

## Week 3. Problem set (solutions by Danil Elgin)

1. Consider a hash table with 13 slots and the hash function  $h(k) = (k^2 - k + 11) \bmod 13$ . Show the state of the hash table after inserting the keys (in this order)

6, 28, 19, 15, 20, 33, 12, 17, 10, 13, 3, 39

with collisions resolved by *linear probing* [CLRS, §11.4].

Index	0	1	2	3	4	5	6	7	8	9	10	11	12
Key	28	15	6	19	20	33	12	3	39		17	10	13

**Answer:** *In the table*

**Justification (optional):** *We substitute each number into the hash function  $h(k) = (k^2 - k + 11) \bmod 13$  and, if a cell in the hash table is occupied, add +1 to the index until we find an empty cell or the addition amount is 13 - 1.*

2. Consider a dictionary that maps an integer (e.g. group number) and a short<sup>1</sup> string (e.g. student email) to a number (e.g. grade), and is implemented as a hashtable  $T$  of hashtables:

- for an integer  $k$ , if  $T$  contains  $k$ , then  $T[k]$  is a hashmap with string keys
- for a string  $s$ , if  $T[k]$  contains  $s$ , then  $T[k][s]$  is a floating point number

Compute average case time complexities of successful and unsuccessful search in this hashtable of hashtables with the different possible combinations of chaining and open addressing, assuming

- independent uniform hashing* for hashtables with separate chaining [CLRS, §11.2]
- independent uniform permutation hashing* for hashtables with open addressing [CLRS, §11.4]
- for the hashtable  $T$ , load factor  $\alpha$  and size  $n$
- for the hashtables  $T[k]$ , average load factor  $\beta$  and average size  $m$

	Successful search	Unsuccessful search
$T$ uses separate chaining and each $T[k]$ uses separate chaining	$O(1 + \alpha + \beta)$	$O(1 + \alpha + \beta)$
$T$ uses separate chaining and each $T[k]$ uses open addressing	$O(1 + \alpha + \frac{1}{\beta} \ln(\frac{1}{1-\beta}))$	$O(1 + \alpha + \frac{1}{1-\beta})$
$T$ uses open addressing and each $T[k]$ uses separate chaining	$O(1 + \beta + \frac{1}{\alpha} \ln(\frac{1}{1-\alpha}))$	$O(1 + \beta + \frac{1}{1-\alpha})$
$T$ uses open addressing and each $T[k]$ uses open addressing	$O(\frac{1}{\alpha} \ln(\frac{1}{1-\alpha}) + \frac{1}{\beta} \ln(\frac{1}{1-\beta}))$	$O(\frac{1}{1-\beta} + \frac{1}{1-\alpha})$

3. (+0.5% extra credit) Compute the average case time complexity of the following algorithm:

```

1 secret(M, k):
2   Q := new linked queue
3   while M.hasKey(k) and k > 0
4     item := M.get(k)
5     k := min(item.value, k) - 1
6     if k == item.value - 1
7       Q.offer(item)
8   while not Q.isEmpty()
9     M.remove(Q.poll())
10  return k

```

$M$  is a hashtable of size  $n$  with load factor  $\alpha$  that resolves collisions by *separate chaining* [CLRS, §11.2]. The answer **must** use  $O$ -notation and may depend on  $n$ ,  $k$ , and  $\alpha$ . Assume *independent uniform hashing* and worst case for the contents (values) of the input map  $M$ .

Justify your answer (3–5 sentences). Detailed proof is not required.

<sup>1</sup>Assume that strings have a relatively small constant maximum size.

**Answer:** $O((1 + \alpha) * (k + 1))$

**Justification:** The first while loop cost  $(1 + \alpha) * (k + 1) + k + 1$ . If we assume that we have the worst case scenario for the contents of the input map M, then at each iteration we will have  $k = item.value - 1$ . This means that we would repeat the while loop status check  $k + 1$  times. To verify that  $M.hasKey(k)$ , we need to take the average time complexity of  $1 + \alpha$  to search through a map that resolves collisions using a separate chain. To check the condition  $k \geq 0$ , we need constant time. Finally, we get  $O((1 + \alpha) * (k + 1) + k + 1)$ , but we know that  $1 + \alpha > 1$ , which means we can just take  $(1 + \alpha) * (k + 1)$ . All other operations are either equal or cost less than the cost of the first while loop.

## References

- [CLRS] Cormen, T.H., Leiserson, C.E., Rivest, R.L. and Stein, C., 2022. *Introduction to algorithms, Fourth Edition*. MIT press.