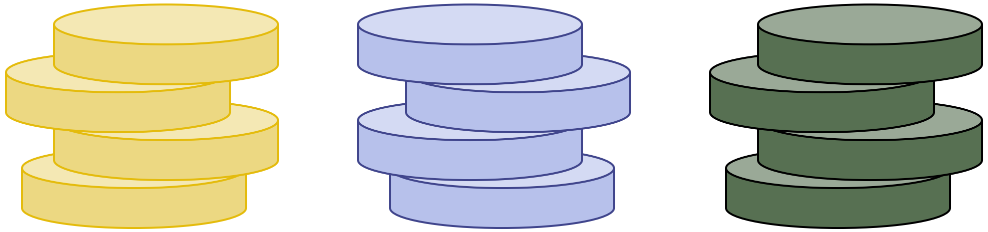
Stacks

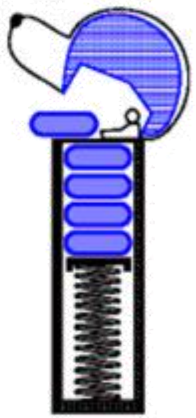


Abstract Data Types (ADTs)

- An abstract data type (ADT) is an abstraction of a data structure
- An ADT specifies:
  - Data stored
  - Operations on the data
  - Error conditions associated with operations

- Example: ADT modeling a simple stock trading system
  - The data stored are buy/sell orders
  - The operations supported are
    - order buy(stock, shares, price)
    - order sell(stock, shares, price)
    - void cancel(order)
  - Error conditions:
    - Buy/sell a nonexistent stock
    - Cancel a nonexistent order

The Stack ADT



- The Stack ADT stores arbitrary objects
- Insertions and deletions follow the last-in first-out scheme
- Think of a spring-loaded plate dispenser
- Main stack operations:
  - push(object): inserts an element
  - object pop(): removes and returns the last inserted element
- Auxiliary stack operations:
  - object top(): returns the last inserted element without removing it
  - integer len(): returns the number of elements stored
  - boolean is_empty(): indicates whether no elements are stored

Example

Operation	Return Value	Stack Contents
S.push(5)	–	[5]
S.push(3)	–	[5, 3]
len(S)	2	[5, 3]
S.pop()	3	[5]
S.is_empty()	False	[5]
S.pop()	5	[ ]
S.is_empty()	True	[ ]
S.pop()	"error"	[ ]
S.push(7)	–	[7]
S.push(9)	–	[7, 9]
S.top()	9	[7, 9]
S.push(4)	–	[7, 9, 4]
len(S)	3	[7, 9, 4]
S.pop()	4	[7, 9]
S.push(6)	–	[7, 9, 6]
S.push(8)	–	[7, 9, 6, 8]
S.pop()	8	[7, 9, 6]

Applications of Stacks

- Direct applications
  - Page-visited history in a Web browser
  - Undo sequence in a text editor
  - Chain of method calls in a language that supports recursion
- Indirect applications
  - Auxiliary data structure for algorithms
  - Component of other data structures

Array-based Stack

- A simple way of implementing the Stack ADT uses an array
- We add elements from left to right
- A variable keeps track of the index of the top element

Array-based Stack (cont.)

- The array storing the stack elements may become full
- A push operation will then need to grow the array and copy all the elements over.

Performance and Limitations

- Performance
  - Let n be the number of elements in the stack
  - The space used is $O(n)$
  - Each operation runs in time $O(1)$ (amortized in the case of a push)

Parentheses Matching

- Each “(”, “{”, or “[” must be paired with a matching “)”, “}”, or “[”
  - correct: ( )(( )){([( )])}
  - correct: ((( )(( )){([( )])}
  - incorrect:)(( )){([( )])}
  - incorrect: ({[ ])}
  - incorrect: (

Parentheses Matching Algorithm

Algorithm ParenMatch(*X*,*n*):
Input: An array *X* of *n* tokens, each of which is either a grouping symbol, a variable, an arithmetic operator, or a number
Output: **true** if and only if all the grouping symbols in *X* match

```
Let S be an empty stack
for i=0 to n-1 do
    if X[i] is an opening grouping symbol then
        S.push(X[i])
    else if X[i] is a closing grouping symbol then
        if S.is_empty() then
            return false {nothing to match with}
        if S.pop() does not match the type of X[i] then
            return false {wrong type}
if S.isEmpty() then
    return true {every symbol matched}
else return false {some symbols were never matched}
```

Evaluating Arithmetic Expressions

Slide by Matt Stallmann included with permission.

14 – 3 * 2 + 7 = (14 – (3 * 2)) + 7

Operator precedence

* has precedence over +/–

Associativity

operators of the same precedence group evaluated from left to right

Example: (x – y) + z rather than x – (y + z)

Idea: push each operator on the stack, but first pop and perform higher and *equal* precedence operations.

Algorithm for Evaluating Expressions

Slide by Matt Stallmann included with permission.

Two stacks:

- opStk holds operators
- valStk holds values
- Use $ as special "end of input" token with lowest precedence

Algorithm doOp()

```
x ← valStk.pop();
y ← valStk.pop();
op ← opStk.pop();
valStk.push( y op x )
```

Algorithm repeatOps(refOp):

```
while ( valStk.size() > 1 ∧
        prec(refOp) ≤ prec(opStk.top())
  doOp()
```

Algorithm EvalExp()

Input: a stream of tokens representing an arithmetic expression (with numbers)

Output: the value of the expression

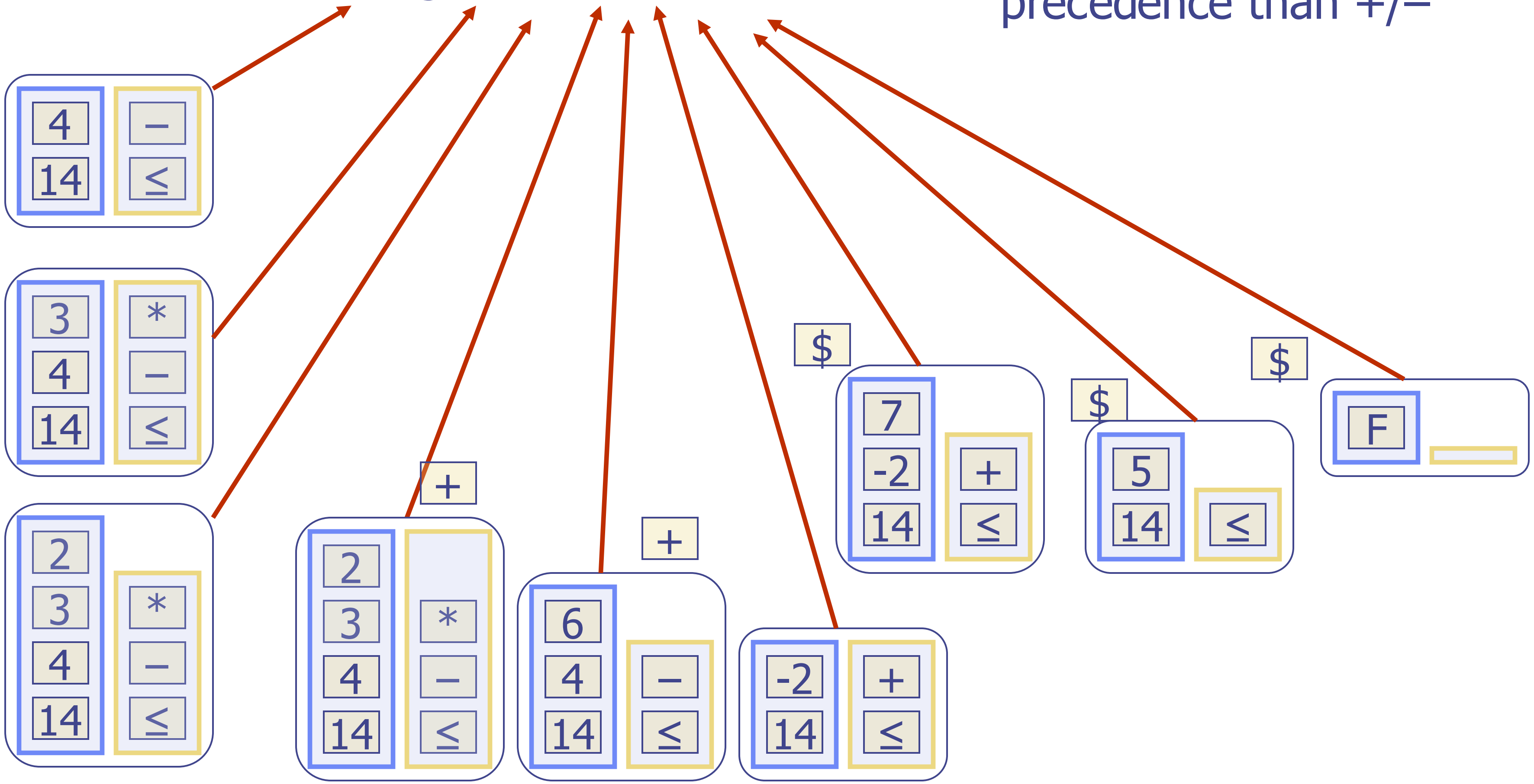
```
while there's another token z
    if isNumber(z) then
        valStk.push(z)
    else
        repeatOps(z);
        opStk.push(z)
repeatOps($);
return valStk.top()
```

Algorithm on an Example Expression

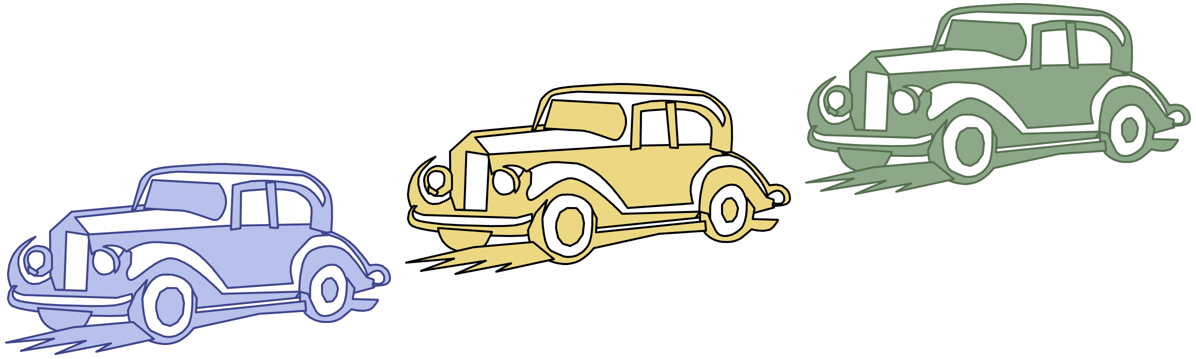
Slide by Matt Stallmann included with permission.

14 ≤ 4 – 3 * 2 + 7

Operator ≤ has lower precedence than +/–



Queues



The Queue ADT

- The Queue ADT stores arbitrary objects
- Insertions and deletions follow the first-in first-out scheme
- Insertions are at the rear of the queue and removals are at the front of the queue
- Main queue operations:
  - enqueue(object): inserts an element at the end of the queue
  - object dequeue(): removes and returns the element at the front of the queue
- Auxiliary queue operations:
  - object first(): returns the element at the front without removing it
  - integer len(): returns the number of elements stored
  - boolean is_empty(): indicates whether no elements are stored
- Exceptions
  - Attempting the execution of dequeue or front on an empty queue throws an EmptyQueueException

Example

Operation	Return Value	first $\leftarrow Q \leftarrow$ last
Q.enqueue(5)	–	[5]
Q.enqueue(3)	–	[5, 3]
len(Q)	2	[5, 3]
Q.dequeue()	5	[3]
Q.is_empty()	False	[3]
Q.dequeue()	3	[ ]
Q.is_empty()	True	[ ]
Q.dequeue()	"error"	[ ]
Q.enqueue(7)	–	[7]
Q.enqueue(9)	–	[7, 9]
Q.first()	7	[7, 9]
Q.enqueue(4)	–	[7, 9, 4]
len(Q)	3	[7, 9, 4]
Q.dequeue()	7	[9, 4]

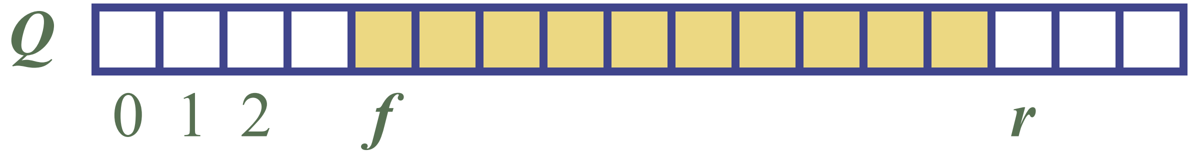
Applications of Queues

- Direct applications
  - Waiting lists, bureaucracy
  - Access to shared resources (e.g., printer)
  - Multiprogramming
- Indirect applications
  - Auxiliary data structure for algorithms
  - Component of other data structures

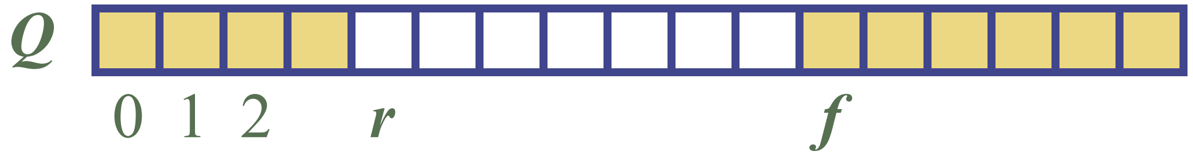
Array-based Queue

- Use an array of size N in a circular fashion
- Two variables keep track of the front and rear
  - f index of the front element
  - r index immediately past the rear element
- Array location r is kept empty

normal configuration



wrapped-around configuration

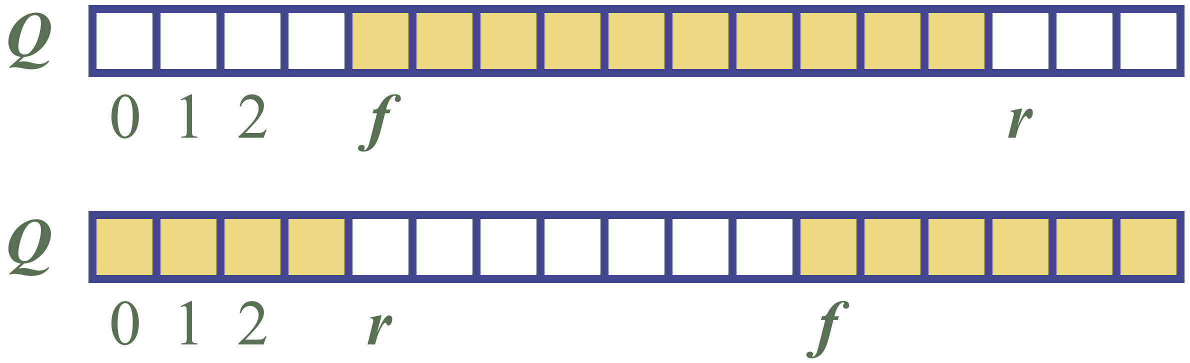


Queue Operations

- We use the modulo operator (remainder of division)

Algorithm *size*()
  return $(N - f + r) \bmod N$

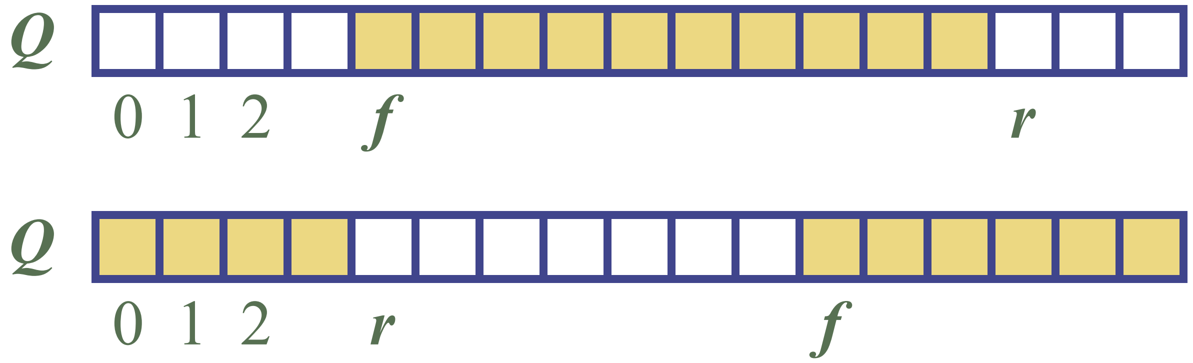
Algorithm *isEmpty*()
  return $(f = r)$



Queue Operations (cont.)

- Operation enqueue throws an exception if the array is full
- This exception is implementation-dependent

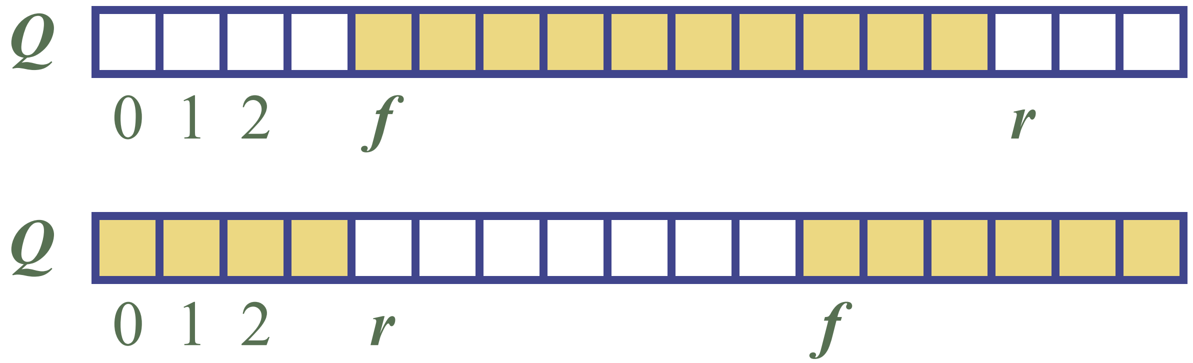
Algorithm *enqueue*(o)
  if $size() = N - 1$ **then**
    throw *FullQueueException*
  else
    $Q[r] \leftarrow o$
    $r \leftarrow (r + 1) \bmod N$



Queue Operations (cont.)

- Operation dequeue throws an exception if the queue is empty
- This exception is specified in the queue ADT

Algorithm *dequeue*()
  if *isEmpty*() **then**
    throw *EmptyQueueException*
  else
    $o \leftarrow Q[f]$
    $f \leftarrow (f + 1) \bmod N$
    return o

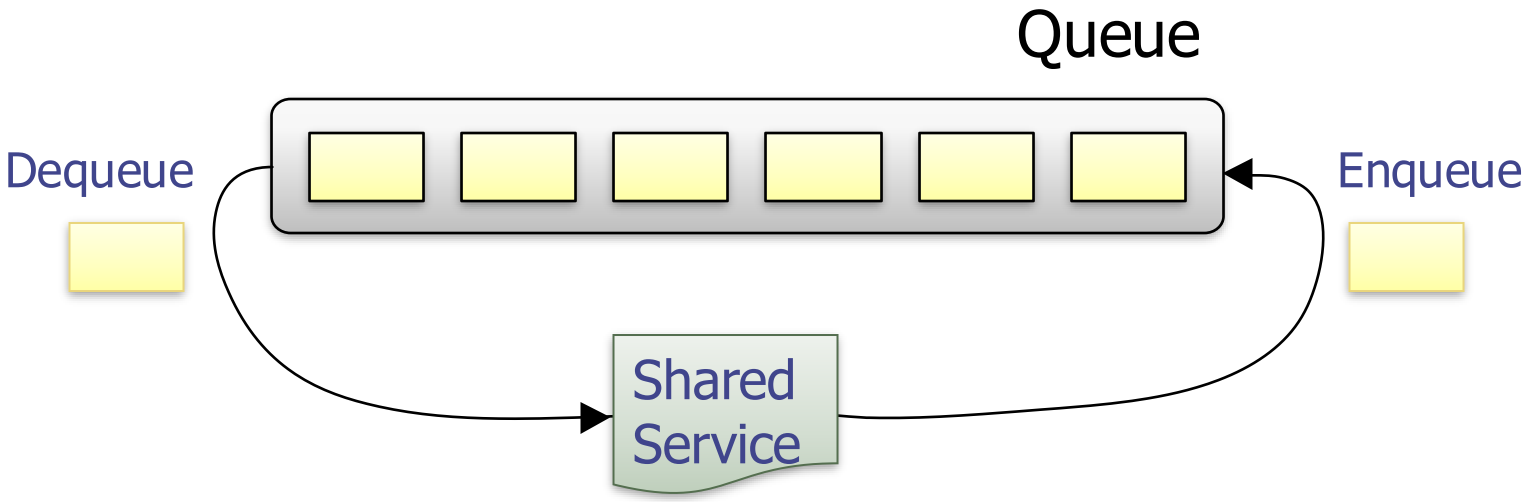


Queue in Python

- Use the following three instance variables:
  - _data: is a reference to a list instance with a fixed capacity.
  - _size: is an integer representing the current number of elements stored in the queue (as opposed to the length of the data list).
  - _front: is an integer that represents the index within data of the first element of the queue (assuming the queue is not empty).

Application: Round Robin Schedulers

- We can implement a round robin scheduler using a queue Q by repeatedly performing the following steps:
  1. e = Q.dequeue()
  2. Service element e
  3. Q.enqueue(e)



Priority Queue ADT

- A priority queue stores a collection of entries
- Each entry is a pair (key, value)
- Main methods of the Priority Queue ADT
  - insert(k, v)
    inserts an entry with key k and value v
  - removeMin()
    removes and returns the entry with smallest key, or null if the the priority queue is empty
- Additional methods
  - min()
    returns, but does not remove, an entry with smallest key, or null if the the priority queue is empty
  - size(), isEmpty()

Example

- A seque

Method	Return Value	Priority Queue Contents
insert(5,A)		{ (5,A) }
insert(9,C)		{ (5,A), (9,C) }
insert(3,B)		{ (3,B), (5,A), (9,C) }
min( )	(3,B)	{ (3,B), (5,A), (9,C) }
removeMin( )	(3,B)	{ (5,A), (9,C) }
insert(7,D)		{ (5,A), (7,D), (9,C) }
removeMin( )	(5,A)	{ (7,D), (9,C) }
removeMin( )	(7,D)	{ (9,C) }
removeMin( )	(9,C)	{ }
removeMin( )	null	{ }
isEmpty( )	true	{ }

Total Order Relations

- Keys in a priority queue can be arbitrary objects on which an order is defined
- Two distinct entries in a priority queue can have the same key

- Mathematical concept of total order relation $\leq$
  - Comparability property: either $x \leq y$ or $y \leq x$
  - Antisymmetric property: $x \leq y$ and $y \leq x \Rightarrow x = y$
  - Transitive property: $x \leq y$ and $y \leq z \Rightarrow x \leq z$

Entry ADT

- An item in a priority queue is simply a key-value pair
- Priority queues store entries to allow for efficient insertion and removal based on keys
- Methods:
  - getKey: returns the key for this entry
  - getValue: returns the value associated with this entry

```
class PriorityQueueBase:
  """Abstract base class for a priority queue."""

  class _Item:
    """Lightweight composite to store priority queue items."""
    __slots__ = '_key', '_value'

    def __init__(self, k, v):
      self._key = k
      self._value = v

    def __lt__(self, other):
      return self._key < other._key          # compare items based on their keys

  def is_empty(self):                        # concrete method assuming abstract len
    """Return True if the priority queue is empty."""
    return len(self) == 0
```

Comparator ADT

- A comparator encapsulates the action of comparing two objects according to a given total order relation
- The comparator is external to the keys being compared
- When the priority queue needs to compare two keys, it uses its comparator
- Example – 2D data point, lexicographic order

- Primary method of the Comparator ADT
- compare(x, y): returns an integer i such that
  - i < 0 if a < b,
  - i = 0 if a = b
  - i > 0 if a > b
  - An error occurs if a and b cannot be compared.

Sequence-based Priority Queue

- Implementation with an unsorted list

- Performance:
  - insert takes $O(1)$ time since we can insert the item at the beginning or end of the sequence
  - removeMin and min take $O(n)$ time since we have to traverse the entire sequence to find the smallest key

- Implementation with a sorted list

- Performance:
  - insert takes $O(n)$ time since we have to find the place where to insert the item
  - removeMin and min take $O(1)$ time, since the smallest key is at the beginning