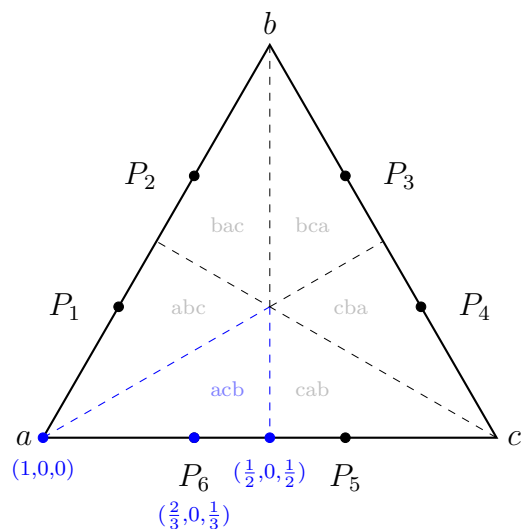


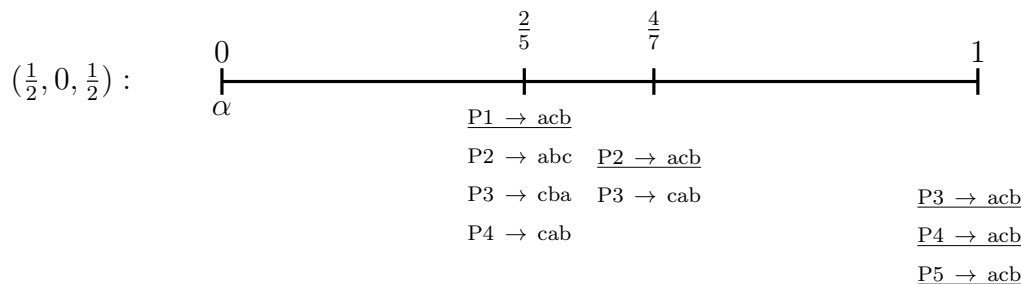
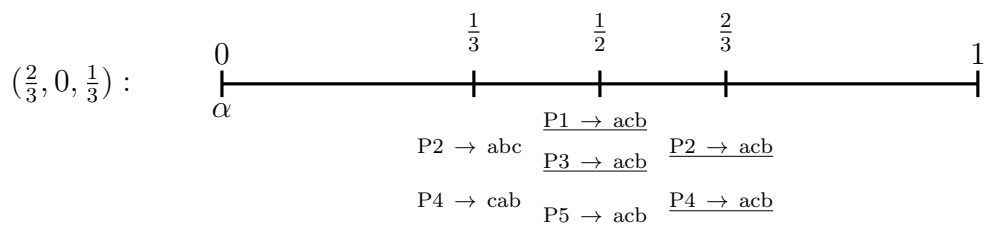
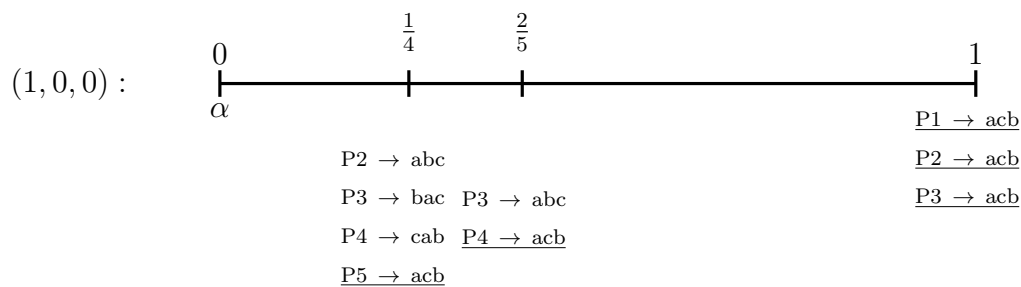
Weeks of 6/23 + 6/30

Kit Levy



Threshold α values for each change in preferences:

	P1 → acb	P2 → abc	P2 → acb	P3 → bac	P3 → abc	P3 → cba	P3 → cab	P3 → acb	P4 → cab	P4 → acb	P5 → acb
$(1, 0, 0)$	1	$\frac{1}{4}$	1	$\frac{1}{4}$	$\frac{2}{5}$	-	-	1	$\frac{1}{4}$	$\frac{2}{5}$	$\frac{1}{4}$
$(\frac{2}{3}, 0, \frac{1}{3})$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{2}{3}$	-	-	-	-	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{2}{3}$	$\frac{1}{2}$
$(\frac{1}{2}, 0, \frac{1}{2})$	$\frac{2}{5}$	$\frac{2}{5}$	$\frac{4}{7}$	-	-	$\frac{2}{5}$	$\frac{4}{7}$	1	$\frac{2}{5}$	1	1



Voters who rank $a > c > b$ as a function of α :

$$w = (1, 0, 0): P_{acb} = P6 + \mathbb{1}\{\alpha = 1\}(P1 + P2 + P3) + \mathbb{1}\{\alpha \geq \frac{2}{5}\}P4 + \mathbb{1}\{\alpha \geq \frac{1}{4}\}P5$$

$$w = (\frac{2}{3}, 0, \frac{1}{3}): P_{acb} = P6 + \mathbb{1}\{\alpha \geq \frac{1}{2}\}(P1 + P3 + P5) + \mathbb{1}\{\alpha \geq \frac{2}{3}\}(P2 + P4)$$

$$w = (\frac{1}{2}, 0, \frac{1}{2}): P_{acb} = P6 + \mathbb{1}\{\alpha \geq \frac{2}{5}\}P1 + \mathbb{1}\{\alpha \geq \frac{4}{7}\}P2 + \mathbb{1}\{\alpha = 1\}(P3 + P4 + P5)$$

Choosing w to achieve $a > c > b$ based on known α (ignoring effects of IA):

α	Best w (highest P_{acb})
$\alpha < \frac{1}{4}$	None
$\frac{1}{4} \leq \alpha < \frac{2}{5}$	$(1, 0, 0)$
$\frac{2}{5} \leq \alpha < \frac{1}{2}$	$(1, 0, 0)$ if $P4 + P5 > P1$ $(\frac{1}{2}, 0, \frac{1}{2})$ if $P1 > P4 + P5$
$\frac{1}{2} \leq \alpha < \frac{4}{7}$	$(1, 0, 0)$ if $P4 > P1 + P3$ $(\frac{2}{3}, 0, \frac{1}{3})$ if $P1 + P3 > P4$
$\frac{4}{7} \leq \alpha < \frac{2}{3}$	$(1, 0, 0)$ if $P4 > P1 + P3$ and $P4 + P5 > P1 + P2$ $(\frac{2}{3}, 0, \frac{1}{3})$ if $P1 + P3 > P4$ and $P3 + P5 > P2$ $(\frac{1}{2}, 0, \frac{1}{2})$ if $P1 + P2 > P4 + P5$ and $P2 > P3 + P5$
$\frac{2}{3} \leq \alpha < 1$	$(\frac{2}{3}, 0, \frac{1}{3})$
$\alpha = 1$	Any

Movement into and out of IA according to α and w :

Voter	w	α for which voter is in IA	“Time” spent in IA
P1	Any	None	0
P2	$(1, 0, 0)$	$\frac{1}{4} \leq \alpha < 1$	$\frac{3}{4}$
	$(\frac{2}{3}, 0, \frac{1}{3})$	$\frac{1}{3} \leq \alpha < \frac{2}{3}$	$\frac{1}{3}$
	$(\frac{1}{2}, 0, \frac{1}{2})$	$\frac{2}{5} \leq \alpha < \frac{4}{7}$	$\frac{6}{35}$
P3	$(1, 0, 0)$	$\frac{1}{4} \leq \alpha < 1$	$\frac{3}{4}$
	$(\frac{2}{3}, 0, \frac{1}{3})$	None	0
	$(\frac{1}{2}, 0, \frac{1}{2})$	$\frac{2}{5} \leq \alpha < 1$	$\frac{3}{5}$
P4	$(1, 0, 0)$	$\frac{1}{4} \leq \alpha < \frac{2}{5}$	$\frac{3}{20}$
	$(\frac{2}{3}, 0, \frac{1}{3})$	$\frac{1}{3} \leq \alpha < \frac{2}{3}$	$\frac{1}{3}$
	$(\frac{1}{2}, 0, \frac{1}{2})$	$\frac{2}{5} \leq \alpha < 1$	$\frac{3}{5}$
P5	Any	None	0

Plurality votes as a function of α :

$$w = (1, 0, 0):$$

$$\text{plurality}_a(\alpha) = P6 + P1 + \mathbb{1}\{\alpha \geq \frac{1}{4}\}(P2 + P5) + \mathbb{1}\{\alpha \geq \frac{2}{5}\}(P3 + P4)$$

$$\begin{aligned}\text{plurality}_b(\alpha) &= \mathbb{1}\{\alpha < \frac{1}{4}\}P2 + \mathbb{1}\{\alpha < \frac{2}{5}\}P3 \\ \text{plurality}_c(\alpha) &= \mathbb{1}\{\alpha < \frac{2}{5}\}P4 + \mathbb{1}\{\alpha < \frac{1}{4}\}P5\end{aligned}$$

$$w = (\frac{2}{3}, 0, \frac{1}{3}):$$

$$\begin{aligned}\text{plurality}_a(\alpha) &= P1 + P6 + \mathbb{1}\{\alpha \geq \frac{1}{3}\}P2 + \mathbb{1}\{\alpha \geq \frac{1}{2}\}(P3 + P5) + \mathbb{1}\{\alpha \geq \frac{2}{3}\}P4 \\ \text{plurality}_b(\alpha) &= \mathbb{1}\{\alpha < \frac{1}{3}\}P2 + \mathbb{1}\{\alpha < \frac{1}{2}\}P3 \\ \text{plurality}_c(\alpha) &= \mathbb{1}\{\alpha < \frac{2}{3}\}P4 + \mathbb{1}\{\alpha < \frac{1}{2}\}P5\end{aligned}$$

$$w = (\frac{1}{2}, 0, \frac{1}{2}):$$

$$\begin{aligned}\text{plurality}_a(\alpha) &= P1 + P6 + \mathbb{1}\{\alpha \geq \frac{2}{5}\}P2 + \mathbb{1}\{\alpha = 1\}(P3 + P4 + P5) \\ \text{plurality}_b(\alpha) &= \mathbb{1}\{\alpha < \frac{2}{5}\}(P2 + P3) \\ \text{plurality}_c(\alpha) &= \mathbb{1}\{\frac{2}{5} \leq \alpha < 1\}P3 + \mathbb{1}\{\alpha < 1\}(P4 + P5)\end{aligned}$$

Borda votes as a function of α :

$$w = (1, 0, 0):$$

$$\begin{aligned}\text{borda}_a(\alpha) &= 2P1 + P2 + P5 + 2P6 + \mathbb{1}\{\alpha \geq \frac{1}{4}\}(P2 + P3 + P4 + P5) + \mathbb{1}\{\alpha \geq \frac{2}{5}\}(P3 + P4) \\ \text{borda}_b(\alpha) &= \mathbb{1}\{\alpha < 1\}(P1 + P2 + P3) + \mathbb{1}\{\alpha < \frac{1}{4}\}(P2 + P4) + \mathbb{1}\{\alpha < \frac{2}{5}\}P3 \\ \text{borda}_c(\alpha) &= P4 + P5 + \mathbb{1}\{\alpha = 1\}(P1 + P2 + P3) + \mathbb{1}\{\alpha < \frac{1}{4}\}(P3 + P5) + \mathbb{1}\{\alpha < \frac{2}{5}\}P4\end{aligned}$$

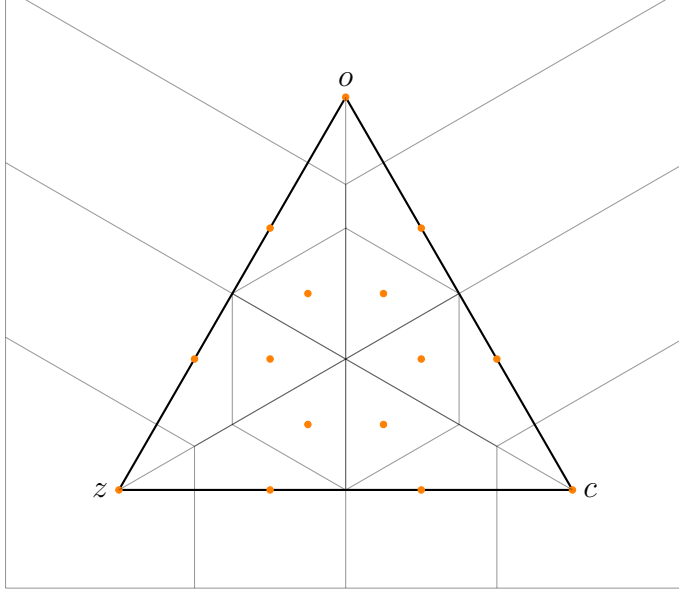
$$w = (\frac{2}{3}, 0, \frac{1}{3}):$$

$$\begin{aligned}\text{borda}_a(\alpha) &= 2P1 + P2 + P5 + 2P6 + \mathbb{1}\{\alpha \geq \frac{1}{3}\}(P2 + P4) + \mathbb{1}\{\alpha \geq \frac{1}{2}\}(2P3 + P5) + \mathbb{1}\{\alpha \geq \frac{2}{3}\}P4 \\ \text{borda}_b(\alpha) &= \mathbb{1}\{\alpha < \frac{1}{2}\}(P1 + 2P3) + \mathbb{1}\{\alpha < \frac{1}{3}\}(P2 + P4) + \mathbb{1}\{\alpha < \frac{2}{3}\}P2 \\ \text{borda}_c(\alpha) &= P3 + P4 + P5 + \mathbb{1}\{\alpha \geq \frac{1}{2}\}P1 + \mathbb{1}\{\alpha \geq \frac{2}{3}\}P2 + \mathbb{1}\{\alpha < \frac{2}{3}\}P4 + \mathbb{1}\{\alpha < \frac{1}{2}\}P5\end{aligned}$$

$$w = (\frac{1}{2}, 0, \frac{1}{2}):$$

$$\begin{aligned}\text{borda}_a(\alpha) &= 2P1 + P2 + P5 + 2P6 + \mathbb{1}\{\alpha \geq \frac{2}{5}\}(P2 + P4) + \mathbb{1}\{\alpha \geq \frac{4}{7}\}P3 + \mathbb{1}\{\alpha = 1\}(P3 + P4 + P5) \\ \text{borda}_b(\alpha) &= \mathbb{1}\{\alpha < \frac{2}{5}\}(P1 + P2 + P3 + P4) + \mathbb{1}\{\alpha < \frac{4}{7}\}(P2 + P3) \\ \text{borda}_c(\alpha) &= P3 + P4 + P5 + \mathbb{1}\{\alpha \geq \frac{2}{5}\}P1 + \mathbb{1}\{\alpha \geq \frac{4}{7}\}P2 + \mathbb{1}\{\frac{2}{5} \leq \alpha < 1\}P3 + \mathbb{1}\{\alpha < 1\}(P4 + P5)\end{aligned}$$

Forced full preferences problem (IRV):



Conditions for z to win under partial prefs, c win under forced full prefs (assuming o eliminated in round 1):

$$z_{\text{first choice}} + o_{z \rightarrow ozc} + oz_{c \rightarrow ozc} > c_{\text{first choice}} + oc_{\rightarrow ozc} + oc_{z \rightarrow ozc}$$

$$z_{\text{first choice}} + o_{\rightarrow ozc} + oz_{\rightarrow ozc} + oz_{c \rightarrow ozc} < c_{\text{first choice}} + o_{\rightarrow ozc} + oc_{\rightarrow ozc} + oc_{z \rightarrow ozc}$$

$$\text{Key condition: } o_{\rightarrow ozc} - o_{\rightarrow ozc} > z_{\text{partial}} - c_{\text{partial}}$$

Example 1:

Initial vote distribution:

$$zo_{\rightarrow zoc} = \frac{1}{2} - \epsilon, co_{\rightarrow coz} = \frac{1}{4} + 2\epsilon, o_{\rightarrow ozc} = \frac{1}{4} - \epsilon$$

Round 2 (forced full ballots):

$$z_{\text{total}} = z_{\rightarrow zoc} = \frac{1}{2} - \epsilon, c_{\text{total}} = co_{\rightarrow coz} + o_{\rightarrow ozc} = \frac{1}{2} + \epsilon$$

Round 2 (partial ballots):

$$z_{\text{total}} = z_{\rightarrow zoc} = \frac{1}{2} - \epsilon, c_{\text{total}} = co_{\rightarrow coz} = \frac{1}{4} + 2\epsilon$$

$$\text{Exhausted votes} = \frac{1}{4} - \epsilon$$

Example 2:

Initial vote distribution:

$$zo_{\rightarrow zoc} = \frac{1}{3} + 2\epsilon, co_{\rightarrow coz} = \frac{1}{3}, o_{\rightarrow ozc} = \frac{1}{6} + \epsilon, oz_{c \rightarrow ozc} = \frac{1}{6} - 3\epsilon$$

Round 2 (forced full ballots):

$$z_{\text{total}} = z_{\rightarrow zoc} + oz_{c \rightarrow ozc} = \frac{1}{2} - \epsilon, c_{\text{total}} = co_{\rightarrow coz} + o_{\rightarrow ozc} = \frac{1}{2} + \epsilon$$

Round 2 (partial ballots):

$$z_{\text{total}} = z_{\rightarrow zoc} + oz_{c \rightarrow ozc} = \frac{1}{2} - \epsilon, c_{\text{total}} = co_{\rightarrow coz} = \frac{1}{3}$$

$$\text{Exhausted votes} = \frac{1}{6} + \epsilon$$

Example 3:

Initial vote distribution:

$$zo_{\rightarrow zoc} = \frac{2}{5}, co_{\rightarrow coz} = \frac{2}{5} - \epsilon, o_{\rightarrow ozc} = \frac{1}{10} + 2\epsilon, o_{\rightarrow ozc} = \frac{1}{10} + \epsilon$$