KIYOSHI NAKAYAMA

Affiliation

NEC Laboratories America, Inc. Smart Infrastructure Department 2033 Gateway Place San Jose, CA 95110 USA Contact Info

City of Residence: Santa Clara, CA 95050

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RESEARCH INTERESTS

AI/Theories Distributed AI, Federated Learning, Deep Learning, Reinforcement Learning, Ban-

dit Learning, Invariant Learning, Graph Theory

Networks/Systems Blockchain, Anomaly Detection, Optimal Flow, Cloud Computing, Internet of

Things, System Resiliency, Fault Tolerance

PROFESSIONAL EXPERIENCE

Research Scientist

NEC LABORATORIES AMERICA, San Jose, CA Tech Lead of Distributed AI and Blockchain Research 10/2015 - Present

- Research and development of a decentralized federated learning (FL) platform based on blockchain
 that achieves aggregator-free privacy-preserving FL with distributed data on autonomous IoT devices,
 which has been demonstrated with a deep Q-learning application for maximizing the revenue of drivers
 of taxi and ride sharing services.
- Research and development of an autonomous blockchain-based management and analytical platform for P2P energy trading that integrates distributed multi-armed bandit (MAB) learning. The blockchain-based platform also supports real-time management of distributed IoT resources with smart warranty application across different vendors and customers.
- Research on anomaly detection triggered by system failures or false data injection attacks in energy systems for distributed system-level resiliency based on dynamic learning with invariant graphs and state estimation with time series data.
- Design and implementation of a cloud-based online energy management system (EMS) that integrates optimization algorithms for demand response and resilient demand charge management into distributed battery control application for microgrids and behind-the-meter operation of commercial buildings, which leads to spot recognition and business contribution awards at NEC labs.

Postdoctoral Research Associate

FUJITSU LABORATORIES OF AMERICA, Sunnyvale, CA Solutions for Electricity Distribution Networks (SEDN) Group

9/2014 - 9/2015

- Development of a cloud-based residential Demand Response (DR) System prototype leveraging IoT (Internet of Things) devices for use in utility pilot projects that realizes multiple DR programs and supports the Open Automated Demand Response (OpenADR) 2.0b standard.
- Development of a web-based GUI to show and aggregate the data analytics results about the DR system to enable system operators to make decisions, control, and configure the DR System.
- Research and development of basic machine learning algorithms to maximize the benefits of direct load control programs for both utilities and customers.
- Research on Virtual Power Plants (VPPs) with an emphasis on charging and discharging algorithms for effective energy storage management for increased renewable integration.

EDUCATION

Ph.D. in Computer Science

University of California, Irvine

2014

Dissertation Title: A Distributed Smart Grid Control Model for Integration of Renewables

Advisors: Prof. Lubomir F. Bic and Prof. Michael B. Dillencourt

Co-advisors: Prof. Jack Brouwer, Prof. Nalini Venkatasubramanian, and Prof. Elaheh Bozorgzadeh

M.S. in Engineering

Graduate School of Engineering, Soka University, Tokyo Japan

2011

Thesis: Versatile Autonomous Distributed Network Control based on Tie-set Graph Theory Advisors: Prof. Norihiko Shinomiya and Prof. Hitoshi Watanabe (IEEE Life Fellow)

B.S. in Engineering

Department of Information Systems Science, Soka University, Tokyo Japan

2009

 ${\it Thesis: An Autonomous Distributed Architecture for Optical Sensory Nerve Networks}$

Advisor: Prof. Norihiko Shinomiya

HONORS AND AWARDS

• Spot Recognition Award 2018, NEC Laboratories America, Inc.

In recognition of the contributions to the continued development and successful deployment of a cloud-based online energy management simulation platform at NEC Labs

• Business Contribution Award 2017, NEC Laboratories America, Inc.

In recognition of key contribution to resilient demand charge management technology for distributed energy storage applications

• Best Paper Award, IEEE SmartGridComm 2012

Title: Complete Automation of Future Grid for Optimal Real-Time Distribution of Renewables

• Best Paper Award, IEEE International Congress on Ultra Modern Telecommunications and Control Systems (ICUMT) 2010

Title: Distributed Control Based on Tie-Set Graph Theory for Smart Grid Networks

• Da-Vinci Award from Soka University for 3 consecutive years (2009, 2010, and 2011)

PUBLICATIONS

Book Chapters

1. **K. Nakayama**, "Chapter 5: Decentralized Models for Real-Time Renewable Integration in Future Grid," in Smarter Energy: from Smart Metering to the Smart Grid, Vol. 2, pp. 129, IET Press, 2016.

Journals

- 1. N. Muralidhar, C. Wang, N. Self, M. Momtazpour, **K. Nakayama**, R. Sharma, N. Ramakrishnan, *"illiad: InteLLigent Invariant and Anomaly Detection in Cyber Physical Systems*," ACM Transactions on Intelligent Systems and Technology (TIST), Vol. 9, No. 3, 2018, pp. 35:1 35:20.
- 2. **K. Nakayama**, C. Zhao, L. Bic, M. Dillencourt, J. Brouwer, "Distributed Power Flow Loss Minimization Control for Future Grid," Wiley International Journal of Circuit Theory and Applications, Vol. 43, No. 9, 2014, pp. 1209 1225.
- 3. **K. Nakayama**, N. Shinomiya, H. Watanabe, "An Autonomous Distributed Control Method Based on Tie-set Graph Theory in Future Grid," Wiley International Journal of Circuit Theory and Applications, Vol. 41, No. 11, 2013, pp. 1154 1174.

4. **K. Nakayama**, N. Shinomiya, H. Watanabe, "An Autonomous Distributed Control Method for Link Failure Based on Tie-Set Graph Theory," IEEE Transactions on Circuits and Systems-1: Regular Paper, Vol. 59, No. 11, 2012, pp. 2727 - 2737.

Conference and Symposium Proceedings

- 1. P. Ramanan, K. Nakayama, R. Sharma, "BAFFLE: Blockchain-Based Aggregator-Free Federated Learning," arXiv:1909.07452, under review at ACM SysML, Austin TX USA, 2020.
- 2. N. Muralidhar, S. Muthiah, **K. Nakayama**, R. Sharma, N. Ramakrishnan, "Multivariate Long-Term State Forecasting in Cyber-Physical Systems: A Sequence to Sequence Approach," under review at IEEE BigData 2019, USA.
- 3. K. Nakayama, N. Muralidhar, C. Jin, R. Sharma, "Detection of False Data Injection Attacks in Cyber-Physical Systems using Dynamic Invariants," IEEE International Conference on Machine Learning and Applications (ICMLA), FL USA, Dec. 2019.
- 4. Z. Zhao, K. Nakayama, R. Sharma, "Decentralized Transactive Energy Auctions with Bandit Learning," IEEE PES Transactive Energy System Conference (TESC), Minneapolis, MN USA, Jul. 2019. (Selected as Top 4 Papers)
- 5. **K. Nakayama**, R. Moslemi, R. Sharma, "Transactive Energy Management with Blockchain Smart Contracts for P2P Multi-Settlement Markets," IEEE Conference on Innovative Smart Grid Technologies (ISGT), Washington DC USA, Feb. 2019.
- K. Nakayama, R. Sharma, "Demand Charge and Response with Energy Storage," IEEE International Conference on Smart Grid Communications (SmartGridComm), pp. 72 - 77, Aalborg Denmark, Oct. 2018.
- 7. **K. Nakayama**, R. Sharma, "Energy Management Systems with Intelligent Anomaly Detection and Prediction," IEEE Resilience Week, pp. 24 29, Wilmington DE USA, Sep. 2017.
- 8. M. Parandehgheibi, S. A. Pourmousavi Kani, **K. Nakayama**, R. Sharma, "A Two-Layer Incentive-Based Controller for Aggregating BTM Energy Storage Devices," IEEE PES General Meeting, pp. 1 5, Chicago IL USA, Jul 2017.
- 9. K. Nakayama, R. Sharma, "An Autonomous Energy Management Platform for Resilient Operation of MicroGrids," IEEE International Conference on Smart Grid Communications (SmartGridComm), pp. 167 173, Sydney Australia, Nov. 2016.
- K. Nakayama, N. Dang, L. Bic, M. Dillencourt, E. Bozorgzadeh, N. Venkatasubramanian, "Distributed Flow Optimization Control for Energy-Harvesting Wireless Sensor Networks," IEEE International Conference on Communications (ICC), pp. 4083 4088, Sydney Australia, Jun. 2014.
- 11. **K. Nakayama**, T. Koide, "A Decentralized Algorithm for Network Flow Optimization in Mesh Networks," IEEE Global Communications Conference (Globecom), pp. 1554 1559, Atlanta GA USA, Dec. 2013.
- 12. **K. Nakayama**, C. Zhao, M. Dillencourt, L. Bic, J. Brouwer "Distributed Real-Time Power Flow Control with Renewable Integration," IEEE International Conference on Smart Grid Communications (SmartGridComm), pp. 516 521, Vancouver Canada, Oct. 2013.
- 13. **K. Nakayama**, K. Benson, V. Avagyan, M. Dillencourt, L. Bic, N. Venkatasubramanian, "Tie-set Based Fault Tolerance for Autonomous Recovery of Double-Link Failures," IEEE Symposium on Computers and Communications (ISCC), pp. 391 397, Split Croatia, Jul. 2013.
- 14. Y. Sakai, **K. Nakayama**, N. Shinomiya, "A Node-Weight Equalization Problem with Circuit-Based Computations," IEEE International Symposium on Circuits and Systems (ISCAS), pp. 2525-2528, Beijing China, May 2013.
- 15. **K. Nakayama**, K. Benson, L. Bic, M. Dillencourt, "Complete Automation of Future Grid for Optimal Real-Time Distribution of Renewables," IEEE International Conference on Smart Grid Communications (SmartGridComm), pp. 418 423, Tainan Taiwan, Nov. 2012 (**Best Paper Award**).

- 16. Y. Sakai, **K. Nakayama**, N. Shinomiya, "A Property Verification of Node-Weight Equalization Focusing on Cycles of a Graph," 27th International Technical Conference on Circuits/Systems, Computers and Communications (ITC-CSCC), Jul. 2012, P-T2-236.
- 17. **K. Nakayama**, N. Shinomiya, "Autonomous Recovery for Link Failure Based on Tie-Sets in Information Networks," IEEE Symposium on Computers and Communications (ISCC), pp. 671 676, Corfu Greece, Jun. 2011.
- K. Kadena, K Nakayama, N. Shinomiya, "Network Failure Recovery with Tie-Sets," IEEE International Conference on Advanced Information Networking and Applications Workshops (WAINA), pp. 467 472, Biopolis Singapore, Mar. 2011.
- 19. **K. Nakayama**, N. Shinomiya, "Distributed Control Based on Tie-Set Graph Theory for Smart Grid Networks," IEEE International Congress on Ultra Modern Telecommunications and Control Systems (ICUMT), pp. 957 964, Moscow Russia, Oct. 2010 (**Best Paper Award**).
- K. Nakayama, N. Shinomiya, H. Watanabe, "Distributed Control for Link Failure Based on Tie-Sets in Information Networks," IEEE International Symposium on Circuits and Systems (ISCAS), pp. 3913 - 3916, Paris France, May 2010.
- 21. **K. Nakayama**, N. Shinomiya, "Tie-set Graph Theory and its Application to Smart Grid," IEICE General Conference, Miyagi Japan, March 2010, BS-3-8, "S-38"-"S-39".
- 22. K. Kadena, **K Nakayama**, N. Shinomiya, "A Way of Determining a Fundamental System of Tie-sets Considering a Link Failure Recovery," IEICE General Conference, Miyagi Japan, March 2010, BS-3-1, "S-24"-"S-25".
- K. Nakayama, N. Shinomiya, "A Distributed Control Method based on Tie-sets on a Network," Multimedia, Distributed, Cooperative and Mobile Symposium (DICOMO), Oita Japan, July 2009, No. 1, pp. 788 - 796.
- 24. **K. Nakayama**, N. Shinomiya, "Autonomous Distributed Control for Optical Sensory Nerve Networks," IEICE General Conference, Ehime Japan, March 2009, B-20-32.

US Patents

- 1. **K. Nakayama**, P. Ramanan, R. Sharma, "Decentralized Aggregator-Less Blockchain Based Federated Learning," U.S. Patent Application Under Review.
- 2. **K. Nakayama**, R. Moslemi, H. Hosseini, R. Sharma, "A Decentralized IoT Infrastructure Management Platform with Distributed Ledger Technology Integrating AI Models," U.S. Patent Application Under Review.
- 3. K. Nakayama, R. Sharma, "Autonomous Blockchain-Based Smart Warranty Management for Energy Storages," U.S. Patent Application Under Review.
- 4. K. Nakayama, Z. Zhao, R. Sharma, "Autonomous Blockchain-Based Peer-To-Peer Energy Trading Platform for Transactive Energy Management in Distribution Network using Reinforcement Bandit Learning," U.S. Patent Application Under Review.
- 5. **K. Nakayama**, R. Moslemi, R. Sharma, "Blockchain-Based Peer-To-Peer Transactive Energy Management for Multi-Settlement Markets," U.S. Patent Application Under Review.
- 6. **K. Nakayama**, R. Sharma, "Decentralized Energy Management Utilizing Blockchain Technology," U.S. Patent Application Under Review.
- 7. **K. Nakayama**, R. Sharma, "Demand Charge and Response Management using Energy Storage," U.S. Patent, No. 16/185,373.
- 8. K. Nakayama, N. Muralidhar, C. Jin, R. Sharma, "Detection of False Data Injection Attacks in Power Systems using Multiplex Invariant Networks and Domain Knowledge," U.S. Patent, No. 16/151,544.
- 9. **K. Nakayama**, R. Sharma, "Energy Management System with Intelligent Anomaly Detection and Prediction," U.S. Patent, No. 15/974,155.

- 10. **K. Nakayama**, R. Sharma, "Autonomous Operational Platform for Micro-Grid Energy Management," U.S. Patent, No. 15/436,274.
- K. Nakayama, W.P. Chen, "Aggregated and Optimized Virtual Power Plant Control," U.S. Patent, No. 15/000,970.

GRANTS AND RESEARCH SUPPORT

- Full Scholarship from Japanese Student Services Organization (JASSO) 3,583,000 JPY/Year Covering all the tuition and living expenses for Ph.D. program from 2011 to 2014 Elected as one of top 22 students from nationwide applicants
- Student Travel Grant, IEEE SmartGridComm held in Tainan Taiwan,

\$1000, 2012

• Research Fellowship from Soka University

1,000,000 JPY, 2012

• Grants from NEC C&C Foundation

250,000 JPY, 2010

For Researchers Attending Prestigious International Conferences

PROFESSIONAL SERVICES

- TCF Independent Reviewer in support of U.S. Department of Energy, 2019
- TPC Member: IEEE International Conference on Smart Grid Communications (SmartGridComm), 2017, 2018, 2019
- TPC Member: IEEE Wireless Communications and Networking Conference (WCNC), 2019
- Invited Reviewer: IEEE Transactions on Vehicular Technology, 2018
- Guest Editor: IEEE Communications Magazine on Internet of Electric Vehicles and Smart Grid, 2018
- Associate Editor: IET Smart Grid Journals, 2018
- Guest Editor: IEEE Communications Magazine on Internet of Things and Information Processing in Smart Energy Applications, 2017
- TPC Member: IEEE Global Communications Conference (Globecom), 2017, 2018
- TPC Member: IEEE International Conference on Communications (ICC), 2015, 2016, 2017
- Guest Editor: IEEE Communications Magazine on Integrated Communications, Control, and Computing Technologies for Enabling Autonomous Smart Grid, 2016
- Invited Reviewer: IEEE Transactions on Smart Grid, 2016
- TPC Member: International Workshop on Integrating Communications, Control, and Computing Technologies for Smart Grid (ICT4SG) held in conjunction with IEEE ICC 2016
- Invited Reviewer: IEEE Transactions on Communications, 2014

CONFERENCE AND INVITED TALKS

- July 2019: Plenary Session Presentation, IEEE Transactive Energy System Conference, Minneapolis, MN.
- October 2018: Oral Presentation, IEEE SmartGridComm, Aalborg, Denmark.
- September 2017: Oral Presentation, IEEE Resilience Week, Wilmington, DE.
- November 2016: Oral Presentation, IEEE SmartGridComm, Sydney, Australia.
- July 2014: Invited Talk, Network Systems Research Group Seminar, Fujitsu Labs, Dallas, TX.
- June 2014: Invited Talk, CRISP Seminar, UC Davis, CA. Hosted by Prof. Anna Scaglione

- January 2014: Invited Talk, RSRG Seminar, Caltech, Pasadena, CA.
 - Hosted by Prof. Steven Low
- December 2013: Invited Talk, Center for Nonlinear Studies, Los Alamos National Laboratory, NM. Hosted by Dr. Misha Chertkov
- December 2013: Oral Presentation, IEEE Globecom, Atlanta, GA.
- October 2013: Oral Presentation, IEEE SmartGridComm, Vancouver, Canada.
- November 2012: Invited Talk, Global Citizenship Program, Soka University, Tokyo, Japan.
- November 2012: Oral Presentation, IEEE SmartGridComm, Tainan, Taiwan.
- June 2011: Oral Presentation, IEEE ISCC, Corfu Greece.
- October 2010: Oral Presentation, IEEE ICUMT, Moscow, Russia.

MENTORING/SERVICE

• Paritosh Ramanan from Georgia Tech

Summer Intern 2019

Project: Decentralized Blockchain-Based Aggregator-Less Federated Learning

• Zibo Zhao from Purdue University

Summer Intern 2018

Project: Decentralized Pricing in Transactive Energy Trading by Bandit Learning

• Ehsan Raoufat from University of Tennessee

Summer Intern 2017

Project: Energy Storage for PV-utilization and Demand Charge Management

• Nikhil Muralidhar from Virginia Tech

Summer Intern 2017

Project: Detection of False Data Injection Attacks on Power Systems with Multilayer Invariant Networks and Domain Knowledge

• Marzieh Parandehgheibi from MIT

Summer Intern 2016

Project: A Two-Layer Incentive-Based Controller for Aggregating BTM Energy Storage Devices

TEACHING EXPERIENCE

• Teaching Assistant at UC Irvine

Project in Operating Systems (CS 143B), Spring 2014

Discrete Mathematics (ICS 6D), Winter 2014

• Teaching Assistant at Soka University

Physics Experiment, Spring 2011 and 2010

Networking Experiment, Fall 2009

Information Engineering Experiment, Spring 2009

TECHNICAL SKILLS

Machine Learning DNN, Q-Learning, Bandit Learning, Invariant Learning

Programming Java, Python, C/C++, Javascript, Solidity

Database Blockchain, MongoDB, MySQL

Dist. Systems MPI, IPFS, Ethereum Frameworks, Web3 Libraries

Web Frameworks RESTful, HTTP, HTML, JSP, AJAX, Flask, Eclipse (Dynamic Web App)

REFERENCES

Available Upon Request.