

## 14.10 Nondenumerable Basis States

In this section we describe the use of bras and kets for the position and momentum states of a particle moving on the real line  $x \in \mathbb{R}$ . Let us begin with position. We will introduce position states  $|x\rangle$  where the label  $x$  in the ket is the value of the position. Roughly,  $|x\rangle$  represents the state of the

system where the particle is at position  $x$ . The full state space requires position states  $|x\rangle$  for all values of  $x$ . Physically, we consider all of these states to be linearly independent: the state of a particle at some point  $x_0$  can't be built by the superposition of states where the particle is elsewhere. Since  $x$  is a continuous variable, the basis states form a nondenumerable infinite set:

$$\text{basis states: } |x\rangle, \quad \forall x \in \mathbb{R}. \quad (14.10.1)$$

Since we have an infinite number of basis vectors, this state space is an infinite-dimensional complex vector space. This should not surprise you. The states of a particle on the real line can be represented by wave functions, and the set of possible wave functions form an infinite-dimensional complex vector space.

Note here that the label in the ket is not a vector; it is the position on a line. If we did not have the decoration provided by the ket, it would be hard to recognize that the object is a state in an infinite-dimensional complex vector space. Therefore, the following should be noted:

$$\begin{aligned} |ax\rangle &\neq a|x\rangle, & \text{for any real } a \neq 1, \\ |-x\rangle &\neq -|x\rangle, & \text{unless } x=0, \\ |x_1+x_2\rangle &\neq |x_1\rangle + |x_2\rangle. \end{aligned} \quad (14.10.2)$$

All these equations would hold if the labels inside the kets were vectors. In the first line, roughly, the left-hand side is a state with a particle at  $ax$ , while the right-hand side is a state with a particle at  $x$ . Analogous remarks hold for the other lines. Note also that  $|0\rangle$  represents a particle at  $x=0$ , not the zero vector on the state space, for which we would probably have to use the symbol  $0$ .

For the quantum mechanics of a particle moving in three spatial dimensions, we would have position states  $|\mathbf{x}\rangle$ . Here the label is a vector in a three-dimensional real vector space, while the ket is a vector in the infinite-dimensional complex vector space of the theory. Again, the decoration enclosing the vector label plays a crucial role: it reminds us that the state lives in an infinite-dimensional complex vector space.

Let us go back to our position basis states for the one-dimensional problem. The inner product must be defined, so we will take

$$\langle x|y\rangle \equiv \delta(x-y). \quad (14.10.3)$$

It follows that position states with different positions are orthogonal to each other. The norm of a position state is infinite:  $\langle x|x\rangle = \delta(0) = \infty$ , so these are not allowed states of particles. We visualize the state  $|x\rangle$  as the state of a particle perfectly localized at  $x$ , but this is an idealization. We can easily construct normalizable states using the superpositions of position states. We also have a completeness relation:

$$\mathbb{1} = \int_{-\infty}^{\infty} dx |x\rangle \langle x|. \quad (14.10.4)$$

This is consistent with our inner product above. Letting the above equation act on  $|y\rangle$ , we find an equality:

$$|y\rangle = \int dx |x\rangle \langle x|y\rangle = \int dx |x\rangle \delta(x-y) = |y\rangle. \quad (14.10.5)$$

All integrals are now assumed to run from  $-\infty$  to  $+\infty$ . The position operator  $\hat{x}$  is defined by its action on the position states. Not surprisingly, we define

$$\hat{x}|x\rangle \equiv x|x\rangle, \quad (14.10.6)$$

thus declaring that  $|x\rangle$  are  $\hat{x}$  eigenstates with eigenvalue equal to the position  $x$ . We can also show that  $\hat{x}$  is a Hermitian operator by checking that  $\hat{x}^\dagger$  and  $\hat{x}$  have the same matrix elements:

$$\langle x_1|\hat{x}^\dagger|x_2\rangle = \langle x_2|\hat{x}|x_1\rangle^* = [x_1\delta(x_1-x_2)]^* = x_2\delta(x_1-x_2) = \langle x_1|\hat{x}|x_2\rangle, \quad (14.10.7)$$

using the reality of  $x_1$  and  $\delta(x_1-x_2)$  and the symmetry of the delta function to change  $x_1$  into  $x_2$ . We thus conclude that  $\hat{x}^\dagger = \hat{x}$ . As a result, the bra associated with (14.10.6) is

$$\langle x|\hat{x} = x\langle x|. \quad (14.10.8)$$

The wave function associated with a state is formed by taking the inner product of a position state with the given state. Given the state  $|\psi\rangle$  of a particle, we define the associated position state wave function  $\psi(x)$  by

$$\psi(x) \equiv \langle x|\psi\rangle \in \mathbb{C}. \quad (14.10.9)$$

This is sensible:  $\langle x|\psi\rangle$  is a number that depends on the value of  $x$  and is thus a function of  $x$ . We can now do a number of basic computations. First, we write any state as a superposition of position eigenstates by inserting  $\mathbb{1}$ , as in the completeness relation:

$$|\psi\rangle = \mathbb{1}|\psi\rangle = \int dx |x\rangle \langle x|\psi\rangle = \int dx |x\rangle \psi(x). \quad (14.10.10)$$

As expected,  $\psi(x)$  is the component of  $|\psi\rangle$  along the state  $|x\rangle$ . The overlap of states can also be written in position space:

$$\langle\phi|\psi\rangle = \langle\phi|\mathbb{1}|\psi\rangle = \int dx \langle\phi|x\rangle \langle x|\psi\rangle = \int dx \phi^*(x)\psi(x). \quad (14.10.11)$$

Matrix elements involving  $\hat{x}$  are also easily evaluated:

$$\begin{aligned} \langle\phi|\hat{x}|\psi\rangle &= \langle\phi|\hat{x}\mathbb{1}|\psi\rangle = \int dx \langle\phi|\hat{x}|x\rangle \langle x|\psi\rangle \\ &= \int dx \langle\phi|x\rangle x \langle x|\psi\rangle = \int dx \phi^*(x) x \psi(x). \end{aligned} \quad (14.10.12)$$

We now introduce momentum states  $|p\rangle$  that are eigenstates of the momentum operator  $\hat{p}$ , in complete analogy to the position states:

Basis states:  $|p\rangle, \forall p \in \mathbb{R}$ .

$$\begin{aligned} \langle p'|p\rangle &= \delta(p-p'), \\ \mathbb{1} &= \int dp |p\rangle \langle p|, \\ \hat{p}|p\rangle &= p|p\rangle. \end{aligned} \quad (14.10.13)$$

Just as for position space, we also find that

$$\hat{p}^\dagger = \hat{p}, \quad \text{and} \quad \langle p|\hat{p} = p\langle p|. \quad (14.10.14)$$

In order to relate the two bases, we need the value of the overlap  $\langle x|p\rangle$ . Since  $|p\rangle$  is the state of a particle with momentum  $p$ , we must interpret  $\langle x|p\rangle$  as the wave function for a particle with momentum  $p$ :

$$\langle x|p\rangle = \frac{e^{ipx/\hbar}}{\sqrt{2\pi\hbar}}, \quad (14.10.15)$$

where the normalization was adjusted to be compatible with the completeness relations. Indeed, consider the  $\langle p'|p\rangle$  overlap and use completeness in  $x$  to evaluate it:

$$\begin{aligned}\langle p'|p\rangle &= \int dx \langle p'|x\rangle \langle x|p\rangle = \frac{1}{2\pi\hbar} \int dx e^{i(p-p')x/\hbar} \\ &= \frac{1}{2\pi} \int du e^{i(p-p')u} = \delta(p-p'),\end{aligned}\tag{14.10.16}$$

where we let  $u = x/\hbar$  and used the integral representation of the delta function obtained from Fourier's theorem in (4.4.5).

We can now ask: What is  $\langle p|\psi\rangle$ ? The answer is quickly obtained by computation:

$$\langle p|\psi\rangle = \int dx \langle p|x\rangle \langle x|\psi\rangle = \frac{1}{\sqrt{2\pi\hbar}} \int dx e^{-ipx/\hbar} \psi(x) = \tilde{\psi}(p),\tag{14.10.17}$$

which is the Fourier transform of  $\psi(x)$ , as defined in (4.4.12). Thus, the *Fourier transform of  $\psi(x)$  is the wave function in the momentum representation.*

It is often necessary to evaluate  $\langle x|\hat{p}|\psi\rangle$ . This is, by definition, the wave function of the state  $\hat{p}|\psi\rangle$ . We would expect it to equal the familiar action of the momentum operator on the wave function for  $|\psi\rangle$ . There is no need to speculate, because we can calculate this matrix element with the rules defined so far. We do so by inserting a complete set of momentum states:

$$\langle x|\hat{p}|\psi\rangle = \int dp \langle x|p\rangle \langle p|\hat{p}|\psi\rangle = \int dp \langle p(x|p)\rangle \langle p|\psi\rangle.\tag{14.10.18}$$

Now we notice that

$$p\langle x|p\rangle = \frac{\hbar}{i} \frac{d}{dx} \langle x|p\rangle,\tag{14.10.19}$$

and therefore,

$$\langle x|\hat{p}|\psi\rangle = \int dp \left( \frac{\hbar}{i} \frac{d}{dx} \langle x|p\rangle \right) \langle p|\psi\rangle.\tag{14.10.20}$$

The derivative can be moved out of the integral since no other part of the integrand depends on  $x$ :

$$\langle x | \hat{p} | \psi \rangle = \frac{\hbar}{i} \frac{d}{dx} \int dp \langle x | p \rangle \langle p | \psi \rangle. \quad (14.10.21)$$

The completeness sum is now trivial and can be discarded to obtain, as anticipated,

$$\boxed{\langle x | \hat{p} | \psi \rangle = \frac{\hbar}{i} \frac{d}{dx} \langle x | \psi \rangle = \frac{\hbar}{i} \frac{d}{dx} \psi(x).} \quad (14.10.22)$$

**Exercise 14.10.** *Show that*

$$\langle x | \hat{p}^n | \psi \rangle = \left( \frac{\hbar}{i} \frac{d}{dx} \right)^n \psi(x). \quad (14.10.23)$$

**Exercise 14.11.** *Show that*

$$\langle p | \hat{x} | \psi \rangle = i\hbar \frac{d}{dp} \tilde{\psi}(p). \quad (14.10.24)$$

**Example 14.15.** *Ket version of the Schrödinger equation.*

Given a state  $|\psi\rangle$ , we defined the wave function  $\psi(x) = \langle x | \psi \rangle$ . For the time-dependent state  $|\Psi, t\rangle$ , we define the Schrödinger wave function  $\Psi(x, t)$  similarly:

$$\Psi(x, t) \equiv \langle x | \Psi, t \rangle. \quad (14.10.25)$$

In here, the time dependence simply goes along for the ride. Consider the familiar form of the Schrödinger equation for a particle of mass  $m$  moving in a one-dimensional potential  $V(x, t)$ :

$$i\hbar \frac{\partial \Psi(x, t)}{\partial t} = \left( -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x, t) \right) \Psi(x, t). \quad (14.10.26)$$

Since the bra  $\langle x |$  is time independent, (14.10.25) implies that the left-hand side of the above equation can be written as follows:

$$i\hbar \frac{\partial \Psi(x, t)}{\partial t} = \langle x | i\hbar \frac{\partial}{\partial t} | \Psi, t \rangle. \quad (14.10.27)$$

Similarly, using (14.10.23) for  $n = 2$ , we find that

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \Psi(x, t) = \langle x | \frac{\hat{p}^2}{2m} | \Psi, t \rangle. \quad (14.10.28)$$

Finally,

$$V(x, t)\Psi(x, t) = \langle x|V(\hat{x}, t)|\Psi, t\rangle. \quad (14.10.29)$$

It follows from the last three equations that the Schrödinger equation (14.10.26) can be rewritten as

$$\langle x|i\hbar\frac{\partial}{\partial t}|\Psi, t\rangle = \langle x|\left(\frac{\hat{p}^2}{2m} + V(\hat{x}, t)\right)|\Psi, t\rangle. \quad (14.10.30)$$

Since this holds for arbitrary bra  $\langle x|$ , it follows that we have the ket equality:

$$i\hbar\frac{\partial}{\partial t}|\Psi, t\rangle = \left(\frac{\hat{p}^2}{2m} + V(\hat{x}, t)\right)|\Psi, t\rangle. \quad (14.10.31)$$

Identifying the operator on the right-hand side as the Hamiltonian  $\hat{H}$ , we get

$$i\hbar\frac{\partial}{\partial t}|\Psi, t\rangle = \hat{H}|\Psi, t\rangle, \quad (14.10.32)$$

which is the “ket” version of the Schrödinger equation.

□

**Example 14.16.** *Harmonic oscillator in bra-ket notation.*

The harmonic oscillator is a quantum system with a countable set of basis states: the energy eigenstates  $\varphi_n(x)$  with  $n = 0, 1, \dots$ . Since these wave functions have  $x$  dependence, it is natural to define kets  $|n\rangle$  representing energy eigenstates and identify the wave functions as overlaps with the position states:

$$\varphi_n(x) = \langle x|n\rangle. \quad (14.10.33)$$

In particular, the ground state is now called  $|0\rangle$ , and we have

$$\varphi_0(x) = \langle x|0\rangle. \quad (14.10.34)$$

Do not confuse the oscillator ground state  $|0\rangle$  with the zero vector or with a state of zero energy! The wave function  $\varphi_0(x)$  arises from the condition  $\hat{a}|0\rangle = 0$ . To get a differential equation for  $\varphi_0$ , we act on  $\hat{a}|0\rangle = 0$  with the position bra  $\langle x|$ :

$$\langle x|\hat{a}|0\rangle=0 \Rightarrow \langle x|\left(\hat{x}+\frac{i\hat{p}}{m\omega}\right)|0\rangle=0. \quad (14.10.35)$$

Using the identity (14.10.22) to turn  $\hat{p}$  into a differential operator, we find that

$$\left(x+\frac{i}{m\omega}\frac{\hbar}{i}\frac{d}{dx}\right)\varphi_0(x)=0 \Rightarrow \left(\frac{d}{dx}+\frac{x}{L_0^2}\right)\varphi_0=0, \quad (14.10.36)$$

where  $L_0$  is the familiar oscillator size. The solution is indeed  $\varphi_0(x)=N_0 \exp(-\frac{x^2}{2L_0^2})$ , with  $N_0^2=\frac{1}{\sqrt{\pi}}\frac{1}{L_0}$  for unit normalization. In the new notation, the formula  $\varphi_n=\frac{1}{\sqrt{n!}}(\hat{a}^\dagger)^n\varphi_0$ , derived in section 9.4, takes the form

$$|n\rangle=\frac{1}{\sqrt{n!}}(\hat{a}^\dagger)^n|0\rangle. \quad (14.10.37)$$

These states are eigenstates of the number operator with eigenvalue  $n$ :  $\hat{N}|n\rangle=n|n\rangle$ . Recall also that the Hamiltonian is  $\hat{H}=\hbar\omega(\hat{N}+\frac{1}{2})$ . The action of creation and annihilation operators on the energy eigenstates was determined in (9.4.26). In the new notation,

$$\begin{aligned} \hat{a}^\dagger|n\rangle &= \sqrt{n+1}|n+1\rangle \\ \hat{a}|n\rangle &= \sqrt{n}|n-1\rangle. \end{aligned} \quad (14.10.38)$$

The matrix elements of an operator  $\square$  are rewritten as  $\langle\varphi_m,\square\varphi_n\rangle=\langle m|\square|n\rangle$ . □

## Problems

**Problem 14.1.** *Schwarz inequality and triangle inequality.*

1. For real vector spaces, the dot product satisfies the Schwarz inequality  $(\mathbf{a} \cdot \mathbf{b})^2 \leq (\mathbf{a} \cdot \mathbf{a})(\mathbf{b} \cdot \mathbf{b})$ . Prove this inequality as follows. Consider the vector  $\mathbf{a} - \lambda\mathbf{b}$ , with  $\lambda$  a real constant. Note that

$$f(\lambda) \equiv (\mathbf{a} - \lambda\mathbf{b}) \cdot (\mathbf{a} - \lambda\mathbf{b}) \geq 0 \quad (14.10.39)$$

for all  $\lambda$ , and therefore the minimum over  $\lambda$  is still nonnegative:  $\min_\lambda f(\lambda) \geq 0$ . When is the Schwarz inequality saturated?



2. For a complex vector space, the Schwarz inequality reads  $|\langle a, b \rangle| \leq \|a\| \|b\|$ , with the norm defined by  $\|a\|^2 = \langle a, a \rangle$ . Prove this inequality using the vector  $v(\lambda) \equiv a - \lambda b$ , with  $\lambda$  a *complex* constant and noting that

$$f(\lambda) \equiv \langle v(\lambda), v(\lambda) \rangle \geq 0, \quad (14.10.40)$$

for all  $\lambda$  so that the minimum of  $f(\lambda)$  over  $\lambda$  is nonnegative. When is the Schwarz inequality saturated? [Hint: To minimize over a complex variable (such as  $\lambda$ ), one must vary the real and imaginary parts. Equivalently, show that you can treat  $\lambda$  and  $\lambda^*$  as if they were independent variables in the sense of partial derivatives. Confirm that since  $f(\lambda)$  is real, the stationary condition for  $\lambda$  is equivalent to the stationary condition for  $\lambda^*$ .]

3. For a complex vector space, one has the *triangle inequality*

$$\|a + b\| \leq \|a\| + \|b\|. \quad (14.10.41)$$

Prove this inequality starting from the expansion of  $\|a+b\|^2$ . You will have to use the property  $|\operatorname{Re}(z)| \leq |z|$ , which holds for any complex number  $z$ , as well as the Schwarz inequality. Show that the triangle inequality is saturated if and only if  $a = cb$  for  $c$ , a *real* positive constant.

**Problem 14.2.** *Overlap of two spin one-half states.*

Consider a spin state  $|\mathbf{n}\rangle$  where  $\mathbf{n}$  is the unit vector defined by the polar and azimuthal angles  $\theta$  and  $\phi$  and the spin state  $|\mathbf{n}'\rangle$  where  $\mathbf{n}'$  is the unit vector defined by the polar and azimuthal angles  $\theta'$  and  $\phi'$ . Let  $\gamma$  denote the angle between the vectors  $\mathbf{n}$  and  $\mathbf{n}'$ :  $\mathbf{n} \cdot \mathbf{n}' = \cos \gamma$ . Show by direct computation that the overlap of the associated spin states is controlled by *half* the angle between the unit vectors:

$$|\langle \mathbf{n}' | \mathbf{n} \rangle|^2 = \cos^2 \frac{\gamma}{2} = \frac{1}{2}(1 + \mathbf{n} \cdot \mathbf{n}'). \quad (14.10.42)$$

**Problem 14.3.** *Orthogonal projections and approximations (Axler).*

Consider a vector space  $V$  with an inner product and a subspace  $U$  of  $V$ . The question is: Given a vector  $v \in V$  that is not in  $U$ , what is the vector in

$U$  that best approximates  $v$ ? As we also have a norm, we can ask a more precise question: What is the vector  $u \in U$  for which  $|v - u|$  is smallest? The answer is nice and simple: the vector  $u$  is given by  $P_U v$ , the orthogonal projection of  $v$  to  $U$ !

1. Prove the above claim by showing that for any  $u \in U$  one has

$$|v - u| \geq |v - P_U v|. \quad (14.10.43)$$

As an application consider the infinite-dimensional vector space of real functions in the interval  $x \in [-1, 1]$ . The inner product of two functions  $f$  and  $g$  on this interval is taken to be

$$\langle f, g \rangle = \int_{-1}^1 f(x)g(x)dx. \quad (14.10.44)$$

Take  $U$  to be the six-dimensional subspace of functions spanned by  $\{1, x, x^2, x^3, x^4, x^5\}$ . (For this problem please use an algebraic manipulator that does integrals.)

2. Use the Gram-Schmidt algorithm to find an orthonormal basis  $(e_1, \dots, e_6)$  for  $U$ .
3. Consider approximating the functions  $\sin \pi x$  and  $\cos \pi x$  with the best possible representatives from  $U$ . Calculate exactly these two representatives and write them as polynomials in  $x$  with coefficients that depend on powers of  $\pi$  and other constants. Also write the polynomials using numerical coefficients with six significant digits.
4. Do a plot for each of the functions ( $\sin \pi x$  and  $\cos \pi x$ ) where you show the original function, its best approximation in  $U$  calculated above, and the approximation in  $U$  that corresponds to the truncated Taylor expansion about  $x = 0$ .

The polynomials you found in (2) are in fact proportional to the Legendre polynomials, which are orthogonal but normalized differently.

**Problem 14.4.** *Elaborations on a theorem.*

[Theorem 14.5.1](#) states that for complex vector spaces, the condition  $\langle v, Tv \rangle = 0$  for all  $v \in V$  implies that  $T = 0$ . The result does not hold for real vector spaces. To distinguish the two cases, we consider separate conditions:

$$\text{Real case: } \langle u, Su \rangle = 0, \text{ for all } u, \quad \text{complex case: } \langle v, Tv \rangle = 0, \text{ for all } v. \quad (14.10.45)$$

We first examine the case of dimension two to see why the theorem is true and why it fails for real vector spaces. Then we extend to higher dimensions.

1. Let  $S$  be represented by a real  $2 \times 2$  matrix  $S_{ij}$  and  $u$  by two real components  $u_i$ , with  $i, j = 1, 2$ . Similarly, let  $T$  be represented by a  $2 \times 2$  matrix  $T_{ij}$  with complex entries and  $v$  by two complex components  $v_i$ , with  $i, j = 1, 2$ . Write out the quadratic forms and then apply the conditions under which they vanish for all  $u$  and  $v$ , respectively. Show that  $T_{ij} = 0$ . For what kind of matrices  $S$  does the vanishing of  $\langle u, Su \rangle$  imply the vanishing of  $S$ ?
2. Extend your argument to arbitrary size matrices, showing that  $T_{ij} = 0$  and stating for what kind of matrices  $S$  the theorem holds in the real case.
3. Consider a complex vector space and an arbitrary linear operator. A basis can be shown to exist for which the matrix representing the operator has an upper-triangular form (the elements below the diagonal vanish). In light of the above analysis, explain why the same does not hold for arbitrary linear operators on real vector spaces.

**Problem 14.5.** *Another characterization of orthogonal projectors.*

Consider a vector space  $V$  and a linear operator  $P$  that satisfies the equation  $P^2 = P$ . [Theorem 14.5.4](#) demonstrates that this implies  $V = \text{null } P \oplus \text{range } P$ . This is not enough, however, to show that  $P$  is an orthogonal projector. Show that orthogonality is guaranteed if

$$|Pv| \leq |v| \quad \text{for all } v \in V. \quad (14.10.46)$$

You may find it useful to prove first the following characterization of orthogonal vectors: Let  $u, v \in V$ . Then  $\langle u, v \rangle = 0$  if and only if  $|u| \leq |u + av|$  for any constant  $a$ .

**Problem 14.6.** *Rotation matrix for vectors.*

Given a vector  $\mathbf{u}$  in  $\mathbb{R}^3$ , the rotation matrix  $\mathcal{R}_n(\alpha)$  acting on the vector is supposed to give us the result of rotating  $\mathbf{u}$  by an angle  $\alpha$  about an axis

oriented along  $\mathbf{n}$ . We want to show that, as indicated in (14.7.6),

$$\mathcal{R}_{\mathbf{n}}(\alpha) \mathbf{u} = (1 - \cos \alpha) (\mathbf{n} \cdot \mathbf{u}) \mathbf{n} + (\cos \alpha) \mathbf{u} + (\sin \alpha) (\mathbf{n} \times \mathbf{u}). \quad (1)$$

We can denote the rotated vector by  $\mathbf{u}(\alpha) = \mathcal{R}_{\mathbf{n}}(\alpha) \mathbf{u}$ , with  $\mathbf{u} = \mathbf{u}(0)$ . As discussed, arising by rotation means that it satisfies the differential equation

$$\frac{d\mathbf{u}(\alpha)}{d\alpha} = \mathbf{n} \times \mathbf{u}(\alpha). \quad (2)$$

1. Verify the correctness of (1) by showing that it satisfies the differential equation (2).
2. Construct the solution (1) directly by inspection of the rotation geometry. For this write the  $\alpha = 0$  vector  $\mathbf{u}$  as follows:

$$\mathbf{u} = (\mathbf{u} \cdot \mathbf{n})\mathbf{n} + \mathbf{u}_{\perp}, \quad \mathbf{u}_{\perp} = \mathbf{u} - (\mathbf{u} \cdot \mathbf{n})\mathbf{n}.$$

Note that as  $\alpha$  starts to differ from zero it is  $\mathbf{u}_{\perp}$  that begins changing in time by rotating in a plane spanned by  $\mathbf{u}_{\perp}$  and  $\mathbf{n} \times \mathbf{u}_{\perp}$ .

3. Use index notation to describe the rotation as  $u_i(\alpha) = \mathcal{R}_{\mathbf{n}}(\alpha)_{ij} u_j$  with

$$\mathcal{R}_{\mathbf{n}}(\alpha)_{ij} = (1 - \cos \alpha) n_i n_j + \cos \alpha \delta_{ij} + \sin \alpha \epsilon_{ikj} n_k.$$

Use this to prove the rotational invariance (14.7.8) of the dot product.

4. Confirm explicitly the composition rule (14.7.7) for rotations.

**Problem 14.7.** *Sum rules and the quantum virial theorem.*

Consider the Hamiltonian  $\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x})$  for a one-dimensional quantum system. Assume  $\hat{H}$  has a discrete set of eigenfunctions:  $\hat{H}|a\rangle = E_a|a\rangle$ , with  $a$  running over some set of values.

1. Prove the Thomas-Reiche-Kuhn sum rule:  $\sum_{a'} |\langle a|\hat{x}|a'\rangle|^2 (E_{a'} - E_a) = \frac{\hbar^2}{2m}$ . (Hint: consider  $[[\hat{x}, \hat{H}], \hat{x}]$ .)
2. Show that  $\langle a|\hat{p}|a'\rangle = \frac{im}{\hbar} (E_a - E_{a'}) \langle a|\hat{x}|a'\rangle$ . (Hint: consider  $[\hat{H}, \hat{x}]$ .) Use this result to prove the energy-weighted sum rule:

$$\sum_{a'} |\langle a|\hat{x}|a'\rangle|^2 (E_a - E_{a'})^2 = \frac{\hbar^2}{m^2} \langle a|\hat{p}^2|a\rangle.$$

3. Consider the commutator  $[\hat{x}\hat{p}, \hat{H}]$  to show that

$$2\langle a|\frac{\hat{p}^2}{2m}|a\rangle = \langle a|\hat{x}\partial_{\hat{x}}V(\hat{x})|a\rangle.$$

This is, in fact, the quantum-mechanical virial theorem, usually stated as  $2\langle\hat{T}\rangle = \langle x\frac{dV}{dx}\rangle$ , where  $\hat{T}$  denotes kinetic energy, and the expectation values are for a stationary state. Write the resulting relation between expectation values of the kinetic and potential energy when  $V(x) = \alpha x^n$ .

**Problem 14.8.** *Exercises on the one-dimensional harmonic oscillator.*

1. Show that a state of the oscillator with a negative norm squared. This means such a state is inconsistent.
2. We showed that the ground energy eigenstate  $|0\rangle$  is the unique state annihilated by the lowering operator  $\hat{a}$ .
  - Show algebraically that the excited states of the oscillator are nondegenerate by showing that a degeneracy would imply a degeneracy of the ground state.
  - Show that the existence of a state with a positive but fractional number implies the existence of states of negative norm squared.
3. At  $t = 0$  a particle in the harmonic oscillator is in the superposition  $|\psi(0)\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ . Find the time-dependent expectation values  $\langle\hat{x}\rangle(t)$  and  $\langle\hat{p}\rangle(t)$ .
4. Consider a normalized state  $|\lambda\rangle$  in the harmonic oscillator satisfying

$$\hat{a}|\lambda\rangle = \lambda|\lambda\rangle, \quad \lambda \in \mathbb{C}, \tag{1}$$

where  $\hat{a}$  is the annihilation operator, and  $\lambda$  is a complex constant. Note that the states above are coherent states, as discussed in example 13.21, with  $\psi_{\lambda}(x) = \langle x|\lambda\rangle$ . Calculate both the expectation value  $\langle\hat{H}\rangle$  of the harmonic oscillator Hamiltonian and the energy uncertainty  $\Delta H$  in the  $|\lambda\rangle$  state. Equation (1) is the only property of the states needed for these computations.

**Problem 14.9.** *Parity operator and oscillator states.*

Let  $P$  denote a parity operator defined by its action on position eigenstates:

$$P: |x\rangle \rightarrow |-x\rangle, \text{ for all } x \in \mathbb{R}.$$

1. Given a state  $|\psi\rangle$  with position space wave function  $\psi(x)$ , what is the wave function associated with the state  $P|\psi\rangle$ ? What does  $P$  give when acting on the ground state of the harmonic oscillator?
2. Show that  $P \hat{x} = -\hat{x} P$  and  $P \hat{p} = -\hat{p} P$ , where  $\hat{x}$  and  $\hat{p}$  are the position and momentum operators, respectively. Conclude that  $P \hat{a}^\dagger = -\hat{a}^\dagger P$ , where  $\hat{a}^\dagger$  is the harmonic oscillator creation operator.
3. Show that the energy eigenstate  $|n\rangle$  of the harmonic oscillator satisfies  $P|n\rangle = (-1)^n |n\rangle$ . What does this imply for the associated wave function  $\varphi_n(x) = \langle x|n\rangle$ ?