



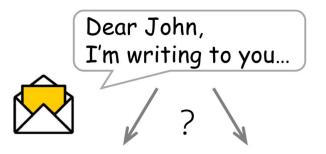


Text classification. Basic Neural Networks at NLP

MIPT 24.02.2022 Anton Emelianov, Albina Akhmetgareeva

• Input:

$$x \in X-documents \ y \in Y-classes/labels$$



spam

not spam

Output:

Assign an unknown document to one of the classes - binary classification.

Select
$$f(x) = y, y \in Y, Y = \{0, 1\}$$

• Input:

$$x \in X-documents \ y \in Y-classes/labels$$



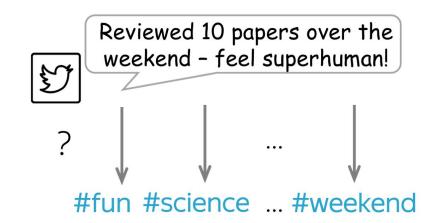
Output:

Assign an unknown document to one of the classes - *multiclass* classification.

$$Select\ f(x) = y, y \in Y, Y = \{0, 1, \dots, K-1\}$$

• Input:

$$x \in X-documents \ y \in Y-classes/labels$$



Output:

Assign an unknown document to multiple classes - multi-label classification.

$$Select\ f(x) = \{y_0, \dots y_i, \dots\}, i < K, y \in Y, Y = \{0, 1, \dots, K-1\}$$

 We assume that we have a collection of documents with ground-truth labels. The input of a classifier is a document x

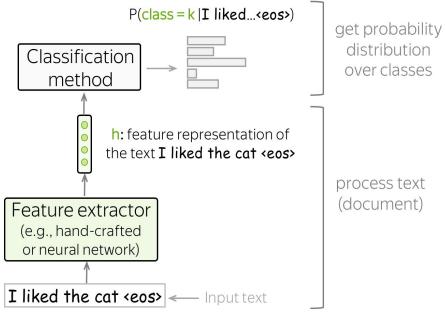
$$x=(x_0,x_1,\ldots,x_n)$$

the output is a label:

$$y \in Y, Y = \{0, 1, \dots, K-1\}$$

• We should select f(x)=y. f - our ML algorithm.

- Text classifiers have the following structure:
 - A **feature extractor** can be either manually defined (as in classical approaches) or learned (e.g., with neural networks).
 - A **classifier** has to assign class probabilities given feature representation of a text. The most common way to do this is using logistic regression, but other variants are also possible (e.g., Naive Bayes classifier or SVM).



Some methods for text classification

- Naive Bayes Classifier
- SVM
- Logistic Regression

Feature representation of the input text:

$$h=(1,f_1,f_2,\ldots,f_n)$$

Vectors with feature weights for each of the classes

$$w^{(k)} = (w_0^{(k)}, \dots, w_n^{(k)}), k = 0, \dots, K-1$$

For each class, weight features, i.e. take the dot product of feature representation h
with feature weights:

$$w^{(k)}h = w_0^{(k)} + w_1^{(k)} \cdot f_1 + \dots + w_n^{(k)} \cdot f_n, k = 0, \dots, K-1.$$

Get class probabilities using softmax:

$$P(y=k|h)=rac{\exp(w^{(k)}h)}{\sum\limits_{i=1}^{K}\exp(w^{(i)}h)}.$$

Define h as function of x, where x is the document from collection X:

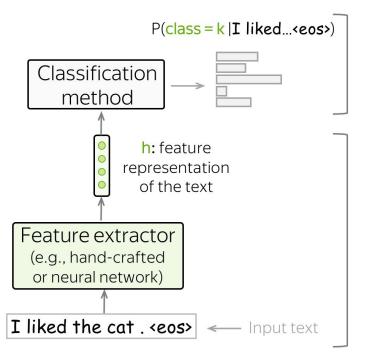
$$y = rg \max_k P(y = k | h) = rg \max_k P(y = k | logits_k), \ logits_k = w^{(k)} h(x)$$

Or at matrix form:

$$egin{aligned} logits &= Wh(x) \ y &= argmax(logits, dim = -1) \end{aligned}$$

- Here h(x) is your favorite algorithm (include neural nets)!
- Function h(x) is **feature extractor**.
- Also h(x) generates representation of text x.

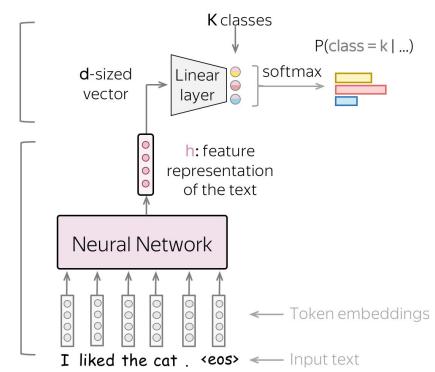
General Classification Pipeline



get probability distribution over classes

process text (document)

Classification with Neural Networks



Training

- Neural classifiers are trained to predict probability distributions over classes.
 Intuitively, at each step we maximize the probability a model assigns to the correct class.
- The standard loss function is the cross-entropy loss.

Cross-entropy loss for the target probability distribution:

$$p^*=(0,\ldots,0,1,0,\ldots)$$

(1 for the target label, 0 for the rest) and the predicted by the model distribution:

$$p=(p_1,\ldots,p_K), p_i=p(i|x)$$

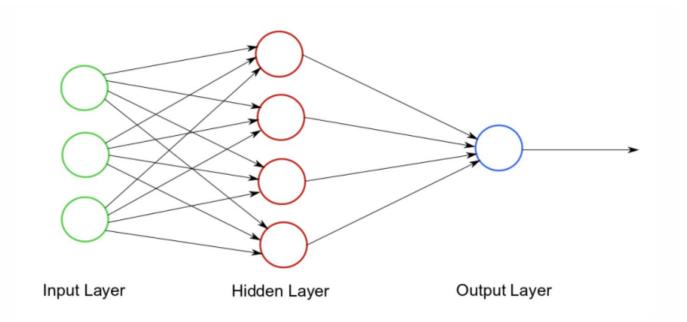
And loss:

$$Loss(p^*,p) = -p^*\log(p) = -\sum\limits_{i=1}^K p_i^*\log(p_i).$$

Basic Neural networks for classification

Networks with one hidden layer

• Theorem (universal approximator) Any continuous function on a compact can be uniformly approximated by a neural network with one hidden layer.



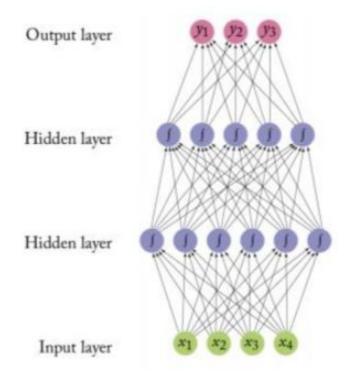
Multilayer feedforward networks

$$NN_{MLP2}(x) = y$$

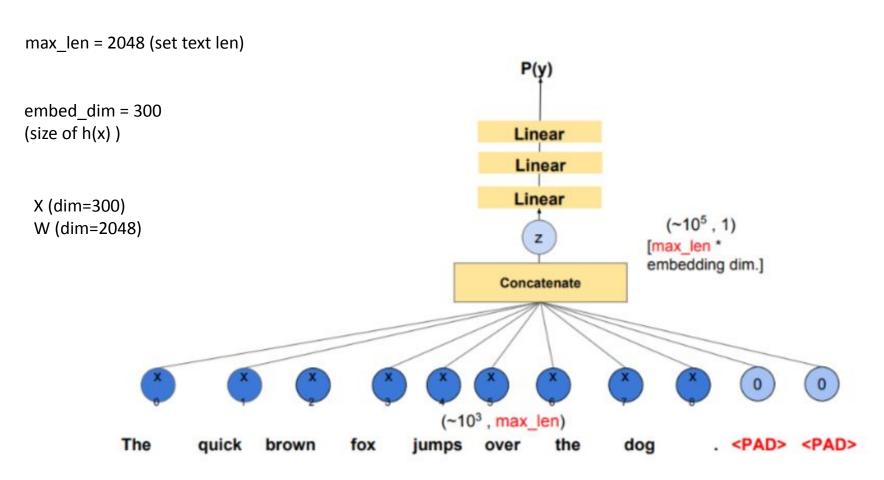
$$h_1 = g^1(xW^1 + b^1)$$

 $h_2 = g^2(h^1W^2 + b^2)$
 $y = h^2W^3$

$$x \in \mathbb{R}^{d_{in}}, y \in \mathbb{R}^{d_{out}}$$
 $W^1 \in \mathbb{R}^{d_{in} \times d_1}, b^1 \in \mathbb{R}^{d_1}$
 $W^2 \in \mathbb{R}^{d_1 \times d_2}, b^2 \in \mathbb{R}^{d_2}$
 $W^3 \in \mathbb{R}^{d_2 \times d_{out}}$



Multilayer perceptron

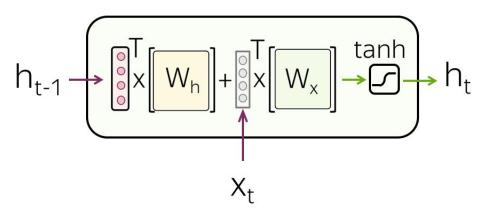


Recurrent Neural Networks (RNNs)

Vanilla RNN

- RNN reads a text token by token, at each step using a new token embedding and the previous state.
- Note that the RNN cell is the same at each step!
- Vanilla RNN, transforms h(t-1) and x(t) linearly, then applies a non-linearity (most often, the tanh function)

$$h_t = \tanh(h_{t-1}W_h + x_tW_t).$$



How to learn RNN?

Backpropogation Through Time:

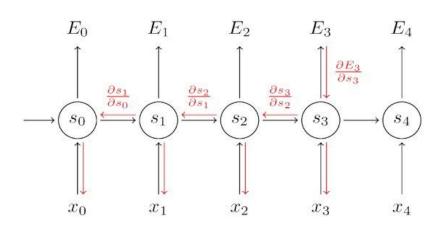
$$\frac{\partial E}{\partial \mathbf{W}} = \sum_{t} \frac{\partial E_{t}}{\partial \mathbf{W}}$$

$$\frac{\partial E_3}{\partial \mathbf{W}} = \frac{\partial E_3}{\partial \hat{y_3}} \frac{\partial \hat{y_3}}{\partial s_3} \frac{\partial s_3}{\partial \mathbf{W}}$$

But
$$s_3 = \tanh(Ux_t + Ws_2)$$

S_3 depends on s_2, which depends on W and s_1, and so on.

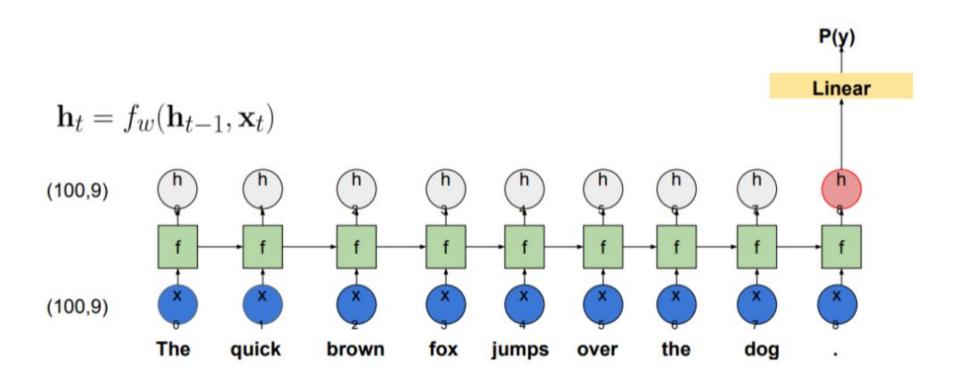
$$\frac{\partial E_3}{\partial \mathbf{W}} = \sum_{k=0}^{3} \frac{\partial E_3}{\partial \hat{y}_3} \frac{\partial \hat{y}_3}{\partial s_3} \frac{\partial s_3}{\partial s_k} \frac{\partial s_k}{\partial \mathbf{W}}$$



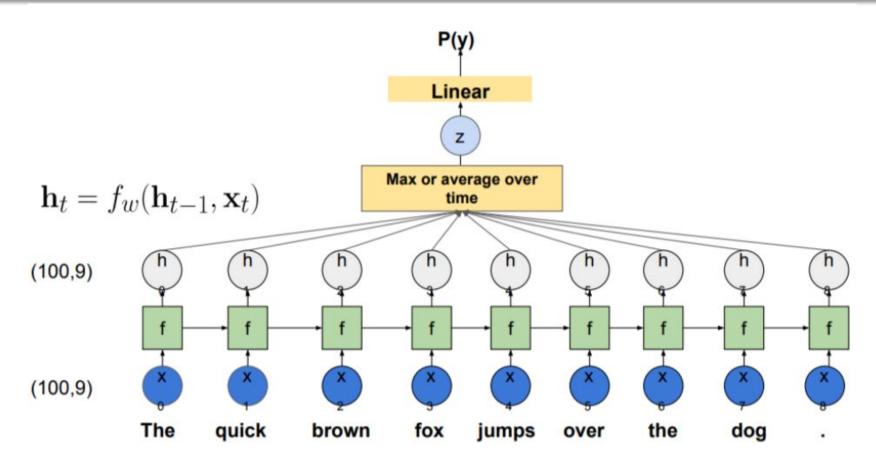
19

More details here

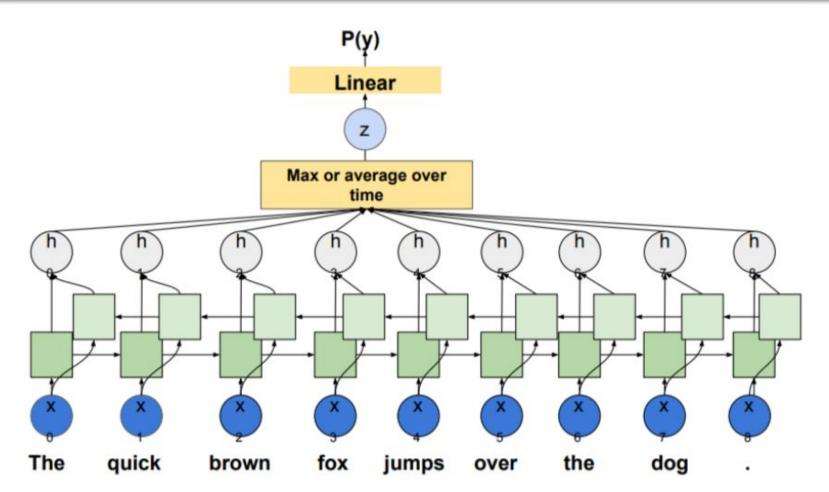
RNN for classification



RNN for classification



RNN for classification



RNN overview

- RNN is difficult to train:
 - vanishing gradient problem
 - the problem of fast forgetting
- Solution: guided neurons of a special type: LSTM and GRU.
- Other modifications: <u>peephole lstm</u> (2014), <u>QRNN</u> (2016), <u>AWD</u>
 <u>LSTM</u> (2017), <u>Mogrifier LSTM</u> (2019-2020).

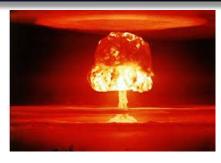
• Problems:

Vanishing/Exploding gradients



• Problems:

- Vanishing/Exploding gradients
- Requires a huge number of neurons



• Problems:

- Vanishing/Exploding gradients
- Requires a huge number of neurons
- Overfitting



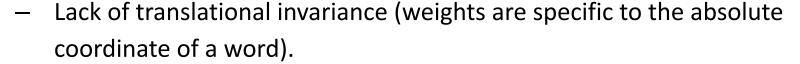
Problems:

- Vanishing/Exploding gradients
- Requires a huge number of neurons
- Overfitting
- Lack of translational invariance (weights are specific to the absolute coordinate of a word).



Problems:

- Vanishing/Exploding gradients
- Requires a huge number of neurons
- Overfitting





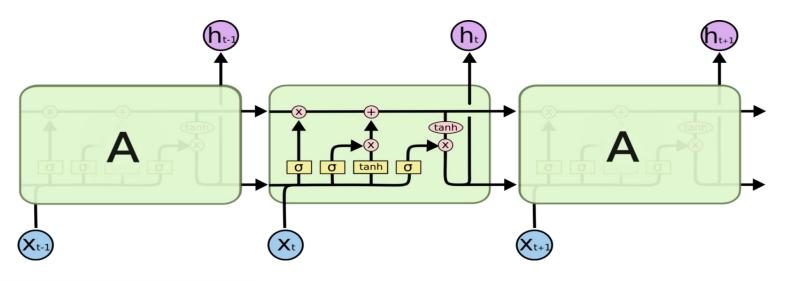
Problems:

- Vanishing/Exploding gradients
- Requires a huge number of neurons
- Overfitting
- Lack of translational invariance (weights are specific to the absolute coordinate of a word).
- Possible solution introduction of new types of layers:
 - Do not use Fully Connected NN
 - Dropout
 - Normalization



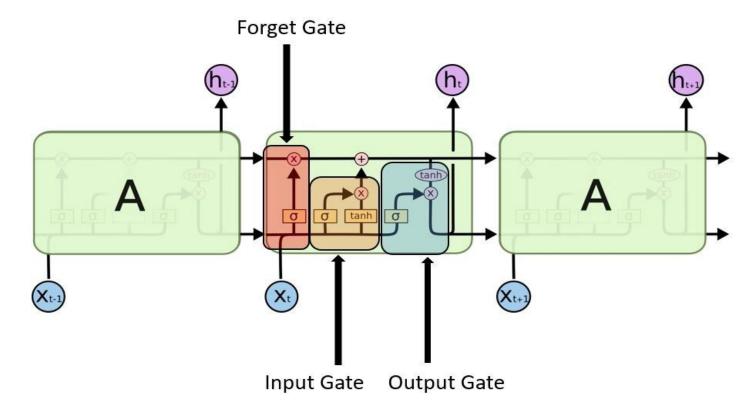
Long Short Term Memory (LSTM)

- A special kind of RNN's, capable of Learning Long-term dependencies.
- **LSTM's** have a Nature of Remembering information for a long periods of time is their Default behaviour.





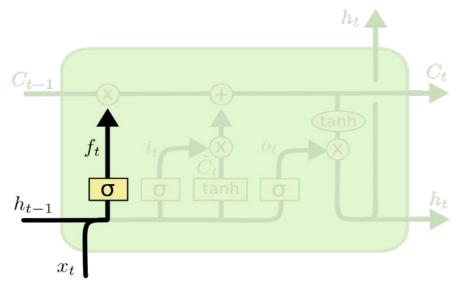
• LSTM had a **three step** Process: **Every LSTM** module will have 3 gates named as **Forget gate**, **Input gate**, **Output gate**.



31

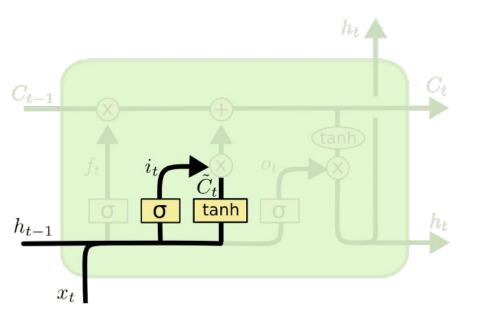
Forget Gate

- Decides how much of the past you should remember.
- This gate Decides which information to be omitted in from the cell in that particular time stamp. It is decided by the **sigmoid function**. it looks at the previous state(**ht-1**) and the content input(**Xt**) and outputs a number between **O**(*omit this*) and **1**(*keep this*) for each number in the cell state **Ct−1**.



$$f_t = \sigma\left(W_f \cdot [h_{t-1}, x_t] + b_f\right)$$

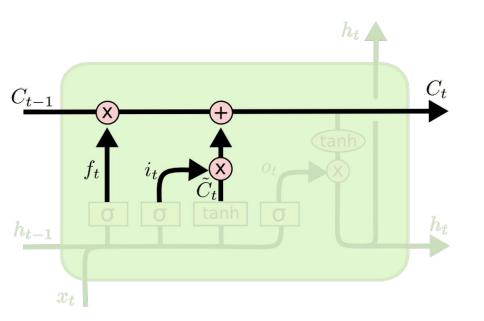
- Update Gate/input gate:
 - Decides How much of this unit is added to the current state
 - Sigmoid function decides which values to let through **0,1**. and **tanh** function gives weightage to the values which are passed deciding their level of importance ranging from-**1** to **1**.



$$i_t = \sigma \left(W_i \cdot [h_{t-1}, x_t] + b_i \right)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

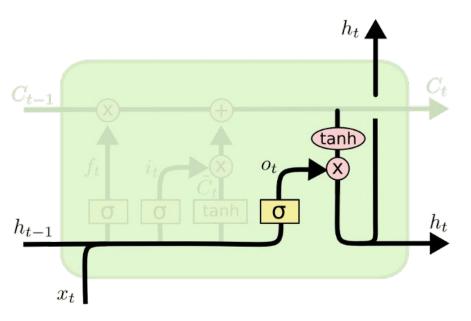
• We multiply the old state by f(t), forgetting the things we decided to **forget** earlier. Then we add $i(t)*\tilde{C}(t)$. This is the new candidate values, scaled by how much we decided to **update** each state value.



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

Output Gate:

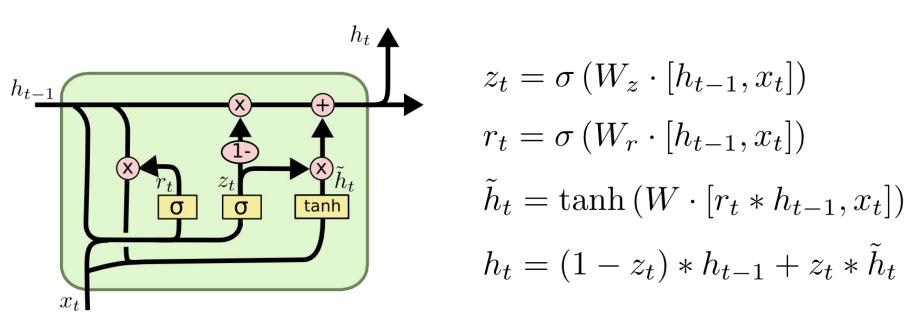
- Decides which part of the current cell makes it to the output.
- Sigmoid function decides which values to let through 0,1. and tanh function gives weightage to the values which are passed deciding their level of importance ranging from-1 to 1 and multiplied with output of Sigmoid.



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

Gated Recurrent Unit (GRU)

• GRU **combines** the **forget** and **input** gates into a single "**update gate**." It also merges the cell state and hidden state, and makes some other changes. The resulting model is simpler than standard LSTM models, and has been growing increasingly popular.



Convolution Neural Networks (CNN)

Convolutional neural networks

Convolutional neural networks:

- Borrowed from the field of computer vision.
- The peak of popularity was in 2014 (up to + 10% accuracy in classification problems), over time they were supplanted by recurrent neural networks.

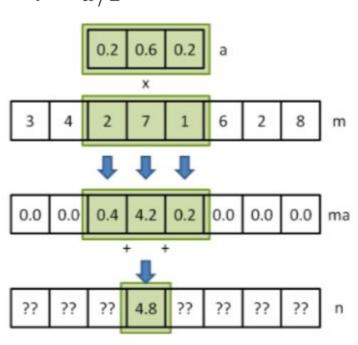
Help solve problems:

- Often, inputs are of variable length (texts, paragraphs, offers)
- Translational invariance

Convolution

• **Definition**: The result of the operation of **convolution** of an array m with a kernel a is a signal n. Notation: n=m*a

$$n[k] = \sum_{i=-w/2}^{i=w/2} m[k+i] \cdot a[-i][k+i]$$

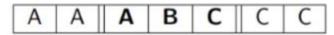


Padding

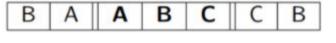
Zero padding



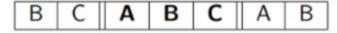
Copy of boundary



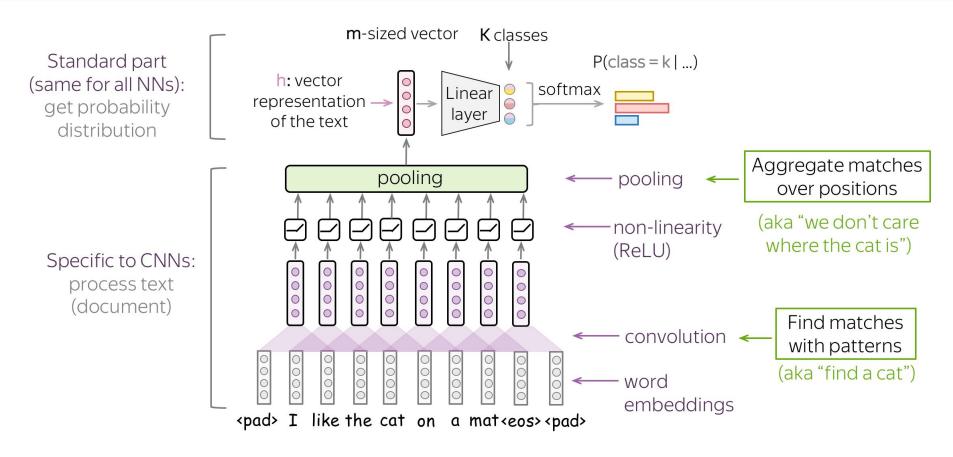
Mirror padding



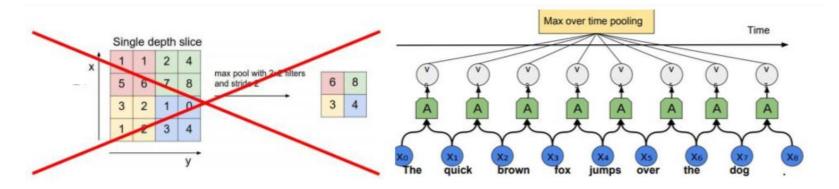
Cycle padding



A Typical Model: Convolution + Pooling Blocks



Task description



- Voting: the most active neurons wins.
- Developed invariance to small shifts (within window).
- Reduced computational costs.
- There is avg pooling, but max pooling over time works better in text classification tasks.

Yoon Kim. Convolutional Neural Networks for Sentence Classification. 2014

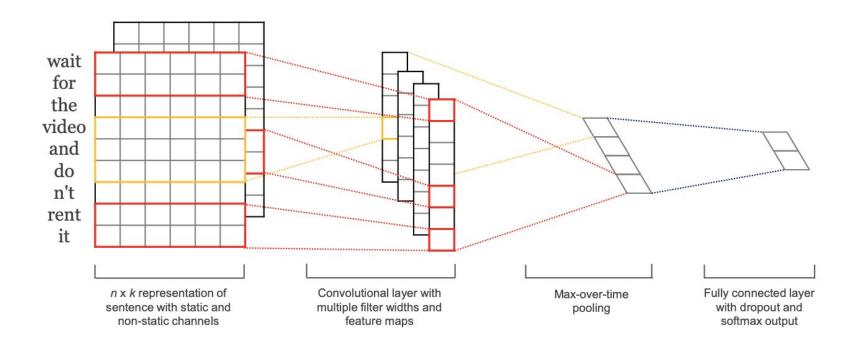


Figure 1: Model architecture with two channels for an example sentence.

CNNs vs RNNs

- With a lot of reservations RNNs demonstrates slightly better results on the benchmark classification tasks.
- CNNs work well on the tasks that can be reduced to keyword search. Keyword mean NEs and so on.
- Also, RNNs have slower inference than CNNs. CNNs are easier to train.
- For RNN you need more data.

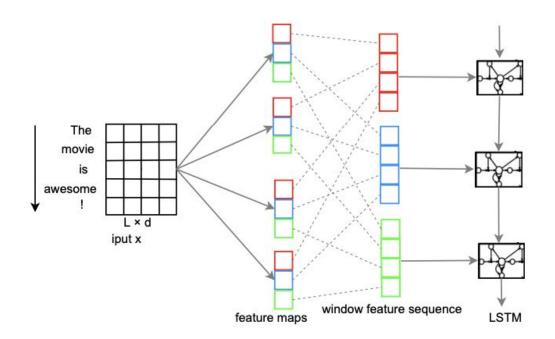
CNNs vs RNNs

- With a lot of reservations RNNs demonstrates slightly better results on the benchmark classification tasks.
- CNNs work well on the tasks that can be reduced to keyword search. Keyword mean NEs and so on.
- Also, RNNs have slower inference than CNNs. CNNs are easier to train.
- For RNN you need more data.

It's seems to be very task-dependent thing. So you should try both options.

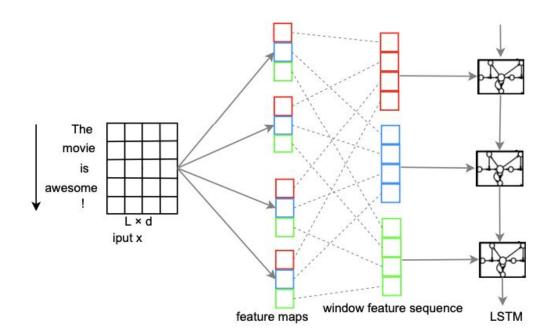
A C-LSTM Neural Network for Text Classification. 2015

 The <u>C-LSTM</u> uses CNN to extract a sequence of higher-level phrase representations and feeds into the LSTM to obtain a sentence representation.



A C-LSTM Neural Network for Text Classification. 2015

 The <u>C-LSTM</u> uses CNN to extract a sequence of higher-level phrase representations and feeds into the LSTM to obtain a sentence representation.



Recurrent Convolutional Neural Networks for Text Classification

<u>RCNN</u>. [Bi-RNN]->[conv.].

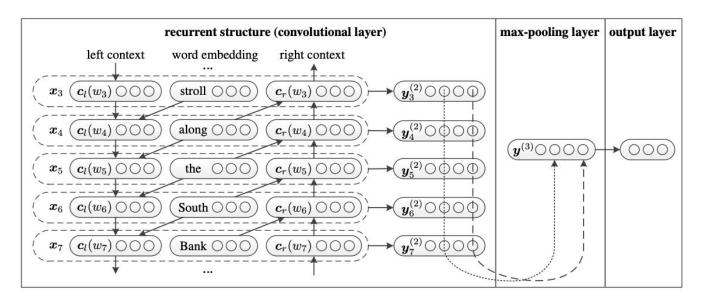


Figure 1: The structure of the recurrent convolutional neural network. This figure is a partial example of the sentence "A sunset stroll along the South Bank affords an array of stunning vantage points", and the subscript denotes the position of the corresponding word in the original sentence.

Learning pytorch

- Very good examples (for google colab!):
 - https://github.com/param087/Pytorch-tutorial-on-Google-c olab
- And official https://pytorch.org/tutorials/

Questions

Reference

- https://lena-voita.github.io/nlp_course
- https://medium.com/better-programming/generative-vs-discriminative-models-d26d ef8fd64a
- Vanishing & Exploding Gradient
- <u>Dropout</u>
- CNNs papers
 - A Sensitivity Analysis of (and Practitioners' Guide to) Convolutional Neural Networks for Sentence Classification
 - Convolutional Neural Network Architectures for Matching Natural Language
 Sentences
 - A Convolutional Neural Network for Modelling Sentences
 - Convolutional Neural Networks for Sentence Classification
 - Convolutional Neural Network for Paraphrase Identification
 - Relation Classification via Convolutional Deep Neural Network
 - Character-level Convolutional Networks for Text Classification

Reference

- Different RNNs and explanations
 - peephole lstm
 - QRNN
 - AWD LSTM
 - Mogrifier LSTM
 - https://stanford.edu/~shervine/teaching/cs-230/cheatsheet-recurrent-neural-ne
 tworks
 - https://colah.github.io/posts/2015-08-Understanding-LSTMs/
 - RNNs explained
- Comparative Study of CNN and RNN for Natural Language Processing
- <u>C-LSTM</u>
- RCNN