



## Week 2. Problem set

1. In [CLRS, §16.1], a stack with an extra operation MULTIPOP is discussed. What is the total cost  $c$  of executing  $n$  of the stack operations PUSH, POP, and MULTIPOP, assuming that the stack begins with  $k$  objects? The answer must consist of exact lower and upper bounds given as formulae in terms of  $n$ ,  $k$  (not asymptotic complexity!). Provide a brief justification (2–4 sentences). Formulas and equations without explanation will not be accepted.
2. A sequence of PUSH, POP, and CLEAN operations is performed on an initially empty stack. When an element is first PUSHed to the stack, it is marked as **new**. The CLEAN operations inspects all elements of the stack:
  - Every **new** element is marked as **old** (in constant time).
  - Every **old** element is removed from the stack (in constant time).

Perform amortized time complexity analysis using the **accounting method** [CLRS, §16.2] for a sequence of PUSH, POP, and CLEAN operations performed on an initially empty stack:

- (a) Specify actual cost, amortized cost, and accumulated credit for each operation. Assume that  $n_i$  is the size of stack before operation and  $k_i$  is number of **old** elements in the stack.

Operation	Actual cost ( $c_i$ )	Amortized cost ( $\hat{c}_i$ )	Credit	Justification
PUSH				
POP				
CLEAN				

- (b) Prove that the total amortized cost of a sequence of  $n$  operations provides an upper bound on the total actual cost of the sequence.
- (c) Write down the asymptotic complexity for a sequence of  $n$  operations.
3. Solve the previous exercise using the **potential method** [CLRS, §16.3]:
  - (a) Define the potential function  $\Phi$  on a stack; the potential function may depend on the size  $n$  of the stack and on the number  $k$  of **old** elements in the stack;
  - (b) Compute  $\Phi(D_i) - \Phi(D_{i-1})$  for each possible  $i$ th operation (PUSH, POP, CLEAN)
  - (c) Compute amortized cost for CLEAN using your potential function;
  - (d) Write down amortized asymptotic complexity for CLEAN.
4. (+0.5% extra credit) Show how to implement an improved version of CLEAN operation from the previous exercise, such that it works in  $\Theta(k)$  (worst case) where  $k$  is the number of **old** elements in the stack:
  - (a) Provide the pseudocode of CLEAN for an array based implementation of Stack
  - (b) Provide the pseudocode of CLEAN for a linked list based implementation of Stack
  - (c) Explain briefly for each implementation why it works in  $\Theta(k)$

5. (+1% extra credit) A sorted collection of  $n$  integers is represented by a linked list of  $k$  sorted arrays. The arrays are of sizes  $2^{b_0}, 2^{b_1}, \dots, 2^{b_k}$ , such that  $b_0 < b_1 < \dots < b_k$ .

To ADD an integer  $i$  in a sorted collection, we add a singleton array with  $i$  to the beginning of the list of arrays. Then, to ensure the invariant, we resize the arrays: going from smaller arrays to larger, if two smallest arrays have the same size, we MERGE them (using linear time merging as in MERGE-SORT) and repeat the process until the smallest array is unique.

For example,

- a collection  $\{1, 2, 3, 4, 5, 8, 9, 10, 11, 12\}$  can be represented by a list of three arrays:

$$\boxed{2} \rightarrow \boxed{1, 3} \rightarrow \boxed{4, 5, 6, 8, 9, 10, 11, 12}$$

- inserting 7 in this collection, we first add a singleton array  $\boxed{7} \rightarrow \boxed{2} \rightarrow \boxed{1, 3} \rightarrow \boxed{4, 5, 6, 8, 9, 10, 11, 12}$
- then, since we have two arrays of size 1, we MERGE them  $\boxed{2, 7} \rightarrow \boxed{1, 3} \rightarrow \boxed{4, 5, 6, 8, 9, 10, 11, 12}$
- then, since we have two arrays of size 2, we MERGE them  $\boxed{1, 2, 3, 7} \rightarrow \boxed{4, 5, 6, 8, 9, 10, 11, 12}$
- now, since we have only one array of the smallest size, we stop.

Perform amortised time complexity analysis using the **accounting method** for a sequence of  $n$  ADD operations performed on an initially empty sorted collection:

- Specify actual cost, amortized cost, and accumulated credit for ADD<sup>1</sup>; the amortized cost may depend on the number  $n$  of operations in the sequence;
- Prove that the total amortized cost of a sequence of  $n$  operations provides an upper bound on the total actual cost of the sequence.
- Write down the asymptotic complexity for a sequence of  $n$  operations.
- Write down amortized asymptotic complexity for ADD.

## References

- [CLRS] Cormen, T.H., Leiserson, C.E., Rivest, R.L. and Stein, C., 2022. *Introduction to algorithms, Fourth Edition*. MIT press.

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<sup>1</sup>Hint: take inspiration in example for incrementing a binary counter example [CLRS, Section 16.2]; for each element in the collection there should be enough credit for all merge events for that element.