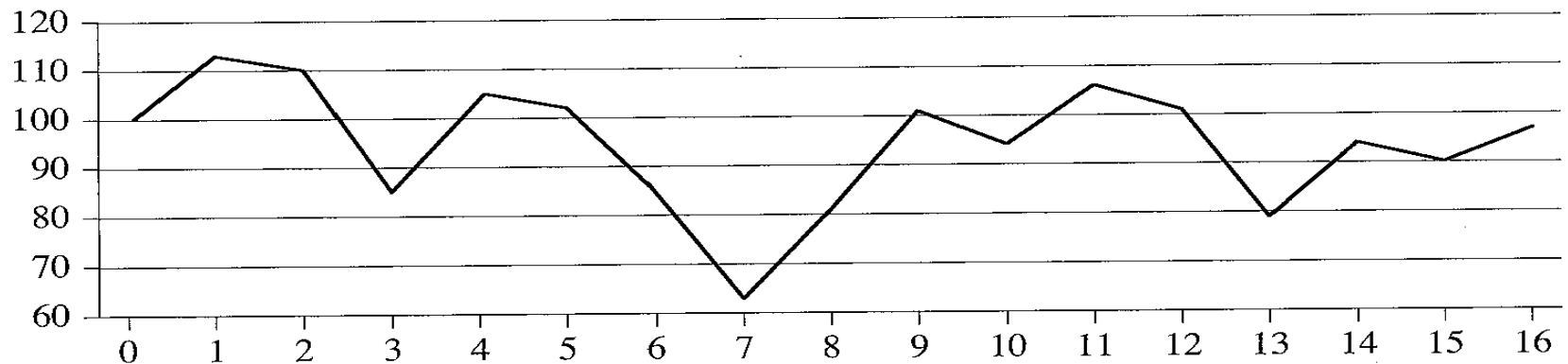
Combine cost

# Why Recurrences?

- The complexity of many interesting algorithms is easily expressed as a recurrence (especially divide and conquer algorithms)
- The complexity of recursive algorithms is readily expressed as a recurrence.

# Maximum Subarray Problem

- Stock investment: Buy one unit of stock only one time and then sell it at a later date
- Goal: to maximize the profit

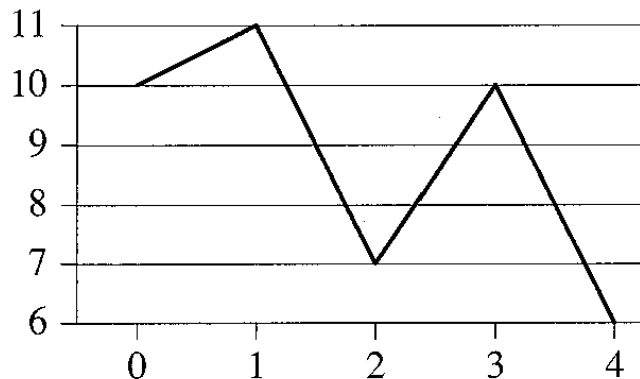


| Day    | 0   | 1   | 2   | 3   | 4   | 5   | 6   | 7   | 8  | 9   | 10 | 11  | 12  | 13  | 14 | 15 | 16 |
|--------|-----|-----|-----|-----|-----|-----|-----|-----|----|-----|----|-----|-----|-----|----|----|----|
| Price  | 100 | 113 | 110 | 85  | 105 | 102 | 86  | 63  | 81 | 101 | 94 | 106 | 101 | 79  | 94 | 90 | 97 |
| Change |     | 13  | -3  | -25 | 20  | -3  | -16 | -23 | 18 | 20  | -7 | 12  | -5  | -22 | 15 | -4 | 7  |

**Figure 4.1** Information about the price of stock in the Volatile Chemical Corporation after the close of trading over a period of 17 days. The horizontal axis of the chart indicates the day, and the vertical axis shows the price. The bottom row of the table gives the change in price from the previous day.

# One potential solution?

- Find the highest price and search left to find the lowest prior price
- Find the lowest price and search right to find the highest later price
- Take the pair with the greater difference
- Do not work! See counterexample below.



| Day    | 0  | 1  | 2  | 3  | 4  |
|--------|----|----|----|----|----|
| Price  | 10 | 11 | 7  | 10 | 6  |
| Change |    | 1  | -4 | 3  | -4 |

**Figure 4.2** An example showing that the maximum profit does not always start at the lowest price or end at the highest price. Again, the horizontal axis indicates the day, and the vertical axis shows the price. Here, the maximum profit of \$3 per share would be earned by buying after day 2 and selling after day 3. The price of \$7 after day 2 is not the lowest price overall, and the price of \$10 after day 3 is not the highest price overall.

# Maximum Subarray Problem

- Consider the daily change in price
- Maximum subarray problem: find the nonempty, contiguous subarray of A whose values have the largest sum.

|   |    |    |     |    |    |     |     |    |    |    |    |    |     |    |    |    |
|---|----|----|-----|----|----|-----|-----|----|----|----|----|----|-----|----|----|----|
|   | 1  | 2  | 3   | 4  | 5  | 6   | 7   | 8  | 9  | 10 | 11 | 12 | 13  | 14 | 15 | 16 |
| A | 13 | -3 | -25 | 20 | -3 | -16 | -23 | 18 | 20 | -7 | 12 | -5 | -22 | 15 | -4 | 7  |

maximum subarray



# What is a Maximum Subarray ?

Target array :

|   |    |   |   |
|---|----|---|---|
| 1 | -4 | 3 | 2 |
|---|----|---|---|

All the subarrays:

|   |    |   |   |
|---|----|---|---|
| 1 |    |   |   |
|   | -4 |   |   |
|   |    | 3 |   |
|   |    |   | 2 |

Maximum! →

|   |    |   |   |
|---|----|---|---|
| 1 | -4 |   |   |
|   | -4 | 3 |   |
|   |    | 3 | 2 |
| 1 | -4 | 3 |   |
|   | -4 | 3 | 2 |
| 1 | -4 | 3 | 2 |

1  
-4  
3  
2  
-3  
-1  
5  
0  
1  
2

The subarray  
with the largest  
sum

What is the  
brute-force  
 $T(n)$ ?

$O(n^2)$

# Maximum Subarray Problem

- We need to find the better algorithm than brute force algorithm.
- How about Divide & Conquer algorithm?

Target array :

|   |    |   |   |
|---|----|---|---|
| 1 | -4 | 3 | 2 |
|---|----|---|---|

All the subarrays:

|   |    |   |   |
|---|----|---|---|
| 1 |    |   |   |
|   | -4 |   |   |
|   |    | 3 |   |
|   |    |   | 2 |

The problem can  
be then solved by:

1. Find the max in  
left subarrays

2. Find the max in  
right subarrays

3. Find the max in  
crossing subarrays

4. Choose the  
largest one from  
those 3 as the final  
result

|   |    |   |   |
|---|----|---|---|
| 1 | -4 |   |   |
|   | -4 | 3 |   |
|   |    | 3 | 2 |

|   |    |   |   |
|---|----|---|---|
| 1 | -4 | 3 |   |
|   | -4 | 3 | 2 |
| 1 | -4 | 3 | 2 |

1

-4

3

2

-3

-1

5

0

1

2

Divide target array  
into 2 arrays.

We then have 3 types  
of subarrays:

1.The ones belong to  
the left array

2.The ones belong to  
the right array

3.The ones crossing  
the mid point

# The whole algorithm

**FindMaxSub** ( 

|   |    |   |   |
|---|----|---|---|
| 1 | -4 | 3 | 2 |
|---|----|---|---|

 )

1. Find the max in left subarrays **FindMaxSub** ( 

|   |    |
|---|----|
| 1 | -4 |
|---|----|

 )

2. Find the max in right subarrays **FindMaxSub** ( 

|   |   |
|---|---|
| 3 | 2 |
|---|---|

 )

3. Find the max in crossing subarrays

Scan 

|   |    |
|---|----|
| 1 | -4 |
|---|----|

 once, and scan 

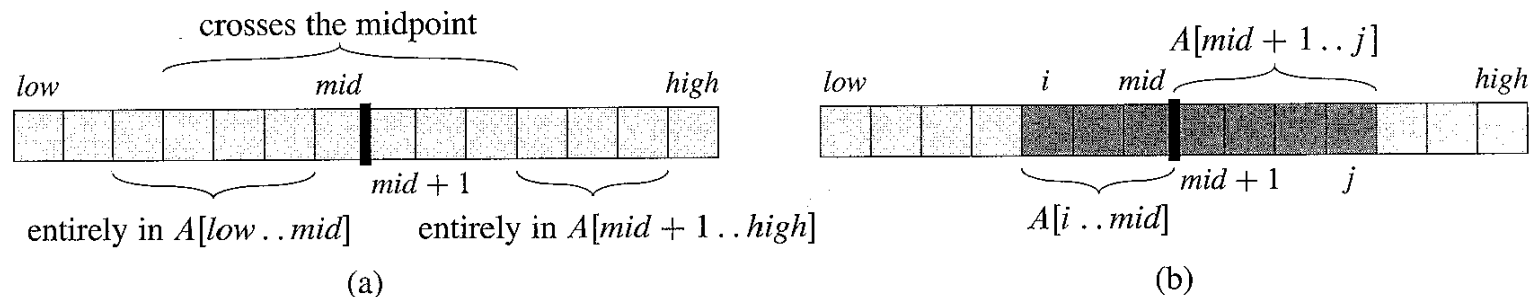
|   |   |
|---|---|
| 3 | 2 |
|---|---|

 once

4. Choose the largest one from those 3 as the final result

# Divide and Conquer

- Suppose we want to find a maximum subarray of  $A[\text{low}..\text{high}]$
- Divide and conquer will find the midpoint, say  $\text{mid}$ , of the subarray, and consider the subarrays  $A[\text{low}..\text{mid}]$  and  $A[\text{mid}+1..\text{high}]$
- Any contiguous subarray  $A[i..j]$  *must lie in* one area out of three possibilities



**Figure 4.4** (a) Possible locations of subarrays of  $A[\text{low}..\text{high}]$ : entirely in  $A[\text{low}..\text{mid}]$ , entirely in  $A[\text{mid}+1..\text{high}]$ , or crossing the midpoint  $\text{mid}$ . (b) Any subarray of  $A[\text{low}..\text{high}]$  crossing the midpoint comprises two subarrays  $A[i..\text{mid}]$  and  $A[\text{mid}+1..j]$ , where  $\text{low} \leq i \leq \text{mid}$  and  $\text{mid} < j \leq \text{high}$ .

# Find Max Crossing Subarray

- First, it is easy to find a maximum subarray crossing the midpoint
- We just need to find maximum subarrays of the form  $A[i..mid]$  and  $A[mid+1..j]$  and combine them

# Find Max Crossing Subarray

FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )

```
1  left-sum =  $-\infty$ 
2  sum = 0
3  for  $i = mid$  downto  $low$ 
4      sum = sum +  $A[i]$ 
5      if sum > left-sum
6          left-sum = sum
7          max-left =  $i$ 
8  right-sum =  $-\infty$ 
9  sum = 0
10 for  $j = mid + 1$  to  $high$ 
11     sum = sum +  $A[j]$ 
12     if sum > right-sum
13         right-sum = sum
14         max-right =  $j$ 
15 return (max-left, max-right, left-sum + right-sum)
```

# Find Maximum subarray

- We can then write a divide and conquer algorithm to solve the maximum subarray problem.
- Divide into three cases, and choose the best solution
  - Left subarray
  - Crossing subarray
  - Right subarray



# Find Maximum Subarray

FIND-MAXIMUM-SUBARRAY( $A, low, high$ )

```
1  if  $high == low$ 
2      return ( $low, high, A[low]$ )           // base case: only one element
3  else  $mid = \lfloor (low + high) / 2 \rfloor$ 
4      ( $left-low, left-high, left-sum$ ) =
          FIND-MAXIMUM-SUBARRAY( $A, low, mid$ )
5      ( $right-low, right-high, right-sum$ ) =
          FIND-MAXIMUM-SUBARRAY( $A, mid + 1, high$ )
6      ( $cross-low, cross-high, cross-sum$ ) =
          FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )
7      if  $left-sum \geq right-sum$  and  $left-sum \geq cross-sum$ 
8          return ( $left-low, left-high, left-sum$ )
9      elseif  $right-sum \geq left-sum$  and  $right-sum \geq cross-sum$ 
10         return ( $right-low, right-high, right-sum$ )
11     else return ( $cross-low, cross-high, cross-sum$ )
```

Time complexity?  $T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n) \quad O(n \log n)$