

## **DBS MID I NOTES**

#### Discussion - 18 August 2025

#### What is Data?

**Data** = raw facts, figures, or observations.

#### **Examples:**

Student's name = "Ali"

Flight number = "PK-304"

Mobile location = "24.9° N, 67.1° E"

#### What is Metadata?

Metadata = "data about data."

It describes the structure, format, or meaning of data.

## **Examples:**

In a Student database:

Data = "Ali, 20, CS"

Metadata = Student\_Name (String), Age (Integer), Department (Varchar)

In a photo:

Data = the picture pixels

Metadata = Resolution, Date Taken, Camera Type

Metadata is like a label/instruction manual for data.

#### Where Do We See Databases in Real Life?

#### Everywhere!

Flight booking system ¾ → passenger records, tickets, schedules

University portal \* → student records, courses, grades

Mobile phone  $\blacksquare \rightarrow$  call logs, contacts, GPS location

E-commerce apps 

→ products, prices, customer history

Banking 

↑ transactions, balances, accounts

## Almost every digital service uses a database behind the scenes.

#### Frontend vs Backend vs Database

**Frontend**  $\rightarrow$  what the user sees (website, app UI, forms, dashboards).

**Backend** → application logic (Java, Python, C#, etc.), connects frontend to DB.

**Database** → stores the actual data (SQL, Oracle, MySQL, PostgreSQL).

## **\*** Example:

When booking a flight:

**Frontend** = you select date & flight on a website.

**Backend** = processes request, checks available seats.

**Database** = stores passenger info, seat availability, payments.

#### · What is DBMS?

**Database Management System** (DBMS) = software to create, manage, and interact with databases.

Examples: MySQL, Oracle, SQL Server, PostgreSQL.

#### **Provides:**

Efficient storage

Security & access control

Querying (SQL)

Backup & recovery

#### Introduction to Relational Database (RDBMS)

A Relational Database organizes data into tables (rows & columns).

Each table represents an entity (like Student, Course, Flight).

Each row = a record (tuple)

Each column = a field (attribute)

Tables are related by keys (Primary Key, Foreign Key).

#### **\*** Example (Student Table):

Student\_ID	Name	Age	Department
101	Ali	20	CS
102	Sara	21	EE

Primary Key: Student\_ID

Relation: Student\_ID in Student table can connect to Student\_ID in Grades table.

#### Why Use RDBMS?

- 1. Structured, organized data
- 2. Easy to query using SQL
- 3. Reduces redundancy (no duplicate data)
- 4. Ensures consistency & reliability.
- Main categories of SQL commands.
- 1. DDL (Data Definition Language)
- Commands that define or change the structure of a database (tables, schemas, indexes).

#### Examples:

**CREATE** → create database objects (tables, views, schemas)

**ALTER** → modify structure of a table (add/remove columns)

**DROP** → delete a table, database, or view

**TRUNCATE** → remove all rows from a table but keep the structure

★ Think of DDL as blueprints (designing and modifying database structure).

#### 2. DML (Data Manipulation Language)

Commands that manipulate or change the data inside tables.

#### Examples:

**SELECT** → retrieve data

**INSERT** → add new rows

**UPDATE** → modify existing rows

**DELETE** → remove rows

★ DML = day-to-day data operations.

#### 3. DCL (Data Control Language)

Commands that control access and permissions in the database.

#### Examples:

**GRANT** → give privileges to users (e.g., read, write, execute)

**REVOKE** → take back given privileges

## 4. DRL (Data Retrieval Language)

Sometimes considered part of DML, but some books/courses separate it.

Mainly refers to SELECT (retrieving/querying data).

Includes use of clauses like WHERE, GROUP BY, ORDER BY, HAVING, JOIN.

 $\nearrow$  DRL = asking questions from your database.

## **Quick Analogy**

**DDL** → Building the house (CREATE, ALTER, DROP).

**DML** → Living in the house (INSERT, UPDATE, DELETE).

**DCL** → Locking/unlocking rooms (GRANT, REVOKE).

**DRL**  $\rightarrow$  Looking inside rooms to find stuff (SELECT).

## In short,

**DDL** = structure

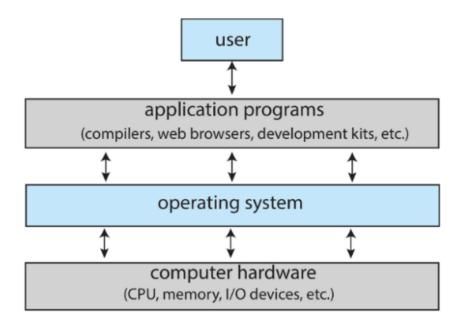
**DML** = data

**DCL** = permissions

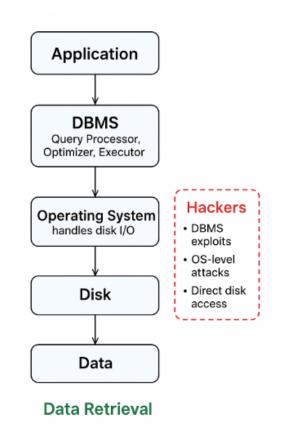
**DRL** = retrieval (SELECT).

## Discussion - 19 August 2025

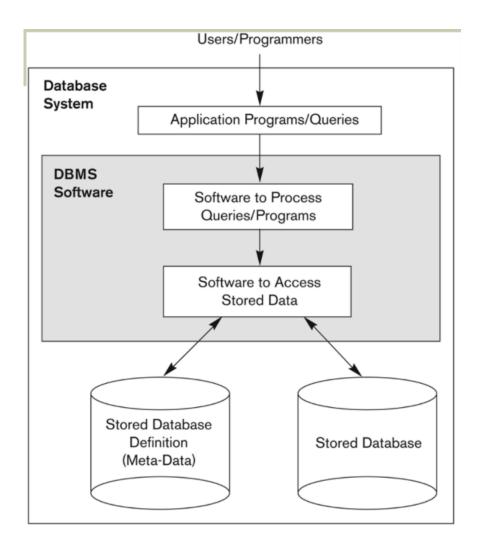
## Recap of OS:



- **DBMS is software** → interacts with **OS** and **disk** to manage data.
- **Disk stores database files** → actual data lives here.
- OS acts as a mediator between DBMS(which is a software application) and hardware.
- Data retrieval involves DBMS query optimization + disk I/O.
- Hackers target disks because that's where sensitive data resides.
- Encryption, access control, and backups are critical for security.



What is Database System Environment?

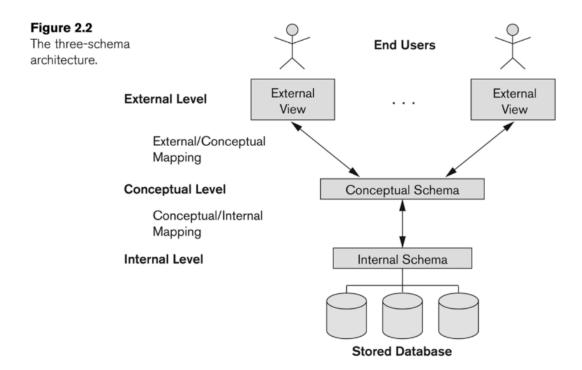


## What is Database System?

A database system is comprised of four components:

- Users
- Database Application
- Database Management System (DBMS)
- Database

## • What is Three Schema Architecture?



#### · What is Two-tier vs Three-tier?

#### **Two-Tier Architecture**

- Structure:
  - 1. Client (Tier 1): Runs the application (UI + business logic).
  - 2. Database Server (Tier 2): Stores and manages the database (DBMS).
- · How it works:
  - The client directly communicates with the database.
  - Application logic may reside partly on the client and partly in the database (stored procedures).

#### · Advantages:

- Simple, fast for small systems.
- Easy to implement.

#### • Disadvantages:

- Poor scalability (too many clients overload DB server).
- Security risk (direct client–DB connection).

#### **Example:**

A desktop application (like MS Access) directly connected to an Oracle/MySQL database.

Figure 2.5
Logical two-tier client/server architecture.

Print Server Server

Client Client ...

Network

DBMS ...
Server

## **Three-Tier Architecture**

#### • Structure:

- 1. Client (Tier 1 Presentation Layer): User interface (web browser, mobile app, desktop app).
- 2. **Application Server (Tier 2 Business Logic Layer):** Handles application logic, processing, rules, authentication.
- 3. Database Server (Tier 3 Data Layer): Stores and manages data.

#### · How it works:

 ○ Client sends request → Application Server processes → Database responds → Result goes back via Application Server.

#### Advantages:

- Better security (clients never directly access DB).
- Scalability (app server can handle many users).
- Easier maintenance (logic separated from UI and DB).

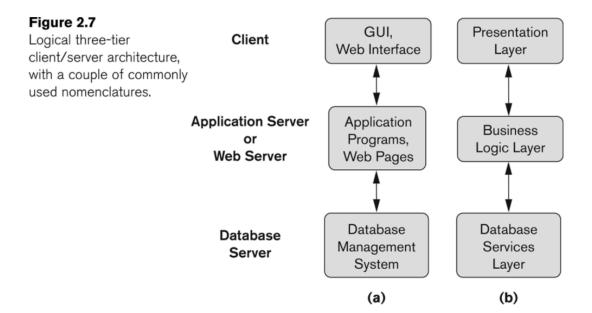
#### • Disadvantages:

- More complex to design and maintain.
- Slightly higher latency than two-tier.

## **Example:**

#### Web application:

Browser (client) → Django/Java/.NET app server → MySQL/Oracle DB server.



#### In short:

• Two-Tier: Client ↔ Database.

## **PAST PAPER QS:**

**Question: Consider the following Database schema:** 

Company (name, city, country)

Dancer (did, name, birthyear, country)

Show (sid, title, choreographer, composer, year)

Role (did, sid, role, company)

Where.

- Company stores information about dance companies. The attributes name, city, and country are all string; we assume that all companies have unique names.
- Dancer stores information about individual dancers. did is a unique integer id for each dancer. name is a string with the dancer's name, birthyear is an integer, and the dancer's native country is a string.
- Show stores information about ballet shows (dances). Each show has a unique integer id sid, string attributes for the show title, choreographer, and composer, and an integer year in which the show was created.
- Role stores information about which dancers have been in which shows, the name of the role (part) they danced, and the company where they danced that part in that particular show. The dancer and show id's are integers, the role and company names are strings. A dancer may have danced multiple roles in the same show at the same company, or danced the same role in the same show for different companies, and so forth.
- Several attributes in Role are foreign keys: did references did in Dancer, sid references sid in

Show, and company references name in Company. Write the SQL queries for each of the following:

- a. Write the CREATE TABLE command needed to create the Dancer and Role tables.
- b. Write the SELECT query to List the dancer ids (did) and names of all dancers born on or before 1950 and who have danced in at least three different shows. If a dancer has danced different roles in the same show, it still only counts once in the total number of shows. Each dancer/did pair should only be listed once.

Company (name, city, country)  $\to$  All are STRING , name UNIQUE Dancer (did, name, birthyear, country)  $\to$  did is UNIQUE , Name String, birthyear INT, country STRING

Show (sid, title, choreographer, composer, year)  $\rightarrow$  sid INT UNIQUE, year INT, rest are STRINGS Role (did, sid, role, company), did and sid INT, role and company are STRING

did FOREIGN KEY REFERENCES Dancer(did)

sid FOREIGN KEY REFERENCES Show(sid)

company FOREIGN KEY REFERENCES Company(name)

```
CREATE TABLE Company(
    name VARCHAR(30) PRIMARY KEY,
    city VARCHAR(30),
    country VARCHAR(30)
   );
CREATE TABLE Dancer(
    did INT PRIMARY KEY,
    name VARCHAR(30),
    birthyear INT,
    country VARCHAR(30)
    );
CREATE TABLE Show(
    sid INT PRIMARY KEY,
    title VARCHAR(30),
    choreographer VARCHAR(30),
    composer VARCHAR(30),
    vear INT
   );
CREATE TABLE Role(
    sid INT,
    did INT,
    role VARCHAR(30),
```

```
company VARCHAR(30),
FOREIGN KEY(sid) REFERENCES Show(sid),
FOREIGN KEY(did) REFERENCES Dancer(did),
FOREIGN KEY(company) REFERENCES Company(name),
PRIMARY KEY(sid,did,company)
);

SELECT d.did,
d.name

FROM Dancer d
JOIN Role r
ON d.did = r.did
WHERE d.birthyear <= 1950
GROUP BY d.did, d.name
HAVING COUNT(DISTINCT r.sid) >= 3;
```

Question: In some small organization with 200 employees', attendance is collected daily through hand punch machines, which is then collected by HR department on monthly basis to generate salaries. Once salaries for a month have been drawn, the monthly attendance is of no more use for that small organization and therefore flushed (deleted). Identify the main concerns (drawbacks) of this system and discuss whether or not it will be beneficial to go for DBMS in the light of these concerns.

Cost, domain of application, concurrency, usage and security are the main concerns on which we decide the system to be developed using DBMS or not. As it is already mentioned in the statement that the data of the hand punch machine is only useful till the salary is not being generated. After that they flush the data. In that case we gave priority to a file base system on any DBMS.

Question: Unilever Pakistan maintains their record of Products sale in File Based System at Regional Offices. Now they want to develop a Mobile App to monitor the production and to compete the demand and supply issue in market for their products. Briefly explain how the DBMS will prove beneficial with respect to their business demands, also discuss the issue(s) in their current record keeping system?

#### **Benefits / Advantages of Dbms**

- 1. Controlling Redundancy
- 2. Restricting Unauthorized Access
- 3. Providing Persistent Storage for Program Objects
- 4. Providing Storage Structures and Search Techniques for Efficient Query Processing
- 5. Providing Backup and Recovery
- 6. Providing Multiple User Interfaces

- 7. Representing Complex Relationships among Data
- 8. Enforcing Integrity Constraints

#### **Demerits/Limitation of File Based System**

- 1. Separation & Isolation of Data.
- 2. Duplication of records /data.
- 3. Data Security Issue.
- 4. File accessing Issue
- 5. Fixed Queries.

#### Question: Define Data, Information, Database, DBMS and Database System?

Data: Data is raw, unorganized facts that need to be processed

<u>Information:</u> When data is processed, organized, structured or presented in a given context so as to make it useful, it is called information.

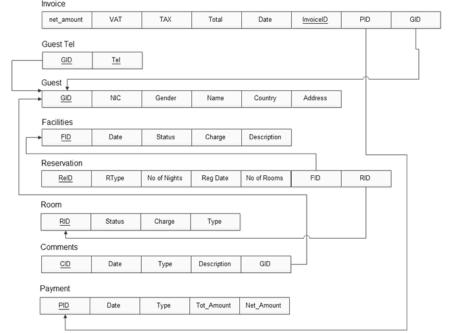
**Database**: A database is a collection of related data.

<u>Database Management System:</u> A software package/ system to facilitate the creation and maintenance of a computerized database.

<u>Database System:</u> The DBMS software together with the data itself. Sometimes, the applications are also included.

## Question: Describe the relationship between mathematical relations and relations in the relational data model?

- Formally,
  - Given R(A1, A2, ....., An)
  - $r(R) \subset dom(A1) \times dom(A2) \times .... \times dom(An)$
- R(A1, A2, ..., An) is the schema of the relation
- R is the name of the relation
- A1, A2, ..., An are the attributes of the relation
- r(R): a specific state (or "value" or "population") of relation R – this is a set of tuples (rows)
  - r(R) = {t1, t2, ..., tn} where each ti is an n-tuple
  - ti = <v1, v2, ..., vn> where each vj element-of dom(Aj)



**Question 6:** Carefully study the following database schema of Hotel Management System:

NOTE: The possible values (domain) for the RType attribute in the Reservation table are: (1, 2, 3), where 1 means Pending, 2 means Guaranteed, and 3 means cancelled. [3 marks]

Answer the following questions, in the order specified:

- a. Write an SQL statement to add a new attribute named GID in the Reservation table, and create it as foreign key constraint to refer to GID attribute in the Guest table, so that every reservation should hold a guest ID.
- **b.** After adding a new attribute as in part (a) above, now Insert a new record with the following values in the reservation table: (*R*-123, 1, 2, 29-09-2018, 1, *NULL*, *R*-109, *G*123)
- c. List all the possible integrity constraint violations which may occur during the insert operation in part (b) above.

a.

Alter table Reservation
Add (GID varchar(10),
Constraint Guest\_ID
Foreign Key (GID) References Guest (GID));

b.

Insert into Reservation values (R-123, 1, 2, 29-09-2018, 1, NULL, R-109, G123);

c.

Key Constraint violation (If primary key already exists) Entity Integrity Constraint Violation (If primary key is NULL)

Referential Integrity Constraint Violation (If Inserted RID doesn't belong to PK) Domain Constraint Violation

Question: Compare and contrast the two-tier client-server architecture for traditional DBMSs with the three-tier client-server architecture. Why is the three-tier architecture more appropriate for the Web?

#### **Two-Tier Architecture (Traditional DBMS)**

#### • Structure:

- Client ↔ Database Server
- Client Role: Handles both presentation and application logic.
- Server Role: Manages data storage and retrieval.
- Pros: Simple, fast for small-scale applications.
- Cons:
  - Tight coupling between client and server.
  - Poor scalability.
  - Difficult to maintain or update logic across multiple clients.

## Three-Tier Architecture (Modern/Web-Based)

#### • Structure:

- Client (Presentation Layer) 
   ← Application Server (Business Logic Layer) 
   ← Database Server (Data Layer)
- Client Role: Handles UI/UX only.
- Application Server: Processes business rules and logic.
- **Database Server**: Stores and manages data.
- Pros:
  - Better scalability, security, and maintainability.
  - Easier to update logic without touching client code.
  - Supports multiple client types (web, mobile, desktop).

## Why Three-Tier Is Better for the Web

- **Separation of concerns**: Keeps UI, logic, and data independent.
- Scalability: Can handle thousands of users via load-balanced servers.
- Security: Sensitive logic and data access are kept away from the client.
- Flexibility: Supports diverse platforms and devices.

## 5.1 Define the following terms:

- **Domain:** The set of permissible values for an attribute (e.g., Age domain = integers 0–120).
- Attribute: A column in a relation; describes a property of an entity.
- n-tuple (or tuple): A row in a relation; represents a record with values for each attribute.
- **Relation schema:** The structure of a relation, including its name and attributes (e.g., STUDENT(SSN, Name, Major)).
- Relation state: The actual content (set of tuples) in a relation at a given time.
- **Degree of a relation:** The number of attributes in a relation schema.
- Relational database schema: The collection of relation schemas (the overall logical design).
- **Relational database state:** The collection of all current relation states (the actual data at a moment).

## 5.2 Why are tuples in a relation not ordered?

Because a relation is defined mathematically as a **set of tuples**, and sets are unordered. Order has no meaning unless explicitly specified in a query.

## 5.3 Why are duplicate tuples not allowed in a relation?

Relations are sets, and sets do not allow duplicates. This ensures that each tuple is unique and represents distinct information.

## 5.4 What is the difference between a key and a superkey?

- Superkey: Any set of attributes that uniquely identifies a tuple.
- **Key (Candidate Key):** A minimal superkey (no attribute can be removed without losing uniqueness).

Example: In STUDENT(SSN, Name),

- {SSN, Name} is a superkey.
- {SSN} is a key (minimal).

## 5.5 Why do we designate one candidate key as the primary key?

Because multiple candidate keys may exist, but the **primary key** is chosen as the main identifier for tuples. It provides consistency and is used in constraints and foreign keys.

## 5.6 Characteristics of relations that make them different from ordinary tables and files:

- 1. No duplicate tuples.
- 2. No ordering of tuples or attributes.
- 3. Every tuple has exactly one value for each attribute (unless NULL).
- 4. Attributes have atomic (indivisible) values.
- 5. A schema defines structure and constraints clearly.

#### 5.7 Reasons for NULL values in relations:

- 1. Value is unknown (not yet available).
- 2. Value is **not applicable** (e.g., MiddleName for someone with none).
- 3. Value is **undefined** (not recorded).

## 5.8 Entity integrity and referential integrity constraints:

- **Entity Integrity:** Primary key attributes cannot be NULL; ensures each tuple is uniquely identifiable.
- **Referential Integrity:** A foreign key must match a primary key in another relation or be NULL; ensures consistency across relations.
  - Important because they prevent orphan records, maintain data accuracy, and enforce logical consistency.

## 5.9 Define foreign key. What is it used for?

- Foreign key: An attribute (or set of attributes) in one relation that refers to the primary key of another relation.
- Use: To represent relationships between relations (e.g., ENROLL.SSN → STUDENT.SSN).

## 5.10 What is a transaction? How does it differ from an Update operation?

- Transaction: A logical unit of work consisting of one or more operations (insert, update, delete, select). It must satisfy ACID properties (Atomicity, Consistency, Isolation, Durability).
- **Update operation:** A single modification (e.g., changing a salary value).

5.11. Suppose that each of the following Update operations is applied directly to the database state shown in Figure 5.6. Discuss all integrity constraints violated by each operation, if any, and the different ways of enforcing these constraints.

One possible database state for the COMPANY relational database schema.

#### **EMPLOYEE** Address Sex Salary Super\_ssn Dno Fname Minit Lname Ssn Bdate John B Smith 123456789 1965-01-09 731 Fondren, Houston, TX M 30000 333445555 5 Franklin T Wong 333445555 1955-12-08 638 Voss, Houston, TX M 40000 888665555 Alicia J Zelaya 999887777 1968-01-19 3321 Castle, Spring, TX F 25000 987654321 4 Jennifer S Wallace 987654321 1941-06-20 291 Berry, Bellaire, TX F 43000 888665555 4 Ramesh K Narayan 666884444 1962-09-15 975 Fire Oak, Humble, TX M 38000 333445555 5 Joyce A English 453453453 1972-07-31 5631 Rice, Houston, TX F 25000 333445555 5 Ahmad V Jabbar 987987987 1969-03-29 980 Dallas, Houston, TX M 25000 987654321 4

James E Borg 888665555 1937-11-10 450 Stone, Houston, TX M 55000 NULL

#### DEPARTMENT Dr Dname Administration Headquarters

number	Mgr_ssn	Mgr_start_date		Dnumber	Diocation
5	333445555	1988-05-22		1	Houston
4	987654321	1995-01-01		4	Stafford
1	888665555	1981-06-19		5	Bellaire
			, I	5	Sugarland
				5	Houston
		PROJEC	T `		

WORKS_ON					
Essn	Pno	Hours			
123456789	1	32.5			
123456789	2	7.5			
666884444	3	40.0			
453453453	1	20.0			
453453453	2	20.0			
333445555	2	10.0			
333445555	3	10.0			
333445555	10	10.0			
333445555	20	10.0			
999887777	30	30.0			
999887777	10	10.0			
987987987	10	35.0			
987987987	30	5.0			
987654321	30	20.0			
987654321	20	15.0			
888665555	20	NULL			

Pname	Pnumber	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4

DEPT LOCATIONS

DEPENDENT					
Essn	Dependent_name	Sex	Bdate	Relationship	
333445555	Alice	F	1986-04-05	Daughter	
333445555	Theodore	М	1983-10-25	Son	
333445555	Joy	F	1958-05-03	Spouse	
987654321	Abner	М	1942-02-28	Spouse	
123456789	Michael	М	1988-01-04	Son	
123456789	Alice	F	1988-12-30	Daughter	
123456789	Elizabeth	F	1967-05-05	Spouse	

- a. Insert <'Robert', 'F', 'Scott', '943775543', '1972-06-21', '2365 Newcastle Rd, Bellaire, TX', M, 58000, '888665555', 1> into EMPLOYEE.
- b. Insert <'ProductA', 4, 'Bellaire', 2> into PROJECT.
- c. Insert <'Production', 4, '943775543', '2007-10-01'> into DEPARTMENT.
- d. Insert < '677678989', NULL, '40.0'> into WORKS\_ON.
- e. Insert <'453453453', 'John', 'M', '1990-12-12', 'spouse'> into DEPENDENT.
- f. Delete the WORKS\_ON tuples with Essn = '333445555'.

- g. Delete the EMPLOYEE tuple with Ssn = '987654321'. h. Delete the PROJECT tuple with Pname = 'ProductX'. i. Modify the Mgr\_ssn and Mgr\_start\_date of the DEPARTMENT tuple with Dnumber = 5 to '123456789' and '2007-10-01', respectively.
- j. Modify the Super\_ssn attribute of the EMPLOYEE tuple with Ssn = '999887777' to '943775543'.
- k. Modify the Hours attribute of the WORKS\_ON tuple with Essn = '999887777' and Pno = 10 to '5.0'.
- (a) Insert ('Robert','F','Scott','943775543','1972-06-21','2365 Newcastle Rd, Bellaire, TX', M, 58000, '888665555', 1) into EMPLOYEE

#### **Check against Figure 5.6**

- Ssn = 943775543 **not present** in current EMPLOYEE table.
- Super\_ssn = 888665555 present (James E Borg, Ssn 888665555).
- Dno = 1 present (DEPARTMENT Dnumber = 1 exists: Headquarters).

Violations: None of the standard integrity constraints are violated.

- PK uniqueness: OK (943775543 not used).
- FK Super\_ssn: OK (888665555 exists).
- FK Dno: OK (1 exists).
- · Domain values look valid.

Enforcement / action: Insert succeeds. (No remedial action required.)

## (b) Insert ('ProductA', 4, 'Bellaire', 2) into PROJECT

(assumed order: Pname, Pnumber, Plocation, Dnum)

#### Check

- Pnumber = 4 **not present** among current project numbers  $(1,2,3,10,20,30) \rightarrow OK$  for PK.
- Dnum = 2 **NOT present** in DEPARTMENT (departments are 5,4,1).

#### **Violations**

Referential integrity: PROJECT.Dnum → DEPARTMENT.Dnumber fails because department 2 does not exist.

#### Ways to enforce / remediate

- Reject insertion (default).
- Create DEPARTMENT Dnumber = 2 first, then insert PROJECT.
- Allow NULL / SET DEFAULT for Dnum (only if schema allows it) not typical.

- **Defer FK check** until end of transaction and insert department in same transaction (DB must support deferred constraints).
- Fix 1 (create missing department):

```
INSERT INTO DEPARTMENT VALUES ('TempDept', 2, NULL, NULL);
```

Then insert project.

• Fix 2 (assign to existing dept):

```
INSERT INTO PROJECT VALUES ('ProductA', 4, 'Bellaire', 5);
```

## (c) Insert ('Production', 4, '943775543', '2007-10-01') into DEPARTMENT

```
(assumed order: Dname, Dnumber, Mgr_ssn, Mgr_start_date)
```

#### Check

- Dnumber = 4 already exists (Administration has Dnumber = 4).
- Mgr\_ssn = 943775543 **does not exist** in EMPLOYEE (figure's SSNs: 123456789,333445555,999887777,987654321,666884444,454354353,987987987,888665555).

#### **Violations**

- 1. **Primary-key (Dnumber) duplication** cannot insert duplicate Dnumber = 4.
- 2. **Referential integrity** on Mgr\_ssn 943775543 is not an existing EMPLOYEE.Ssn.
- Fix: Assign a new Dnumber and a valid existing manager.

```
INSERT INTO DEPARTMENT VALUES ('Production', 6, '123456789', '2007-10-01');
```

## (d) Insert ('677678989', NULL, '40.0') into WORKS\_ON

```
(assumed order: Essn , Pno , Hours )
```

#### Check

- Essn = 677678989 **not present** in EMPLOYEE.
- Pno = NULL problematic because (Essn,Pno) is the primary key in WORKS\_ON; PK attributes cannot be NULL. Also Pno must reference an existing PROJECT.Pnumber.

#### **Violations**

- Referential integrity (Essn): Essn value does not reference any EMPLOYEE.Ssn.
- **Primary-key / NOT NULL constraint**: Pno is part of the PK and cannot be NULL.

- **Referential integrity (Pno)**: even if NULL were allowed, Pno must (when non-NULL) reference PROJECT; here it's NULL so it cannot reference a project.
- Fix: Use an existing employee & project.

```
INSERT INTO WORKS_ON VALUES ('123456789', 1, 40.0);
```

## e) Insert ('453453453', 'John', 'M', '1990-12-12', 'spouse') into DEPENDENT

```
(assumed order: Essn , Dependent_name , Sex , Bdate , Relationship )
```

No violation

## (f) Delete the WORKS\_ON tuples with Essn = '333445555'

#### Check

• Figure shows WORKS\_ON rows for Essn = 333445555 (several project assignments).

#### **Violations**

- None of the core FKs are violated by deleting WORKS\_ON rows: WORKS\_ON references
   PROJECT and EMPLOYEE; deleting these WORKS\_ON rows removes references, not create
   orphans elsewhere.
- No other table references WORKS\_ON in the figure, so no cascading problem.

#### Ways to enforce / action

- The delete succeeds by default.
- Consider business/archival rules: if you must keep history, you might archive rather than delete.
- If the system had a rule "employee must have at least one project", deletion could violate business logic (enforce via triggers or reject).

## (g) Delete the EMPLOYEE tuple with Ssn = '987654321'

#### Check

- 987654321 exists (Jennifer S Wallace).
- This SSN is referenced elsewhere in the figure:
  - DEPENDENT has Essn = 987654321 (Dependent: Abner).
  - WORKS\_ON has tuples with Essn = 987654321 (e.g., Pno 30 and Pno 20 rows).
  - DEPARTMENT: Administration (Dnumber = 4) has Mgr\_ssn = 987654321 department manager.

Some employees' <u>super\_ssn</u> may reference 987654321 (e.g., Ahmad V Jabbar's Super\_ssn = 987654321 in the figure).

#### **Violations**

- Deleting this EMPLOYEE would **violate referential integrity** for:
  - DEPENDENT rows (orphans),
  - WORKS\_ON rows (orphans),
  - DEPARTMENT.Mgr\_ssn (department would refer to non-existent manager),
  - Super\_ssn references (subordinates would refer to non-existent supervisor).

## **Option 1: using ON DELETE CASCADE**

If your foreign keys are defined with ON DELETE CASCADE, a **single query** will delete the employee and all related rows automatically:

```
DELETE FROM EMPLOYEE
WHERE Ssn = '987654321';
```

#### **Requirements for this to work:**

-- For dependents
ALTER TABLE DEPENDENT
ADD CONSTRAINT fk\_dependent\_emp
FOREIGN KEY (Essn) REFERENCES EMPLOYEE(Ssn)
ON DELETE CASCADE;

-- For supervisor references
ALTER TABLE EMPLOYEE
ADD CONSTRAINT fk\_supervisor
FOREIGN KEY (Super\_ssn) REFERENCES EMPLOYEE(Ssn)
ON DELETE CASCADE;

-- For department manager, if you want to delete the department automatically (not usually desir ed)

ALTER TABLE DEPARTMENT
ADD CONSTRAINT fk\_mgr
FOREIGN KEY (Mgr\_ssn) REFERENCES EMPLOYEE(Ssn)
ON DELETE CASCADE;

## Option 2: Manual handling (without cascading)

If your foreign keys are not set to cascade:

```
-- Step 1: Reassign department manager(s)
UPDATE DEPARTMENT
SET Mgr_ssn = '123456789'
WHERE Mgr_ssn = '987654321';

-- Step 2: Delete dependents
DELETE FROM DEPENDENT
WHERE Essn = '987654321';

-- Step 3: Reassign subordinates if needed
UPDATE EMPLOYEE
SET Super_ssn = NULL
WHERE Super_ssn = '987654321';

-- Step 4: Delete employee
DELETE FROM EMPLOYEE
WHERE Ssn = '987654321';
```

## (h) Delete the PROJECT tuple with Pname = 'ProductX'

(ProductX has Pnumber = 1, Dnum = 5)

#### Check

• WORKS\_ON currently references Pno = 1 (e.g., WORKS\_ON row 123456789, 1, 32.5).

#### **Violations**

- **Referential integrity**: Deleting PROJECT Pnumber = 1 would orphan WORKS\_ON rows that reference that project.
- Fix: Delete those WORKS\_ON first.

```
DELETE FROM WORKS_ON WHERE Pno = 1;
DELETE FROM PROJECT WHERE Pname = 'ProductX';
```

# (i) Modify DEPARTMENT (Dnumber = 5) Mgr\_ssn and Mgr\_start\_date → '123456789' , '2007-10-01'

#### Check

- Dept 5 (Research) currently has Mgr\_ssn = 333445555.
- 123456789 exists in EMPLOYEE (John B Smith).

#### **Violations**

- No FK violation: Mgr\_ssn = 123456789 references an existing EMPLOYEE.Ssn → OK.
- Possible business-rule violation: Many schemas / business rules require that the manager belong to the department they manage (i.e., EMPLOYEE.Dno = DEPARTMENT.Dnumber). Here John has Dno = 1 while the department Dnumber = 5 that would violate that business rule if enforced.

UPDATE DEPARTMENT SET Mgr\_ssn = '333445555', Mgr\_start\_date = '2007-10-01' WHERE Dnu mber = 5;

# (j) Modify EMPLOYEE (Ssn = '999887777') Super\_ssn → '943775543' Check

- Employee 999887777 exists (Alicia J Zelaya).
- 943775543 does not exist among EMPLOYEE SSNs in figure.

#### **Violations**

• **Referential integrity**: Super\_ssn is an FK referencing EMPLOYEE.Ssn. Setting it to 943775543 violates the FK (no such supervisor exists).

# (k) Modify WORKS\_ON (Essn = '999887777', Pno = 10) Hours $\rightarrow$ 5.0 Check

- Figure shows a WORKS\_ON row for Essn = 999887777 and Pno = 10 with Hours = 10.0 (and a second row for same emp on Pno = 30 with Hours = 30.0).
- After change, Hours for the two rows would be 30.0 + 5.0 = **35.0** total for that employee.

#### **Violations**

- None of the basic constraints are violated:
  - Hours is numeric and within typical bounds.
  - Row exists and is updated (not creating or breaking FKs).
- Only possible business rule to check: some examples restrict total hours across projects ≤ 40.
   Current total after update = 35.0 ≤ 40, so no violation.

UPDATE WORKS\_ON SET Hours = 5.0 WHERE Essn = '999887777' AND Pno = 10;

5.13. Consider the relation CLASS(Course#, Univ\_Section#, Instructor\_name, Semester, Building\_code, Room#, Time\_period, Weekdays, Credit\_hours). This represents classes taught in a university, with unique Univ\_section#s. Identify what you think should be various candidate keys, and write in your own words the conditions or assumptions under which each candidate key would be valid.

## **Step 1: Obvious Candidate Key**

- 1. Univ\_Section#
  - Assumption: Each university section is uniquely assigned an ID.
  - Condition: This ID is unique across all courses and semesters.
  - **V** This is the simplest candidate key.

## Step 2: Other possible candidate keys

Since Univ\_Section# is unique, technically we could also consider **combinations of attributes** that can uniquely identify a class. Some possibilities:

- 1. Combination of Course# + Semester + Time\_period + Room#
  - Assumption:
    - A course in a particular semester has only **one section per time period per room**.
    - No two courses share the same room and time period in the same semester.
  - Condition: This combination uniquely identifies a specific class.
- 2. Combination of Course# + Instructor\_name + Semester + Time\_period
  - Assumption:
    - An instructor teaches a specific course at a specific time only once per semester.
  - Condition: This uniquely identifies the class without needing Room# if rooms don't duplicate for a course at the same time.
- 3. Combination of Course# + Semester + Building\_code + Room# + Time\_period
  - Assumption:
    - A course in a semester is scheduled in **one room** at a specific time.
    - Two different courses cannot occupy the same room at the same time in the same semester.
  - Condition: Can uniquely identify the class, independent of instructor.

5.15. Consider the following relations for a database that keeps track of business trips of salespersons in a sales office: SALESPERSON(Ssn, Name, Start\_year, Dept\_no)

TRIP(Ssn, From\_city, To\_city, Departure\_date, Return\_date, Trip\_id) EXPENSE(Trip\_id, Account#, Amount)

A trip can be charged to one or more accounts. Specify the foreign keys for this schema, stating any assumptions you make.

-- TRIP: each trip is associated with a salesperson

ALTER TABLE TRIP

ADD CONSTRAINT fk\_trip\_salesperson

FOREIGN KEY (Ssn) REFERENCES SALESPERSON(Ssn);

-- EXPENSE: each expense belongs to a trip

ALTER TABLE EXPENSE

ADD CONSTRAINT fk\_expense\_trip

FOREIGN KEY (Trip\_id) REFERENCES TRIP(Trip\_id);

- 1. Ssn in SALESPERSON is unique (primary key).
- 2. Trip\_id in TRIP is unique.
- 3. A trip can be charged to **multiple accounts**, so (Trip\_id, Account#) is used as the primary key in EXPENSE.
- 4. Deletion or updates on SALESPERSON or TRIP may optionally use **ON DELETE CASCADE** if you want trips and expenses to be removed automatically when the salesperson or trip is deleted.

5.16. Consider the following relations for a database that keeps track of student enrollment in courses and the books adopted for each course:

STUDENT(Ssn, Name, Major, Bdate)

COURSE(Course#, Cname, Dept)

**ENROLL(Ssn, Course#, Quarter, Grade)** 

BOOK\_ADOPTION(Course#, Quarter, Book\_isbn)

TEXT(Book\_isbn, Book\_title, Publisher, Author)

Specify the foreign keys for this schema, stating any assumptions you make.

-- ENROLL: student enrollment

ALTER TABLE ENROLL

ADD CONSTRAINT fk\_enroll\_student

FOREIGN KEY (Ssn) REFERENCES STUDENT(Ssn);

ALTER TABLE ENROLL

ADD CONSTRAINT fk\_enroll\_course

FOREIGN KEY (Course#) REFERENCES COURSE(Course#);

-- BOOK\_ADOPTION: books adopted for courses

ALTER TABLE BOOK\_ADOPTION

ADD CONSTRAINT fk\_bookadoption\_course

FOREIGN KEY (Course#) REFERENCES COURSE(Course#);

ALTER TABLE BOOK\_ADOPTION

ADD CONSTRAINT fk\_bookadoption\_text

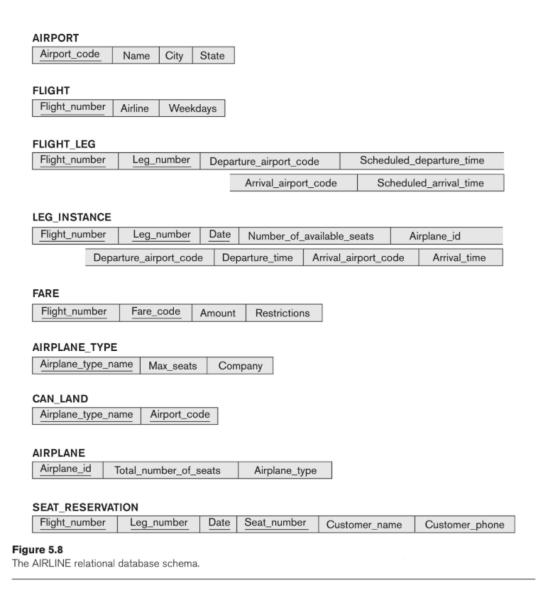
FOREIGN KEY (Book\_isbn) REFERENCES TEXT(Book\_isbn);

## **Assumptions**

- 1. Ssn uniquely identifies a student.
- 2. Course# uniquely identifies a course.
- 3. A student can enroll in the same course in different quarters.
- 4. Each course can adopt multiple books per quarter.
- 5. All adopted books exist in the **TEXT** table.
- 6. Quarter is assumed to be consistent between ENROLL and BOOK\_ADOPTION, but no separate table is used to validate quarters.

5.12. Consider the AIRLINE relational database schema shown in Figure 5.8, which describes a database for airline flight information. Each FLIGHT is identified by a Flight\_number, and consists of one or more FLIGHT\_LEGs with Leg\_numbers 1, 2, 3, and so on. Each FLIGHT\_LEG has scheduled arrival and departure times, airports, and one or more LEG\_INSTANCEs, one for each Date on which the flight travels. FAREs are kept for each FLIGHT. For each FLIGHT\_LEG instance, SEAT\_RESERVATIONs are kept, as are the AIRPLANE used on the leg and the actual arrival and departure times and airports. An AIRPLANE is identified by an Airplane\_id and is of a particular AIRPLANE\_TYPE. CAN\_LAND relates AIRPLANE\_TYPEs to the AIRPORTs at which they can land. An AIRPORT is identified by an Airport\_code. Consider an update for the AIRLINE database to enter a reservation on a particular flight or flight leg on a given date.

- a. Give the operations for this update.
- b. What types of constraints would you expect to check?
- c. Which of these constraints are key, entity integrity, and referential integrity constraints, and which are not?
- d. Specify all the referential integrity constraints that hold on the schema shown in Figure 5.8.



## 5.12 (a) Operations for entering a reservation

To enter a new reservation on a particular flight leg on a given date:

#### 1. Check that the flight leg exists

```
SELECT *
FROM LEG_INSTANCE
WHERE Flight_number = X AND Leg_number = Y AND Date = '2025-09-21';
```

#### 2. Check seat availability

• Ensure that Number\_of\_available\_seats > 0 in the corresponding LEG\_INSTANCE.

#### 3. Insert new reservation

#### INSERT INTO SEAT\_RESERVATION

(Flight\_number, Leg\_number, Date, Seat\_number, Customer\_name, Customer\_phone) VALUES (X, Y, '2025-09-21', '12A', 'John Doe', '123-456-7890');

#### 4. Update available seats

```
UPDATE LEG_INSTANCE

SET Number_of_available_seats = Number_of_available_seats - 1

WHERE Flight_number = X AND Leg_number = Y AND Date = '2025-09-21';
```

#### 5.12 (b) Constraints to check

When entering the reservation, we should check:

#### 1. Entity existence

- Does the FLIGHT\_NUMBER exist?
- Does the (Flight\_number, Leg\_number, Date) exist in LEG\_INSTANCE?

#### 2. Seat availability

- Ensure the seat number is valid ( <= total seats of airplane )
- Ensure the seat is not already reserved in **SEAT\_RESERVATION**.

#### 3. Data consistency

• Number\_of\_available\_seats must not go negative.

#### 4. Customer info validity

• Customer\_name and Customer\_phone should be non-null.

## 5.12 (c) Types of constraints

#### 1. Key constraints

- Primary keys:
  - o AIRPORT(Airport\_code)
  - FLIGHT(Flight\_number)
  - o FLIGHT\_LEG(Flight\_number, Leg\_number)
  - LEG\_INSTANCE(Flight\_number, Leg\_number, Date)
  - FARE(Flight\_number, Fare\_code)
  - AIRPLANE\_TYPE(Airplane\_type\_name)
  - CAN\_LAND(Airplane\_type\_name, Airport\_code)
  - o AIRPLANE(Airplane\_id)
  - SEAT\_RESERVATION(Flight\_number, Leg\_number, Date, Seat\_number)

#### 2. Entity integrity constraints

· Primary keys must not be NULL.

## 3. Referential integrity constraints

• Foreign key dependencies (see part d).

#### 4. Other constraints (not key/entity/referential)

- Number\_of\_available\_seats >= 0
- Seat\_number ≤ Airplane.total\_number\_of\_seats

## 5.12 (d) Referential Integrity Constraints

```
-- AIRPORT
CREATE TABLE AIRPORT (
  Airport_code CHAR(5) PRIMARY KEY,
  Name VARCHAR(50),
  City VARCHAR(50),
  State VARCHAR(50)
);
-- FLIGHT
CREATE TABLE FLIGHT (
  Flight_number CHAR(10) PRIMARY KEY,
  Airline VARCHAR(50),
  Weekdays VARCHAR(20)
);
-- FLIGHT_LEG
CREATE TABLE FLIGHT_LEG (
  Flight_number CHAR(10),
  Leg_number INT,
  Departure_airport_code CHAR(5),
  Scheduled_departure_time TIME,
  Arrival_airport_code CHAR(5),
  Scheduled_arrival_time TIME,
  PRIMARY KEY (Flight_number, Leg_number),
  FOREIGN KEY (Flight_number) REFERENCES FLIGHT(Flight_number)
);
-- LEG_INSTANCE
CREATE TABLE LEG_INSTANCE (
  Flight_number CHAR(10),
  Leg_number INT,
  Date DATE,
```

```
Number_of_available_seats INT,
  Airplane_id CHAR(10),
  Departure_airport_code CHAR(5),
  Departure_time TIME,
  Arrival_airport_code CHAR(5),
  Arrival_time TIME,
  PRIMARY KEY (Flight_number, Leg_number, Date),
  FOREIGN KEY (Flight_number, Leg_number) REFERENCES FLIGHT_LEG(Flight_number, Leg_nu
mber),
  FOREIGN KEY (Flight_number)
  REFERENCES FLIGHT(Flight_number)
);
-- FARE
CREATE TABLE FARE (
  Flight_number CHAR(10),
  Fare_code CHAR(5),
  Amount DECIMAL(8,2),
  Restrictions VARCHAR(100),
  PRIMARY KEY (Flight_number, Fare_code),
  FOREIGN KEY (Flight_number) REFERENCES FLIGHT(Flight_number)
);
-- AIRPLANE TYPE
CREATE TABLE AIRPLANE_TYPE (
  Airplane_type_name VARCHAR(30) PRIMARY KEY,
  Max_seats INT,
  Company VARCHAR(50)
);
-- AIRPLANE
CREATE TABLE AIRPLANE (
  Airplane_id CHAR(10) PRIMARY KEY,
  Total_number_of_seats INT,
  Airplane_type VARCHAR(30),
  FOREIGN KEY (Airplane_type) REFERENCES AIRPLANE_TYPE(Airplane_type_name)
);
-- CAN_LAND
CREATE TABLE CAN_LAND (
  Airplane_type_name VARCHAR(30),
  Airport_code CHAR(5),
  PRIMARY KEY (Airplane_type_name, Airport_code),
  FOREIGN KEY (Airplane_type_name) REFERENCES AIRPLANE_TYPE(Airplane_type_name),
```

```
FOREIGN KEY (Airport_code) REFERENCES AIRPORT(Airport_code)
);
-- SEAT_RESERVATION
CREATE TABLE SEAT_RESERVATION (
  Flight_number CHAR(10),
  Leg_number INT,
  Date DATE,
  Seat_number CHAR(5),
  Customer_name VARCHAR(50),
  Customer_phone VARCHAR(20),
  PRIMARY KEY (Flight_number, Leg_number, Date, Seat_number),
  FOREIGN KEY (Flight_number, Leg_number, Date)
    REFERENCES LEG_INSTANCE(Flight_number, Leg_number, Date)
  FOREIGN KEY (Flight_number)
  REFERENCES FLIGHT(Flight_number)
);
```

Figure 5.6

One possible database state for the COMPANY relational database schema.

#### EMPLOYEE

	-								
Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	٧	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date	
Research	5	333445555	1988-05-22	
Administration	4	987654321	1995-01-01	
Headquarters	1	888665555	1981-06-19	

#### DEPT\_LOCATIONS

Dnumber	Diocation
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

#### WORKS ON

Essn	Pno	Hours
123456789	1	32.5
123456789	2	7.5
666884444	3	40.0
453453453	1	20.0
453453453	2	20.0
333445555	2	10.0
333445555	3	10.0
333445555	10	10.0
333445555	20	10.0
999887777	30	30.0
999887777	10	10.0
987987987	10	35.0
987987987	30	5.0
987654321	30	20.0
987654321	20	15.0
888665555	20	NULL

#### PROJECT

Pname	Pnumber	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4

#### DEPENDENT

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	М	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	М	1942-02-28	Spouse
123456789	Michael	М	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

## **BASIC RETRIEVAL QUERIES:**

**Query 0.** Retrieve the birth date and address of the employee(s) whose name is 'John B. Smith'.

Q0: SELECT Bdate, Address

FROM EMPLOYEE

WHERE Fname='John' AND Minit='B' AND Lname='Smith';

**Query 1.** Retrieve the name and address of all employees who work for the 'Research' department.

Q1: SELECT Fname, Lname, Address

FROM EMPLOYEE, DEPARTMENT

WHERE Dname='Research' AND Dnumber=Dno;

Query 2. For every project located in 'Stafford', list the project number, the controlling department number, and the department manager's last name, address, and birth date.

Q2: SELECT Pnumber, Dnum, Lname, Address, Bdate

FROM PROJECT, DEPARTMENT, EMPLOYEE
WHERE Dnum=Dnumber AND Mgr\_ssn=Ssn AND

Plocation='Stafford';

Q: For each employee, retrieve the employee's first and last name and the first and last name of his or her immediate supervisor.

SELECT E.Fname, E.Lname, S.Fname, S.Lname FROM EMPLOYEE AS E, EMPLOYEE AS S WHERE E.Super\_ssn=S.Ssn;

#### **Missing WHERE clause**

Indicates no condition on tuple selection

**Effect is a CROSS PRODUCT** 

Result is all possible tuple combinations (or the Algebra operation of Cartesian Product– see Ch.8)

Queries 9 and 10. Select all EMPLOYEE Ssns (Q9) and all combinations of EMPLOYEE Ssn and DEPARTMENT Dname (Q10) in the database.

Q9: SELECT Ssn

FROM EMPLOYEE;

Q10: SELECT Ssn, Dname

FROM EMPLOYEE, DEPARTMENT;

Query 11. Retrieve the salary of every employee (Q11) and all distinct salary values (Q11A).

Q11: SELECT ALL Salary

FROM EMPLOYEE;

Q11A: SELECT DISTINCT Salary

FROM EMPLOYEE;

## **Bulk Loading of Tables**

Normally, we use INSERT to add **one tuple (row)** or a few rows at a time into a table. But if we want to **copy many rows at once**, SQL provides variations like **bulk loading** using CREATE TABLE ... AS SELECT ... (sometimes with LIKE and WITH DATA).

## **Step-by-Step Explanation of the Example**

```
CREATE TABLE D5EMPS LIKE EMPLOYEE
(SELECT E.*
FROM EMPLOYEE AS E
WHERE E.Dno = 5)
WITH DATA;
```

- 1. CREATE TABLE D5EMPS LIKE EMPLOYEE
  - Creates a **new table** called **D5EMPS**.
  - It has the **same structure (attributes/columns)** as the **EMPLOYEE** table.
- 2. (SELECT E.\* FROM EMPLOYEE AS E WHERE E.Dno = 5)
  - Selects all rows (E.\*) from the EMPLOYEE table where the department number Dno = 5.
  - This is the data we want to bulk-load into the new table.
- 3. WITH DATA
  - Ensures that not only the structure is created but also the **selected data is copied** into D5EMPS.
  - If we had used <u>with NO DATA</u>, it would only create the empty table <u>DSEMPS</u> with the same schema, but no rows inside.

#### What This Does

- It creates a new table D5EMPS.
- The new table has the same columns as EMPLOYEE.
- It is filled with all employees who work in Department 5 ( Dno = 5 ).

SELECT <attribute and function list>
FROM 
[ WHERE <condition> ]
[ GROUP BY <grouping attribute(s)> ]
[ HAVING <group condition> ]
[ ORDER BY <attribute list> ];

- FROM → take table **Employee**
- WHERE → keep only employees with Salary > 50000

- GROUP BY → group remaining rows by Deptno
- HAVING → keep only those groups where count > 3
- SELECT → output Deptno and the count
- ORDER BY → sort by NumEmployees descending

## Referential Integrity Options

When we create a **foreign key**, we tell the DBMS what should happen to rows in the **child table** when a referenced row in the **parent table** is **deleted or updated**.

The options are:

#### 1. ON DELETE CASCADE

- **Meaning:** If a row in the parent table is deleted, all referencing rows in the child table are automatically deleted.
- **Use case:** When child records are meaningless without the parent (e.g., order details without an order).

## Example:

```
CREATE TABLE Department (
    DeptID INT PRIMARY KEY,
    DeptName VARCHAR(50)
);

CREATE TABLE Employee (
    EmpID INT PRIMARY KEY,
    EmpName VARCHAR(50),
    DeptID INT,
    FOREIGN KEY (DeptID) REFERENCES Department(DeptID)
    ON DELETE CASCADE
);
```

Scenario: If you delete a department, all employees in that department are automatically deleted.

### 2. ON DELETE SET NULL

- Meaning: If a parent row is deleted, the foreign key in child rows is set to NULL.
- **Use case:** When you want to keep child records but indicate that they are no longer linked to a parent.

## Example:

```
CREATE TABLE Employee (
EmplD INT PRIMARY KEY,
```

```
EmpName VARCHAR(50),
DeptID INT,
FOREIGN KEY (DeptID) REFERENCES Department(DeptID)
ON DELETE SET NULL
);
```

Scenario: If a department is deleted, employees remain in the table, but their DeptID becomes NULL (no department assigned).

## 3. ON DELETE RESTRICT (or NO ACTION)

- Meaning: Prevents deletion of a parent row if there are related child rows.
- Use case: To enforce that parent cannot be removed while it still has dependents.
- Example:

```
CREATE TABLE Employee (
   EmpID INT PRIMARY KEY,
   EmpName VARCHAR(50),
   DeptID INT,
   FOREIGN KEY (DeptID) REFERENCES Department(DeptID)
   ON DELETE RESTRICT
);
```

Scenario: If you try to delete a department that still has employees, the DBMS will reject the delete.

## 4. ON UPDATE CASCADE

- **Meaning:** If a parent row's key value is updated, the foreign keys in child rows are automatically updated too.
- **Use case:** Useful when primary keys are natural keys that can change (like flight numbers or product codes).
- Example:

```
CREATE TABLE Employee (
   EmpID INT PRIMARY KEY,
   EmpName VARCHAR(50),
   DeptID INT,
   FOREIGN KEY (DeptID) REFERENCES Department(DeptID)
   ON UPDATE CASCADE
);
```

Arr Scenario: If you update a department's ID from 10 to 20, all employees with DeptID = 10 will automatically be updated to 20.

#### 5. ON UPDATE SET NULL

- Meaning: If the parent's key changes, child foreign key values are set to NULL.
- **Use case:** When you want to keep child rows but indicate they are no longer linked to a parent after the update.

#### 6. ON UPDATE RESTRICT (or NO ACTION)

- Meaning: Prevents updating of the parent's key if child rows exist.
- Use case: When foreign keys must remain consistent and should not automatically change.

## Comparison Table

Action	On Delete Behavior	On Update Behavior	Typical Use Case
CASCADE	Delete child rows automatically	Update child rows automatically	Strong dependency
SET NULL	Keep child row, set FK = NULL	Keep child row, set FK = NULL	Optional relationship
RESTRICT / NO ACTION	Prevent delete if child exists	Prevent update if child exists	Preserve strict consistency

```
◆ 1. = ANY (or = SOME )
```

- Meaning: v = ANY (subquery) is the same as v IN (subquery).
- Returns TRUE if v matches at least one value in the set.

## **Example:**

```
SELECT Name
FROM Employee
WHERE DeptID = ANY (
    SELECT DeptID
    FROM Department
    WHERE Location = 'Karachi'
);
```

This finds employees who work in any department located in Karachi.

Equivalent to DeptID IN (SELECT DeptID...) .

# **♦ 2. > ANY**

- Meaning: v > ANY (subquery) means v is greater than at least one value in the set.
- Equivalent to: v > MIN(set).

## Example:

```
SELECT Name
FROM Employee
WHERE Salary > ANY (
    SELECT Salary
    FROM Employee
    WHERE DeptID = 5
);
```

## Returns employees whose salary is greater than at least one employee in Dept 5.

# **♦ 3. > ALL**

- **Meaning:** v > ALL (subquery) means v is greater than every value in the set.
- Equivalent to: v > MAX(set).
- Example:

```
SELECT Name
FROM Employee
WHERE Salary > ALL (
    SELECT Salary
    FROM Employee
    WHERE DeptID = 5
);
```

Returns employees whose salary is greater than every salary in Dept 5 (i.e., higher than the highest salary in Dept 5).

```
♦ 4. < ANY and < ALL
```

- < ANY: v is less than at least one value (so, v < MAX(set)).
- <all: v is less than every value (so, v < MIN(set) ).
- Example:

```
-- Salary less than at least one in Dept 5

SELECT Name

FROM Employee

WHERE Salary < ANY (

SELECT Salary FROM Employee WHERE DeptID = 5
);

-- Salary less than all in Dept 5 (minimum salary)
```

```
SELECT Name
FROM Employee
WHERE Salary < ALL (
SELECT Salary FROM Employee WHERE DeptID = 5
);
```

# **♦** 5. <> ALL VS <> ANY

• <> ALL: means v is not equal to any value in the set (i.e., v is different from all values → safe).

```
-- Not in subquery (same as NOT IN)

SELECT Name

FROM Employee

WHERE DeptID <> ALL (

SELECT DeptID FROM Department WHERE Location = 'Lahore'
);
```

• <> ANY: means v is not equal to at least one value in the set → usually always true, unless the set has only one element.

## Example:

## Quick Comparison Table

Operator Form	Equivalent To	Meaning	
= ANY / = SOME	IN	True if equal to at least one value	
> ANY	> MIN(set)	Greater than at least one value	
> ALL	> MAX(set)	Greater than all values	
< ANY	< MAX(set)	Less than at least one value	
< ALL	< MIN(set)	Less than all values	
<> ALL	NOT IN	Not equal to any value in the set	

# 7.3. Discuss how NULLs are treated in comparison operators in SQL. How are NULLs treated when aggregate functions are applied in an SQL query? How are NULLs treated if they exist in grouping attributes?

# 1. NULLs in Comparison Operators

- In SQL, NULL means unknown / missing value.
- Any comparison with NULL (=, <, >, <>) returns **UNKNOWN**, not TRUE or FALSE.
  - Example: 5 = NULL → UNKNOWN

- NULL = NULL → UNKNOWN (not true, because both are unknown values).
- To test for NULL explicitly, we use:

```
IS NULL
IS NOT NULL
```

## 2. NULLs in Aggregate Functions

- Aggregate functions ignore NULL values (except COUNT(\*)).
  - COUNT(column) → counts only non-NULL values.
  - $\circ$  SUM, AVG, MIN, MAX  $\rightarrow$  computed only over non-NULL values.
- If all values are NULL, the result of the aggregate is NULL (except COUNT(\*), which returns total row count).

## 3. NULLs in Grouping Attributes

- When grouping with GROUP BY, all NULL values are treated as **equal** and placed into the same group.
- Example:

```
SELECT DeptID, COUNT(*)
FROM Employee
GROUP BY DeptID;
```

• All rows with DeptID = NULL will be grouped together as one group.

#### **Summary:**

- Comparisons with NULL → UNKNOWN, must use IS NULL.
- Aggregates ignore NULL (except COUNT(\*)).
- In grouping, all NULL s are treated as equal and fall into a single group.

Q: Specify the following queries on the database in Figure 5.5 in SQL. Show the query results if each query is applied to the database state in Figure 5.6. a. For each department whose average employee salary is more than \$30,000, retrieve the department name and the number of employees working for that department.

```
SELECT D.Dname,
COUNT(E.SSN) AS NumEmployees
FROM Department D
JOIN Employee E
```

ON D.Dnumber = E.Dno GROUP BY D.Dname HAVING AVG(E.Salary) > 30000;

a. Given is a glimpse of a table in a legacy system for maintaining records of students and their

auviscis.			/4
Student name	Student_phone	Adviser Name	Adviser phone
Anna, Alvi	232-9987	Parks	232-0098
Rim, Simon	232-9918	Parks	232-0098
Sohaib, Alvi	232-9987	Johns	232-0094
Hayat, Khalid	232-2289	Johns	232-0094

- Write any 3 problems that you find out in this design which make manipulating data difficult.
- Suggest the improvements to remove those flaws you found in the design.

#### **Problems**

#### 1. Data Redundancy

- Adviser names and phone numbers are repeated multiple times for different students (e.g., "Parks, 232-0098" appears multiple times).
- · Leads to inconsistency and wastes storage.

#### 2. Difficulty in Updating Data

- If an adviser's phone number changes, it must be updated in multiple rows.
- This increases the chance of errors.

#### 3. Poor Data Organization / Mixed Information

- Student and adviser information is stored in the same table.
- Makes it hard to query just students or just advisers.

#### **Improvements**

#### 1. Separate Tables for Students and Advisers

• Create one table for Student(StudentID, Name, Phone) and one for Adviser(AdviserID, Name, Phone) .

#### 2. Use a Relationship Table

- Use a table StudentAdviser(StudentID, AdviserID) to link students and advisers.
- This removes redundancy and allows multiple advisers per student if needed.

#### 3. Enforce Primary Keys and Foreign Keys

Ensures data consistency and easier updates.

#### Resulting Design (Simplified)

• Student: (StudentID, Name, Phone)

• Adviser: (AdviserID, Name, Phone)

• StudentAdviser: (StudentID, AdviserID)

## **Data Independence in Three-Schema Architecture**

 Data Independence is the ability to change the schema at one level without affecting other levels.

#### • Three Schema Architecture:

- 1. Internal Schema → Physical storage details
- 2. Conceptual Schema → Logical structure of the database
- 3. External Schema → User views

#### • Types:

- Logical Data Independence: Changes in conceptual schema (e.g., adding a new attribute) do not affect external/user views.
- Physical Data Independence: Changes in internal storage (e.g., file structure, indexes) do not affect conceptual schema.

## Superkey, Key, and Default Superkey

#### 1. Superkey

• A set of one or more attributes that **uniquely identifies a tuple** in a relation.

#### 2. Key (Candidate Key)

• A minimal superkey; no attribute can be removed without losing uniqueness.

#### 3. Default Superkey

- The set of all attributes in a relation.
- Always uniquely identifies tuples, but usually not minimal.

#### Example:

STUDENT(SSN, Name, Major, DOB)

- Default Superkey: {SSN, Name, Major, DOB}
- Candidate Key: {SSN}

## **Usage of ALL and ANY**

# 1. ANY (or SOME)

- Compares a value with any value in a subquery result.
- Returns TRUE if the condition matches at least one value from the subquery.

#### **Example:**

```
SELECT employee_ID, First_Name, job_ID
FROM EMPLOYEES
WHERE SALARY < ANY (
    SELECT salary
    FROM EMPLOYEES
    WHERE JOB_ID = 'PU_CLERK'
);
```

#### **Explanation:**

- Subquery: SELECT salary FROM EMPLOYEES WHERE JOB\_ID = 'PU\_CLERK' → gets all salaries of PU\_CLERKs.
- Main query: selects employees whose salary is less than at least one PU\_CLERK salary.

#### **Equivalent:**

You can also write SOME instead of ANY.

## 2. <u>ALL</u>

- Compares a value with all values in a subquery result.
- Returns TRUE only if the condition matches every value from the subquery.

#### **Example:**

```
SELECT employee_ID, First_Name, job_ID
FROM EMPLOYEES
WHERE SALARY > ALL (
    SELECT salary
    FROM EMPLOYEES
    WHERE JOB_ID = 'PU_CLERK'
)
AND job_ID <> 'PU_CLERK';
```

#### **Explanation:**

• Subquery: all PU\_CLERK salaries.

Main query: selects employees whose salary is greater than every PU\_CLERK salary and who
are not PU\_CLERK.

# **W** Key Points:

Operator	Meaning	Returns TRUE when
ANY	Less/greater than <b>any</b> value in subquery	At least one value satisfies condition
ALL	Less/greater than <b>all</b> values in subquery	Every value satisfies condition

## 1. Employee(s) with salary greater than any employee in department 80

```
SELECT first_name, last_name
FROM EMPLOYEES
WHERE salary > ANY (
    SELECT salary
    FROM EMPLOYEES
    WHERE department_id = 80
);
```

## 2. Employees hired before employee with ID = 150

```
SELECT first_name, last_name, hire_date
FROM EMPLOYEES
WHERE hire_date < (
    SELECT hire_date
FROM EMPLOYEES
WHERE employee_id = 150
);
```

## 3. Job title of employee with maximum salary

```
SELECT job_title
FROM EMPLOYEES e
JOIN JOBS j ON e.job_id = j.job_id
WHERE salary = (
SELECT MAX(salary)
FROM EMPLOYEES
);
```

# 4. Employees in the same department as "Neena"

```
SELECT first_name, last_name
FROM EMPLOYEES
WHERE department_id = (
    SELECT department_id
    FROM EMPLOYEES
    WHERE first_name = 'Neena'
);
```

## 5. Department where the highest-paid employee works

```
SELECT department_name
FROM DEPARTMENTS
WHERE department_id = (
    SELECT department_id
    FROM EMPLOYEES
    WHERE salary = (SELECT MAX(salary) FROM EMPLOYEES)
);
```

## 6. Employees earning more than their department's average salary

```
SELECT e.first_name, e.last_name, e.salary
FROM EMPLOYEES e

JOIN (
    SELECT department_id, AVG(salary) AS avg_salary
    FROM EMPLOYEES
    GROUP BY department_id
) d_avg ON e.department_id = d_avg.department_id
WHERE e.salary > d_avg.avg_salary;
```

# 7. Employees in the same department as the employee with the lowest salary

```
SELECT first_name, last_name, department_id
FROM EMPLOYEES
WHERE department_id = (
    SELECT department_id
    FROM EMPLOYEES
    WHERE salary = (SELECT MIN(salary) FROM EMPLOYEES)
);
```

# 8. Employees earning less than "Lex De Haan"

```
SELECT first_name, last_name
FROM EMPLOYEES
WHERE salary < (
    SELECT salary
FROM EMPLOYEES
WHERE first_name = 'Lex' AND last_name = 'De Haan'
);
```

## 9. Department managed by the manager with the lowest salary

```
SELECT department_name, manager_id
FROM DEPARTMENTS
WHERE manager_id = (
    SELECT employee_id
FROM EMPLOYEES
WHERE salary = (
    SELECT MIN(salary)
FROM EMPLOYEES
WHERE employee_id IN (
    SELECT manager_id
    FROM DEPARTMENTS
    WHERE manager_id IS NOT NULL
    )
)
);
```

## 10. Employees earning more than average salary of employees hired after 01-JAN-2005

```
SELECT employee_id, first_name, last_name, salary
FROM EMPLOYEES
WHERE salary > (
    SELECT AVG(salary)
    FROM EMPLOYEES
    WHERE hire_date > TO_DATE('01-JAN-2005', 'DD-MON-YYYY')
);
```

# 11. Departments in the same city as department 20

```
SELECT department_id, department_name, location_id
FROM DEPARTMENTS
WHERE location_id = (
```

```
SELECT location_id
FROM DEPARTMENTS
WHERE department_id = 20
);
```

## 12. Employees hired after the most recently hired employee in department 90

```
SELECT first_name, last_name, hire_date
FROM EMPLOYEES
WHERE hire_date > (
    SELECT MAX(hire_date)
    FROM EMPLOYEES
    WHERE department_id = 90
);
```

# 13. Employees who are not managers

```
SELECT employee_id
FROM EMPLOYEES
WHERE employee_id NOT IN (
    SELECT manager_id
    FROM DEPARTMENTS
    WHERE manager_id IS NOT NULL
);
```

## 14. Employees with second highest salary in their department

```
SELECT first_name, last_name, salary, department_id
FROM EMPLOYEES e
WHERE salary = (
    SELECT MAX(salary)
    FROM EMPLOYEES
    WHERE department_id = e.department_id
    AND salary < (
        SELECT MAX(salary)
        FROM EMPLOYEES
        WHERE department_id = e.department_id
    )
);
```

# 15. Departments with more employees than David's department

```
SELECT department_name

FROM DEPARTMENTS

WHERE department_id IN (

    SELECT department_id

FROM EMPLOYEES

GROUP BY department_id

HAVING COUNT(*) > (

    SELECT COUNT(*)

FROM EMPLOYEES

WHERE first_name = 'David'

GROUP BY department_id

)

);
```

## 16. Employees with the same job as employee 176

```
SELECT first_name, last_name, job_id
FROM EMPLOYEES
WHERE job_id = (
    SELECT job_id
    FROM EMPLOYEES
    WHERE employee_id = 176
);
```

# 17. City of employee who earns minimum salary

```
SELECT I.city
FROM EMPLOYEES e
JOIN DEPARTMENTS d ON e.department_id = d.department_id
JOIN LOCATIONS I ON d.location_id = I.location_id
WHERE e.salary = (SELECT MIN(salary) FROM EMPLOYEES);
```

# 18. Employees earning more than department 60's average salary

```
SELECT first_name, last_name
FROM EMPLOYEES
WHERE salary > (
    SELECT AVG(salary)
    FROM EMPLOYEES
    WHERE department_id = 60
);
```

## 19. Employees in departments with no manager assigned

```
SELECT employee_id, first_name, last_name
FROM EMPLOYEES
WHERE department_id IN (
    SELECT department_id
    FROM DEPARTMENTS
    WHERE manager_id IS NULL
);
```

## 20. Department with maximum number of employees

```
SELECT department_name
FROM DEPARTMENTS
WHERE department_id = (
    SELECT department_id
    FROM EMPLOYEES
    GROUP BY department_id
    ORDER BY COUNT(*) DESC
    FETCH FIRST 1 ROW ONLY
);
```

# **Tables Recap**

```
Matches(match_id, match_date, venue_id, team1_id, team2_id, winner_id)
Teams(team_id, team_name, country)
Players(player_id, player_name, team_id)
Match_Scores(match_id, player_id, score)
Venues(venue_id, venue_name, city)
```

# Players who scored more than 100 in any single match

```
SELECT DISTINCT p.player_id, p.player_name
FROM Players p, Match_Scores ms
WHERE p.player_id = ms.player_id
GROUP BY p.player_id, ms.match_id
HAVING SUM(ms.score) > 100;
```

- Groups by player\_id and match\_id to correctly sum scores per match.
- DISTINCT ensures each player appears only once, even if they exceeded 100 in multiple matches.

## Venues where the Pakistan team has won a match

```
SELECT DISTINCT v.venue_name
FROM Venues v, Matches m, Teams t
WHERE v.venue_id = m.venue_id
AND t.team_name = 'Pakistan'
AND (t.team_id = m.team1_id OR t.team_id = m.team2_id)
AND m.winner_id = t.team_id;
```

- Classic join style.
- Simple DISTINCT to avoid repeating the same venue multiple times.

# 3 Players who have a score of exactly 50 in any match

```
SELECT DISTINCT p.player_id, p.player_name
FROM Players p, Match_Scores ms
WHERE p.player_id = ms.player_id
AND ms.score = 50;
```

• No grouping needed here because you're checking individual rows for a score of 50.

# Players whose average score across all matches is over 75

```
SELECT p.player_id, p.player_name
FROM Players p, Match_Scores ms
WHERE p.player_id = ms.player_id
GROUP BY p.player_id
HAVING AVG(ms.score) > 75;
```

- Grouped only by player\_id (primary key), not name.
- Selecting player\_name is safe because it's functionally dependent on player\_id.

# Players who scored more than 100 in a match played at Gaddafi Stadium

```
SELECT DISTINCT p.player_id, p.player_name
FROM Players p, Match_Scores ms, Matches m, Venues v
WHERE p.player_id = ms.player_id
AND ms.match_id = m.match_id
AND m.venue_id = v.venue_id
AND v.venue_name = 'Gaddafi Stadium'
GROUP BY p.player_id, ms.match_id
HAVING SUM(ms.score) > 100;
```

- Same aggregation logic as query #1, but restricted to a specific venue.
- DISTINCT ensures players are not repeated if they scored >100 in multiple matches at Gaddafi Stadium.