CS 577

HW #4

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1 4 x 4 RGB image

riginal Image:	a-channel	B-channel	dilter
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1 1 1	2 2 2 2	3 3 3 3	111
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After convolution R-channel	G-channel	B-channel 18 18	Output 45 45 => 54 54
9 9 +	18 18 +	27 27	2 (45)

@ After convolution with zero padding:

After	c	Vnc	olu	lion	WILM							ute	ut	
•					G-	cha	nne	1		B-Cranns	18	27	27	18
R-					9	12	12	8		6	30	45	45	30
4	6	6	4		12	18	18	12	+	12 18 18 12 => 18 27 27 18	21	54	54	36
6.	9	9	6	+	12	18	18	12		18 24 21 14	21	39	39	26
6	9	9	/1		8	12	12	8						
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3 Atrous convolution with a dilation rate of 2 (having zero padding)

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R-	channe	21	Gr-ch	annel	-	B-ch	12		24	24
4	4		8	8	+	12		=/	20	20
4	4	7	8	8		8	٥			

- When an image is convolved with a filter, the value that will be anigned to the output of pixel depends on how well the filter anighes that patch of the image. If the filter matches very well natches that patch of the image, then the output pixel will have a with the patch of the image, then the output pixel will have a with the patch of the image, when we do the convolution, high value Thus convolution as when we do the convolution can we multiply the filter with the image. Thus, convolution can be interpreted as template matching.
- (5) As we are pooling between layers (or doing convolution with a stride greater than 1), we are sampling the spatial with dimensions and hence we get an image pyramid with different spatial resolutions at different layers. Therefore, different spatial resolution covers filter covers a bigger a fixed size convolution covers filter covers a bigger spatial region in the upper layers of the pyramid.
- When convolution is performed on an image with a filter, there is loss of information (coefficients) in the output layer. There is loss of informations decrease, depth can be increased as spatial dimensions decrease, depth can be increased (using multiple tage filters layer by layer) to compensate (using multiple tage filters layer by layer) to compensate for the reduced coefficients (i.e. keep the same number dealliments).
- 3) size of the resulting tensor = 126 × 126 × 16

(7) Stree of
$$\frac{1}{3}$$
 Produced size = $\frac{w-h+2p}{5}$ + 1 = $\frac{128-3+0}{2}$ + 1 = 63.5 \approx 63

So, output tensor size = 63 × 63 × 16

1) Using 1×1 convolution, we can reduce the number of channels to our desired value.

salet's consider,

no. of channels input image size = 128 x 128 x 32 reduced Using 8 filters of size = 1 x 1 output tensor is reduced to 128 x 128 x 8

- The convolution layers are used to extract image pattern or template. With deeper convolutional layers, the filters learn more sophisticated details of the image. For instance, the early layers may learn basic features like edges and lines, whereas the deeper layers? filters may learn more complex features, like eyes,
- Using max-pooling on image I (4x4x3) with a 2x2 filter with a stride of 2,

 R-channel

 R-channel

 2 2

 4 4 (1)

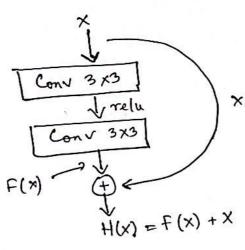
The purpose of pooling is to downsample the spatial dimension of the image without changing the depth, reducing (12) the number of coefficients. However, there is no learning

in twis of step. The purpose of data augmentation is to introduce more variability in the training data and generalization of the network. It is useful in a situation when the amount of training data is less and the network tends to overfit.

- The purpose of transfer learning is to improve learning in the target task by leveraging knowledge learning in the target task. It is useful when there is from the source task. It is useful when there is not sufficient data to perform training and the not sufficient data to perform training and the target data is similar to the source, data.
- Transfer learning involves the use of a pre-trained network to help him train a new network. In this method, usually the pretrained network is used to extract usually the pretrained network is used to extract the low-level features quickly. 30, if the weights of the pre-trained network is not frozen, then the network starts learning, and destroys the purpose network starts learning, and destroys the purpose of the pre-trained weights. This is why we generally freeze the coefficients of a pre-trained network.
- (6) Initially, the coefficients of the top pre-trained network is brozen while the untrained layers go through training. Then the layers of the pre-trained network can be unfrozen for fine tuning of the coefficients. We unfrozen for fine tuning of the coefficients. We can also unfreeze specific layers of the pretrained network.
- (7) This a combination of filters with multiple sizes operating on the some level and the each output each output encatenated Pho a single output tensor forming the input of the next stage. This can detect different input of the next stage. This can detect different size variation in the location of the information and as it

allows the network learn the best weights when and automatically select the more useful features.

(B) The advantage of residual block is that it is easier to learn F(x) residual compaped with H(x) (i.e. learn deviation from identity instead of function). Besides, the ship ennection also eliminates the problem of vanishing ennection also eliminates the problem of vanishing gradients.



Programming Part

1. Cats and Dogs

- (a) Firstly, the Cats and Dogs dataset was downloaded from [1]. From the compressed file, 2000 pictures of cats, and 2000 pictures of dogs are extracted. Two subdirectories, ['train', 'test'], are created under the parent directory 'Dataset'. Two additional folders, namely ['cats', 'dogs'], are created inside each of the train and test subdirectory. All of this is done so that the Keras Image Data Generator class and flow_from_directory() API could be used. 1500 cat and 1500 dog images (3000 images in total) are used for training, and 500 cat and 500 dog images (1000 images in total) are used for validation. Test is done on 2000 additional images (1000 cats and 1000 dogs).
- (b) Training, validation, and testing data generators are defined with a batch size of 60, and target size of (200, 200).
- (c) In this part, a convolutional neural network has been defined and the model summary is shown below.

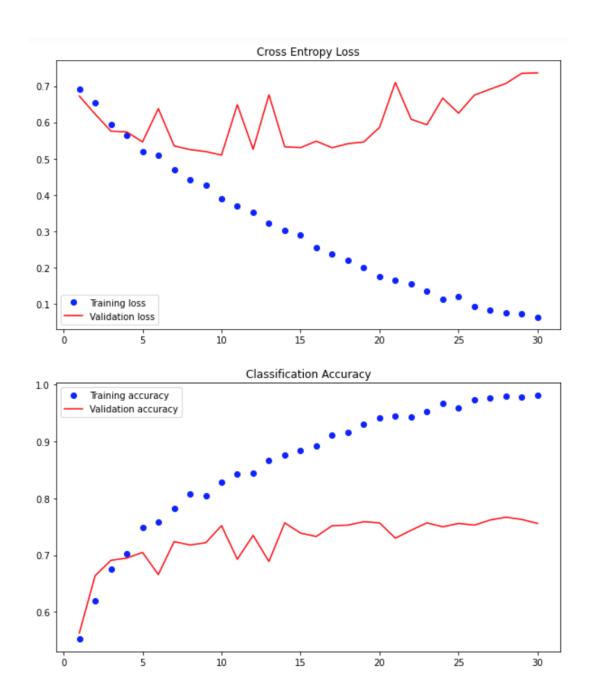
Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 200, 200, 32	2) 896
max_pooling2d (MaxPooling2D)	(None, 100, 100, 32	2) 0
conv2d_1 (Conv2D)	(None, 98, 98, 64)	18496
max_pooling2d_1 (MaxPooling2	(None, 49, 49, 64)	0
conv2d_2 (Conv2D)	(None, 47, 47, 128)	73856
max_pooling2d_2 (MaxPooling2	(None, 23, 23, 128)	0
conv2d_3 (Conv2D)	(None, 21, 21, 128)	147584
max_pooling2d_3 (MaxPooling2	(None, 10, 10, 128)	0
flatten (Flatten)	(None, 12800)	0
dense (Dense)	(None, 512)	6554112
dense_1 (Dense)	(None, 1)	513

Total params: 6,795,457 Trainable params: 6,795,457 Non-trainable params: 0 Relu activation function has been used for the convolutional layers and also for the first fully connected dense layer after flattening. And sigmoid activation function has been used for the last fully connected layer since it is a binary classification problem. The model parameters are:

Optimizer – RMSprop (Ir = 1e-4) Loss – Binary cross entropy Metrics – Accuracy

For an epoch of 30, the following learning curves are obtained.



(d) In this phase, the model was hypertuned by incorporating dropouts. The model summary and the learning curves are given below. Based on the new learning curves, the lowest stable validation loss occurred around the 10th epoch (from part c). However, all the learning curves, in general, showed similar behavior/trend. A possible reason may be the small number of training and validation data. The model is then saved as 'cats&dogs_1bcd.h5'.

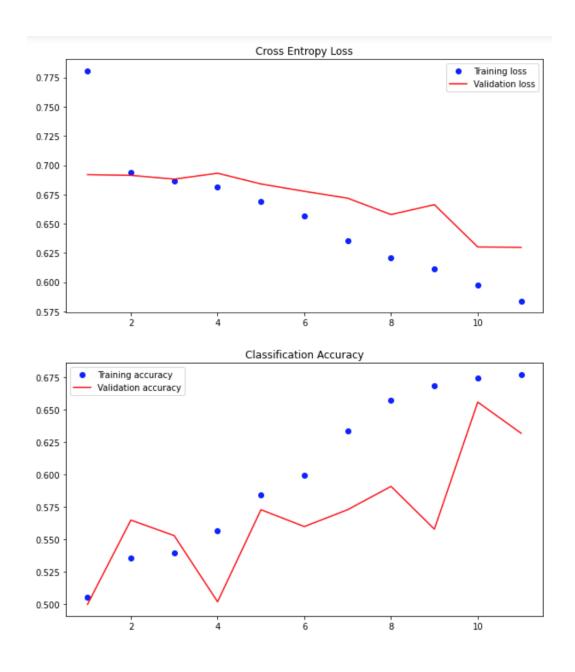
Evaluation of test data gives:

>> Accuracy = 65.40 %

Model: "sequential"

Layer (type)	Output	Shape	Param #
conv2d (Conv2D)	(None,	200, 200, 32)	896
max_pooling2d (MaxPooling2D)	(None,	100, 100, 32)	0
dropout (Dropout)	(None,	100, 100, 32)	0
conv2d_1 (Conv2D)	(None,	98, 98, 64)	18496
max_pooling2d_1 (MaxPooling2	(None,	49, 49, 64)	0
dropout_1 (Dropout)	(None,	49, 49, 64)	0
conv2d_2 (Conv2D)	(None,	47, 47, 128)	73856
max_pooling2d_2 (MaxPooling2	(None,	23, 23, 128)	0
dropout_2 (Dropout)	(None,	23, 23, 128)	0
conv2d_3 (Conv2D)	(None,	21, 21, 128)	147584
max_pooling2d_3 (MaxPooling2	(None,	10, 10, 128)	0
dropout_3 (Dropout)	(None,	10, 10, 128)	0
flatten (Flatten)	(None,	12800)	0
dense (Dense)	(None,	512)	6554112
dropout_4 (Dropout)	(None,	512)	0
dense_1 (Dense)	(None,	,	513

Total params: 6,795,457
Trainable params: 6,795,457
Non-trainable params: 0



(g) The pre-trained convolution base of VGG16 has been used instead of our convolution layers. Note that the layers of the convolution base are frozen. The model summary of the VGG16 convolution base is shown below with the number of trainable weights before and after freezing.

VGG16 conv_base summary:

Model: "vgg16"

Layer (type)	Outpu	ıt Shape	Param	#		
input_1 (InputLay	er) [(N	lone, 200, 200,	3)] 0		======	======
block1_conv1 (Co	onv2D)	(None, 200, 20	00, 64)	1792		
block1_conv2 (Co	onv2D)	(None, 200, 20	00, 64)	36928		
block1_pool (Max	Pooling2D) (None, 100,	100, 64)	0		
block2_conv1 (Co	onv2D)	(None, 100, 10	00, 128)	73856		
block2_conv2 (Co	onv2D)	(None, 100, 10	00, 128)	147584		
block2_pool (Max	Pooling2D) (None, 50, 50	0, 128)	0		
block3_conv1 (Co	onv2D)	(None, 50, 50,	256)	295168		
block3_conv2 (Co	onv2D)	(None, 50, 50,	256)	590080		
block3_conv3 (Co	onv2D)	(None, 50, 50,	256)	590080		
block3_pool (Max	Pooling2D) (None, 25, 25	5, 256)	0		
block4_conv1 (Co	onv2D)	(None, 25, 25,	512)	1180160		
block4_conv2 (Co	onv2D)	(None, 25, 25,	512)	2359808		
block4_conv3 (Co	onv2D)	(None, 25, 25,	512)	2359808		
block4_pool (Max	Pooling2D) (None, 12, 12	2, 512)	0		
block5_conv1 (Co	onv2D)	(None, 12, 12,	512)	2359808		
block5_conv2 (Co	onv2D)	(None, 12, 12,	512)	2359808		
block5_conv3 (Co	onv2D)	(None, 12, 12,	512)	2359808		
block5_pool (Max	Pooling2D) (None, 6, 6, 5	512)	0		
	===== 		=			=

Total params: 14,714,688 Trainable params: 14,714,688 Non-trainable params: 0

Total model summary after transferring the VGG16 conv_base:

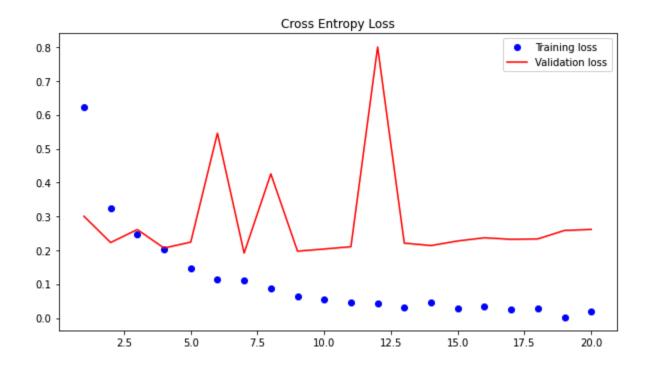
Model: "sequential"

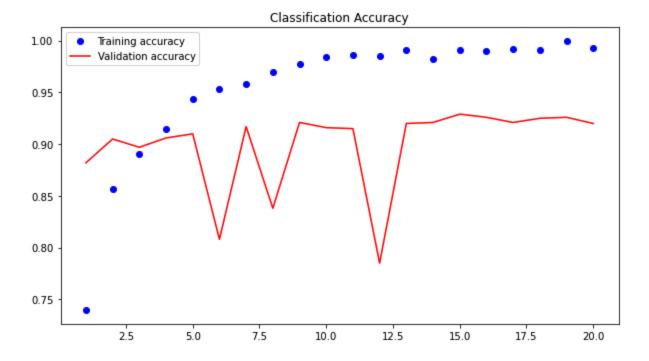
Layer (type)	Output Shape	Param #	
vgg16 (Functional)	(None, 6, 6, 512)	14714688	
flatten (Flatten)	(None, 18432)	0	
dense (Dense)	(None, 512)	9437696	
dense_1 (Dense)	(None, 1)	513	

Total params: 24,152,897 Trainable params: 24,152,897 Non-trainable params: 0

Number of weight tensors before freezing the conv_base = 30 Number of weight tensors after freezing the conv_base = 4

The learning curves are as follows:





It can be observed that the validation error decreased significantly, and the accuracy is now higher. Evaluation on test data (with 20 epoch) gives:

>> Accuracy = 92.55 %

The code is saved as 'cats&dogs_1g.ipynb' and the model is saved as 'cats&dogs_VGG16_frozen.h5'.

(h) In this problem, the weights of the filters of all layers up to block 5 are freezed and the weights of the filters of the top most layer is kept unfreezed in order to fine tune the model.

Total model summary after transferring the VGG16 conv_base:

Model: "sequential"

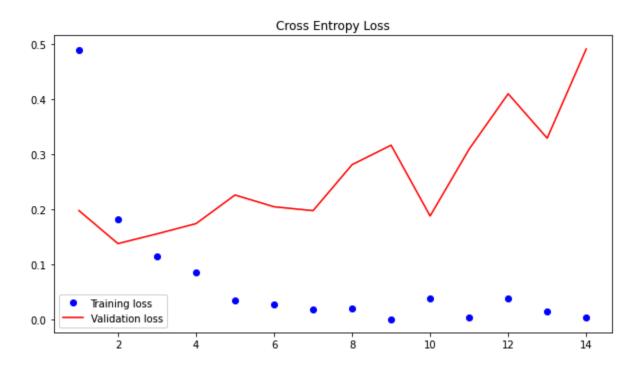
Layer (type)	Output Shape	Param #
vgg16 (Functional)	(None, 6, 6, 512)	14714688
flatten (Flatten)	(None, 18432)	0
dense (Dense)	(None, 512)	9437696
dense_1 (Dense)	(None, 1)	513

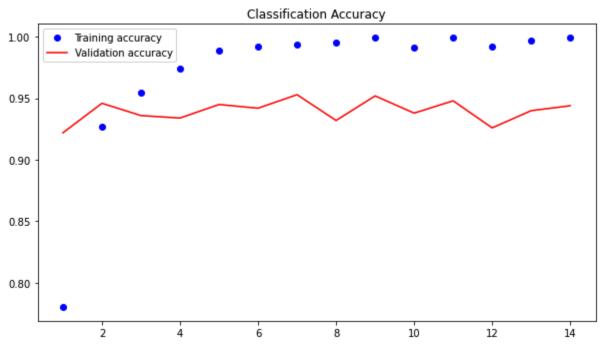
Total params: 24,152,897 Trainable params: 24,152,897

Non-trainable params: 0

Number of weight tensors before freezing the conv_base = 30 Number of weight tensors after freezing the conv_base = 10

The learning curves are as follows:





Both the training and validation loss decreased significantly as seen from the learning curves. At around 14 epoch, the lowest validation loss is observed. So, the number of epochs is fixed at 14, the model is saved as 'cats&dogs_VGG16_unfrozen.h5'. The code is saved as 'cats&dogs_1h.ipynb'. After evaluation of the saved model on the testing dataset, the accuracy found is:

>> Accuracy = 94.85 %

(i) This part continues from part 1(g), where the VGG16 convolution base was freezed. Note that in the previous cases, the number of training examples were limited but in this part, the training data generator is modified to perform data augmentation. This is performed to increase the number of training examples (through spatial shifting, rotation, and interpolation of the image pixels, etc.)

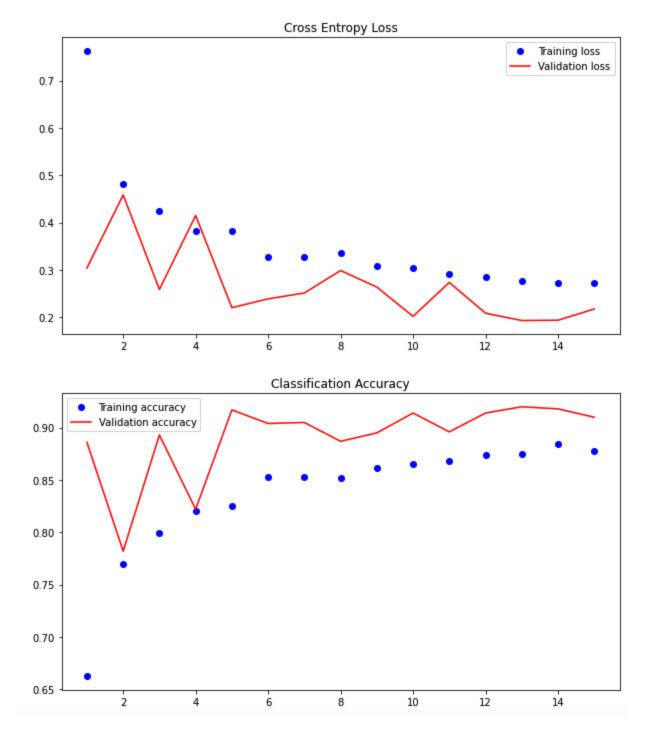
The model summary is as follows:

Model: "sequential"

Lover (type)	Output Chana	Doram #
Layer (type)	Output Shape	Param #
vgg16 (Functional)	(None, 6, 6, 512)	14714688
flatten (Flatten)	(None, 18432)	0
dense (Dense)	(None, 512)	9437696
dense_1 (Dense)	(None, 1)	513

Total params: 24,152,897 Trainable params: 24,152,897 Non-trainable params: 0

The number of weight tensors before and after freezing the convolution base remains the same just as for part 1(g). The learning curves are shown below:



The validation loss reaches the minimum near the 14th epoch. It is clearly evident from the accuracy curve that the validation accuracy is much better than the one observed for part 1(g). So, keeping the number of epochs fixed at 14, the final model named 'cats&dogs_VGG16_frozen_augment.h5' is saved, and the code is saved as 'cats&dogs_1i.ipynb'. Then the model was evaluated on the testing dataset, the accuracy found is: >> Accuracy = 93.00 %

2. CIFAR-10

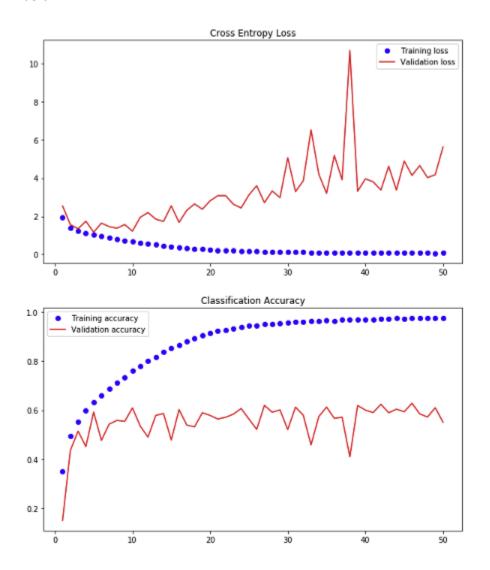
- (a) Firstly, the Cifar-10 pickled dataset is downloaded from [2]. The dataset contains 60000 32x32 color images uniformly distributed to 10 classes, with 6000 images per class. The images are then unpickled from the data and test batches and reshaped to 32x32x3 size. Afterward, the images are normalized by dividing the image pixels by 255. The labels are also one-hot encoded.
- (b) In this part, we have constructed a basic convolutional neural network with: 2D convolutional layers, max pooling layers, batch normalization, and one or more fully connected layers. As for activation functions, ReLu is used for the convolutional layers as well as fully connected layers. The 'Softmax' activation function is used only for the output layer. Moreover, the batch normalization is applied prior to the ReLu activation. The model summary is as follows:

Model: "sequential_1"

Layer (type)	Output Shape	Param #
conv2d_1 (Conv2D)	(None, 32, 32, 32)	896
conv2d_2 (Conv2D)	(None, 32, 32, 32)	9248
max_pooling2d_1 (MaxPooling2	(None, 16, 16, 32)	0
dropout_1 (Dropout)	(None, 16, 16, 32)	0
conv2d_3 (Conv2D)	(None, 16, 16, 64)	18496
conv2d_4 (Conv2D)	(None, 16, 16, 64)	36928
max_pooling2d_2 (MaxPooling2	(None, 8, 8, 64)	0
dropout_2 (Dropout)	(None, 8, 8, 64)	0
conv2d_5 (Conv2D)	(None, 8, 8, 128)	73856
conv2d_6 (Conv2D)	(None, 8, 8, 128)	147584
max_pooling2d_3 (MaxPooling2	(None, 4, 4, 128)	0
dropout_3 (Dropout)	(None, 4, 4, 128)	0
flatten_1 (Flatten)	(None, 2048)	0
dense_1 (Dense)	(None, 128)	262272
dropout_4 (Dropout)	(None, 128)	0
dense_2 (Dense)	(None, 10)	1290
Total params: 550,570 Trainable params: 550,570 Non-trainable params: 0		

Non-trainable params: 0

The model is compiled with RMSprop as the optimizer with a learning rate of 1e-4, categorical cross-entropy as the loss function to be optimized, and 'accuracy' as the metric. The learning curves for this model is given below:



After 8 epochs, the model overfits as seen from the curves.

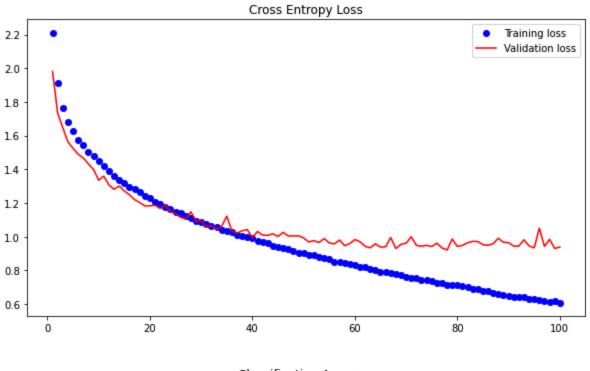
(c) In part 1(b), the validation accuracy was not very promising. So, here a closer examination of the network was done to fine tune the hyperparameters. The activation function is kept the same. The model summary is given below:

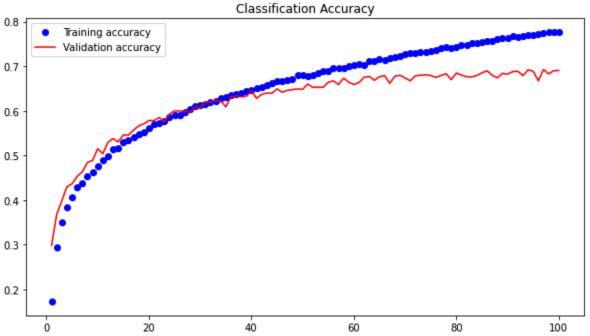
Model: "sequential"

Layer (type)	Output Shape	Param #	-
conv2d (Conv2D)	(None, 32, 32, 32)	896	
conv2d_1 (Conv2D)	(None, 32, 32, 32)	9248	
max_pooling2d (Maxl	Pooling2D) (None, 16, 1	6, 32) 0	·····
dropout (Dropout)	(None, 16, 16, 32)	0	·····
conv2d_2 (Conv2D)	(None, 16, 16, 64)	18496	
conv2d_3 (Conv2D)	(None, 16, 16, 64)	36928	· · · · · · · · · · · · · · · · · · ·
max_pooling2d_1 (Ma	axPooling2 (None, 8, 8,	64) 0	· · · · · · · · · · · · · · · · · · ·
dropout_1 (Dropout)	(None, 8, 8, 64)	0	·····
conv2d_4 (Conv2D)	(None, 8, 8, 128)	73856	· · · · · · · · · · · · · · · · · · ·
conv2d_5 (Conv2D)	(None, 8, 8, 128)	147584	·
max_pooling2d_2 (Ma	axPooling2 (None, 4, 4,	128) 0	
dropout_2 (Dropout)	(None, 4, 4, 128)	0	· · · · · · · · · · · · · · · · · · ·
flatten (Flatten)	(None, 2048))	
dense (Dense)	(None, 128)	262272	
dropout_3 (Dropout)	(None, 128)	0	
dense_1 (Dense)	(None, 10)	1290	

Total params: 550,570 Trainable params: 550,570 Non-trainable params: 0

Here, we have used 3 VGG layers with a dropout of 0.2 after max pooling and also after the fully connected layers. This architecture resulted in the best performance. The model is compiled with stochastic gradient descent (SGD) as the optimizer, with a learning rate of 0.001 and a momentum of 0.9, in order to optimize the categorical cross-entropy loss. The network is run for 100 epochs. The learning curves are as follows:





The validation loss plateaus after 70 epochs as we can see from the curves above. The model accuracy is \sim 69% with 100 epochs on the testing dataset. The code is saved as 'cifar10_basic.ipynb', and the model is saved as 'cifar10_basic.h5'.

Evaluation on the test set (epoch = 100): >> Accuracy = 69.07 %

(d) Two inception blocks were added after the two VGG blocks. Softmax activation is used for the output layer and all other layers use ReLu activation. Here, dropout is not incorporated. The model summary is as follows:

Model: "model"

Layer (type)	Output Shape Para	ım # =====	Connected to	=====		
======= input_1 (InputLayer)	[(None, 32, 32, 3)] 0					
conv2d (Conv2D)	(None, 32, 32, 32)	896	input_1[0][0]			
conv2d_1 (Conv2D)	(None, 32, 32, 32)	9248	conv2d[0][0]			
conv2d_2 (Conv2D)	(None, 16, 16, 64)	18496	6 max_pooling2d[0][0]			
conv2d_3 (Conv2D)	(None, 16, 16, 64)	36928	8 conv2d_2[0][0]			
 max_pooling2d_1 (MaxPooling2D) (None, 8, 8, 64) 0 conv2d_3[0][0]						
conv2d_7 (Conv2D)	(None, 8, 8, 64)	4160	max_pooling2d_1[0][0]			
conv2d_5 (Conv2D)	(None, 8, 8, 64)	4160	max_pooling2d_1[0][0]			
conv2d_8 (Conv2D)	(None, 8, 8, 64)	36928	conv2d_7[0][0]			
conv2d_4 (Conv2D)	(None, 4, 4, 64)	4160	max_pooling2d_1[0][0]			
conv2d_6 (Conv2D)	(None, 4, 4, 64)	36928	conv2d_5[0][0]			

conv2d_9 (Conv2D)	(None, 4, 4, 64)	36928	conv2d_8[0][0]		
concatenate (Concatenate	conv2	2) 0 2d_6[0][0] 2d_9[0][0]	conv2d_4[0][0]		
conv2d_10 (Conv2D)	(None, 4, 4, 64)	12352	concatenate[0][0]		
max_pooling2d_2 (MaxPooling2D) (None, 4, 4, 192) 0 concatenate[0][0]					
conv2d_11 (Conv2D)	(None, 4, 4, 64)	36928	conv2d_10[0][0]		
conv2d_13 (Conv2D)	(None, 4, 4, 64)	102464	conv2d_9[0][0]		
conv2d_14 (Conv2D)	(None, 4, 4, 64)	12352	max_pooling2d_2[0][0]		
concatenate_1 (Concatenate) (None, 4, 4, 192) 0 conv2d_11[0][0]					
flatten (Flatten) (N	lone, 3072) 0	conc	atenate_1[0][0]		
dense (Dense)	(None, 128) 3	93344 1	flatten[0][0]		
 dense_1 (Dense)	,		ense[0][0] =================================		
=======					

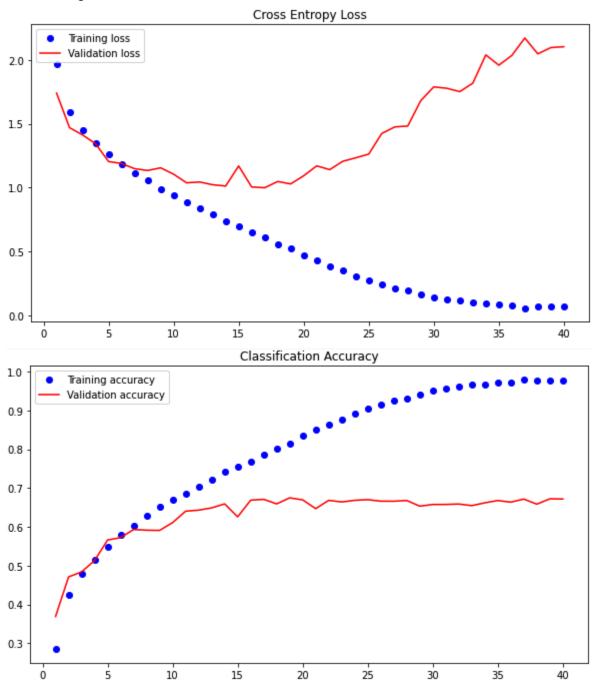
Total params: 747,562 Trainable params: 747,562 Non-trainable params: 0

This model has the same parameters like part 2(c). Observing the validation loss curve, the minimum loss is reached after 15 epochs whereas it took around 70 epochs for the convolutional network in part 2(c). This

shows that the gradient descent algorithm converged faster for the network which includes the two inception blocks than the one without the inception blocks. Then the testing dataset is evaluated by the model that was obtained after training the network for 15 epochs. The code is saved as 'cifar10_inceptionblock.ipynb'.

Evaluation on the test set (epoch = 15): >> Accuracy = 67.19 %

The learning curves are as follows:



(e) In this part, 2 residual blocks are included after the 2 VGG blocks. Softmax activation is used for the output layer and ReLu activation function for all other layers. Here, dropout is not used. The model summary is given.

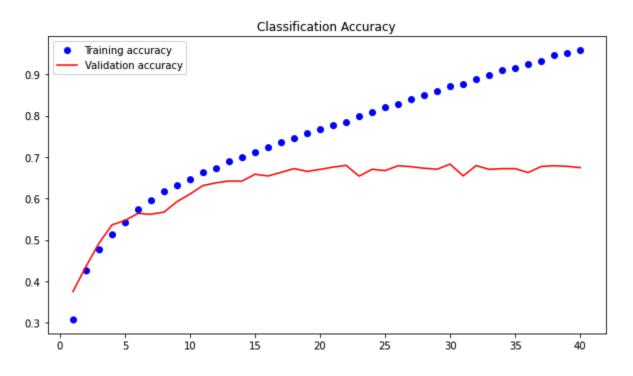
Model: "model"

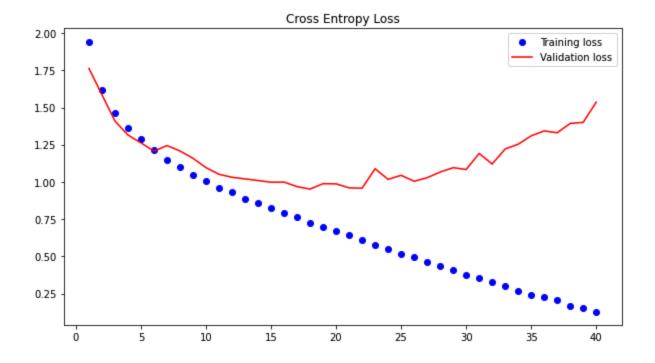
Layer (type)	Output Shape Param # Connected to	====
======= input_1 (InputLayer)	[(None, 32, 32, 3)] 0	
conv2d (Conv2D)	(None, 32, 32, 32) 896 input_1[0][0]	
conv2d_1 (Conv2D)	(None, 32, 32, 32) 9248 conv2d[0][0]	
max_pooling2d (MaxF	Pooling2D) (None, 16, 16, 32) 0 conv2d_1[0][0]	
conv2d_2 (Conv2D)	(None, 16, 16, 64) 18496 max_pooling2d[0][0]	
conv2d_3 (Conv2D)	(None, 16, 16, 64) 36928 conv2d_2[0][0]	
max_pooling2d_1 (Ma	axPooling2D) (None, 8, 8, 64) 0 conv2d_3[0][0]	
conv2d_4 (Conv2D)	(None, 8, 8, 64) 36928 max_pooling2d_1[0][0]	
conv2d_5 (Conv2D)	(None, 8, 8, 64) 36928 conv2d_4[0][0]	
conv2d_6 (Conv2D)	(None, 8, 8, 64) 36928 conv2d_5[0][0]	
add (Add)	(None, 8, 8, 64) 0 conv2d_6[0][0] max_pooling2d_1[0][0]	
flatten (Flatten)	(None, 4096) 0 add[0][0]	

dense (Dense)	(None, 128)	524416	flatten[0][0]
dense_1 (Dense)	(None, 10)	1290 ======	dense[0][0]

=======

Total params: 702,058 Trainable params: 702,058 Non-trainable params: 0





The model is compiled with the same parameters like part 2(c). Based on the validation loss curve, the minimum loss is reached after 20 epochs whereas it took around 70 epochs for the convolutional network in part 2(c) and around 15 epochs for the model with inception block. Here, the gradient descent algorithm converged much later than the network with two inception blocks. Then, the test data is evaluated by selecting the model that was obtained after training the network for 20 epochs. The code is saved as 'cifar10_residualblock.ipynb'.

Evaluation on the test set (epoch = 20): >> Accuracy = 67.48 %

Conclusion:

The best performance for the cifar10 classification is achieved with 2D convolutional blocks with residual block. Classification with inception block resulted in similar accuracy but slightly lower than that of residual block.

REFERENCES:

[1] Kaggle Cats and Dogs Dataset - https://www.microsoft.com/en-us/download/confirmation.aspx?id=54765

[2] Cifar-10 dataset - https://www.cs.toronto.edu/~kriz/cifar-10-python.tar.gz