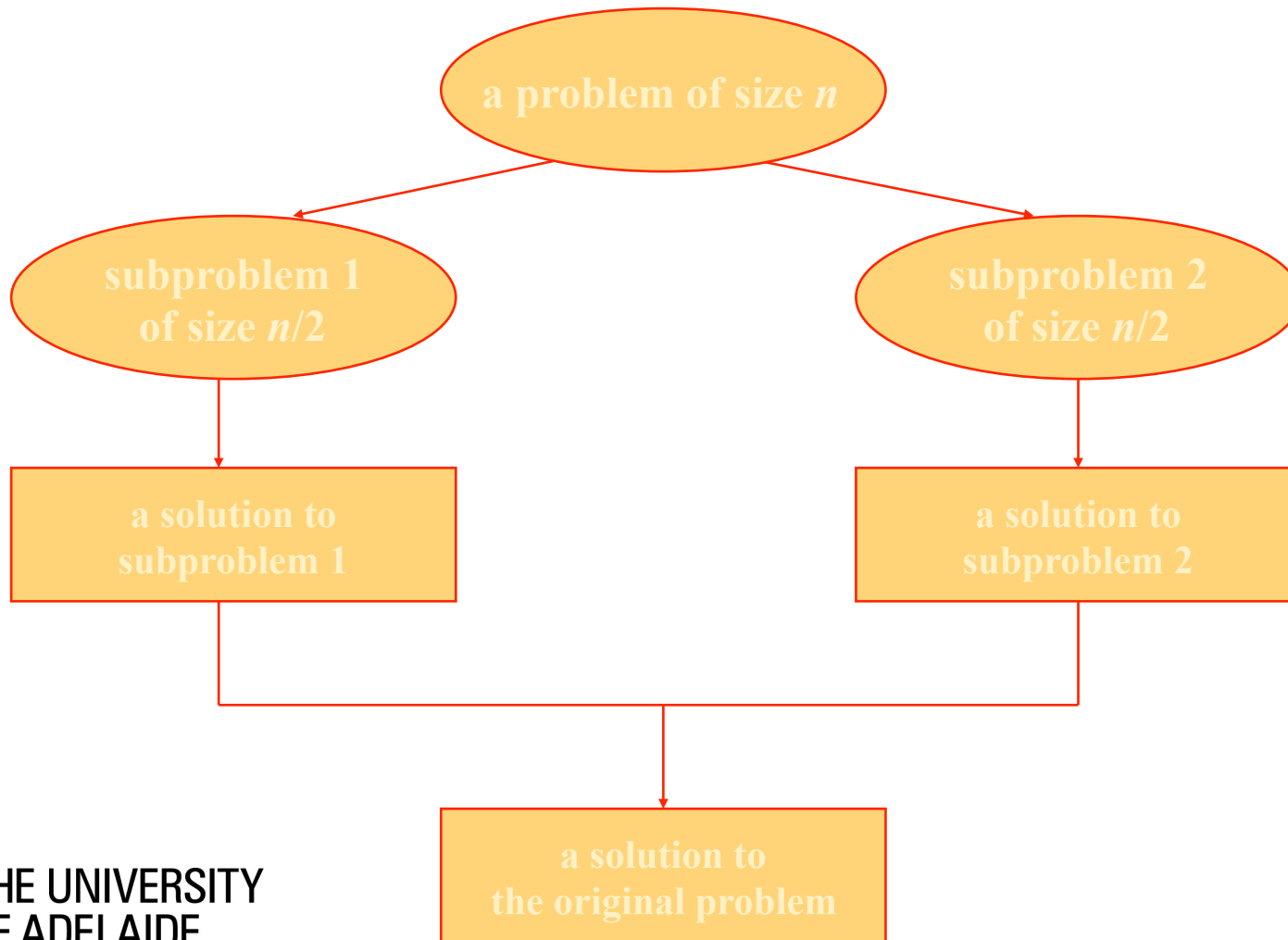


# Divide and Conquer

When the sum of the parts is less than the whole

(Levitin Chapter 4)

# Divide-and-Conquer Technique (cont.)



# Divide-and-Conquer Examples

- Sorting: mergesort and quicksort
- Closest-pair and convex-hull algorithms

# General Divide-and-Conquer Recurrence

$$T(n) = aT(n/b) + f(n)$$

Where:

- $f(n)$  is complexity of the function doing the dividing and combining (always polynomial).
- the problem is divided  $b$  ways on each step
- $a$  is the number of sub-problems actually solved on each step.
  - In most divide and conquer algorithms  $a=b$

# Mergesort

- Split array  $A[0..n-1]$  in two about equal halves and make copies of each half in arrays B and C
- Sort arrays B and C recursively
- Merge sorted arrays B and C into array A as follows:
  - Repeat the following until no elements remain in one of the arrays:
    - compare the first elements in the remaining unprocessed portions of the arrays
    - copy the smaller of the two into A, while incrementing the index indicating the unprocessed portion of that array
  - Once all elements in one of the arrays are processed, copy the remaining unprocessed elements from the other array into A.

# Pseudocode of Mergesort

**ALGORITHM** *Mergesort*( $A[0..n - 1]$ )

//Sorts array  $A[0..n - 1]$  by recursive mergesort

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Array  $A[0..n - 1]$  sorted in nondecreasing order

**if**  $n > 1$

    copy  $A[0..\lfloor n/2 \rfloor - 1]$  to  $B[0..\lfloor n/2 \rfloor - 1]$

    copy  $A[\lfloor n/2 \rfloor..n - 1]$  to  $C[0..\lceil n/2 \rceil - 1]$

*Mergesort*( $B[0..\lfloor n/2 \rfloor - 1]$ )

*Mergesort*( $C[0..\lceil n/2 \rceil - 1]$ )

*Merge*( $B, C, A$ )

# Pseudocode of Merge

**ALGORITHM** *Merge*( $B[0..p-1]$ ,  $C[0..q-1]$ ,  $A[0..p+q-1]$ )

//Merges two sorted arrays into one sorted array

//Input: Arrays  $B[0..p-1]$  and  $C[0..q-1]$  both sorted

//Output: Sorted array  $A[0..p+q-1]$  of the elements of  $B$  and  $C$

$i \leftarrow 0$ ;  $j \leftarrow 0$ ;  $k \leftarrow 0$

**while**  $i < p$  **and**  $j < q$  **do**

**if**  $B[i] \leq C[j]$

$A[k] \leftarrow B[i]$ ;  $i \leftarrow i + 1$

**else**  $A[k] \leftarrow C[j]$ ;  $j \leftarrow j + 1$

$k \leftarrow k + 1$

**if**  $i = p$

    copy  $C[j..q-1]$  to  $A[k..p+q-1]$

**else** copy  $B[i..p-1]$  to  $A[k..p+q-1]$

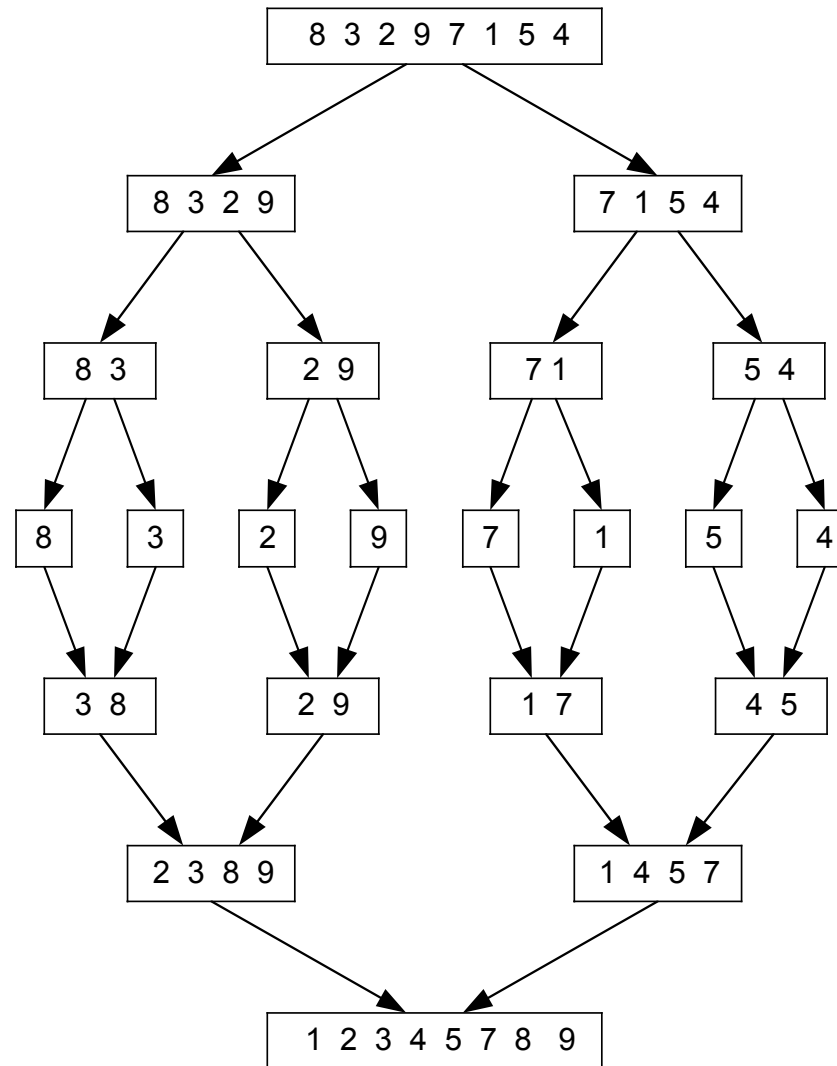


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# Mergesort Example





# Analysis of Mergesort

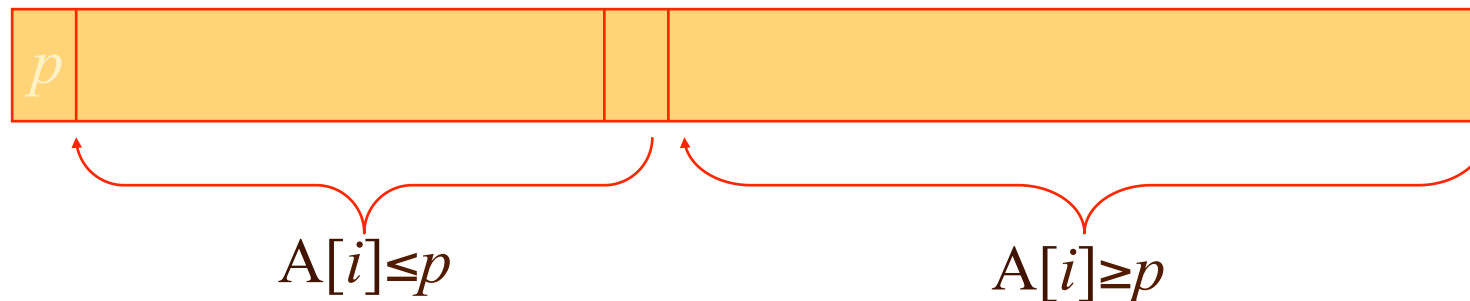
- All cases have same efficiency:  $\Theta(n \log n)$
- Number of comparisons in the worst case is close to theoretical minimum for comparison-based sorting:

$$\lceil \log_2 n! \rceil \approx n \log_2 n - 1.44n$$

- Space requirement:  $\Theta(n)$  (not in-place)
- Can be implemented without recursion (bottom-up)

# Quicksort

- Select a *pivot* (partitioning element) – here, the first element
- Rearrange the list so that all the elements in the first  $s$  positions are smaller than or equal to the pivot and all the elements in the remaining  $n-s$  positions are larger than or equal to the pivot (see next slide for an algorithm)



- Exchange the pivot with the last element in the first (i.e.,  $\leq$ ) subarray – the pivot is now in its final position
- Sort the two subarrays recursively

# Partitioning Algorithm

**Algorithm** *Partition*( $A[l..r]$ )

//Partitions a subarray by using its first element as a pivot

//Input: A subarray  $A[l..r]$  of  $A[0..n - 1]$ , defined by its left and right

// indices  $l$  and  $r$  ( $l < r$ )

//Output: A partition of  $A[l..r]$ , with the split position returned as

// this function's value

$p \leftarrow A[l]$

$i \leftarrow l; \quad j \leftarrow r + 1$

**repeat**

**repeat**  $i \leftarrow i + 1$  **until**  $A[i] \geq p$

**repeat**  $j \leftarrow j - 1$  **until**  $A[j] \leq p$

$\text{swap}(A[i], A[j])$

**until**  $i \geq j$

$\text{swap}(A[i], A[j])$     //undo last swap when  $i \geq j$

$\text{swap}(A[l], A[j])$

**return**  $j$

# Quicksort Example

5 3 1 9 8 2 4 7

# Analysis of Quicksort

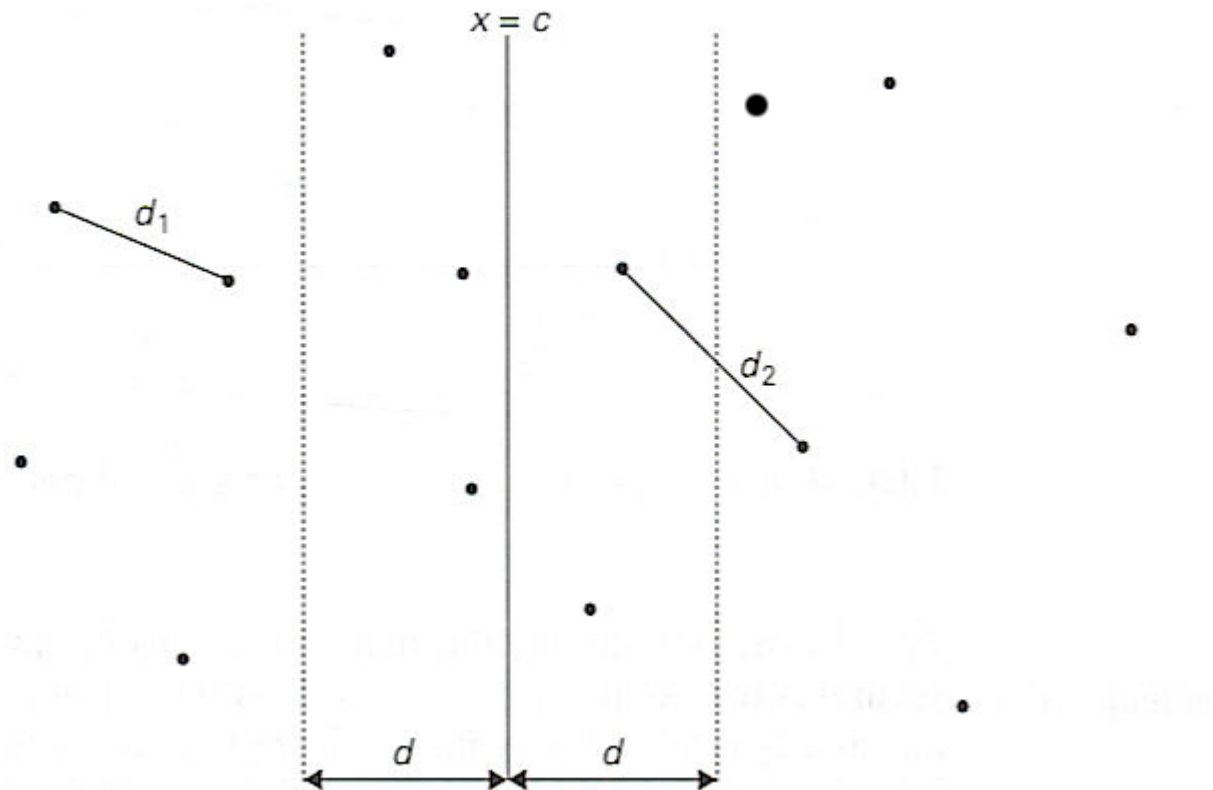
- Best case: split in the middle —  $\Theta(n \log n)$
- Worst case: sorted array! —  $\Theta(n^2)$
- Average case: random arrays —  $\Theta(n \log n)$
- Improvements:
  - better pivot selection: median of three partitioning
  - switch to insertion sort on small subfiles
  - elimination of recursion

These combine to 20-25% improvement

- Considered the method of choice for internal sorting of large files ( $n \geq 10000$ )

# Closest-Pair Problem by Divide-and-Conquer

Step 1 Divide the points given into two subsets  $S_1$  and  $S_2$  by a vertical line  $x = c$  so that half the points lie to the left or on the line and half the points lie to the right or on the line.



# Closest Pair by Divide-and-Conquer (cont.)

Step 2 Find recursively the closest pairs for the left and right subsets.

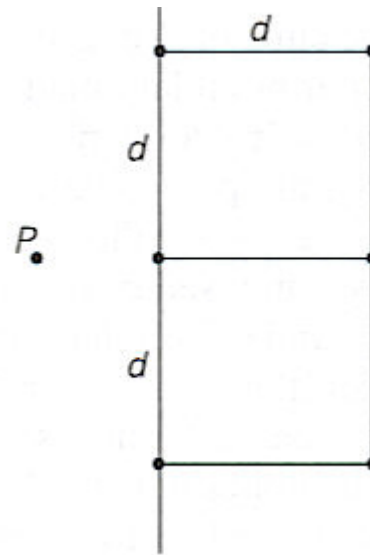
Step 3 Set  $d = \min\{d_1, d_2\}$

We can limit our attention to the points in the symmetric vertical strip of width  $2d$  as possible closest pair. Let  $C_1$  and  $C_2$  be the subsets of points in the left subset  $S_1$  and of the right subset  $S_2$ , respectively, that lie in this vertical strip. The points in  $C_1$  and  $C_2$  are stored in increasing order of their  $y$  coordinates, which is maintained by merging during the execution of the next step.

Step 4 For every point  $P(x,y)$  in  $C_1$ , we inspect points in  $C_2$  that may be closer to  $P$  than  $d$ . There can be no more than 6 such points (because  $d \leq d_2$ )!

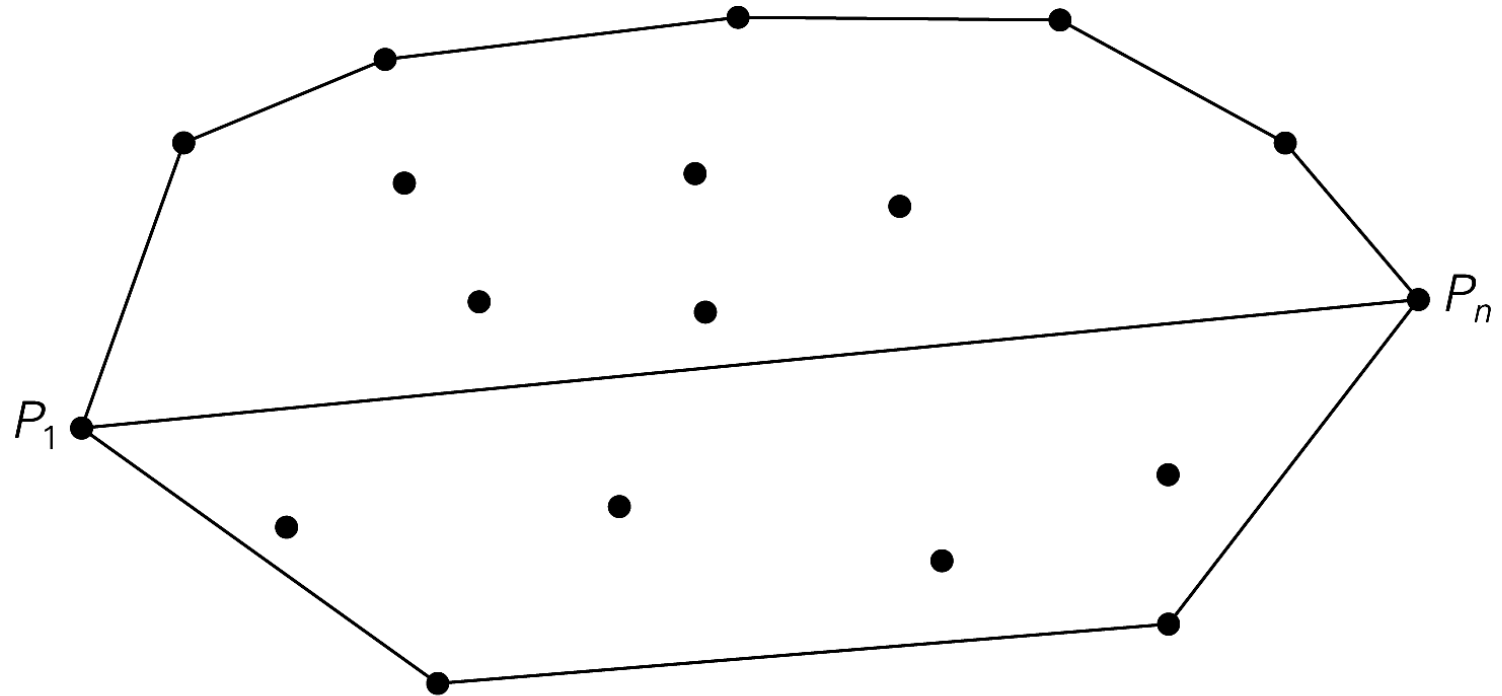
# Closest Pair by Divide-and-Conquer: Worst Case

The worst case scenario is depicted below:





# Quick Hull



**FIGURE 4.8** Upper and lower hulls of a set of points

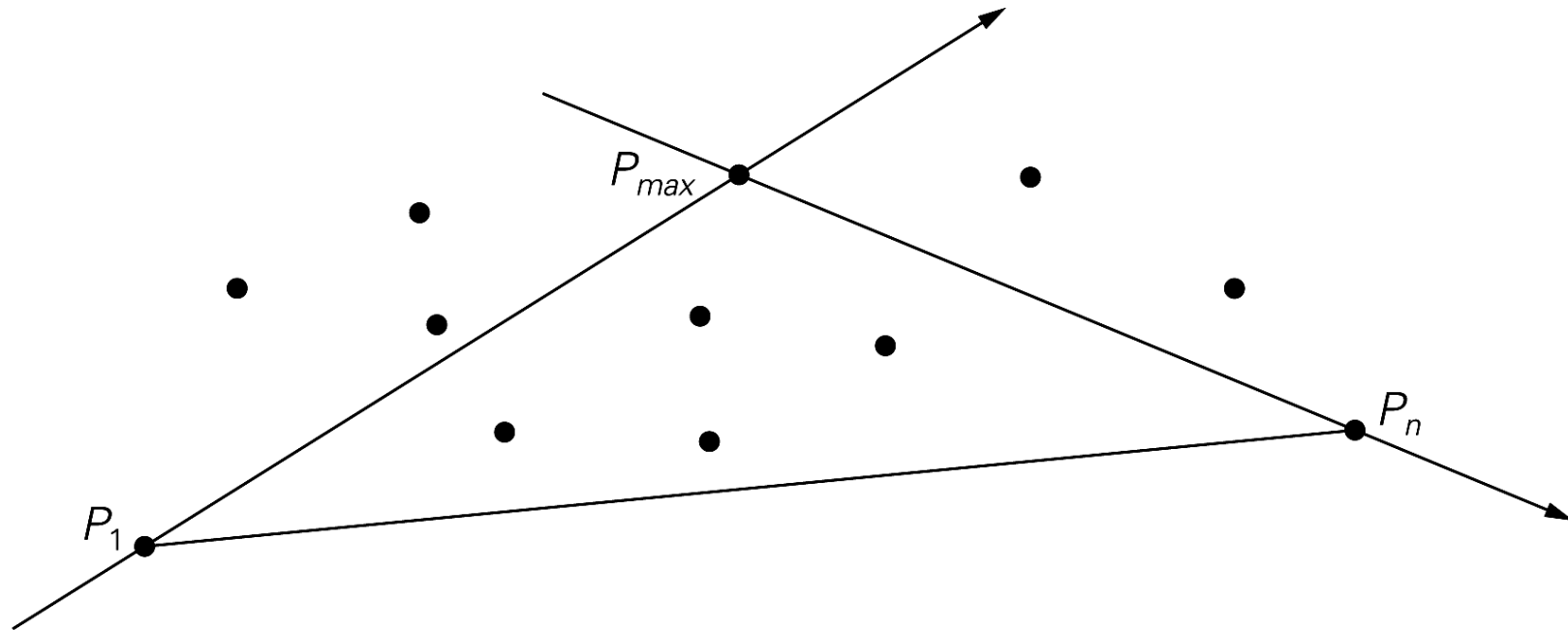


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# QuickHull - next -step



**FIGURE 4.9** The idea of quickhull



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# Decrease and Conquer

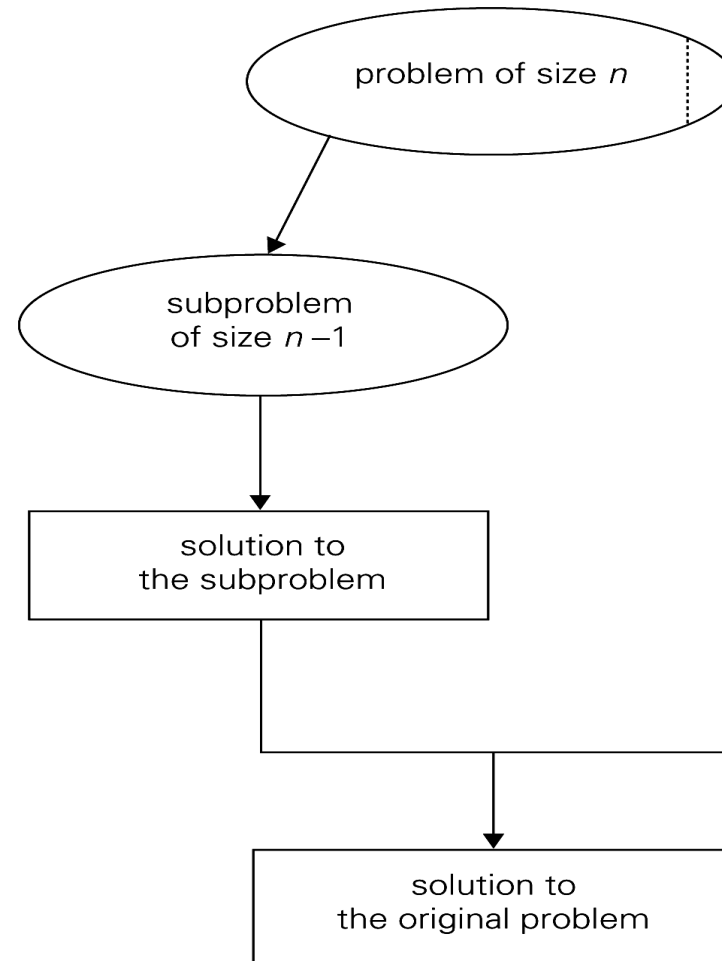
Solve a smaller problem on every step

Levitin Chapter 5

# Decrease and Conquer

- Often, it is possible to solve a problem by solving a smaller problem first and then using that solution to solve the bigger problem
  - This is the essence of recursive solutions.
- The strategy of decrease and conquer comes in three flavours.
  - Decrease by a constant amount
  - Decrease by a constant factor (usually a factor of two)
  - Decrease by a variable amount.

# Decrease by a constant amount



**FIGURE 5.1** Decrease (by one)-and-conquer technique

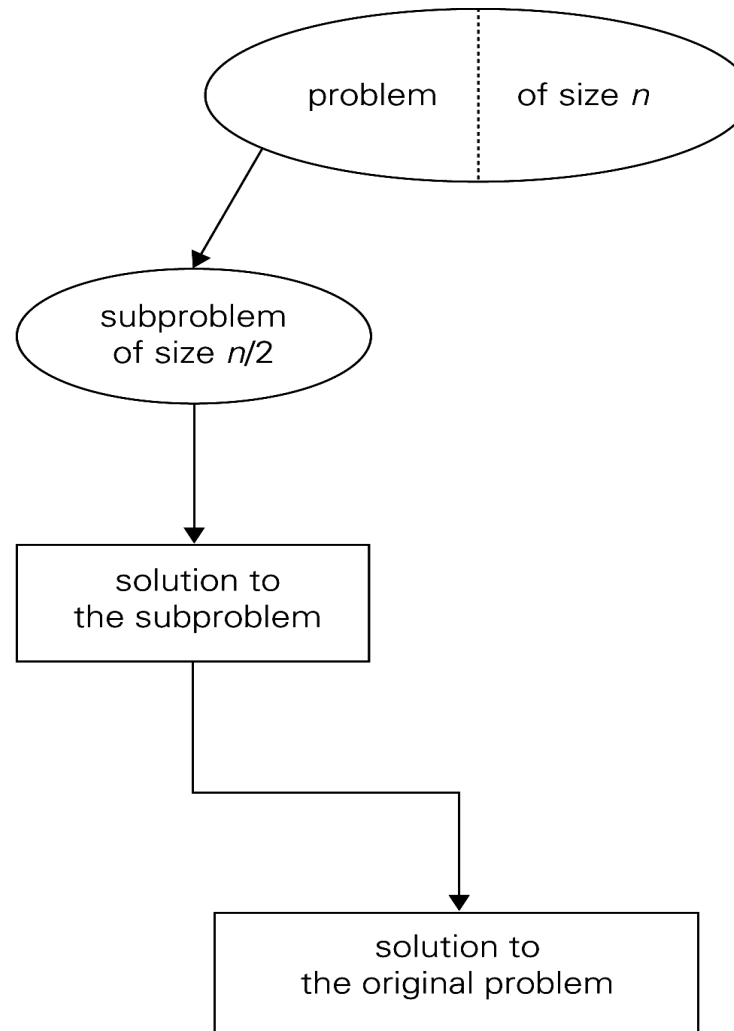


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# Decrease by a constant factor



# Decrease by a constant amount

- Examples
  - Generating permutations
  - Generating subsets

# Generating Permutations

start	1		
insert 2 into 1 right to left	12	21	
insert 3 into 21 right to left	123	132	312
insert 3 into 21 left to right	321	231	213

**FIGURE 5.12** Generating permutations bottom up

- Adv - simple
- Disadv - requires insertion and also takes a long time to get first perm.



# Better permutations - Johnson-Trotter

- Start with the list you want to generate
  - e.g. 1 2 3 4 5 6 7 8
- Assign every element a direction arrow
  - $<1<2<3<4<5<6<7<8$
- A number is mobile if it points to a smaller number adjacent to it
  - In the above list all numbers except 1 is mobile
  - $<8$  is the **largest mobile number**.

# Johnson-Trotter

- Algorithm - start with a sorted permutation with all arrows pointing backwards.

**While** the latest permutation has a mobile element  
find the largest mobile element  $k$   
swap  $k$  and the integer it points to  
now reverse the direction of all the elements larger than  $k$   
add this permutation to the list

**End while**

- Alg finishes with the permutation
- $\langle 1 \langle 23 \rangle 4 \rangle \dots n \rangle$

# Generating subsets

$n$	subsets
0	$\emptyset$
1	$\emptyset \quad \{a_1\}$
2	$\emptyset \quad \{a_1\} \quad \{a_2\} \quad \{a_1, a_2\}$
3	$\emptyset \quad \{a_1\} \quad \{a_2\} \quad \{a_1, a_2\} \quad \{a_3\} \quad \{a_1, a_3\} \quad \{a_2, a_3\} \quad \{a_1, a_2, a_3\}$

**FIGURE 5.13** Generating subsets bottom up

# A better subset generating algorithm

- The last algorithm required a lot of storage.
- We can generate a stream of subsets easily by using a mapping between binary numbers and set membership.
- e.g.

000  $\rightarrow \{\}$ , 001  $\rightarrow \{a_3\}$ , 010  $\rightarrow \{a_2\}$ , 011  $\rightarrow \{a_2, a_3\}$ , 100  $\rightarrow \{a_1\}$ ,  
101  $\rightarrow \{a_1, a_3\}$  ....

# Decrease by a constant factor

- Examples
  - Find the fake coin
  - Russian peasant Method for Multiplication
  - Binary search

# Find the fake coin

- You have a pile of  $n$  coins - one is a fake
- The fake is lighter
- You have an old-fashioned set of scales
- Find the fake coin quickly.



# Algorithm

- If  $n$  is odd.
  - Put one coin aside, divide the remaining coins into two piles and compare them on the scales.
  - If they are both equal the fake is the coin you put aside.
  - Otherwise take the lighter set of coins and repeat the algorithm.
- If  $n$  is even
  - As above but don't put a coin aside.

# Russian peasant multiplication

- To multiply  $n$  by  $m$ 
  - If  $n$  is even
    - Halve  $n$  and double  $m$
  - If  $n$  is odd
    - Add  $m$  to our total
    - Halve  $(n-1)$  and double  $m$
- Repeat
- This method is very fast conventional computers
  - Why?



$n$	$m$	
50	65	
25	130	
12	260	(+130)
6	520	
3	1, 040	
1	2, 080	(+1040)
	2, 080	$+(130 + 1040) = 3, 250$

(a)

$n$	$m$	
50	65	
25	130	130
12	260	
6	520	
3	1, 040	1, 040
1	2, 080	<u>2, 080</u>
		3, 250

(b)

**FIGURE 5.14** Computing  $50 \cdot 65$  by multiplication à la russe

# Reduce by a variable amount

- Examples
  - Finding the median
  - Euclids algorithm.

# Finding the median

- The median is the middle-ranked value in a set of numbers.
- Trivial algorithm...
  - Sort the list of numbers
  - Go halfway along the sorted list
    - That is our median value
  - $O(n \log n)$  Not the most efficient solution if we only want the median.

# Fast median

- Use quicksort-style partitioning.
  - Pick a partition pivot element:  $p$
  - Partition the list into a list  $L$  whose elements are less than  $p$  and a list  $H$  whose elements are greater than  $p$ .
  - If the **length of  $L$  > length of  $H$**  then
    - Recursively search for the median in  $L$
  - Else, if the **length of  $H$  > length of  $L$** 
    - Recursively search for the median in  $H$
  - Else **length of  $H$  == length of  $L$ ,  $p$  is the median**. Stop.
- Question... what is the average time-complexity of this algorithm?

# Euclids algorithm

// finds gcd(m,n)

While  $n \neq 0$

$r = n \bmod m$

$m = n$

$n = r$

Return m

- Notice how both n and m shrinking by a variable amount
  - Relies on identity:  $\text{gcd}(m,n) = \text{gcd}(n, m \bmod n)$

# When to use

- Use divide and conquer when the cost of doing the sub-problems + the cost of dividing and combining is less than the cost of doing the whole thing.
  - Divide and conquer also has big advantages in [parallel](#) applications.
- Use decrease and conquer when:
  - The solution arises naturally
  - Overheads are low