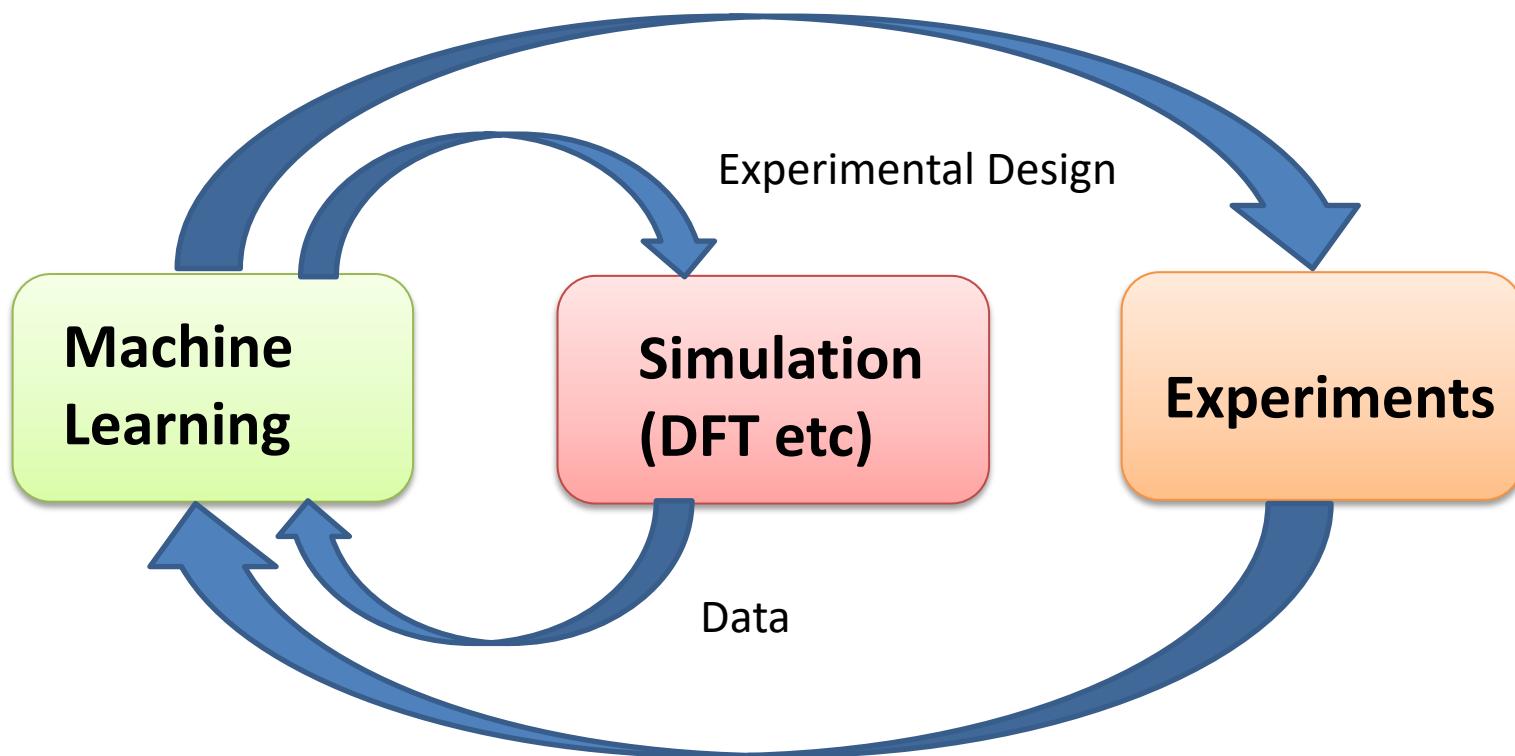


# *Designing materials with machine learning and quantum annealing*

Koji Tsuda

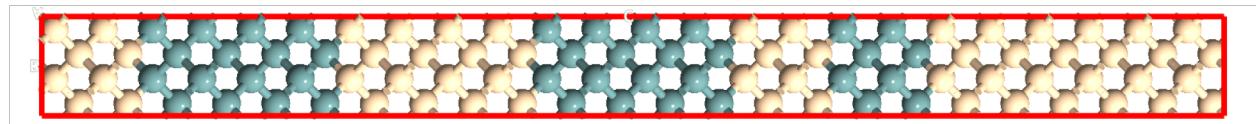
University of Tokyo / NIMS / RIKEN

# Automatic Materials Design

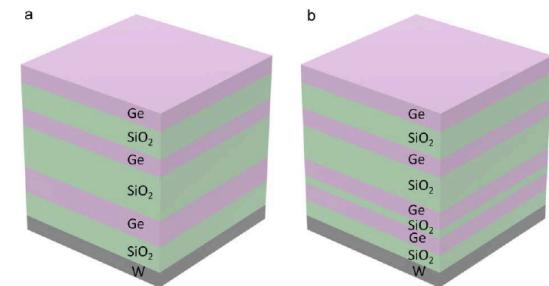


# Agenda

- Bayesian Optimization
- Design of Si-Ge nanostructures (Ju+, PRX 2017)



- Wavelength selective thermal radiator (Sakurai+, ACS Cent Sci, 2019)



- D-wave quantum annealer (Kitai+, Arxiv, 2019)

# Bayesian Optimization

(Jones et al., 1998)

- Find best data points with minimum number of observations
- Choose next point to observe to discover the best ones as early as possible

# Screening by first principles calculations alone

Mat. 1	Mat. 2	Mat. 3	Mat. 4	Mat. 5	Mat. 6	Mat. 7	Mat. 8	Mat. 9	Mat. 10
-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	------------



First Principles Calc.



Score 1	Score 2	Score 3	Score 4	Score 5	Score 6	Score 7	Score 8	Score 9	Score 10
------------	------------	------------	------------	------------	------------	------------	------------	------------	-------------

# Bayesian Optimization (1)

Mat. 1	Mat. 2	Mat. 3	Mat. 4	Mat. 5	Mat. 6	Mat. 7	Mat. 8	Mat. 9	Mat. 10
-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	------------



First Principles Calc.



Score 1	Score 2	Score 3
------------	------------	------------

# Bayesian Optimization (2)

Mat. 1	Mat. 2	Mat. 3	Mat. 4	Mat. 5	Mat. 6	Mat. 7	Mat. 8	Mat. 9	Mat. 10
-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	------------



First Principles Calc.



Predicted Scores

Score 1	Score 2	Score 3	Pred. Score 4	Pred. Score 5	Pred. Score 6	Pred. Score 7	Pred. Score 8	Pred. Score 9	Pred. Score 10
------------	------------	------------	---------------------	---------------------	---------------------	---------------------	---------------------	---------------------	----------------------

Var. 4	Var. 5	Var. 6	Var. 7	Var. 8	Var. 9	Var. 10
-----------	-----------	-----------	-----------	-----------	-----------	------------

Predicted Variances

# Bayesian Optimization (3)

Mat. 1	Mat. 2	Mat. 3	Mat. 8	Mat. 4	Mat. 5	Mat. 6	Mat. 7	Mat. 9	Mat. 10
-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	------------



First Principles Calc.



Score	Score	Score	Score
1	2	3	8

# Bayesian Optimization (4)

Mat. 1	Mat. 2	Mat. 3	Mat. 8	Mat. 4	Mat. 5	Mat. 6	Mat. 7	Mat. 9	Mat. 10
-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------	------------

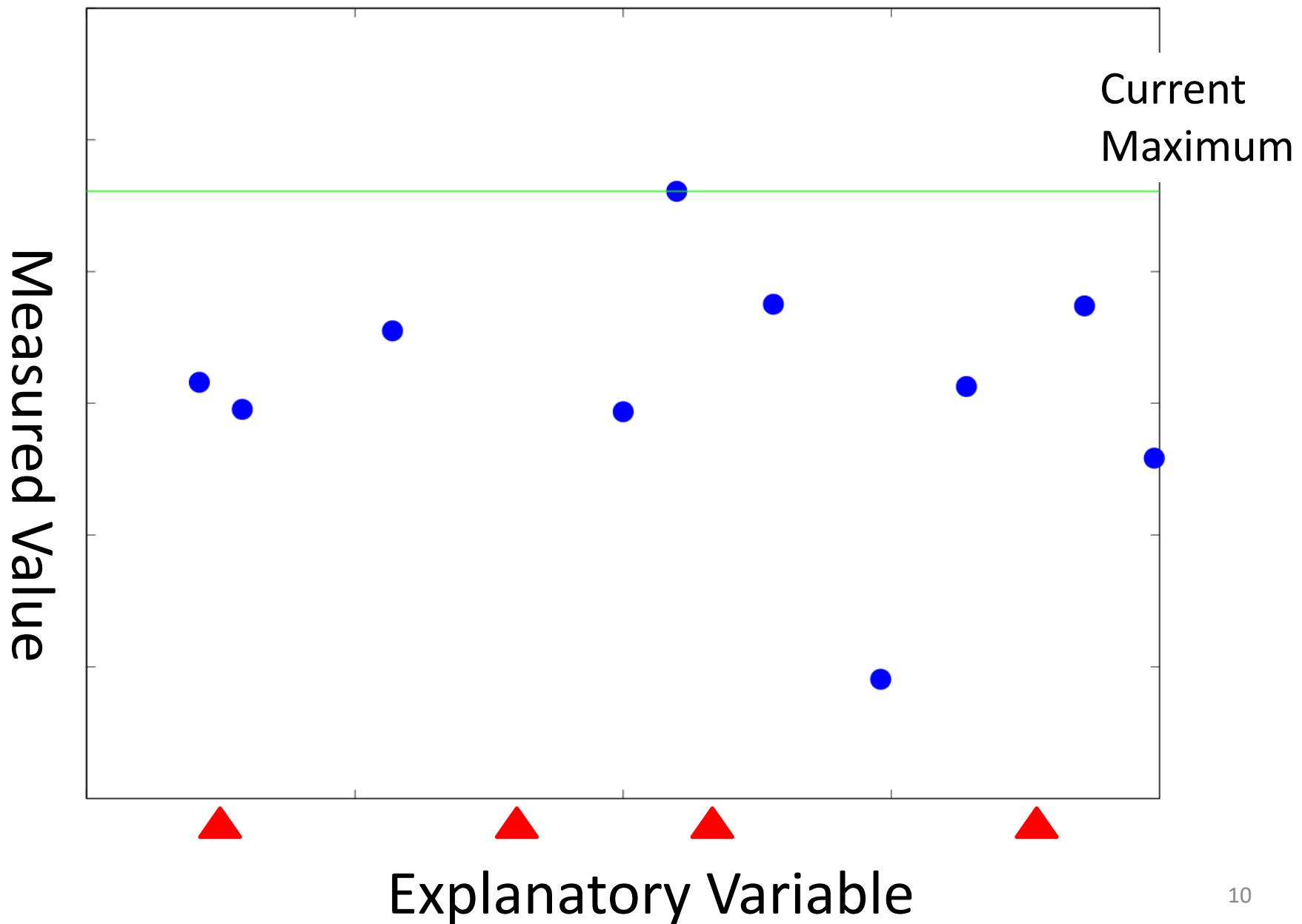


First Principles Calc.

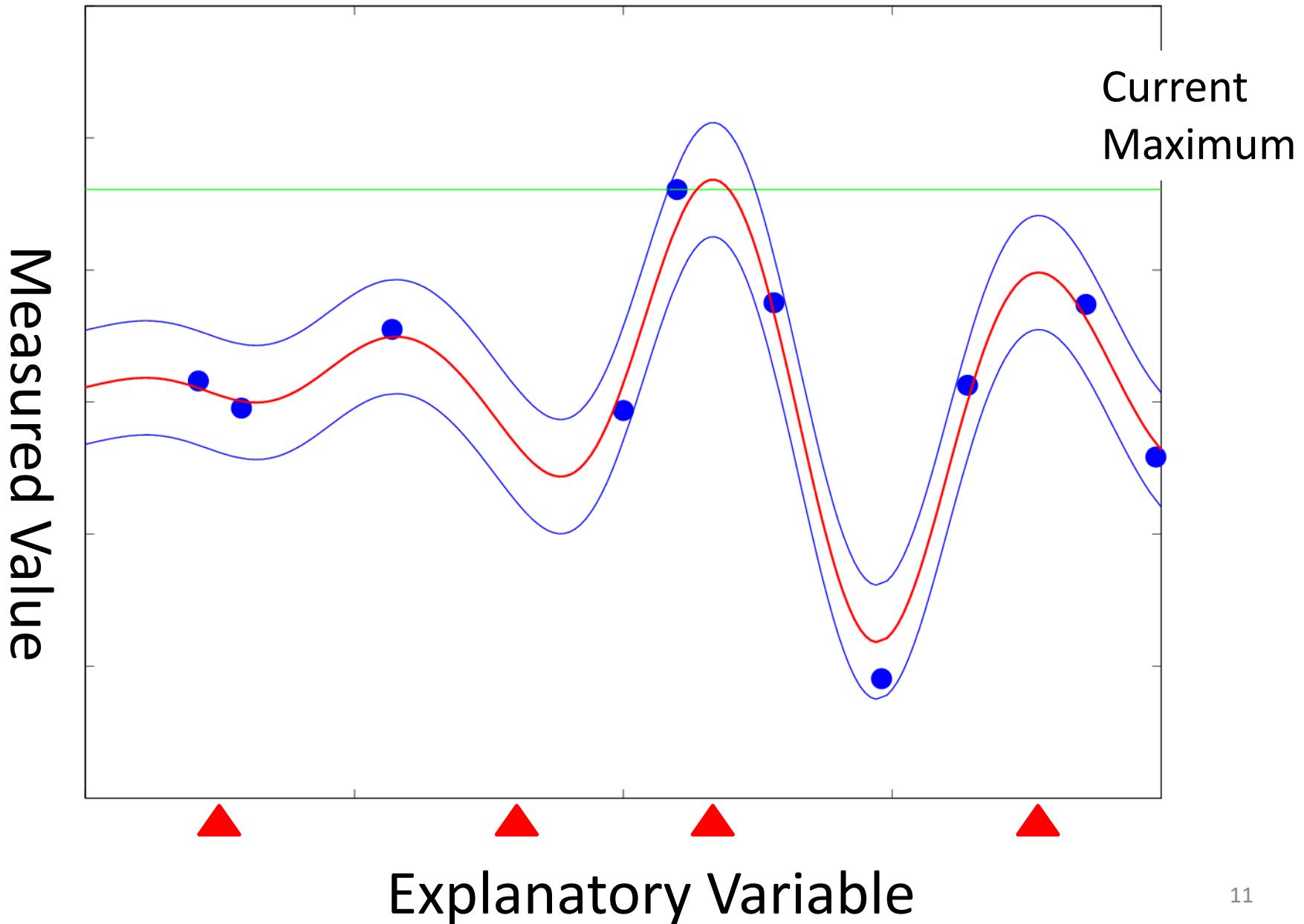


Score 1	Score 2	Score 3	Score 8	Pred. Score 4	Pred. Score 5	Pred. Score 6	Pred. Score 7	Pred. Score 9	Pred. Score 10
Var. 4	Var. 5	Var. 6	Var. 7	Var. 9	Var. 10				

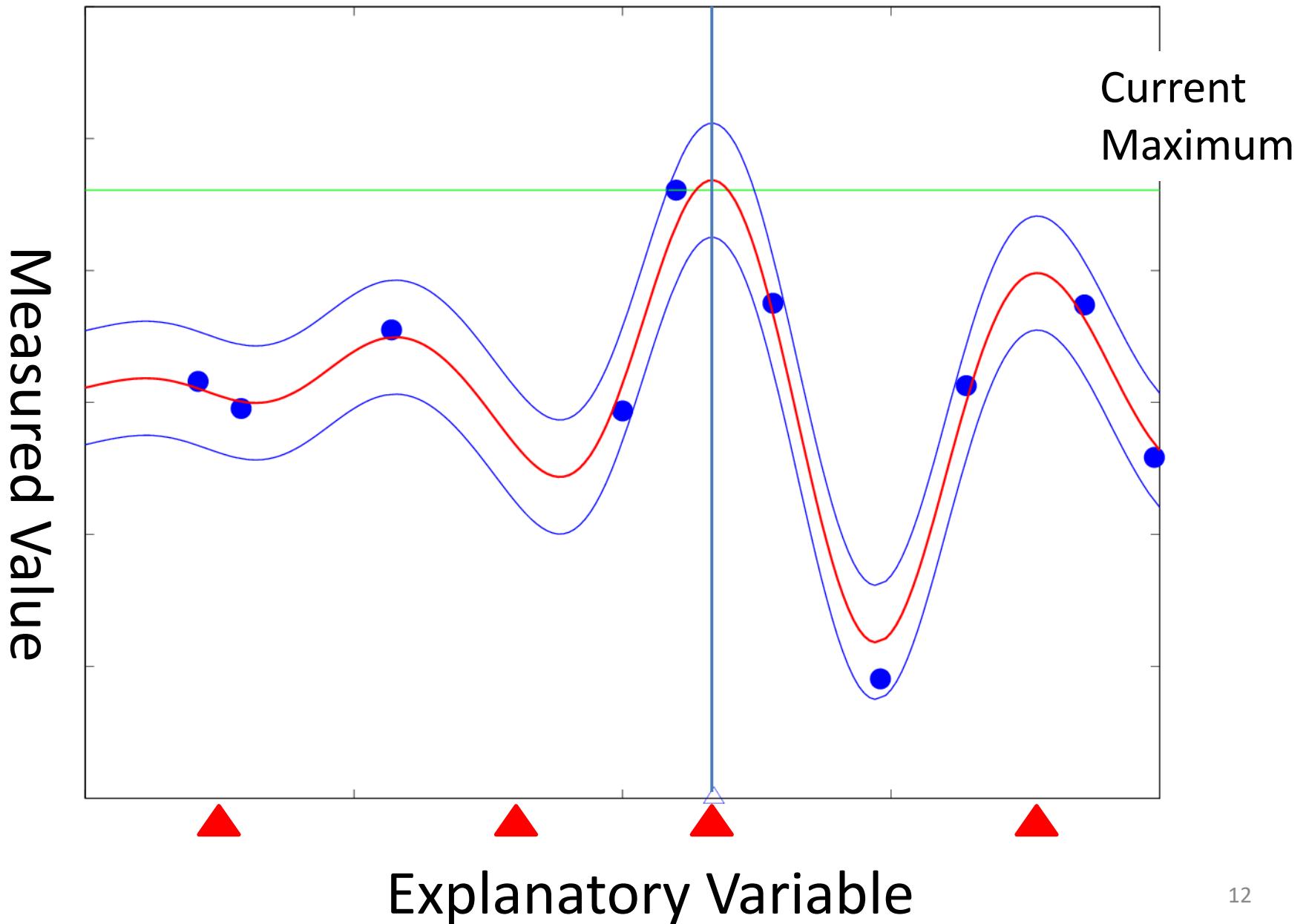
# Where to observe next?



# Gaussian Process



# Maximum probability of improvement



# Alloy Structure Optimization (Phys Rev X, 2017)

**Question:** How to organize 16 alloy atoms (Si: 8, Ge: 8) to obtain the largest and smallest interfacial thermal conductance?



**Descriptors:**  $C_{16}^8 = 12,870$

Case	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	1	1	1	1	1	1	1	1	0	0	0	0	0	0	0	0
2	1	1	1	1	1	1	1	0	1	0	0	0	0	0	0	0
3	1	1	1	1	1	1	1	0	0	1	0	0	0	0	0	0
...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...

**Calculator:** Atomistic Green's Function (AGF): Phonon transmission

**Evaluator:** Interfacial Thermal Conductance (ITC)

**Optimization method:** Thompson Sampling (Bayesian Optimization)

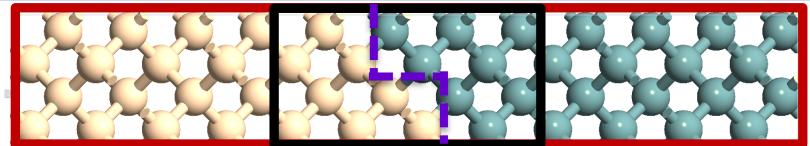
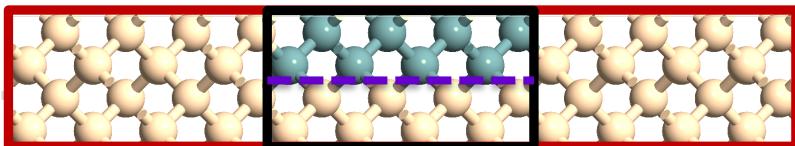
# Alloy Structure Optimization

ITC

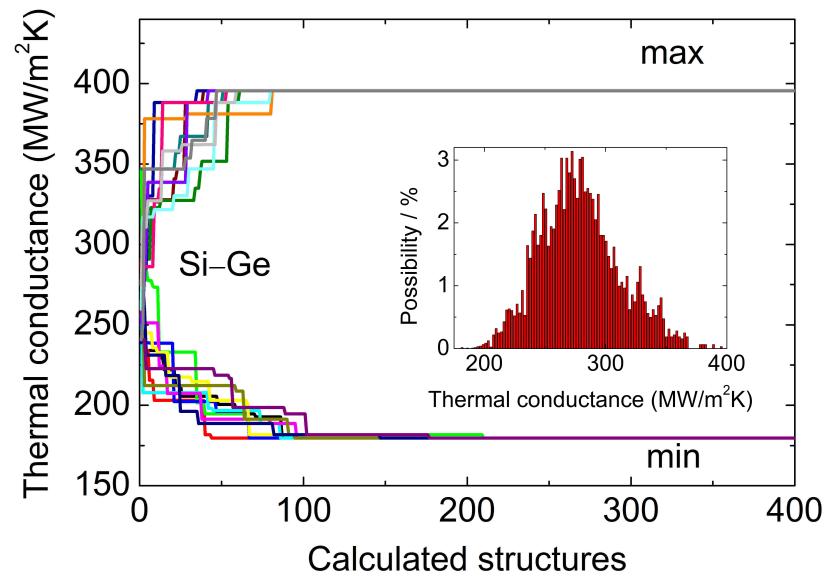
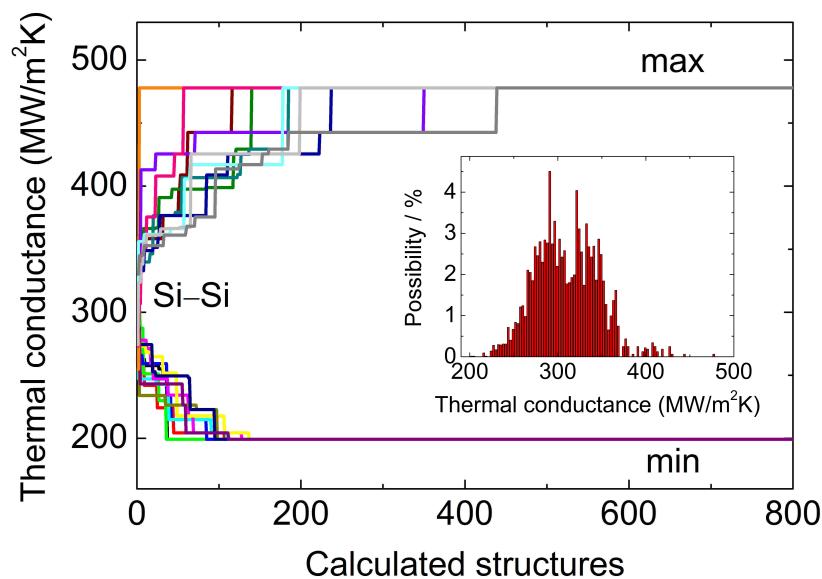
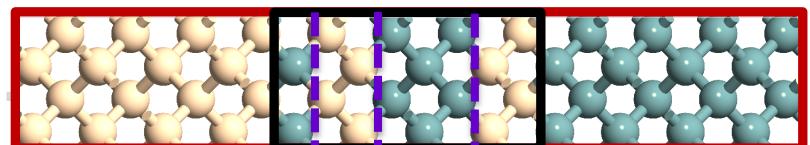
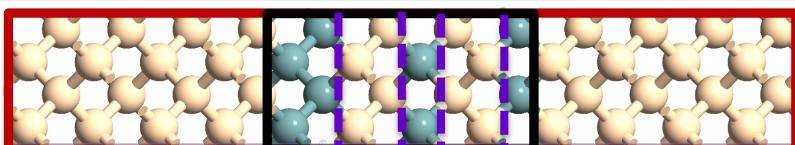
Si-Si

Si-Ge

Max



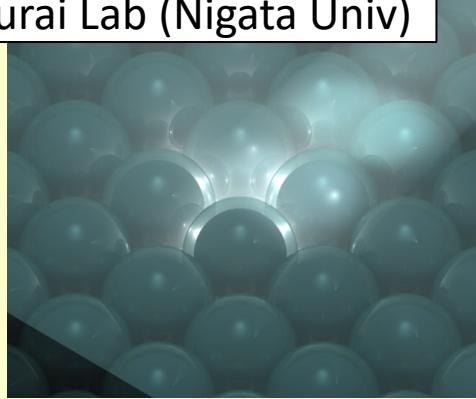
Min



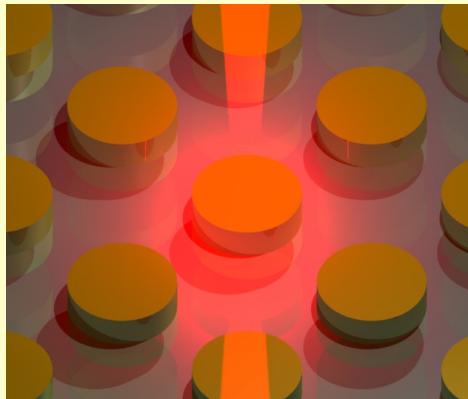
Optimal structures were obtained by calculating only 3.4% of all candidates.

# Wavelength selective thermal radiator

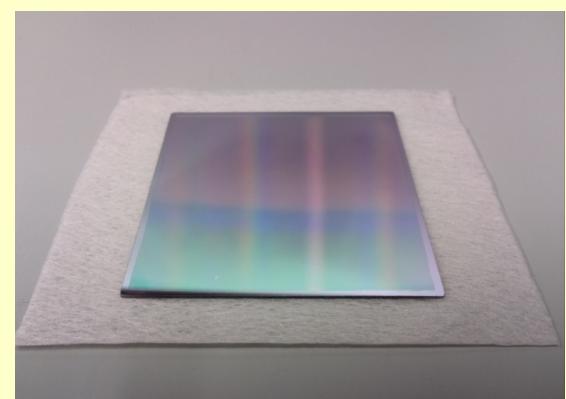
Sakurai Lab (Nigata Univ)



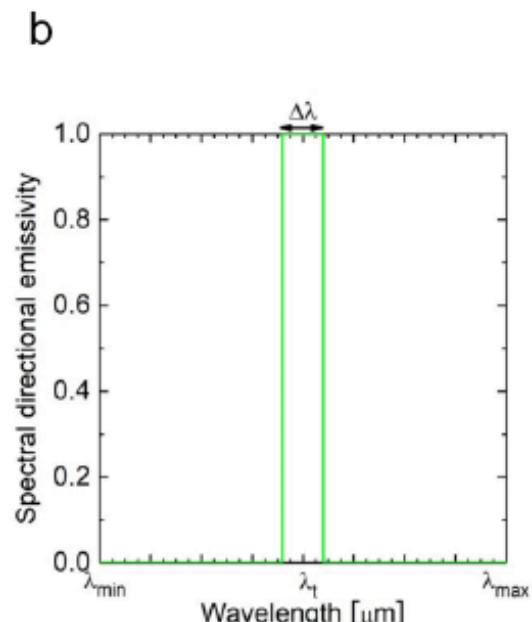
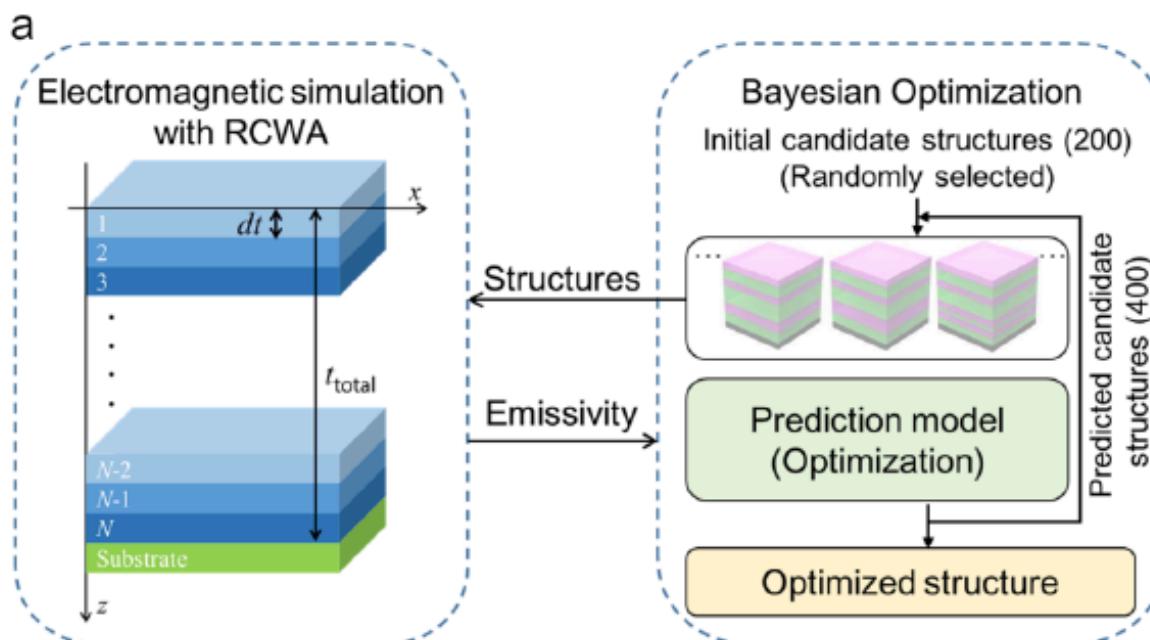
Solar absorber



Sky radiator

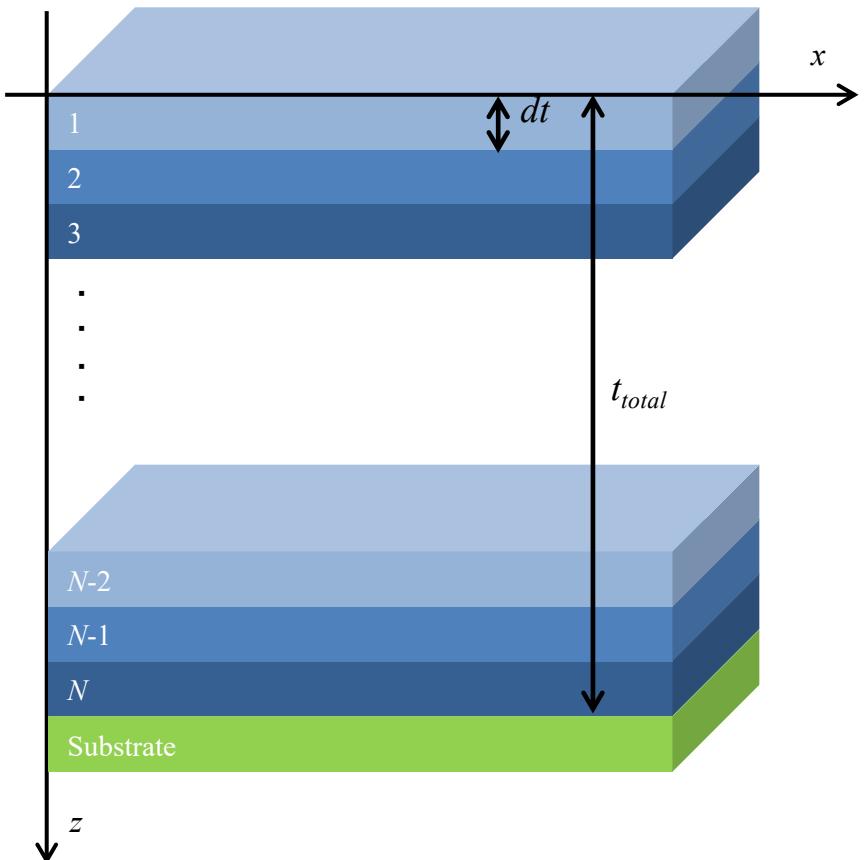


Heater for drying



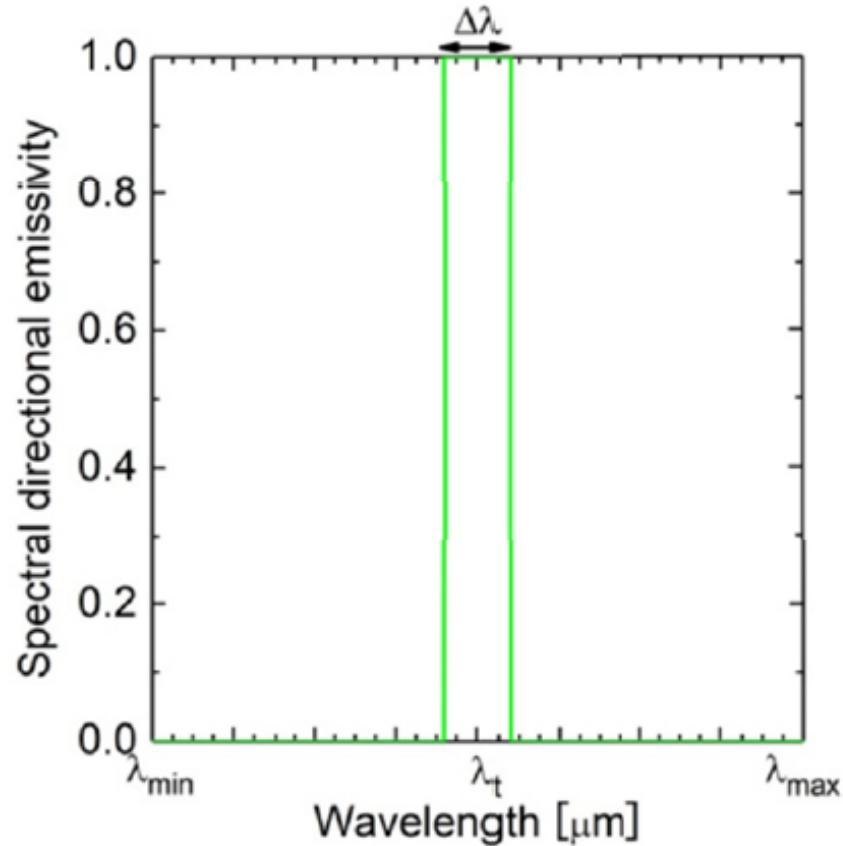
# Designing layered material

- 18 layers: Ge, Si or  $\text{SiO}_2$
- Total thickness: 21 grid points between  $3.6 \mu\text{m}$  and  $4.0 \mu\text{m}$
- Number of candidate structures:  $3^{18} \times 21 = 8,135,830,269$

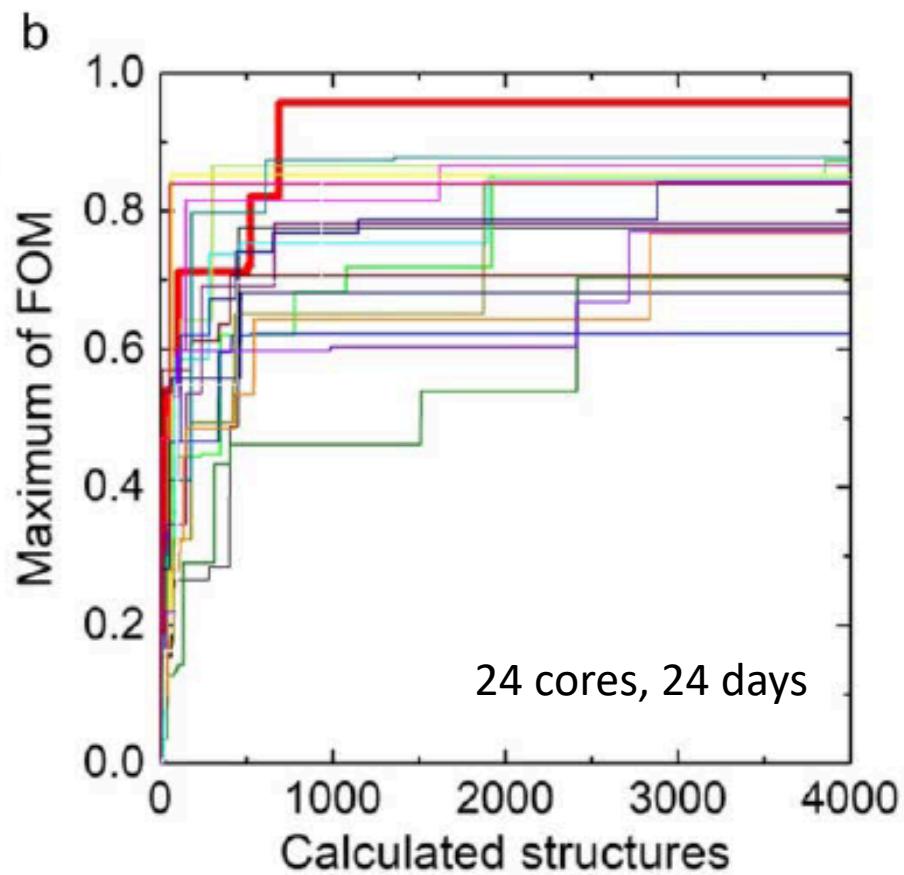
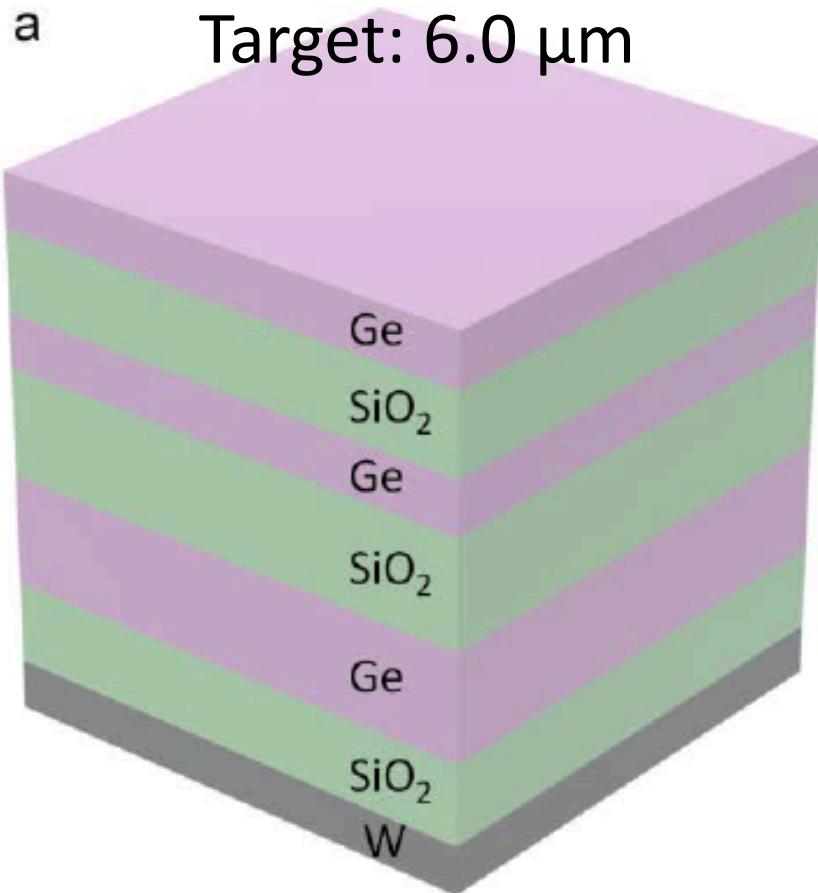


# What to optimize

- Figure of Merit
  - Appreciates peaks near target, penalizes peaks outside
- Calculation of emissivity spectra
  - Electromagnetic simulation via transfer matrix method

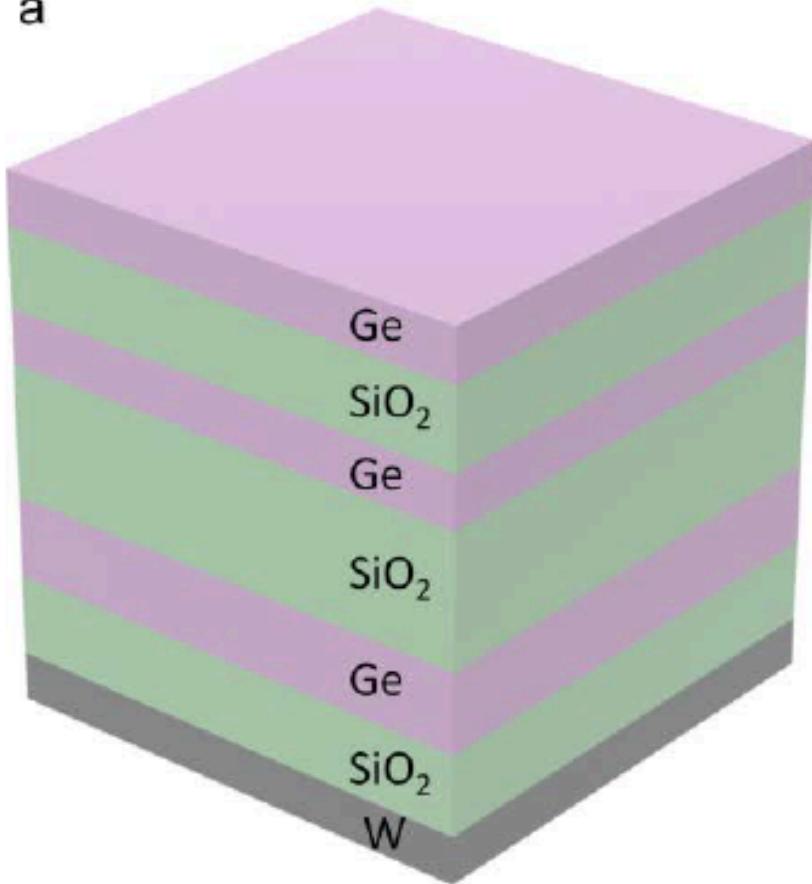


Optimal solution found with 168 million calculations on average  
(2.06% of all possibilities)



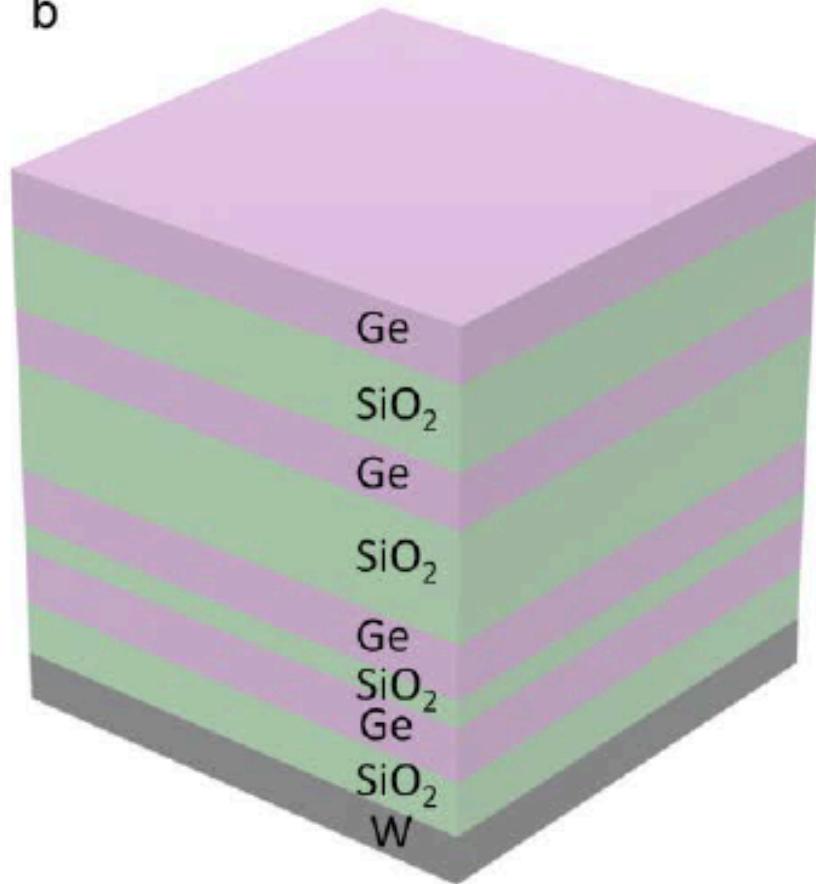
Target: 5.0  $\mu\text{m}$

a

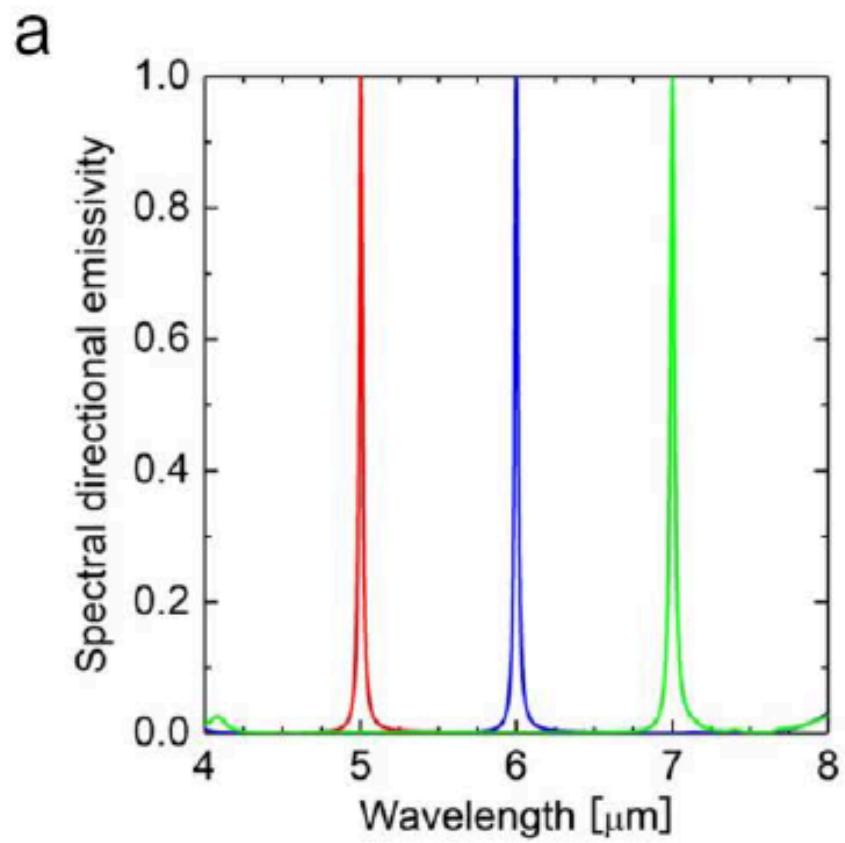


Target: 7.0  $\mu\text{m}$

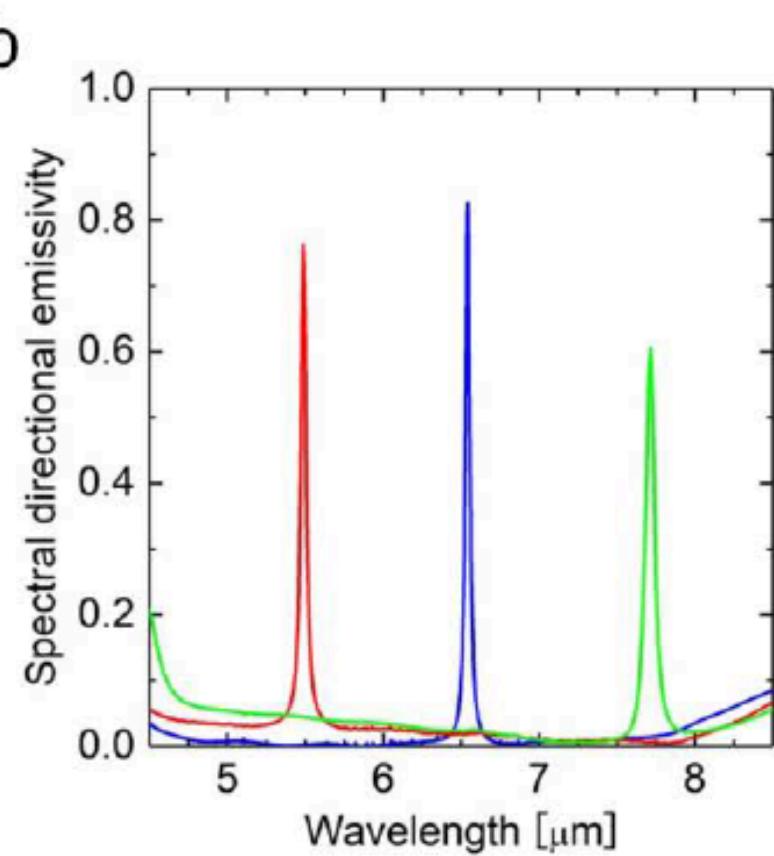
b



Calculated

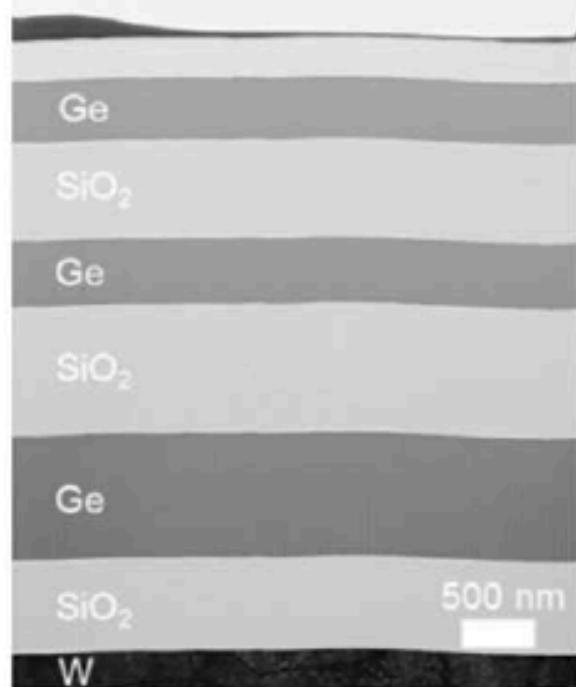


Experimental Validation



# Experimental Validation

TEM image



## Layer Thickness

Layer No.	Target wavelengths, $\lambda_t$	5.0		6.0		7.0	
		Sim.	Exp.	Sim.	Exp.	Sim.	Exp.
1		0.42	0.42	0.42	0.43	0.44	0.44
2		0.63	0.61	0.63	0.69	0.66	0.62
3		0.42	0.43	0.42	0.45	0.44	0.44
4		1.05	0.97	0.85	0.91	0.88	0.84
5		0.63	0.63	0.85	0.87	0.44	0.45
6		0.63	0.58	0.63	0.65	0.22	0.22
7		-	-	-	-	0.44	0.44
8		-	-	-	-	0.44	0.41

# Comparison with Existing Materials

- Q-factor: Peak sharpness
- Our material:  $Q=273$  (Simulation),  $Q= 188$  (Realized)
- Highest known Q-factor: 200 (2D grating coupled surface phonon polaritons, 2008)
  - Large unwanted peaks: Poor FOM = 0.02
  - High cost for nanofabrication

# Quantum annealing



- Solves quadratic unconstrained binary optimization (QUBO)

$$\min_{\mathbf{z} \in \{-1,1\}^m} \sum_i h_i z_i + \sum_{i \leq j} g_{ij} z_i z_j.$$

- D-wave 2000Q
  - Implementation of quantum annealing with superconducting semiconductor
  - Annealing time 170μs, up to 64 bits
  - Machine in Canada, accessed via API from Japan

# Principle of quantum annealing

- QUBO + transverse field term
- Qubit has distribution of up and down
- When measured, up or down appears
- First, strong transverse field is applied
  - $[up,down] = [0.5,0.5]$  is the ground state
- Then transverse field is weakened slowly
  - Ground state slides to global optimum of QUBO
- *Conceptually similar to regularization path following (?)*

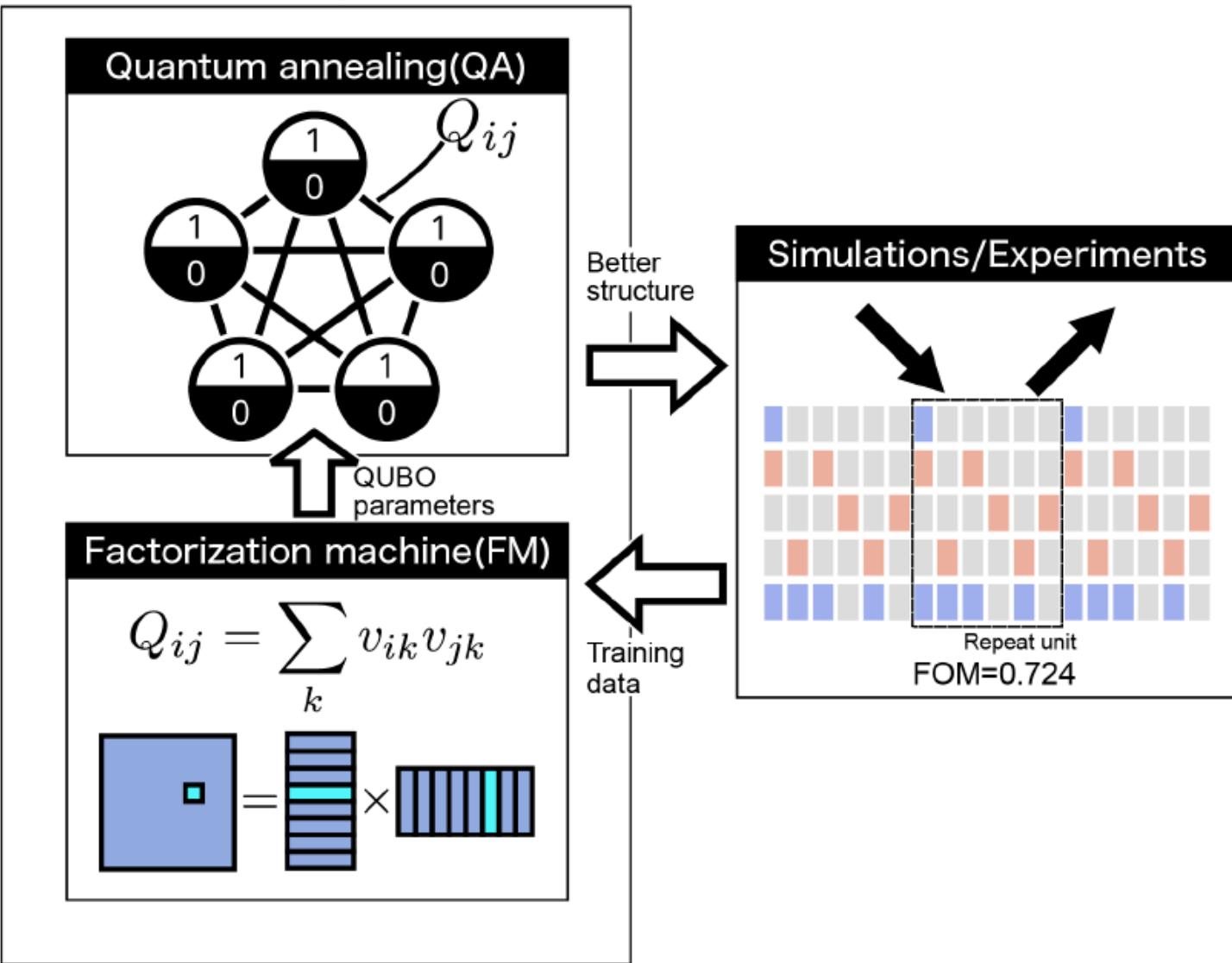
# Using QA for black-box optimization

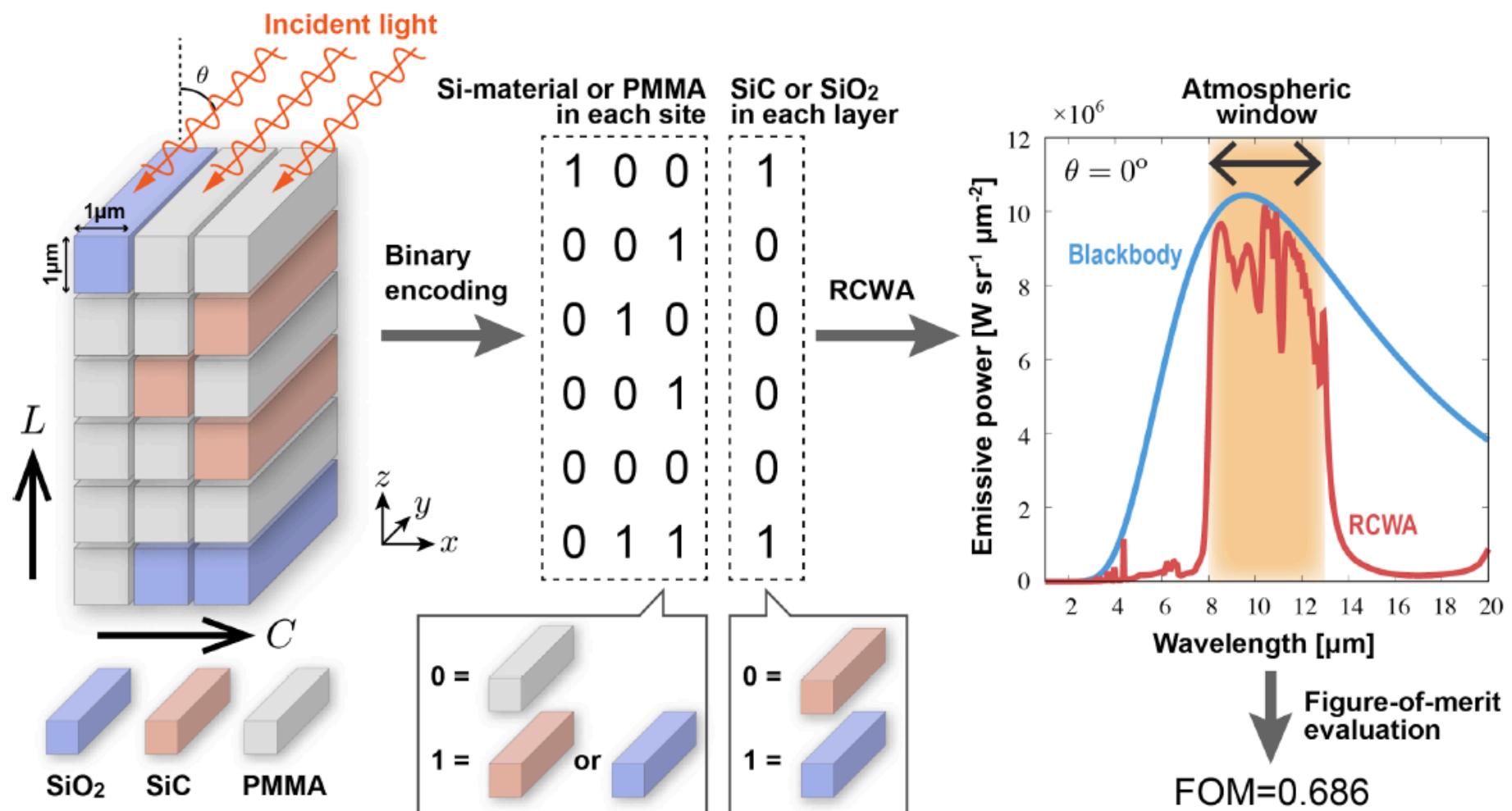
- GP's acquisition function is not QUBO (BAD!)
- Use factorization machine instead

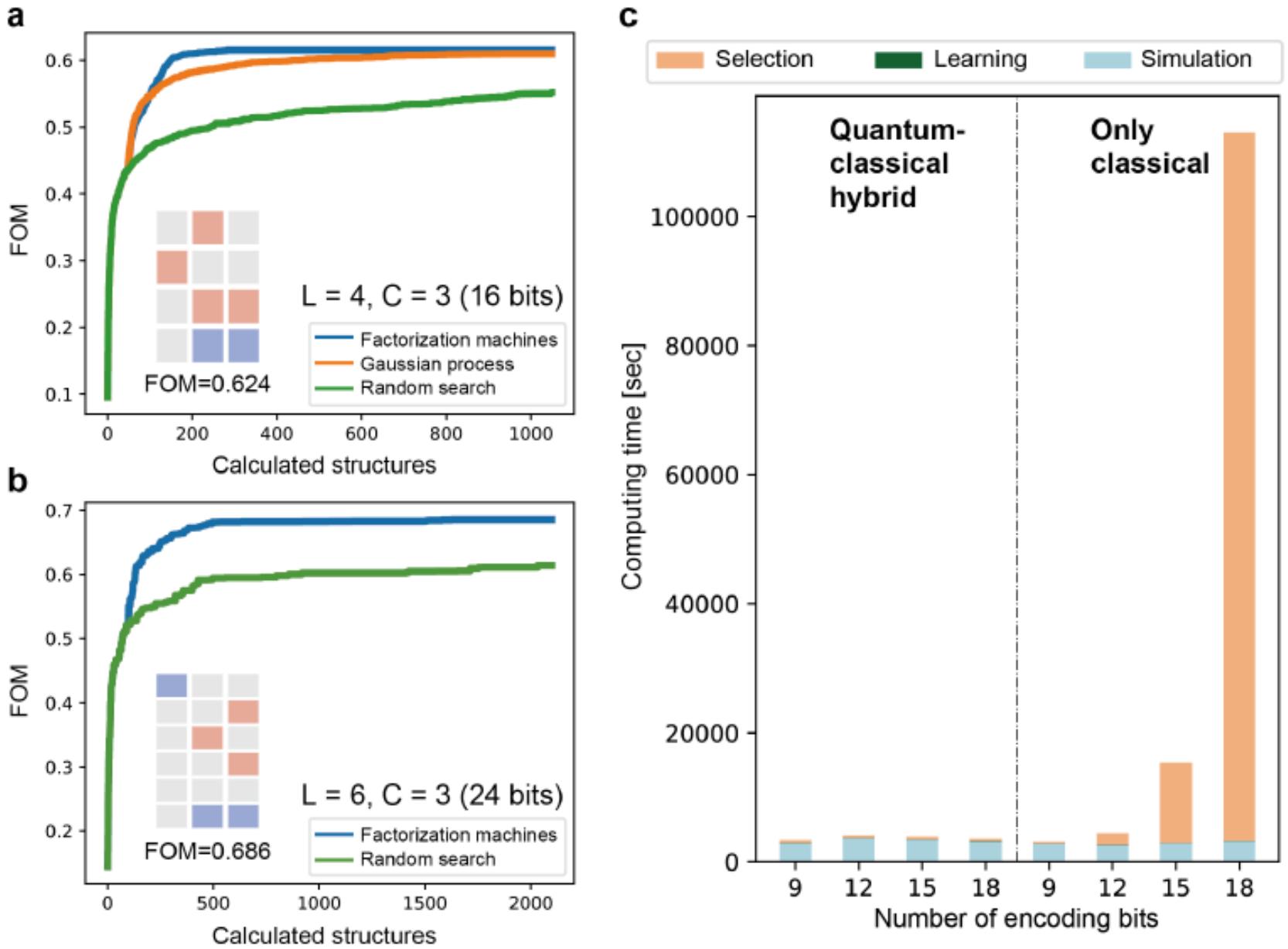
$$y(\mathbf{x}) = \sum_{i=1}^N w_i x_i + \sum_{i=1}^N \sum_{j=1}^N \sum_{k=1}^K v_{ik} v_{jk} x_i x_j,$$

- A learned model becomes QUBO
- 50 annealing at a time, select the best unseen solution

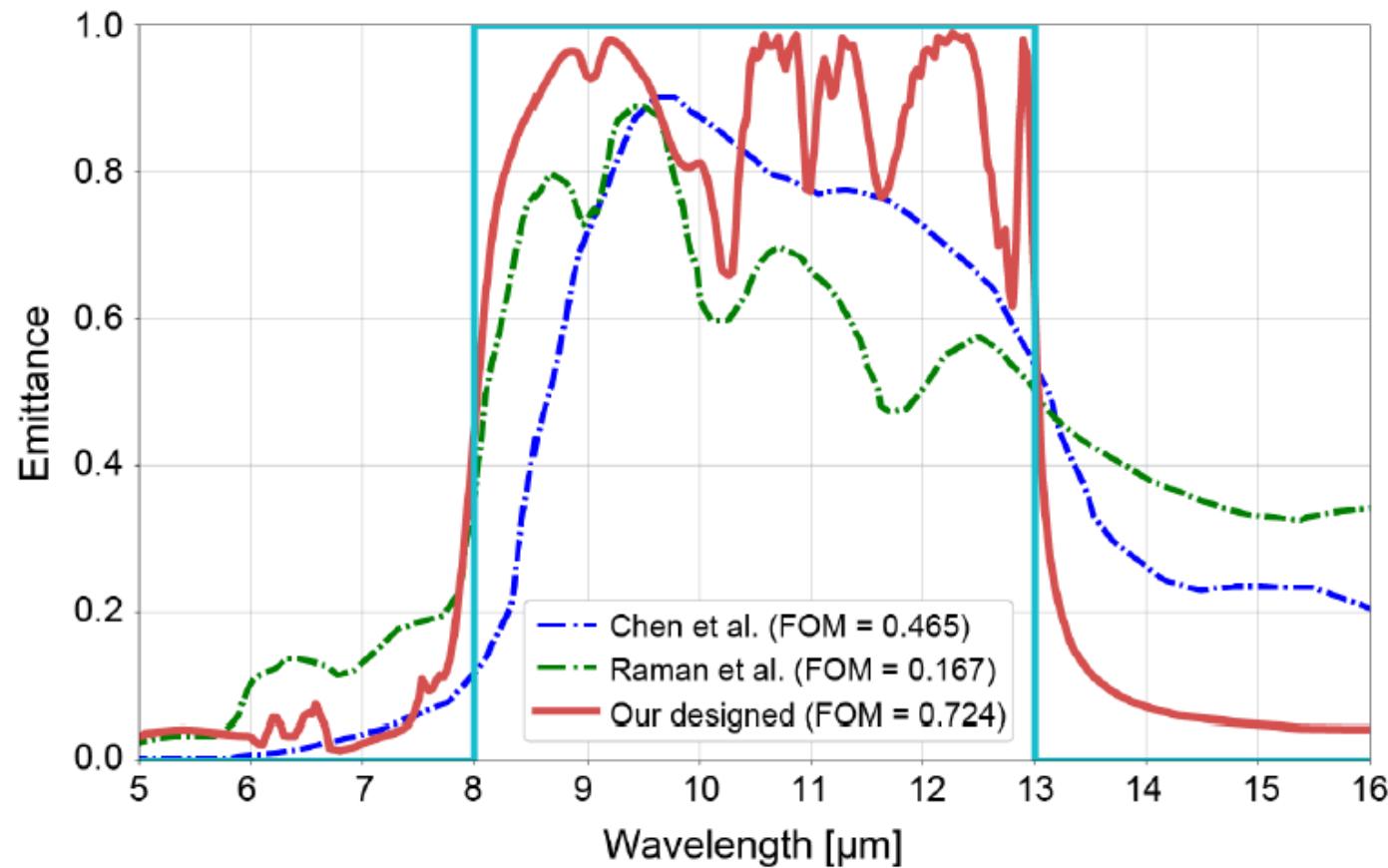
# FMQA







# Comparison to existing materials



# Conclusion

- Designing complex materials is beyond ability of human intuition
- New “class” of materials enabled by ML & QA
  - Tsuda Lab, UTokyo
    - Koki Kitai
    - Ryo Tamura
  - Dept of Mech Eng, UTokyo
    - Junichiro Shiomi
    - Takuma Shiga
    - Shenghong Ju
    - Lei Fang
    - Jiang Guo
    - Makoto Kashiwagi
  - Niigata Univ
    - Atsushi Sakurai
    - Kyohei Yada
    - Hideyuki Okada
    - Tetsushi Shimomura
  - NIMS
    - Zhufeng Hou
    - Tadaaki Nagao
  - Waseda Univ
    - Shu Tanaka