ADVANCED QUANTUM FIELD THEORY

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Lecture 1.

Saturday, January 19, 2019

Note. There will not be official typed course notes, but there will be scanned handwritten notes (which I will link here as they become available). Previous lecturers' notes are currently online (Skinner, Osborn).

Today we introduce path integrals in a QFT context. There are some benefits to working with path integrals- some computations are simplified or more straightforward, and Lorentz invariance is manifest (unlike in the canonical formalism).

Path integrals in quantum mechanics Rather than trying to tackle the full machinery of QFT, we'll start with 0+1 dimensional non-relativistic quantum mechanics (cf. Osborn § 1.2. We'll set $\hbar=1$ for now, though we may restore it later in order to make arguments when $\hbar \ll 1$ in a classical limit. In these units,

$$[E][t] = [\hbar] = [p][x]$$

using uncertainty relations.

Let us consider a Hamiltonian in 1 spatial dimension,

$$\hat{H} = H(\hat{x}, \hat{p})$$
 with $[\hat{x}, \hat{p}] = i$.

We'll further assume for simplicity that the Hamiltonian has a kinetic term and a potential based only on position,

$$\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x}).$$

Now the Schrödinger equation takes the form

$$i\frac{\partial}{\partial t}|\psi(t)\rangle = \hat{H}|\psi(t)\rangle \tag{1.1}$$

which has formal solution

$$|\psi(t)\rangle = e^{-i\hat{H}t} |\psi(0)\rangle.$$
 (1.2)

Let us consider some position eigenstates $|x,t\rangle$ such that

$$\hat{x}(t) |x,t\rangle = x |x,t\rangle, \quad x \in \mathbb{R},$$

where these states obey some normalization

$$\langle x', t | x, t \rangle = \delta(x' - x).$$

In the Schrödinger picture, states depend on time, while operators are constant. In terms of fixed (time-independent) eigenstates $\{|x\rangle\}$ of the position operator \hat{x} , we may write the wavefunction as

$$\psi(x,t) = \langle x | \psi(t) \rangle, \tag{1.3}$$

so that applying the Hamiltonian to the wavefunction $\psi(x,t)$ yields

$$\hat{H}\psi(x,t) = \left(-\frac{1}{2m}\frac{\partial^2}{\partial x^2} + V(x)\right)\psi(x,t). \tag{1.4}$$

This is the traditional presentation of quantum mechanics and the wavefunction. In the path integral formalism, we'll consider a more particle-like treatment, where we express time evolution as a sum over all trajectories (meeting some boundary conditions) appropriately weighted (by an action).

Recall that our formal solution 1.2 tells us what $|\psi(t)\rangle$ is—we can therefore rewrite the wavefunction as

$$\psi(x,t) \langle x | e^{-i\hat{H}t} | \psi(0) \rangle. \tag{1.5}$$

By inserting a complete set of (position eigen)states, $1 = \int dx_0 |x_0\rangle \langle x_0|$, we get

$$\psi(x,t) = \int dx_0 \langle x | e^{-i\hat{H}t} | x_0 \rangle \langle x_0 | \psi(0) \rangle$$
$$= \int dx_0 K(x,x_0;t) \psi(x_0,0),$$

where we have defined $K(x, x_0; t) \equiv \langle x | e^{-i\hat{H}t} | x_0 \rangle$. Let us further consider time evolution in discrete steps, with $0 \equiv t_0 < t_1 < t_2 < \ldots < t_n < t_{n+1} \equiv T$ so that

$$e^{-i\hat{H}T} = e^{-i\hat{H}(t_{n+1}-t_n)} \dots e^{-i\hat{H}(t_1-t_0)}.$$

As before, we insert complete sets of states, finding that our generic time evolution from any x_0 to an x of our choosing:

$$K(x, x_0; T) = \int \left[\prod_{r=1}^{n} dx_r \langle x_{r+1} | e^{-i\hat{H}(t_{r+1} - t_r)} | x_r \rangle \right] \langle x_1 | e^{-i\hat{H}t_1} | x_0 \rangle.$$
 (1.6)

That is, we integrate over all intermediate positions x_r for each t_r . Naturally, dx_{n+1} must be x.

Let's look at the free theory first to understand what we've done, V(x) = 0. Now this weird K_0 object we've defined takes the form

$$K_0(x,x';t) = \langle x | e^{-i\frac{\hat{p}^2}{2m}t} | x' \rangle. \tag{1.7}$$

We'll instead insert a complete set of momentum eigenstates $|p\rangle$ with the normalization

$$\int \frac{dp}{2\pi} |p\rangle \langle p| = 1,$$

recalling that $\langle x|p\rangle=e^{ipx}$ are simply plane waves. Then

$$K_0(x, x'; t) = \int \frac{dp}{2\pi} e^{-ip^2t/2m} e^{ip(x-x')}.$$

We can compute this– completing the square with a change of variables to $p' = p - \frac{m(x-x')}{t}$, K_0 becomes a gaussian integral,

$$K_0(x, x'; t) = e^{im(x-x')^2/2t} \int_{-\infty}^{\infty} \exp\left[-\frac{i(p')^2 t}{2m}\right]$$
$$= e^{im(x-x')^2/2t} \sqrt{\frac{m}{2\pi i t}}.$$

Note that as $t \to 0$,¹

$$\lim_{t\to 0} K_0(x,x';t) = \delta(x-x'),$$

¹This was more obvious from the original expression for K_0 where $K_0(x, x'; t = 0) = \int \frac{dp}{2\pi} e^{ip(x-x')}$.

which agrees with the fact that $\langle x' | x \rangle = \delta(x - x')$.

For $V(\hat{x}) \neq 0$, we still need small time steps but since operators generically do not compute, exponentials don't add in the usual way:

$$e^{\hat{A}}e^{\hat{B}} = \exp(\hat{A} + \hat{B} + \frac{1}{2}[\hat{A}, \hat{B}] + \ldots) \neq e^{\hat{A} + \hat{B}}$$
 when $[\hat{A}, \hat{B}] \neq 0$.

This is the Baker-Campbell-Hausdorff (BCH) formula. However, for small ϵ we can write

$$e^{\epsilon \hat{A}}e^{\epsilon \hat{B}} = \exp(\epsilon \hat{A} + \epsilon \hat{B} + O(\epsilon^2)),$$

or equivalently

$$e^{\epsilon(\hat{A}+\hat{B})} = e^{\epsilon\hat{A}}e^{\epsilon\hat{B}}(1+O(\epsilon^2)),$$

so we conclude that

$$e^{\hat{A}+\hat{B}} = \lim_{n\to\infty} \left(e^{\hat{A}/n}e^{\hat{B}/n}\right)^n.$$

Suppose now that we divide our time into n time steps so that $t_r - 1 - t_r = \delta t$, with $T = n\delta t$. Then one of the intermediate time evolution steps looks like

$$\begin{split} \langle x_{r+1} | \, e^{-i\hat{H}\delta t} \, | x_r \rangle &= e^{-iV(x_r)\delta t} \, \langle x_{r+1} | \, e^{-i\hat{p}^2\delta t/2m} \, | x_r \rangle \\ &= \sqrt{\frac{m}{2\pi i\delta t}} \exp \left[\frac{i}{2} m \left(\frac{x_{r+1} - x_r}{\delta t} \right)^2 \delta t - iV(x_r) \delta t \right]. \end{split}$$

Taking $T = n\delta t$, we find that the entire K becomes

$$K(x, x_0; T) = \int \left(\prod_{r=1}^n dx_r\right) \left(\frac{m}{2\pi i \delta t}\right)^{\frac{n+1}{2}} \exp\left(i \sum_{r=0}^n \left[\frac{m}{2} \left(\frac{x_{r+1} - x_r}{\delta t}\right)^2 - V(x_r)\right] \delta t\right). \tag{1.8}$$

Now we take the limit as $n \to \infty$, $\delta t \to 0$ with T fixed. Then the argument of the exponential becomes

$$\int_0^T \frac{m}{2} \dot{x}^2 - V(x) dt = \int_0^t L dt, \tag{1.9}$$

where $L(x, \dot{x})$ is the classical Lagrangian and this integral is nothing more than the action. We conclude that

$$K(x, x_0; T) = \langle x | e^{-i\hat{H}t} | x_0 \rangle = \int \mathcal{D}x e^{iS[x]}, \qquad (1.10)$$

where $S[x] = \int_0^T L(x, \dot{x}) dt$ is the classical action and the \mathcal{D} conceals all our sins (the continuum limit) in a cute integration measure. Note that the action has units of energy \times time, so if we restore \hbar , we see that this integral becomes

$$K(x,x_0;T) = \int \mathcal{D}x \, e^{iS/\hbar},\tag{1.11}$$

and in the $\hbar \to 0$ limit (the classical limit), the integral is dominated by paths x which minimize the classical action, and we recognize this as Hamilton's principle from classical mechanics.

Lecture 2.

Tuesday, January 22, 2019

Last time, we introduced the path integral in quantum mechanics, and we said it took the form

$$\langle x|e^{-iHt/\hbar}|x_0\rangle = \int \mathcal{D}x e^{iS[x]/\hbar}.$$
 (2.1)

Let us consider now a "rotation" to imaginary time, $t \to -i\tau$ (Wick rotation). Then our path integral becomes

$$\langle x|e^{-H\tau/\hbar}|x_0\rangle = \int \mathcal{D}x e^{-S[x]/\hbar}.$$
 (2.2)

Working with a real exponent has some benefits– the convergence of the integral is more obvious, and in the $\hbar \to 0$ limit we expect the integral to be dominated by the classical path x which minimizes the action S[x].

We can make the observation that 1D quantum mechanics is like a 0 + 1D quantum field theory– the field is

$$x(t): \mathbb{R} \to \mathbb{R}$$
.

In fact, 3D quantum mechanics is also like a 0 + 1D QFT, where the field is now

$$\mathbf{x}(t): \mathbb{R} \to \mathbb{R}^3$$
.

Given a single spacetime label t, a QM theory gives us a real scalar in \mathbb{R} or a vector in \mathbb{R}^3 – cf. Srednicki Ch. 1. There are different approaches to quantization, but in the second quantization formalism we demote position \mathbf{x} from an operator to a label on a spacetime point (\mathbf{x}, t) . Therefore QFT in 3+1 dimensions has e.g. a scalar field ϕ which is a map

$$\phi: \mathbb{R}^{1,3} \to \mathbb{R}$$
.

Path integral methods Let's begin with the simplest possible case, QFT in zero dimensions.² All of spacetime is a single point p,³ and our (real scalar) field ϕ is a map $\phi : \{p\} \to \mathbb{R}$.

Using our imaginary time (Euclidean signature) convention for the path integral, we write

$$Z = \int_{\mathbb{R}} d\phi \, e^{-S[\phi]/\hbar}. \tag{2.3}$$

We'll take our action $S[\phi]$ to be polynomial in ϕ , with highest power even.

As in statistical field theory, we are interested in correlation functions and expectation values. Given a function $f(\phi)$, we might like to compute the expectation value

$$\langle f \rangle = \frac{1}{Z} \int d\phi \, f(\phi) e^{-S[\phi]/\hbar}. \tag{2.4}$$

For this to have a chance of convergence, f should not grow too rapidly as $|\phi| \to \infty$. Usually the functions we are interested in are polynomial in ϕ .

Free field theory Suppose we have *N* real scalar fields ϕ_a , a = 1, ..., N. We can compactly write this as a single field

$$\phi: \{p\} \to \mathbb{R}^N, \tag{2.5}$$

and we'd like to compute the integral

$$Z_0 = \int d^N \phi e^{-S[\phi]/\hbar}. \tag{2.6}$$

Now, a free theory simply means that the action is quadratic in our fields. A priori it could have included kinetic terms, but since we are in zero dimensions, there are no derivatives to take and therefore no kinetic terms in this model. Then we can write our action as

$$S(\phi) = \frac{1}{2} \mathcal{M}_{ab} \phi_a \phi_b = \frac{1}{2} \phi^T \mathcal{M} \phi, \tag{2.7}$$

where \mathcal{M} is an $N \times N$ symmetric matrix with det M > 0. So our action could include terms like $\frac{1}{2}\phi_1^2$ and $\frac{5}{2}\phi_1\phi_4$. Since \mathcal{M} is symmetric, we can diagonalize it as

$$\mathcal{M} = P\Lambda P^T$$

for some orthogonal matrix P. But equivalently we could just redefine our fields to some new fields $\phi' = P^T \phi$ so that

$$S(\phi) = \frac{1}{2}\phi'^T \Lambda \phi' = \frac{1}{2} \sum_{i=1}^N \lambda_i (\phi_i')^2,$$

where λ_i are the eigenvalues of \mathcal{M} . Since P is orthogonal, $\det P = 1 \implies d^N \phi = (\det P) d^N \phi' = d^N \phi'$, so our path integral separates into N Gaussian integrals of the form

$$\int_{-\infty}^{\infty} dx \, e^{-\frac{\lambda}{2\hbar}x^2} = \sqrt{\frac{2\pi\hbar}{\lambda}}.$$
 (2.8)

²Cf. Skinner Ch. 2, Srednicki §8,9.

³If you're reading my SUSY notes, you should be getting déjà vu right about now.

Thus

$$Z_0 = \int d^N \phi \, e^{-\frac{1}{2\hbar}\phi^T \mathcal{M}\phi} = \prod_{i=1}^N d\phi_i \, e^{-\frac{1}{2\hbar}\lambda_i(\phi_i)^2} = \frac{(2\pi\hbar)^{N/2}}{\sqrt{\det \mathcal{M}}}.$$
 (2.9)

We can now introduce a source term J, modifying our action to

$$S(\phi) = \frac{1}{2}\phi^T \mathcal{M}\phi + J \cdot \phi. \tag{2.10}$$

If we complete the square and make a change of variables $\tilde{\phi} = \phi + \mathcal{M}^{-1}J$, we find that the new path integral with a source is

$$\begin{split} Z_0[J] &= \int d^N \phi \, \exp \left[-\frac{1}{2\hbar} \phi^T \mathcal{M} \phi - \frac{1}{\hbar} J \cdot \phi \right] \\ &= \exp \left(\frac{1}{2\hbar} J^T \mathcal{M}^{-1} J \right) \int d^N \tilde{\phi} \, e^{-\frac{1}{2\hbar} \tilde{\phi}^T \mathcal{M} \tilde{\phi}} \\ &= Z_0 \exp \left(\frac{1}{2\hbar} J^T \mathcal{M}^{-1} J \right). \end{split}$$

We see that $\frac{\partial}{\partial J}$ derivatives will bring down ϕ s, which will allow us to compute correlation functions just like we did in statistical physics with the partition function.

Example 2.11. What is the value of the correlation function $\langle \phi_a \phi_b \rangle$ in this theory? We can compute it directly:

$$\begin{split} \langle \phi_a \phi_b \rangle &= \frac{1}{Z_0} \int d^N \phi \, \phi_a \phi_b \exp \left[-\frac{1}{2\hbar} \phi^T \mathcal{M} \phi - \frac{1}{\hbar} J \cdot \phi \right] \Big|_{J=0} \\ &= \frac{1}{Z_0} \int d^N \phi \left(-\hbar \frac{\partial}{\partial J_a} \right) \left(-\hbar \frac{\partial}{\partial J_b} \right) \exp \left[-\frac{1}{2\hbar} \phi^T \mathcal{M} \phi - \frac{1}{\hbar} J \cdot \phi \right] \Big|_{J=0} \\ &= (-\hbar)^2 \frac{\partial}{\partial J_a} \frac{\partial}{\partial J_b} \exp \left[\frac{1}{2\hbar} J^T \mathcal{M}^{-1} J \right] \Big|_{J=0} \\ &= \hbar (\mathcal{M}^{-1})_{ab}. \end{split}$$

Note that the first J derivative brings down an $\mathcal{M}^{-1}J$ (so our expression is of the form $\mathcal{M}^{-1}J\exp(J^T\mathcal{M}^{-1}J)$), and when we take the second J derivative, we will get two terms, one of the form $\mathcal{M}^{-1}\exp(\ldots)$ and another of the form $(\mathcal{M}^{-1}J)^2\exp(\ldots)$. The second term is zero when we set J=0, and the exponential becomes 1 in both cases, so we are just left with \mathcal{M}^{-1} .

What we have calculated is a two-point function, otherwise known as a propagator (though it's a bit silly to call this a propagator when the spacetime is just a single point). We can associate a Feynman diagram to this process:

There is another method we can use to compute propagators (cf. Osborn §1.3):

$$\begin{split} \mathcal{M}_{ca} \left\langle \phi_{a} \phi_{b} \right\rangle &= \frac{1}{Z_{0}} \int d^{N} \phi \, \mathcal{M}_{ca} \phi_{a} \phi_{b} \exp \left[-\frac{1}{2\hbar} \phi^{T} \mathcal{M} \phi \right] \\ &= -\frac{\hbar}{Z_{0}} \int d^{N} \phi \, \phi_{b} \frac{\partial}{\partial \phi_{c}} \exp \left[-\frac{1}{2\hbar} \phi^{T} \mathcal{M} \phi \right] \\ &= \frac{\hbar}{Z_{0}} \int d^{N} \phi \, \frac{\partial \phi_{b}}{\partial \phi_{c}} \exp \left[-\frac{1}{2\hbar} \phi^{T} \mathcal{M} \phi \right] \\ &= \hbar \delta_{bc} \implies \left\langle \phi_{a} \phi_{b} \right\rangle = \hbar (\mathcal{M}^{-1})_{ab}. \end{split}$$

In going from the second to the third line, we have integrated by parts to move the $\frac{\partial}{\partial \phi_c}$ to ϕ_b , and then recognized the remaining integral as Z_0 .

More generally, let $l(\phi) = l \cdot \phi = \sum_{a=1}^{N} l_a \phi_a (\neq 0)$ be a linear function of ϕ , with $l_a \in \mathbb{R}$. Then the expected value $\langle l_a(\phi) \dots l_p(\phi) \rangle$ is given by

$$\langle l_a(\phi) \dots l_p(\phi) \rangle = (-\hbar)^p \prod_{i=1}^p \left(l_i \frac{\partial}{\partial J_i} \right) \left. \frac{Z_0[J]}{Z_0} \right|_{J=0}.$$

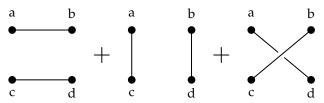
Notice that if we play this game for an odd number of J_i derivatives, all our terms will be of the form $J^p \exp(...)$ where p is odd. When we set J=0, all these terms therefore vanish, which tells us that $\left\langle \phi_{a_1} \dots \phi_{a_p} \right\rangle = 0$ for n odd. If we compute it for $p=2k, k \in \mathbb{N}$, the terms that survive setting J=0 will have k factors of \mathcal{M}^{-1} .

Example 2.12. What is the value of the four-point function $\langle \phi_a \phi_b \phi_c \phi_d \rangle$ in free field theory? It is simply

$$\langle \phi_a \phi_b \phi_c \phi_d \rangle = \hbar^2 \Big[(\mathcal{M}^{-1})_{ab} (\mathcal{M}^{-1})_{cd} + (\mathcal{M}^{-1})_{ac} (\mathcal{M}^{-1})_{bd} + (\mathcal{M}^{-1})_{ad} (\mathcal{M}^{-1})_{bc} \Big].$$

Though we haven't said it, this is effectively a toy version of Wick's theorem—we are taking contractions of the fields using (\mathcal{M}^{-1}) s as propagators.

We can depict these contractions as connecting some 2k dots pairwise with lines using a simplified Feynman diagram notation:



In general, the number of distinct ways we can pair 2k elements is

$$\frac{(2k)!}{2^k k!}.$$

The logic here is that we could take all (2k)! permutations of the 2k elements, and then take neighboring pairs, e.g. if our elements are $\{a, b, c, d, e, f\}$, one set of pairs is

$$abdcfe \rightarrow ab|dc|fe$$
.

The order of the 2 elements in each of the k pairs doesn't matter (ab|dc = ba|dc), so we've overcounted by a factor of 2^k , and the order of all the k pairs also doesn't matter (ab|dc = dc|ab), so we divide by another factor of k! to get the final result.

Example 2.13. One last example– if our free fields are instead complex, $\phi : \{p\} \to \mathbb{C}$, then \mathcal{M} is hermitian. Therefore (\mathcal{M}^{-1}) will in general not be symmetric, and so the order of the indices matters. That is, $\langle \phi_a \phi_h^* \rangle = \hbar (\mathcal{M}^{-1})_{ab}$. Then the associated Feynman diagram has an arrow to indicate direction:



Lecture 3.

Thursday, January 24, 2019

Today, we will continue our exploration of zero-dimensional path integrals in quantum field theory.

Interacting theory Let us consider a single real scalar field ϕ : {point} $\to \mathbb{R}$. We choose the action

$$S(\phi) = \frac{1}{2}m^2\phi^2 + \frac{\lambda}{4!}\phi^4. \tag{3.1}$$

We'll take $\lambda > 0$ for stability and $m^2 > 0$ such that $\min(S)$ lies at $\phi = 0$ so that we can easily expand around the minimum of S.

The path integral is then

$$Z = \int d\phi \exp\left[-\frac{1}{\hbar} \left(\frac{1}{2}m^2\phi^2 + \frac{\lambda}{4!}\phi^4\right)\right]. \tag{3.2}$$

This will be equivalent to expanding about $\hbar = 0$ (semi-classical limit). We can obviously open up the exponential and rewrite as a series in ϕ and \hbar ,

$$Z = \int d\phi e^{-\frac{m^2\phi^2}{2\hbar}} \sum_{n=0}^{\infty} \frac{1}{n!} \left(-\frac{\lambda}{\hbar \, 4!} \right)^n \phi^{4n}$$
$$= \frac{\sqrt{2\hbar}}{m} \sum_{n=0}^{\infty} \frac{1}{n!} \left(-\frac{\hbar \lambda}{4! m^4} \right)^n \cdot 2^{2n} \int_0^{\infty} dx e^{-x} x^{2n + \frac{1}{2} - 1},$$

where we have performed a change of variables $x = \frac{m^2 \phi^2}{2\hbar}$. This integral is in fact just a gamma function,

$$\Gamma(2n+\frac{1}{2})=\frac{(4n)!\sqrt{\pi}}{4^{2n}(2n)!}.$$

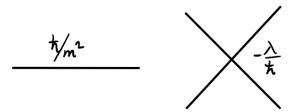
Thus our path integral computation using the gamma function is

$$Z = \frac{\sqrt{2\pi\hbar}}{m} \sum_{n=0}^{N} \left(-\frac{\lambda\hbar}{m^4}\right)^n \underbrace{\frac{1}{(4!)^n n!}}_{(1)} \underbrace{\frac{(4n)!}{2^{2n}(2n)!}}_{(2)}.$$
 (3.3)

Note that from Stirling's approximation, $n! \approx e^{n \log n}$, Thus these two combinatorial-looking terms scale roughly as $e^{n \log n} \approx n!$. The factorial growth of the coefficients means that this path integral actually has zero radius of convergence. This is an asymptotic series—it looks like it is getting better and better, and then everything goes to hell.⁴

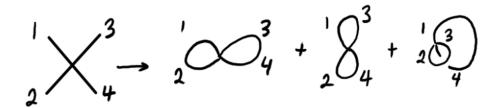
In practice the "true" function can differ from the truncated series by some transcendental function which might be small. Cf. Skinner Ch. 2 for more discussion of asymptotic series.

Note that term (1) in the path integral series expansion 3.3 comes from expanding the $\frac{\lambda|4!\hbar}{\phi}^4$ term in the exponent, while term (2) is the number of ways of joining 4n elements in distinct pairs (compare our discussion at the end of the previous lecture). We can associate some Feynman diagrams to this—a propagator and a four-point vertex.



Note also that Z has no ϕ dependence, meaning that the Feynman diagrams have no external legs. Let D_n be the set of *labelled* vacuum diagrams with n vertices, so that D_1 is the following set of diagrams, with $|D_1| = 3$.

⁴What we mean by an asymptotic series is that it converges not in the limit as the number of terms in the power series gets very large but rather as the expansion parameter gets very small.



Then let G_n be the group which permutes each of the 4 fields at each vertex $((S_4)^n)$ and also permutes the n vertices (S_n) . The size of this group is

$$|G_n| = |S_4|^n |S_n| = (4!)^n n!.$$

We therefore recognize that

$$\frac{Z}{Z_0} = \sum_{n=0}^{N} \left(-\frac{\lambda \hbar}{m^4} \right)^n \frac{|D_n|}{|G_n|}
= 1 - \frac{\hbar \lambda}{8m^4} + \frac{35}{384} \frac{\hbar^2 \lambda^2}{m^8} + \dots$$

with $Z_0 = \frac{\sqrt{2\pi\hbar}}{m}$. Physically, we can consider $\frac{|D_n|}{|G_n|}$ to be the sum over topologically distinct graphs divided by a symmetry factor. Equivalently, we write

$$\frac{|D_n|}{|G_n|} = \sum_{\Gamma} \frac{1}{S_{\Gamma}} \tag{3.4}$$

where Γ is a distinct graph free from labels and S_{Γ} is the number of permutations of lines and vertices leaving Γ invariant. Some examples appear in Fig.

In dimensions > 0, loops correspond to integrals over internal momenta, so these diagrams may have different contributions aside from the symmetry factors.

If we introduce an external source, then our path integral has a generating function

$$Z(J) = \int d\phi \exp{-\frac{1}{\hbar} \left(\frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + J\phi \right)}$$
 (3.5)

and our correlation functions are modified as before, with $\langle \phi^2 \rangle = \frac{(-\hbar)^2}{Z(0)} \frac{\partial^2}{\partial J^2} Z(J) \Big|_{J=0}$. Source terms correspond to lines terminating on vertices J, so that the expansion of Z(J) involves not only Z(0) vacuum diagrams but also diagrams that terminate with even numbers of source vertices.

Lecture 4.

Saturday, January 26, 2019

The official course notes from this class will be available from www.damtp.cam.ac.uk/user/wingate/AOFT.

Last time, we computed the ϕ^2 correlation function, $\langle \phi^2 \rangle$. In principle this sum also includes disconnected diagrams⁵ with "vacuum bubbles." As it turns out, the source-free partition function Z(0) is exactly the sum of the vacuum bubble diagrams, so that when we compute the correlation function, it suffices to consider only connected diagrams.

⁵Disconnected means that part of the diagram is not connected to any of the external legs. There are diagrams which look "disconnected" in the informal sense, but in which every line is still connected to an external line (real particle).

Effective actions Let's introduce now the *Wilsonian effective action* (named for Ken Wilson of the renormalization group).

Definition 4.1. The Wilsonian effective action W is defined to be

$$Z = e^{-W/\hbar}. (4.2)$$

Schematically,

$$\sum (\text{all vacuum diagrams}) = \exp\left(-\frac{1}{\hbar}\sum (\text{connected diagrams})\right). \tag{4.3}$$

To understand this, note that any diagram D is a product of connected diagrams C_I , such that

$$D = \frac{1}{S_D} \prod_{I} (C_I)^{n_I}, \tag{4.4}$$

where I indexes over connected diagrams, C_I includes its own internal symmetry factors, n_I is the number of C_I s in D, and S_D is the number of rearranging the identical C_I s in D. That is,

$$S_D = \prod_I (n_I)!. \tag{4.5}$$

Therefore we have

$$\frac{Z}{Z_0} = \sum_{\{n_I\}} D$$

$$= \sum_{\{n_I\}} \prod_I \frac{1}{n_I!} (C_I)^{n_I}$$

$$= \prod_I \sum_{n_I} \frac{1}{n_I!} (C_I)^{n_I}$$

$$= \exp\left(\sum_I C_I\right)$$

$$= e^{-(W - W_0)/\hbar}.$$

where $W = W_0 - \hbar \sum_I C_I$ is a sum over connected diagrams.

Why is *W* an "effective" action? Consider a theory with two real scalar fields ϕ , χ . Our theory has an action

$$S(\phi, \chi) = \frac{m^2}{2}\phi^2 + \frac{M^2}{2}\chi^2 + \frac{\lambda}{4}\phi^2\chi^2. \tag{4.6}$$

Note there's no factorial in the λ term because the fields are distinguishable.

We can associate some Feynman rules to the theory. Then there are some vacuum bubbles we can draw (see figure) associated to these rules to produce a sum

$$-\frac{W}{\hbar} = -\frac{\hbar\lambda}{m^2 M^2} \left(\frac{1}{4}\right) + \left(\frac{\hbar\lambda}{m^2 M^2}\right)^2 \left(\frac{1}{16} + \frac{1}{16} + \frac{1}{8}\right) + O(\lambda^3). \tag{4.7}$$

Similarly for the connected loop diagrams, we have

$$\left\langle \phi^{2} \right\rangle = \frac{\hbar}{m^{2}} \left(1 - \frac{\hbar \lambda}{m^{2} M^{2}} \frac{1}{2} + \left(\frac{\hbar \lambda}{m^{2} M^{2}} \right)^{2} \left[\frac{1}{4} + \frac{1}{4} + \frac{1}{2} \right] + O(\lambda^{3}) \right).$$
 (4.8)

This is well and good. We can write down the Feynman rules for the full theory, draw the diagrams, and in principle compute any cross section we like. But now say we want to remove the explicit χ dependence from our theory. That is, maybe the χ particle is very massive, $M \gg m$, and so we are unlikely to see it in our collider. We say that we "integrate out" the heavy field.

For this toy theory, define W such that

$$e^{-W(\phi)/\hbar} = \int d\chi e^{-S(\phi,\chi)/\hbar}.$$
 (4.9)

Returning to our action, we see that the $\phi^2 \chi^2$ term acts like a source term for χ^2 .

Correlation functions can then be expressed as

$$\langle f(\phi) \rangle = \frac{1}{Z} \int d\phi d\chi f(\phi) e^{-S(\phi,\chi)/\hbar} = \frac{1}{Z} \int d\phi f(\phi) e^{-W(\phi)/\hbar}, \tag{4.10}$$

with W our new effective action.

In our example, the integral can be done exactly.

$$\int d\chi e^{-S(\phi,\chi)/\hbar} = e^{-m^2\phi^2/2} \sqrt{\frac{2\pi\hbar}{M^2 + \frac{\lambda\phi^2}{2}}},$$
(4.11)

and taking the log we find that

$$W(\phi) = \frac{1}{2}m^2\phi^2 + \frac{\hbar}{2}\log\left(1 + \frac{\lambda}{2M^2}\phi^2\right) + \frac{\hbar}{2}\log\frac{M^2}{2\pi\hbar}.$$
 (4.12)

For our purposes, this constant piece won't affect QFT correlation functions since it appears both in Z and Z_0 . However, these constant energy shifts are important where gravity is concerned, and in principle they should contribute to the cosmological constant of the universe. It's an open problem why the observed Λ is so small compared to the quantum fluctuations that should be contributing to it.

Now in our effective action we can expand the logarithm to get

$$W(\phi) = \left(\frac{m^2}{2} + \frac{\hbar\lambda}{4M^2}\right)\phi^2 - \frac{\hbar\lambda^2}{16M^4}\phi^4 + \frac{\hbar\lambda^3}{48M^6}\phi^6 + \dots$$
 (4.13)

$$= \frac{m_{\text{eff}}^2}{2} \phi^2 + \frac{\lambda_4}{4!} \phi^4 + \frac{\lambda_6}{6!} \phi^6 + \dots + \frac{\lambda_{2k}}{(2k)!} \phi^{2k} + \dots$$
 (4.14)

where

$$m_{\text{eff}}^2 = m^2 + \frac{\hbar \lambda}{2M^2}$$
 $\lambda_{2k} = (-1)^{k+1} \hbar \frac{(2k)!}{2^{k+1}k} \frac{\lambda^k}{M^{2k}}$

This tells us that all new terms are $\propto \hbar$, so these are quantum corrections, and they are also suppressed by $1/M^{2p}$. In a sense, this is very good for our ability to make predictions about the low-energy theory. We can treat these higher order corrections as small and do calculations in our effective theory. But conversely, it will be hard to probe the high energy theory because the corrections are suppressed.

Our toy model was very nice because it had an exact solution, but usually we must find $W(\phi)$ perturbatively. That is, we construct Feynman rules with $\frac{\lambda}{4}\phi^2\chi^2$ as a source term, so that our effective action goes as

$$W(\phi) \sim \frac{m^2 \phi^2}{2} + \frac{1}{2} \frac{\hbar \lambda}{2M^2} \phi^2 - \frac{1}{4} \frac{\hbar \lambda^2}{4M^4} \phi^4 + \frac{1}{3!} \frac{\hbar \lambda^3}{8M^6} \phi^6 + \dots, \tag{4.15}$$

as before.

Either way, with our effective action we can then compute correlation functions for ϕ with our effective action, e.g.

$$\left\langle \phi^2 \right\rangle = \frac{1}{Z} \int d\phi \, \phi^2 e^{-W/\hbar} = \frac{\hbar}{m_{\text{eff}} - \frac{\lambda_4 \hbar^2}{2m_{\text{eff}}^6}} + \dots, \tag{4.16}$$

as before.

Lecture 5.

Tuesday, January 29, 2019

Last time, we saw our first QFT example of an effective action. We introduced the Wilson effective action W(J), where we averaged over the quantum fluctuations of some degrees of freedom (e.g. a heavy particle). We showed explicitly that we can construct an effective action for a two-particle theory by integrating out one of the fields and treating it as a source,

$$e^{-W(\phi)/\hbar} = \int d\chi e^{-S(\phi,\chi)/\hbar}$$

Today, we'll show that we can take this further and construct a quantum effective action $\Gamma(\Phi)$ and average over all quantum fluctuations. This will lead us to defined an effective potential $V(\Phi)$. Effective actions of this form help us to determine the true vacuum of a theory and answer questions like "Do quantum effects induce spontaneous symmetry breaking?"

Let us define an average field in the presence of some source *J*,

$$\Phi \equiv \frac{\partial W}{\partial J} = -\frac{\hbar}{Z(J)} \frac{\partial}{\partial J} \int d\phi e^{-(S[\phi] + J\phi)/\hbar}$$
(5.1)

$$= \langle \phi \rangle_{I}, \tag{5.2}$$

where *W* is the Wilson effective action and $I \neq 0$.

Thus $\Gamma(\Phi)$ is defined to be the Legendre transform of W(J), i.e.

$$\Gamma(\Phi) = W(J) - \Phi J. \tag{5.3}$$

Note that

$$\frac{\partial \Phi}{\partial \Gamma} = \frac{\partial W}{\partial W} \frac{\partial \Phi}{\partial J} - J - \Phi \frac{\partial \Phi}{\partial D}$$
$$= -I,$$

by applying the chain rule and the definition of Φ . We conclude that

$$J = -\frac{\partial \Gamma}{\partial \Phi}.\tag{5.4}$$

Note also that

$$\frac{\partial \Gamma}{\partial \Phi}|_{J=0} = 0,$$

i.e. in the absence of sources, J=0, the average field $\Phi=\langle \phi \rangle_{J=0}$ corresponds to an extremum of $\Gamma(\Phi)$. In higher dimensions, we write

$$\Gamma(\Phi) = \int d^d x \left[-V(\Phi) - \frac{1}{2} \partial^{\mu} \Phi \partial_{\mu} \Phi + \dots \right], \tag{5.5}$$

where the . . . indicate higher derivatives and the first term $V(\Phi)$ is called the *effective potential*.

To make contact with statistical field theory, consider an Ising model, some spins s(x) with an external magnetic field h and a Hamiltonian \mathcal{H} . The partition function is

$$Z(h) = e^{-\beta F(h)} = \int \mathcal{D}s \exp\left[-\beta \int d^d x (\mathcal{H}(s) - hs)\right]. \tag{5.6}$$

The magnetization is

$$M = -\frac{\partial F}{\partial h} = \int d^d x \langle s(x) \rangle, \qquad (5.7)$$

and under a Legendre transform we have the Gibbs free energy

$$G = F + hM, \quad \frac{\partial G}{\partial M} = h.$$
 (5.8)

When $h \to 0$, the equilibrium magnetization is given by the minimum of G.

Returning to QFT, let us try to perturbatively calculate $\Gamma(\Phi)$. We will treat Φ as we did ϕ , i.e. as a proper field. A quantum path integral over Φ then takes the form

$$e^{-W_{\Gamma}(J)/g} = \int d\Phi e^{-(\Gamma(\Phi) + J\Phi)/g},\tag{5.9}$$

where g is some "fictional" new Planck constant.

Schematically, $W_{\Gamma}(J)$ is the sum of connected diagrams with Φ propagators and vertices. Expanding in g (i.e. in loops), we see that

$$W_{\Gamma}(J) = \sum_{l=0}^{\infty} g^{l} W_{\Gamma}^{(l)}(J)$$
 (5.10)

where $W_{\Gamma}^{(l)}$ has all the *l*-loop diagrams.

Tree diagrams are those composing $W_{\Gamma}^{(0)}(J)$. In the $g \to 0$ (semi-classical?) limit, only tree-level diagrams contribute, so

$$W_{\Gamma}(J) \approx W_{\Gamma}^{(0)}(J) \tag{5.11}$$

as $g \to 0$. In addition, as $g \to 0$, our path integral 5.9 over Φ will be dominated by the minimum of the exponent (steepest descent), i.e. the average field Φ such that

$$\frac{\partial \Gamma}{\partial \Phi} = -J.$$

We learn that

$$W_{\Gamma}(J) = W_{\Gamma}^{(0)}(J) = \Gamma(\Phi) + J\Phi = W(J),$$
 (5.12)

where the last equality follows from our earlier definition 5.3. Therefore the sum of connected diagrams W(J) (with action $S(\phi) + J\phi$) can be obtained as the sum of tree diagrams $W_{\Gamma}^{(0)}(J)$ (with action $\Gamma(\Phi) + J\Phi$).

Definition 5.13. A line (edge) of a connected graph is a *bridge* if removing it would make the graph disconnected.

Definition 5.14. A connected graph is said to be one-particle irreducible (1PI) if it has no bridges.

The quantum effective action $\Gamma(\Phi)$ sums the 1PI graphs of the theory with action $S(\phi)$ yielding many vertices. Then correlation functions can be found using tree graphs with vertices from $\Gamma(\Phi)$.

For example, an *N*-component field ϕ has a correlation function

$$\langle \phi_a \phi_b \rangle^{\text{conn}} = \langle \phi_a \phi_b \rangle - \langle \phi_a \rangle \langle \phi_b \rangle,$$
 (5.15)

where the correlation function over connected diagrams is

$$-\hbar \frac{\partial^2 W}{\partial J_a \partial J_b} = \langle \phi_a \phi_b \rangle^{\text{conn}}$$
$$= \hbar \left(\frac{\partial^2 \Gamma}{\partial \Phi_a \partial \Phi_b} \right)^{-1},$$

which is \hbar times the ivnerse of the quadratic part of Γ .

⁶??? I think this means we get modified Feynman rules for computing correlation functions.