# CP363: Database I

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# Chatper 1: Introduction

### 1 Database Management Systems (DBMS)

A DBMS is a collection of software to enable a user to create, maintain and utilize a DB (Ex. Oracle, MySQL, etc).

### 1.1 Advantages of DBMS

- Efficient data access
- Data integrity and security
- Data administration
- Data independence
- Concurrent processing crash recovery
- Reduce application development time and cost

### **Concurrent Processing**

{figure out diagram}

### 2 Logical Schema of a Database

- <u>Schema</u> is the data structureIBM had the hierarchical model, other companies created the Network and Lodeysol models
- Logical (conceptual) scheme is the logical data structure

### 3 Physical Schema

- How data is stored physically: tree, heap, etc
- View on the logical schema, different ways of viewing data; sometimes public facing

# 4 Logical Data Independence

• Changing to logical schema will not effect the view on it

## 5 Physical Data Independence

• Changing to physical schema will not effect the logical schema

#### 5.1 Lingo

{figure out diagram}

#### 6 Data Models

IBM had the hierarchical model, other companies created the Network and Lodeysol models

# Chapter 2: Introduction to the Relational model

### 1 Relational DB Model

- Data is stored using tables, also known as relations
- Each column has a name, AKA attribute
- Each row is record

#### 1.1 Schema

• Defining the structure of a relation

### Example 1.

- Tables are defined as such:  $R(A_1, ..., A_n)$
- An *instance* is a record in a table

A database instance is all the data in the DB. Database schema contains schemas for all tables in the form  $S = R_1, ...R_m$ .

The table must satisfy four constraints:

- 1. Domain constraint
- 2. Key integrity constraint
- 3. Entity integrity constraint
- 4. Referential integrity constraint

### Example 2.

Eid	Name	Dependent
1234	Tom	Mary
1234	Tom	Peter
2345	John	Tim
2345	John	Jane
3456	David	Jim

Remember  ${f NO}$  duplicates. Instead change those records to:

```
Eid Name Dependent
1234 Tom Mary,Peter
2345 John Tim,Jane
3456 David Jim
```

Each attribute can only contain atomic values. Ex. Cannot contain a set of values. FFS, do this:

```
Eid Name Dependent 1 Dependent 2
1234 Tom Mary Peter
2345 John Tim Jane
3456 David Jim --
```

This is also **NOT** ideal.

 $R_1$ :

2345

2345

3456

Eid Name  $1234 \quad \textit{Tom} \\ 2345 \quad \textit{John} \\ 3456 \quad \text{David} \\ R_2 : \\ \text{Eid} \quad \text{Dependent} \\ 1234 \quad \text{Mary} \\ 1234 \quad \text{Peter} \\ \end{cases}$ 

Tim

Jane

Jim

### 1. Key Integrity Constraint

- Observation: No tuples in a table are the same; in other words, every tuple in a table needs to be unique..SO DONT STORE RECORDS TWICE
- ⇒ Each record, tuple, can be <u>uniquely identified</u> by a certain set of attributes
- The *super key* is a set of attributes that can uniquely identify a record (tuple)
- The trivial super key is the one with all the attributes
- A minimal super key is called a *candidate key*
- A candidate key be picked as the primary  $key \rightarrow$  Refer to next Example 3
- Every relation must have a primary key student\_table(Id,Name,Major,GPA) where Id is the primary key

**Example 3.** id,dept\_name are super keys but not candidate keys. But two candidate keys are id,Name as they are unique. Then pick on candidate to be the primary, this Id will be picked as the primary key.

### Example 4. {table}

We have the minimal super key = candidate key.  $\{A, D\}$  is not a super key.  $\{B, C\}$  is a super key. Is it a candidate key? No, thus, C is a super key  $\Rightarrow C$  is a candidate key  $\Rightarrow C$  is a primary key

#### 2. Entity Integrity Constraint

- The primary key cannot be null; in our example, that means Id cannot be null
- The rest of the attribute can be null

#### 3. Referential Integrity Constraint

• A foreign key must either contain a null value or a value in the referenced key

**Example 5.** Back to the example with  $R_1$  and  $R_2$ . We have  $R_1$  (Eid, Name) and  $R_2$  (Eid, Dependent).

Thus, Eid, is an foreign key in  $R_2$  because Eid is the primary key in  $R_1$ . Eid in  $R_1$  is the referenced key.

# Chapter 7: DB Design

### 1. Requirement Analysis

- Data to be stored
- Applications
- Performance analysis

#### Example 6. Registration system

student records class records professor records

#### Actions:

- To register a student in the class
- Print class list
- Print professor schedule

### 2. Conceptual Database Design

- Entity-Relationship model (ER model)
- Entity is equivalent to record type
- The relationship between entities

#### 3. Logical Database Design

• Convert the ER model into a relational model (ie. convert to tables - relations)

#### 4. Scheme Refinement

• Theory of relational database - 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> BC (Boyce-Codd) normal forms

#### 5. "Physical" Database Design

• Add index files to speed up certain applications

### 6. Application and Security Design

### 1 Entity-Relationship Model

#### Entity $\equiv$ record

• An entity is an object in the real word that is distinguishable from other objects

- A diamond indicates a relationship between two entities
- A triangle indicates a subclass of
- A circle represents an attribute
- A **rectangle** represents an entity

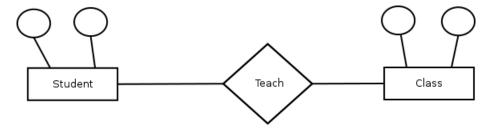
### Example 7. A student record

These are attributes

Id Name GPA Major

- An entity is a collection of entities of the same type
- All entities in the same set have the <u>same</u> attributes (cicles)
- Student is the entity and {Id, Name, GPA, Major} are the attributes (circles)
- Each entity has a primary key
- The key should depend on a real life situation and not the current set of data

### 2 Relationship Between Entities



#### 2.1 Many to Many Relationship

- 1. A student can take many courses
- 2. A class can have many students

#### 2.2 1 to Many Relationship

- 1. A professor can teach many classes
- 2. A class is taught by 1 professor

#### 2.3 1 to 1 Relationship

- 1. A department has only one chair
- 2. A professor is the chair of only one department

Note: Every entity has a key and every relationship has a key.

A relationship does not have to be binary.

### 2.4 Total Participation

• Everyone is involved  $\Rightarrow$  total participation (thick line)

## 2.5 Recursive Relationship

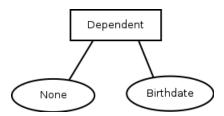
- ullet An entity can only appear once in a design
- The key for the relationship (Id) in our example

## 2.6 Weak Entity

• Entity without superkey

 $\bf Note:$  Thick [box] lines implies weak entity.

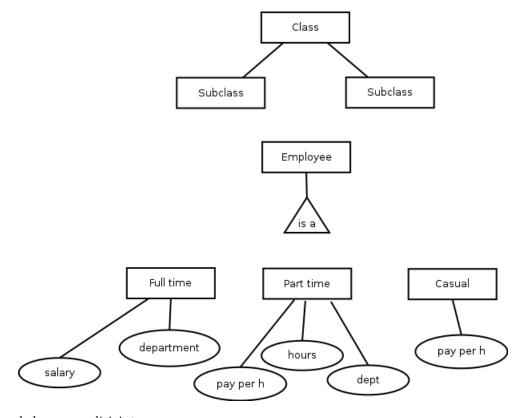
## Example 8.



## 2.7 Strong Entity

- Entity with a super key (primary key)
- Everything so far is a strong entity

## 3 Class Hierarchies



The subclasses are disjoint.

### 4 Aggregation

• Abstraction to group relationships

Refer to customer - borrower - loan.

### 5 Issues in Conceptual Design Using the ER Model

- 1. Should a concept be modeled as an attribute or as an entity
  - Refer to employee one
  - Employees can have multiple addresses (sub address with employee to create new entity with attributes)
  - If we wanted to conduct searches in the address it would be easier with address as an entity (ex. search for a city within a country)
  - Refer to picture it is wrong, solution: look to next picture (make from and to as entity instead of attribute)

### 6 ER to Relational Database Mapping

#### Step 1

For each strong entity set, E, create a <u>table</u> (relation), R, that includes all attributes of E. The key for the entity will be the key for the table (relation).

 $strong\ entity \Rightarrow table$ 

### Step 2

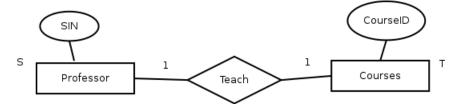
For each <u>weak entity</u> set, W, create a <u>table</u>, R, that includes all attributes of W and include it as a <u>foreign key</u>, the primary key of the owner entity. The key for the relation is the partial key and the key of the own entity.

{table for employee-dependents}

#### Step 3

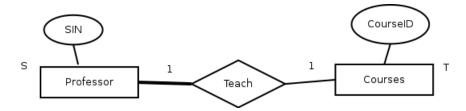
For each 1:1 relationship between two strong entitie, S and T. No new table (relation) is required. Just add the primary key of S as a foreign key in T.

### Example 9.



```
professor(<u>SIN</u>, ..., ...)
courses(<u>courseID</u>, ..., ..., SIN)
```

If <u>total participation</u> exists in  $\underline{S}$ , we should add the primary key of T as a foreign key to  $\underline{S}$ .

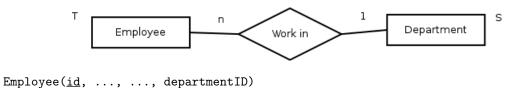


Every professor much teach one course and the course cannot be taught by more than one professor.

### Step 4

For each 1:n relationship between two strong entities S and T. No new table is required. Just add the primary key of S as a foreign key in T.

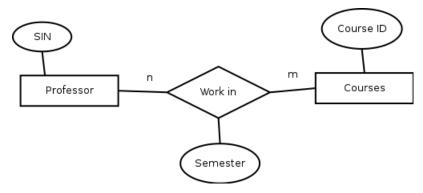
### Example 10.



#### Step 5

For each many to many relationship between S and T, create a new table (relation) that contains the primary key of S and T. The key for the new table is the primary key of both S and T together.

### Example 11.

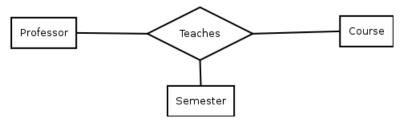


Teaches( $\underline{SIN}$ ,  $\underline{CourseID}$ , semester)

## Step 6

*n*-ary relationships.

# Example 12.

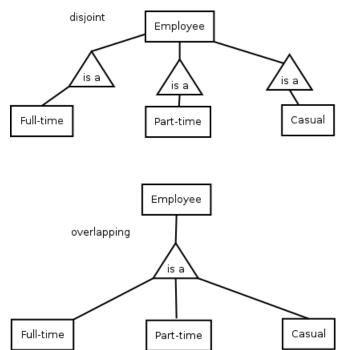


#### Teaches(SIN, semester\_id, course\_no)

Create a new table that contains the primary key of all the entities. The keys for the table are the primary keys of all entities together.

Step 7

Translating class hierarchies.



**Disjoint** implies that we create a table for each disjoint entity and it is necessary to create one for the parent. **Overlapping** implies that we create a table for all overlapping entities and create a table for the parent; only put the key of the parent in table of the subclass.

### Step 8

Aggregates.

Create a table for an aggregate that contains the key for the aggregate and the key for the entity.

#### Example 13.

 ${\tt Supervise}(\underline{{\tt SIN}},\underline{{\tt Graduate\_SIN}},\ {\tt p\_no})\ {\tt all\ with\ dotted\ underlines}$ 

## 7 Data Definition Language (DDL) - part of SQL

Example 14. Create a table in SQL

 $\label{eq:many_supervisor} \begin{tabular}{ll} Employee($\underline{Eid}$, name, address, salary, $\underline{Supervisor}$) - last one is dotted \\ Supervisor($\underline{Eid}$, $\underline{S_ID}$) both dotted \\ \end{tabular}$ 

Here's the code:

CREATE TABLE Employee

```
(Eid
               integer(9),
                                // or int(9)
               varchar(30),
Name
address
               varchar(50),
               integer(5) unique, // CANNOT BE null
salary
Sid
               integer(9),
primary key
               (Eid)
               (SupervisorID) reference (Employee))
foreign key
CREATE TABLE
               Supervisor
(Eid
               int(9),
               int(9),
Sid
               (Eid,Sid),
primary key
               (Eid) reference (Employee),
foreign key
               (Sid) reference (Employee))
foreign key
```

#### 7.1 Delete a Table

drop table Employee

### 7.2 Update a Table

Using Employee table, we want to add a new attribute.

```
Alter table Employee add status varchar(6)
```

Now we want to delete an attribute:

```
Alter table Employee drop name
```

We now want to delete a record with: Employee id=1234. Employee with id=1234 may be a supervisor of other Employee's. We need to update every other Employee's Supervisor.

# Chapter 7: Relation Algebra

## 1 Basic Operation

Select x (lowercase sigma)

Project xUnion xSet different xCartesian product xRename x

The first 3 +last are unary operations and the last three are binary operations.

#### Example 15. Employee(Id,name,address,dept,salary)

 $\texttt{Temp} \leftarrow \texttt{\Pi} \; \texttt{Employee}_{\texttt{Id}; \texttt{name}}$ 

Just choose a number of attributes from the table.

Select all records with a salary of  $\geq 80000$ 

 $\texttt{R} \; \leftarrow \; \textit{\&} \; \; \texttt{Employee}_{\texttt{salary} \geqslant \texttt{80000}}$ 

#### ∏ Employee<sub>Id;none</sub> (Select Employee<sub>salary≥80000</sub>)

 $egin{array}{ll} arphi & & & {
m Id,name} \\ {
m From} & & {
m Employee} \end{array}$ 

Where salary  $\geqslant$  80000

Union is the same as set union. Set difference: only operands (relations) with the same attributes can be involved.

#### 1.1 Cartesian Product

```
Employee(Id,name,dept,...)
Dept(dept_no,name,...)
Employee
1
    1234
                     2
             Tom
                          . . .
2
    2345
             Mary
                     1
3
    3456
             Jim
                          . . .
    4567
             Kate
                          . . .
Dept
a
    1
             Sales
```

Where this will produce a new table.

Accounting ...

The universal relation contains all attributes. In order to avoid duplicates, we break it into many smaller relationships. We can use the cartesian product to join them back together. Unforunately, joining them back together doesn't always work 100%.

#### 1.2 Join Product

2

- Natural join
- Equivalent join
- Conditional join

Natural join: ⋉⋈. Example, Employee ⋉⋈ Department

- $1. \ {\tt Employee} \ \times \ {\tt Department}$
- 2.  $\sigma_{\text{Employee;Dnum}} = \text{Dnum}$

## Equi-Join

```
{\tt Employee_{dnum}} \; \ltimes 
times \; {\tt Department} \ \equiv \sigma_{\tt Dnum} \, {\tt Employee} \; \; 	imes \; {\tt Department} \ \
```

#### Conditional Join

```
egin{array}{lll} {	t Employee} & m{	imes} & {	t Department} \ & E_1 \, {	t salary} & > & E_2 \, {	t salary} \ & \equiv & \sigma_{E_1 \, {	t salary} > E_2 \, {	t salary}} & E_1 \, {	t \times} \, E_2 \ & \end{array}
```

#### Example 16.

```
Sailors(Sid, Sname, age)
Boat(Bid, Bname, colour)
Reserves(Sid, Bid, Date)
```

### Query 1

Find the name of the sailors who have reserved boat with Bid=103.

```
\begin{array}{ll} T_1 \; \leftarrow \; \text{Sailor} \; \bowtie \; \texttt{Reserves} \\ T_2 \; \leftarrow \; \sigma_{\texttt{Bid=103}} T_1 \\ T_3 \; \leftarrow \; \Pi_{\texttt{Sname}} T_2 \\ T_3 \; \leftarrow \; \Pi_{\texttt{Sname}}(\texttt{Sailor} \; \bowtie \; \bowtie (\sigma_{\texttt{Bid=103}} \, \texttt{Reserves})) \end{array}
```

#### Query 2

Find the names of the sailors who have reserved at least one red boat.

```
\begin{split} T_1 \; \leftarrow \; & \mathsf{Sailor} \; \bowtie \mathsf{Reserves} \; \bowtie \mathsf{Boat} \\ T_2 \; \leftarrow \; & \sigma_{\mathsf{colour=red}} T_1 \\ T_3 \; \leftarrow \; & \Pi_{\mathsf{Sname}} T_2 \\ T_1 \; \leftarrow \; & \Pi_{\mathsf{Sname}}(\mathsf{Sailor} \; \bowtie \mathsf{Reserves} \; \bowtie (\sigma_{\mathsf{colour=red}} \mathsf{Boat})) \end{split}
```

### Query 3

Find the names of the sailors who have reserved at least a **red** or a **green** boat.

**Note:** You can use red or green in one line, but it only works for *or*. You cannot do a similar operation with *and*. But it is preferred to split it up.

```
\begin{array}{lll} \operatorname{Red} & \leftarrow & \Pi_{\mathtt{Sname}}(\mathtt{Sailor} \ltimes \rtimes \mathtt{Reserves} \ltimes \rtimes (\sigma_{\mathtt{colour=red}} \mathtt{Boat})) \\ \operatorname{Green} & \leftarrow & \Pi_{\mathtt{Sname}}(\mathtt{Sailor} \ltimes \rtimes \mathtt{Reserves} \ltimes \rtimes (\sigma_{\mathtt{colour=green}} \mathtt{Boat})) \\ \operatorname{Answer} & \leftarrow & \operatorname{Red} \cup \operatorname{Green} \end{array}
```

#### Query 4

Find the names of the sailors who have reserved at least a red and a green boat.

$$\text{Answer} \leftarrow \text{Red} \cap \text{Green}$$

#### Query 5

Find the name of sailors who have reserved all red boats.

$$\begin{array}{rcl} \operatorname{All\,the\,red\,boats} & \leftarrow & \sigma_{\mathtt{colour=red}\,\mathtt{Boats}} \\ & T & \leftarrow & \Pi_{\mathtt{Sname}}(\mathtt{Sailor} \ltimes \rtimes \mathtt{Reserves/All\,red}) \\ & & \operatorname{or} \\ & T_1 & \leftarrow & \Pi_{\mathtt{Sid},\mathtt{Bid}}(\mathtt{Sailors} \times \mathtt{All\,red}) \\ & T_2 & \leftarrow & T_1 - \Pi_{\mathtt{Sid},\mathtt{Bid}}(\mathtt{Reserves}) \\ & T_3 & \leftarrow & \Pi_{\mathtt{Sid}}(\mathtt{Sailors}) - \Pi_{\mathtt{Sid}}(T_2) \end{array}$$

#### Division

**Note:** This is a not a basic operation, shame.

Where A and B are the same attribute.

$$B \quad y \\
1 \\
2$$

$$\frac{A}{B} = x \\
\alpha \\
\epsilon$$

First test: chapter 1 (1.1-1.5), chapter 2 (2.1-2.4), chapter 3 (3.2), chapter 7, chapter 6 (6.1).

Example 17. Employee(id, name, dept, ..., salary)

We need to find the total salary of all employees.

- 1. Retrieve all employee records
- 2. Write a program to sum all salaries

Denote  $\mathcal{G}$  as an aggregate function (sum, average, max, min, count)

Thus, grab all salaries of employees:  $\mathcal{G}_{\mathtt{sum(salary)}}\mathtt{Employee}$ .

Find the number of records per Employee:  $\mathcal{G}_{\mathtt{count}(*)}$ Employee

Example 18. Employee(id, name, dept, ..., salary)

We want to find the total salary for each department.

 $\mathtt{dept}\mathcal{G}_{\mathtt{sum}(\mathtt{salary})}\mathtt{Employee}$ 

Maximum salary for each dept:

 $\mathtt{dept}\mathcal{G}_{\mathtt{sum}(\mathtt{salary})}\mathtt{Employee}$ 

Find the average salary:

$$\mathtt{dept}\mathcal{G}_{\mathtt{avg}(\mathtt{salary})}$$
 as  $\mathtt{average}\mathsf{Employee}$ 

Note: We created an alias for avg, that is average. Thus calling it will invoke avg. Count the number of employees in each dept:

$$dept\mathcal{G}_{count(id)}$$
Employee

#### Outer Join

There are 3 types:

- 1. Full outer join \*bowtie with both top and bottom lines extended\*
- 2. Left outer join \*bowtie with left top and bottom lines extended
- 3. Right outer join \*bowtie with right top and bottom lines extended\*

Project  $\Pi$ Selection  $\sigma$ 

In SQL:

Select <attribute1 > ... < attribute n >
From <relation1 > ... < relation n >

### Example 19.

$$\Pi_{{\bf a}_2,{\bf a}_3}(R_{1_{R_1a_1=R_2a_2}} \ltimes \rtimes R_{2_{R_2a_4=R_3a_4}} \ltimes \rtimes R_3)$$

Translation:

Select a2,a3
From R1, R2, R3
where R1a1 = R2a2 and R2a4 = R3a4

 $\mathcal{G}_{\mathtt{sum}(\mathtt{a}_1)}R_1$ 

Translation:

Select sum(a1) From R1

Find the sum for each dept:

 $_{\mathrm{dept}}\mathcal{G}_{\mathtt{sum}(\mathtt{salary})}R_{1}$ 

Translation:

Select sum(salary) as dept\_total From R1 Group by dept You can also group would qualify having {some condition}.

#### 1.3 Set Operations

- Union
- Intersect
- Minus/except

We **cannot** check if something is or isn't in a set (set membership test). We also **cannot** check if something exists or not (existence test).

Example 20. Employee(Id, name, dept, dept\_location, salary)

Select sum(salary) as dept\_total

From Employee Group by dept

 $\equiv_{\mathtt{dept}} \mathcal{G}_{\mathtt{sum(salary)}} \mathrm{Employee}$ 

Example 21. Given these three tables:

Sailors(<u>Sid</u>, name, rating, age)

Boats(Bid, name, colour)

Reserves(foreign: Sid, foreign: Bid, date)

Find the name of the sailor who has reserved boat with Bid=103.

Select name

From Sailors S, Reserved R where Bid=103 and S.sid=R.sid

Find the Sid's of sailors who reserved a red boat.

Select Sid

From Boats B, Reserved R

where B.bid=R.bid and colour='red'

Select name

From Sailor S, Boats B, Reserved R

where S.sid=R.sid and B.bid=R.bid and colour='red'

Find the colour's of boats reserved by Tom.

Select code

From Sailor S, Boats B, Reserved R

where S.sid=R.sid and B.bid=R.bid and S.name='Tom'

Find the color of boats reserved by a sailor where name starts with a 'T'. We use something like this:

S.name like 'T%'

where % is 0 to any arbitrary characters - a wildcard.

Find the name of the of sailors who have reserved a red boat but NOT a green boat.

```
SELECT
           name
FROM
           Sailors S, Boats B, Reserved R
WHERE
           S.sid=R.bid and
           B.bid=R.bid and
           colour='red'
minus (or except)
SELECT
FROM
           Sailors S, Boats B, Reserved R
WHERE
           S.sid=R.bid and
           B.bid=R.bid and
           colour='green'
```

Find the name of a sailor who has reserved a boat with bid=103.

SELECT name
FROM Sailor S
WHERE S.sid IN
SELECT Sid
FROM Reserved
WHERE bid=103

### Set Comparison Operators:

- Any
- ALL
- Some
- Every

To be used with >, <,  $\le$ ,  $\ge$ ,  $\ne$ .

**Example 22.** Find the same of sailors whose rating is greater than some sailor called Tom.

```
SELECT name

FROM Sailor S

WHERE S.rating > Any

( SELECT S2.rating
FROM Sailor S2
WHERE S2.name = 'Tom') // also S2.name like 'T%'
```

Example 23. Find sailors who have reserved all the boats.

SELECT B.bid
FROM Boats B

SELECT R.bid
FROM Reserves R
WHERE R.sid=S.sid

### Example 24. Find the name of the oldest sailor:

- This is 2 steps:
  - $\circ$  Find the oldest sailor
  - Fnd the name of the oldest sailor

SELECT name FROM Sailor

WHERE age=(SELECT max(age) FROM Sailor)

### 1.4 Null Values

- Aggregate functions  $\rightarrow$  ignore null values
- Null  $\Rightarrow$  unknown

### Three Value Logic:

- True, False, unknown
- OR
- $\circ$  T or unknown  $\Rightarrow$  True
- $\circ \quad \texttt{False or unknown} \ \Rightarrow \ \texttt{unknown}$
- $\circ$  unknown or unknown  $\Rightarrow$  unknown
- AND
  - $\circ$  T and unknown  $\Rightarrow$  unknown
  - $\circ$  False and unknown  $\Rightarrow$  False
  - $\circ$  unknown and unknown  $\Rightarrow$  unknown
- NOT
  - $\circ$  Not(unknown)  $\Rightarrow$  unknown

### Example 25. Full, left, right outer joins

Sailors			Reserves			
Sid	name	rating	age	Sid	bid	date
22	Tom	7	22	22	30	10/10/
31	John	10	15	31	40	10/11/
58	Peter	7	40	32	50	12/11/

Then:

Select S.sid, R.bid

From Sailors S {natural left outer join} Reserves R

The result:

- 22 30
- 31 40
- 22 50
- 58 null

## 1.5 Updating Tables

### Example 26.

Update Employee Set name='Tom' Where eid=1234

### Options:

- 1. Restrict  $\rightarrow$  default
- 2. Cascade
- 3. Set default
- 4. Set null

# How to determine a good database design?

The main goal is this:

# • NO DUPLICATES!!!

Problem with duplicates:

- Redundant storage
- Update, delete and insert anomalies

## Functional Dependencies (FD)

Let R be a relation and let X, Y be non-empty sets of attributes in R.

FD  $X \to Y$  is satisfied if for every tuple  $t_i$  and  $t_k$  in R if

$$t_i X = t_k X \Longrightarrow t_i Y = t_k Y$$

### Armstrong's Axiom

#### Reflexivity

$$\begin{array}{ccc} A & \to & A \\ A \, B \, \to \, A & \text{or} & A \, B \, \to \, B \end{array}$$

#### Augmentation

$$\begin{array}{ccc} A & \rightarrow & B \\ x \, A & \rightarrow & x \, B \end{array}$$

**Transitive** 

$$\begin{array}{ccc} A & \rightarrow & B \\ B & \rightarrow & C \\ A & \rightarrow & C \end{array}$$

Union

$$\begin{array}{ccc} X \to Y &, & X \to Z \\ X & \to & YZ \end{array}$$

Decomposition

$$\begin{array}{ccc} X & \to & YZ \\ X \to Y & , & X \to Z \end{array}$$

### Closures of FD's

- Denoted by FD<sup>+</sup>
- The closure is all FD that can be generated
- Ex. R = (A, B, C), where  $F = \{A \rightarrow B, B \rightarrow C\} \longrightarrow \text{Find } F^+$

Let F be the set of FD's. The closure of F is denoted as  $F^+$ .

**Note:** All FD's implied by F is  $F^+$ .

**Example 27.** If R(A, B, C, D), we can break it up into R(A, B, C) and R(C, D), we can break it up into

$$F_1 = F_1^+ = F_2 = F_2^+ =$$

Where  $F_1^+ \equiv F_2^+$ . The decomposition **preserves** all FD.

#### **Attribute Closure**

**Example 28.**  $F = \{A \rightarrow B, B \rightarrow C\}$ . Find the attribute closure of A, B and C.

$$A^{+} = A$$
  
 $= A B \rightsquigarrow \text{because } A \rightarrow B$   
 $= A B C \rightsquigarrow \text{because } B \rightarrow C$   
 $B^{+} = B$   
 $= B C \rightsquigarrow \text{because } B \rightarrow C$   
 $C^{+} = C$ 

From the attribute closure, we can determine the key. The key is A because  $A^+ = R$ .

To check if a given FD  $B \to A$  is in  $F^+$ , check if A is in  $B^+$ .

**Example 29.** R = (A, B, C, G, H, I) with  $F = \{A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I\}$ . Find a key in R (primary).

$$A^{+} = A$$

$$= AB \leadsto : A \to B$$

$$= ABH \leadsto : A \to H$$

$$= ABCH \leadsto : A \to C$$

$$G^{+} = G$$

$$I^{+} = I$$

$$AG^{+} = AG$$

$$= ABG$$

$$= ABGH$$

$$= ABCGH$$

$$= ABCGHI$$

$$: AG^{+} = R$$

#### **Normal Forms**

 $1^{\rm st}$  Normal Form  $\rightarrow 1{
m NF}$ 

• Every attribute contains only atomic values

 $2^{\rm nd}$  Normal Forms  $\rightarrow 2NF$ 

• In 1NF and every nm-key attribute must be fully functionally dependent of the entire key

#### Boyce-Codd Normal Form $\rightarrow$ BCNF

• R is in BCNF if and only if for every  $X \to A$  in F, where  $X \to F$  is a trivial FD or X is a superkey of R

**Example 30.** If R(A, B, C) and  $F = \{A \rightarrow B, B \rightarrow C\}$ .

Is R in BCNF?  $A \to B$ . Is A a superkey of R? Yes, because  $A \to B$  and  $B \to C \Rightarrow A \to C$ .  $B \to C$ 

$$B^{+} = B$$

$$= BC \leadsto : B \to C$$

$$B^{+} \neq R$$

This is because B is not a superkey,  $\therefore R$  is not in BCNF.

Example 31. Based on a DB of some people:

$$FD = id \rightarrow name, rank, hours worked$$
  
 $rank \rightarrow hourly wage$ 

We have E(id, name, rank, hours worked, hourly wage) and then  $R_1(id, name, rank, hours worked)$  and  $R_2(rank, hourly wage)$ .

BCNF has **NO** data redundancy.

**Example 32.** Decompose a relation into relations in BCNF. R = (C, S, J, D, P, Q, V). C is the key.  $SD \rightarrow P, J \rightarrow S$ .

R is in 2NF, but not BCNF.

# 1 Decomposing a Schema into BCNF

- Suppose we have a schema R, and a non-trivial dependency  $\alpha \to \beta$  causes a violation of BCNF, we decompose R into
  - $\circ$   $(\alpha \cup \beta)$
  - $\circ \quad (R (\beta \alpha))$