

# Recent progress towards XAI at UC Berkeley

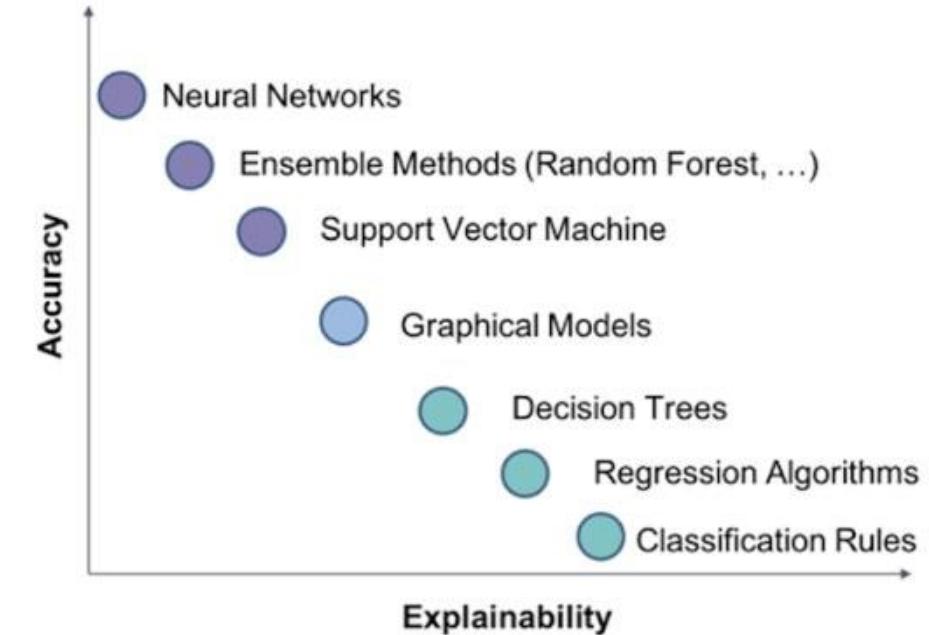
XAI Workshop, ICCV 2019

Prof. Trevor Darrell

# Inverting the Accuracy-Explainability Curve

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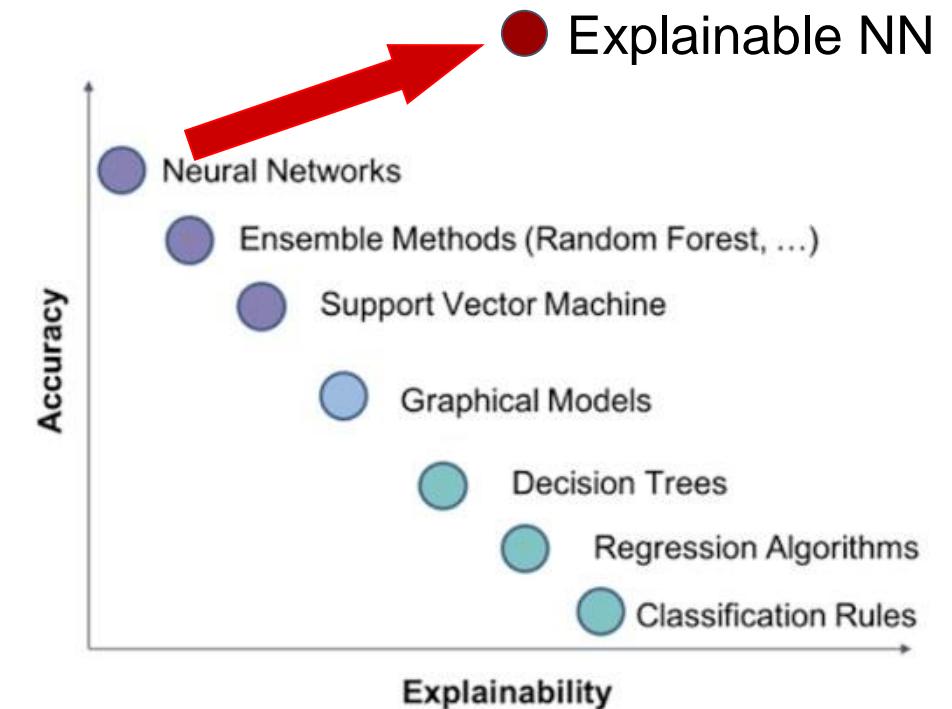
- The usual XAI story:



# Inverting the Accuracy-Explainability Curve

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- Despite conventional wisdom, adding explainability to deep AI models does not decrease their accuracy, and can even improve it.
- We don't need to choose between explainability or high accuracy, can have both!



# Inverting the Accuracy-Explainability Curve

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DNN XAI systems can lead to *better-performing, more explainable* models:

1. **Explanations-as-additional-loss:** adding the “show your work” and “right for the right reasons” constraint.
2. **Explanations-allow-advice:** XAI systems transduce DNN states to natural language; reversing this, we can create “Advisable AI” and refine a model via language guidance rather than additional labeled examples.
3. **Explanations-reveal-model-uncertainty:** in a human-in-the-loop retrieval system, explanations let human operators more accurately judge when they should accept suggestions from an XAI teammate.

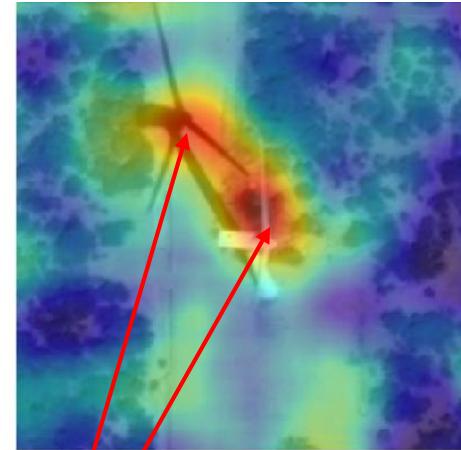
# Today

- Multi-step Saliency via Compositional NMNs
- Fine-grained Textual Explanations
- From Explainable to “Advisable” Driving Models

# Attentive XAIs *do not decrease* recognition accuracy

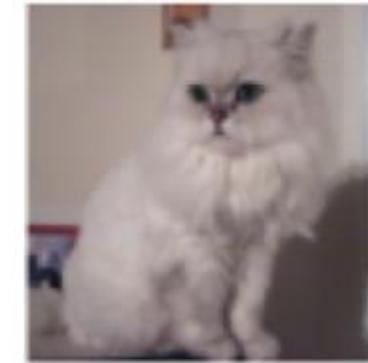
RISE<sup>1</sup> XAI provides saliency explanations to AI models without affecting their accuracy.

Wind farm:      Explanation for “wind farm”  
100%

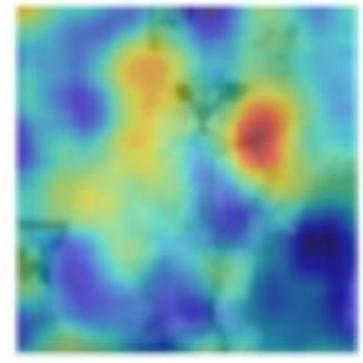


most important  
(red)

Why does the AI system think these two photos are similar?



because ...



“furry”

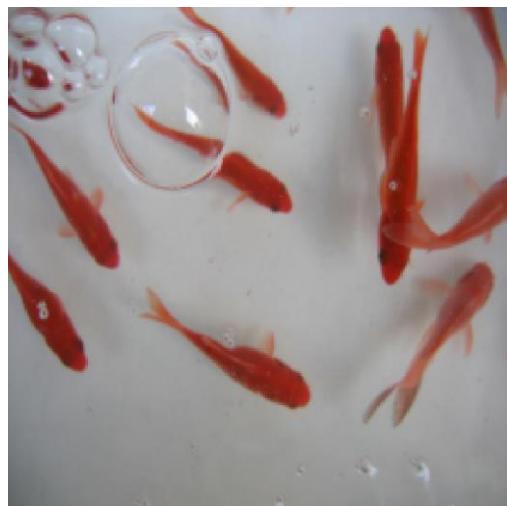
SANE<sup>2</sup>: When enforcing explainability, attribute recognition performance **improves** by 2-3% mAP on two diverse datasets.

[1] Vitali Petsiuk, Abir Das, Kate Saenko. RISE: Randomized Input Sampling for Explanation of Black-box Models. BMVC Oral, 2018

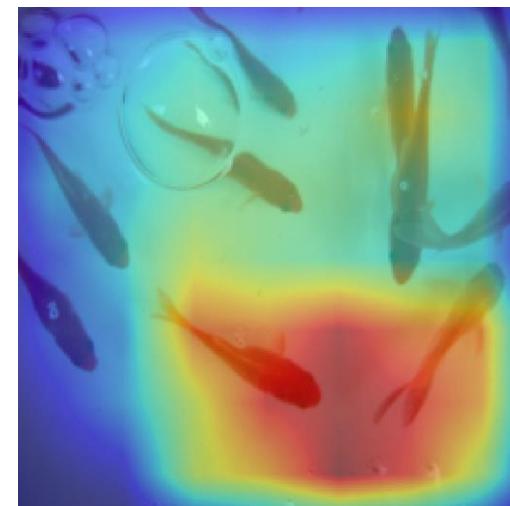
[2] Plummer et al. Why do These Match? Explaining the Behavior of Image Similarity Models, 2019

# Salience for Introspection

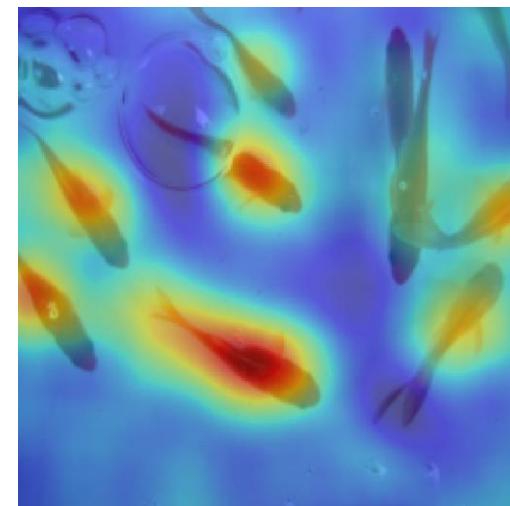
## Goldfish



LIME



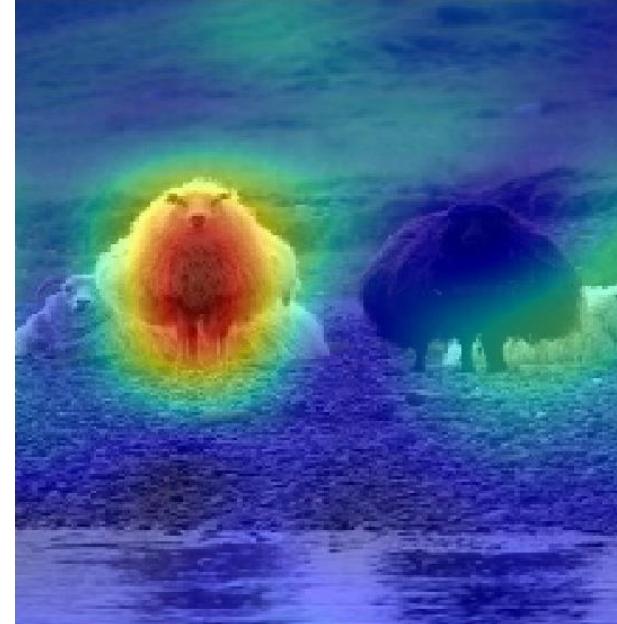
GradCAM



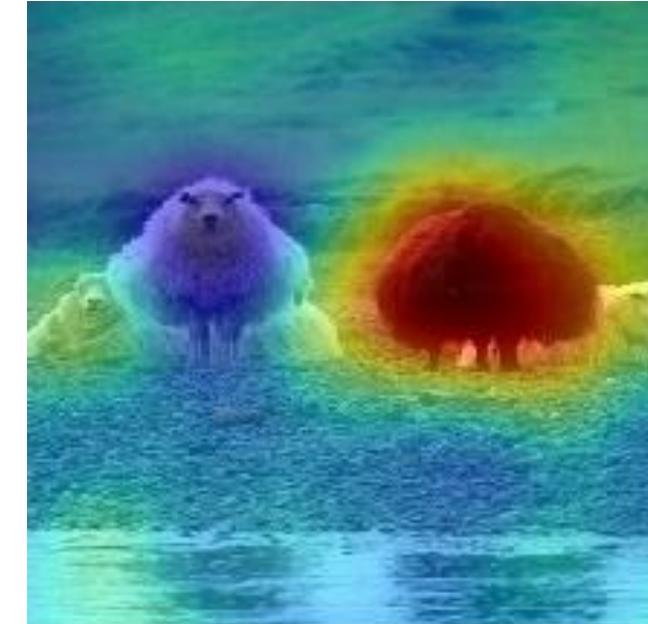
RISE

- RISE probes black-box CNN models with randomly masked instances of an image to find class-specific evidence

# RISE can explain different categories



Explanation for **Sheep**



Explanation for **Cow**

# RISE: Randomized Input Sampling for Explanation

Neural network prediction:

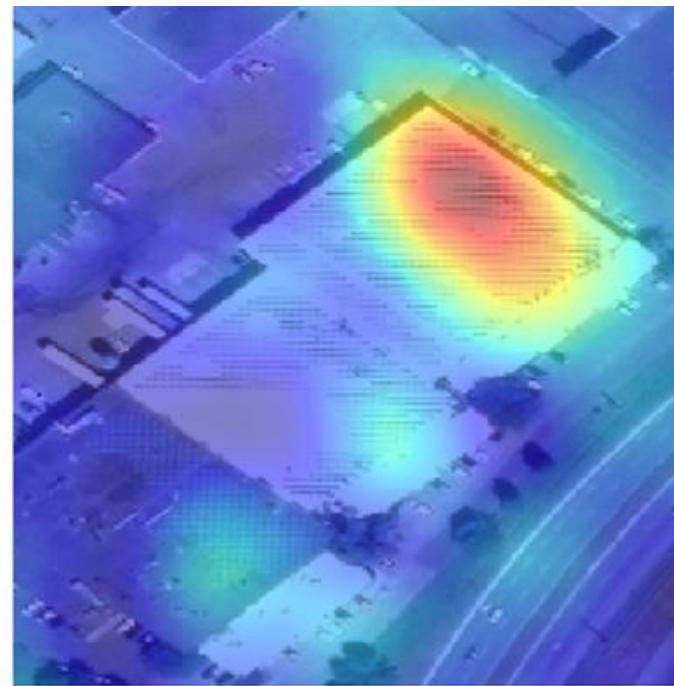
**solar farm: 63%, shopping mall: 23%**



Image from the FMoW dataset

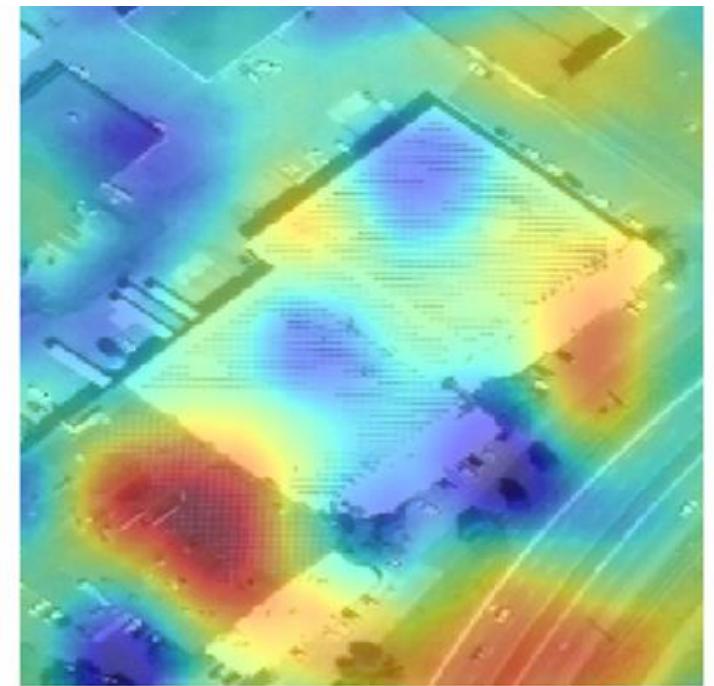
RISE

Explanation for **solar farm**



RISE

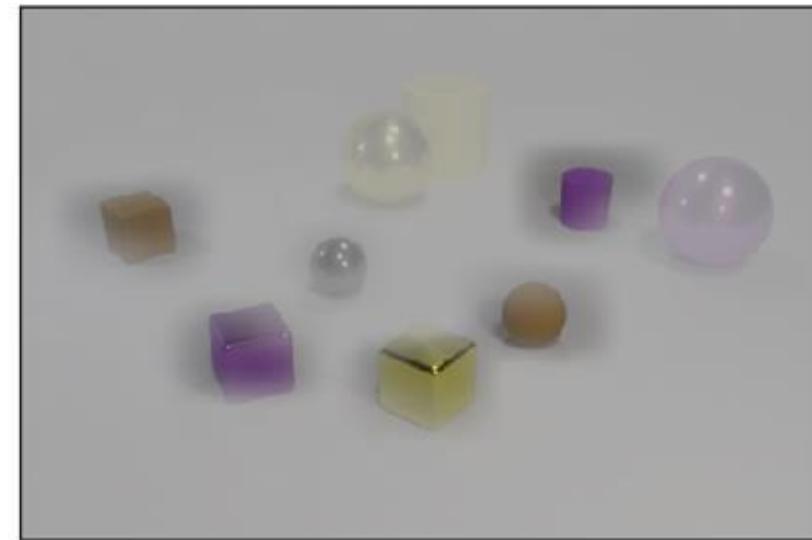
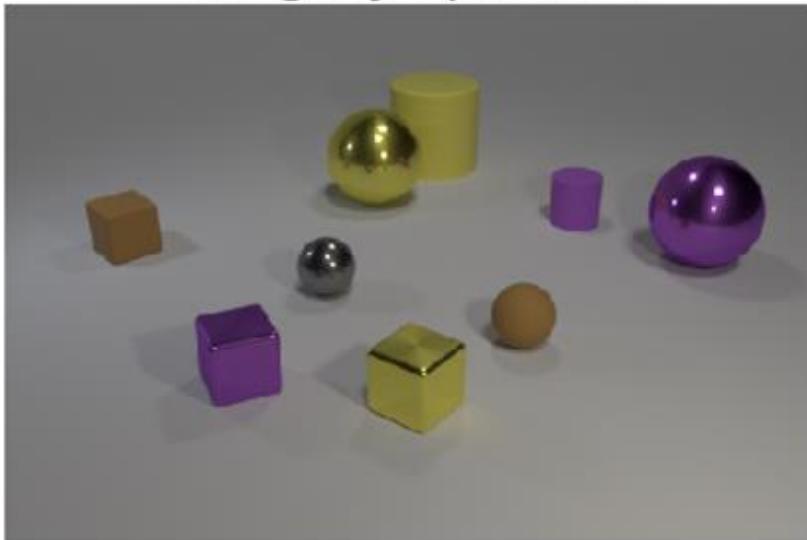
Explanation for **shopping mall**



Increasing importance

# Single-step Salience is limited

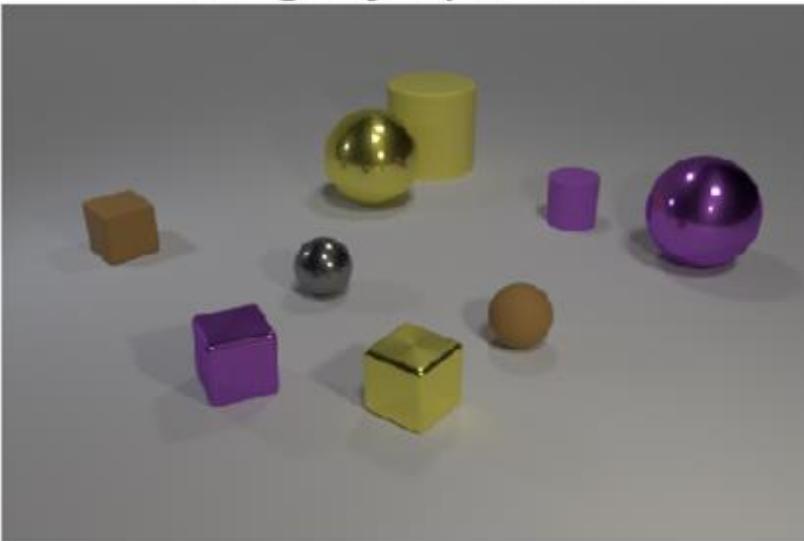
what number of other objects are  
there of the same size as  
the gray sphere ?



predicted answer: "5"

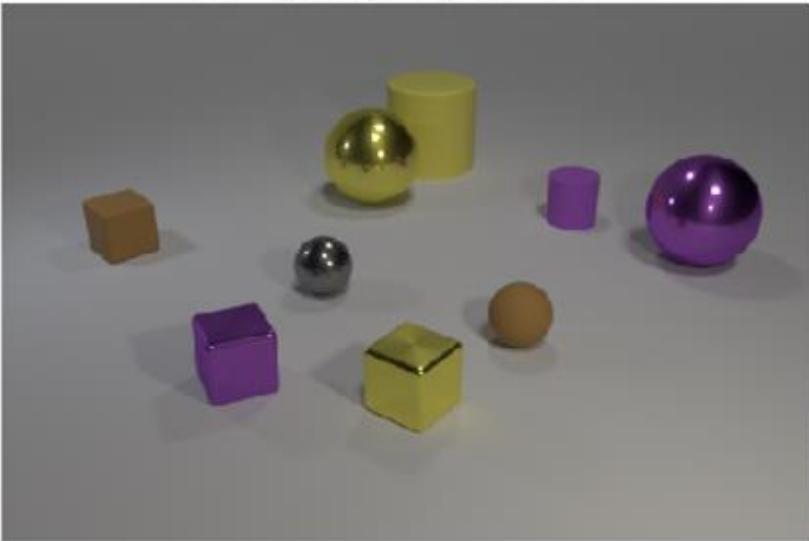
# Multi-step introspection / transparent reasoning

what number of other objects are  
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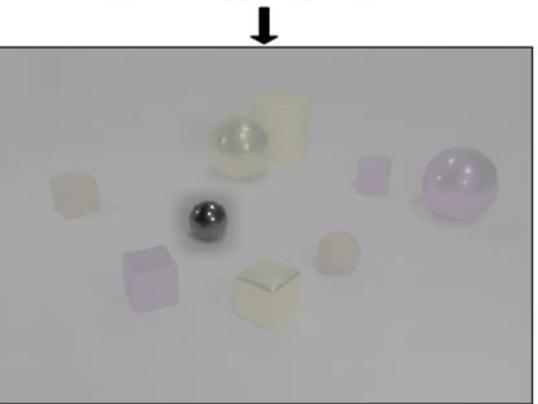
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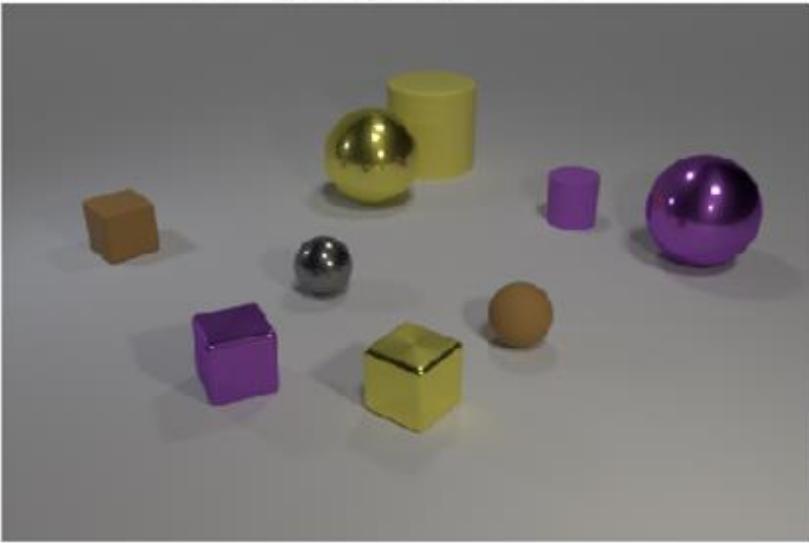
Reasoning  
**Step 1**

look\_for("gray sphere")

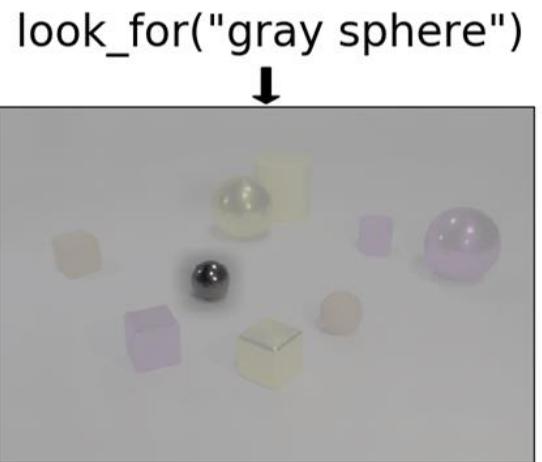


# Multi-step introspection / transparent reasoning

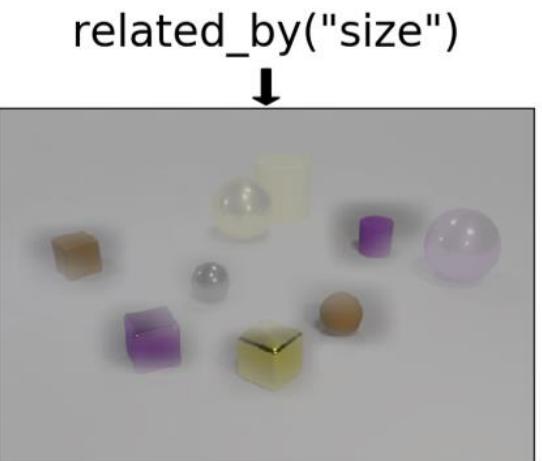
what number of other objects are there of the same size as the gray sphere ?



Reasoning  
**Step 1**

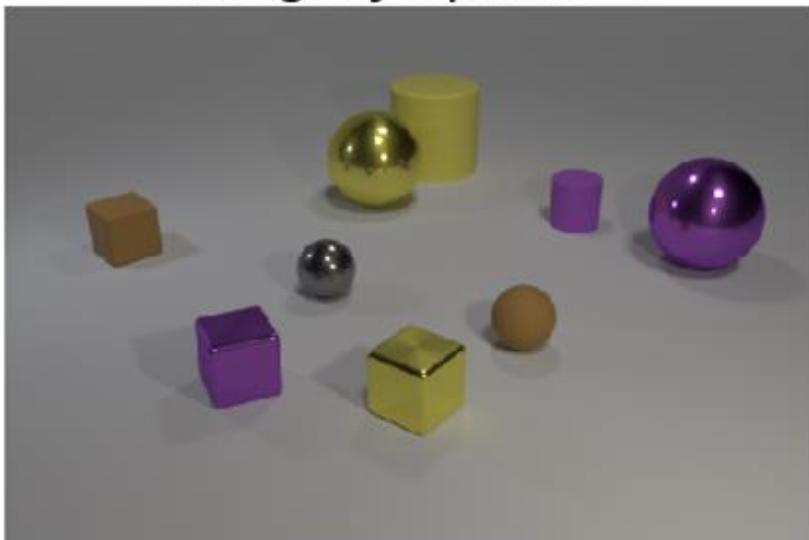


Reasoning  
**Step 2**

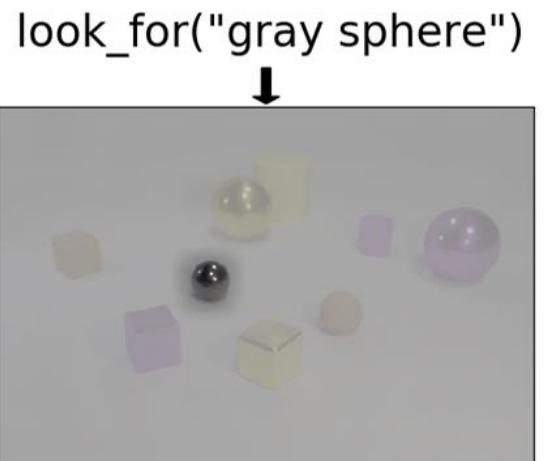


# Multi-step introspection / transparent reasoning

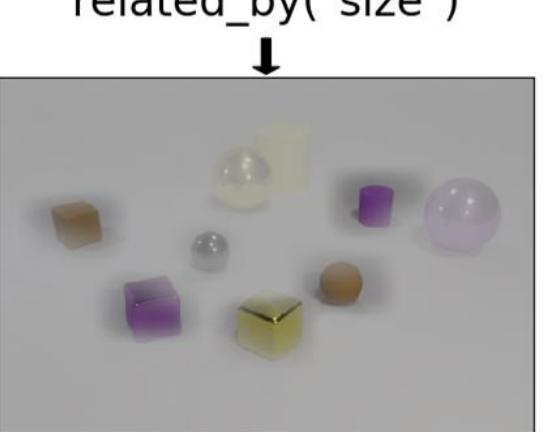
what number of other objects are there of the same size as the gray sphere ?



Reasoning  
**Step 1**



Reasoning  
**Step 2**



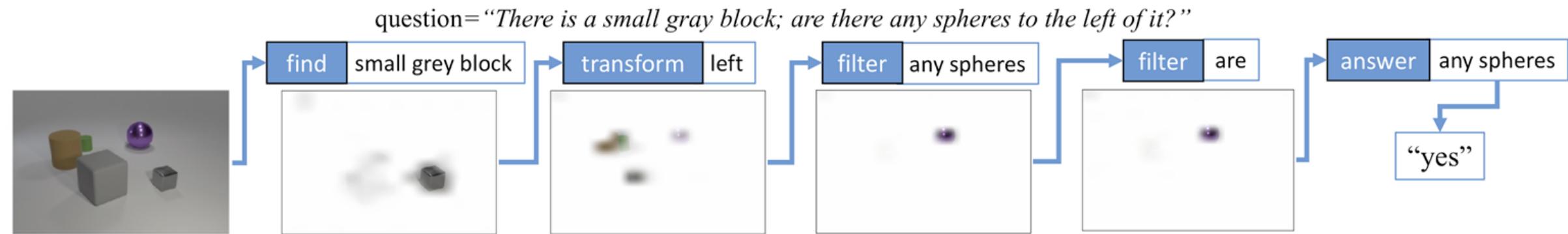
Reasoning  
**Step 3**

answer("number", "other objects")

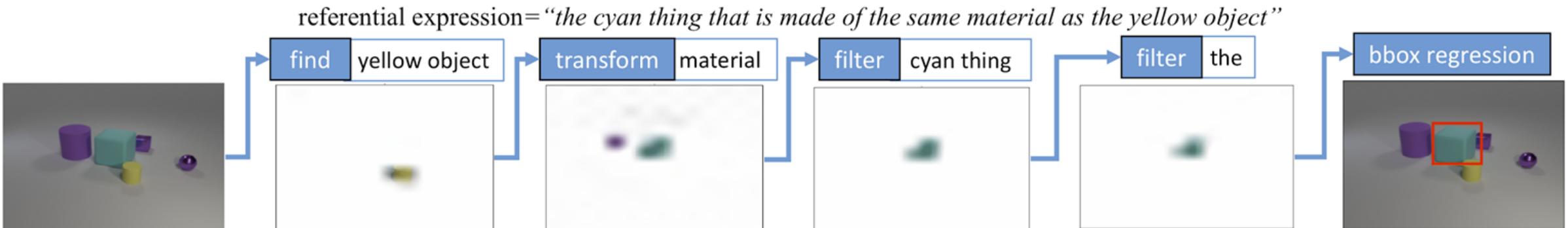
predicted answer: "5"  
true answer: "5"

# Neural module networks

## Example predictions on Visual Question Answering (VQA)



## Example predictions on Referential Expression Grounding (REF)



# Monolithic Networks for Visual Question Answering



a cat

What is this?

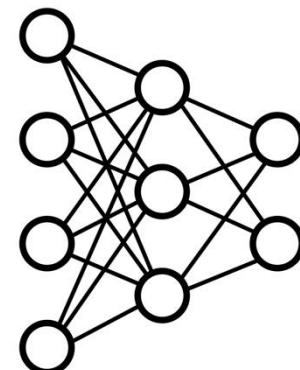
# Monolithic Networks for Visual Question Answering

Monolithic Networks

- ✓ Work well on simple questions



What is this?

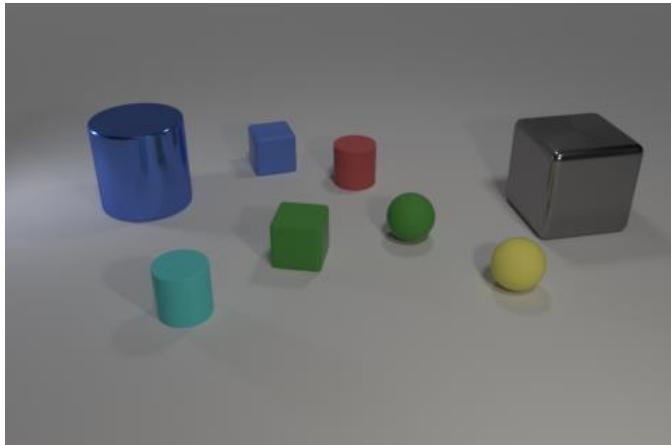


a cat

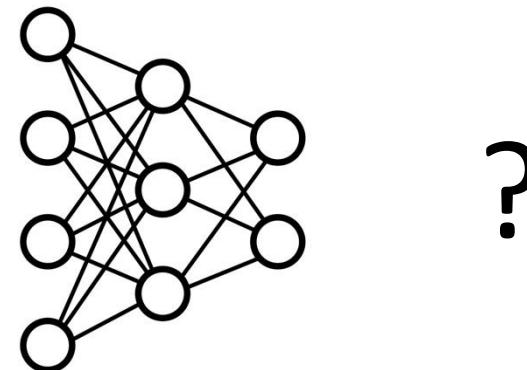
# Monolithic Networks for Visual Question Answering

## Monolithic Networks

- ✓ Work well on simple questions
- ✗ Challenging for questions requiring *compositional reasoning*
- ✗ Limited interpretability



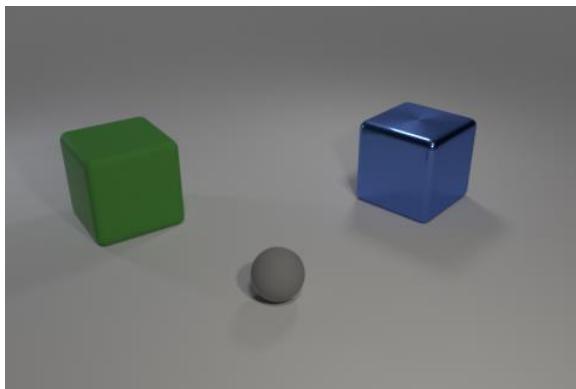
*What color is the thing with the same size as the blue cylinder?*



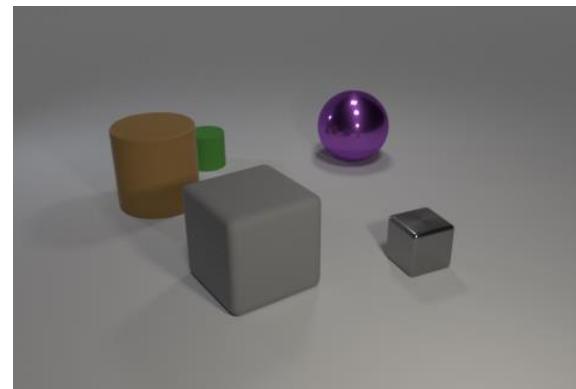
# Compositionality in Reasoning

- Generalization to complicated **unseen reasoning structure of seen operations (relations)**

training  
time

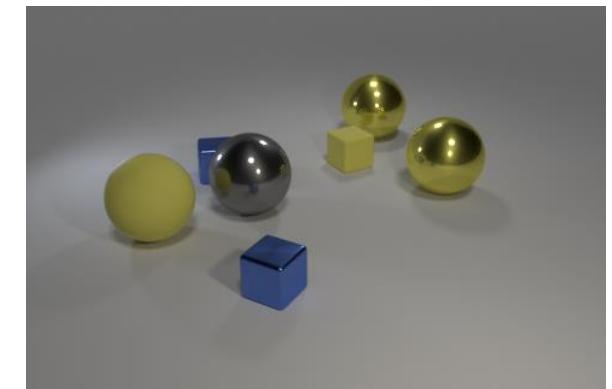


**how many** objects are the either green rubber object or blue cubes?



is there a big brown object of the **same size** as the green thing?

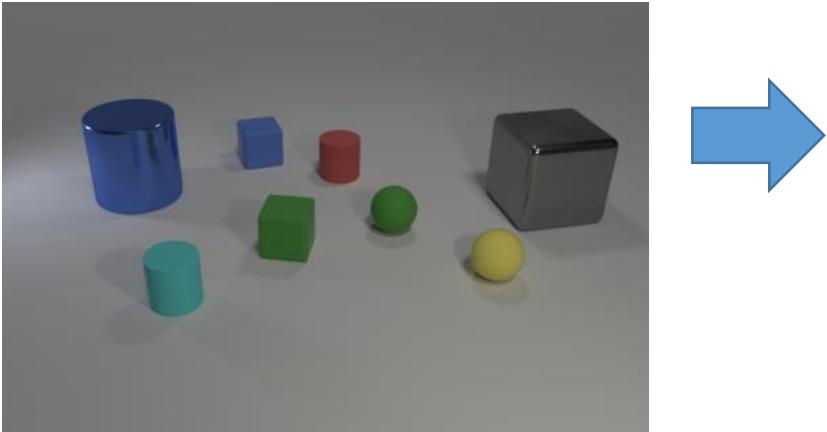
test  
time



**how many** other things are the **same size** as the yellow rubber ball?

# Compositional Inference with Modules

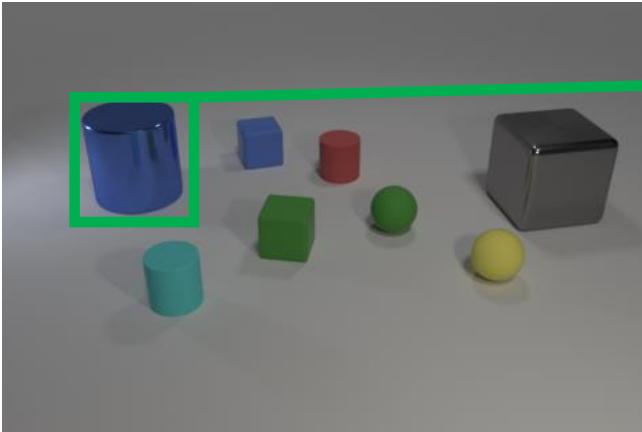
What color is the thing with the same size as the blue cylinder?



```
def answer_this_question(image):
    object_1 = find(image, 'blue cylinder')
    object_2 = compare(object_1, 'size')
    answer = describe(object_2, 'color')
    return answer
```

# Compositional Inference with Modules

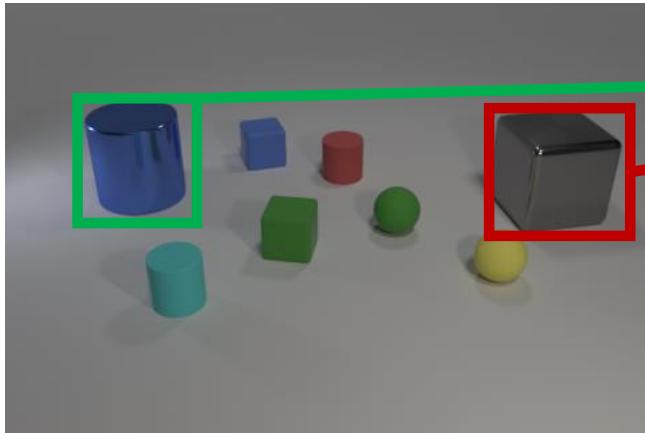
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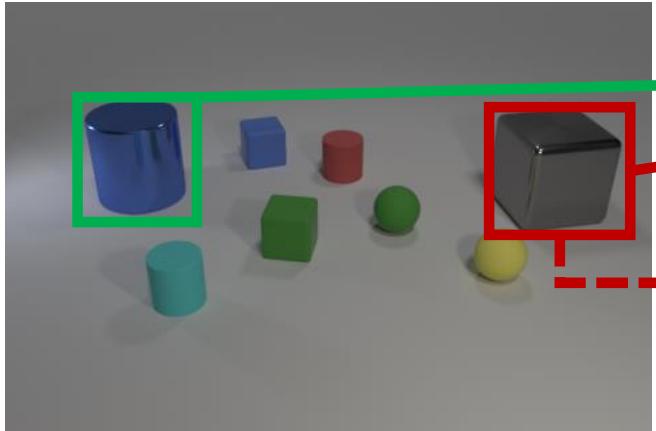
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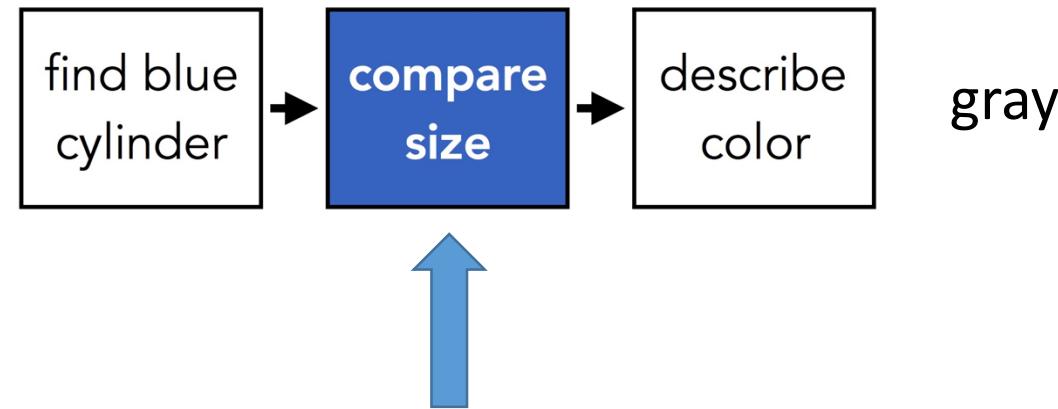
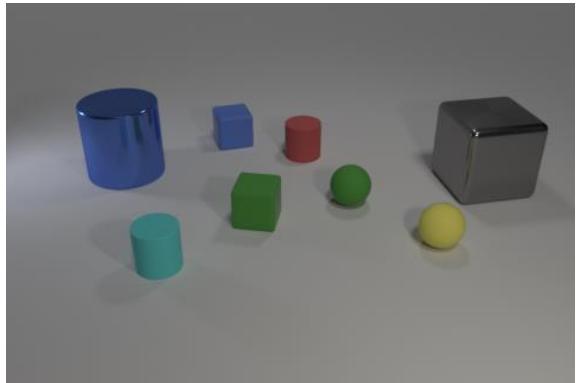
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    return answer
```

output answer: “gray”

- Predict a discrete *execution graph* of modules to answer complex natural language questions

# Neural Module Networks (NMNs)

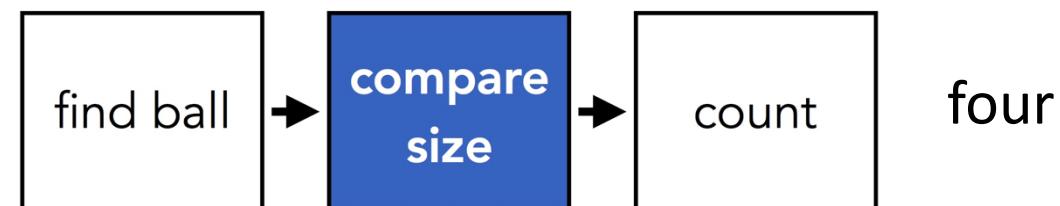
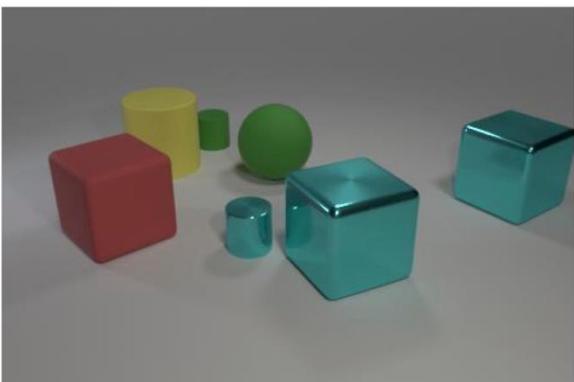
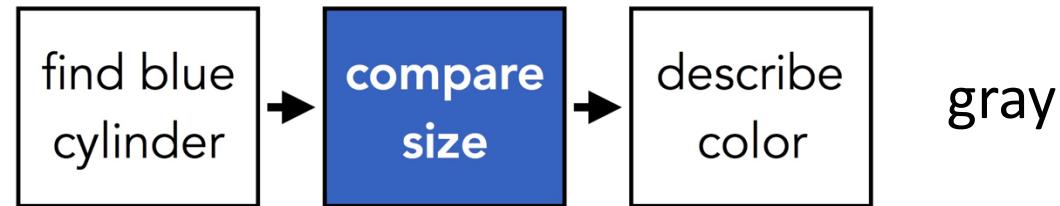
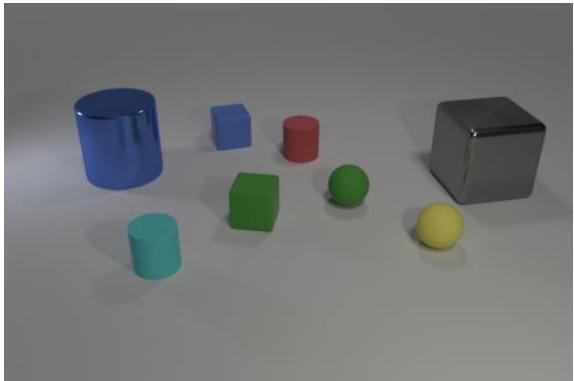
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# Dynamic and Reusable Modules

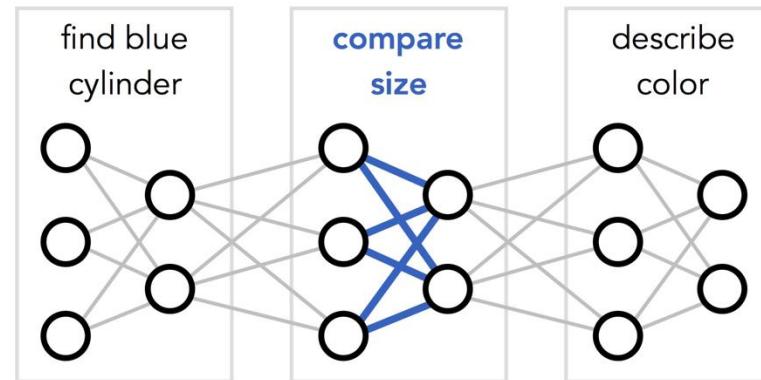
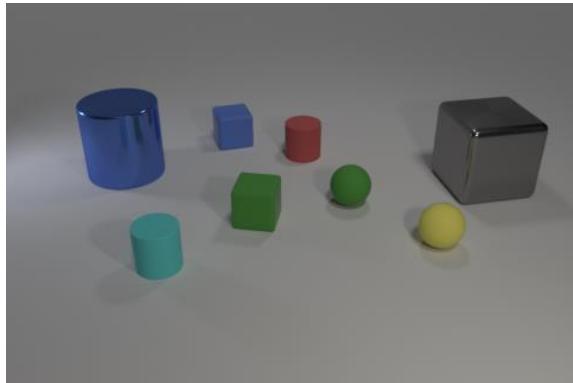
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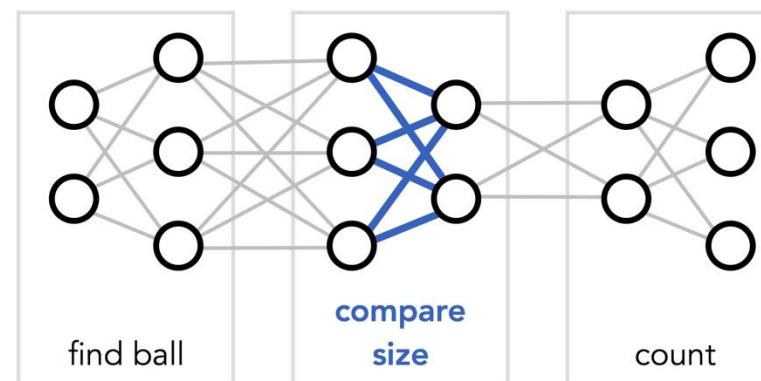
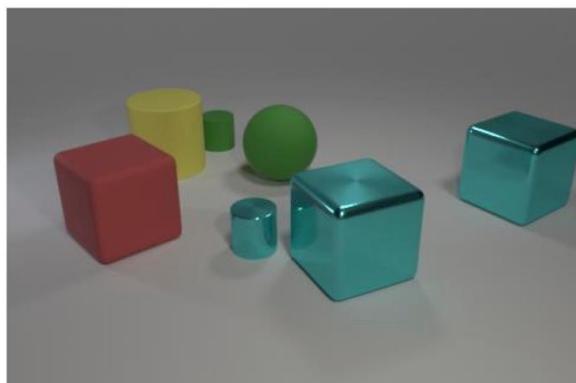
How many things are the same size as the ball?

# Dynamic and Reusable Modules

What color is the thing with the same size as the blue cylinder?



gray

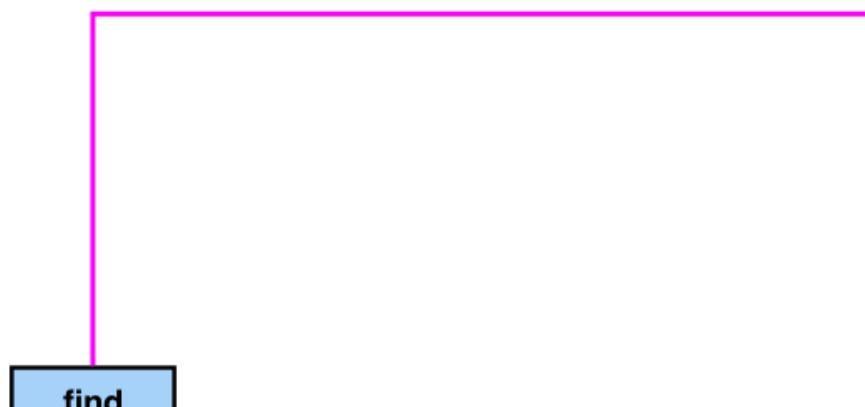
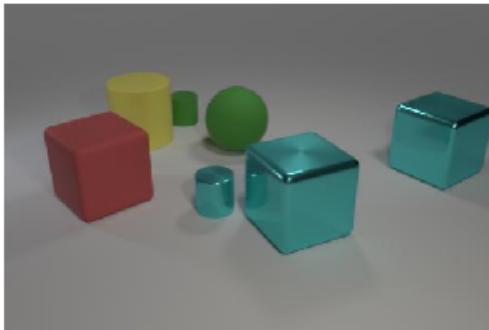


four

How many things are the same size as the ball?

# End-to-End Module Networks (N2NMN)

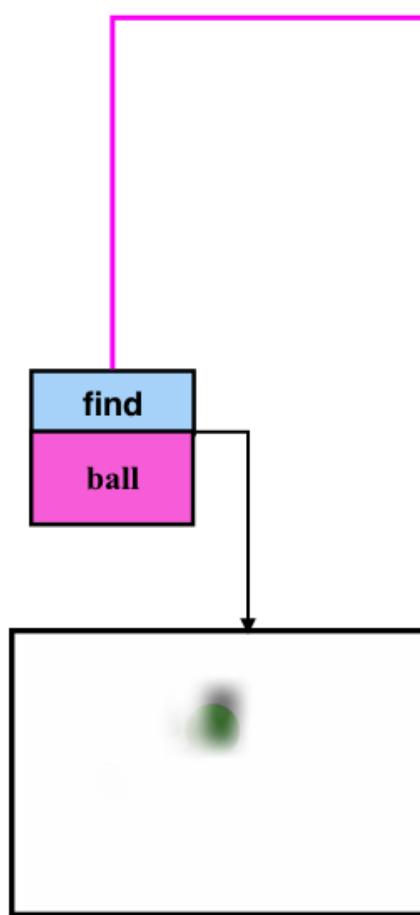
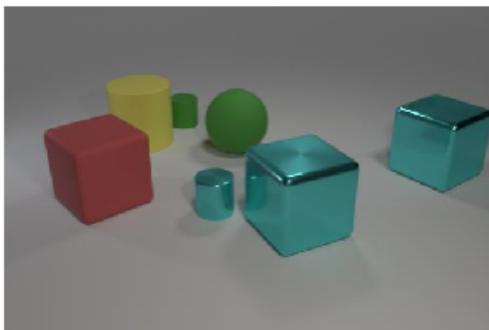
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four

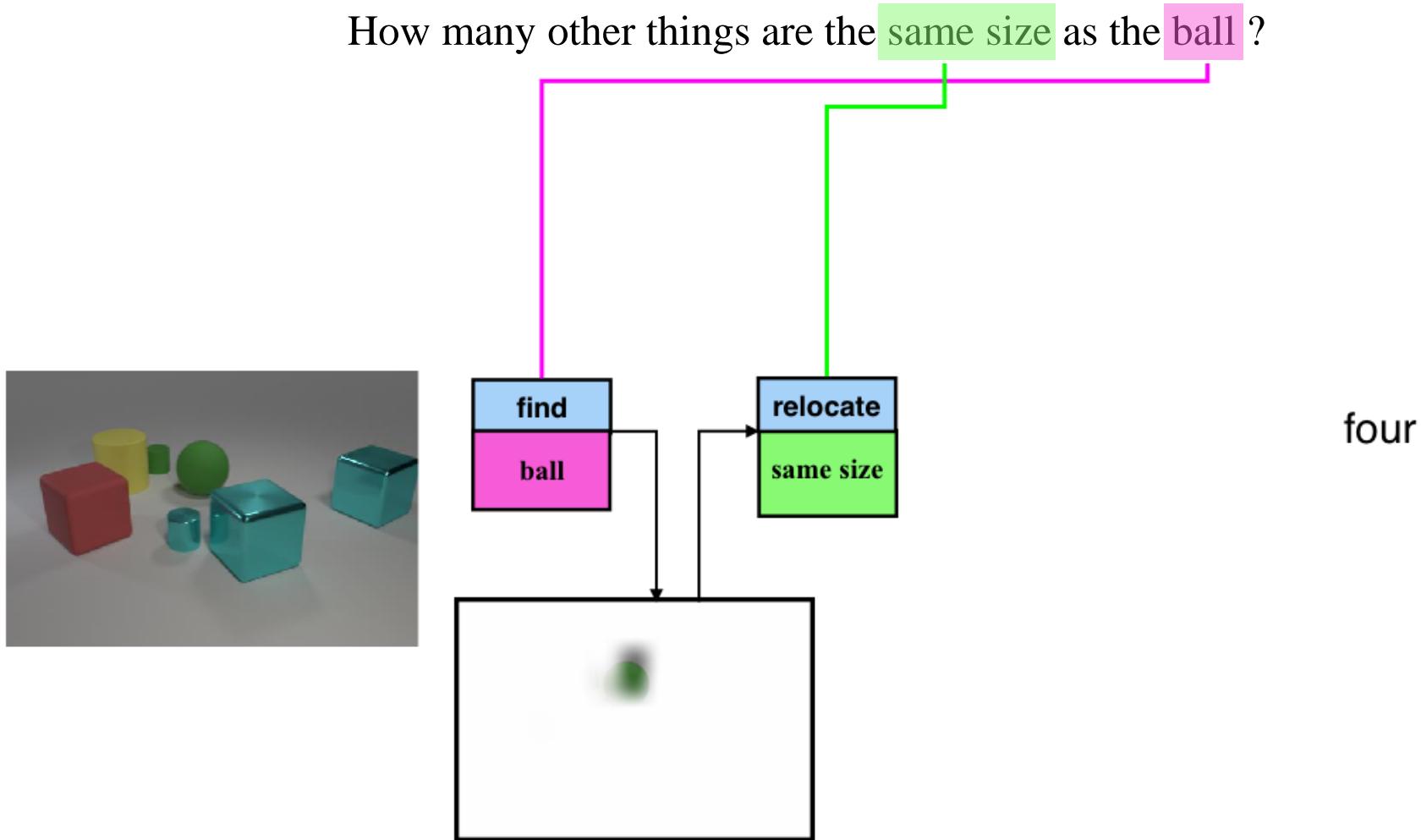
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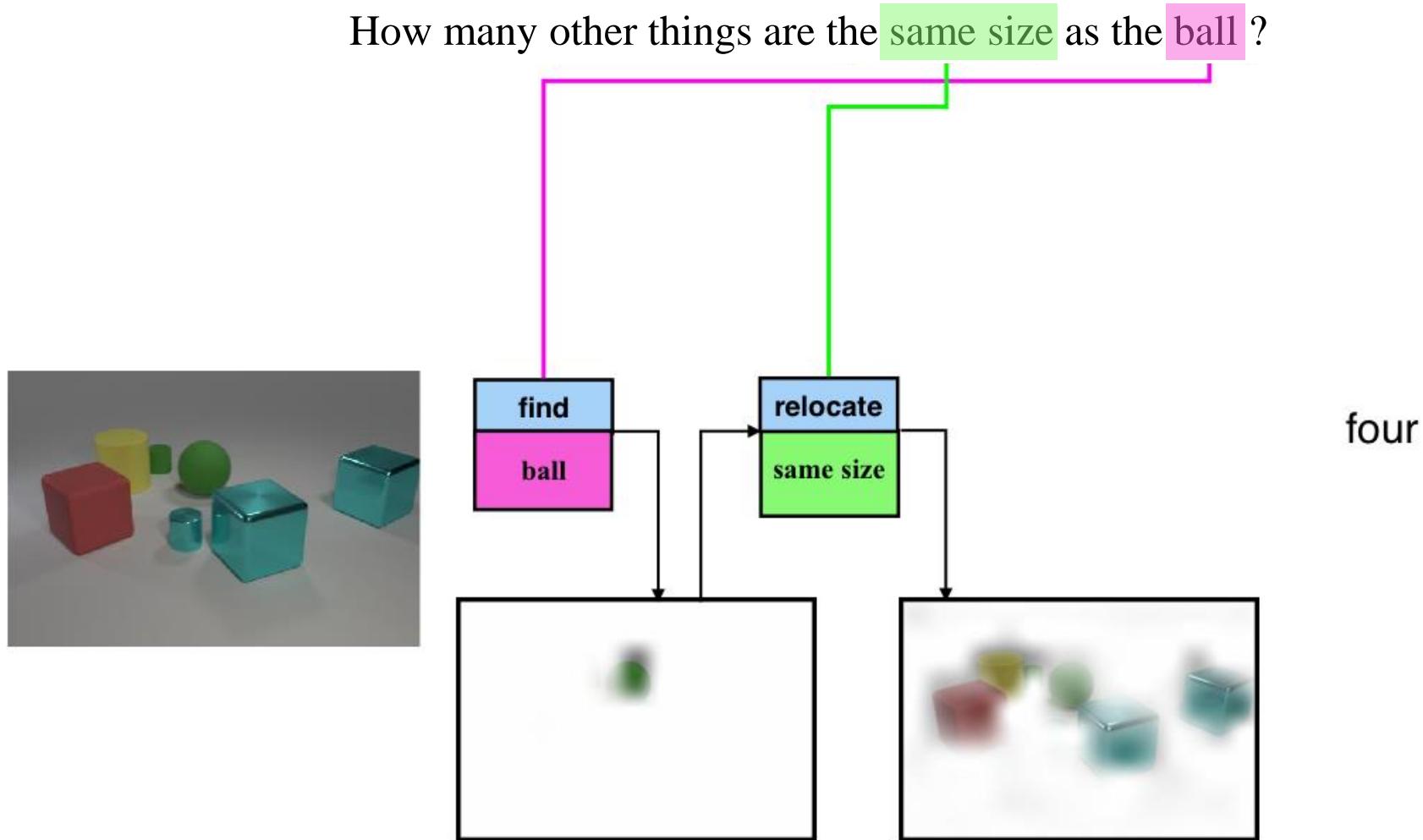


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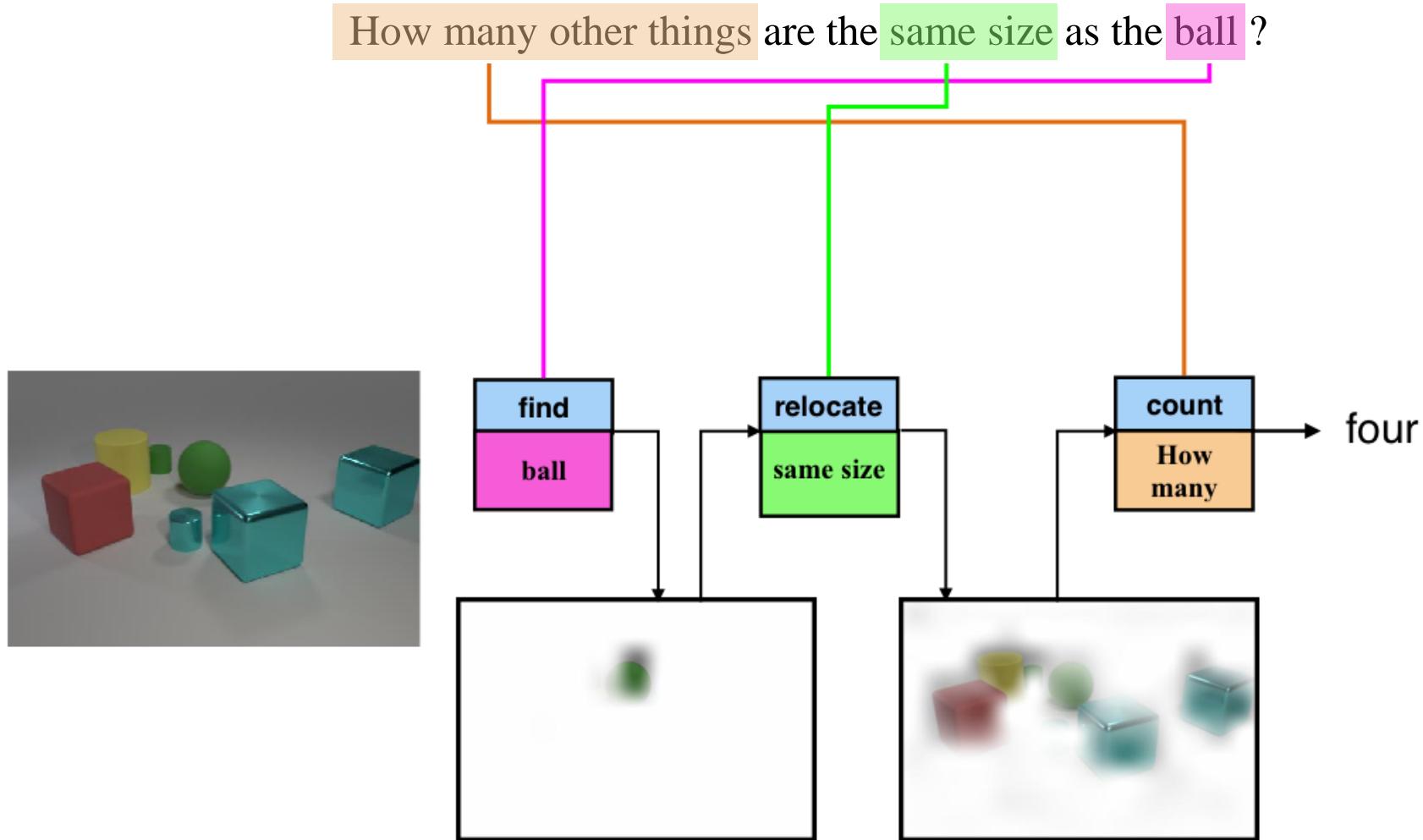
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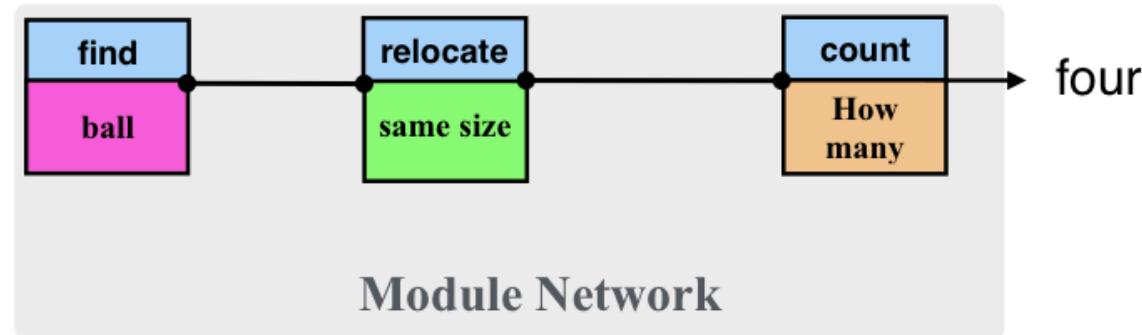
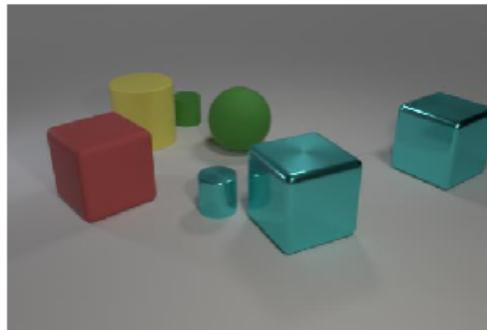


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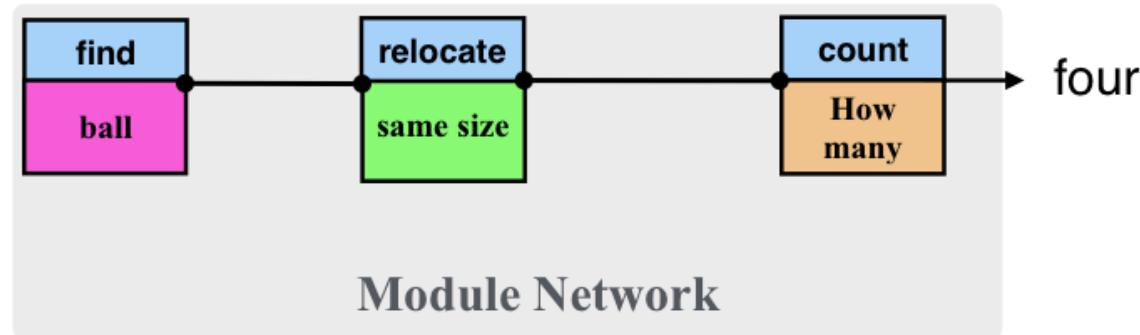
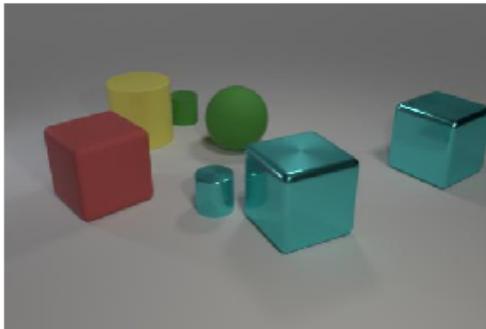
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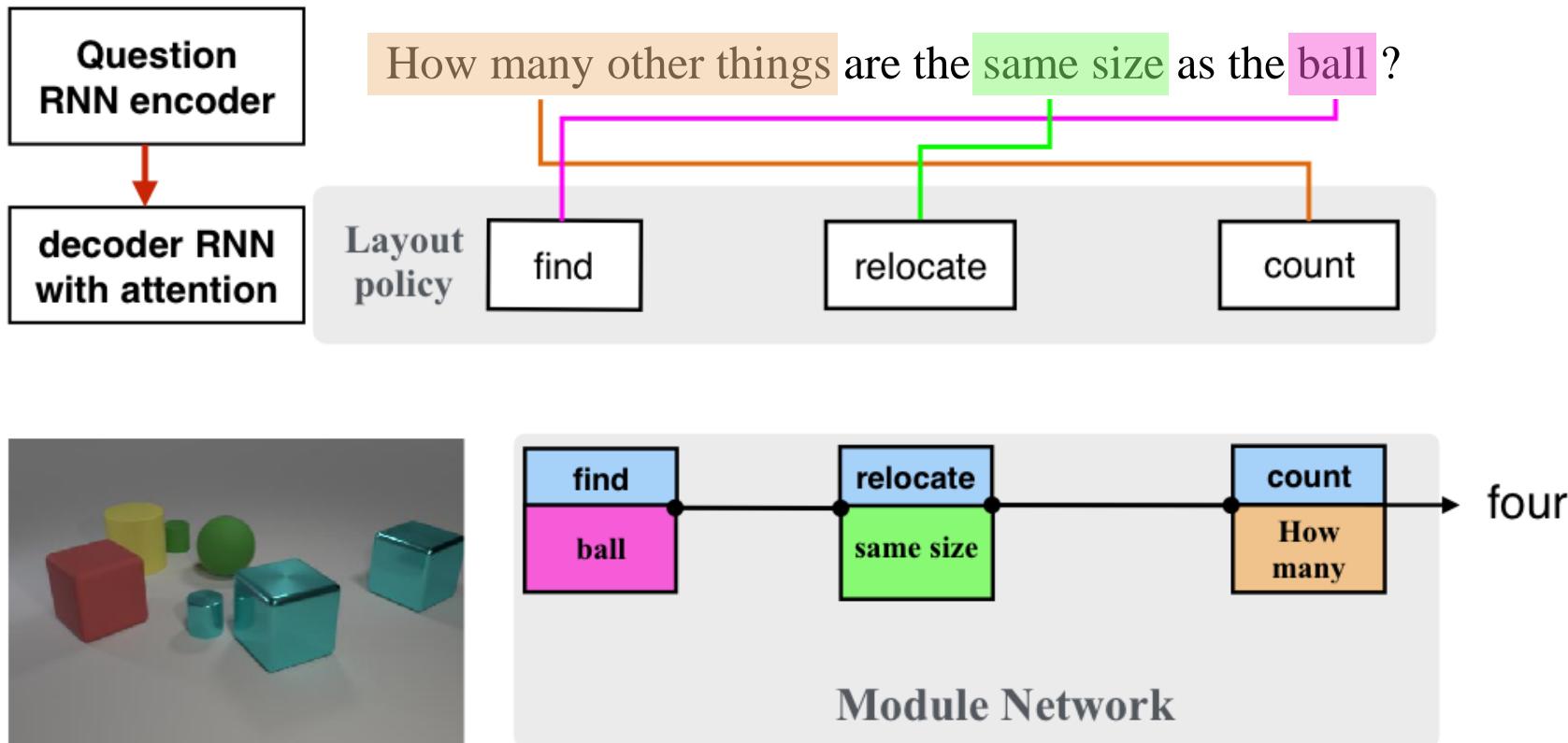
# End-to-End Module Networks (N2NMN)

Question  
RNN encoder

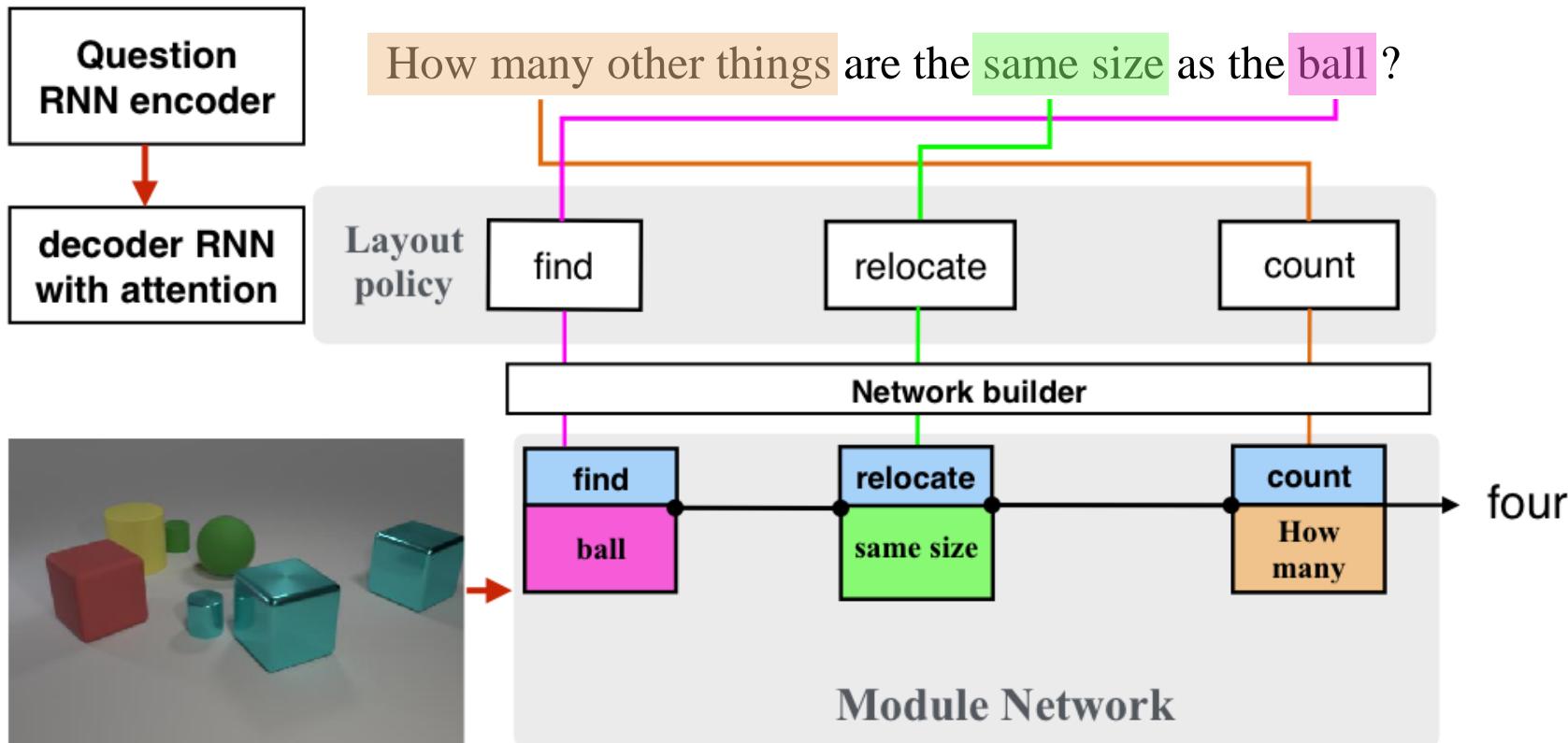
How many other things are the same size as the ball ?



# End-to-End Module Networks (N2NMN)

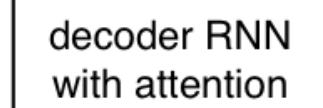
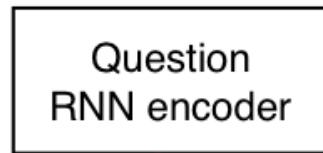


# End-to-End Module Networks (N2NMN)



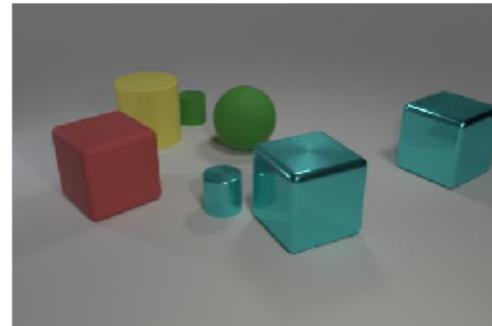
# End-to-End Module Networks (N2NMN)

**Layout policy:**  
*what* tasks to do

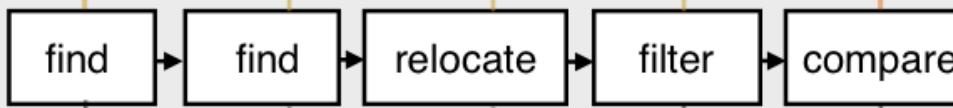


There is a shiny object that is right of the gray metallic cylinder;  
does it have the same size as the large rubber sphere?

**Neural modules:**  
*how* to do them

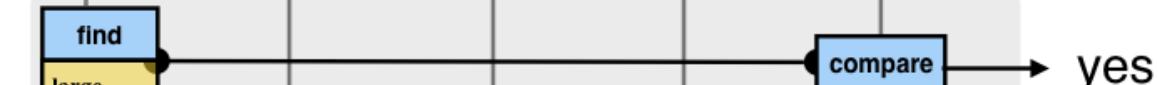


Layout  
policy



Network builder

Module Network



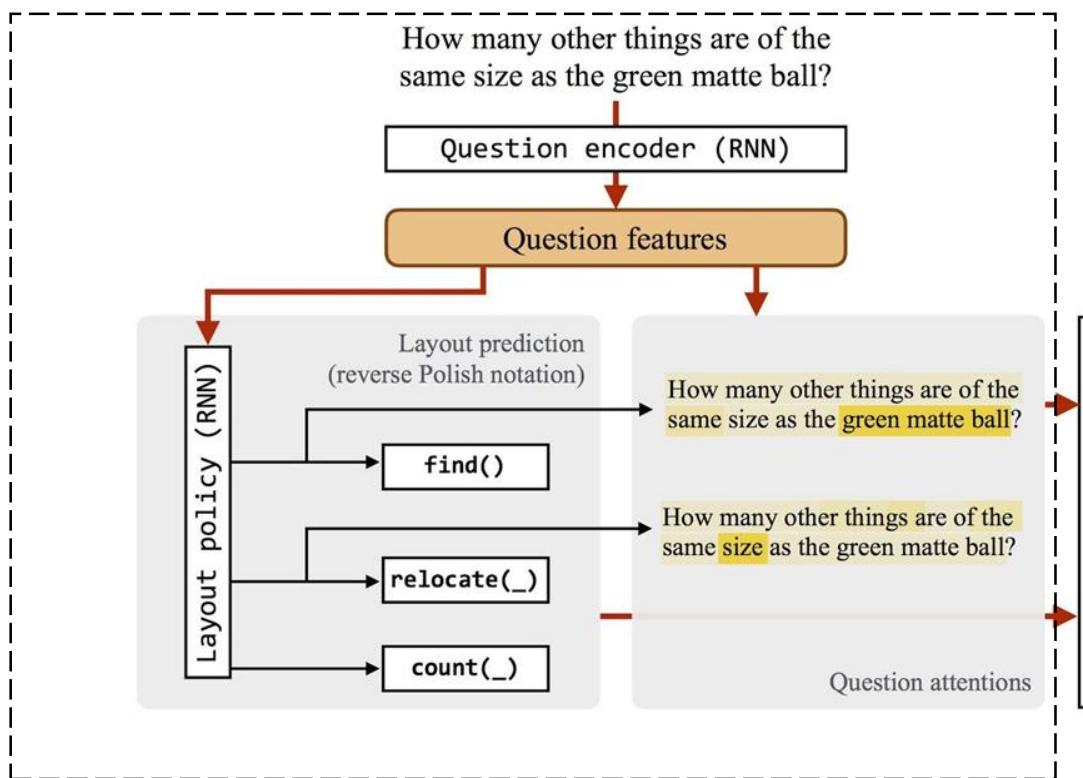
*In this work, we simultaneously learn “*what*” and “*how*” end-to-end*

# Overview of N2NMN

**Layout policy:** *what* tasks to do

question -> seq2seq RNN -> module layout

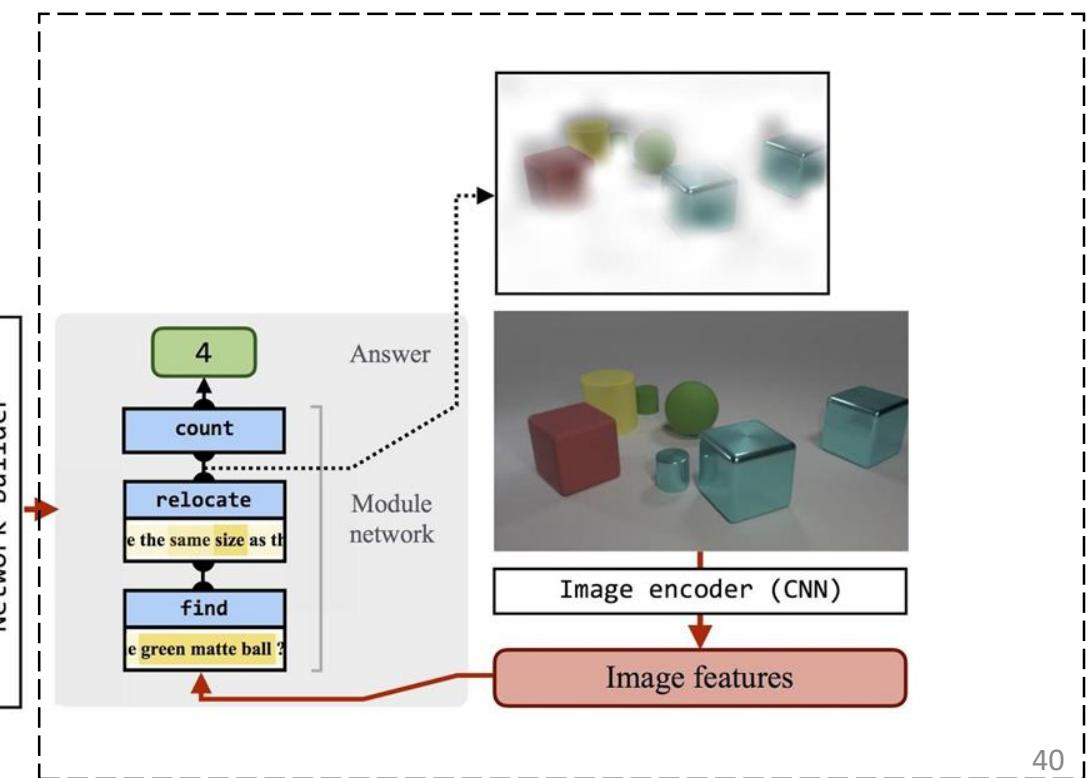
layout = [Find, Relocate, Count]



**Neural modules:** *how* to do them

layout -> dynamic networks -> answer

answer = "4"



# Layout Policy: Question -> Dynamic Networks

Question

*“How many other things are of the same size as the green matte ball?”*



Layout tokens

*Translate questions to layout tokens (similar to machine translation)*

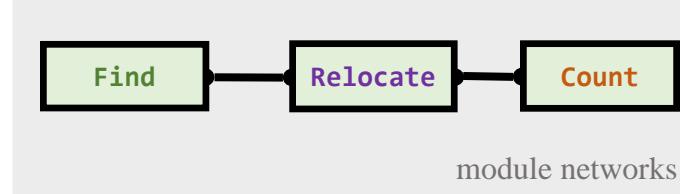
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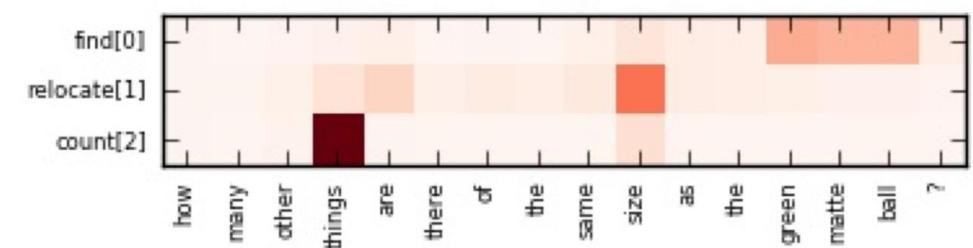
Module layout

assemble dynamic networks

count(relocate(find( )))



Textual Attention in seq2seq RNNs

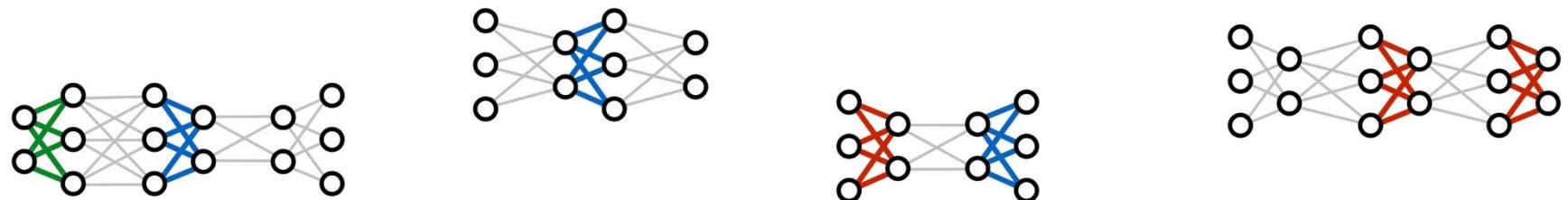


# Module Networks

- Modules can be added as needed for a given problem

Module name	Att-inputs	Features	Output	Implementation details
find	(none)	$x_{vis}, x_{txt}$	att	$a_{out} = \text{conv}_2(\text{conv}_1(x_{vis}) \odot Wx_{txt})$
relocate	$a$	$x_{vis}, x_{txt}$	att	$a_{out} = \text{conv}_2(\text{conv}_1(x_{vis}) \odot W_1\text{sum}(a \odot x_{vis}) \odot W_2x_{txt})$
and	$a_1, a_2$	(none)	att	$a_{out} = \text{minimum}(a_1, a_2)$
or	$a_1, a_2$	(none)	att	$a_{out} = \text{maximum}(a_1, a_2)$
filter	$a$	$x_{vis}, x_{txt}$	att	$a_{out} = \text{and}(a, \text{find}[x_{vis}, x_{txt}]())$ , i.e. reusing find and and
[exist, count]	$a$	(none)	ans	$y = W^T \text{vec}(a)$
describe	$a$	$x_{vis}, x_{txt}$	ans	$y = W_1^T (W_2\text{sum}(a \odot x_{vis}) \odot W_3x_{txt})$
[eq_count, more, less]	$a_1, a_2$	(none)	ans	$y = W_1^T \text{vec}(a_1) + W_2^T \text{vec}(a_2)$
compare	$a_1, a_2$	$x_{vis}, x_{txt}$	ans	$y = W_1^T (W_2\text{sum}(a_1 \odot x_{vis}) \odot W_3\text{sum}(a_2 \odot x_{vis}) \odot W_4x_{txt})$

- Modules are dynamically assembled into networks on-the-fly



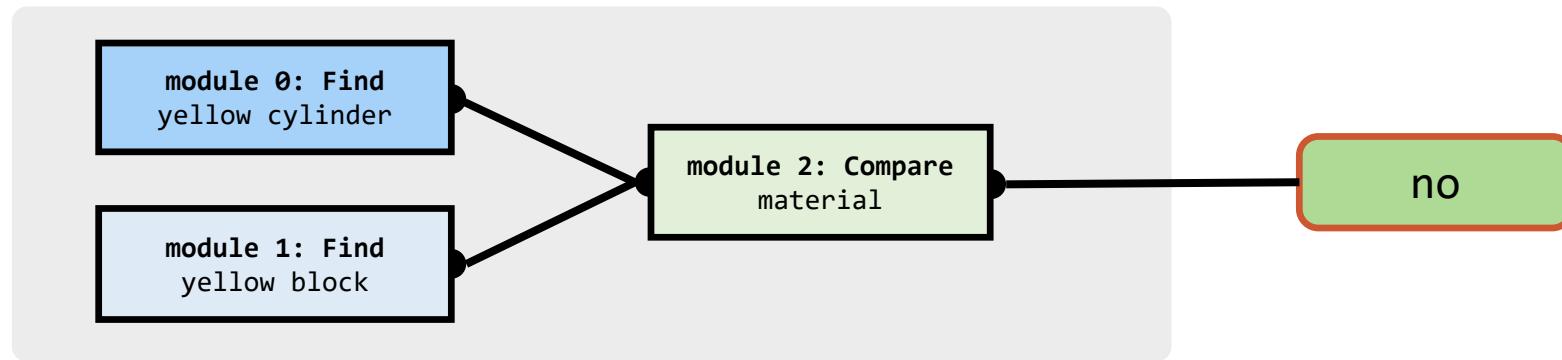
count(relocate(find())) )



module networks

*“How many other things  
are of the same size as the  
green matte ball?”*

# Learning from Expert Layouts



**Stage 1:** train the model to predict the ground-truth (gold) layout with supervised learning (behavioral cloning from expert layouts)

*is the material of the yellow block same as the yellow cylinder?*



layout policy  
(seq2seq RNN)

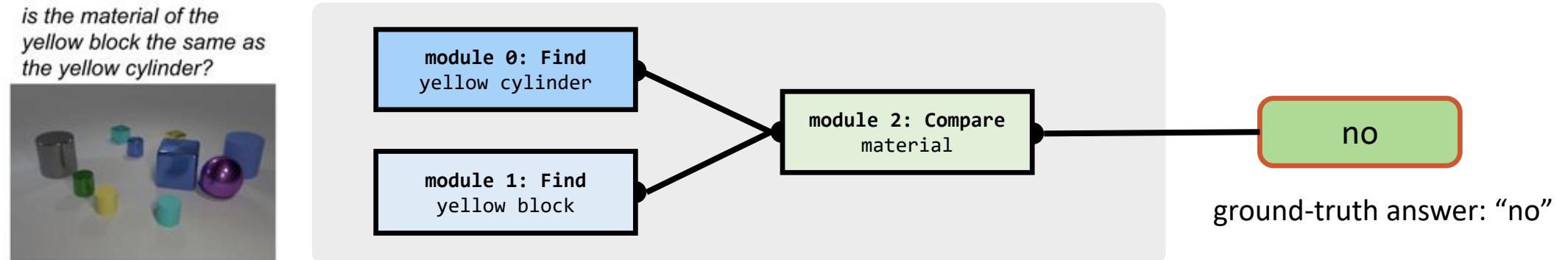


Expert (Gold) Layout: [Find, Find, Compare]

Expert (gold) layout from

- dataset annotations or
- syntactic parsing

# End-to-End Layout Search with Policy Gradients

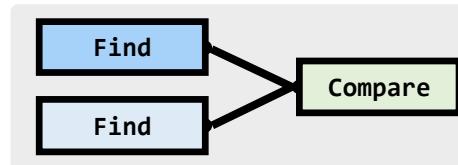


**Stage 2:** sample multiple candidate layouts from the layout policy, and optimize with *policy gradient* (REINFORCE)

layout policy

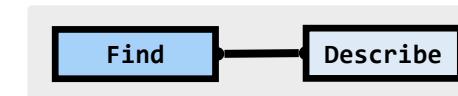


Sampled Layout 1: [Find, Find, Compare]



prediction: "no"  
QA loss = 0.05

Sampled Layout 2: [Find, Describe]



prediction: "yes"  
QA loss = 1.72

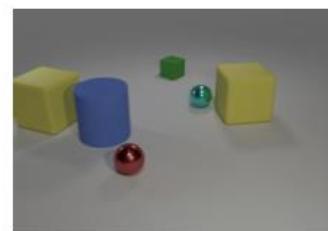
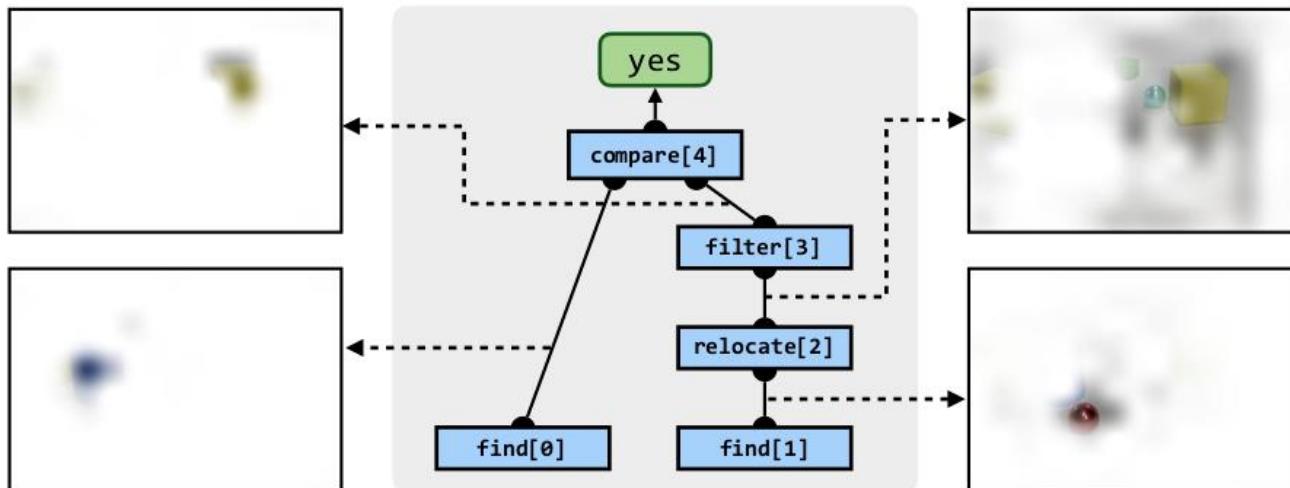
Sampled Layout 3: [Find, Transform, Describe]



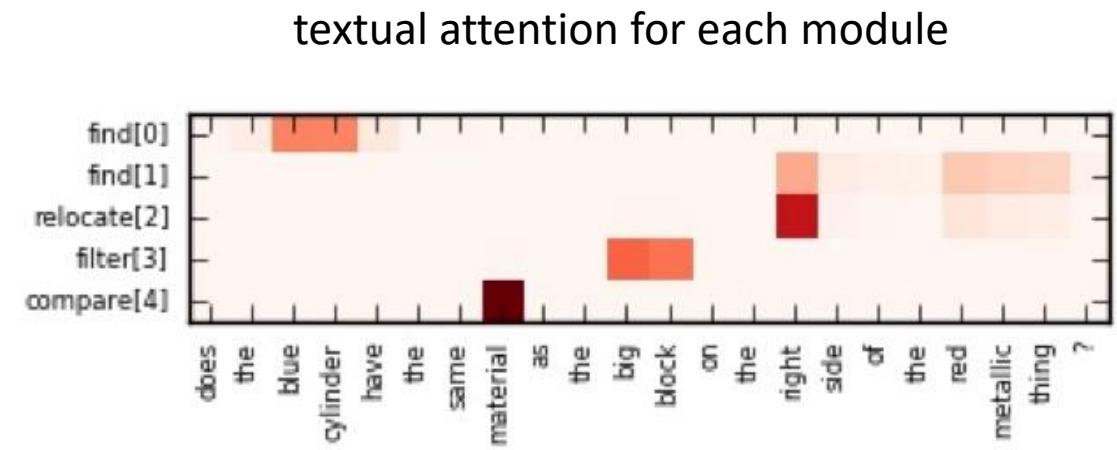
prediction: "no"  
QA loss = 0.08

...

# Qualitative results on the CLEVR dataset (synthetic images)

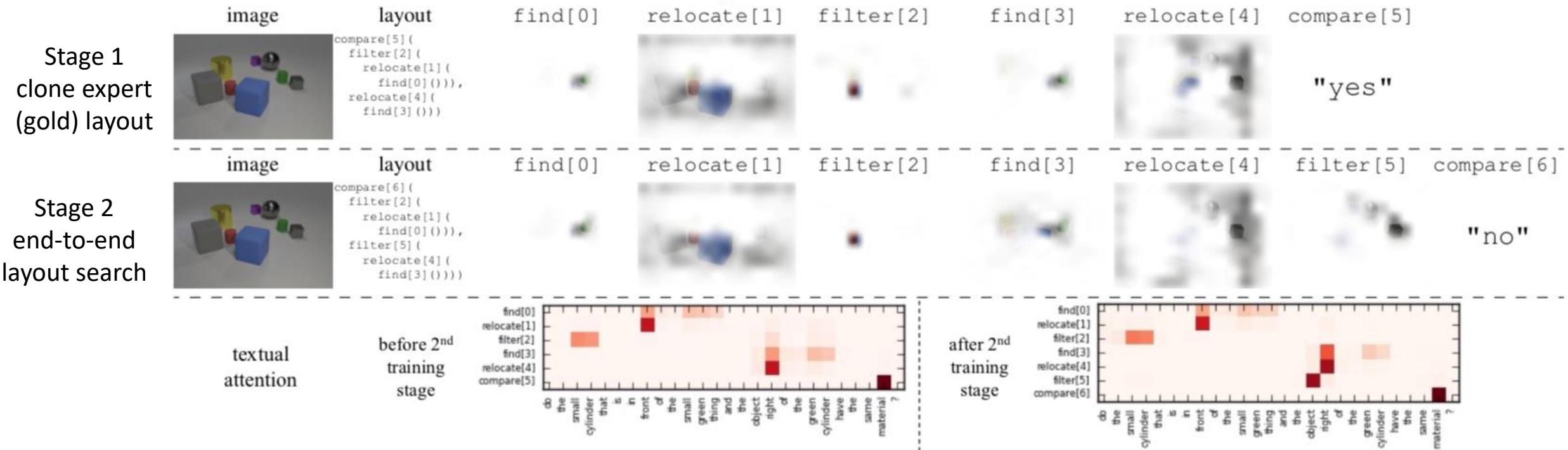


Does the blue cylinder have the same material as the big block on the right side of the red metallic thing?

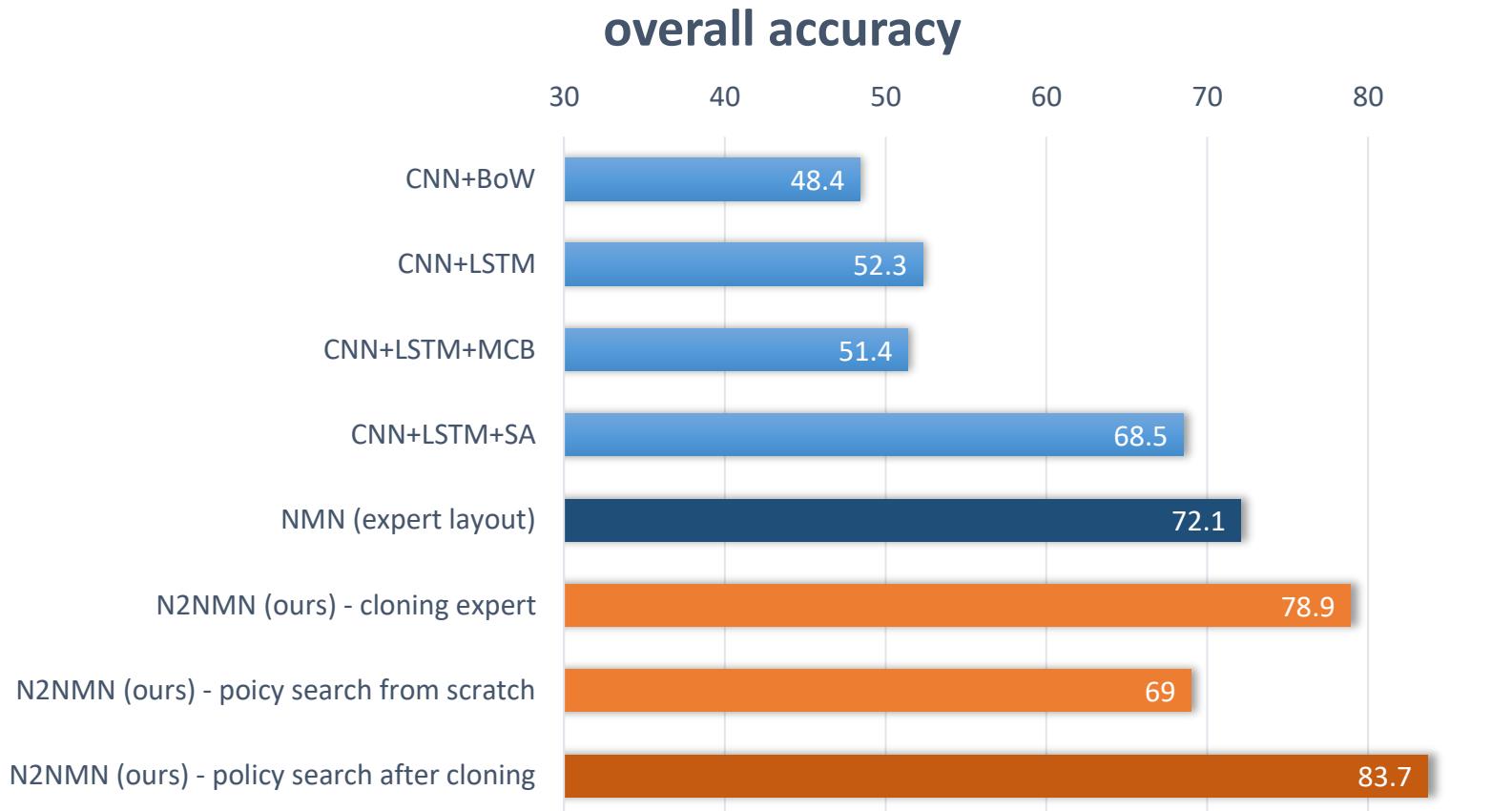


# Qualitative results on the CLEVR dataset (synthetic images)

question: *do the small cylinder that is in front of the small green thing and the object right of the green cylinder have the same material?*  
ground-truth answer: *no*

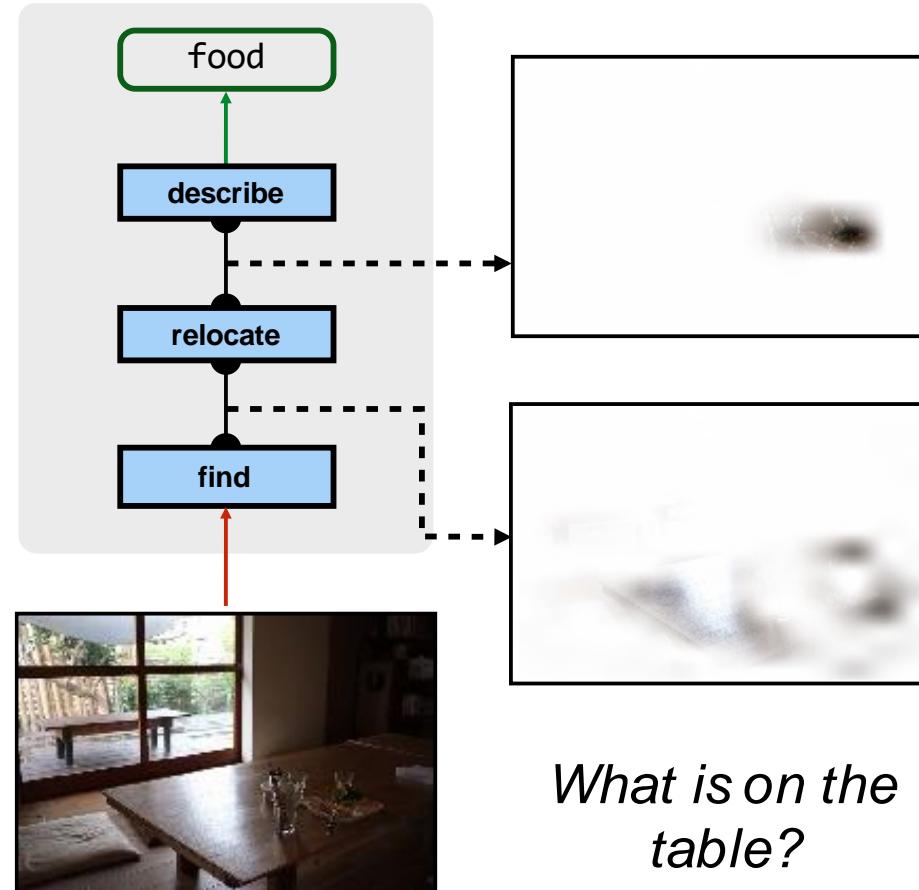


# Quantitative results on the CLEVR dataset (synthetic images)

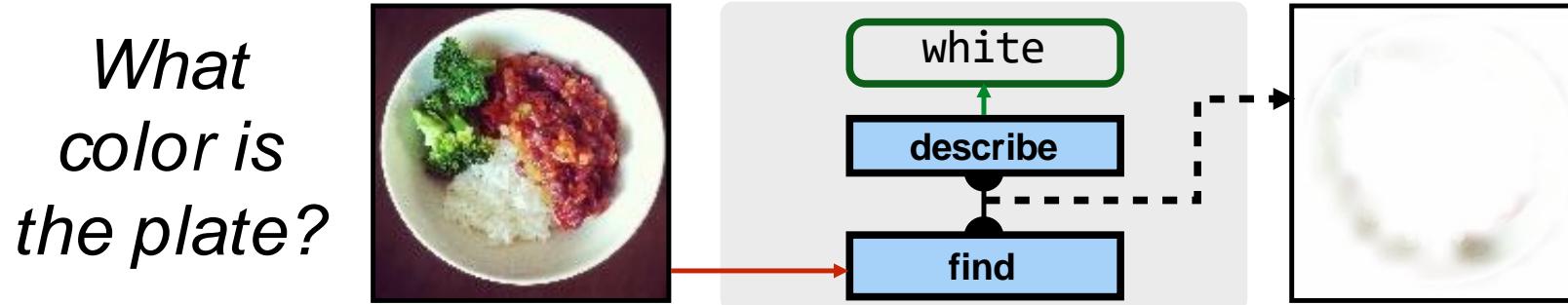


- Superior performance with end-to-end training

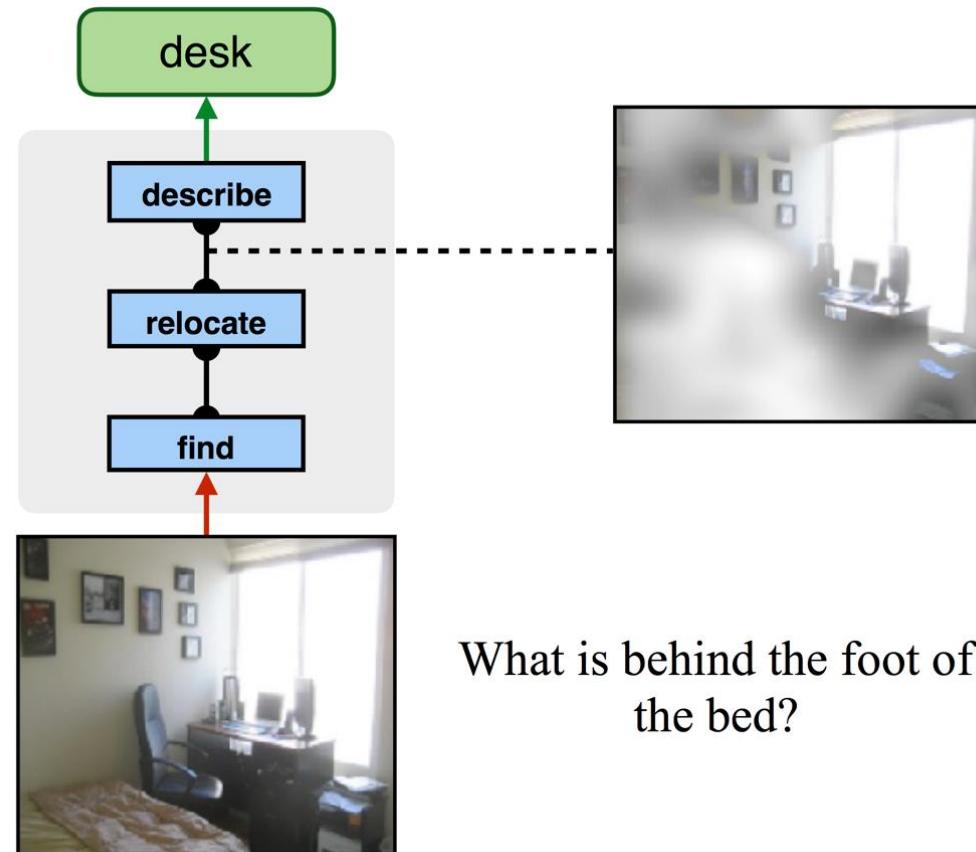
# Qualitative results on the VQA dataset (natural images)



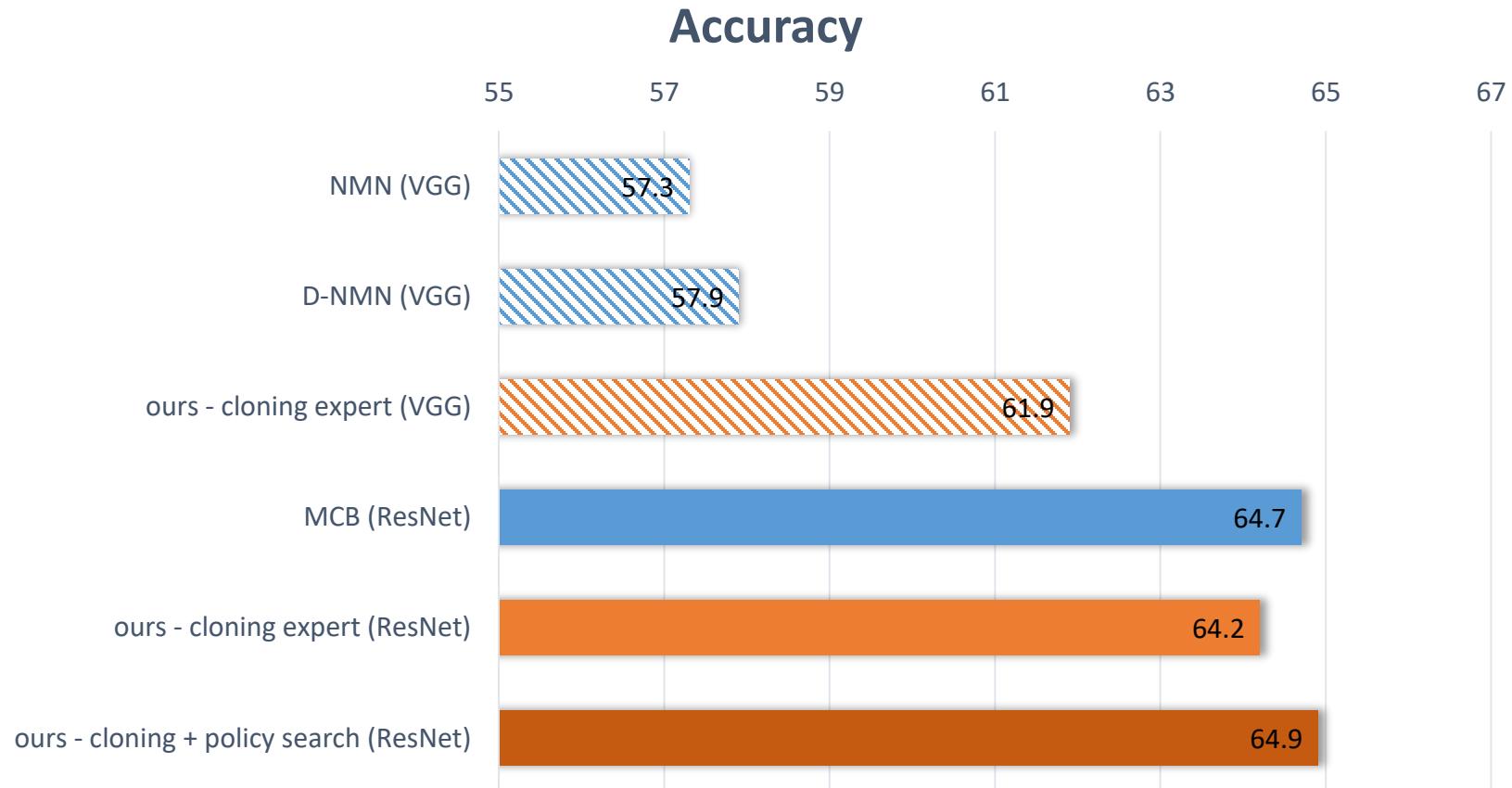
# Qualitative results on the VQA dataset (natural images)



# Qualitative results on the VQA dataset (natural images)



# Quantitative results on the VQA dataset (natural images)

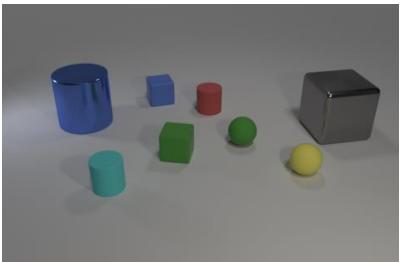


- Works well on real images and questions

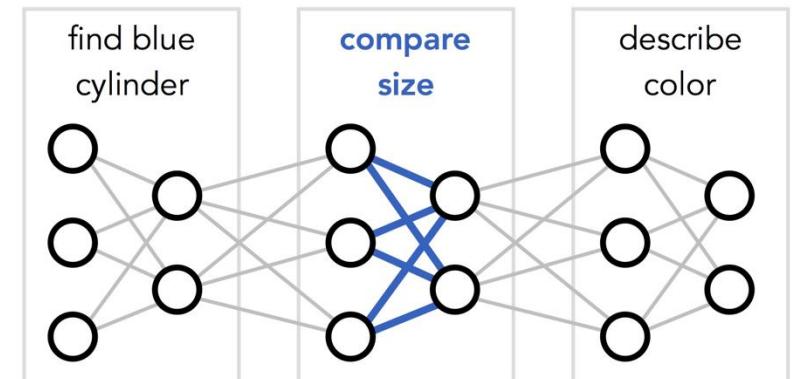
# Summary of N2NMN

- Discrete compositionality with trainable and reusable modules
- Jointly train policy (*what*) and compositional modules (*how*)
- A possible way to bridge neural + symbolic

*What color is the thing with the same size as the blue cylinder?*



```
def answer_this_question(image):
    object_1 = find(image, 'blue cylinder')
    object_2 = compare(object_1, 'size')
    answer = describe(object_2, 'color')
    return answer
```



output answer: "gray"

# Fine-grained Textual Explanations

Trevor Darrell  
UC Berkeley

With **Lisa Anne Hendricks**, Zeynep Akata, Ronghang Hu,  
Bernt Schiele, Marcus Rohrbach, ...



# Cardinal

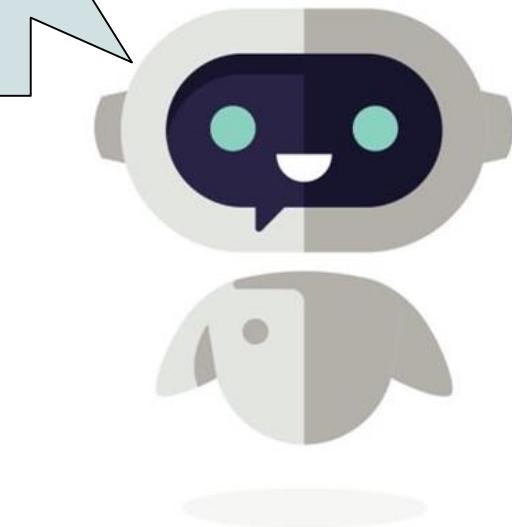




What type of bird is this?



It is a **Cardinal** because it is a **red bird** with a **red beak** and a **black face**



# Explanations: Generating Natural Language Explanations of Visual Decisions

## Explanations



This is a ***White Necked Raven*** because it is a black bird with a white nape and a large beak.

Hendricks et al. Generating Visual Explanations. ECCV 2016.

Park, Hendricks et al. Multimodal Explanations: Justifying Decisions and Pointing to the Evidence. CVPR 2017.

Hendricks et al. Generating Counterfactual Explanations with Natural Language. ICML Workshops 2018.

Hendricks et al. Grounding Visual Explanations. ECCV 2018.

# Fine-grained Explanations

## Fine-grained Explanations

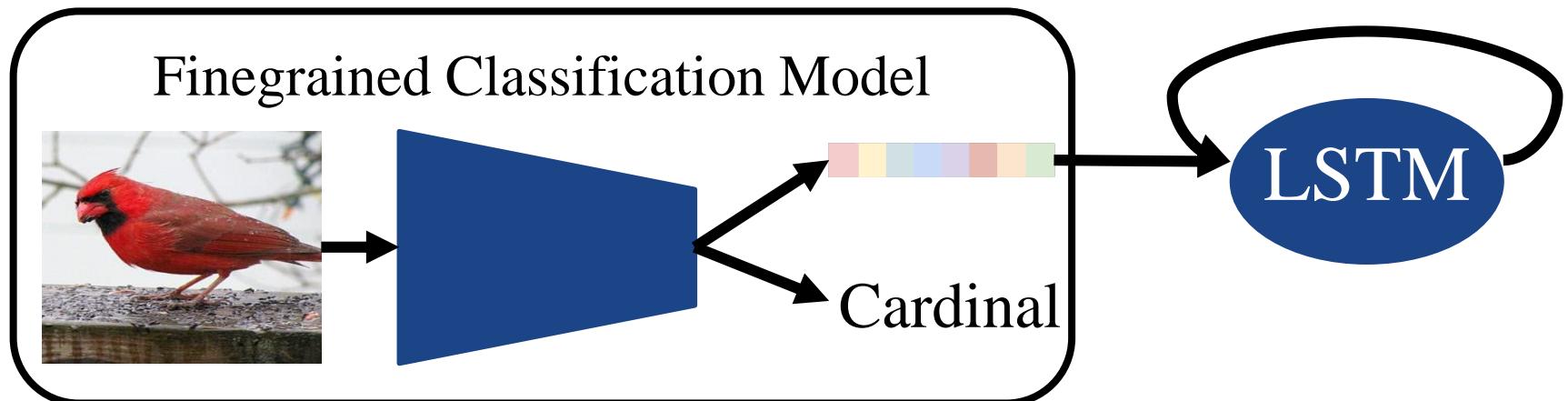
### Finegrained Classification Model



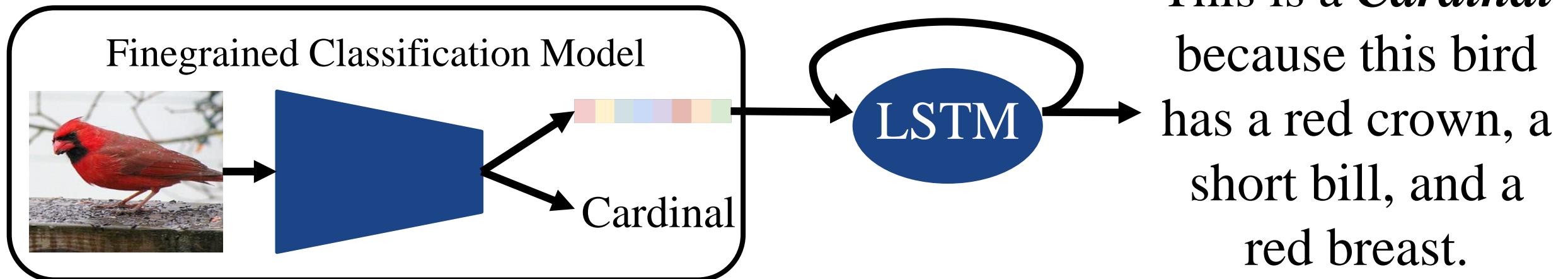
Deep Model

Label:  
Cardinal

# Fine-grained Explanations



# Fine-grained Explanations



Descriptions from: Reed et al. Learning deep representations of finegrained visual descriptions. CVPR 2016.

## Fine-grained Explanations



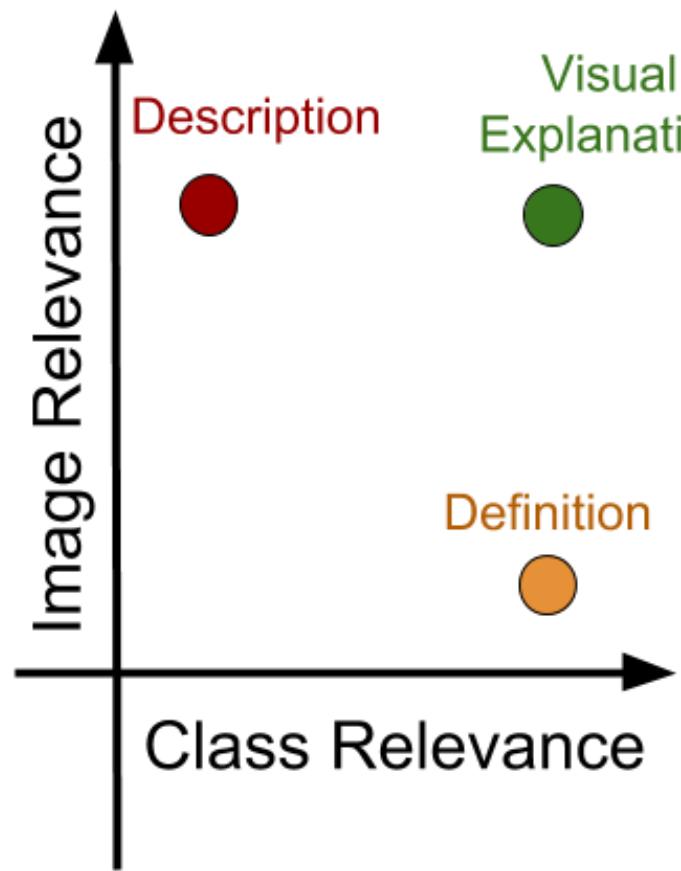
This is a *Cardinal* because this bird has a red crown, a short bill, and a red breast.

## Fine-grained Explanations



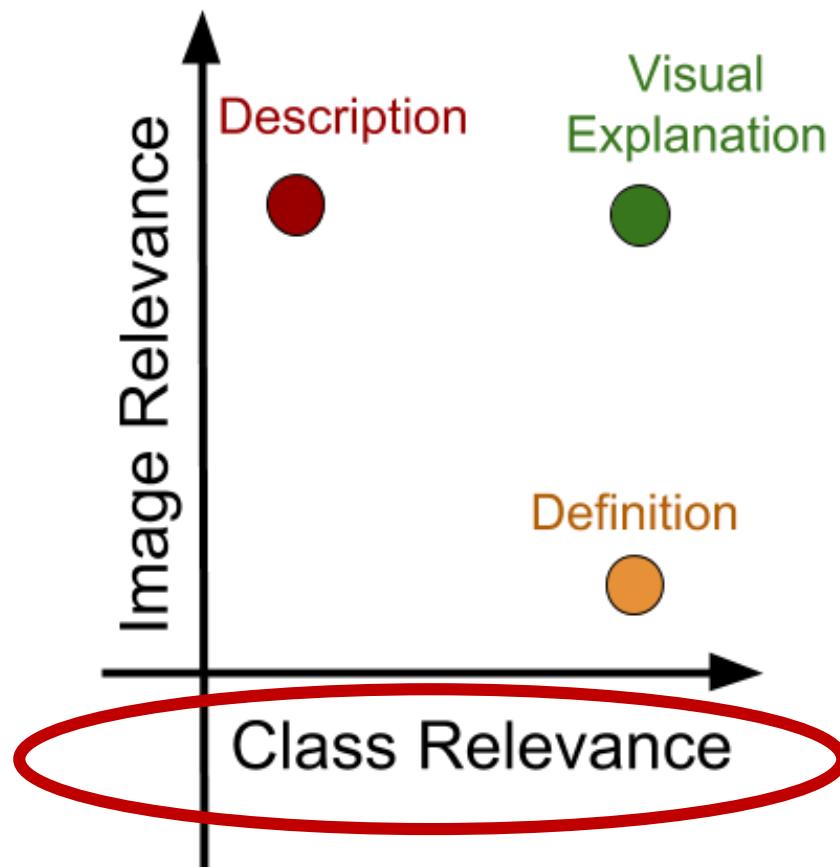
This is a *Cardinal* because this bird has a red crown, a short bill, and a red breast.

# What makes a good visual explanation?



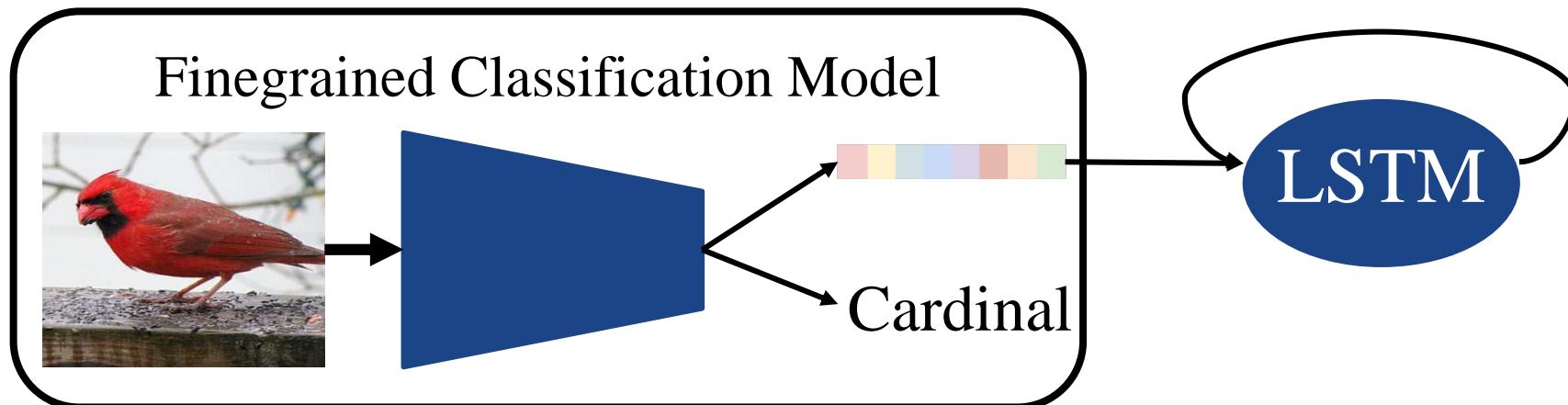
This is a *Cardinal* because this bird has a red crown, a short bill, and a red breast.

# What makes a good visual explanation?

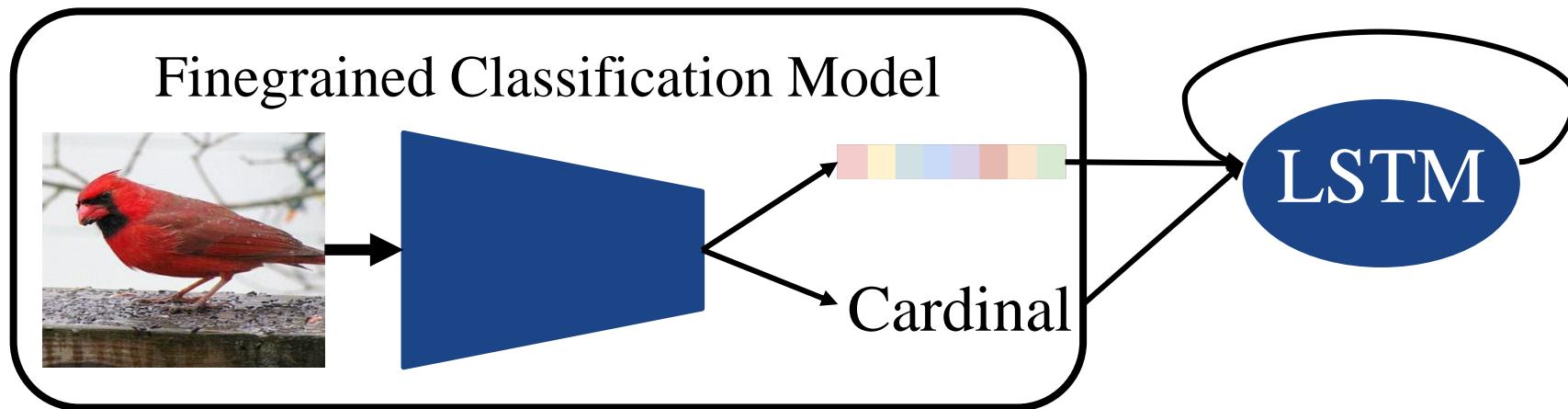


This is a *Cardinal* because this is a red bird with a black face and a red beak.

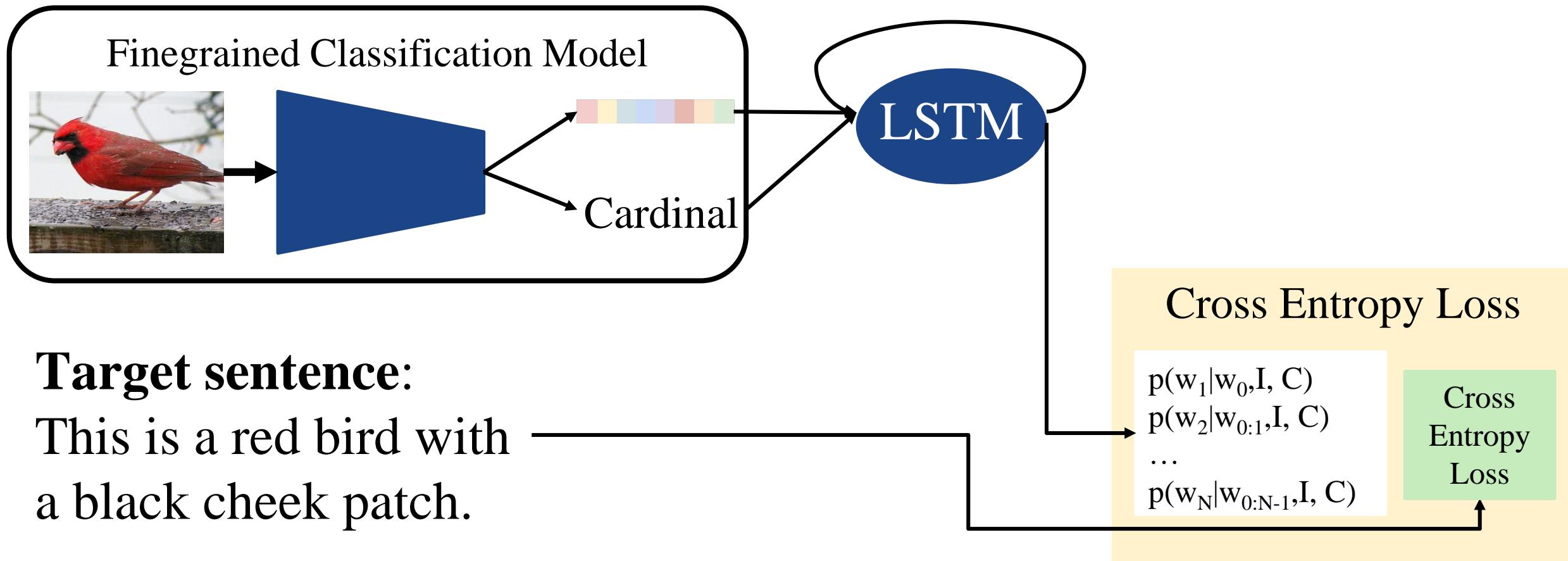
# Visual Explanation Model



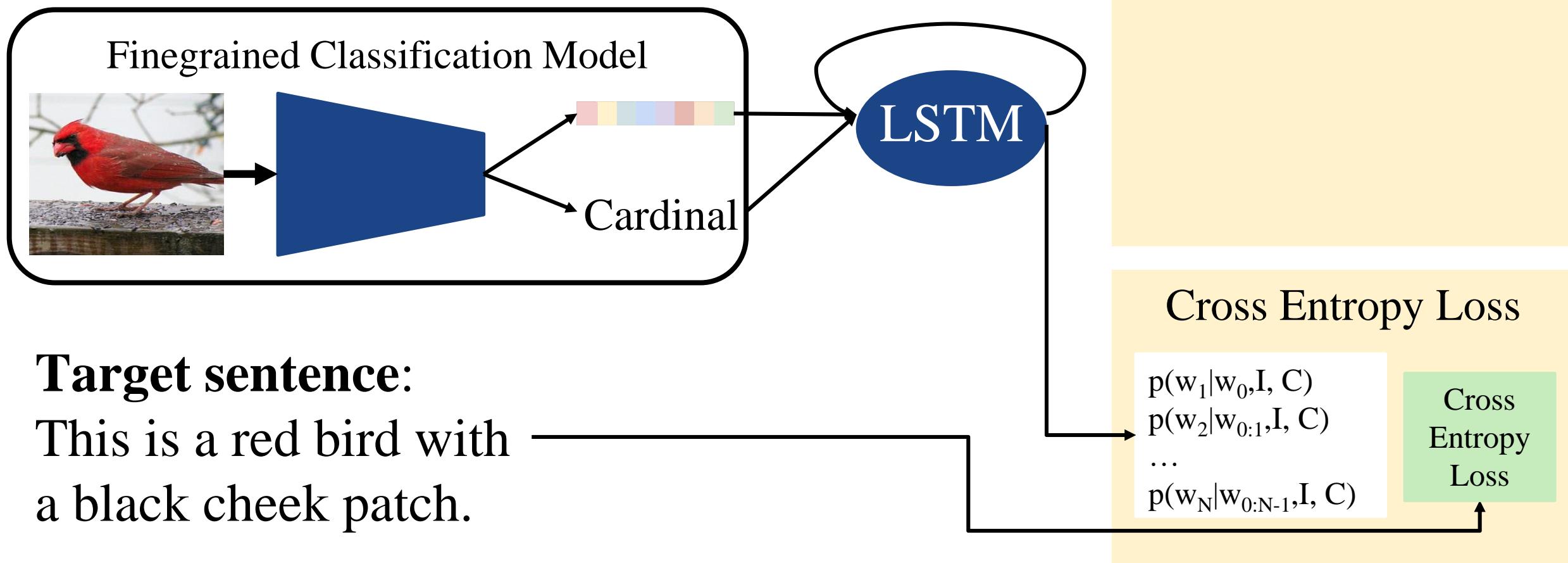
# Visual Explanation Model



# Visual Explanation Model

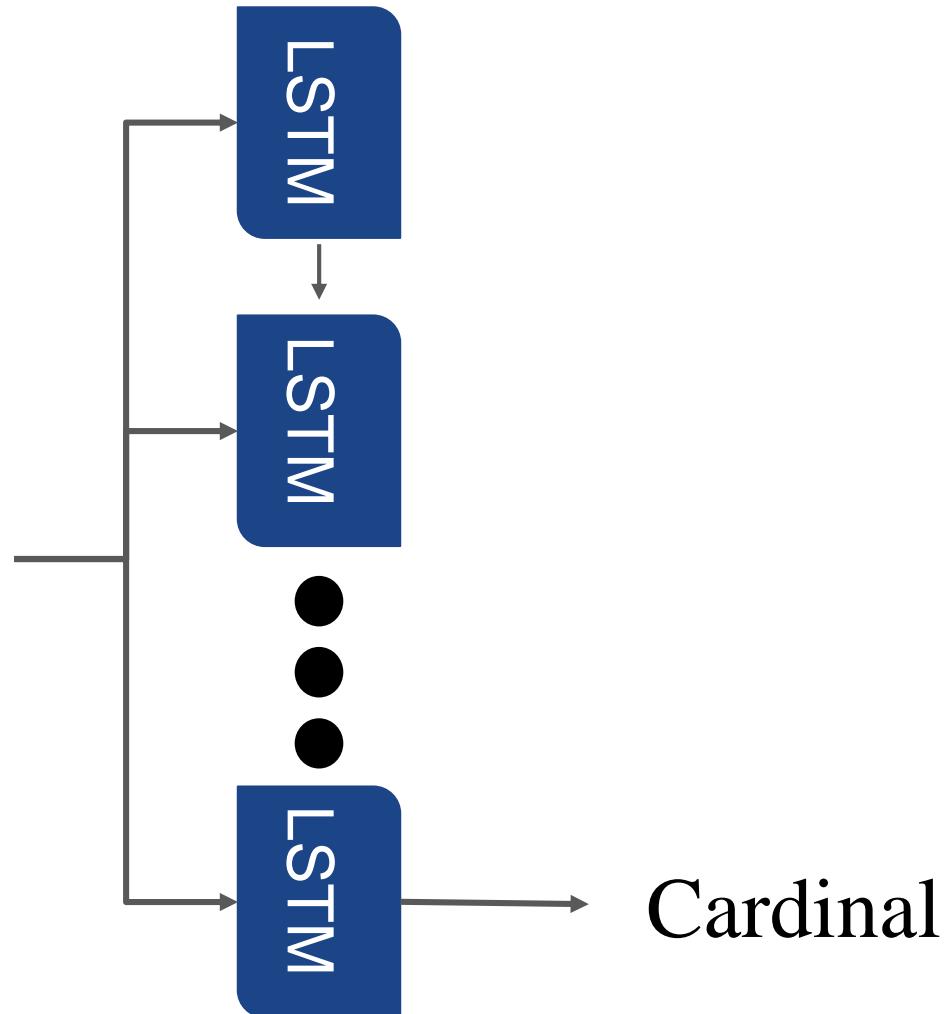


# Visual Explanation Model

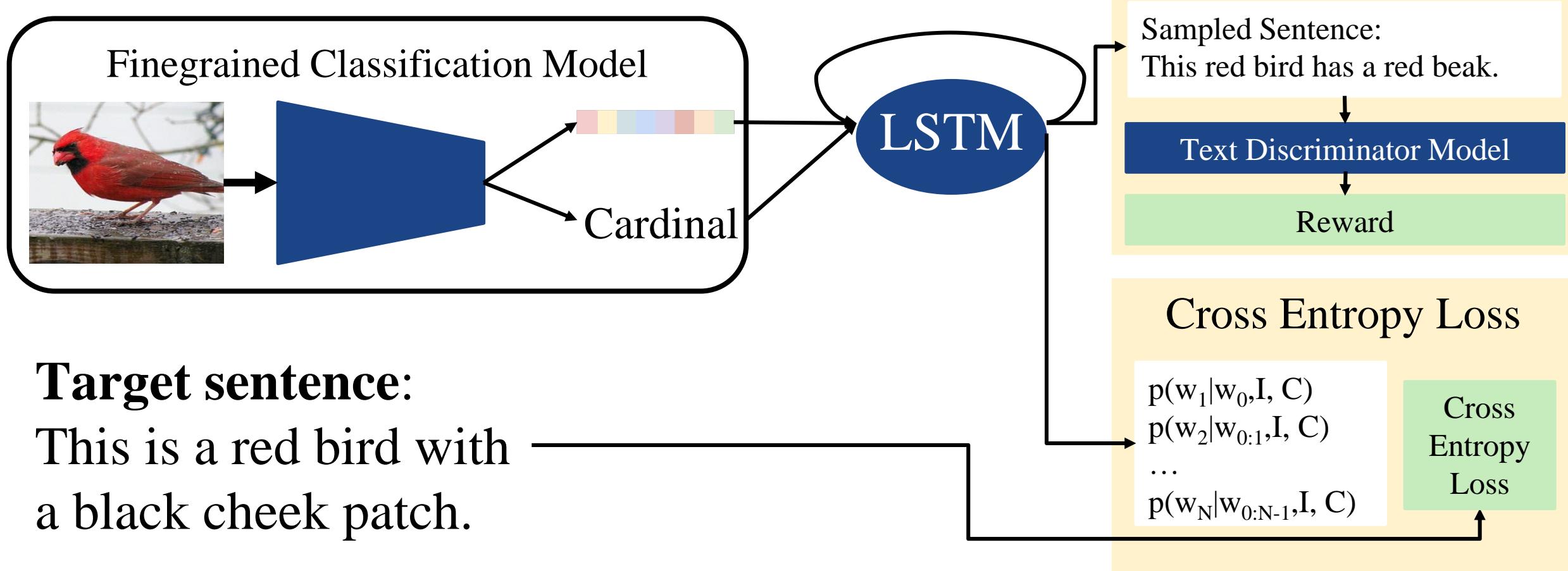


# Text Discriminator Model

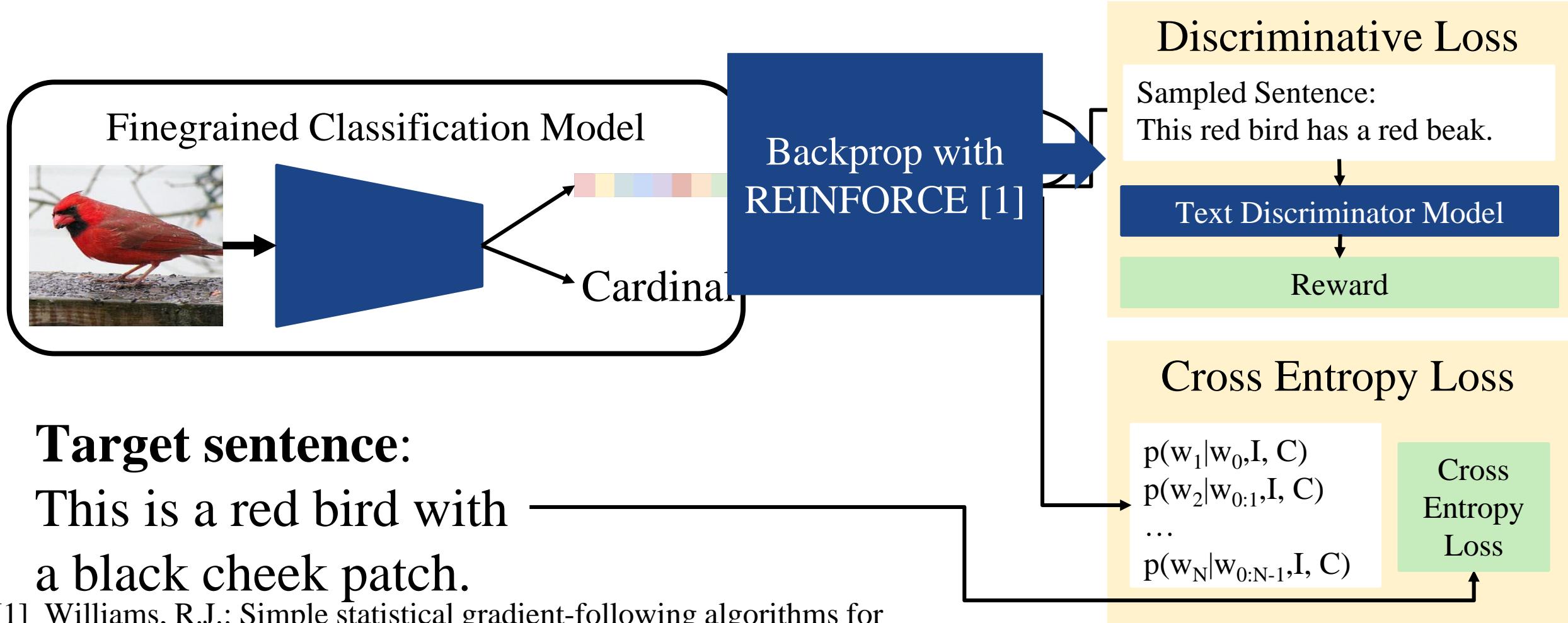
...because this is a red  
bird with a black face  
and a red beak.



# Visual Explanation Model



# Visual Explanation Model





This is a ***White Necked Raven***...

*Description:* because this bird is nearly all black with a short pointy bill.





This is a ***White Necked Raven***...

*Description:* because this bird is nearly all black with a short pointy bill.

*Explanation:* because this is a black bird with a white nape and a large black beak.



# Evaluating Explanations

Choose the image which most closely matches the following text:

... this is a black bird with a white nape and a large black beak.



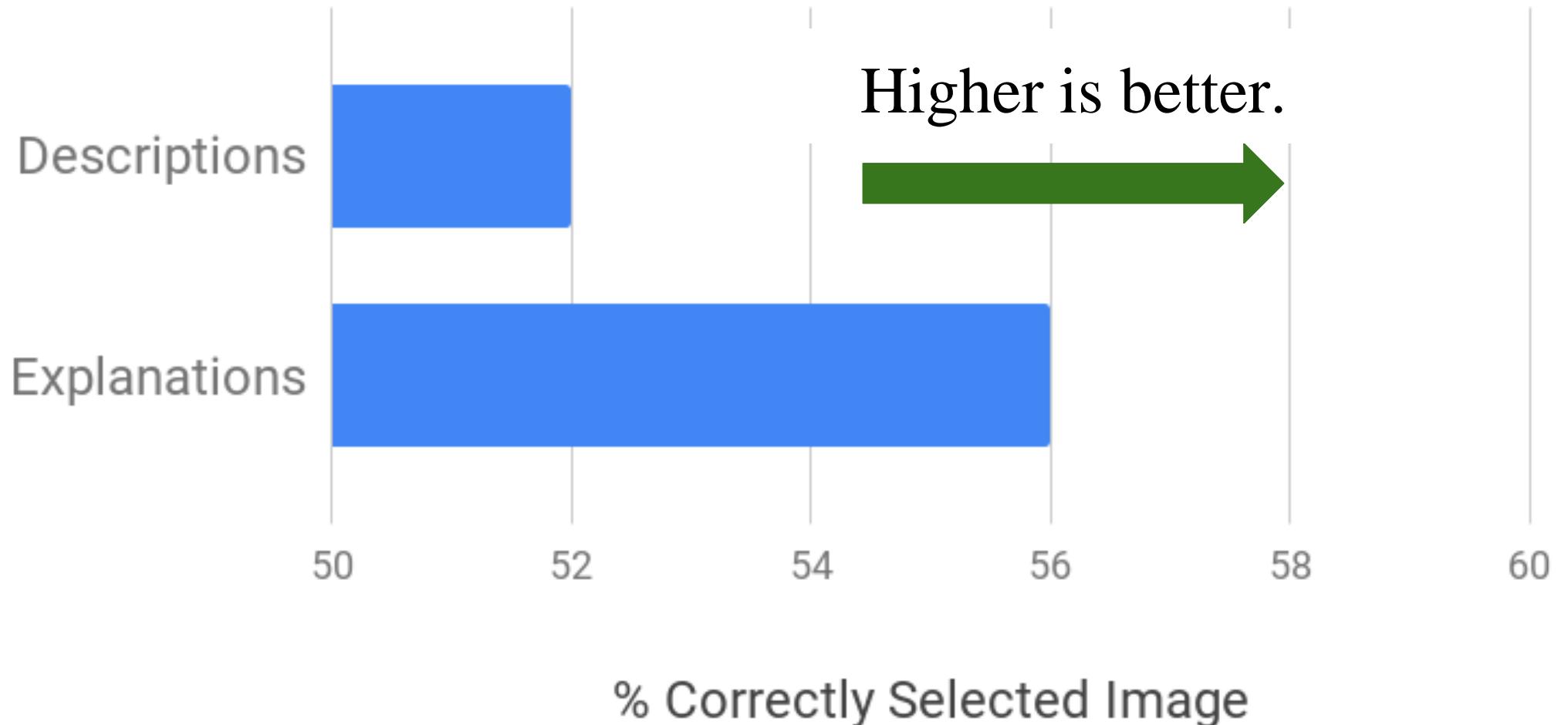
# Evaluating Explanations

Choose the image which most closely matches the following text:

... this is a black bird with a white nape and a large black beak.



Which model is best for discriminating between images?



Which of the following is the best explanation for why this bird is a White Necked Raven?

- A) This is a ***White Necked Raven*** because this bird is nearly all black with a short pointy bill.
- B) This is a ***White Necked Raven*** because this is a black bird with a white nape and a large black beak.



Which of the following is the best explanation for why this bird is a White Necked Raven?

- A) This is a ***White Necked Raven*** because this bird is nearly all black with a short pointy bill.
- B) This is a ***White Necked Raven*** because this is a black bird with a white nape and a large black beak.



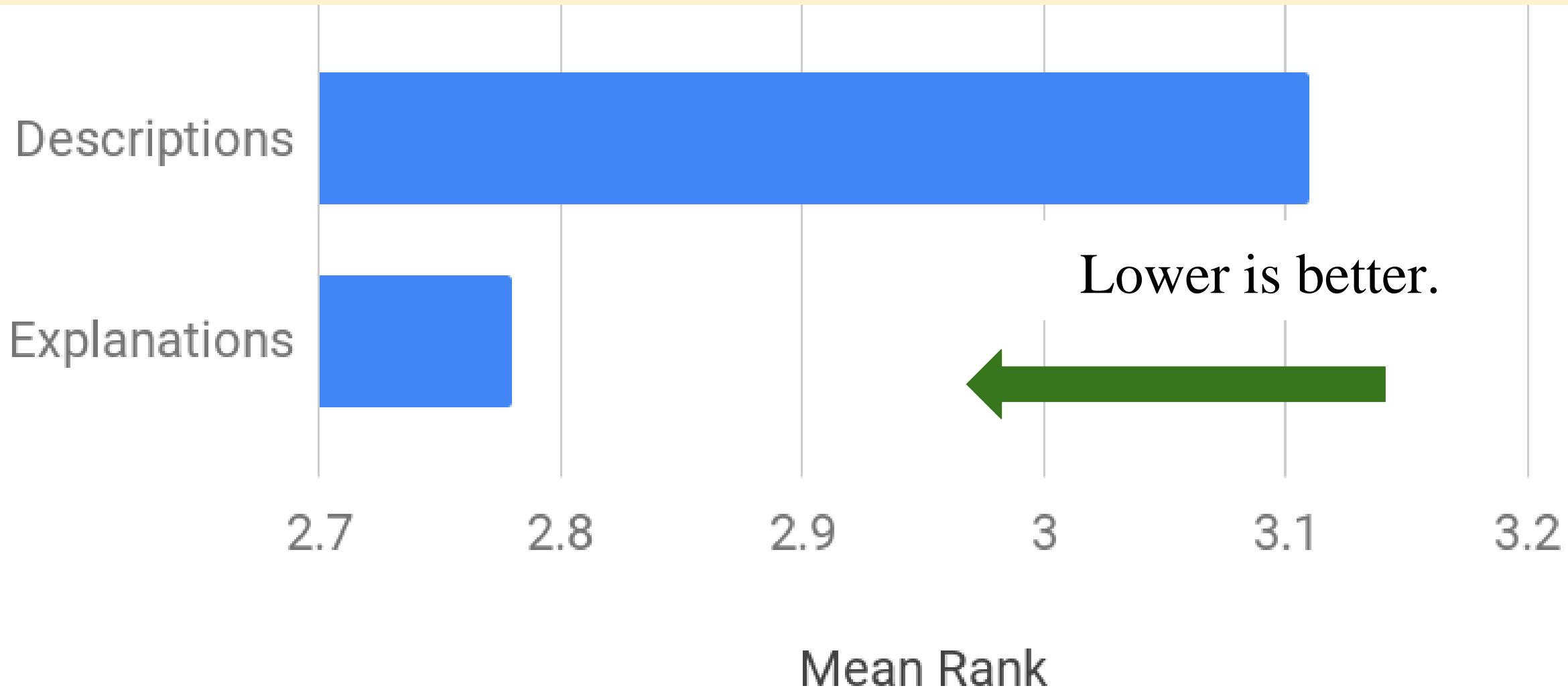
Which of the following is the best explanation for why this bird is a White Necked Raven?

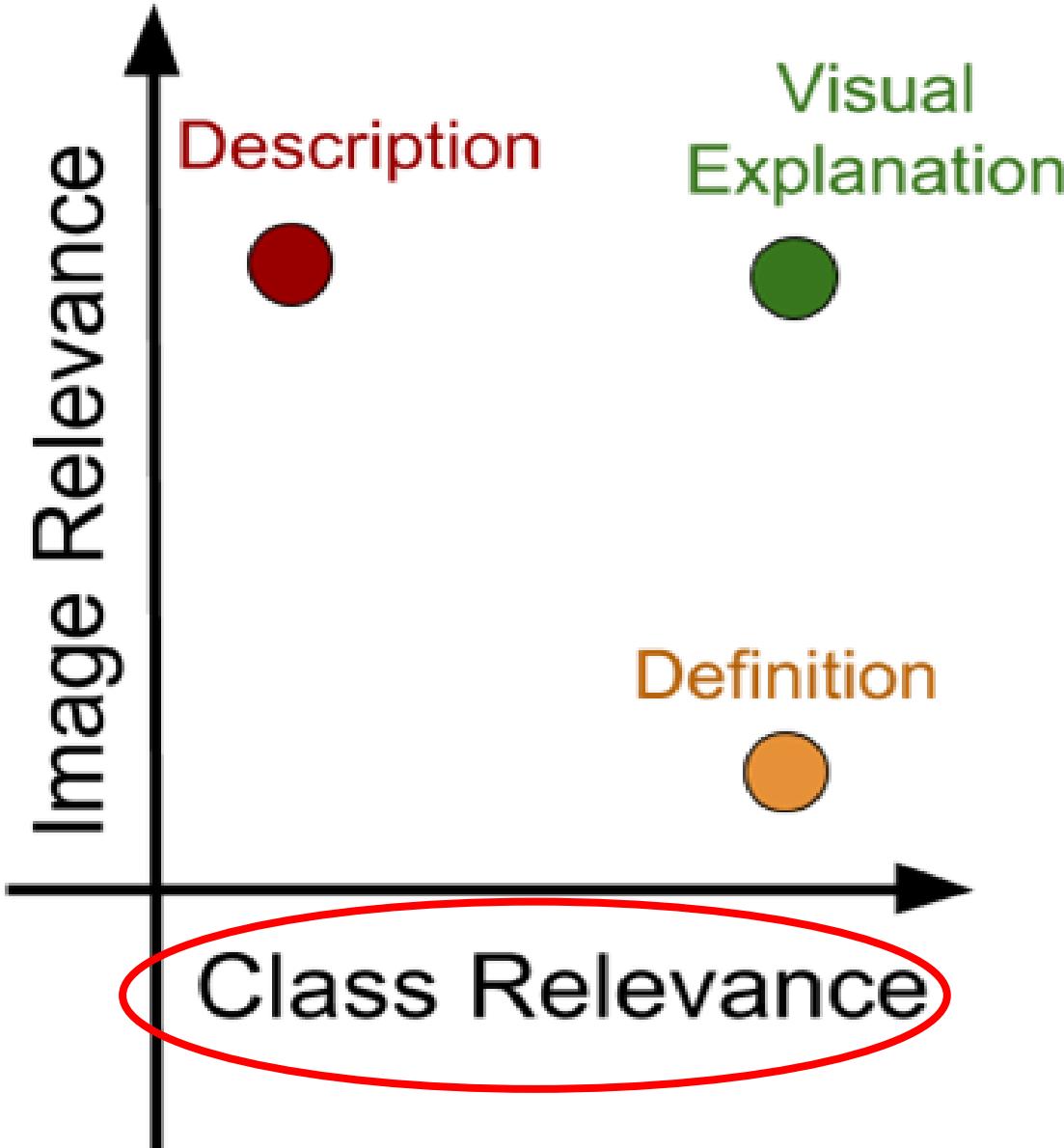
- A) This is a ***White Necked Raven*** because this bird is nearly all black with a short pointy bill.
- B) This is a ***White Necked Raven*** because this is a black bird with a white nape and a large black beak.

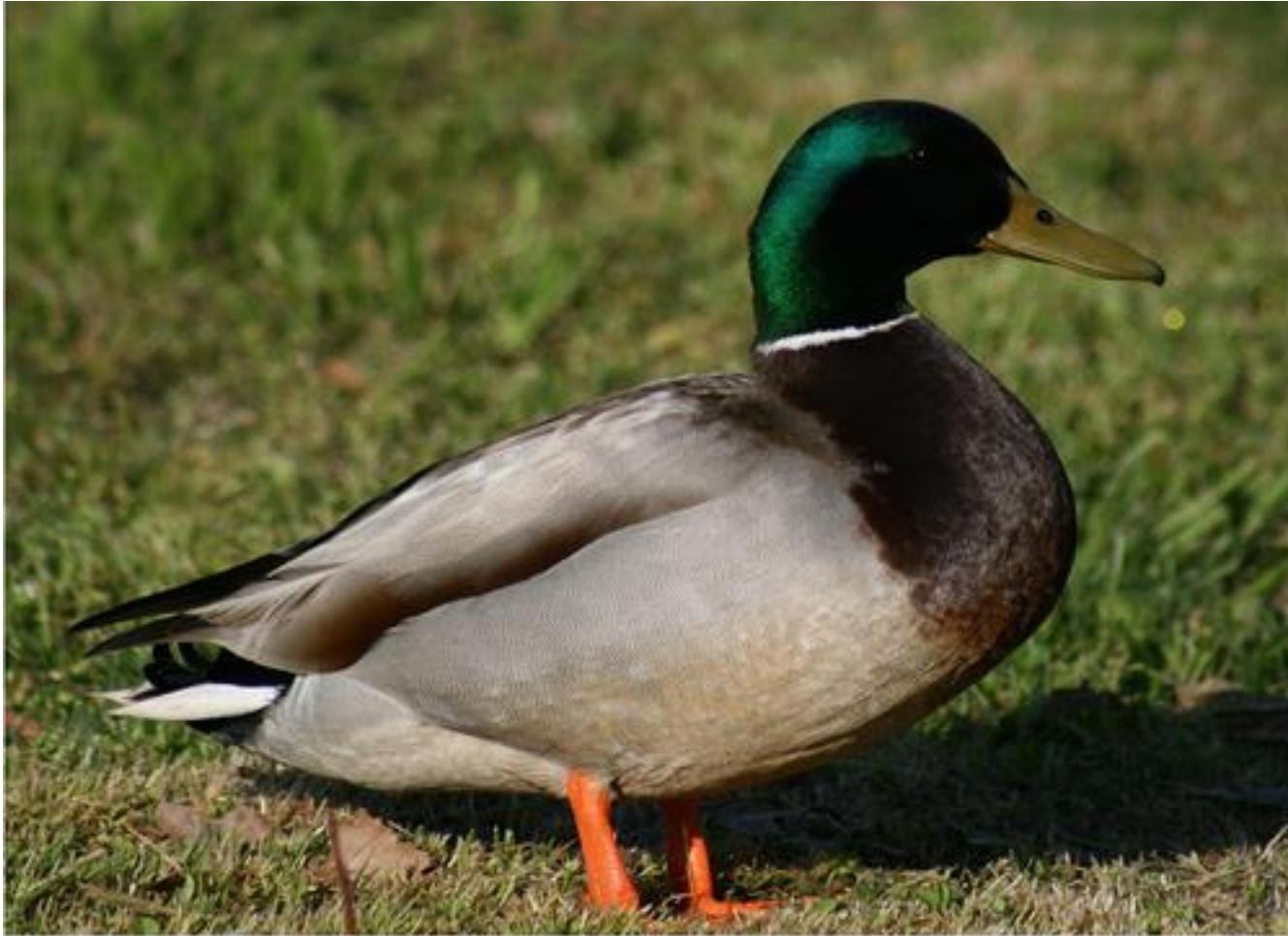
Need bird  
watchers!



## Which explanations do bird watchers prefer?







This is a *mallard* because this is a brown and white bird with a green head and a yellow beak.



Alyssa Myhrer



This is a ***mallard*** because this is a brown and white bird with a green head and a yellow bill.

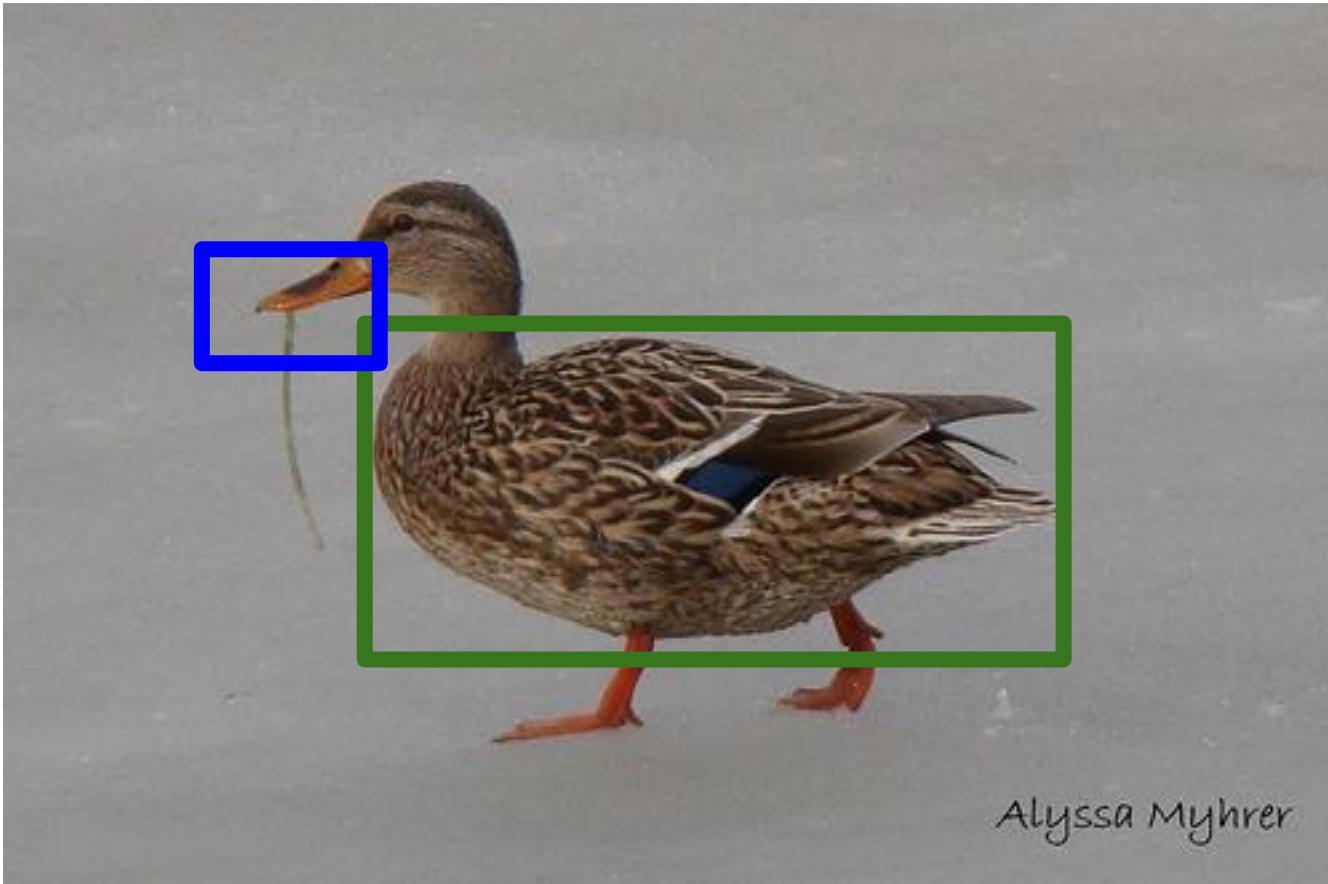


Alyssa Myhrer

This is a **mallard** because this is a brown and white bird with a green head and a yellow bill.

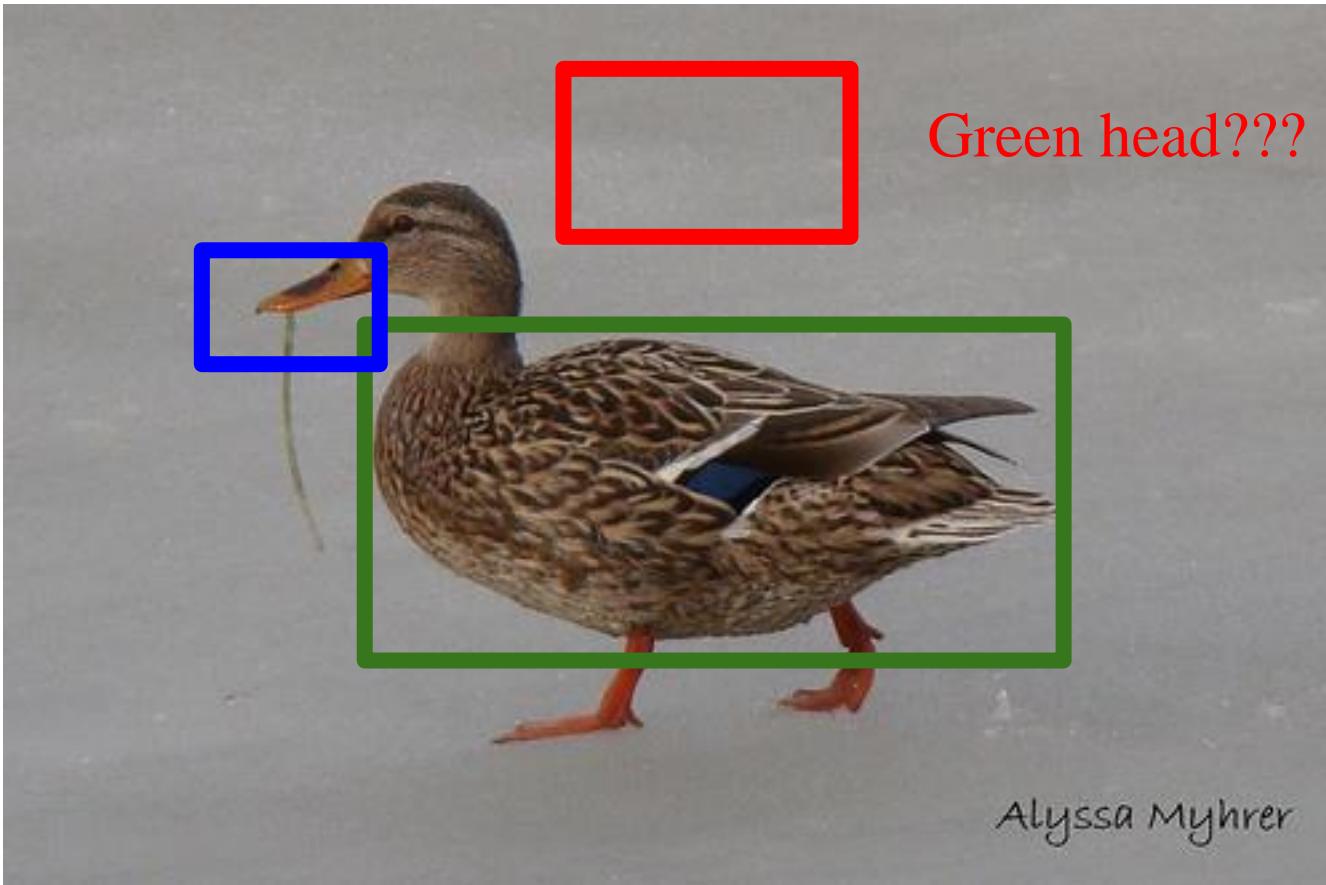
This is a **mallard** because this bird has a brown head, orange feet, and a flat bill.

**Intuition:** Only output explanations which are grounded in visual evidence.

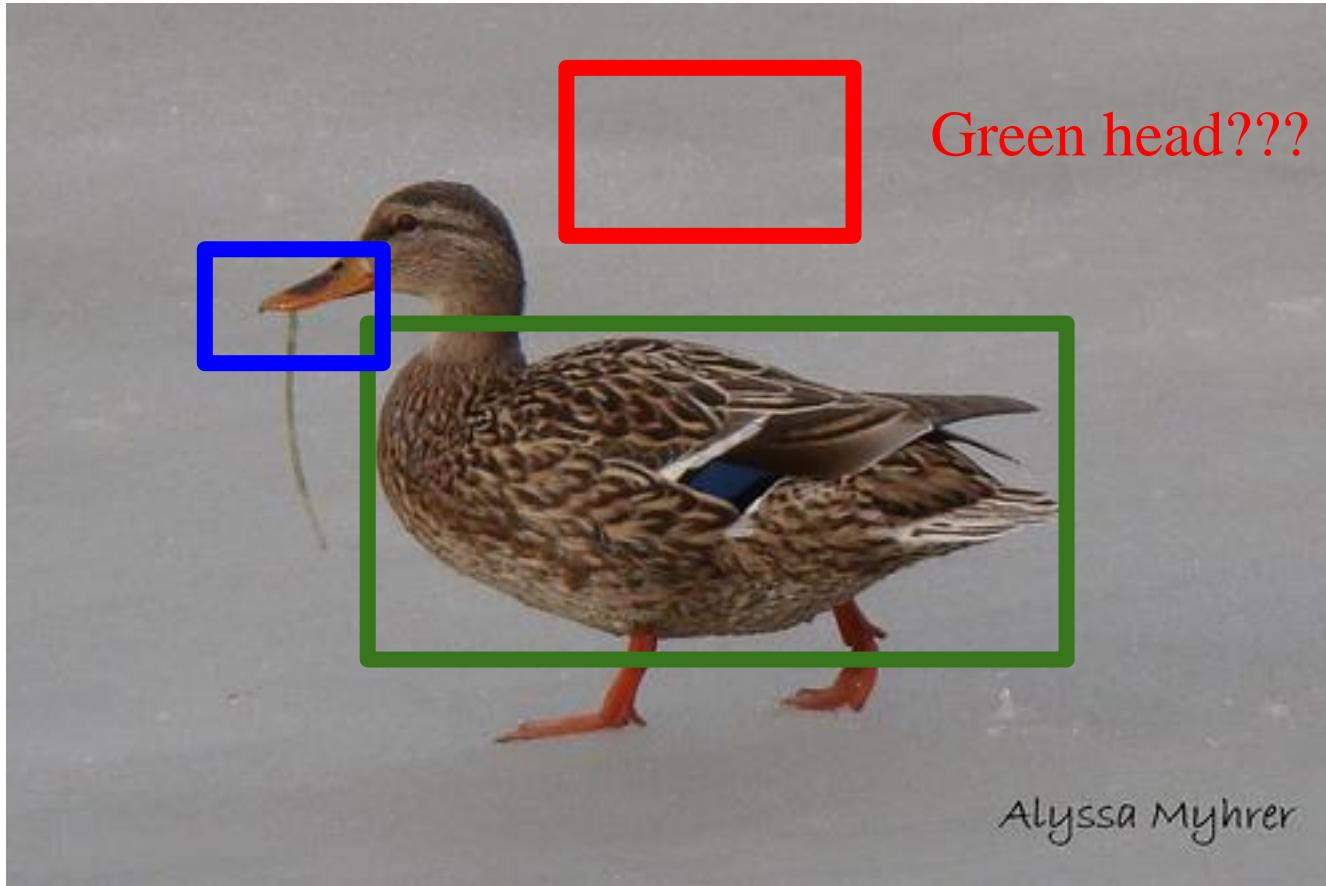


Alyssa Myhrer

This is a ***mallard*** because this is a ***brown and white bird*** with a green head and a ***yellow bill***.



This is a *mallard* because this is a *brown and white bird* with a *green head* and a *yellow bill*.



This is a *mallard* because this is a *brown and white bird* with a *green head* and a *yellow bill*.

- Call “brown and white bird”, “green head”, and “yellow bill” attributes.
- Extract attributes with a noun phrase chunker.



*Query:* A brightly colored umbrella.



Grounding  
Model

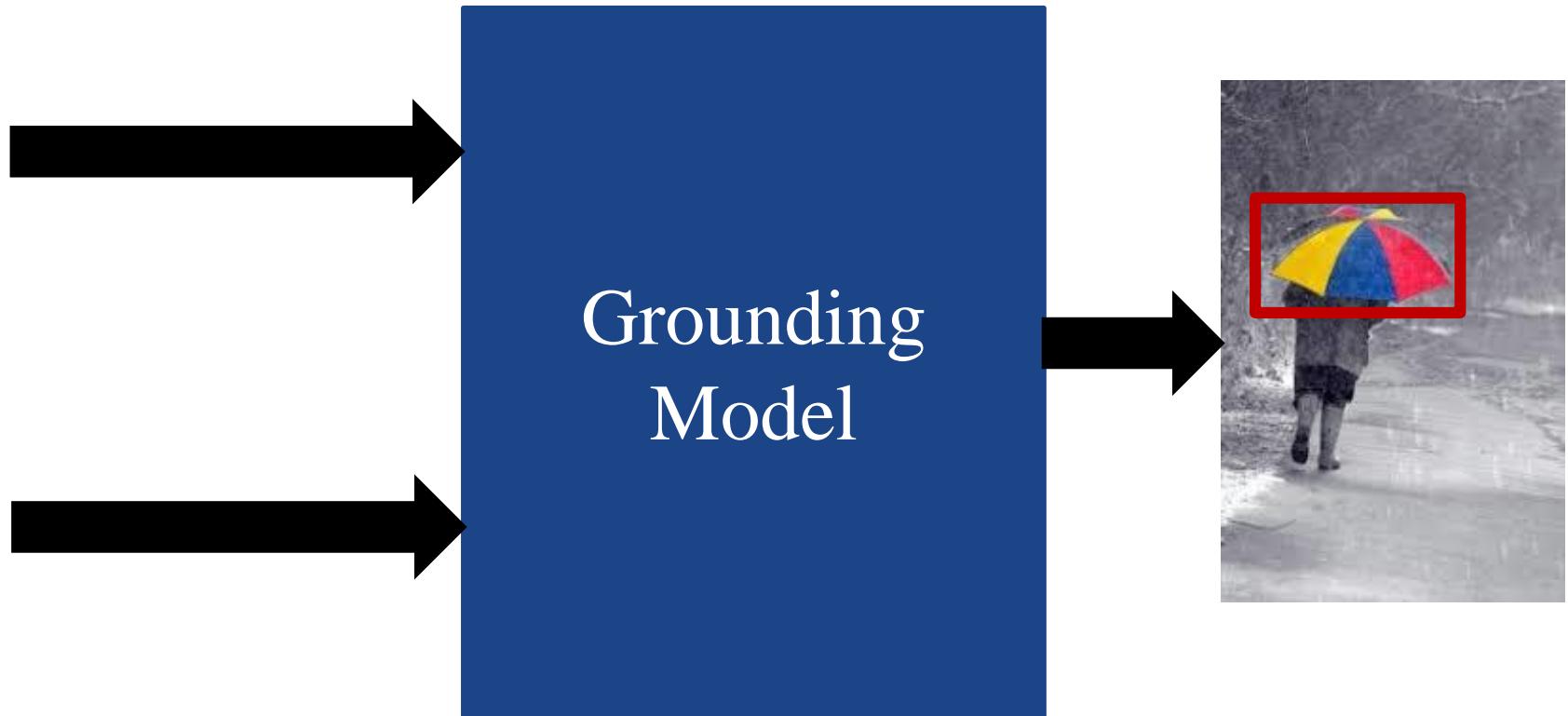


Model from:

Hu et al. *Modeling Relationships in Referential Expressions with Compositional Modular Networks*. CVPR 2017.



*Query:* A brightly colored umbrella.



Model from:

Hu et al. *Modeling Relationships in Referential Expressions with Compositional Modular Networks*. CVPR 2017.

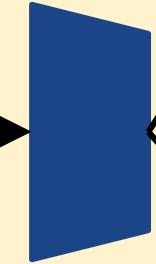
Trained with Visual Genome:

Krishna et al. *Visual Genome: Connecting Language and Vision Using Crowdsourced Dense Image Annotations*.

IJCV 2016.

# Explanation Sampler

Finegrained Classification Model

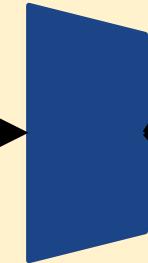


Mallard

LSTM

# Explanation Sampler

Finegrained Classification Model



Mallard

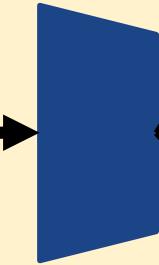
LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

# Explanation Sampler

Finegrained Classification Model



Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

Generally score sentences based off *sentence fluency*:

$$S = \sum_t \log P(w_t | w_{0:t-1}, I, C)$$

# Explanation Sampler

Finegrained Classification Model



Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

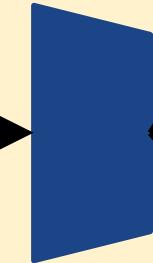
Baseline

Generally score sentences based off *sentence fluency*:

$$S = \sum_t \log P(w_t | w_{0:t-1}, I, C)$$

# Explanation Sampler

Finegrained Classification Model



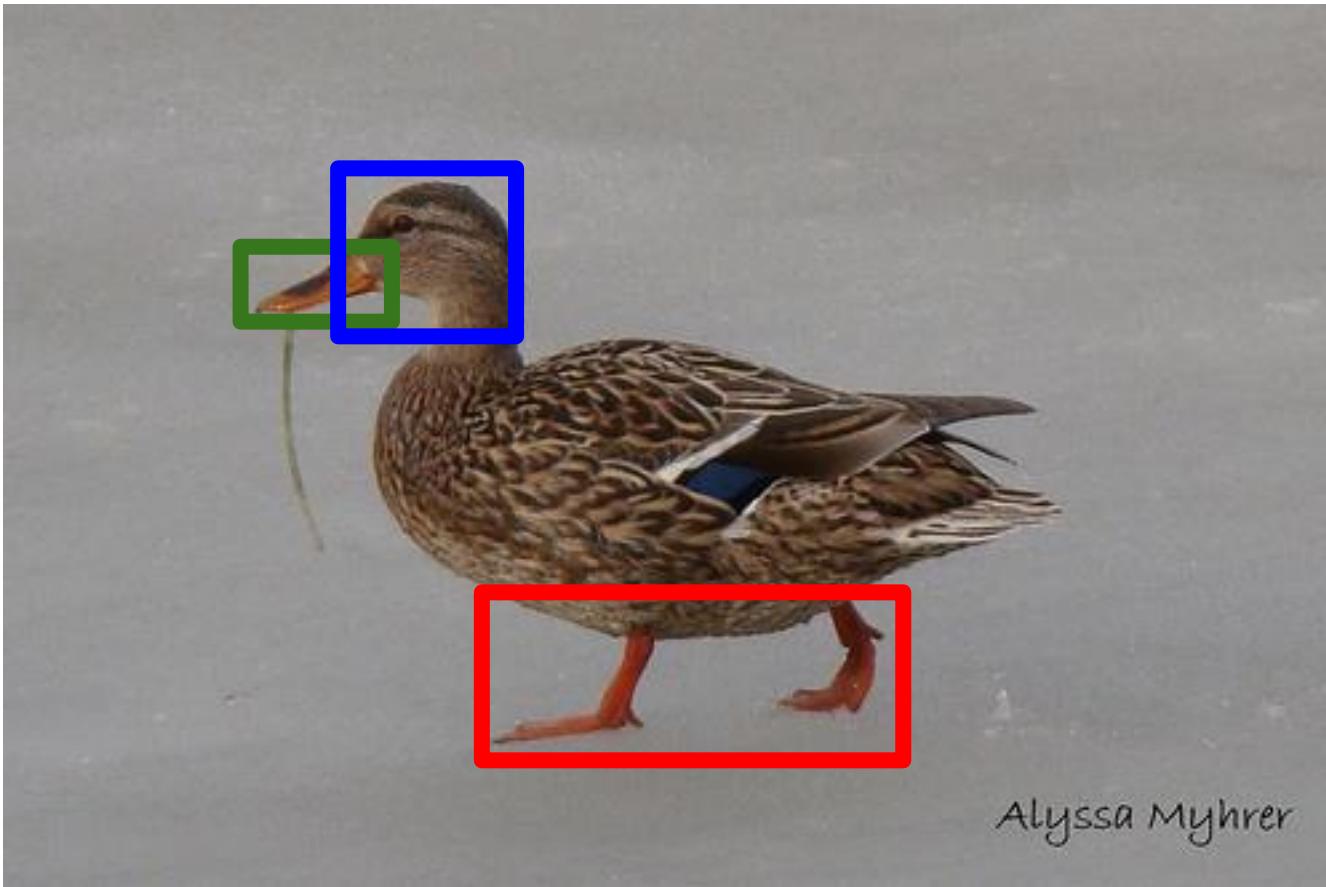
Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

Can we score sentences on visual grounding instead?



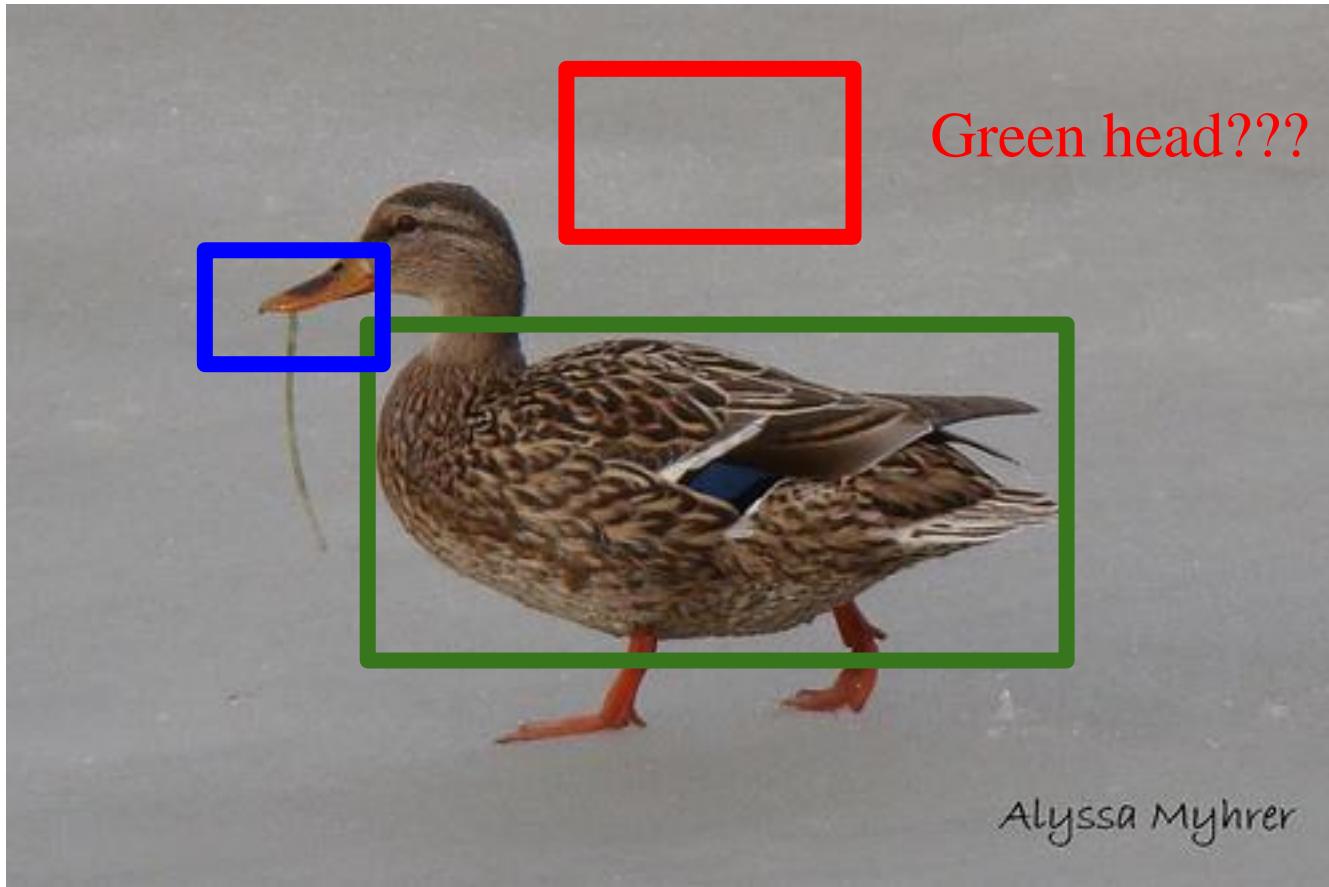
This is a ***mallard*** because this bird has a brown head, orange feet, and a flat bill.

Score for *brown head*: 1.9

Score for *orange feet*: 2.1

Score for *flat bill*: 1.1

Average score high → good explanation.



This is a **mallard** because this is a brown and white bird with a **green head** and a **yellow bill**.

Score for *brown and white bird*: 2.2

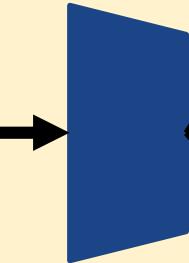
Score for *green head*: 0.2

Score for *yellow beak*: 1.2

Average score low → bad explanation.

# Explanation Sampler

Finegrained Classification Model



Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

Score sampled sentences with visual grounding model.

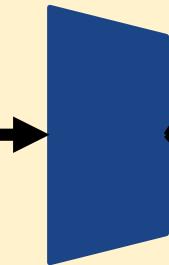
A is set of attributes in explanation

$$\rightarrow S = \frac{1}{|A|} \sum_{a \in A} \text{GroundingScore}(a, I)$$

Grounding score for attribute in image.

# Explanation Sampler

Finegrained Classification Model



Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

Average grounding

Score sampled sentences with visual grounding model.

A is set of attributes in explanation

$$\rightarrow S = \frac{1}{|A|} \sum_{a \in A} \text{GroundingScore}(a, I)$$

Grounding score for attribute in image.

This is a **Eared Grebe** because ....



*Baseline:*  
this is a black bird  
with a long neck and  
red eyes.

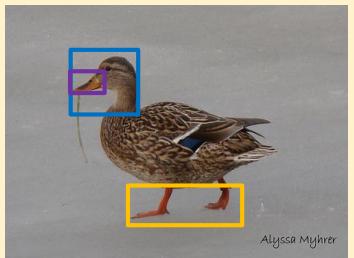


*Average Grounding:*  
...this is a **black bird**  
with a **white eye** and a  
**red eye**.



This bird has a brown head, orange feet, and a flat bill.

## Grounding Model

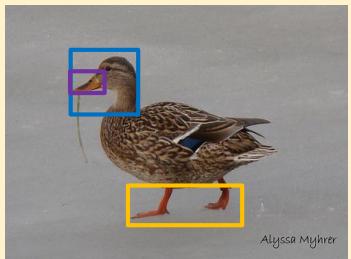


- |             |              |
|-------------|--------------|
| Brown head  | (score: 1.2) |
| Flat bill   | (score: 0.8) |
| Orange feet | (score: 0.9) |



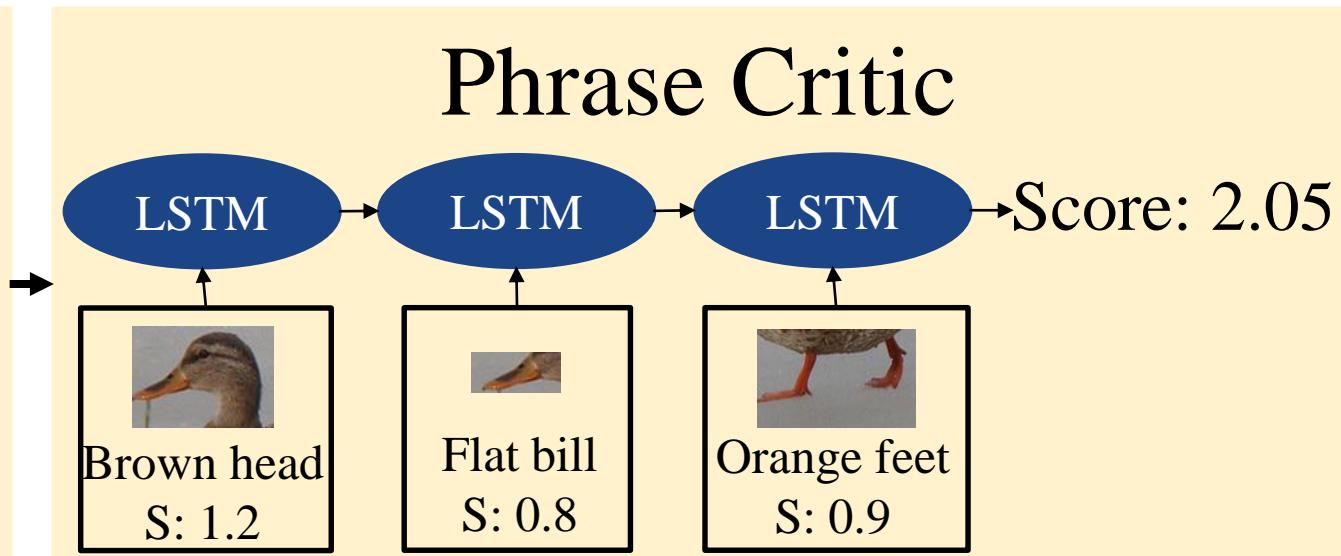
This bird has a brown head, orange feet, and a flat bill.

## Grounding Model



Brown head  
Flat bill  
Orange feet

(score: 1.2)  
(score: 0.8)  
(score: 0.9)





Positive sentence: This bird has a brown head, orange feet, and a flat bill.

Grounding Model

Phrase Critic

Score:  
2.05 ✓

Negative sentence: This bird has a brown head, black feet, and a flat bill.

Grounding Model

Phrase Critic

Score:  
1.02 ✗



Positive sentence: This bird has a brown head, orange feet, and a flat bill.

Grounding Model

Negative sentence: This bird has a brown head, black feet, and a flat bill.

Grounding Model

## Ranking Loss

Phrase Critic

Score:  
2.05 ✓

Phrase Critic

Score:  
1.02 ✗

Positive Sentence: This bird has a brown head, orange feet and a flat bill.



Positive Sentence: This bird has a brown head, **orange** feet and a flat bill.



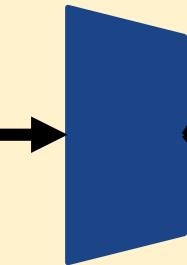
Positive Sentence: This bird has a brown head, **orange** feet and a flat bill.

Negative Sentence: This bird has a brown head, **black** feet and a flat bill.



# Explanation Sampler

Finegrained Classification Model



Mallard

LSTM

This is a mallard because this is a brown and white bird with a green head and a yellow bill.

This is a mallard because this bird has a brown head, orange feet, and a flat bill.

Score sampled sentences with phrase critic.

$$S = \text{PhraseCritic}(A, I)$$

Extracted noun phrase from explanation: brown and white bird, green head, yellow bill.



Does this bird have a *green head*?

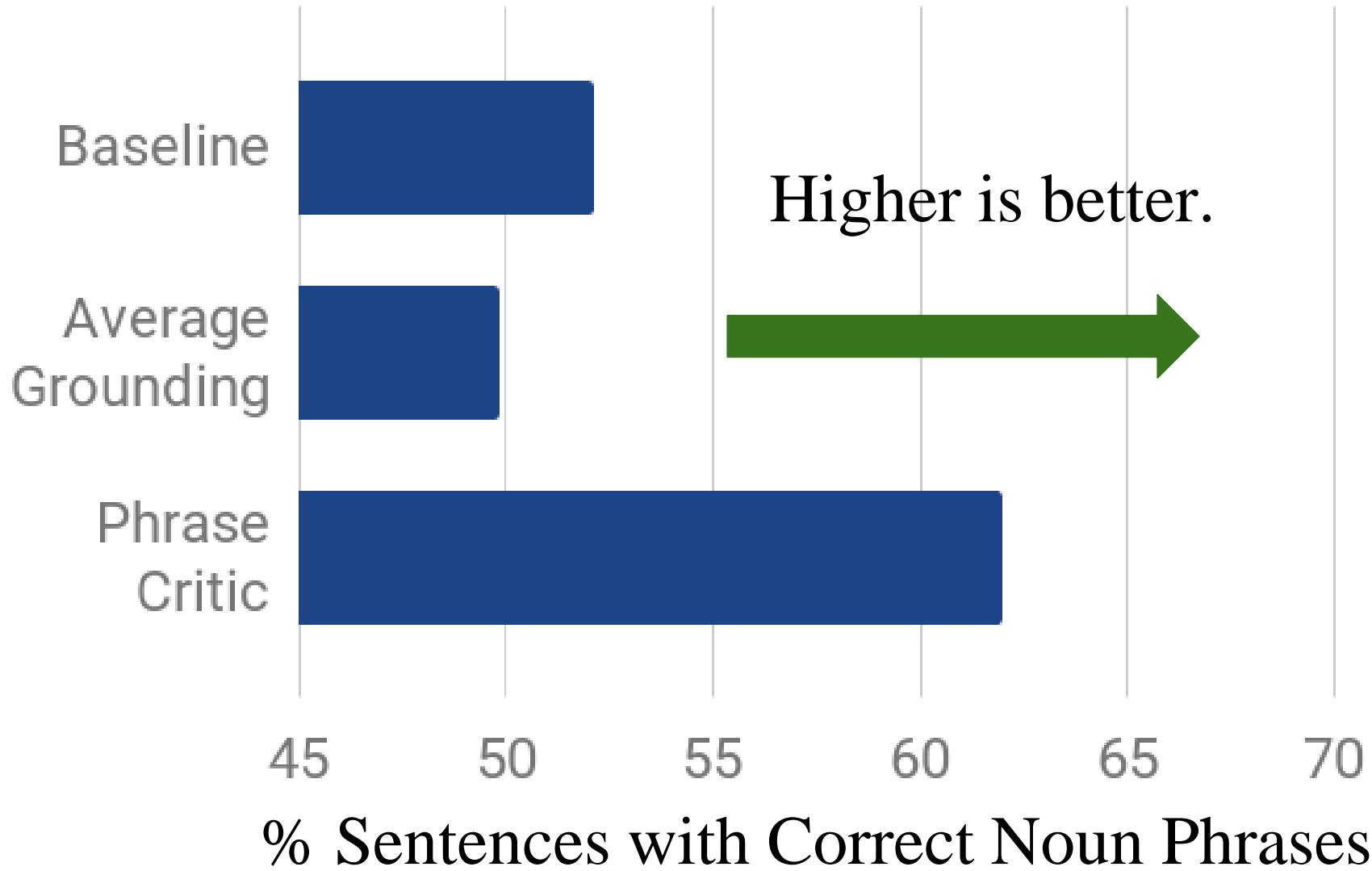


Alyssa Myhrer

Does this bird have a *green head*? 



# Are grounded explanations more image relevant?



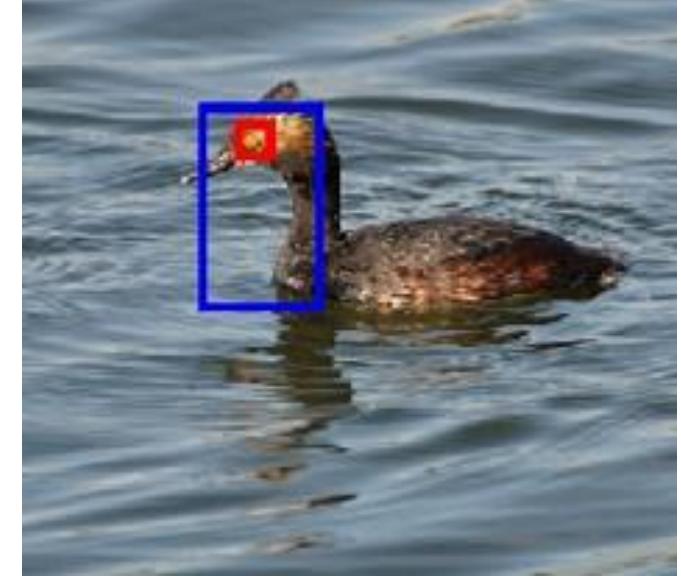
This is a **Eared Grebe** because ....



*Baseline:*  
this is a black bird  
with a long neck  
and red eyes



*Average grounding:*  
this is a **black bird**  
with a **white eye**  
and a **red eye**.

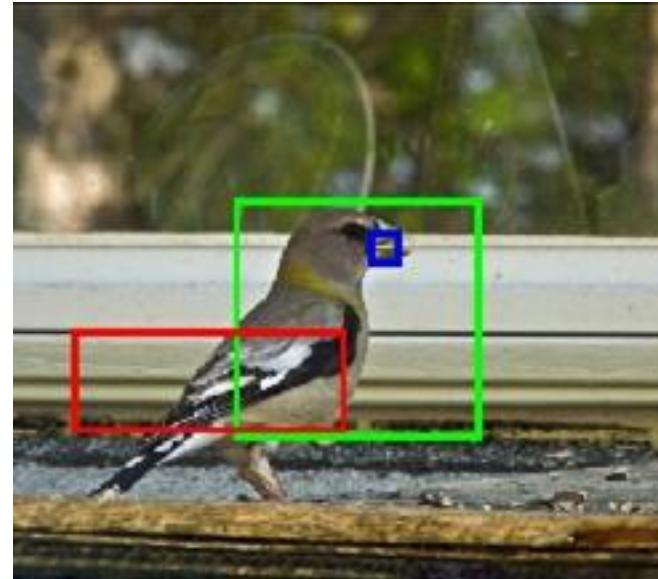


*Phrase critic:*  
this bird has a **long  
neck** and **bright  
orange eyes**.

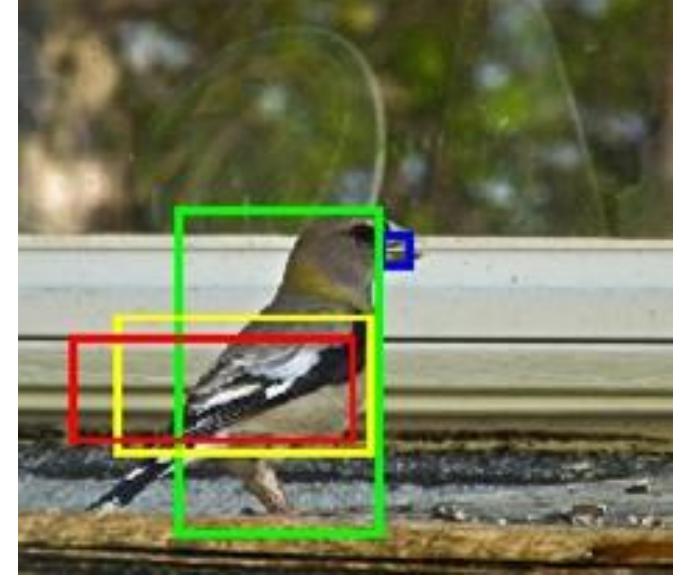
This is a Evening Grosbeak because ....



*Baseline:*  
this is a yellow bird  
with a black and  
white wing and a  
yellow beak.



*Average grounding:*  
this is a **white bird**  
with a **brown and**  
**black wing** and a  
**yellow beak.**



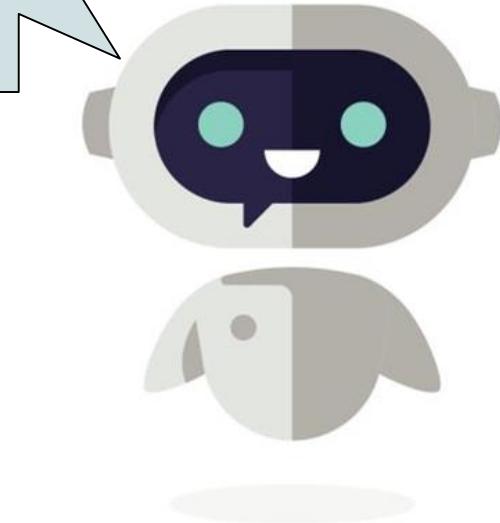
*Phrase critic:*  
this is is a **small**  
**brown bird** with a  
**white and black wing**  
and a **yellow beak.**



What  
type  
of bird  
is this?



It is a **Cardinal**  
because it is a  
**red bird**  
with a **red beak**  
and a **black face**

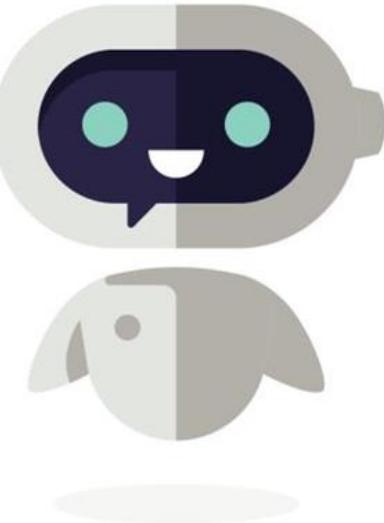
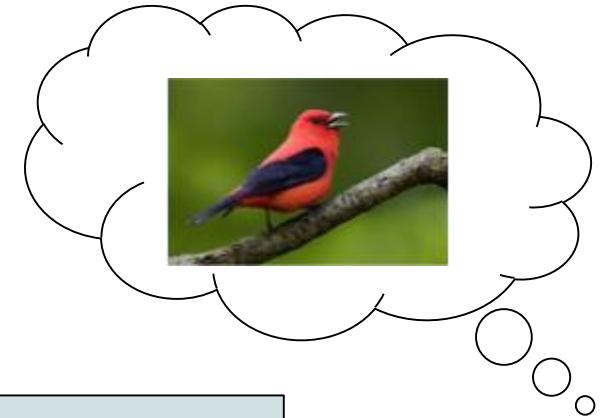




Why  
isn't it a  
*Scarlet  
Tanager*?



It isn't a *Scarlet Tanager*  
because it doesn't have black  
wings.



Pipeline:

Why isn't this a Scarlet Tanager?



Pipeline:

Why isn't this a Scarlet Tanager?



Predict evidence for Scarlet Tanager:



This is a *red bird* with *black wings*.

This *red bird* has a *pointy beak* and *black eyes*.

...

Pipeline:

Why isn't this a Scarlet Tanager?



Predict evidence for Scarlet Tanager:



This is a *red bird* with *black wings*.

This *red bird* has a *pointy beak* and *black eyes*.

...

Ground Scarlet Tanager evidence:



Red bird: grounded

Pointy beak: grounded

...

Black wings: Not grounded!

Pipeline:

Why isn't this a Scarlet Tanager?



Predict evidence for Scarlet Tanager:



This is a *red bird* with *black wings*.

This *red bird* has a *pointy beak* and *black eyes*.

...

Ground Scarlet Tanager evidence:



Red bird: grounded

Pointy beak: grounded

...

Black wings: Not grounded!

Construct sentence:

This is not a *Scarlet Tanager* because it does not have *black wings*.

Why is this a *Blue Winged Warbler* and not a *Common Yellowthroat*?



Blue Winged Warbler



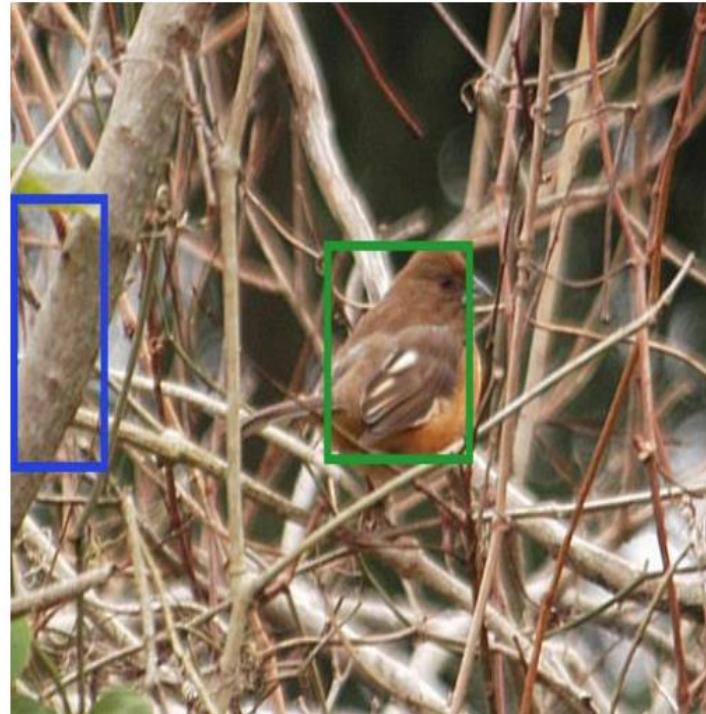
Common Yellowthroat

Explanation: This is a *Blue Winged Warbler* because this is a yellow bird with a black wing and a black pointy beak.

This is not a *Common Yellowthroat* because it does not have a black face.

# Are Explanations Helpful to Humans?

# Are Explanations Helpful to Humans?

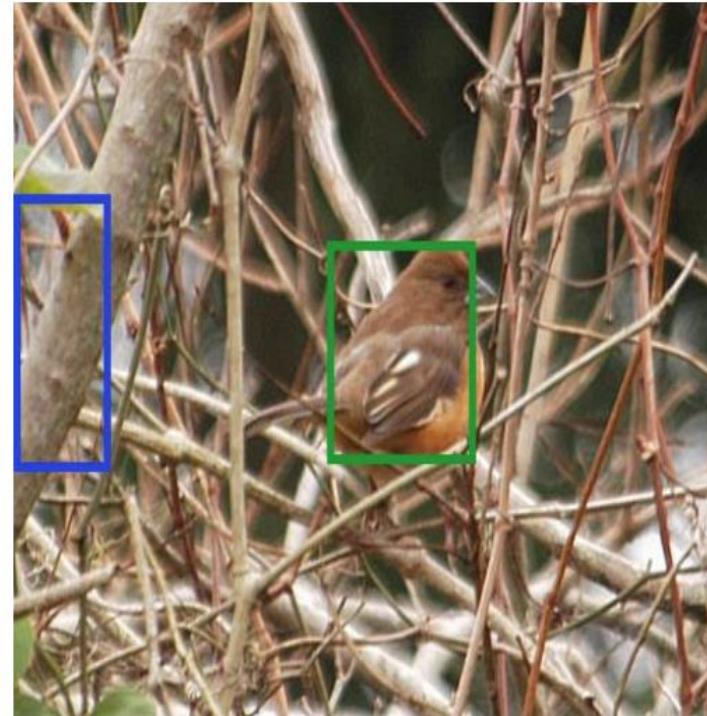


The AI justified its prediction with the following evidence: this is a **brown and black spotted bird** with a **white belly**. Do you think you would accept the Al's prediction?

- Accept prediction
- Do not accept prediction

# Are Explanations Helpful to Humans?

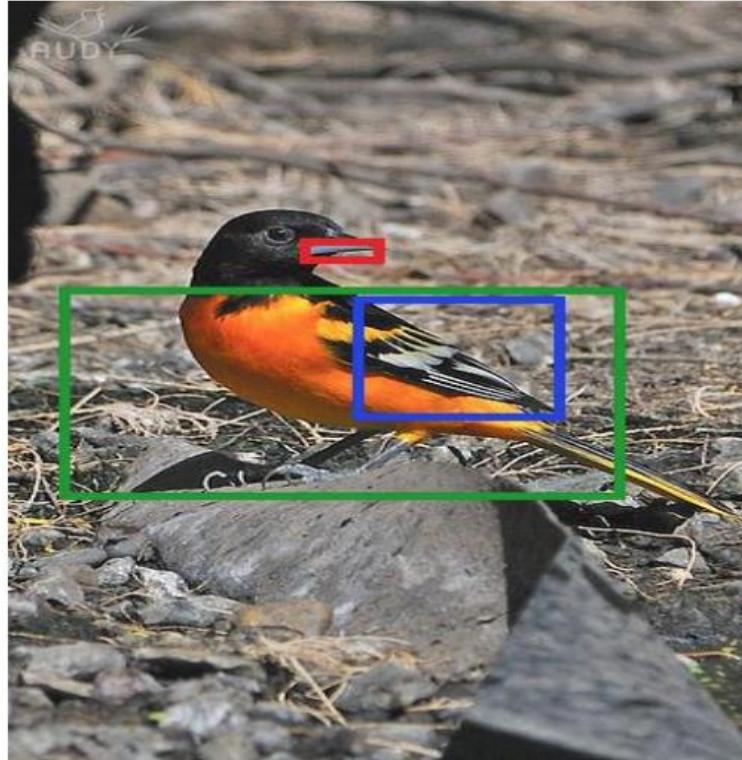
The AI is *wrong*;  
you *should not*  
accept the  
prediction.



The AI justified its prediction with the following evidence: this is a **brown and black spotted bird with a white belly**. Do you think you would accept the AIs prediction?

- Accept prediction
- Do not accept prediction

# Are Explanations Helpful to Humans?

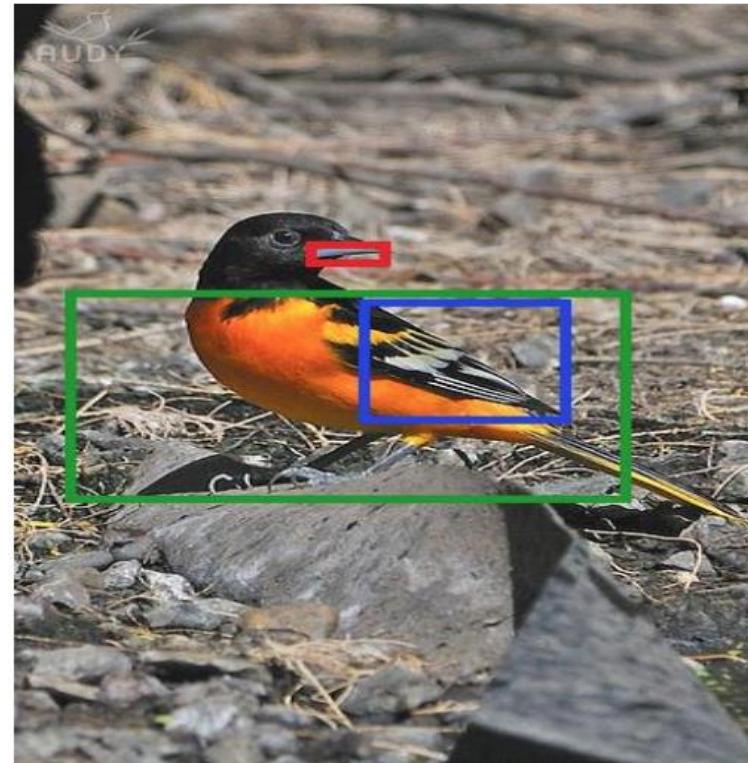


The AI justified its prediction with the following evidence: this is a **small orange bird** with a **black wing** and a **small black beak**. Do you think you would accept the Al's prediction?

- Accept prediction
- Do not accept prediction

# Are Explanations Helpful to Humans?

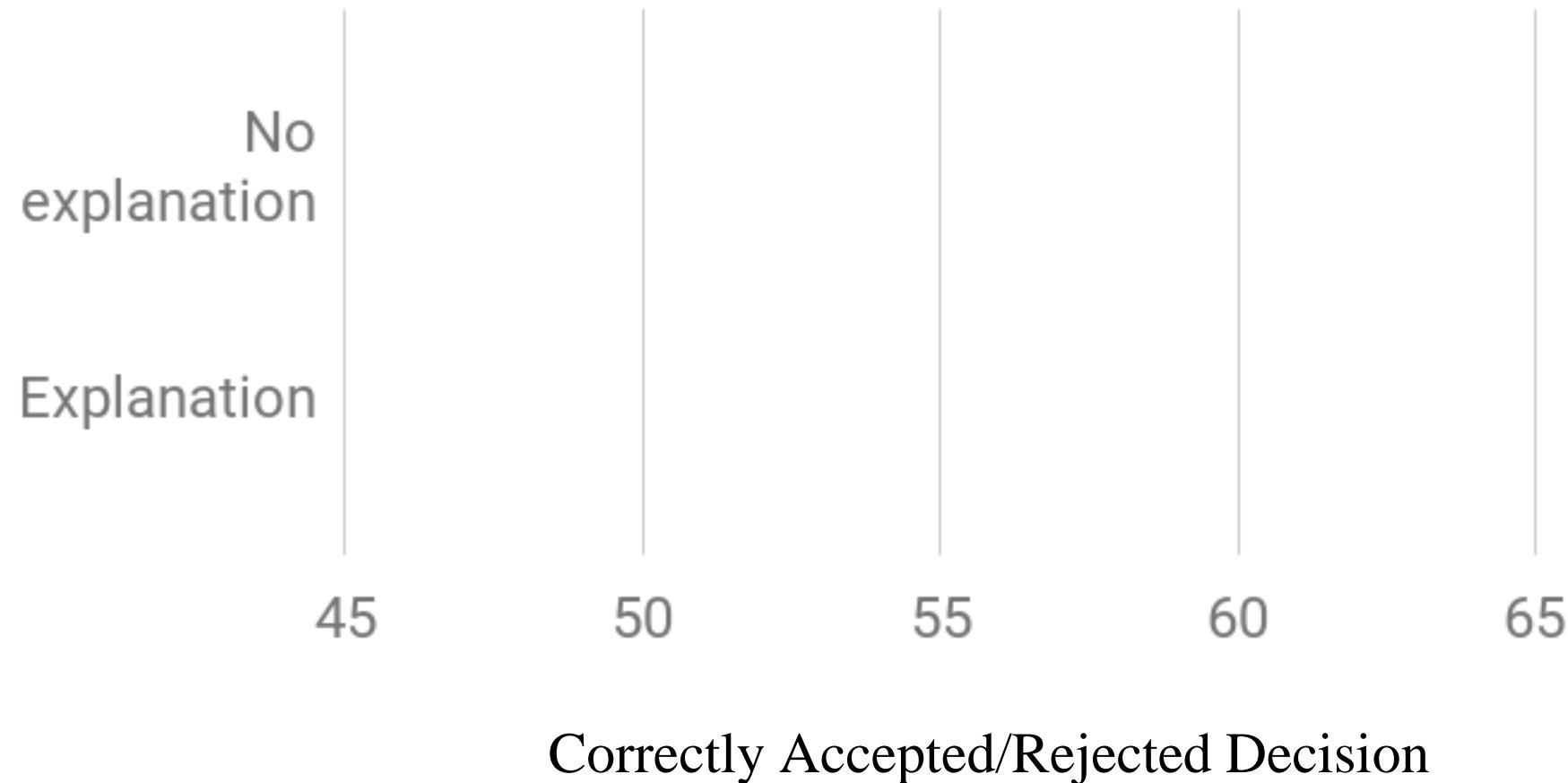
The AI is *correct*;  
you *should* accept  
the prediction.



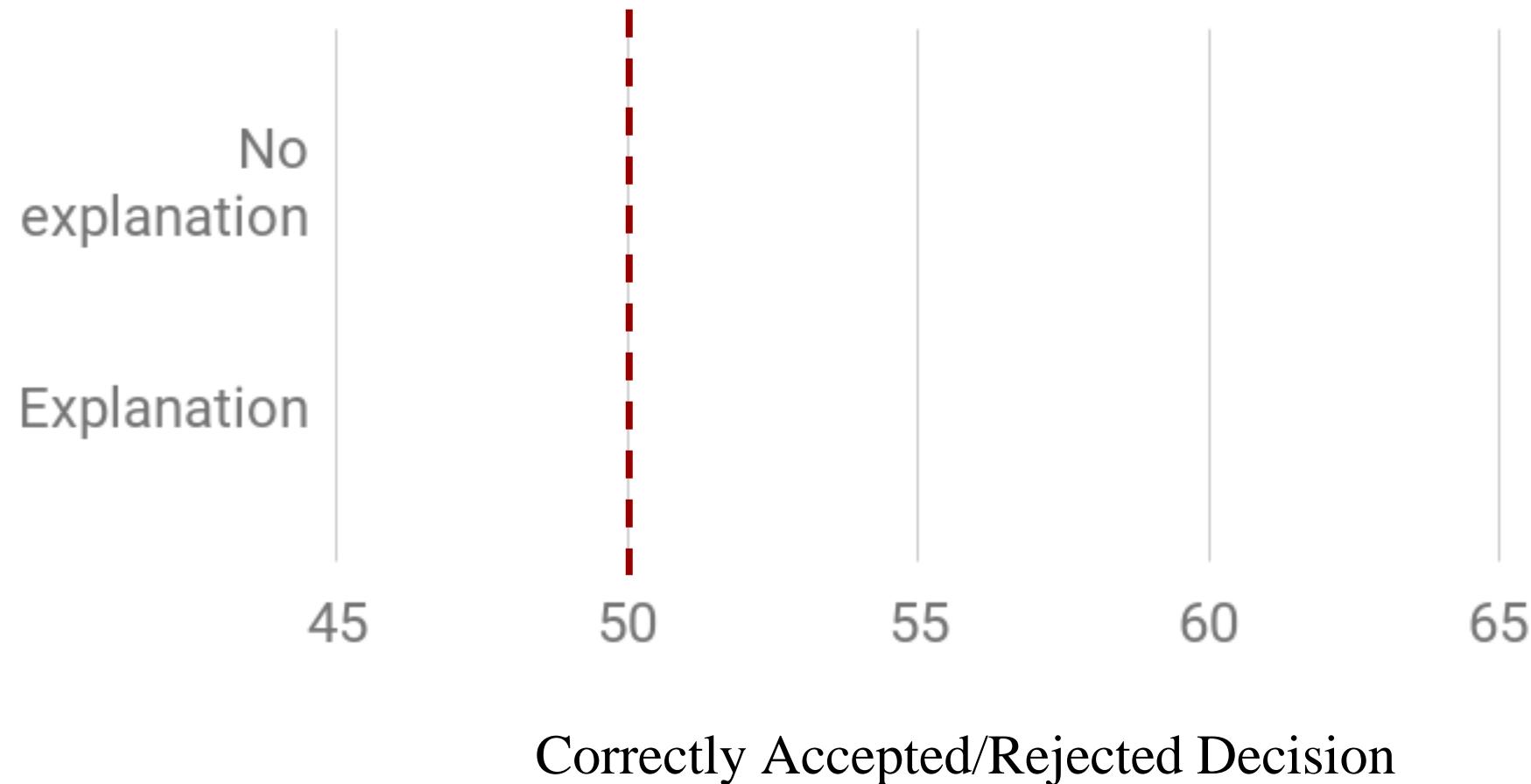
The AI justified its prediction with the following evidence: this is a **small orange bird** with a **black wing** and a **small black beak**. Do you think you would accept the Al's prediction?

- Accept prediction
- Do not accept prediction

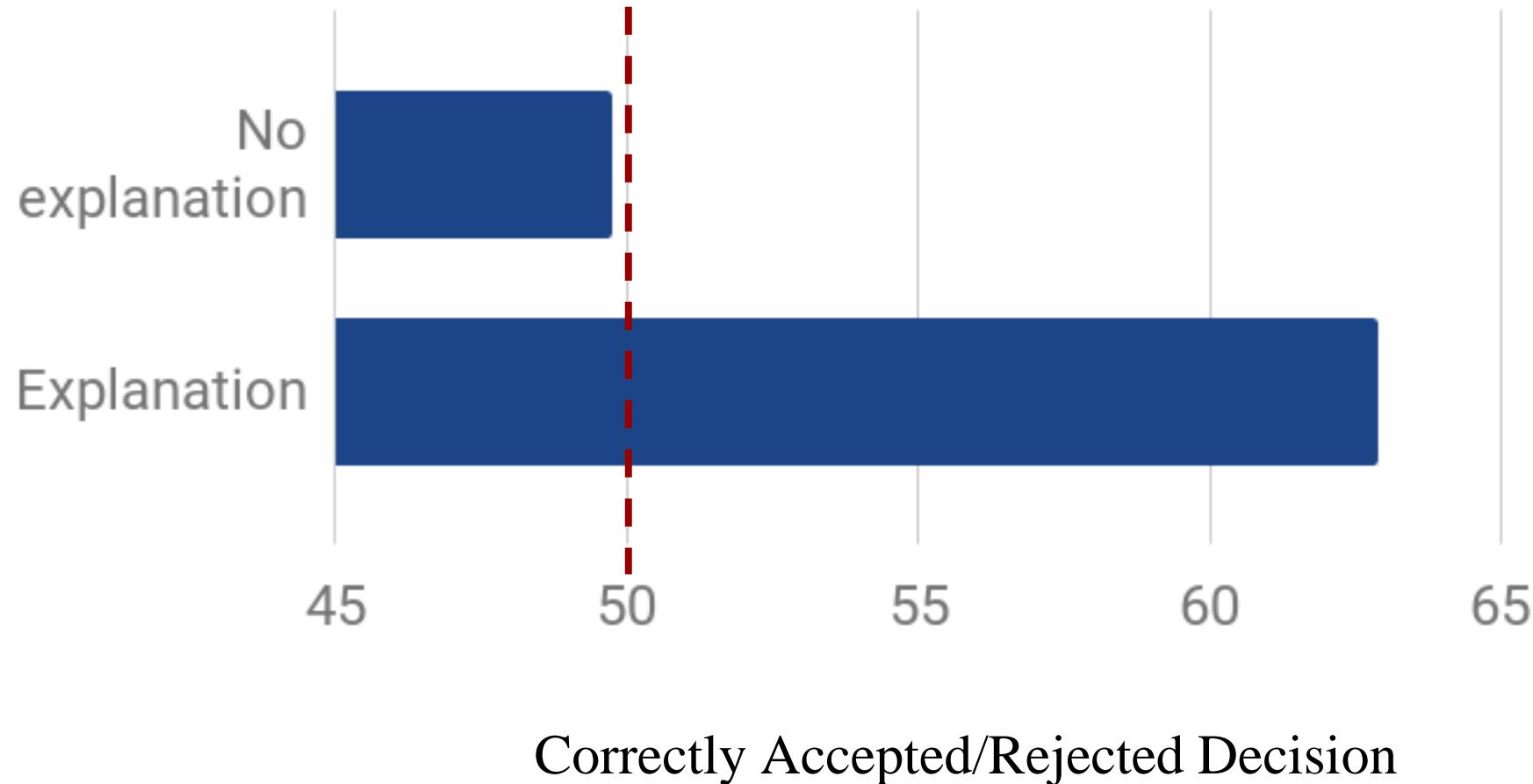
# Are Explanations Helpful to Humans?



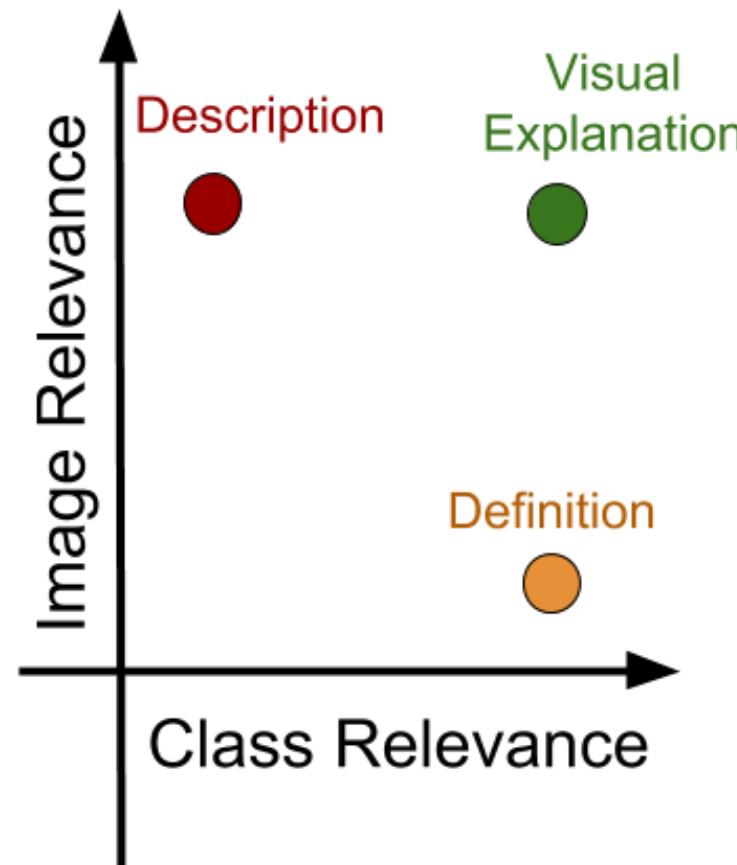
# Are Explanations Helpful to Humans?



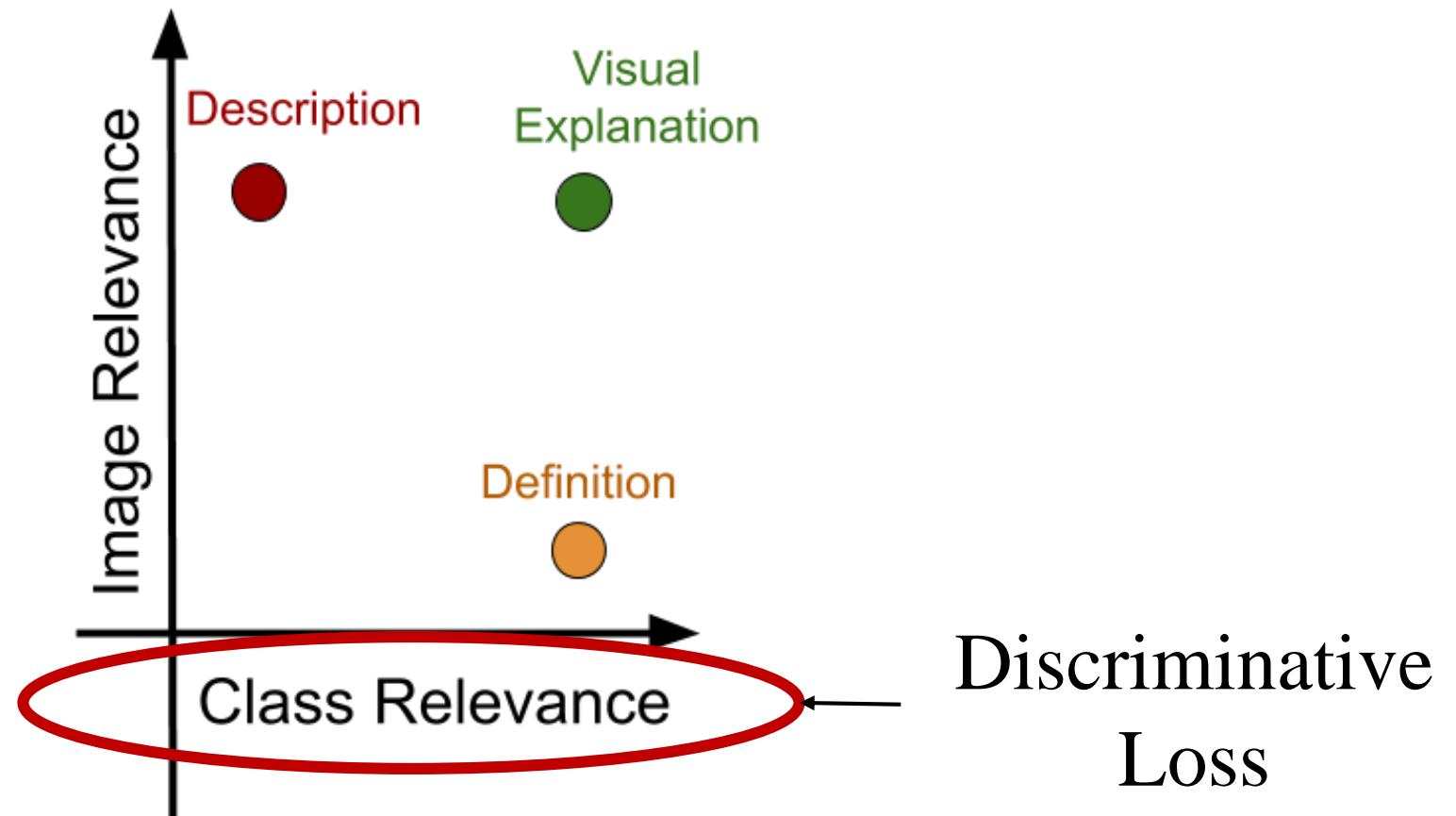
# Are Explanations Helpful to Humans?



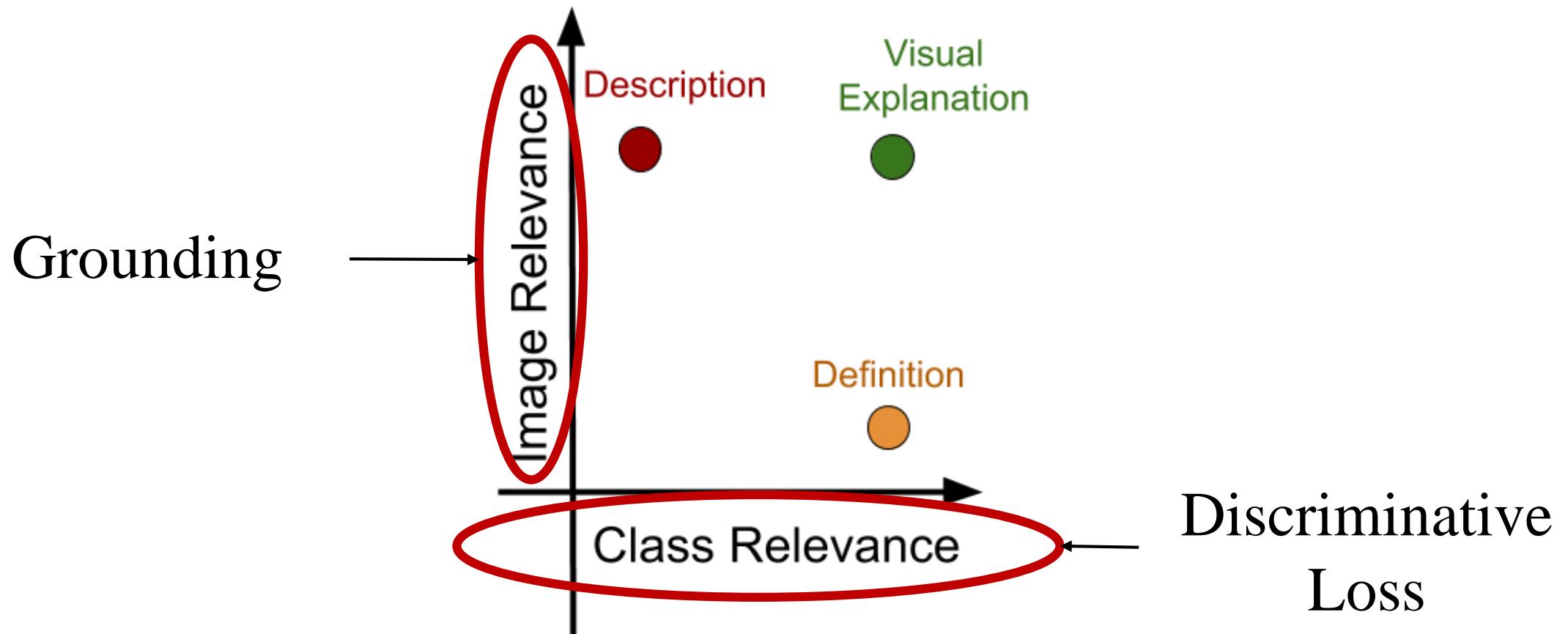
# What makes a good visual explanation?



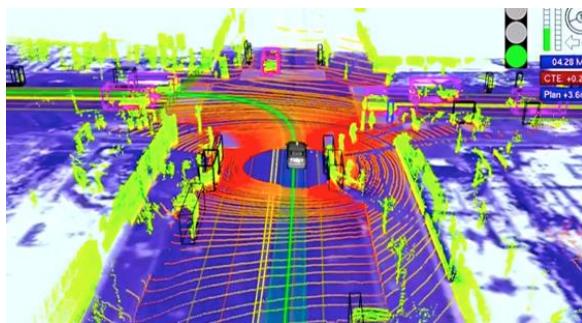
# What makes a good visual explanation?



# What makes a good visual explanation?



# Driving-X



*Image credit:* Berkeley Deep Drive



*Image credit:* H. Miller, 1957

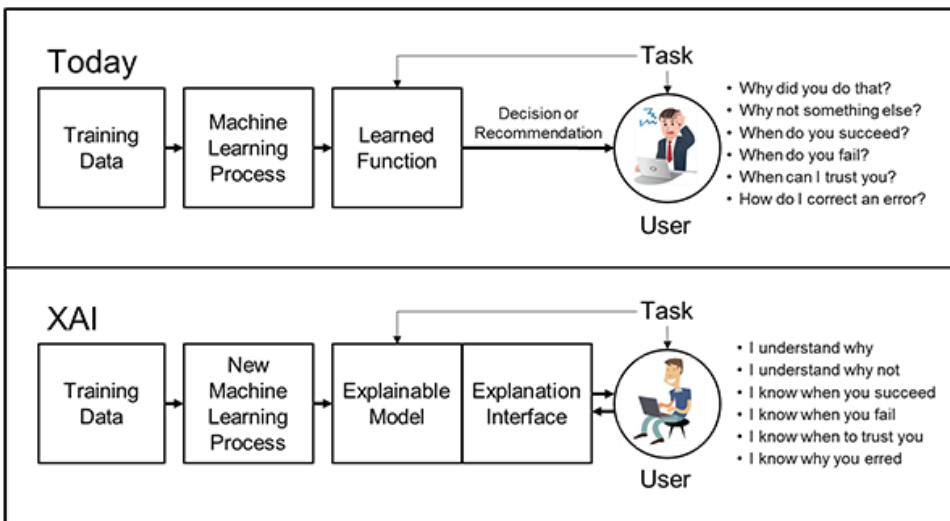
***Jinkyu Kim, Anna Rohrbach,  
Trevor Darrell, John Canny,  
and Zeynep Akata***

UC Berkeley  
University of Amsterdam

# eXplainable AI (for self-driving cars)

Need *introspective* or *debuggable* driving model:

Explanations are grounded in the network's true internal state.



Why?

- 1) Requires a very high level of **trust**.
- 2) Users should be able to **anticipate** what the vehicle will do.
- 3) Effective **human-machine communication**.

[DARPA-BAA-16-53, 2017]

# Outline

Phase 1

- ❑ **Interpretable Learning for Self-driving Cars by Visualizing Causal Attention**

Jinkyu Kim and John Canny, ICCV 2017.

Phase 2

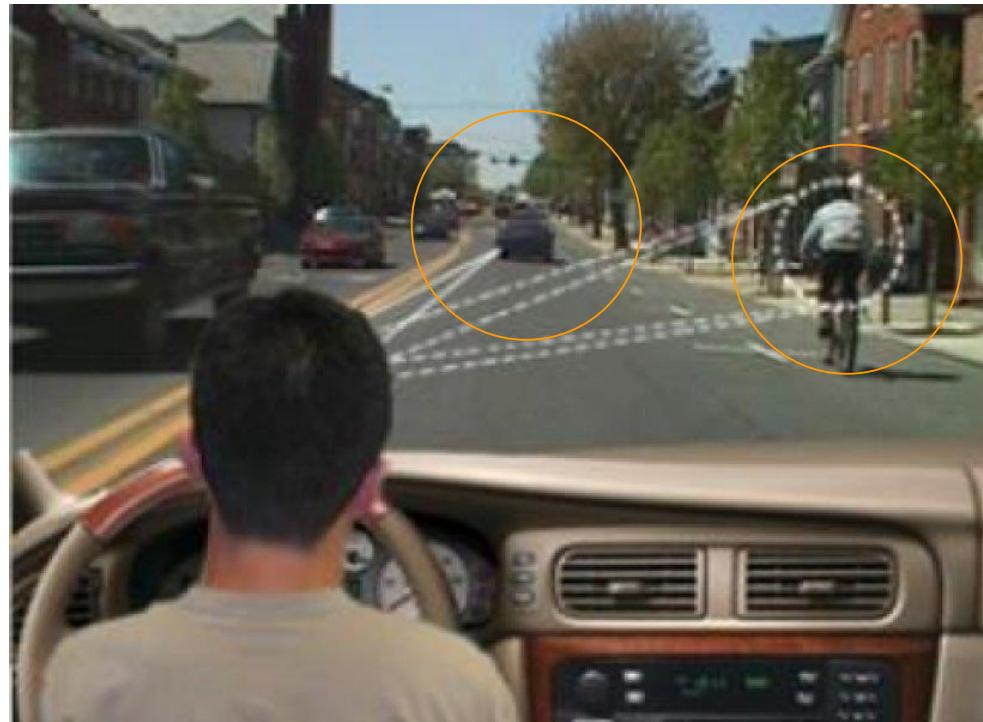
- ❑ **Internalizing Human-to-Vehicle Advice for Self-driving Vehicles**

Jinkyu Kim, Teruhisa Misu, Yi-Ting Chen, Ashish Tawari, and John Canny, CVPR 2019.

- ❑ **Advisable Learning for Self-driving Vehicles**

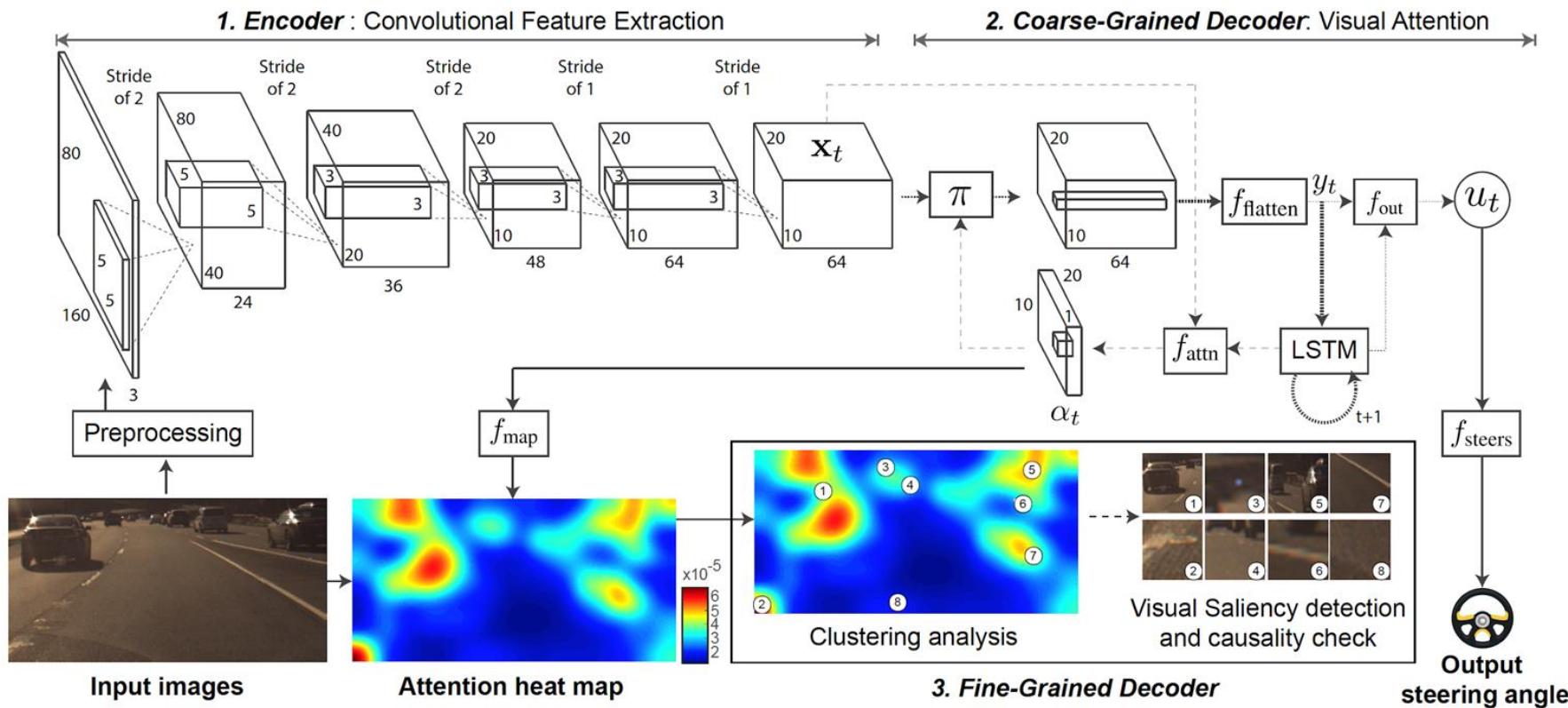
Jinkyu Kim, Anna Rohrbach, Dequan Wang, Trevor Darrell, and John Canny, *under review*.

# Visualizing Causal Attention



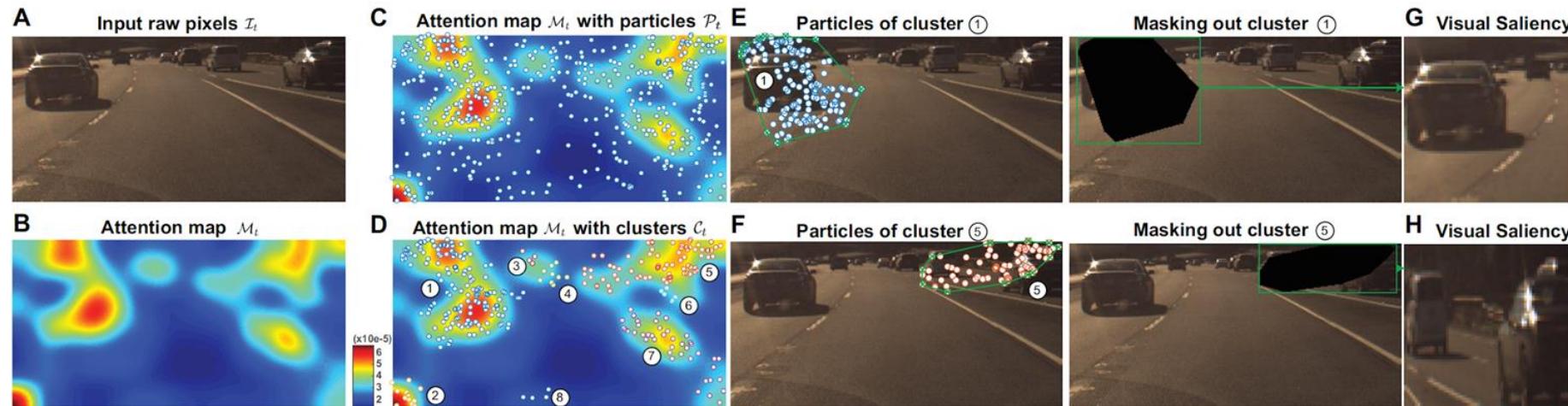
# Visualizing Causal Attention

Highlights image regions that causally influence the network's output (i.e., steering)



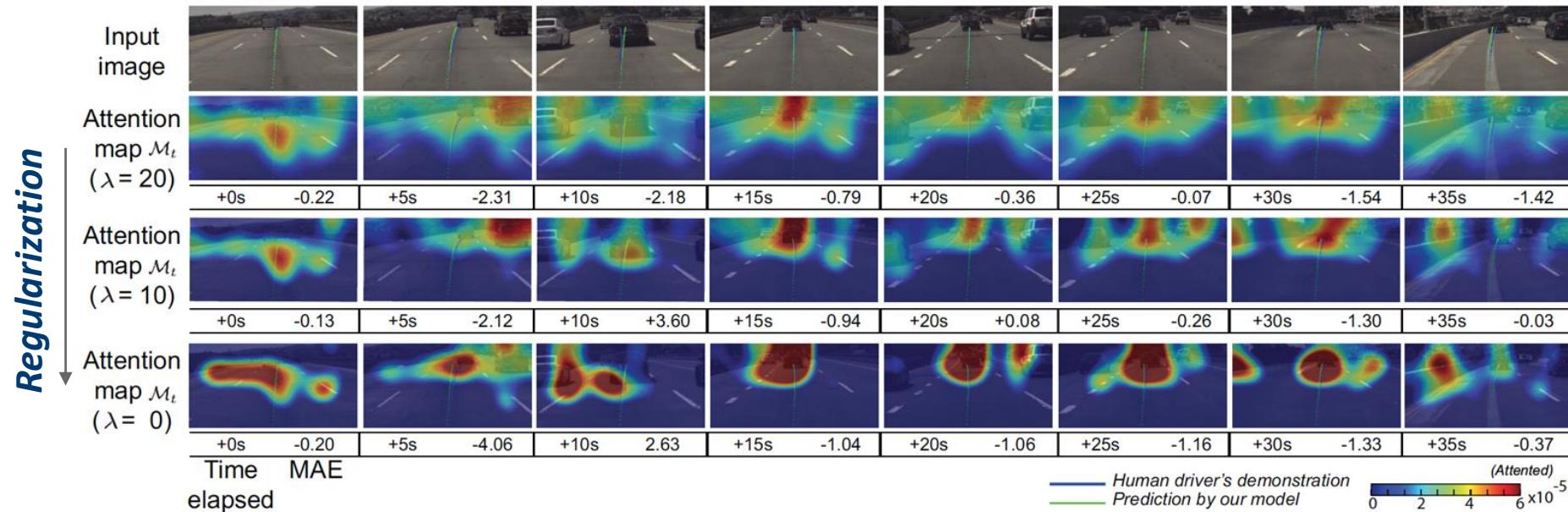
# Fine-Grained Decoder (Causality check)

Fine-grained decoder to remove spurious attention blobs and to find causal local visual blobs



# Examples of Attention Map

Attention maps over time (from left to right)



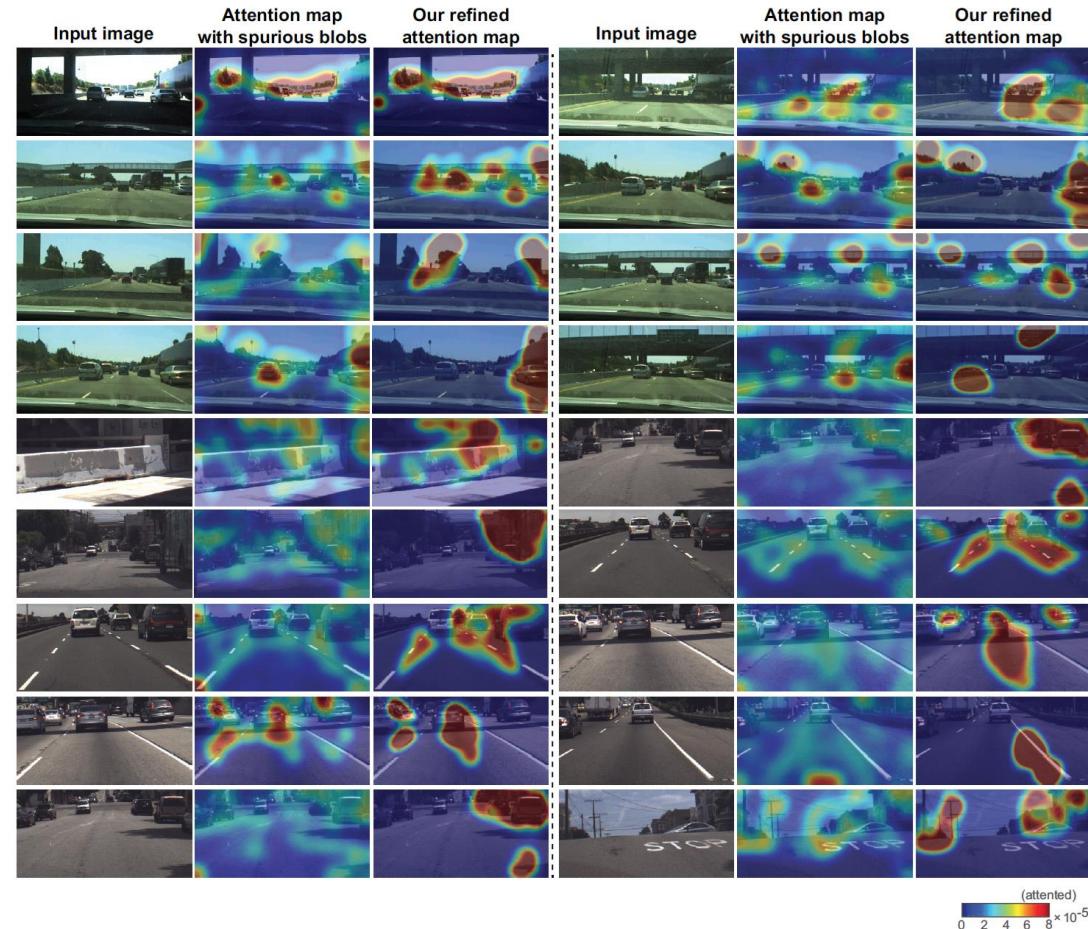
# Quantitative Evaluation (Goodness)

Control accuracy is ***not degraded*** by incorporation of attention compared to an identical base CNN without attention.

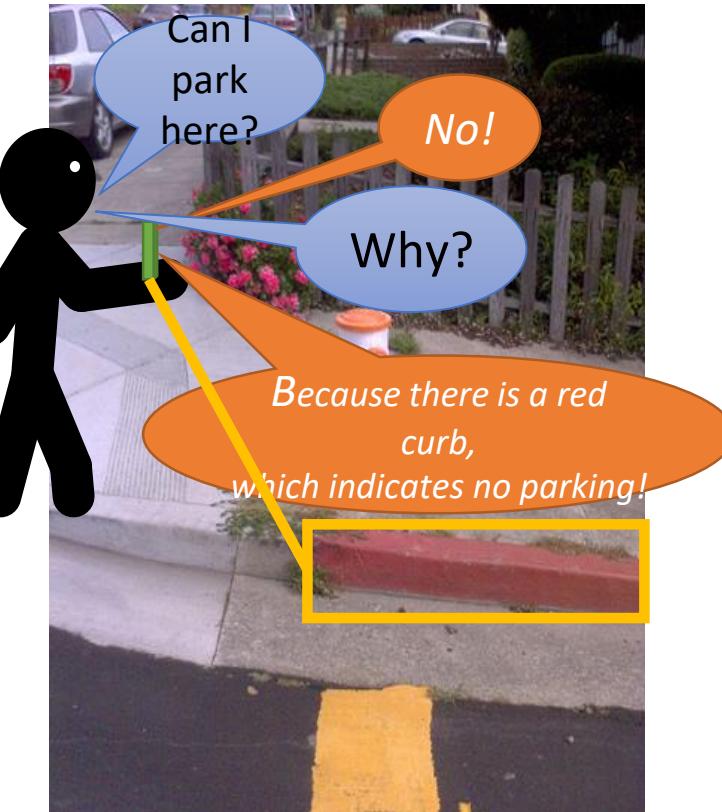
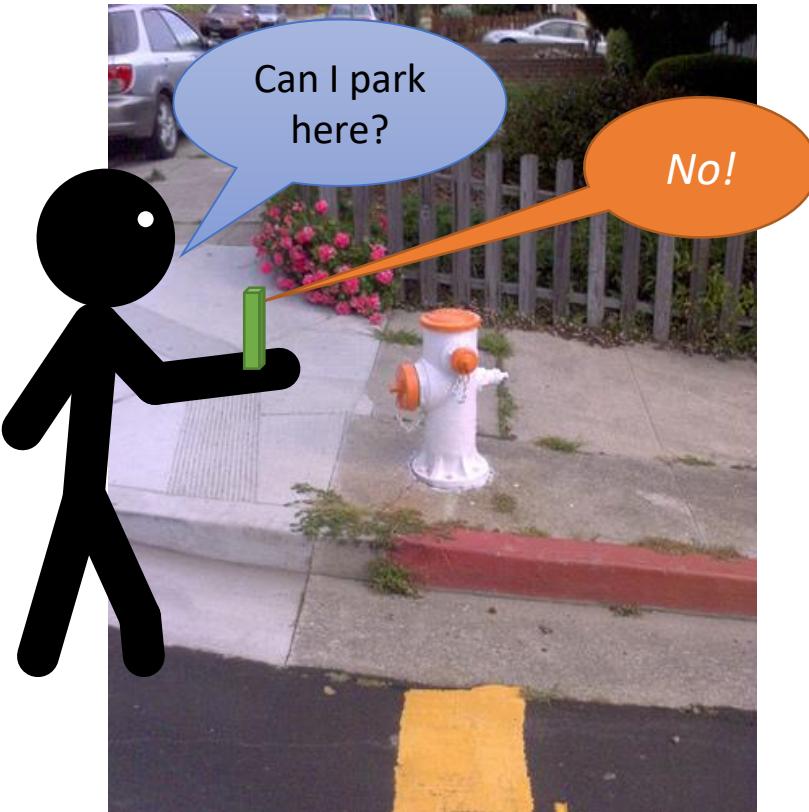
Dataset	Model	MAE in degree [SD]	
		Training	Testing
Comma.ai	CNN+FCN	.421 [0.82]	2.54 [3.19]
	CNN+LSTM	.488 [1.29]	2.58 [3.44]
	Attention ( $\lambda=0$ )	.497 [1.32]	2.52 [3.25]
	Attention ( $\lambda=10$ )	.464 [1.29]	2.56 [3.51]
	Attention ( $\lambda=20$ )	.463 [1.24]	<b>2.44 [3.20]</b>
HCE	CNN+FCN	.246 [.400]	1.27 [1.57]
	CNN+LSTM	.568 [.977]	1.57 [2.27]
	Attention ( $\lambda=0$ )	.334 [ .766]	<b>1.18 [1.66]</b>
	Attention ( $\lambda=10$ )	.358 [ .728]	1.25 [1.79]
	Attention ( $\lambda=20$ )	.373 [ .724]	1.20 [1.66]
Udacity	CNN+FCN	.457 [.870]	<b>4.12 [4.83]</b>
	CNN+LSTM	.481 [1.24]	4.15 [4.93]
	Attention ( $\lambda=0$ )	.491 [1.20]	4.15 [4.93]
	Attention ( $\lambda=10$ )	.489 [1.19]	4.17 [4.96]
	Attention ( $\lambda=20$ )	.489 [1.26]	4.19 [4.93]

# Causal Attention Heat Maps

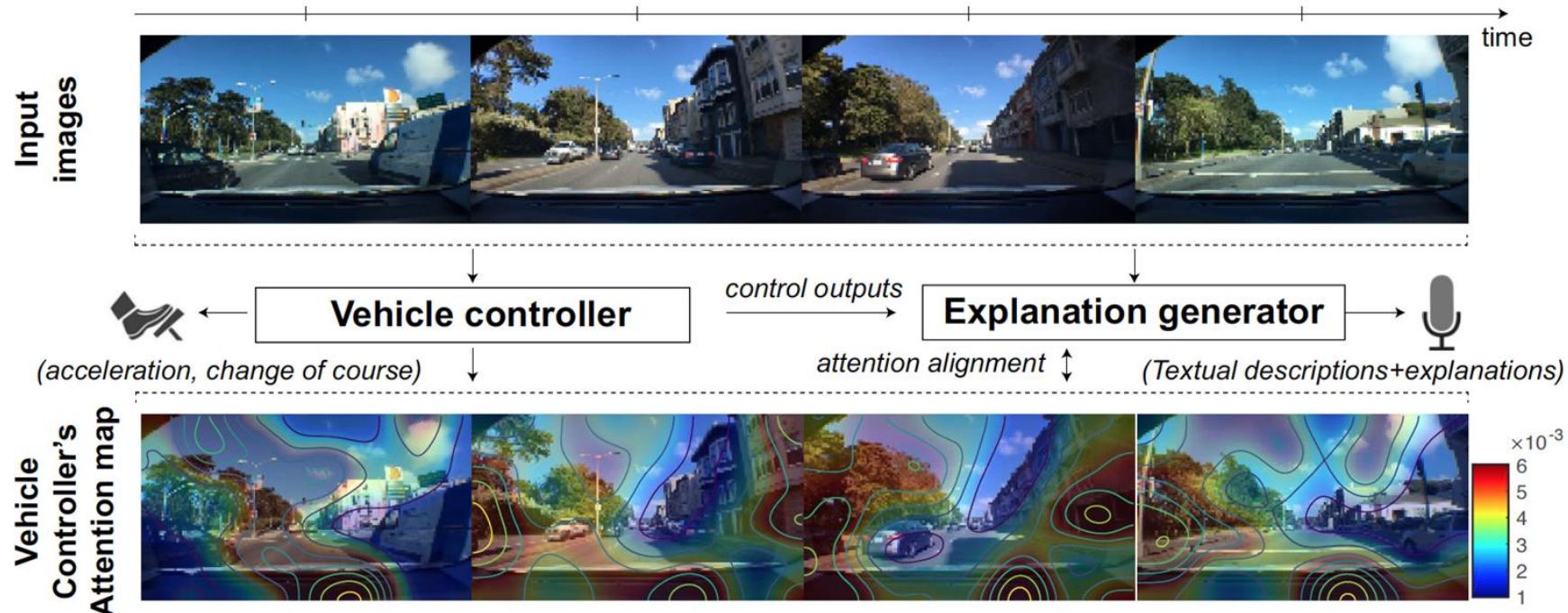
- Raw input image
- Visual attention heatmaps  
*with spurious* attention  
sources
- Attention heat maps by  
*filtering out spurious*  
*blobs*



# Textual Explanations



# Textual Explanations

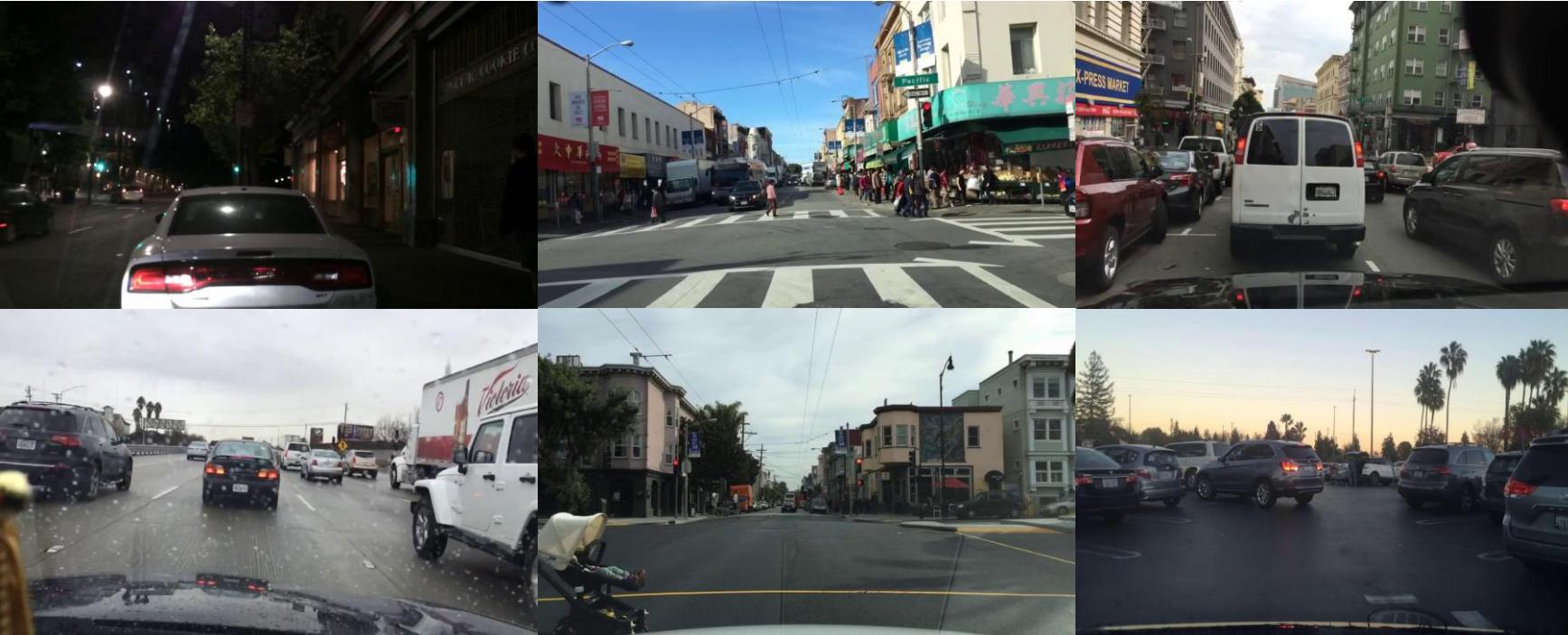


*Example of textual descriptions + explanations:*

**Ours:** "The car is driving forward + because there are no other cars in its lane"

**Human annotator:** "The car heads down the street + because the street is clear."

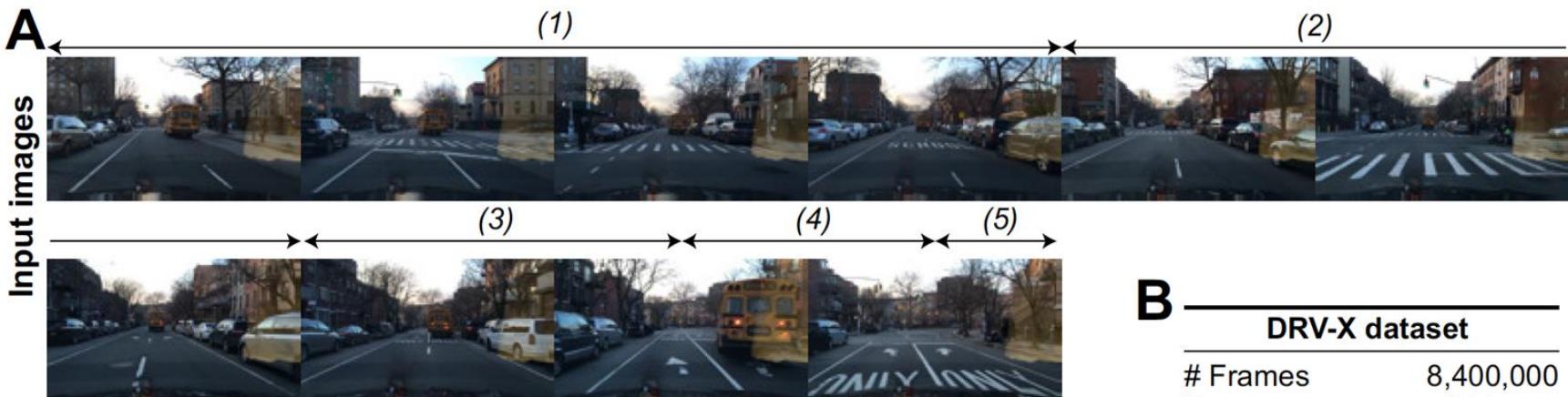
# Berkeley DeepDrive Video (BDD-V) Data



Over 10,000 hours of driving data, which provides  
(1) dash-cam video, (2) GPS, (3) course and speed

[Xu, Gao, Yu, Darrell, CVPR'17]

# Berkeley DeepDrive eXplanation (BDD-X) dataset



**Action descriptions:**

- (1) *The car is driving*
- (2) *The car is moving into the right lane*
- (3) *The car moves back into the left lane*
- (4) *The car drives in the left lane*
- (5) *The car moves into the right lane*

**Action explanations:**

*as there is nothing to impede it.*  
**because** *it is safe to do so.*  
**because** *the school bus in front of it is stopping.*  
**in order to** *pass the school bus.*  
**since** *it has now passed the school bus and it is taking the right fork.*

**B** 

---

**DRV-X dataset**

# Frames	8,400,000
Hours	≈ 77 hours
Condition	Urban
Lighting	Day/Night
# Annotations	26,228
Avg. # actions / videos	3.8
# Videos	6,984
# Training	5,588
# Validation / Testing	698

---

# Berkeley DeepDrive eXplanation (BDD-X) dataset

(Click to expand)

Link to video

**Instructions**  
Imagine you are a driving instructor.  
Fill the two text boxes with the following:

(1) Describe **WHAT** the driver is **doing**, especially when the behavior changes.  
The car is going down the highway.  
The car is passing another car while accelerating.

(2) **WHY** is the driver doing that / changing behavior  
as the lane is free  
since the car in front is going slowly and the left lane is empty

- Do not mention objects that are **not relevant** to the action.  
- Do not use proper nouns or names of the places.  
- Do not use figures of speech.  
- Do not presume what the driver is thinking

Please enter the time stamps as 2-digit whole numbers. No punctuation. I.e. 00 09

You'll note the examples always have a conjunction word such as "as, because, since" etc. This is to indicate the justification for the action.

Start: 00 End: 00 Action: The car is stopping  
Justification: because the light is red

Start: 00 End: 00 Action: The car is stopping  
Justification: Because the light is red

Start: 00 End: 00 Action: The car is stopping  
Justification: Because the light is red

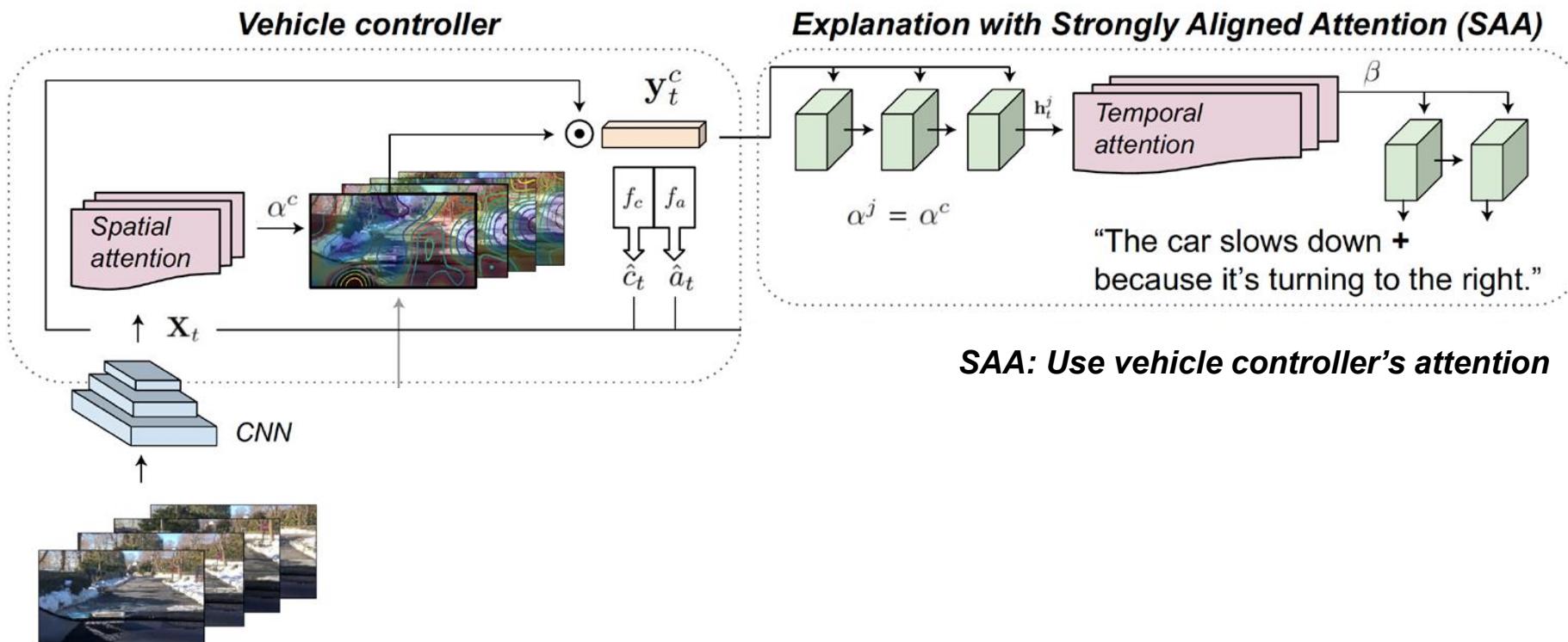
Start: 00 End: 00 Action: The car is stopping  
Justification: Because the light is red

Click here if you require additional fields.

BDD-X action descriptions		BDD-X action explanations	
Word	Count	Word	Count
stop	6879	traffic	7486
slow	6122	light	6116
forward	4322	red	3979
drive	3994	move	3915
move	3273	clear	3660
accelerate	2882	ahead	3629
right	2616	road	3528
left	2574	stop	3430

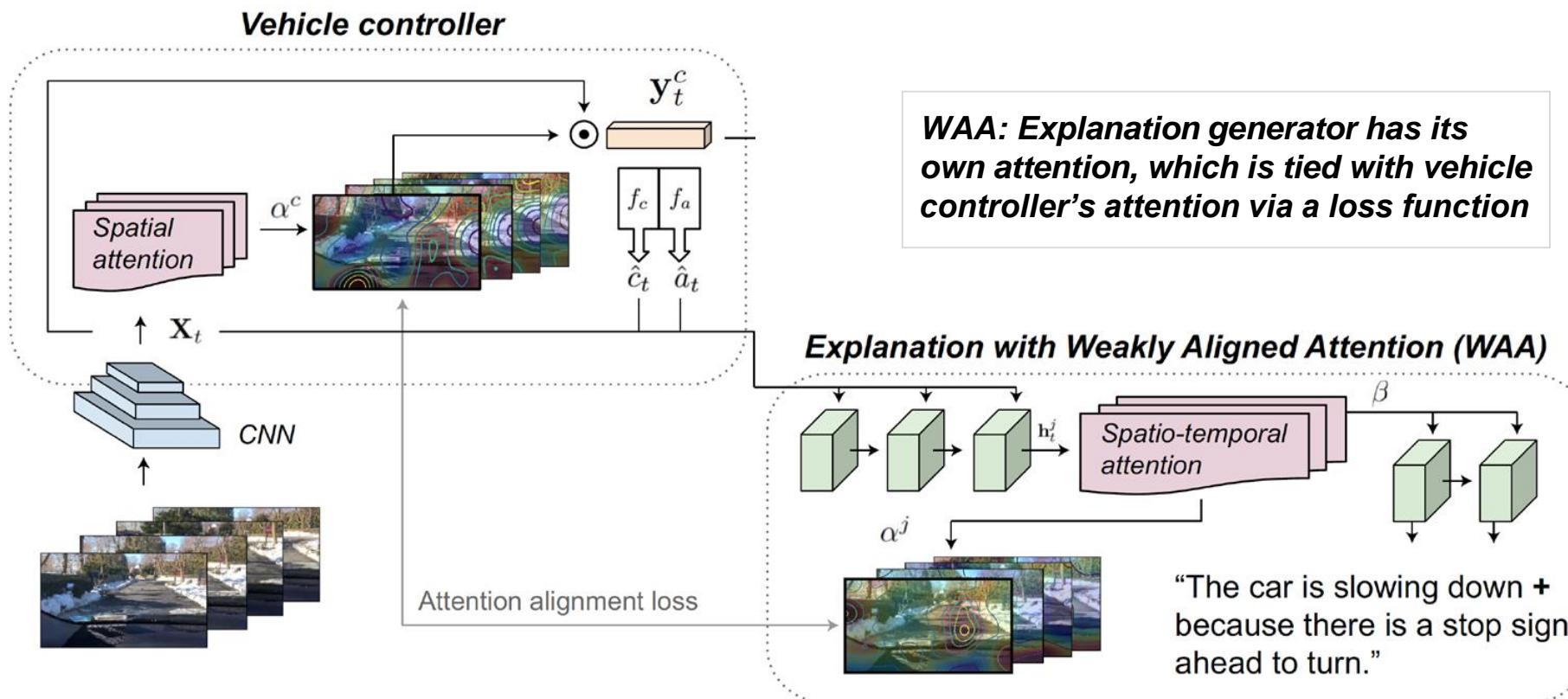
# Model

Two approaches (SAA and WAA) to align the vehicle controller and the textual justifier such that they look at the same input regions.



# Model

Two approaches (SAA and WAA) to align the vehicle controller and the textual justifier such that they look at the same input regions.



## Quantitative Analysis

Model	$\lambda_c$	Mean of absolute error (MAE)		Mean of distance correlation	
		Acceleration (m/s <sup>2</sup> )	Course (degree)	Acceleration (m/s <sup>2</sup> )	Course (degree)
CNN+FC [1] <sup>†</sup>	-	6.92 [7.50]	12.1 [19.7]	0.17 [0.15]	0.16 [0.14]
CNN+FC [1]+P	-	6.09 [7.73]	6.74 [14.9]	0.21 [0.18]	0.39 [0.33]
CNN+LSTM+Attention [4] <sup>†</sup>	-	6.87 [7.44]	10.2 [18.4]	0.19 [0.16]	0.22 [0.18]
CNN+LSTM+Attention+P (Ours)	1000	5.02 [6.32]	6.94 [15.4]	0.65 [0.25]	0.43 [0.33]
CNN+LSTM+Attention+P (Ours)	100	2.68 [3.73]	6.17 [14.7]	0.78 [0.28]	0.43 [0.34]
CNN+LSTM+Attention+P (Ours)	10	2.33 [3.38]	6.10 [14.7]	0.81 [0.27]	0.46 [0.35]
CNN+LSTM+Attention+P (Ours)	0	<b>2.29 [3.33]</b>	<b>6.06 [14.7]</b>	<b>0.82 [0.26]</b>	<b>0.47 [0.35]</b>

- **Prior measurements (P) help**
- **Spatial attention helps**
- **Low entropy attention leads to higher error**

# Quantitative Analysis

Type	Model	Control inputs	$\lambda_a$	$\lambda_c$	Explanations (e.g. “because the light is red”)			Descriptions (e.g. “the car stops”)		
					BLEU-4	METEOR	CIDEr-D	BLEU-4	METEOR	CIDEr-D
	Non-XAI baseline	-	-	-	1.692	8.30	13.29	0.41	21.99	14.17
	S2VT [17]	N	-	-	6.332	11.19	53.35	30.21	27.53	179.8
	S2VT [17]+SA	N	-	-	5.668	10.96	51.37	28.94	26.91	171.3
	S2VT [17]+SA+TA	N	-	-	5.847	10.91	52.74	27.11	26.41	157.0
<i>Rationalization</i>	Ours (no constraints)	Y	0	0	6.515	12.04	61.99	31.01	28.64	205.0
	Ours (with SAA)	Y	-	0	6.998	12.08	62.24	<b>32.44</b>	29.13	213.6
	Ours (with SAA)	Y	-	10	6.760	12.23	63.36	29.99	28.26	203.6
	Ours (with SAA)	Y	-	100	7.074	12.23	66.09	31.84	29.11	214.8
	Ours (with WAA)	Y	10	0	6.967	12.14	64.19	32.24	29.00	<b>219.7</b>
	Ours (with WAA)	Y	10	10	6.951	<b>12.34</b>	68.56	30.40	28.57	206.6
	Ours (with WAA)	Y	10	100	<b>7.281</b>	12.24	<b>69.52</b>	32.34	<b>29.22</b>	215.8

- All better than baseline
- Introspective better than Rationalization
- WAA is best

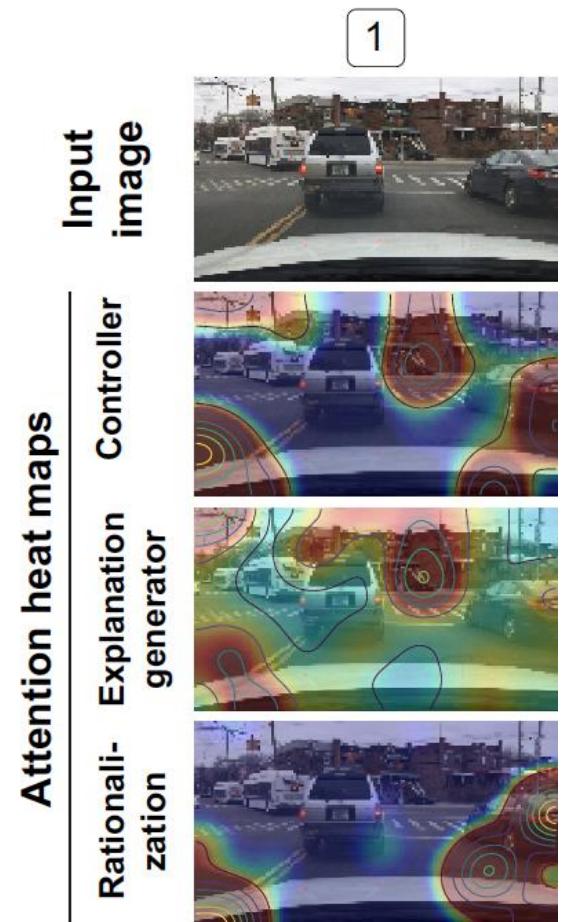
*Abbreviation:*  
 S2VT (seq-to-seq video-to-text)  
 TA (temporal fusion)  
 SA (spatial attention)  
 WAA (Weakly aligned attention)  
 SAA (Strongly aligned attention)

## Human Evaluation

Type	Model	Control inputs	$\lambda_a$	$\lambda_c$	Correctness rate	
					Explanations	Descriptions
<i>Non-XAI baseline</i> <sup>†</sup>		-	-	-	22.4%	35.6%
<i>Rationalization</i>	Ours (no constraints)	Y	0	0	64.0%	92.8%
<i>Introspective explanation</i>	Ours (with SAA)	Y	-	100	62.4%	90.8%
	Ours (with WAA)	Y	10	100	<b>66.0%</b>	<b>93.5%</b>

Table 3: Human evaluation of the generated action descriptions and explanations for randomly chosen 250 video intervals. We measure the success rate where at least 2 human judges rate the generated description or explanation with a score 1 (correct and specific/detailed) or 2 (correct). <sup>†</sup>: Sentences are sampled based on their frequency in the training data (i.e. a strong prior).

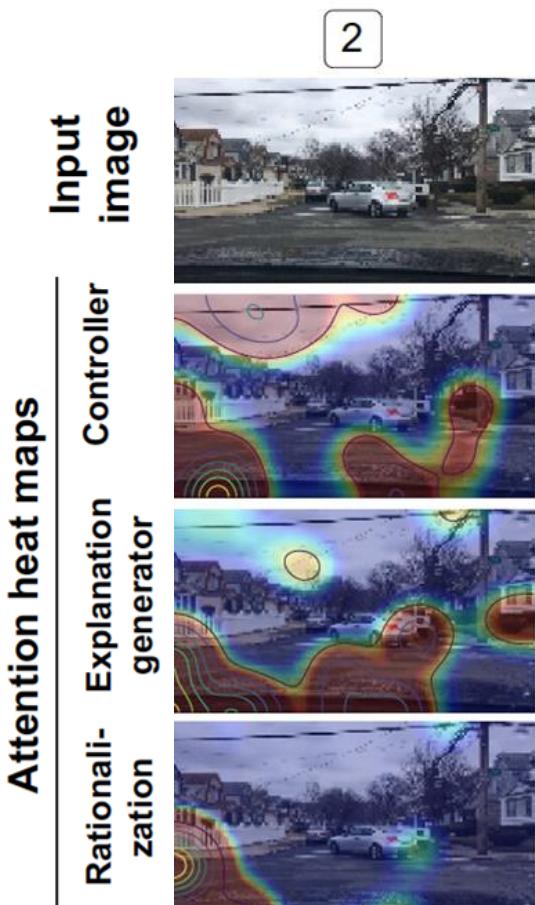
## Examples of explanations generated



1

- Human:** The car steadily driving + now that the cars are moving.  
**Ours (WAA):** The car is driving forward + because traffic is moving freely.  
**Ours (SAA):** The car heads down the road + because traffic is moving at a steady pace.  
**Rationalization:** The car slows down + because it's getting ready to a stop sign.

## Examples of explanations generated



2

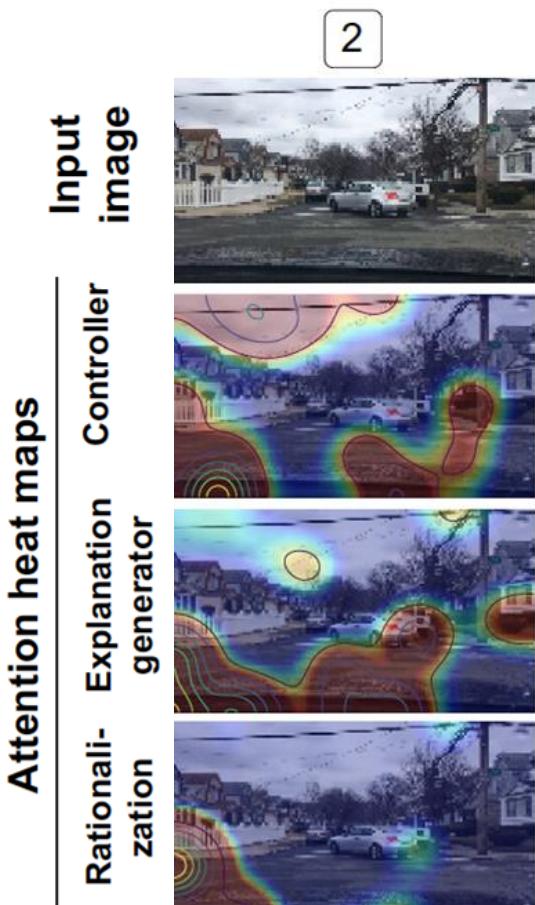
*Human:* The car slows down + since it is about to turn left.

*Ours (WAA):* The car slows down + because it is preparing to turn to the road.

*Ours (SAA):* The car is slowing + because it is approaching a stop sign.

*Rationalization:* The car slows + because there is a stop sign.

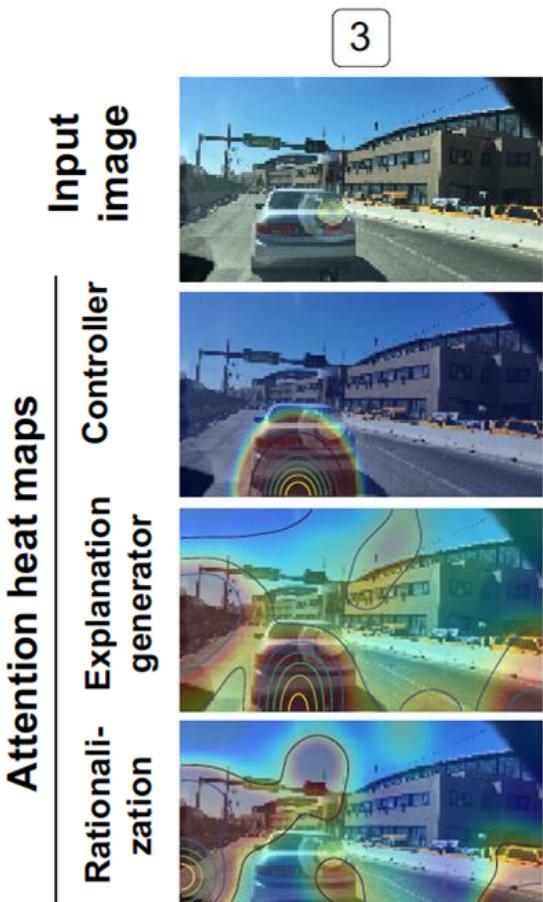
## Examples of explanations generated



2

- Human:* The car slows down + since it is about to turn left.  
*Ours (WAA):* The car slows down + because it is preparing to turn to the road.  
*Ours (SAA):* The car is slowing + because it is approaching a stop sign.  
**Rationalization:** The car slows + because there is a stop sign.

## Examples of explanations generated



3

**Human:** The car is stopped + while it waits for traffic in front of it to move.

**Ours (WAA):** The car is stopped + because traffic is stopped.

**Ours (SAA):** The car is stopped + because the car in front of it is stopped.

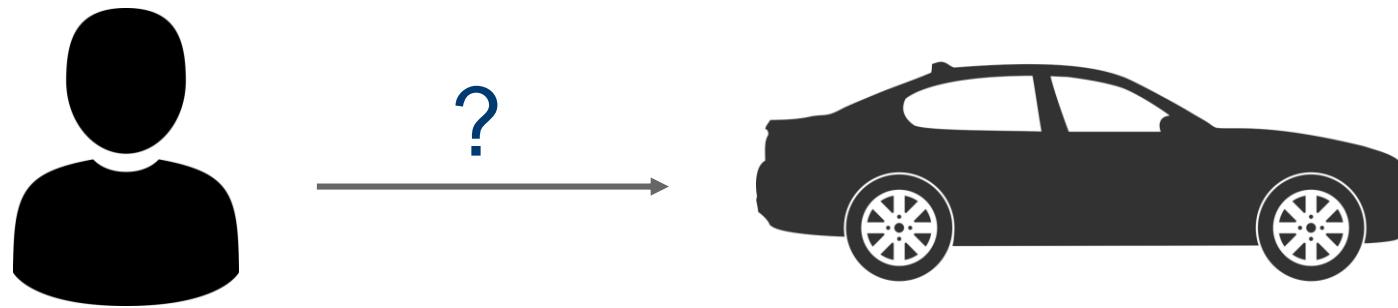
**Rationalization:** The car is stopped + because it is parked in the left lane.

# eXplainable AI (for self-driving cars)



- Visualizing **Attention Maps**
- Generating Textual **Explanations**

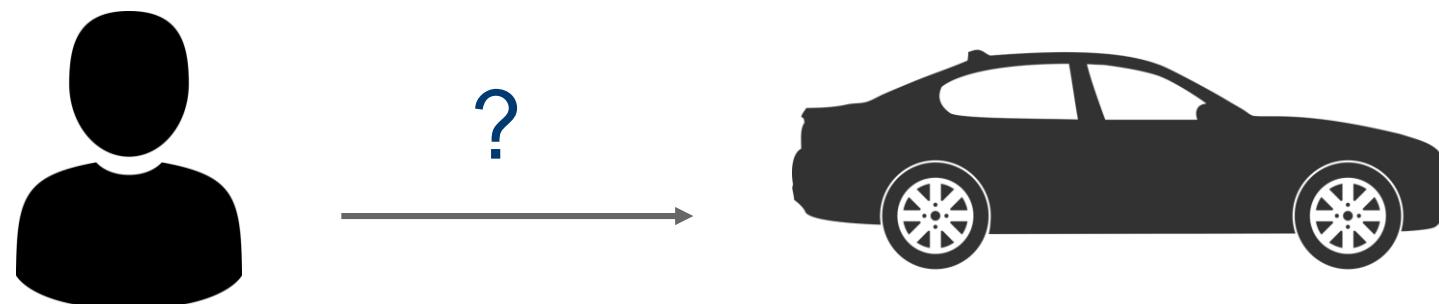
## Advisable AI (for self-driving cars)



We want to allow end-users to not only  
*understand* the controller, but to  
*influence* it.

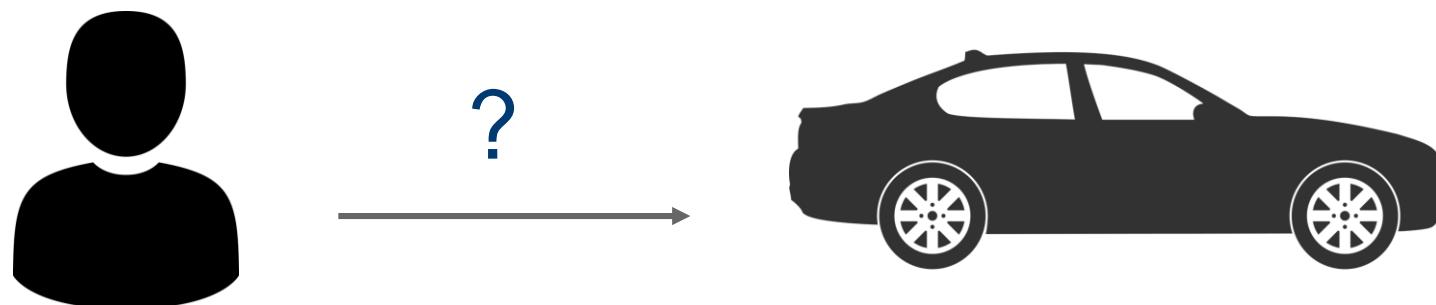
# Why Advice and Not Commands?

- Users will not be aware of the full state of the vehicle (they are not driving), controller should be in charge.
- Users have real world knowledge that the controller lacks which can improve safety and ride quality.

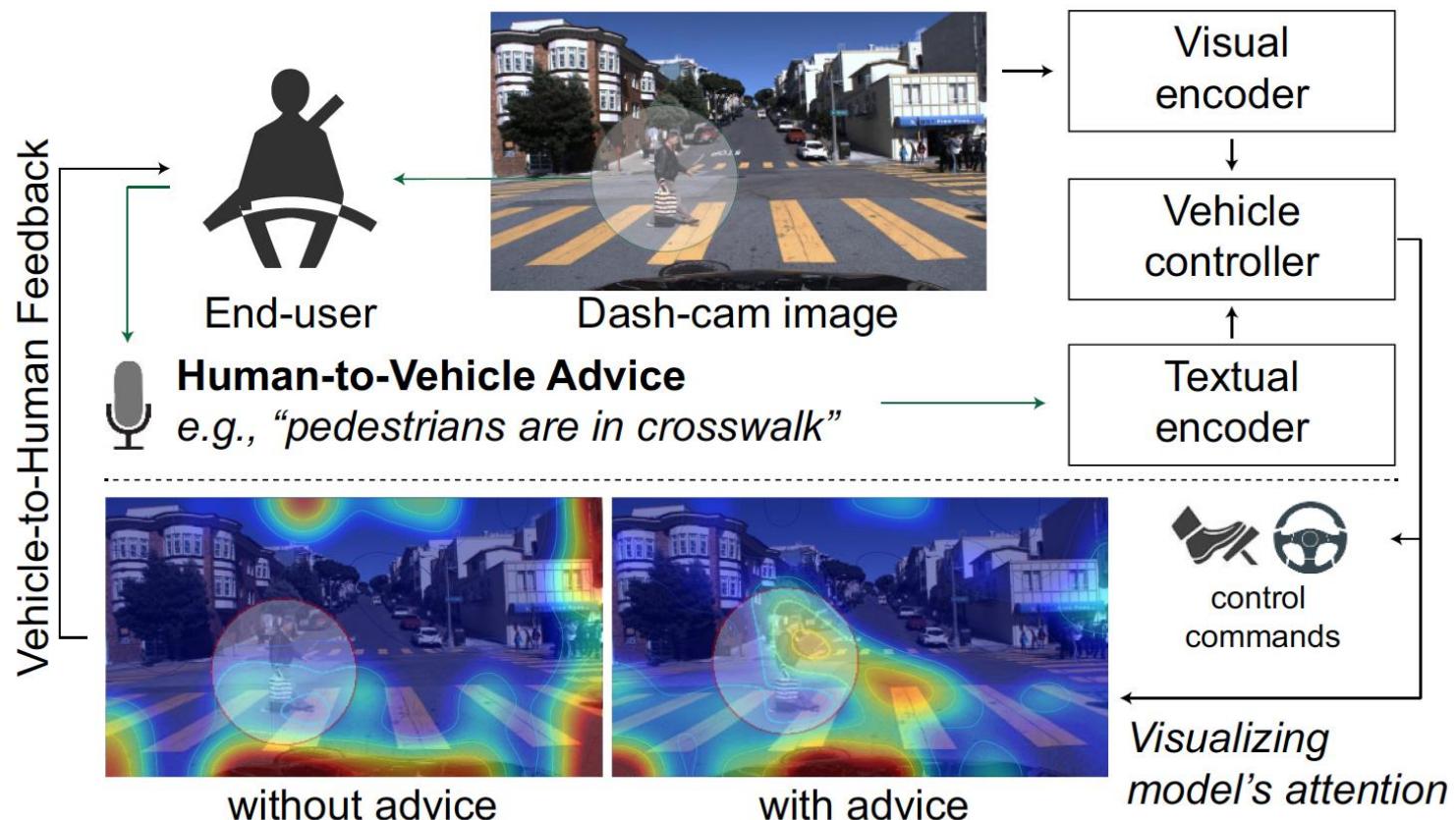


# Why Advice and Not Commands?

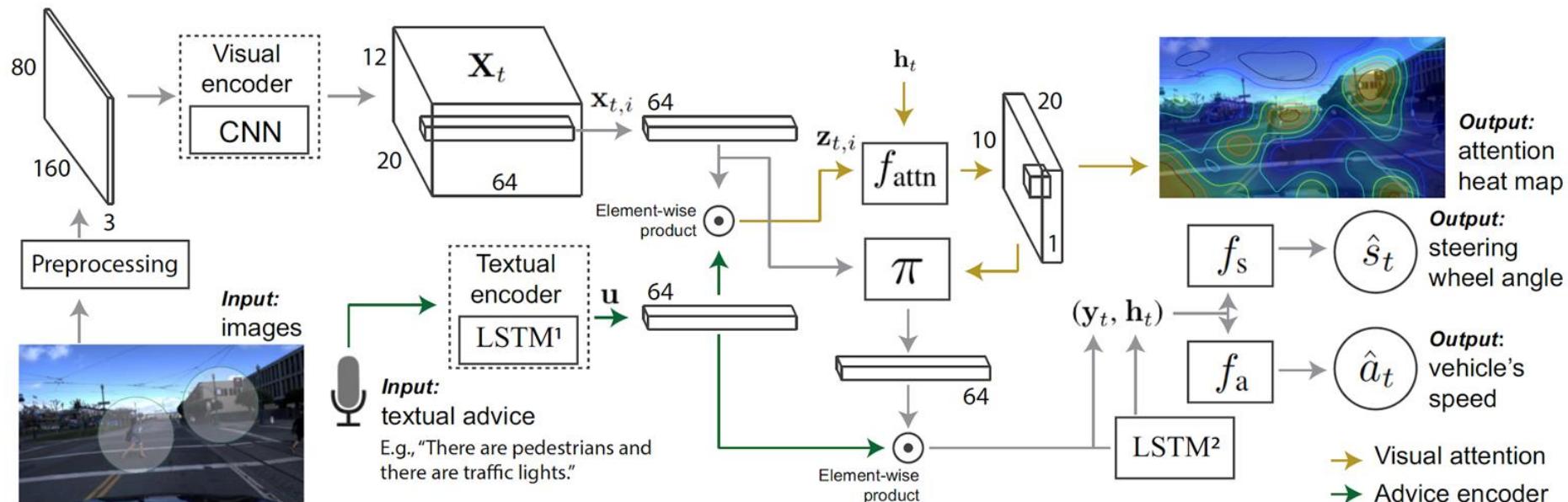
- Advice will often be given offline, or at the beginning of a ride:
  - When at an intersection, look out for pedestrians
  - Drive gently (occupant gets carsick)
- What we have now: instantaneous control using text grounded in the video.



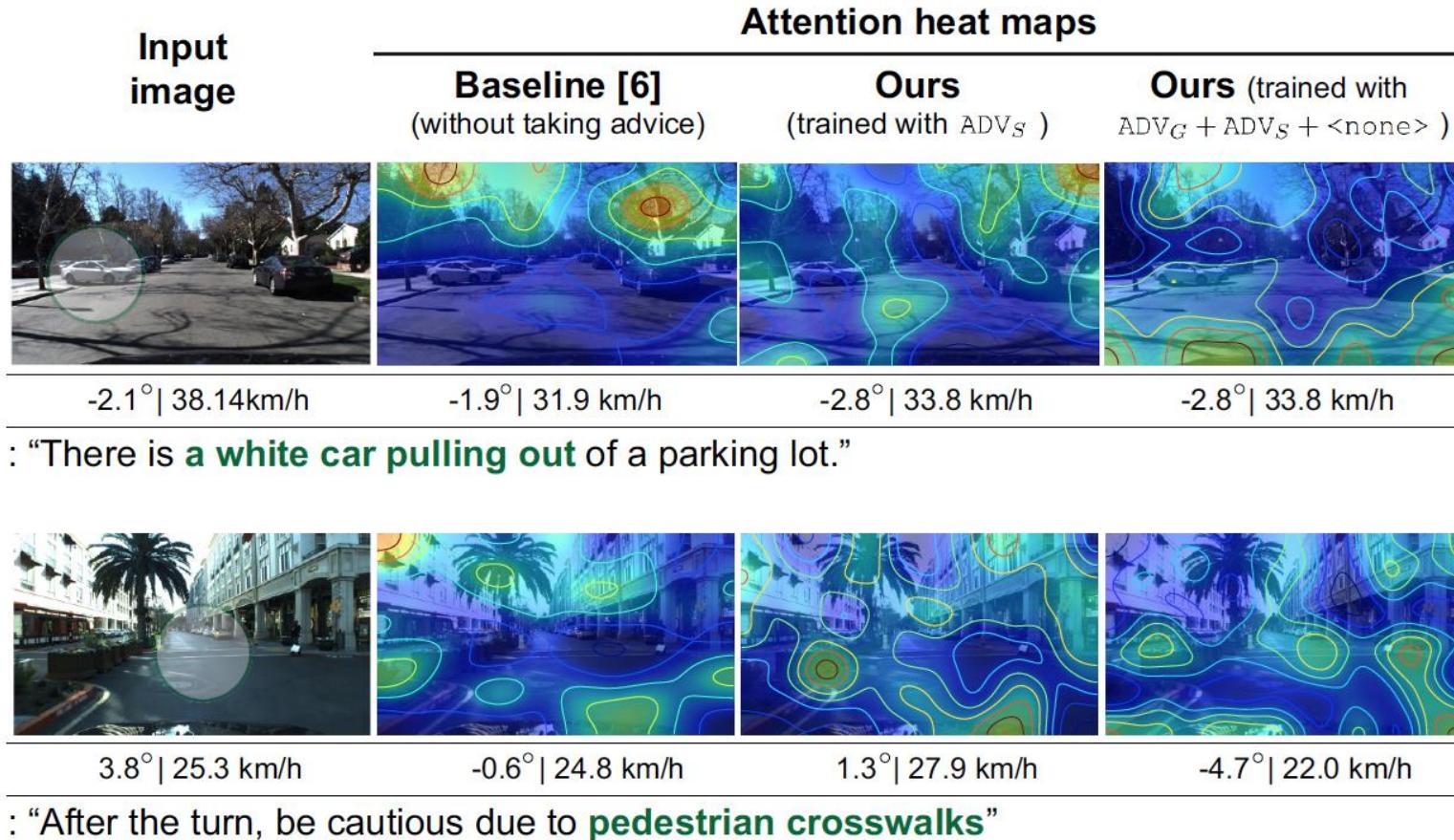
# Advisable AI (for self-driving cars)



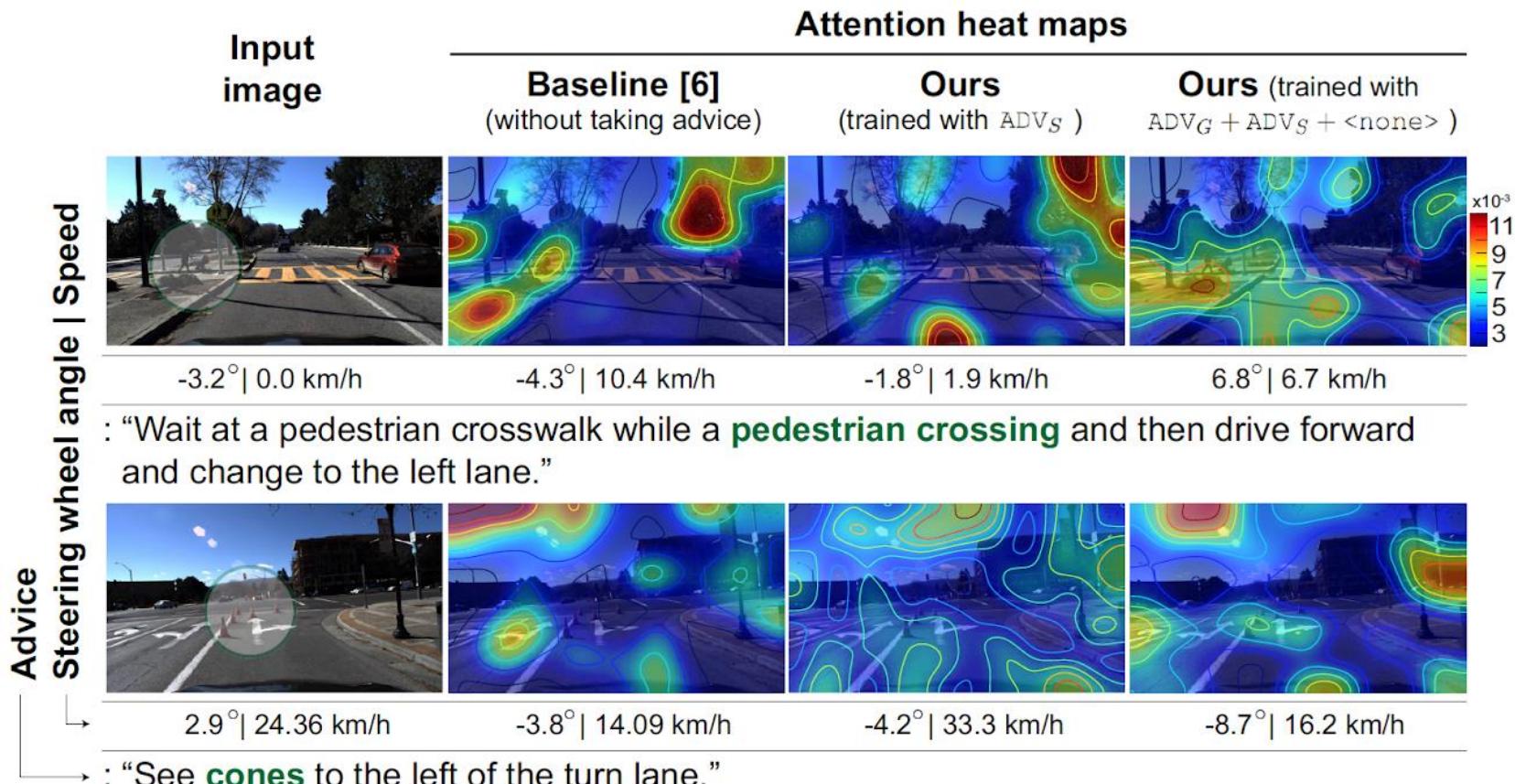
# Advisable AI (for self-driving cars)



# Qualitative results



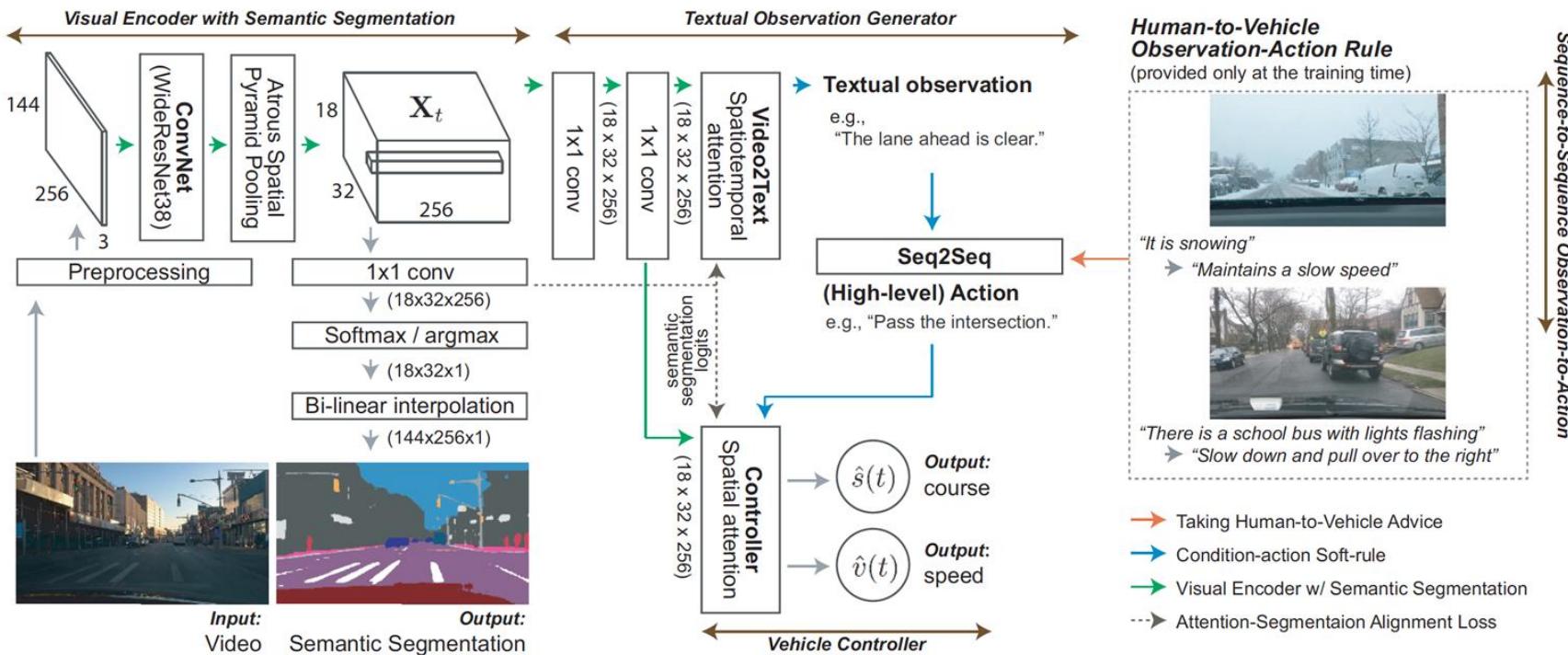
# Qualitative results



# Fine-grained Attention / Long-term Advice

We have explored an explainable and advisable driving model, which we explore several approaches for better forms of explainability:

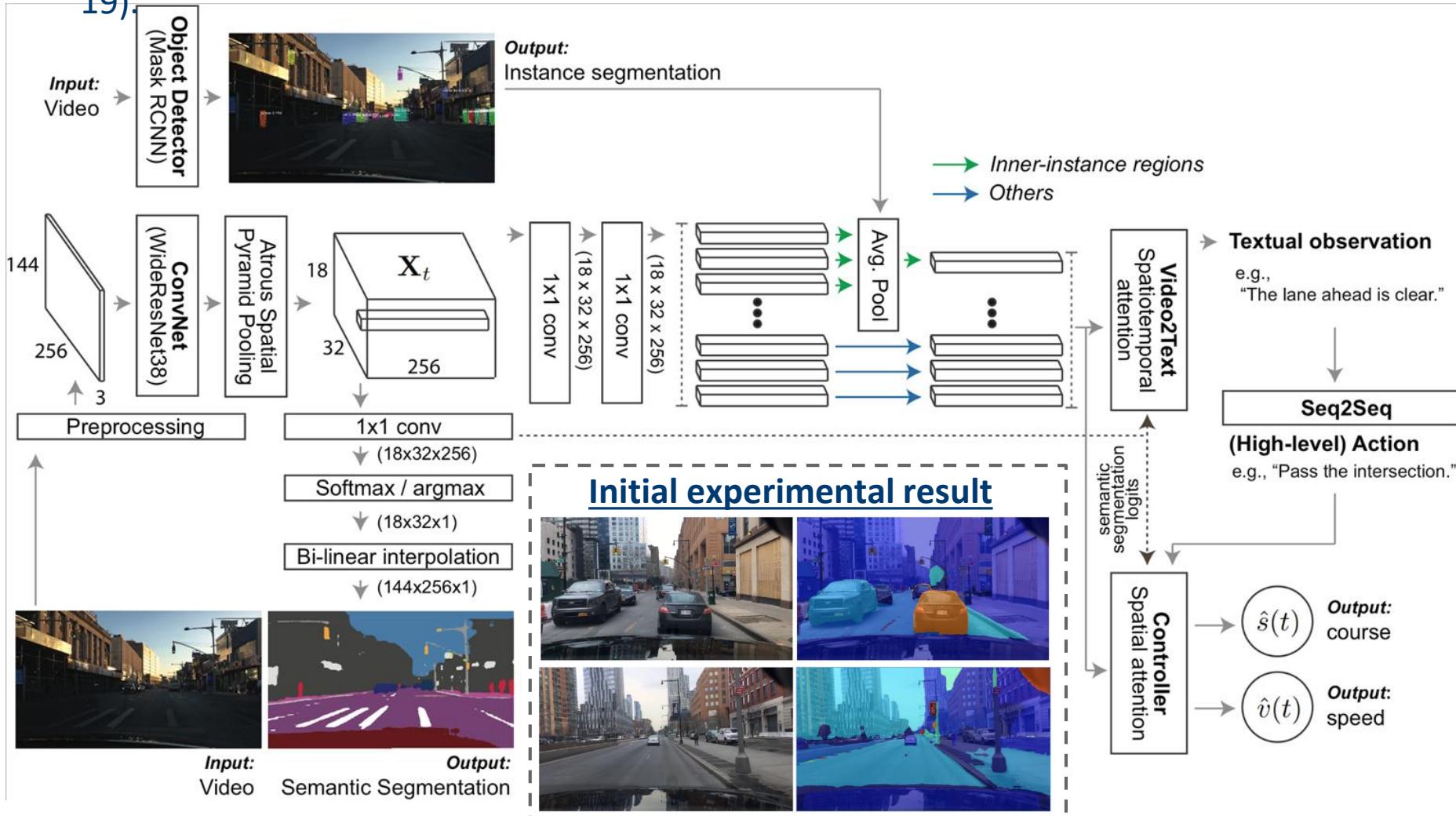
- We use semantic segmentation as an input representation.
- We update model to take long-term advice (*i.e* offline driving instructions).



Kim, Rohrbach, Wang, Darrell, and Canny, "Advisable Learning for Self-driving Vehicles," under review.

# Instance Attention

We are exploring a composite end-to-end vehicle controller that integrates our advisable/explainable model with our recent object-centric attention model (ICRA '19).



# Today

- Multi-step Saliency via Compositional NMNs
- Fine-grained Textual Explanations
- From Explainable to “Advisable” Driving Models