

# Transfer Learning and Domain Adaptation

Sargur N. Srihari  
[srihari@cedar.buffalo.edu](mailto:srihari@cedar.buffalo.edu)

# Topics in Representation Learning

1. Greedy Layer-Wise Unsupervised Pretraining
2. Transfer Learning and Domain Adaptation
3. Semi-supervised Disentangling of Causal Factors
4. Distributed Representation
5. Exponential Gains from depth
6. Providing Clues to Discover Underlying Causes

# What is transfer learning?

- It is the situation where what has been learned in one setting is exploited to improve generalization in another
- Ex: Pretraining
  - Transfer unsupervised task to supervised task
- Ex: Visual classification
  - There is more data in distribution  $P_1$  (cats and dogs)
  - and very few in distribution  $P_2$  (ants and wasps)
  - Visual categories share low-level notions of edges and visual shapes, geometric changes, lighting

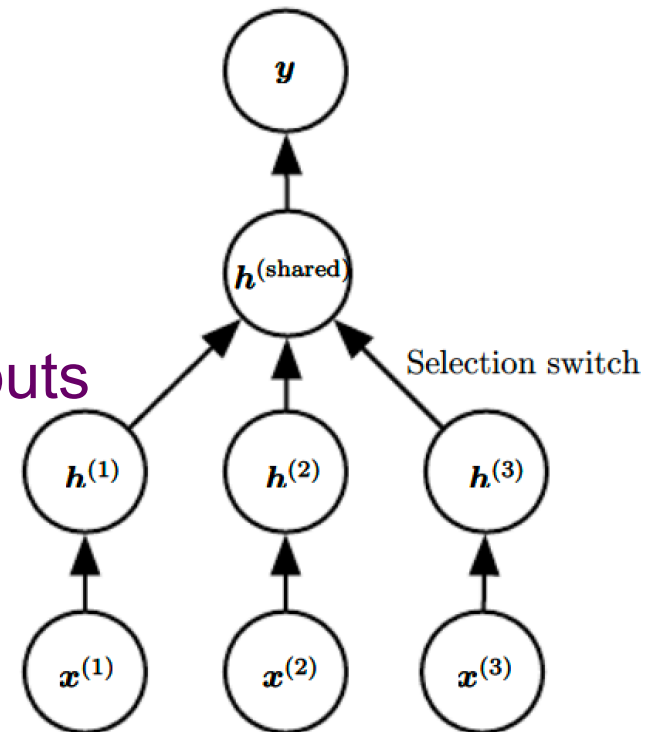
# Architecture for Transfer Learning

- Output variable  $y$  has the same semantics for all classes

$x$  has different meaning

dimension for each task

- Three tasks  $x^{(1)}$ ,  $x^{(2)}$  and  $x^{(3)}$  are inputs
- Lower levels upto selection switch are task-specific
  - Upper levels are shared
- Semantics of output are shared, not semantics of input
  - as in speech recognition where vocalizations are based on different speakers



# Success of Transfer Learning

- Unsupervised deep learning for transfer learning has found success in ML competitions
  - Each participant is given data from distribution  $P_1$  illustrating some set of categories
  - Participants learn a feature space
    - Mapping raw input to a representation space
  - This transformation is applied to samples from  $P_2$
  - A linear classifier is trained from very few samples
- As deeper representations used (learned purely unsupervised from  $P_1$ ) performance improves
  - For deeper representations fewer samples needed

# Domain Adaptation

- Related to transfer learning between settings
- Task remains the same between each setting, but the input distribution is slightly different
- Ex: Sentiment Analysis
  - Task: determine if comment is positive/negative
    - Sentiment predictor is trained on customer reviews of media content such as books, videos and music
    - Later used to analyze comments about consumer electronics such as televisions and smartphones
    - Vocabulary and style may vary from one domain to other
    - Simple unsupervised pretraining (with denoising autoencoders) found useful with domain adaptation

# Concept Drift

- A form of transfer learning where there are gradual changes in data over time
- Both concept drift and transfer learning can be regarded as different forms of multi-task learning
  - Typically refers to supervised learning
  - Also applicable to unsupervised and reinforcement learning

# One-shot learning

- Only one labeled example of the transfer task
  - Possible because the representation learns to cleanly separate underlying classes during Stage 1
  - During transfer learning, only one labeled example is needed to infer the label of many possible test examples that cluster around the same point in representation space
- Works to the extent that factors of variation corresponding to these invariances have been cleanly separated from the other factors in the learned representation space



# Zero-shot learning

- No labeled examples
- Ex: A learner reads a large collection of text and then solves object recognition problems
  - Having read that a cat has four legs and pointed ears, learner guesses that an image is a cat without having seen a cat before

# Zero-data learning explained

- Possible because additional data exploited
- Zero-data learning scenario includes three random variables
  1. Traditional inputs  $x$ 
    - Unlabeled text data containing sentences such as “cats have four legs”, “cats have pointy ears”)
  2. Traditional outputs  $y$  ( $y=1$  indicating yes,  $y=0$  for no)
  3. Description of task  $T$  (represents questions to be answered)
    - Is there a cat in this image?
- Model trained to determine conditional  $p(y|x, T)$

# Type of Representation of $T$

- Zero-shot learning requires  $T$  to be represented in a way that allows some sort of generalization
  - $T$  cannot be just a one-hot code indicating an object category
  - Instead a distributed representation of object categories by using a learned word embedding for the word associated with each category

# Similar phenomenon in Machine Translation

- We have words in one language
  - Word relationships learned from a unilingual corpus
  - We have translated sentences that relate words in one language with words in the other
- No labeled word translations available
  - i.e., word  $A$  in lang.  $X$  to word  $B$  in lang.  $Y$
- Can guess a translation for word  $A$  because
  - We have learned distributed representations for words in  $X$  and for words in  $Y$  then created a link relating the two spaces via training examples of matched pairs of sentences
    - Works best when two representations and relations are learned jointly

# Transfer learning enables zero-shot

- Labeled or unlabeled examples of  $x$  allow:
  - Learning a representation function  $f_x$  and similarly with examples of  $y$  to learn  $f_y$ 
    - Each application of  $f_x$  and  $f_y$  appears as an upward arrow
    - Distances in  $h_x$  and  $h_y$  space provide a similarity metric
    - Image  $x_{\text{test}}$  is associated with word  $y_{\text{test}}$  even if no image of that word was ever presented

