

# DAA [DESIGN & ANALYSIS OF ALGORITHMS] Dated:

Algorithm sum(A, n)

$\{$   
 $s = 0;$  ————— 1  
 $\text{for } (i = 0; i < n; i++) \text{ ————— } n+1 \text{ (num } n+1\text{ times)}$

$\{$   
 $s = s + A[i]; \text{ ————— } n \text{ (num } n \text{ times)}$

$\}$

return s; ————— 1

$\}$

$$f(n) = 2n+3 \quad O(n)$$

Space 1 - size:

A ————— n

n ————— 1

s ————— 1

i ————— 1

$$s(n) = n+3 \quad -O(n)$$

Algorithm Add(A, B, n)

$\{$   
 $\text{for } (i = 0; i < n; i++) \text{ ————— } n+1$

$\{$   
 $\text{for } (j = 0; j < n; j++) \text{ ————— } n \times (n+1)$

$\{$   
 $\text{c}[i,j] = A[i,j] + B[i,j]; \text{ ————— } n \times n$

$\}$

$$f(n) = 2n^2 + 2n + 1$$

$$O(n^2)$$

Space 2 -

A —————  $n^2$

B —————  $n^2$

C —————  $n^2$

n ————— 1

i ————— 1

j ————— 1

$$f(n) = 2n^2 + 3$$

$$O(n)$$

Dated:

Algorithm Multiply (A, B, n)

{ for ( $i = 0$ ;  $i < n$ ;  $i++$ ) —  $n+1$

{ for ( $j = 0$ ;  $j < n$ ;  $j++$ ) —  $n(n+1)$

{  $c[i,j] = 0$ ; —  $n(n)$

for ( $k = 0$ ;  $k < n$ ;  $k++$ ) —  $n(n)(n+1)$

{

$c[i,j] = c[i,j] + A[i,k] * B[k,j]; — n(n)(n)$

y y

Space :-

A —  $n^2$

B —  $n^2$

C —  $n^2$

$n - 1$

$i - 1$

$j - 1$

$S(n) = \frac{n-1}{k=1} 3n^2 + 4$

$O(n^2)$

$$f(n) = 2n^3 + 3n^2 + 2n + 1 \\ O(n^3).$$

Time Complexity

① for ( $i = 0$ ;  $i < n$ ;  $i++$ ) —  $n+1$

② for ( $i = n$ ;  $i > 0$ ;  $i--$ )

stmt; —  $n$

stmt; —  $n$

y  $\underline{O(n)}$

$\underline{O(n)}$

③ for ( $i = 1$ ;  $i < n$ ;  $i = i+2$ )

{ stmt; —  $n/2$

y degree of  $f(n) = \frac{n}{2}$   
polynomial is  $O(n)$

still  $n$ .

④ for ( $i = 0$ ;  $i < n$ ;  $i++$ ) —  $n+1$

{ for ( $j = 0$ ;  $j < n$ ;  $j++$ ) —  $n(n+1)$

{ stmt; —  $n \times n$

y  $\underline{O(n^2)}$

for ( $i = 0$ ;  $i < n$ ;  $i++$ )

{ for ( $j = 0$ ;  $j < i$ ;  $j++$ )

stmt;

y

$i$   $j$  no. of times statement executes

0 0 0

1 0 1

2 0 2

3 0 3

$$1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

$$f(n) = \frac{n^2 + 1}{2}$$

$$\underline{O(n^2)}$$

SW

9...

n

Dated:

⑥  $P = 0$ ;  
for ( $i=1$ ;  $P \leq n$ ;  $i++$ )  
{      $P = P + i$ ;  
    }  
}

$$\begin{array}{l} 1 \quad P \\ 2 \quad 0 + 1 = 1 \\ 3 \quad 1 + 2 = 3 \\ \vdots \quad \vdots \\ K \quad 1+2+3+4+\dots+K \end{array}$$

Assume  $P > n$

$$P = \frac{k(k+1)}{2}$$

$$\frac{k(k+1)}{2} > n$$

$$k^2 > n$$

$$k > \sqrt{n} \quad \therefore O(\sqrt{n})$$

⑦ for ( $i=1$ ;  $i < n$ ;  $i = i * 2$ )  
{     stmt;  
    }  
}

$$\begin{array}{l} i \\ | \\ 1 \times 2 = 2 \\ | \\ 2 \times 2 = 4 = 2^2 \\ | \\ 2^2 \times 2 = 2^3 \\ | \\ 2^3 \times 2 = 2^4 \\ | \\ 2^K \end{array}$$

Assume  $i \geq n$

$$\therefore i = 2^K$$

$$2^K \geq n$$

$$2^K = n$$

$$K = \log_2 n \quad O(\log_2 n)$$

⑧ for ( $i=1$ ;  $i < n$ ;  $i = i * 2$ )  
{     stmt; —  $\log_2 n$   
    }  
}

$$i = 1 \times 2 \times 2 \times 2 \underbrace{\dots}_{2^K = n} = n$$

① for ( $i=1$ ;  $i \leq n$ ;  $i++$ )  
{     stmt;  
    }  
}

$$i = 1 + 1 + 1 + 1 \underbrace{\dots}_{K=n} + 1 = n$$

$$K = \log_2 n$$

Ex:-

$$n=8$$

$$n=10$$

$$\begin{array}{c} i \\ | \\ 1 \\ | \\ 2 \\ | \\ 4 \\ | \\ 8 \\ | \\ 16 \times \end{array}$$

runs 3 times

$$\begin{array}{c} i \\ | \\ 1 \\ | \\ 2 \\ | \\ 4 \\ | \\ 8 \\ | \\ 16 \times \end{array}$$

runs 4 times

Dated:

⑩  $\text{for } (i=n; i>=1; i=i/2)$

{ stmt;

Assume  $i < 1$

$$\begin{matrix} n \\ 2^k \end{matrix}$$

$$n < 2^k, 2^k > n$$

$$k = \log_2 n$$

$$\boxed{O(\log_2 n)}$$

$$\begin{matrix} i=n \\ i=i/2 \\ n/2 \end{matrix}$$

$$n/2^2$$

$$n/2^3$$

$$n/2^k$$

$$i$$

⑪  $\text{for } (i=0; i<i; n; i++)$

{

stmt;

}

Assume  $i > n$

$$i^2 > n$$

$$i = \sqrt{n}$$

⑫  $p=0$

$\text{for } (i=1; i < n; i=i*2)$

{  $p++;$  —————  $p = \log n$

for ( $j=1; j < p; j=j*2)$

{ stmt; —————  $\log p$

}  $O(\log \log n.)$

Consider this as a pattern:-

$\text{for } (i=1; i < \boxed{n}; i=i*2)$

{ stmt; —————  $\log n$

$\text{for } (i=1; i < \boxed{p}; i=i*2)$

{ stmt; —————  $\log p$

⑬  $\text{for } (i=0; i < n; i++)$  —————  $n+1 \xrightarrow{\text{can take at } n.}$

{

for ( $j=1; j < n; j=j*2)$  —————  $n \times \log n$

{ stmt; —————  $n \times \log n$

}  $2n \log n + n$

$\boxed{O(n \log n)}$

⑭  $\text{for } (i=0; i < n; i++) - O(n)$

$\text{for } (i=0; i < n; i=i+2) \frac{n}{2} - O(n)$

$\text{for } (i=n; i>1; i--) - O(n)$

$\text{for } (i=1; i < n; i=i*2) - O(\log_2 n)$

$\text{for } (i=1; i < n; i=i*3) - O(\log_3 n)$

$\text{for } (i=n; i>1; i=i/2) - O(\log_1 n)$

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

Asymptotic Notations:-

$\mathcal{O}$  big-O upper bound

$\Omega$  big-omega lower bound

$\Theta$  theta Average bound

Dated:

Big - Oh :-

$f(n) = O(g(n))$  iff there are two positive constants  $c$  &  $n_0$  such that  $|f(n)| \leq c|g(n)|$  for all  $n \geq n_0$ .

- If  $f(n)$  is nonnegative, we can simplify the last condition to  $0 \leq f(n) \leq c g(n)$  for all  $n \geq n_0$ .

-  $g(n)$  is an asymptotic upper bound on  $f(n)$ .

Ex:-  $f(n) = 2n + 3$

$$2n+3 \leq 10n, n \geq 1 \quad \text{or} \quad 2n+3 \leq 5n, n \geq 1$$

$$\begin{matrix} \uparrow \\ f(n) \end{matrix} \quad \begin{matrix} \uparrow \\ c \end{matrix} \quad \begin{matrix} \uparrow \\ g(n) \end{matrix}$$

$$\therefore f(n) = O(n)$$

$$2n+3 \leq 5n^2$$

Any  $c.g(n)$  is possible here just if  $|f(n)| \leq c|g(n)|$ , just this should be followed.

↳ This is also valid

$$f(n) = O(n^2)$$

↑ since this tells the upper bound.

$$1 < \log n < \sqrt{n} < (n) < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

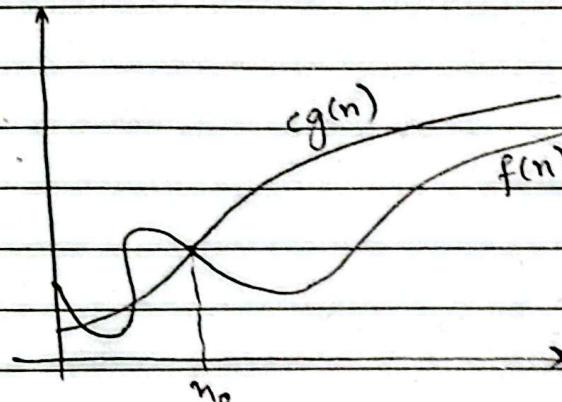
Lower bound

$f(n)$

Upper bound

Average bound

Big Oh



$$f(n) = O(g(n))$$

$$|f(n)| \leq c|g(n)|, n \geq n_0.$$

Proof:-  $f(n) = n^2 + n, g(n) = n^3$ .

$$n \geq 1, n^2 + n \leq n^3$$

$$f(n) \leq c \cdot g(n), n \geq 1$$

Big -  $\Omega$  notation :-

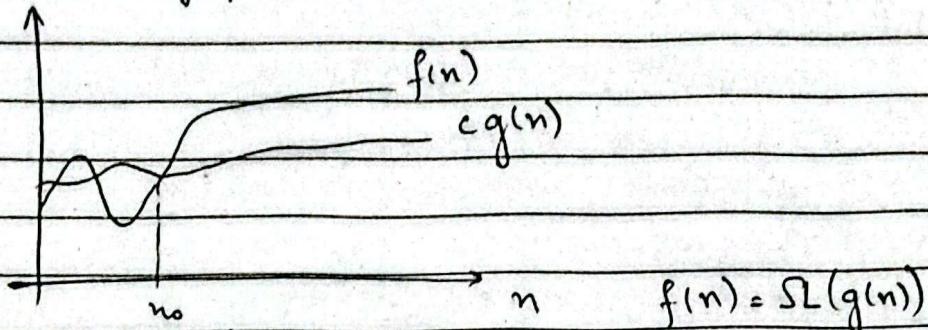
$f(n) = \Omega(g(n))$  iff there are two positive constants  $c$  &  $n_0$  such that  $|f(n)| \geq c|g(n)|$  for all  $n \geq n_0$ .

- If  $f(n)$  is nonnegative, we can simplify the last condition

Dated:

to :-  $0 \leq cg(n) \leq f(n)$  for all  $n \geq n_0$ .

o-  $g(n)$  is an asymptotic lower bound on  $f(n)$ .



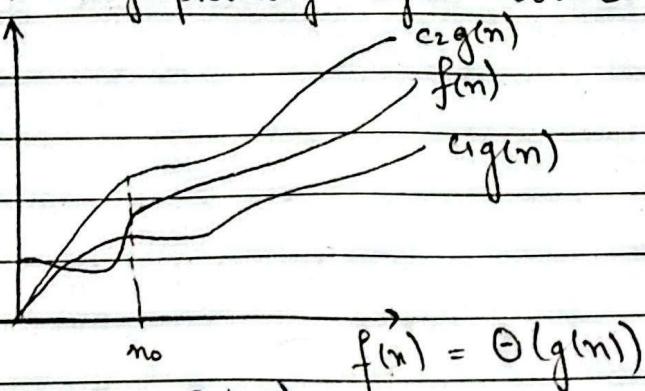
E.g:-  $n^3 + 4n^2 = \Sigma(n^2)$   
 $n^3 + 4n^2 \geq n^2$   
 $n \geq 1 \quad n^3 \geq n^2$

Big Theta  $\Theta$  notation :-

$f(n) = \Theta(g(n))$  iff there are three positive constants  $c_1, c_2$  and  $n_0$  such that  $c_1|g(n)| \leq |f(n)| \leq c_2|g(n)|$  for all  $n \geq n_0$ .

o- If  $f(n)$  is non-negative, we can simplify the last condition to  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$  for all  $n \geq n_0$ .

o-  $g(n)$  is asymptotically tight bound on  $f(n)$ .



E.g:-  $n^2 + 5n + 7 = \Theta(n^2)$

o- When  $n \geq 1$ ,

$$n^2 + 5n + 7 \leq n^2 + 5n^2 + 7n^2 \leq 13n^2$$

o- when  $n \geq 0$ ,

$$n^2 \leq n^2 + 5n + 7$$

o- Thus, when  $n \geq 1$

$$n^2 \leq n^2 + 5n + 7 \leq 13n^2$$

$$n_0 = 1, \quad c_1 = 1, \quad c_2 = 13$$

Dated:

## Arithmetic of Big-O, $\Sigma$ , and $\Theta$ notations :-

o- Transitivity :-

$$- f(n) \in O(g(n)) \& g(n) \in O(h(n)) \Rightarrow f(n) \in O(h(n))$$

$$- f(n) \in \Theta(g(n)) \& g(n) \in \Theta(h(n)) \Rightarrow f(n) \in \Theta(h(n))$$

$$- f(n) \in \Sigma(g(n)) \& g(n) \in \Sigma(h(n)) \Rightarrow f(n) \in \Sigma(h(n))$$

o- Scaling :- if  $f(n) \in O(g(n))$  then for any  $k > 0$ ,  $f(n) \in O(kg(n))$

o- Sum :-  $f_1(n) \in O(g_1(n)) \& f_2(n) \in O(g_2(n))$  then

$$(f_1 + f_2)(n) \in O(\max(g_1(n), g_2(n)))$$

$$\square f(n) = 2n^2 + 3n + 4$$

$$2n^2 + 3n + 4 \leq 2n^2 + 3n^2 + 4n^2$$

$$2n^2 + 3n + 4 \geq n^2$$

$$2n^2 + 3n + 4 \leq 9n^2 \quad n \geq 1$$

$$\Sigma(n^2)$$

$$\begin{matrix} \uparrow \\ O(n^2) \end{matrix}$$

$$n^2 \leq 2n^2 + 3n + 4 \leq 9n^2$$

$$\rightarrow \Theta(n^2)$$

$$\square f(n) = n^2 \log n + n$$

$$n^2 \log n \leq n^2 \log n \leq 10n^2 \log n$$

$$O(n^2 \log n) \quad \Sigma(n^2 \log n)$$

$$\rightarrow \Theta(n^2 \log n)$$

$$\square f(n) = n! = n \times (n-1) \times (n-2) \times \dots \times 3 \times 2 \times 1$$

$$1 \times 1 \times 1 \times \dots \times 1 \leq 1 \times 2 \times 3 \times \dots \times n \leq n \times n \times n \times \dots \times n$$

$$1 \leq n! \leq n^n$$

$$\rightarrow \Sigma(1) \quad O(n^n)$$



$$\square f(n) = \log n!$$

$$1 \leq \log n! \leq \log n^n$$

$$n \log n$$

$$\rightarrow \Sigma(1) \quad O(n \log n)$$

$$\square \text{Show that } (n \log n - 2n + 13) = \Sigma(n \log n)$$

$$n \log n - 2n \leq n \log n - 2n + 13$$

$$n \log n \leq n \log n - 2n$$

$$\therefore g(n) \leq f(n)$$

Dated:

c.  $n \log(n) \leq n \log(n) - 2n$

$$n \log(n) - n \log(n)$$

$$c \leq 1 - \frac{2n}{n \log n}, \quad c \leq 1 - \frac{2}{\log n}, \text{ when } n > 1$$

-- If  $n \geq 8$ , then  $\frac{2}{\log n} \leq \frac{2}{3}$ , & picking  $c = \frac{1}{3}$  suffices.

thus, if  $c = \frac{1}{3}$  &  $n_0 = 8$ , then for all  $n \geq n_0$ , we have

$$0 \leq cn \log n \leq n \log n - 2n \leq n \log n - 2n + 13$$

$$\text{thus } (n \log n - 2n + 13) = \Omega(n \log n).$$

### Properties Of Asymptotic Notations :-

- If  $f(n)$  is  $O(g(n))$  then  $a*f(n)$  is  $O(g(n))$

e.g.  $f(n) = 2n^2 + 5$  is  $O(n^2)$

$$\text{then } 7 \cdot f(n) = 7(2n^2 + 5) = 14n^2 + 35 \text{ is } O(n^2).$$

Reflexive :-

If  $f(n)$  is given then  $f(n)$  is  $O(f(n))$

c.g. :-  $f(n) = n^2 \quad O(n^2)$

Transitive :- If  $f(n)$  is  $O(g(n))$  &  $g(n)$  is  $O(h(n))$   
then  $f(n)$  is  $O(h(n))$ .

e.g.:-  $f(n) = n, g(n) = n^2, h(n) = n^3$

$n$  is  $O(n^2)$  and  $n^2$  is  $O(n^3)$

then  $n$  is  $O(n^3)$ .

Symmetric :- If  $f(n)$  is  $\Theta(g(n))$  then  $g(n)$  is  $\Theta(f(n))$ .

e.g.:-  $f(n) = n^2 \quad g(n) = n^2$

$$f(n) = \Theta(n^2)$$

$$g(n) = \Theta(n^2)$$

Transpose Symmetric :- If  $f(n) = \Theta(g(n))$  then  $g(n)$  is  $\Omega(f(n))$

e.g.:-  $f(n) = n \quad g(n) = n^2$

then  $n$  is  $O(n^2)$  and  $n^2$  is  $\Omega(n)$ .

If  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

$$g(n) \leq f(n) \leq g(n)$$

$$\therefore f(n) = \Theta(g(n))$$

Dated:

.. if  $f(n) = O(g(n))$  &  $d(n) = O(e(n))$   
then  $f(n) + d(n) = O(\max(g(n), e(n)))$

e.g.  $f(n) = n^2 = O(n)$   
 $d(n) = n = O(n)$

$$f(n) + d(n) = n(n+n^2) = O(n^2)$$

.. if  $f(n) = O(g(n))$  and  $d(n) = O(e(n))$  then  
 $f(n) * d(n) = O(g(n) * e(n)) = n^2 = n(n^2)$

### Comparison Of Functions :-

$$n^2 < n^3$$

$$2^2 = 4 \quad 3^3 = 8$$

$$3^2 = 9 \quad 3^3 = 27$$

$$4^2 = 16 \quad 4^3 = 64$$

or check by Applying log on Both sides.

$$\log n^2 < \log n^3$$

$$2\log n < 3\log n$$

### LOG PROPERTIES :-

$$\log ab = \log a + \log b$$

$$\log \frac{a}{b} = \log a - \log b$$

$$\log a^b = b \log a$$

$$a^{\log_b c} = b^{\log_a c}$$

$$a^{\log_c b} = b^{\log_a c}$$

$$a^b = n \text{ then } b = \log_a n$$

$$f(n) = n^2 \log n > g(n) = n(\log n)^{10}$$

Apply log

$$\log[n^2 \log n] > \log[n + (\log n)^{10}]$$
$$\log n^2 + \log \log n > \log n + 10 \log \log n$$
$$2 \log n + \log \log n >$$

$$f(n) = 3n^{\sqrt{n}}$$

$$g(n) = 2^{\sqrt{n} \log n}$$

$$2^{\sqrt{n} \log n} \Rightarrow \log n^{\sqrt{n}} \quad (\log a^b = b \log a)$$

$$3n^{\sqrt{n}}$$

$$(n^{\sqrt{n}})^{\log_2 3}$$

$$n^{\sqrt{n}}$$

by default base of log is 2.

$$(a^{\log_c b} = b^{\log_a c})$$

b value wise this fn is greater

but asymptotically they are equal

$$O(3n^{\sqrt{n}}) = O(n^{\sqrt{n}})$$

Dated:

$$f(n) = n^{\log n} < g(n) = 2^n$$

Apply  $\log$

$$\log n^{\log n} < \log 2^n = \log 2^{\frac{1}{n}}$$
$$\log n \log n < \frac{1}{n}$$
$$\log^2 n \quad \sqrt{n} = n^{\frac{1}{2}}$$
$$2 \log \log n \quad \frac{1}{2} \log n$$

$$f(n) = 2^{\log n} < g(n) = n^{\log n}$$

$$\frac{\log n \times \log 2}{\log n} < \sqrt{n} \log n$$

$$f(n) = 2n \quad g(n) = 3n$$

Asymptotically, both are equal.

$$f(n) = 2^n \quad g(n) = 2^{2n}$$
$$\frac{\log 2^n}{n \log 2} < \frac{\log 2^{2n}}{2n}$$

Asymptotically, both are equal.

$$g_1(n) = \begin{cases} n^3 & n < 100 \\ n^2 & n \geq 100 \end{cases}$$

$$g_2(n) = \begin{cases} n^2 & n < 10,000 \\ n^3 & n \geq 10,000 \end{cases}$$

$$n \rightarrow \frac{1}{100} \quad \frac{1}{10,000}$$

$$g_1(n) > g_2(n) \quad n^2 > n^3 \quad g_2(n) > g_1(n)$$
$$g_1 = g_2$$

True or False?

$$\textcircled{1} \quad (n+k)^m = \Theta(n^m) \quad \text{TRUE}$$

Relate it to  $(n+k)^m$

$$(n+3)^2$$

highest term here is  $n^2$ , so  $\Theta(n^2)$ .

Dated:

2.  $2^{n+1} = O(2^n)$  TRUE

$$2 \times 2^n$$

3.  $2^{3n} = O(2^n)$  FALSE

$$4^n > 2^n$$

Should be  $O(4^n)$

4.  $\sqrt{\log n} = O(\log \log n)$  FALSE

$$\sqrt{\log n} > \log \log n \text{ so false.}$$

5.  $n^{\log n} = O(2^n)$  TRUE

$$\log n^{\log n}$$

$$2^n > \log n^{\log n} \text{ so true.}$$

BEST WORST & AVERAGE CASE ANALYSIS :-

1) Linear Search

A	8	6	12	5	9	7	4	3	16	18
	0	1	2	3	4	5	6	7	8	9

$$\text{Key} = 7$$

$$\text{Key} = 20$$

Best Case - searching key element present at first index.

Best Case Time - 1  $O(1)$

$$B(n) = O(1)$$

Worst case - searching a key at last index.

worst case time =  $n$

$$W(n) = O(n)$$

Average Case - all possible case time

no. of cases

$$\text{Avg. time} = \frac{1+2+3+\dots+n}{n} = \frac{n(n+1)}{2} = \frac{n+1}{2}$$

Asymptotic Notations :-

$$B(n) = 1$$

$$W(n) = n$$

$$B(n) = O(1)$$

$$W(n) = O(n)$$

$$B(n) = \Omega(1)$$

$$W(n) = \Omega(n)$$

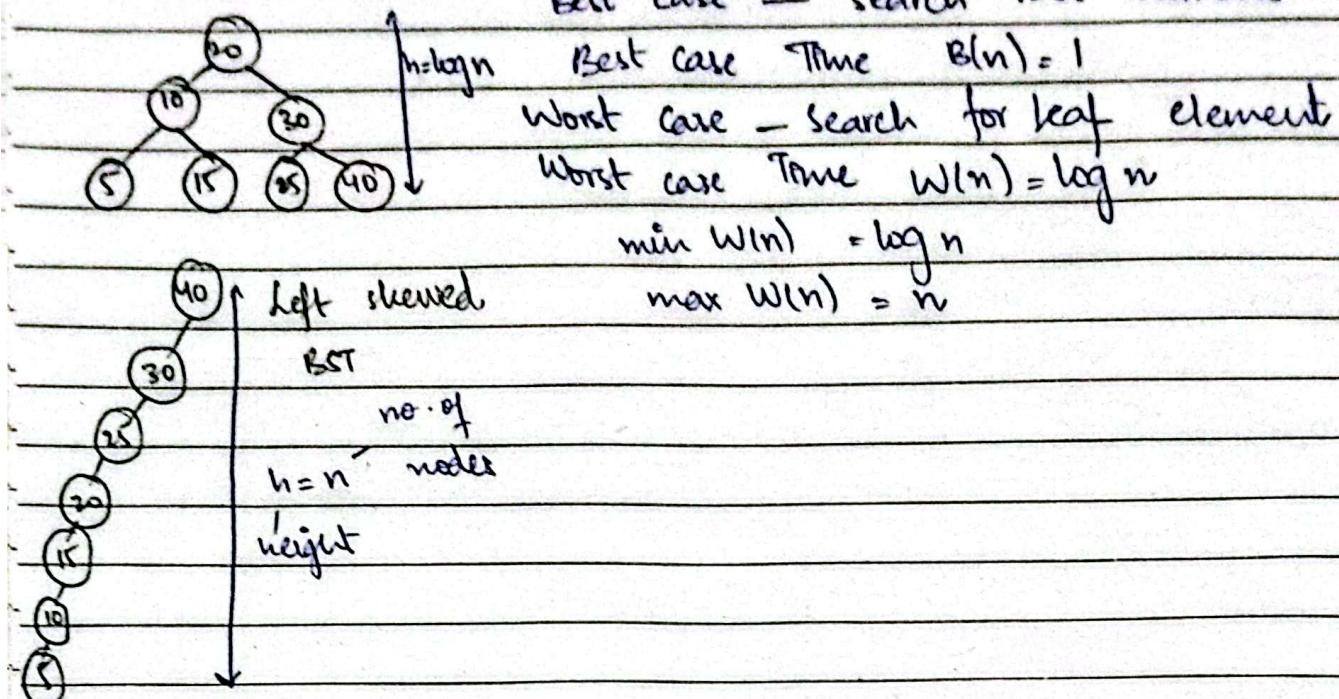
$$B(n) = \Theta(1)$$

$$W(n) = \Theta(n)$$

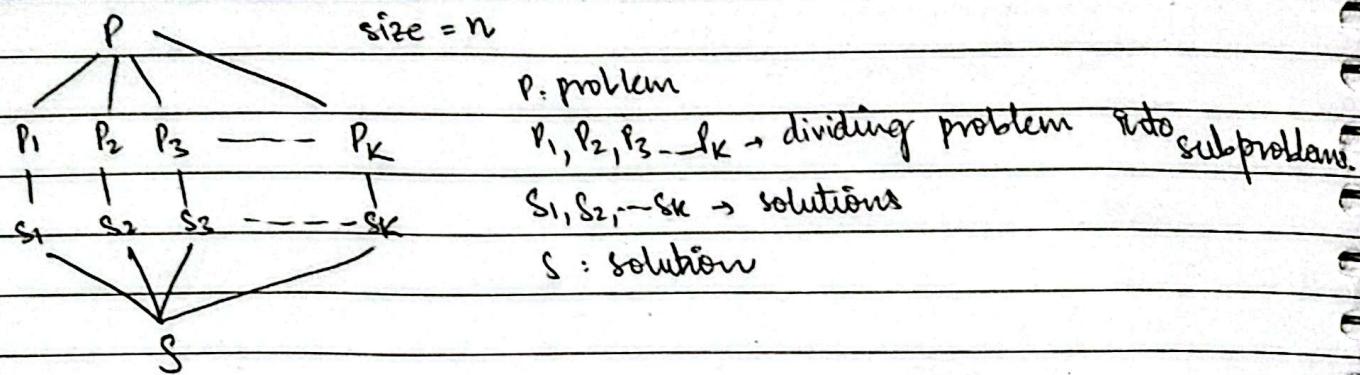


Dated:

## Binary Search Tree :-



## Divide And Conquer



$DAC(P)$

{ if ( $small(P)$ )

{  $s(P)$ ;

} else

{ divide  $P$  into  $P_1, P_2, P_3, \dots, P_k$ .

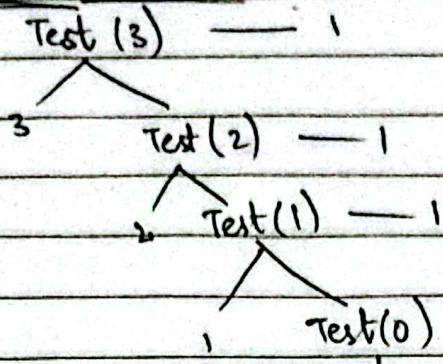
Apply  $DAC(P_1), DAC(P_2), \dots$

Combine  $(DAC(P_1), DAC(P_2), \dots)$

}

Divide & Conquer.

- (1) binary search
- (2) finding max. & min.
- (3) Merge sort

Recurrence Relation :-

- (4) Quicksort
- (5) Strassen's matrix multiplication.

Dated:

void Test (int n)

if (n &gt; 0)

        → print ("q.d", n);  
        T(n-1) → Test (n-1);

$$T(n) = T(n-1) + 1$$

3 + 1 calls for Test(3).

n+1 calls for n.

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n>0 \end{cases}$$

$$T(n) = T(n-1) + 1$$

$$T(n-1) = [T(n-1-1) + 1] + 1$$

$$T(n-2) = T(n-2) + 2$$

$$[T(n-2-1) + 1] + 2$$

$$T(n) = T(n-3) + 3$$

$$T(n) = T(n-4) + 4$$

| continue for K times.

$$T(n) = T(n-K) + K$$

$$T(n) = T(n-K) + K$$

Assume  $n-K=0$ ,  $\therefore n=K$ 

$$T(n) = T(n-n) + n$$

$$T(n) = T(0) + n$$

$$T(n) = 1 + n \quad \Theta(n).$$

Iterative Substitution :-

$$T(n) = 2T\left(\frac{n}{2}\right) + bn$$

$$= 2 \left[ 2T\left(\frac{n}{2^2}\right) + bn \right] + bn$$

$$= 2^2 T\left(\frac{n}{2^2}\right) + 2bn$$

$$= 2^3 T\left(\frac{n}{2^3}\right) + 3bn$$

Dated:

$$= 2^r T\left(\frac{n}{2^r}\right) + ibn$$

$$T(n) = b \rightarrow \text{base case}$$

$$2^r = n$$

$$\log_2 2^r = \log n$$

$$r \log_2 2 = \log n$$

$$r = \log n$$

$$T(n) = 2^{\log n} T\left(\frac{n}{2^{\log n}}\right) + \log n \cdot bn$$

$$T(n) = T(n) + bn \log n$$

$$T(n) = b \therefore T(n) = b + bn \log n$$

Solving Recurrences by Substitution : Guess-and-Test

Ex:-  $T(n) = 2T(n/2) + n$

Guess #1  $T(n) = O(n)$

Need  $T(n) \leq cn$  for some constant  $c > 0$ .

Assume  $T(n/2) \leq cn/2$  Inductive hypothesis

$$T(n) \leq 2cn/2 + n = (c+1)n$$

Our guess was wrong.

Guess #2:-  $T(n) = O(n^2)$

Need :-  $T(n) \leq cn^2$  for some constant  $c > 0$ .

Assume 2-  $T(n/2) \leq cn^2/4$  Inductive hypothesis.

$$\text{Thus } 2- T(n) \leq 2cn^2/4 + n = cn^2/2 + n$$

works for all  $n$  as long as  $c \geq 2$ !! But there is a lot of "slack".

Guess #3:-  $T(n) = O(n \log n)$

Need  $T(n) \leq cn \log n$

Assume  $T(n/2) \leq c(n/2) (\log(n/2))$

$$T(n) \leq 2c(n/2) (\log(n/2)) + n$$

$$\leq cn \log n - cn + n \leq cn \log n$$

works for all  $n$  as long as  $c \geq 1$ !! This is the correct guess.

Dated:

$$\text{Ex- } T(n) = 2T(n-1)$$

$$= 2T(n-2) = 2^2 T(n-2)$$

$$= 2^2 (2T(n-3)) = 2^3 T(n-3)$$

;

$2^k T(n-k)$ , typically base case = 1,  $n-k=1$ ,  $k=n-1$ .

lets  $K=n-1$

$$T(n) = O(2^n)$$

$$\text{Ex- } T(n) = n + 2T\left(\frac{n}{2}\right)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$= 2\left(2T\left(\frac{n}{2^2}\right) + n\right) + n$$

$$= 2^2 T\left(\frac{n}{2^2}\right) + 2n$$

$$= 2^2 \left(2T\left(\frac{n}{2^3}\right) + n\right) + 2n$$

$$= 2^3 T\left(\frac{n}{2^3}\right) + 3n$$

$$; \\ = 2^K T\left(\frac{n}{2^K}\right) + Kn$$

Typically, base case = 1,  $\frac{n}{2^K} = 1$ ,  $n = 2^K$ ,  $\log n + \log_2 2$

$$K = \log n$$

$$n = 2^K, K = \log n \rightarrow 2^K T\left(\frac{n}{2^K}\right) + Kn$$

$$\Rightarrow n T\left(\frac{n}{n}\right) + n \log n$$

$$\Rightarrow n T(1) + n \log n \Rightarrow cn + n \log n = \Theta(n \log n)$$

M01 PAUL 2020

Q3)  $T(n) = 3T\left(\frac{n}{3}\right) + n^3$ ,  $T(1) = 1$

$$T(n) = 3 \underbrace{T\left(\frac{n}{3}\right) + \left(\frac{n^3}{3}\right)}_{+ n^3} + n^3 = 3^2 T\left(\frac{n}{3^2}\right) + \frac{3n^3}{3^3} + n^3$$

$$= 3^2 T\left(\frac{n}{3^2}\right) + \frac{n^3}{9} + n^3$$

$$= 3^2 \left[ 3 \left( T\left(\frac{n}{3^3}\right) + \left(\frac{n}{3^2}\right)^3 \right) \right] + \frac{n^3}{9} + n^3$$

Dated:-

$$\Rightarrow 3^3 T\left(\frac{n}{3^3}\right) + 3^2 \cdot \frac{n^3}{9^{3^2}} + \frac{n^3 + n^3}{9}$$

$$= 27 T\left(\frac{n}{27}\right) + \frac{n^3}{9^2} + \frac{n^3}{9} + n^3$$

We can see a pattern which can be written as:-

$$T(n) = 3^k T\left(\frac{n}{3^k}\right) + \left(\sum_{i=0}^{k-1} \frac{1}{9^i}\right) n^3$$

↓

$$\left(\frac{1}{9^2} + \frac{1}{9} + \frac{1}{9^0}\right) \cdot n^3$$

$$T(1) = 1, \text{ base case so } \frac{n}{3^k} = 1, n = 3^k, \log_3 n = k \log_3 3$$

$$k = \log_3 n$$

$$T(n) = 3^k T\left(\frac{n}{3^k}\right) + \left(\sum_{i=0}^{k-1} \frac{1}{9^i}\right) n^3$$

Geometric series formula :-

$$T(n) = 3^{\log_3 n} \cdot T\left(\frac{n}{3^{\log_3 n}}\right) + \frac{9}{8} n^3 \left(1 - \frac{1}{9^k}\right)$$

$$\sum_{i=0}^{k-1} r^i = \frac{1-r^k}{1-r} \quad (r \neq 1)$$

$$\log_3 n \cdot n^3 \cdot 1 - \left(\frac{1}{9}\right)^k = n^3 \cdot \frac{1-9^{-k}}{8/9}$$

$$T(n) = 3^{\log_3 n} T\left(\frac{n}{3^{\log_3 n}}\right) + \frac{9}{8} n^3 \left(1 - \frac{1}{9^{\log_3 n}}\right)$$

$$= \frac{9}{8} n^3 (1 - 9^{-k})$$

$$3^k = 3^{\log_3 n} = n$$

$$T(n) = n T\left(\frac{n}{n}\right) + \frac{9}{8} n^3 \left(1 - \frac{1}{n^2}\right)$$

$$= \frac{9}{8} n^3 (1 - 3^{-2k})$$

$$= n T(1) + \frac{9}{8} \left(n^3 - \frac{n^3}{n^2}\right)$$

$$\text{Since } n = 3^k \text{ so } 1 - \frac{3^{-2k}}{1 - \frac{1}{n^2}}$$

$$= n + \frac{9}{8} (n^3 - n)$$

$$= \frac{9}{8} n^3 \left(1 - \frac{1}{n^2}\right) \quad \frac{1-1}{n^2}$$

$$= n + \frac{9}{8} n^3 - \frac{9}{8} n$$

$$= \frac{9}{8} n^3 - \frac{9}{8} n$$

∴  $\Theta(n^3)$  is the time

$$n^2 = 3^{2k} = 9^k$$

complexity.

$$= \frac{9}{8} n^3 \left(1 - \frac{1}{9^k}\right)$$

Dated:

Q3) b)  $T(n) = 4T\left(\frac{n}{4}\right) + n^2$ , Assume  $T(1) = 1$ .

$$= 4 \left( 4T\left(\frac{n}{4^2}\right) + \left(\frac{n}{4}\right)^2 \right) + n^2$$

$$= 4^2 T\left(\frac{n}{4^2}\right) + \frac{4n^2}{16} + n^2$$

$$= 4^2 \left( 4T\left(\frac{n}{4^3}\right) + \left(\frac{n}{4^2}\right)^2 \right) + \frac{n^2}{16} + n^2$$

$$= 4^3 T\left(\frac{n}{4^3}\right) + \frac{4^2 n^2}{256} + \frac{n^2}{16} + n^2$$

$$\frac{n^2}{16^2} + \frac{n^2}{16} + n^2$$

$$= 4^3 T\left(\frac{n}{4^3}\right) + \frac{4^2 n^2}{4^{4^2}} + \frac{n^2}{4} + n^2$$

$$\left| \begin{array}{l} \frac{n^2}{4^2} + \frac{n^2}{4} + n^2 \\ \end{array} \right. = n^2 \left( \frac{1}{4^2} + \frac{1}{4} + 1 \right)$$

$$= 4^K T\left(\frac{n}{4^K}\right) + n^2 \left( 1 + \frac{1}{4} + \frac{1}{16} \right) \rightarrow \frac{1}{1-r}$$

Using Geometric Series :-

$$T(n) = 4^K T\left(\frac{n}{4^K}\right) + n^2 \left[ \frac{1}{1 - \frac{1}{4}} \right]$$

$$= 4^K T\left(\frac{n}{4^K}\right) + \frac{4}{3} n^2$$

since  $T(1) = 1 \Rightarrow \frac{n}{4^K} = 1 \Rightarrow K = \log_4 n$

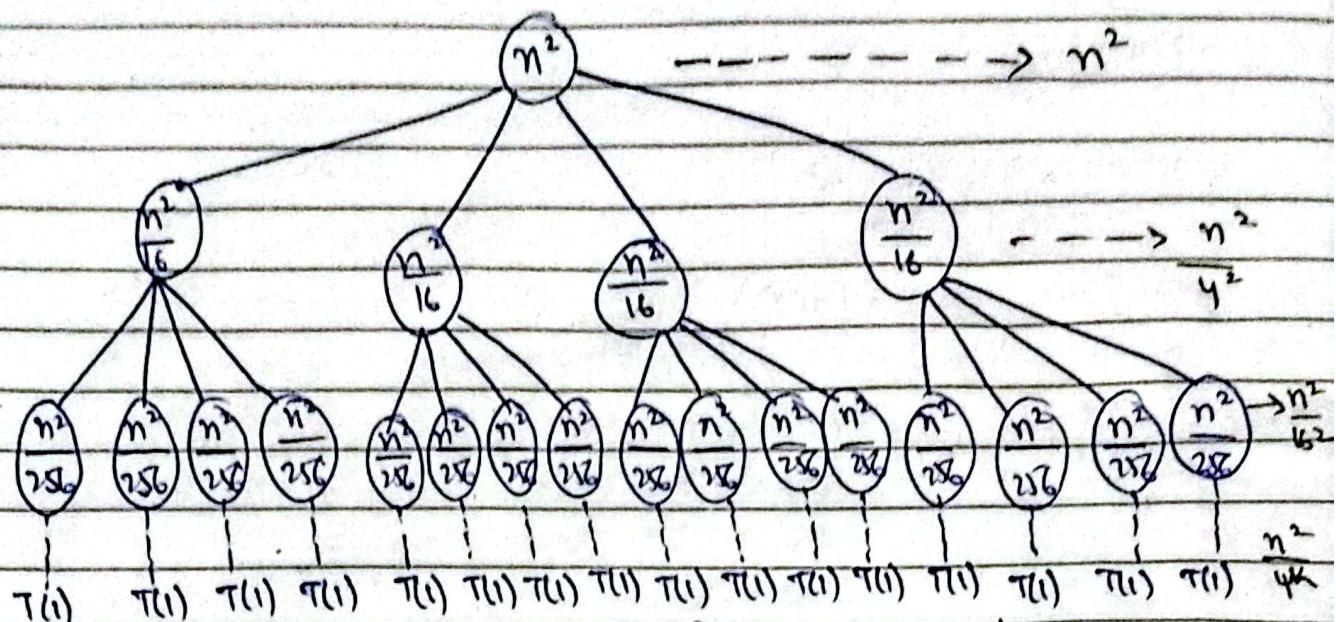
$$T(n) = \underbrace{4^{\log_4 n} T(1)}_{4^K = n} + \frac{4}{3} n^2$$

$$T(n) = n + \frac{4}{3} n^2$$

Hence,  $\Theta(n^2)$  is the time complexity.

Dated:

$$T(n) = 4T\left(\frac{n}{4}\right) + n^2$$



FALL 2020 Q4 Substitution Guess & Test Method.

$$T(n) = 3T\left(\frac{n}{2}\right) + n^2$$

$$\text{Guess 1 :- } T(n) = O(n)$$

$$T(n) \leq cn$$

$$T\left(\frac{n}{2}\right) \leq c\left(\frac{n}{2}\right)$$

$$T(n) \leq 3c\frac{n}{2} + n^2 \leq 1.5cn + cn^2 \quad *$$

Our guess was wrong, there is no  $c > 1$  exist to prove  $1.5cn + n^2 = O(n)$ .

$$\text{Guess 2 :- } T(n) = O(n^2)$$

$$T(n) \leq cn^2$$

$$T\left(\frac{n}{2}\right) \leq c\left(\frac{n}{2}\right)^2 \leq cn^2/4$$

$$T(n) \leq 3cn^2/4 + n^2 = 0.75cn^2 + n^2 \leq cn^2 \text{ for } c \geq 4$$

So our guess is correct for  $c \geq 4$ .

$$0.75cn^2 + n^2 \leq cn^2 \quad (\text{find out } c)$$

$$n^2 \leq cn^2 - 0.75cn^2$$

$$n^2 \leq 0.25cn^2$$

$$c \geq 4$$

MASTER'S THEOREM :-

Master's theorem cannot be used if  $f(n) - T(n)$  is not monotone

e.g. :-  $T(n) = \sin n$ ,  $f(n)$  is not polynomial, e.g.  $-T(n) = 2T\left(\frac{n}{2}\right) + 2^n$ ; b cannot be expressed as constant ex:-  $T(n) = T(\sqrt{n})$

Dated:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$T(1) = c$$

where  $a \geq 1, b \geq 2, c > 0$ . If  $f(n) \in \Theta(n^d)$  where  $d \geq 0$ , then

$$T(n) = \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

Ex1-  $T(n) = 5T\left(\frac{n}{2}\right) + \frac{1}{2}n^2 + n$ ,

$$a = 5, b = 2, f(n) = \frac{1}{2}n^2 + n$$

$$d = 2 \quad f(n) \in \Theta(n^d)$$

$\therefore a < b^d$  so  $d$  is highest power of  $n$  in  $f(n)$ .

$$\Rightarrow \Theta(n^2)$$

Ex2-  $T(n) = 2T\left(\frac{n}{4}\right) + \sqrt{n} + 42$ .

$$a = 2, b = 4, d = \frac{1}{2} \quad f(n) \rightarrow n^{\frac{1}{2}} \rightarrow d = \frac{1}{2}$$

$$2 = 4^{\frac{1}{2}}$$

$$2 = 2$$

$$\text{so } \Theta(n^{\frac{1}{2}} \log n) \Rightarrow \Theta(\sqrt{n} \log n)$$

Ex3-  $T(n) = 3T\left(\frac{n}{2}\right) + \frac{3}{4}n + 1$

$$a = 3, b = 2, f(n) = \frac{3}{4}n + 1 \quad d = 1$$

$$3 \geq 2^1$$

$$\Rightarrow \Theta\left(\frac{\log^3 n}{n^2}\right) \Rightarrow \Theta\left(\frac{\log^3 n}{n^2}\right)$$

FOURTH CONDITION :-

- If  $f(n) \in \Theta(n^{\log_b a} \log^k n)$  for some  $k \geq 0$  then

$$T(n) \in \Theta(n^{\log_b a} \log^{k+1} n)$$

Ex-  $T(n) = 2T\left(\frac{n}{2}\right) + n \log n$ ,

$a = 2, b = 2, f(n)$  is not a polynomial, However,

$$f(n) \in n \log n$$

$$T(n) \in \Theta(n^{\log_2 2} \log^{1+1} n) \Rightarrow \Theta(n \log^2 n)$$

Dated:

- MASTER'S THEOREM Past PAPER Qs :-

- a)  $T(n) = 6T\left(\frac{n}{\sqrt{n}}\right) + n + 30$

↳ b cannot be expressed as constant so Master's theorem cannot be used.

- b)  $T(n) = 9T\left(\frac{n}{3}\right) + \underbrace{3n^2 + 2^3 n}_{f(n)}$

$$\begin{aligned} a &= 9, b = 3, d = 2 \\ 9 &= 3^2 \quad \Theta(n^d \log n) \\ \Rightarrow &\Theta(n^2 \log n) \end{aligned}$$

c)  $T(n) = 7T\left(\frac{n}{4}\right) + \underbrace{n \log^{k+1} n}_{k=1}, f(n) \in \Theta(n^{\log_b a} \log^{k+1} n)$   
 $\hookrightarrow T(n) \in \Theta(n^{\log_b a} \log^{k+1} n)$

$$T(n) \Rightarrow \Theta(n^{\log_4 7} \log^2 n)$$

Substitution Guess and Test Method :-

∴  $T(n) = 8T\left(\frac{n}{2}\right) + n^2$

Guess 1:  $T(n) = O(n^2 \log n)$

$T(n) \leq c \cdot n^2 \log n$  for some constant  $c > 0$ .

$$T\left(\frac{n}{2}\right) \leq c \left(\frac{n}{2}\right)^2 \log\left(\frac{n}{2}\right) = cn^2 \log\left(\frac{n}{2}\right)$$

$$T(n) = 8T\left(\frac{n}{2}\right) + n^2$$

$$= 8cn^2 \log\left(\frac{n}{2}\right) + n^2 = 2(cn^2 \log\left(\frac{n}{2}\right)) + n^2$$

$$= 2cn^2 \log\left(\frac{n}{2}\right) + n^2$$

$$= 2cn^2(\log n - \log 2) + n^2$$

$$= 2cn^2 \log n - 2cn^2 \log 2 + n^2$$

$$\log\left(\frac{a}{b}\right) = \log a - \log b$$

$$2cn^2 \log n - 2cn^2 \log 2 + n^2 = 2cn^2 (\log n - 1) + n^2 \approx cn^2 \log n$$

$2cn^2 \log n$  is not  $\leq cn^2 \log n$ . So Guess was wrong.

Guess 2:-  $T(n) = O(n^3 \log n)$ .

$$T(n) \leq cn^3 \log n$$

Dated:

$$T\left(\frac{n}{2}\right) = \left(\frac{n}{2}\right)^3 \log\left(\frac{n}{2}\right) = \frac{n^3}{8} \log\left(\frac{n}{2}\right)$$

$$\begin{aligned} T(n) &= 8T\left(\frac{n}{2}\right) + n^2 \\ &= 8c \frac{n^3}{8} \log\left(\frac{n}{2}\right) + n^2 \\ &= cn^3 \log\left(\frac{n}{2}\right) + n^2 \\ &= cn^3(\log n - \log 2) + n^2 = cn^3 \log n - cn^3 + n^2 \end{aligned}$$

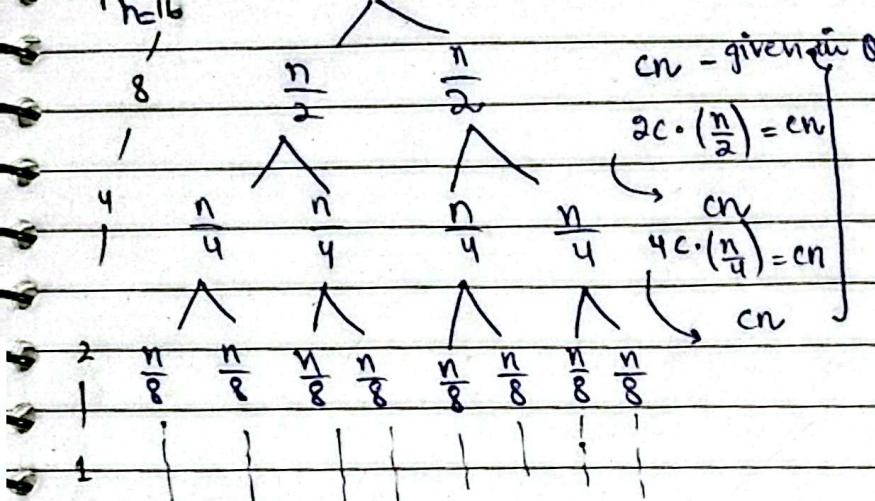
proved | Guess  
correct

$$cn^3 \log n = O(n^3 \log n)$$

Recurrence Tree.

$$T(n) = 2T\left(\frac{n}{2}\right) + cn$$

Suppose  
 $n=16$



$$\begin{aligned} 16 &\rightarrow n \\ 8 & \\ 4 & \\ 2 & \\ \text{if } n=16 \end{aligned}$$

$cn$  - given in Q

$$2c \cdot \left(\frac{n}{2}\right) = cn$$

$$4c \cdot \left(\frac{n}{4}\right) = cn$$

$$8c \cdot \left(\frac{n}{8}\right) = cn$$

$$16c \cdot \left(\frac{n}{16}\right) = cn$$

$$3cn$$

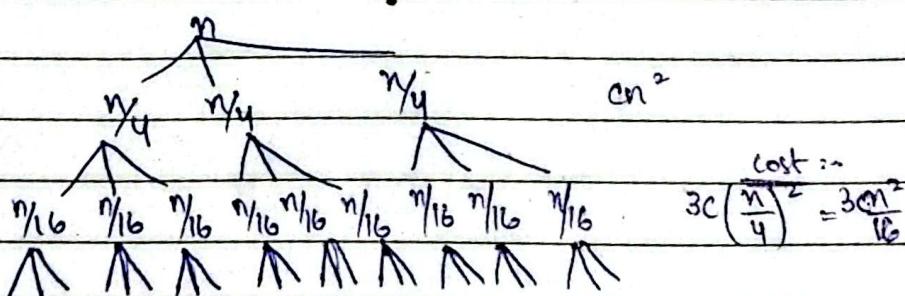
depends on height of  
the tree.  $\frac{n}{2^h} = 1$ ,  $n = 2^h$ ,  $\log n = h$

$$h = cn \log n$$

$$O(n \log n)$$

$$T(n) = 3T\left(\frac{n}{4}\right) + cn^2$$

This 3 tells that  $n$  will divide into  $n/4$  (3 times).



$$\begin{aligned} 9 \cdot \left(\frac{n}{64}\right)^2 &= \left(\frac{3n}{16}\right)^2 \\ (3n)^3 & \end{aligned}$$

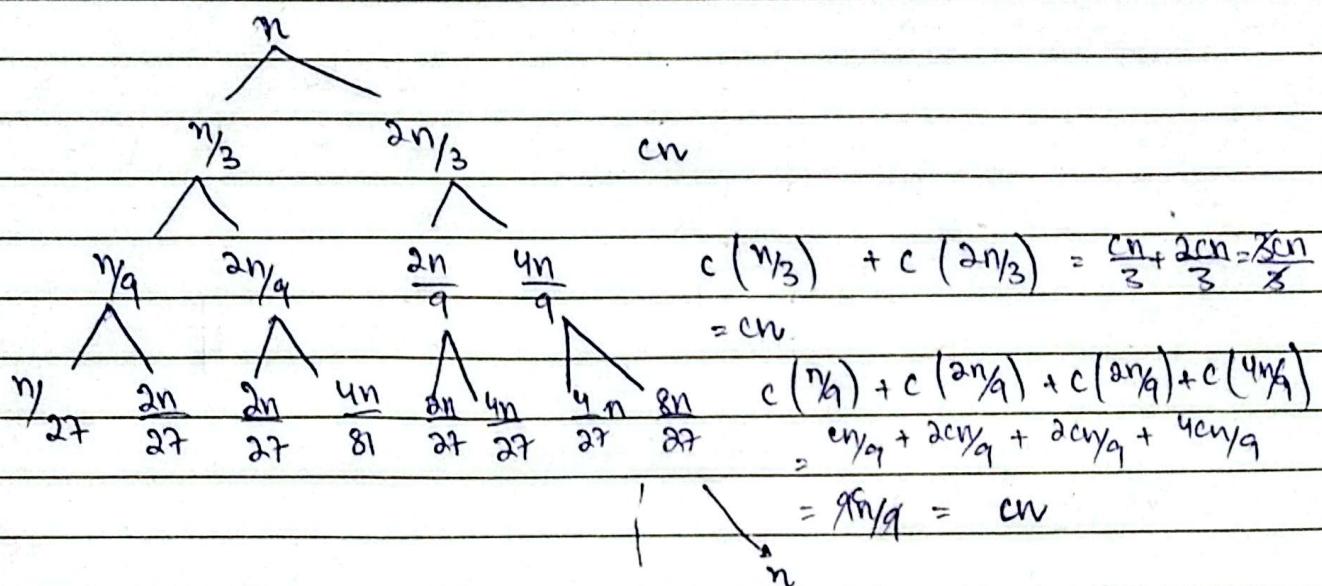
Dated:

$$\begin{aligned}
 & \text{G.P. series} \cdot cn^2 + \frac{3}{16} cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \left(\frac{3}{16}\right)^3 cn^2 + \dots \\
 & = cn^2 \left[ 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \left(\frac{3}{16}\right)^3 + \dots + \dots \right] \\
 & = cn^2 \left[ 1 + n + n^2 + n^3 + \dots \right]
 \end{aligned}$$

$$\frac{1}{1-n}, n < 1$$

$$= cn^2 \left[ \frac{1}{1 - \frac{3}{16}} \right] = cn^2 \frac{1}{\frac{13}{16}} = \boxed{cn^2 \frac{16}{13}}$$

$$\text{Q2) } T(n) = T(n/3) + T(2n/3) + cn.$$



$cn + cn + cn$  ————— + depends on height of tree.

$$\frac{n}{3^i} = 1 \quad \left(\frac{2}{3}\right)^i n = 1$$

$$n = 3^i \quad \frac{2^i}{3^i} n = 1 \quad = n = \frac{3^i}{2^i} = n = \left(\frac{3}{2}\right)^i$$

$$\log_3 n = \log_3 3^i \quad i = \log_3 n$$

$$\log_{3/2} n = i \log_{3/2} \frac{3}{2}$$

$$i = \log_{3/2} n$$

Dated:

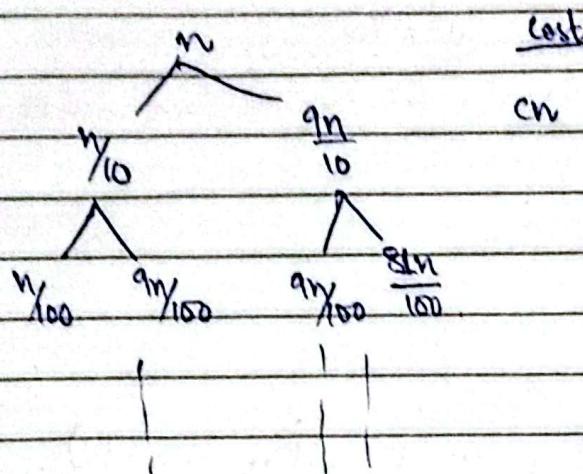
since  $\gamma_3 < 2\gamma_3$

→ this will take more time to reach base case

so, that's why we used  $\log_{3/2} n$ .

$$\boxed{O(n \log_{3/2} n)}$$

$$T(n) = T(n/10) + T(9n/10) + cn.$$



$$c(n/10) + c(9n/10) = cn/10 + 9cn/10 = 10cn/10 = cn$$

$$\frac{n}{10^i} = 1, \quad \left(\frac{9}{10}\right)^i = 1$$

$$n/10 = 0.1$$

$$9n/10 = 0.9$$

$$n = 10^i, \quad n = (10/9)^i$$

will take more time to reach base case.

$$\boxed{\log_{10/9} n = i}, \quad \log_{10/9} n = i \log_{10/9} 10/9$$

$$\boxed{i = \log_{10/9} n}$$

$$cn \log_{10/9} n = \boxed{O(n \log_{10/9} n)}$$

Dated:

## Loop INVARIANT :-

Precondition :- true before the method execution.

Post condition :- true after method execution.

## FINDING Maximum ELEMENT :-

- precondition: A is non empty.

- post condition: max value of A is returned.

int findmax (int [] A) {

    int currentMax = A[0];

    // invariant : currentMax is the max of A[0..i]

    for (int i=1; i < A.length(); i++) {

        if (A[i] > max) {

            max = A[i];

    }

}

}

A[0..1]    A[0..i]    A[0..2.length)

-- A loop invariant is true before, during, & after the loop.

largest value is  
currentMax,      i=1

Precondition :- A [ ] ?

i

Invariant :- A [ largest value is currentMax ] ?

i = a.length

Post condition :- A [ largest value is currentMax ]

## Four Concerns of Loop Invariants :-

1. Initialization :- Make the invariant true at the start.

2. Termination :- Make the loop end when the post condition is true).

3. Progress :- Make progress towards the post condition.

4. Maintenance :- Make the invariant true after each iteration.

## INSERTION SORT :-

Precondition :- A [ ? ]

Invariant :- A [ sorted ] ?

Post Condition :- A [ sorted ]



Dated:

Inception Sort (A):

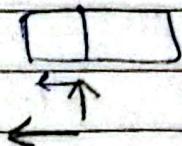
for  $i = 1; i < A.length; i++;$

$j = i;$

while  $j > 0$  and  $A[j] < A[j-1]$

    swap ( $A[j], A[j-1]$ );

$j--;$



Working & Dry-Run :-

Example :-  $A = [5, 3, 4, 1, 2]$ .

$i = 1, j = 1, A[j] = 3, A[j-1] = 5$

$A = [5, 3, 4, 1, 2]$

↑  
swap

After swapping :-  $A = [3, 5, 4, 1, 2]$

$i = 2, j = 2, A[j] = 4, A[j-1] = 5$

$A = [3, 4, 5, 1, 2]$

↑  
↑  
compare  
no swap

$i = 3, j = 3, A[j] = 1$

$A = [3, 4, 5, 1, 2]$

$A = [3, 4, 1, 5, 2]$

↑  
↑  
i

$A = [3, 4, 5, 1, 2]$

↑  
↑  
j  
i

$A = [1, 3, 4, 5, 2]$

↑  
j  
i

$i = 4, j = 4, A[j] = 2$

$A = [1, 3, 4, 5, 2], A = [1, 3, 4, 2, 5], A = [1, 3, 2, 4, 5]$

$A = [1, 2, 3, 4, 5]$

↑  
j  
↑  
i  
j < 0  
while loop terminated.

$i++ A.length$  for loop termination.  $\therefore$  Array is sorted.

Dated:

### Insertion Sort Complexity :-

- Worst Case :- When array is in reverse order. Every new element has to be compared with all previous elements.

$$1+2+3+\dots+(n-1) = \boxed{O(n^2)}$$

- Best Case :- When the array is already sorted. Each new element is compared once, sees that it's already in place, & stops.

$$= \boxed{O(n)}$$

### WHY IS THE BEST-CASE RUNTIME INTERESTING?

- Because :- Unlike many other quadratic algorithms (like selection sort, bubble sort), insertion sort actually runs linearly on sorted input.
- This means insertion sort is adaptive  $\rightarrow$  it takes advantage of existing order in the data.
- That makes it efficient for nearly sorted arrays in real-world cases where data is often close to sorted.

Example :-  $A = [1, 2, 3]$  already sorted

so it skips inner loop swapping

while  $j > 0 \ \& \ A[j] < A[j-1]$

{ swap( $A[j], A[j-1]$ ); this becomes false.  
 $j--;$

$\therefore j$  only decrements when  $j \ \& \ j-1$  are out of order.

B) Precondition, loop invariant, Postcondition of inner loop of Insertion sort?

Precondition :-  $A[\frac{\text{sorted}}{2}] ?$  - The subarray  $A[0 \dots i-1]$  is sorted

(that is guaranteed by outer loop).

-  $j=i$ , meaning we're trying to insert  $A[i]$  in the correct position wrt to already sorted part.

Loop invariant:-

sorted	$\geq$
$0 \leftarrow i$	

Within  $A[0 \dots i]$ , the elements  $A[0 \dots j-1]$  are sorted, & the elements  $A[j \dots i]$  are  $\geq$  to everything in  $A[0 \dots j-1]$ .

Dated:

Postcondition:-

sorted | |

after inner loop finishes,

-the element that started

at  $A[i]$  has been inserted into its correct position among  
 $A[0 \dots i]$ .

-As a result, the subarray  $A[0 \dots i]$  is sorted.

## SELECTION SORT :-

selectionSort ( $A$ ) :

for  $i = 0$  to  $A.length - 1$ :

    minIndex =  $i$

        for  $j = i + 1$  to  $A.length - 1$ :

            if  $A[j] < A[minIndex]$ :

                minIndex =  $j$

            swap ( $A[i]$ ,  $A[minIndex]$ );

}

Initialization :- Before the first iteration ( $i=0$ ):

$A[0 \dots -1]$  is an empty subarray, which is trivially sorted.

Maintenance :-

- Assume before iteration  $i$ , the invariant holds  $A[0 \dots i-1]$  is sorted & contains the  $i$  smallest elements.

- During iteration  $i$ :

- The inner loop finds the smallest element in  $A[i \dots n-1]$ .

- After swapping it into position  $i$ , the subarray  $A[0 \dots i]$  becomes sorted & contains the  $i+1$  smallest elements.

Progress :-

- On each iteration  $i$ ,  $i$  increases by 1.

- This means the sorted subarray  $A[0 \dots i-1]$  grows larger by one element at each step.

Dated:

## Termination :-

- The outer loop ends when  $i=n$  (after  $n$  iterations).
- At this point, the invariant tells us that  $A[0..n-1]$  is sorted & contains all  $n$  elements of the array.

## Working & Dry-Run:-

$$A = [3, 1, 2]$$

$$i=0, j=i+1 \rightarrow n-1 \quad \text{minIndex} = 1$$

$A[1..2]$  look for smaller element than  $A[0]$

$$\text{minIndex} = 1$$

$$\text{swap } A[0] \text{ & } A[1] \quad , \quad A = [1, 3, 2]$$

$$A = [1, 3, 2]$$

$$\uparrow$$

$$j+1 \rightarrow n-1$$

$$\text{minIndex} = 2$$

$$\text{swap } A[1] \text{ & } A[2]$$

$$A = [1, 2, 3]$$

$$\uparrow$$

$$i=n-1$$

## Time Complexity Analysis Of Selection Sort :-

- Best case :-  $O(n^2)$
- Worst case :-  $O(n^2)$
- Average case :-  $O(n^2)$
- Not efficient for large  $n$ .

## MAXIMUM SUB-ARRAY PROBLEM :-

PROBLEM :- Find a contiguous subarray with the largest sum.

Step 1 - BRUTE FORCE APPROACH :-  $O(n^2)$ .

- Try all possible subarrays.
- For each subarray  $A[i..j]$ , compute its sum.
- Keep track of the maximum sum seen so far.

Pseudocode:-

Dated:

```
maxSum = -infinity → 1
for i = 0 to n-1 : ————— n+1 or n
  for j = i to n-1 : ————— n * n
    sum=0; ————— n * n
    for k = i to j : ————— n * n * n
      sum += A[k]; ————— n * n * n
    if sum > maxSum : ————— n * n
      maxSum = sum ————— n * n
    }
```

Total Time Complexity:-  $O(n^3)$

Outer loop runs n times

Inner loop runs  $n-i$  times for each  $i$ .

Innermost loop runs  $(j-i+1)$  times for each  $(i,j)$ .

Optimized  $O(n^2)$  Approach:-

```
int maxSum = INT_MIN;
```

```
for (int i = 0; i < n; i++) { ————— n
```

```
  int sum = 0; ————— n
```

```
  for (int j = i; j < n; j++) { ————— n-i
```

```
    sum += A[j]; ————— n * n-i
```

```
    if (sum > maxSum) { —————
```

```
      maxSum = sum; ————— n * n-i
```

```
}
```

```
j
```

$\approx O(n^2)$

Divide N Conquer Approach:-

- Divide the array into two halves.

- Left half  $\rightarrow A[\text{left} \dots \text{mid}]$

- Right half  $\rightarrow A[\text{mid}+1 \dots \text{right}]$ .

Dated:

- Recursively find the maximum subarray sum in :-
  - The left half.
  - The right half.
- Combine :- The maximum subarray might cross the middle, so we also calculate the maximum sum that crosses mid.

Pseudocode :-

FindMaxSubArray ( $A$ ,  $left$ ,  $right$ ):

```
If ( $left == right$ ) // single element left = base case  
    return ( $A[left]$ ,  $left$ ,  $right$ )  
mid = floor(( $left + right$ ) / 2)
```

(sumCross, startCross, endCross) = FindMaxCrossingSubarray ( $A$ ,  $left$ ,  $mid$ ,  $right$ )

(sumLeft, startLeft, endLeft) = FindMaxSubarray ( $A$ ,  $left$ ,  $mid$ )

(sumRight, startRight, endRight) = FindMaxSubarray ( $A$ ,  $mid + 1$ ,  $right$ )

return the maximum of (sumLeft, sumRight, sumCross).

FindMaxCrossingSubarray ( $A$ ,  $left$ ,  $mid$ ,  $right$ ):

sum = 0

leftMax = -infinity

for  $i = mid$  to  $left$ :

sum +=  $A[i]$

if sum > leftMax :

leftMax = sum

maxLeft = i

sum = 0

rightMax = -infinity

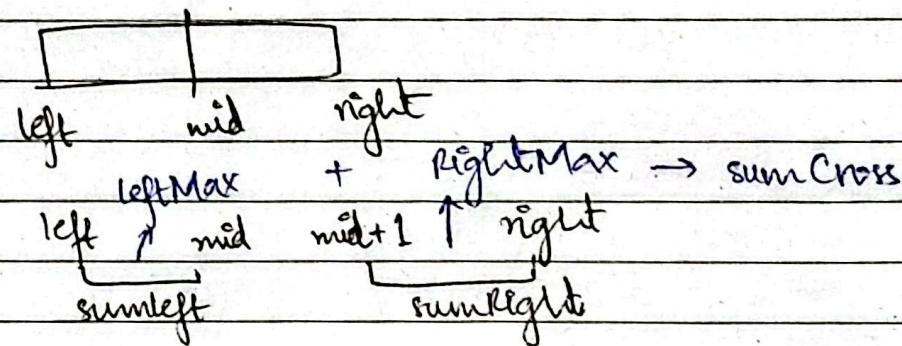
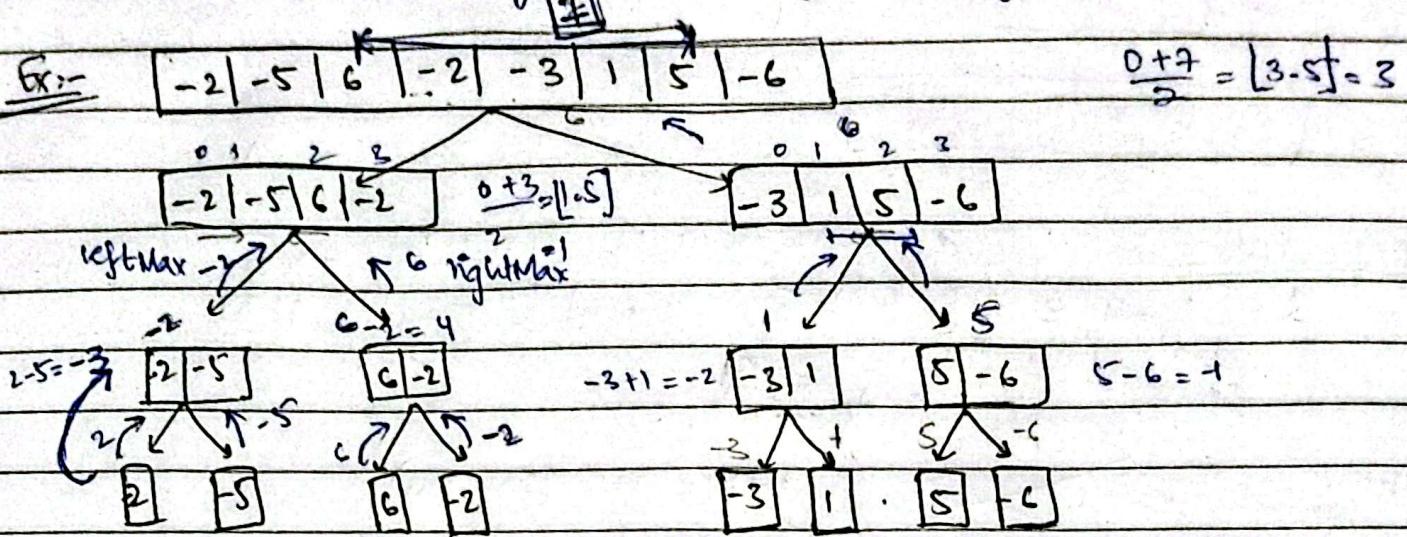
for  $j = mid + 1$  to  $right$ :

sum +=  $A[j]$

Dated:

if sum > rightMax  
rightMax = sum  
maxRight = j

return (leftMax + rightMax, maxLeft, maxRight)



Time Complexity :-

$$2T\left(\frac{n}{2}\right) + O(n) = 1$$

$\sqrt{n}$  - split in 2 parts  $\rightarrow \frac{cn}{2}$

$$\frac{n}{2} \quad \frac{n}{2} \rightarrow c\left(\frac{n}{2}\right) + c\left(\frac{n}{2}\right) = \frac{2cn}{2}$$

$$\frac{n}{4} \quad \frac{n}{4} \quad \frac{n}{4} \quad \frac{n}{4} \quad - \text{cost for this} \quad 2c\left(\frac{n}{4}\right) = \frac{2cn}{4} = cn$$

$$\frac{n}{8} \quad \frac{n}{8} \quad - \text{cost for this}$$

$$h \rightarrow n-1$$

$$\therefore O(n)$$

Dated:

$$\frac{n}{2^i} = 1$$

$$n = 2^i$$

$$\log_2 n = i \log_2 2$$

$$T = \log_2 n$$

$$T(n) = O(n \log n)$$

Dry Run :-

$$A = [1, -4, 3, 2] \quad \text{return max of } (1, 5, 14) \rightarrow 5$$

total = 5      mid =  $\frac{0+3}{2} = [1.5] = 1$

$\downarrow \quad \uparrow$        $\downarrow \quad \uparrow$

left = 0      right = 3

$\frac{\text{leftside max}}{\text{max}} = 1$       total =  $1 + 5$  (sumcross)

$\{1, -4\}$        $\{3, 2\}$       Rightside max = 5

$\begin{array}{c} \nearrow \\ 1 \end{array}, \begin{array}{c} \nearrow \\ -4 \end{array}$        $\begin{array}{c} \nearrow \\ 3 \end{array}, \begin{array}{c} \nearrow \\ 2 \end{array}$

$\{1\} \quad \{-4\}$        $\{3\} \quad \{2\}$

Binary Search Problem :-

- Given sorted array, target

-- Input :- arr[] = {3, 4, 6, 7}, target = 4

Linear Search :-  $O(n)$ .

```
int binarySearch (int arr[], int left, int right, int target){
```

if while

if (left > right)

return -1;

```
int mid = left + (right - left)/2;
```

if (arr[mid] == target)

return mid;

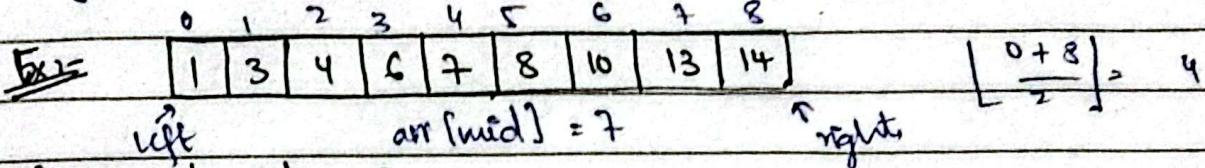
Dated:

else if (arr[mid] < target) {  
    mid + 1 index now becomes  
    left index.  
    return binarySearch(arr, mid + 1, right, target);

else

    return binarySearch(arr, left, mid, target);

}



Case 1 : target = 7

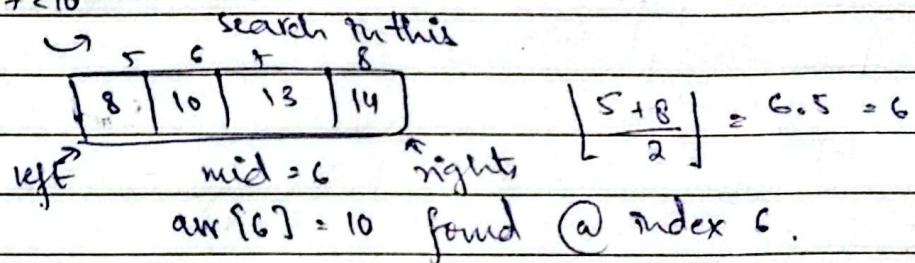
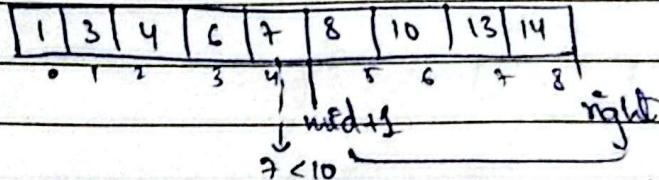
arr[mid] == target

simply return found @ index mid (4).

Case 2 : target = 10

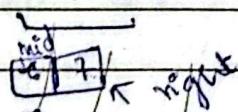
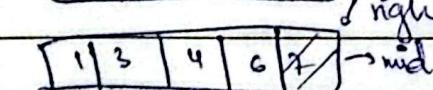
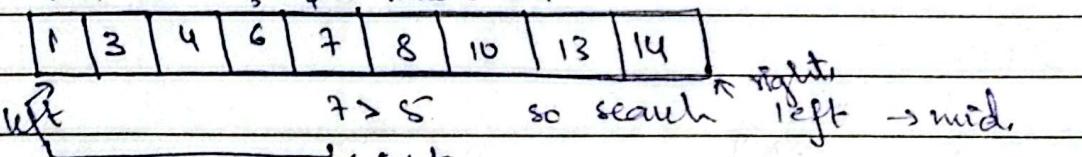
arr[mid] = 7

7 < 10



Case 3 : target = 5

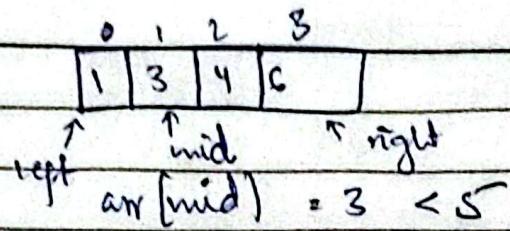
arr[mid] = 7



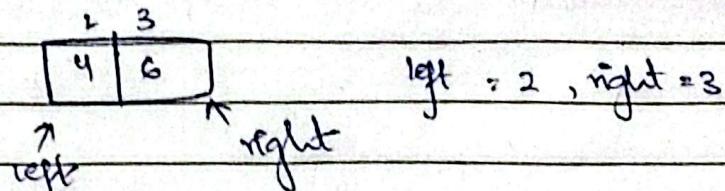
$$\left\lfloor \frac{3+4}{2} \right\rfloor = 3$$

SW

Dated:



so search in mid + 1 to right



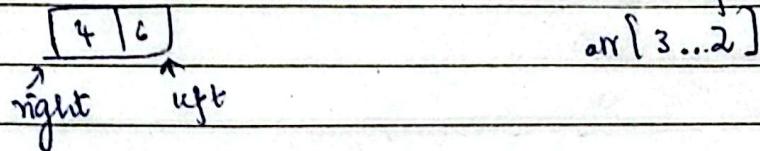
left = 2, right = 3

mid+1 → right  
left → mid

6 left = 3, right

mid = 3

6 > 5 search in left half



left > right return -1



left mid+1 to right

• - when searching  $\text{left} \rightarrow \text{mid}$ , we do not include mid element itself.  $\text{left} \rightarrow \text{mid}-1$

Time Complexity :-

$$T(n) = 1 + T(n/2)$$

$$n/2^i = 1$$

$$n = 2^i$$

$$\log n = i \log_2 2$$

$$i = \log_2 n$$

$$\Rightarrow \underline{\underline{O(\log n)}}$$

# Loop Invariant for Binary Search :-

Dated:

## Three Conditions :-

-- Array arr is sorted in ascending order.

-- left = right

-- target belongs to arr[left...right]

## Initialization :-

-- Array is sorted due to precondition of the method.

-- Since arr.length is atleast 1 so left <= right.

-- Precondition guarantees that target is in arr.

## Maintenance :-

Case 1 :- If arr[mid] == target

Case 2 :- arr[mid] > target

left  $\rightarrow$  mid - 1      arr[left...mid - 1] or arr[left...mid]

$\Rightarrow$  right = mid or right = mid - 1

Subarray arr[left...right] still contains target.

Case 3 :- if arr[mid] < target  $\rightarrow$  arr[mid + 1...right]

left = mid + 1

- Subarray arr[left...right] still contains target.

## After each iteration :-

-- Array is still sorted (unchanged).

-- New bounds still satisfy left <= right

-- If target exists it's still in range arr[left...right].

## Termination :-

-- arr[mid] == target  $\Rightarrow$  return mid index.

-- If left > right  $\Rightarrow$  terminates if target does not exist

in arr[left...right].

## Divide N Conquer : MERGE SORT

### Iterative Merge sort :-

Compare A[i] & B[j]	A	B	c (final sorted array)
	$i \rightarrow 2$	$j \leftarrow i$	$2$
	$i \rightarrow 8$	$q \leftarrow j$	$8$
	$i \rightarrow 15$	$l \leftarrow j$	$9$

$i \rightarrow 1$        $i \rightarrow 2$        $i \rightarrow m$

$\Theta(m+n)$

Dated:

Algorithm Merge ( $A, B, m, n$ )

```

if  $i = 1$  &  $j = 1$   $k = 1$ 
while ( $i \leq m$  &  $j \leq n$ )
    if ( $A[i] < B[j]$ )
         $C[k++] = A[i++]$ ;
    else
         $C[k++] = B[j++]$ ;
}

```

for ( $i = m$ ;  $i \leq m$ ;  $i++$ )

$C[k++] = A[i]$ ;

for ( $j = n$ ;  $j \leq n$ ;  $j++$ )

$C[k++] = B[j]$ ;

M-way Merging

A B C D

↓ ↓ ↓

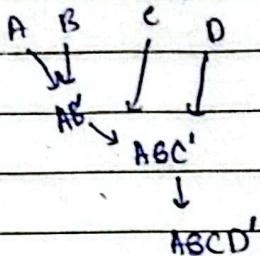
Merging 3 arrays

↳ 3-way merge

A B C D

↓ ↓ ↓ ↓

Now if I want to  
merge 4 arrays using  
2-way merge



MERGE for ALGORITHM: DIVIDE AND CONQUER :-

Alg. MergeSort ( $A, l, r$ )

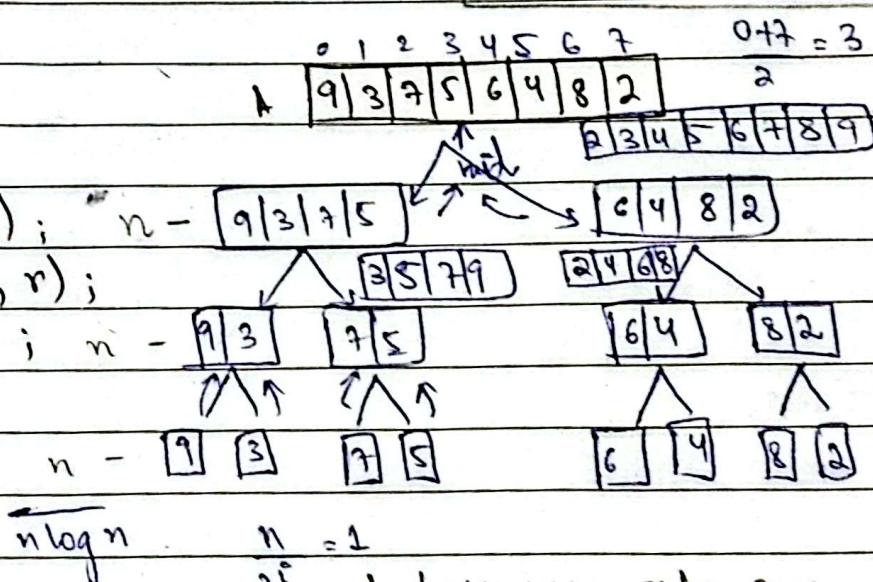
if ( $l < r$ )

$mid = (l+r)/2$ ;

$T(n/2)$  - MergeSort ( $A_l, mid$ );       $n - [9|3|7|5|6|4|8|2] \xrightarrow{mid} [2|3|4|5|6|7|8|9]$

$T(n/2)$  - MergeSort ( $mid+1, r$ );

$n - \text{Merge } (A_l, mid, r)$ ;



$$(n) = 2T(n/2) + n$$

$$\xleftarrow{n \log n} \quad n = 1$$

$\xrightarrow{2^i}$  base case :- only one element left.  
 $i = \log n$

$$T(n) = \begin{cases} 1 & n=1 \\ 2T(n/2) + n & n>1 \end{cases}$$

Master theorem

$$a=2, b=2, d=1$$

$$2 = 2^1 \Rightarrow O(n \log n)$$

## Pros & Cons of Merge Sort :-

Dated:

Pros:-  
.. Large size list (works effectively on that).

.. Linked list



.. External sorting.



.. Stable

Cons:- .. Extra space (not in-place sort)

.. No small problem (for small sized lists it's slower).

.. we use insertion sort (better for small sized arrays)  
also stable.

stable algorithms → Insertion sort  
→ Merge sort  
→ Bubble sort.

.. Recursive, recursive call (call stack) /  $n = \log n$

Space ( $n + \log n$ )

extra arr for call stacks

merging merge

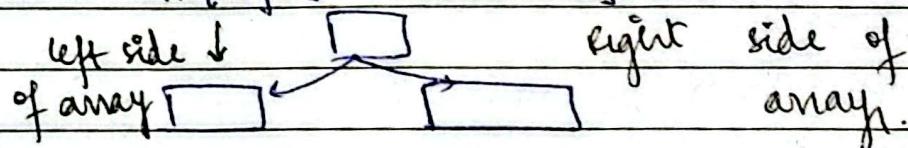
loop invariant:- left → mid sorted ↘ mid + 1 → right sorted.

Initialisation:-  $i=j=1, k=1$  left subarray  $\text{arr}[1 \dots m]$  right subarray  $\text{arr}[m+1 \dots r]$

temp  $[1, k-1]$  is empty. This empty subarray contains  $k-1=0$

smallest elements of l and r since  $i=1=j=1=k=1$ ,

$l[i], r[j]$  or  $\text{arr}[left], \text{arr}[mid+1]$



## Maintenance :-

.. In each iteration, we compare  $\text{arr}[i]$  (from left) and  $\text{arr}[j]$  (from right).

.. The smaller of two is appended to temp[k].

.. This guarantees that temp[0..k] contains the  $k+1$  smallest elements of combined subarrays in sorted order.

Dated:

- do  $i++$  until it reaches  $m$        $i \leq m$   
 $j++ \rightarrow j \leq r \rightarrow \text{right}$

Termination :-

- The loop ends when one subarray is fully scanned.
- At this point,  $\text{temp}[0..k-1]$  contains the smallest  $k$  elements in sorted order.
- The remaining elements from unfinished subarray are greater than or equal to the ones already copied so they can simply be appended.
- Finally  $\text{arr}[l..r]$  is overwritten with  $\text{temp}$  making it sorted.

loop invariant for mergesort function

Recursive structure :-

- merge sort ( $\text{arr}, l, m$ ) sorts  $\text{arr}[l..m]$
- merge sort ( $\text{arr}, m+1, r$ ) sorts  $\text{arr}[m+1..r]$
- Then merge ( $\text{arr}, l, m, r$ ) merges them.

At the beginning of each call to merge ( $\text{arr}, l, m, r$ ), the subarrays  $\text{arr}[l..m]$  and  $\text{arr}[m+1..r]$  are sorted.

Mergesort merge function code :-

```
void merge (int arr[], int l, int m, int r)
{ int i = l; int j = m + 1; int k = 0;
  int temp[r - l + 1];
  while (i <= m && j <= r) {
    if (arr[i] <= arr[j])
      temp[k++] = arr[i++];
    else
```

```
      temp[k++] = arr[j++];
  }
```

```
  while (i <= m)
```

```
    temp[k++] = arr[i++];
  
```

```
  while (j <= r)
```

```
    temp[k++] = arr[j++];
```

```
for (int p = 0; p < k; p++)
{
  arr[p] = temp[p];
}
```

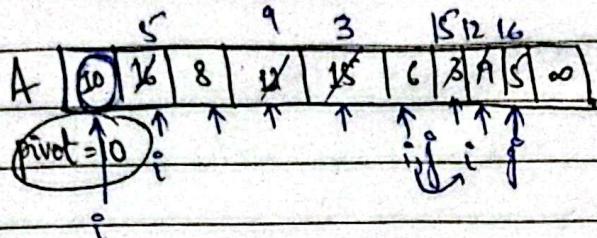
}

Dated:

## Quicksort :-

$\lceil 6 \ 3 \ 5 \ 4 \ 2 \ 1 \rceil$  this is sorted  
we're sure since we've placed each greater than.

An element  $i$  is in sorted position if elements right to it are greater & elements left to it are lesser.



- Start  $i$  from pivot and  $j$  from infinity.
- $i$  will look for elements greater than pivot and  $j$  will search for elements smaller than pivot element.
- Then exchange its positions.
- Increment  $i$  until larger element than pivot found and decrement  $j$  until smaller element than pivot found then swap.
- Swap pivot element to  $A[j]$ .
- Now that pivot element is sorted.

Partition ( $l, h$ )

$q$

pivot =  $A[l]$ ;

$i = l$        $j = h$ ;  
 $q$  do {

$i++$ ;

while ( $A[i] \leq \text{pivot}$ );

do  $q$

$j--$ ;

while ( $A[j] > \text{pivot}$ );

if ( $i < j$ )

swap ( $A[i], A[j]$ );

swap ( $A[i], A[j]$ );

return  $i$ ;

Quicksort ( $l, h$ )

{ if ( $l < h$ )

$j$  = partition ( $l, h$ )

Quicksort ( $l, j$ );

Quicksort ( $j+1, h$ );

$j$

Dated:

Algorithm Quicksort (arr, start, end) :

If start < end :

    pivotIndex = partition (arr, start, end) ;

    quicksort (arr, start, pivotIndex - 1) ;

    quicksort (arr, pivotIndex + 1, end) ;

Algorithm Partition (arr, start, end) {

    mid = start + (end - start) / 2 ;

    pivot = arr [mid]

    swap (arr [pivot], arr [start]) ;

    i = start + 1 ;

    j = end

    while True {

        while i <= j and arr [i] <= pivot {

            i++ ; }

        while i <= j and arr [i] > pivot {

            j-- ; }

        if i >= j {

            break ; }

        swap (arr [i], arr [j]) ;

    }

    swap (arr [start], arr [j]) ;

    return j ;

}

Algorithms	Worst Case	Best Case
Inversion sort	$O(n^2)$	$O(n)$
Merge sort	$O(n \log n)$	$O(n \log n)$
Heap sort	$O(n \log n)$	$O(n \log n)$
Quick sort	$O(n^2)$	$O(n \log n)$
Bubble sort	$O(n^2)$	$O(n)$

## Calculating Complexity of Algorithms :-

- 1 - sum = A[0] Assignment  
o-fetch A[0]
- 2 - for i = 1 to i = n-1 o-fetch A[i]
- 3 - sum = sum + A[i] o-add  
o-update
- 4 - return sum

Step 2 - can also be written as :-

for (i=1, i <= n-1, i++) o-add  
o-update

Statement	Operations	Iterations	Subtotal
1	2	1	$2 \times 1 = 2$
2a	1	1	$1 \times 1 = 1$
2b	1	n	$1 \times n = n$
2c	2	n-1	$2(n-1) = 2n-2$
3	3	n-1	$3(n-1) = 3n-3$
4	1	1	$1 \times 1 = 1$
$\rightarrow 2+1+n+2n-2+3n-3+1$			
$= 6n-1$			

- 1 - sum = 0
- 2 - for i = 1 to i = n — n+1
- 3 - for j = 1 to j = n — n
- 4 - sum++
- 5 - return sum

Statement	Operations	Iterations	Sub-total
1	1	1	$1 \times 1 = 1$
2a	1	1	$1 \times 1 = 1$
2b	1	n+1	$1 \times n+1 = n+1$
2c	2	n	$2n$
3a	1	n	$n$
3b	1	$n \times (n+1)$	$n^2+n$
3c	2	$n \times n$	$2n^2$

$$\begin{array}{cccc}
 4 & 2 & n \times n & 2n^2 \\
 5 & 1 & 1 & 1 \\
 & & & \hline
 & & & 5n^2 + 5n + 4
 \end{array}$$

int divide-sum (int n)

{ int sum = 0

    while (n >= 1)

{

        sum += n

        n /= 2

}

    return sum

}

Iteration	n=8
1	8
2	4
3	2
4	1

Mathematical formulas :-

$$\text{Q- } 1+2+2^2+2^3+\dots=2^k$$

$$\text{Sum} = \frac{r^k - 1}{r - 1}$$

where  $r = T_2$

$$\frac{T_2}{T_1}$$

$$q + q^2 + q^3 + \dots + q^k$$

$$\text{Q- } 1 + \frac{3}{4}n + \frac{9}{16}n + \dots$$

$$\text{Q- } \sum_{i=0}^{k-1} r^i = \frac{1-r^k}{1-r}$$

$$\text{So } = n \left( \frac{9}{16} + \frac{1}{1-r} \right)$$

$$\frac{r = T_2}{T_1}$$

$\Theta$ -notation

$$\Theta(g(n)) \Leftrightarrow f(n) : \forall c > 0$$

$\exists n_0 > 0$  such that

for  $\forall n \geq n_0$ , we

$$\text{have } 0 \leq f(n) \leq g(n)$$

$$\lim_{n \rightarrow \infty} \left[ \frac{f(n)}{g(n)} \right] = 1$$

Binary Search :-

$$T(n) = 1 + T(\frac{n}{2})$$

Merge Sort :-

$$T(n) = 2T(\frac{n}{2}) + O(n)$$

$\Theta$ -notation

Maximum Subarray Sum :-

$$T(n) = 2T(\frac{n}{2}) + O(n)$$

$$\Theta(g(n)) \Leftrightarrow f(n) : \forall c > 0$$

$\exists n_0 > 0$  such that

$n \geq n_0$  we have

$$0 \leq cg(n) \leq f(n)$$

$$\sum_{n=1}^{\infty} a_1(r)^{n-1} = \frac{a_1}{1-r}$$

$$\lim_{n \rightarrow \infty} \left[ \frac{f(n)}{g(n)} \right] = \infty$$

$$\sum_{i=0}^{k-1} a^i = \frac{1-a^k}{1-a}$$