

Week 3. Problem set (solutions by Danil Elgin)

1. Consider a hash table with 13 slots and the hash function $h(k) = (k^2 - k + 11) \bmod 13$. Show the state of the hash table after inserting the keys (in this order)

6, 28, 19, 15, 20, 33, 12, 17, 10, 13, 3, 39

with collisions resolved by *linear probing* [CLRS, §11.4].

Index	0	1	2	3	4	5	6	7	8	9	10	11	12
Key	28	15	6	19	20	33	12	3	39		17	10	13

Answer: In the table

Justification (optional): We substitute each number into the hash function $h(k) = (k^2 - k + 11) \bmod 13$ and, if a cell in the hash table is occupied, add +1 to the index until we find an empty cell or the addition amount is 13 - 1.

2. Consider a dictionary that maps an integer (e.g. group number) and a short¹ string (e.g. student email) to a number (e.g. grade), and is implemented as a hashtable T of hashtables:

- for an integer k , if T contains k , then $T[k]$ is a hashmap with string keys
- for a string s , if $T[k]$ contains s , then $T[k][s]$ is a floating point number

Compute average case time complexities of successful and unsuccessful search in this hashtable of hashtables with the different possible combinations of chaining and open addressing, assuming

- (a) *independent uniform hashing* for hashtables with separate chaining [CLRS, §11.2]
- (b) *independent uniform permutation hashing* for hashtables with open addressing [CLRS, §11.4]
- (c) for the hashtable T , load factor α and size n
- (d) for the hashtables $T[k]$, average load factor β and average size m

	Successful search	Unsuccessful search
T uses separate chaining and each $T[k]$ uses separate chaining	$O(1 + \alpha + \beta)$	$O(1 + \alpha + \beta)$
T uses separate chaining and each $T[k]$ uses open addressing	$O(1 + \alpha + \frac{1}{\beta} \ln(\frac{1}{1-\beta}))$	$O(1 + \alpha + \frac{1}{1-\beta})$
T uses open addressing and each $T[k]$ uses separate chaining	$O(1 + \beta + \frac{1}{\alpha} \ln(\frac{1}{1-\alpha}))$	$O(1 + \beta + \frac{1}{1-\alpha})$
T uses open addressing and each $T[k]$ uses open addressing	$O(\frac{1}{\alpha} \ln(\frac{1}{1-\alpha}) + \frac{1}{\beta} \ln(\frac{1}{1-\beta}))$	$O(\frac{1}{1-\beta} + \frac{1}{1-\alpha})$

3. (+0.5% extra credit) Compute the average case time complexity of the following algorithm:

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1  secret(M, k):
2      Q := new linked queue
3      while M.containsKey(k) and k > 0
4          item := M.get(k)
5          k := min(item.value, k) - 1
6          if k == item.value - 1
7              Q.offer(item)
8      while not Q.isEmpty()
9          M.remove(Q.poll())
10     return k

```

M is a hashtable of size n with load factor α that resolves collisions by *separate chaining* [CLRS, §11.2]. The answer **must** use O -notation and may depend on n , k , and α . Assume *independent uniform hashing* and worst case for the contents (values) of the input map M .

Justify your answer (3–5 sentences). Detailed proof is not required.

¹Assume that strings have a relatively small constant maximum size.

Answer: $O((1 + \alpha) * (k + 1))$

Justification: The first while loop cost $(1 + \alpha) * (k + 1) + k + 1$. If we assume that we have the worst case scenario for the contents of the input map M, then at each iteration we will have $k = item.value - 1$. This means that we would repeat the while loop status check $k + 1$ times. To verify that $M.hasKey(k)$, we need to take the average time complexity of $1 + \alpha$ to search through a map that resolves collisions using a separate chain. To check the condition $k \leq 0$, we need constant time. Finally, we get $O(1 + \alpha) * (k + 1) + k + 1$, but we know that $1 + \alpha > 1$, which means we can just take $(1 + \alpha) * (k + 1)$. All other operations are either equal or cost less than the cost of the first while loop.

References

- [CLRS] Cormen, T.H., Leiserson, C.E., Rivest, R.L. and Stein, C., 2022. *Introduction to algorithms, Fourth Edition*. MIT press.