Multi Class Classification on Retinal Eye Diseases using Swin Transformer

A Project Report

Submitted in partial fulfillment of the requirements for the award of the degree of

Post Graduate Diploma in Big Data Analytics (PG-DBDA) from C-DAC ACTS, Chennai

Submitted by

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Under the guidance of

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Declaration

This is to certify that the Project report work entitled "Multi Class Classification on Retinal Eye Diseases using Swin Transformer" is a bonafide work carried out by Kamarajugadda Jyothi Phani Vaibhav bearing PRN. 240860825003 in partial fulfillment of the requirements for the degree of POST GRADUATE DIPLOMA in BIG DATA ANALYTICS (PG-DBDA) discipline to C-DAC, Chennai during the academic year 2024- 2025.

The results embodied in this report have not been submitted to any other University or Institution for the award of any degree or diploma.

Kamarajugadda Jyothi Phani Vaibhav 240860825003

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Abstract

Retinal eye diseases are among the leading causes of vision impairment globally, with various conditions such as diabetic retinopathy, agerelated macular degeneration, and glaucoma requiring accurate and early diagnosis. In this project, I propose a multi-class classification framework to identify and classify different retinal diseases using the Swin Transformer, a state-of-the-art vision transformer model. We leverage the ODIR-5K dataset, which contains images of retinal fundus, along with their corresponding diagnostic labels. By employing the Swin Transformer model, known for its hierarchical feature extraction capabilities and efficient handling of high-resolution images, I aim to improve the accuracy of disease detection in retinal scans.

We begin by preprocessing the dataset, including augmenting the images to enhance model generalization, and applying label encoding to categorize eight distinct classes of retinal diseases. My approach utilizes a deep learning pipeline incorporating transfer learning with a pre-trained Swin Transformer model, optimizing the network with class-weighted loss functions to account for class imbalances. Extensive training, validation, and evaluation metrics such as accuracy, precision, recall, F1 score, ROC AUC, and Cohen's Kappa are computed to assess the model's performance. I demonstrated that my model achieves competitive performance in terms of classification accuracy and generalization, showing its potential for real-world applications in ophthalmology, providing timely and accurate diagnosis of retinal diseases and assisting healthcare professionals in their decision-making processes.

The results indicate that the Swin Transformer model can significantly enhance the diagnostic capability for retinal diseases, offering a robust tool for automated medical image analysis and contributing to the advancement of AI-driven healthcare solutions.

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Chapter 1

INTRODUCTION

1.1 About the Project

The ODIR 5K dataset is a comprehensive collection of retinal images used to classify different eye diseases. This project focuses on utilizing the Swin Transformer, a state-of-the-art vision transformer model, for the multi-class classification task. The model will identify various eye diseases, including diabetic retinopathy, glaucoma, cataracts, and more.

1.2 Project Objective

The objective of this project is to use the Swin Transformer model to classify retinal images into several categories based on the presence of specific eye diseases. The aim is to leverage the strengths of the transformer architecture to extract meaningful patterns from medical images and achieve high classification accuracy.

1.3 Problem Statement

In the field of healthcare, particularly in ophthalmology, early diagnosis of eye diseases is crucial for effective treatment and prevention of blindness. This project focuses on developing a deep learning model that can automatically classify different types of ocular diseases from fundus images (photographs of the back of the eye). The goal is to create a predictive model that can detect multiple eye conditions, such as diabetes, glaucoma, cataracts, hypertension, age-related macular degeneration (AMD), and more, based on features extracted from these images. Given a dataset of ocular images and diagnostic keywords like ODIR-5K, the model, like Swin Transformer, should accurately classify and predict whether a given eye image is associated with any of these diseases, helping doctors and healthcare professionals with faster and more accurate diagnoses.

1.4 Scope of the Project

This project will involve:

- Preprocessing and augmentation of the ODIR 5K dataset.
- Training a Swin Transformer model for multi-class classification.
- Evaluating model performance using standard metrics such as accuracy, precision, recall, F1-score, kappa score, Sensitivity, Specificity ROC score.
- Visualizing results and providing insights for future improvements.

1.5 Limitations of the Project

The main limitations of the project are:

• The dataset's limited size which leads to overfitting, especially with a deep model like the Swin Transformer.

- Imbalanced class distribution affects the model's ability to generalize across all disease categories.
- The computational demands of training a Swin Transformer model requires significant resources, such as high-end GPUs for a long time.

Chapter 2

Literature Survey

2.1 Ophthalmology and Ophthalmological disease

Ophthalmology is the branch of medicine that focuses on the diagnosis, treatment, and prevention of eye disorders and diseases. It encompasses a wide range of topics, including the structure and function of the eye, vision problems, eye diseases, and surgical treatments. Ophthalmologists are medical doctors who specialize in this field, and they are trained to perform eye exams, prescribe medications, and carry out surgical procedures to correct or treat various conditions.

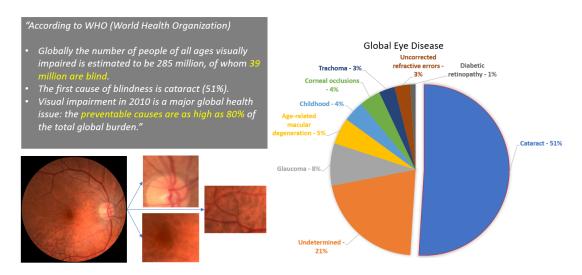


Figure 2.1: Ophthalmology and Ophthalmological diseases

There are four main aspects of Ophthalmology:

2.1.1 Anatomy and Physiology of the Eye

The eye is a complex organ, and understanding its anatomy is crucial for diagnosing and treating eye diseases. The key components include:

- Cornea: The clear, outer layer that helps focus light.
- Lens: The structure that changes shape to focus light onto the retina.
- Retina: The layer of cells at the back of the eye that detects light and sends signals to the brain.
- Optic Nerve: The nerve that transmits visual information from the retina to the brain.

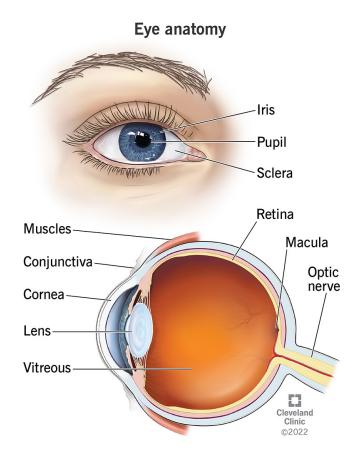


Figure 2.2: Anatomy of an eye (From Cleveland Clinic 2022)

2.1.2 Ophthalmological Diseases

There are more than 30 non rare Ophthalmological Diseases around the world. Few of them are Age-Related Macular Degeneration (AMD), Cataracts, Color Blindness, Diabetic Retinopathy, Farsightedness (Hyperopia), Glaucoma, Nearsightedness (Myopia). **Refractive Errors:**

- Myopia (Nearsightedness): Difficulty seeing distant objects clearly.
- Hyperopia (Farsightedness): Difficulty seeing close objects clearly.
- Astigmatism: Blurred vision caused by an irregular shape of the cornea or lens.
- Presbyopia: Age-related difficulty in focusing on near objects.

Cataracts: A cataract is a clouding of the eye's lens that leads to a decrease in vision. It's most commonly seen in older adults and can be treated with surgery to replace the cloudy lens with a clear artificial one.

Glaucoma: A group of eye diseases that damage the optic nerve, often due to high intraocular pressure. If left untreated, glaucoma can lead to permanent vision loss. There are two main types:

- Open-Angle Glaucoma: The most common form, developing slowly with no obvious symptoms.
- Angle-Closure Glaucoma: A more sudden form that causes severe pain and requires immediate treatment.

Macular Degeneration: A condition that affects the retina, particularly the macula, the part of the retina responsible for central vision. Age-related macular degeneration (AMD) can cause vision loss in older adults, particularly affecting reading and recognizing faces.

Diabetic Retinopathy: A complication of diabetes that damages the blood vessels of the retina. Over time, it can cause vision impairment and even blindness. Early detection and control of diabetes are key to preventing this condition.

2.1.3 Diagnostic and Treatment Methods

Eye Exams: Regular eye exams are crucial for detecting eye problems early, especially in people with risk factors like diabetes, high blood pressure, or a family history of eye disease.

Diagnostic Tests:

- Visual acuity tests: To measure how well you can see at different distances.
- Tonometry: Measures intraocular pressure to detect glaucoma.
- Ophthalmoscopy: Allows the doctor to view the retina and optic nerve.
- Slit-lamp examination: Used to inspect the cornea, lens, and retina.
- Fluorescein Angiography: A test to check for blood vessel problems in the retina.

Treatments:

- Medications: Eye drops or oral medications are used to treat infections, inflammation, and conditions like glaucoma.
- Laser Therapy: Used for conditions like diabetic retinopathy, macular degeneration, or glaucoma.
- Surgery: Surgical treatments may be needed for conditions like cataracts, retinal detachment, or strabismus. LASIK surgery is a common procedure for refractive errors like myopia.

2.1.4 Prevention

Regular eye check-ups, wearing sunglasses to protect from UV rays, maintaining a healthy diet rich in vitamins, and managing underlying health conditions like diabetes and hypertension are key steps in preventing eye diseases.



Figure 2.3: Vision from different Ophthalmological Diseases

2.2 Image Transformers

2.2.1 Introduction to Image Transformers

Traditionally, Convolutional Neural Networks (CNNs) have dominated the field of image processing tasks, including image classification, object detection, and segmentation. However, the success of transformers in natural language processing (NLP) motivated researchers to explore their potential in image-related tasks. Image Transformers are a novel architecture designed to overcome the limitations of CNNs, such as their inherent locality biases, by leveraging self-attention mechanisms to capture long-range dependencies in image data.

Transformers were first introduced in NLP tasks (Vaswani et al., 2017) through the Attention Is All You Need paper, and this shifted the paradigm for sequential data modeling. Given their success, researchers began to adapt this architecture for visual data, with early approaches such as Vision Transformer (ViT), DeiT, and others making significant strides toward competitive performance with CNN-based models.

2.2.2 Image Classification: Multi-Class and Multi-Label

Image classification is one of the fundamental tasks in computer vision. It involves assigning an image to a category or a set of categories, and it can be broadly categorized into multi-class classification and multi-label classification.

- Multi-Class Classification: In multi-class classification, each image belongs to
 exactly one class. The goal is to classify an image into one of several possible
 categories. Common datasets for multi-class classification include CIFAR-10,
 ImageNet, and MNIST. The output layer in a multi-class classification model
 typically consists of a single neuron for each class, where the predicted label
 corresponds to the class with the highest probability.
- Multi-Label Classification: Unlike multi-class classification, where only one class is assigned to an image, multi-label classification involves assigning multiple labels to an image. This is common in scenarios like scene understanding or object detection, where an image can contain multiple objects or scenes. In this setup, the model outputs a probability for each label independently, allowing multiple labels to be predicted for a single image. Datasets like COCO and Pascal VOC are commonly used for multi-label classification tasks.

Both tasks have been traditionally handled by CNNs, but image transformers have shown great potential in improving performance, especially when the data has complex relationships or requires long-range context.

2.2.3 Journey of Image Transformers

Vision Transformer (ViT)

The first notable attempt to use transformers for image classification was the Vision Transformer (ViT) (Dosovitskiy et al., 2020), which applied the transformer architecture directly to image patches. Unlike CNNs that operate over local neighborhoods, ViT divides an image into fixed-size patches, flattens them, and then treats these patches as a sequence of tokens (similar to words in NLP) to be processed by the transformer.

- ViT Architecture: In ViT, the input image is divided into non-overlapping patches (e.g., 16x16 pixels), and each patch is flattened into a vector, which is then fed into a transformer model. The self-attention mechanism of transformers allows the model to capture long-range dependencies and global context within the image, making ViT particularly powerful for tasks requiring complex reasoning across an image.
- Challenges: One limitation of ViT is that it requires a large amount of data to train effectively. To overcome this, the Data-efficient Image Transformer (DeiT) was introduced by Facebook AI in 2021, which uses knowledge distillation to train ViT efficiently even with smaller datasets.

Swin Transformer

The Swin Transformer (Liu et al., 2021), short for Shifted Window Transformer, marked a significant milestone in the evolution of image transformers. Swin introduced several key innovations over ViT to improve efficiency and performance.

- Local and Global Attention: Swin Transformer uses a hierarchical design, where the resolution of image patches progressively reduces at each stage of the network. To compute self-attention, Swin uses a window-based approach, applying attention only within fixed-size local windows (e.g., 7x7 patches). To address the limitation of local attention, Swin introduces a shifted window mechanism, where the windows are shifted between successive layers to allow for interactions between adjacent windows. This approach balances local and global attention, which improves computational efficiency while still capturing long-range dependencies.
- **Hierarchical Structure:** Swin Transformers utilize a hierarchical feature map that progressively reduces the resolution of image patches. This hierarchical nature makes Swin Transformers more scalable and efficient, allowing them to work well on high-resolution images, such as those in the COCO dataset.
- **Performance:** Swin Transformers achieved state-of-the-art results on several benchmark datasets such as ImageNet and COCO, outperforming CNN-based models like ResNet and achieving competitive results with large-scale transformers.

Current Developments and Future Directions

Following the success of ViT and Swin Transformer, image transformers continue to evolve. Researchers are working on more efficient transformer architectures that can handle both the computational costs and the data requirements of vision tasks.

- Cross-Domain Transformers: Some models aim to combine image transformers with other modalities, such as text, to create multimodal architectures that can handle tasks like visual question answering or image captioning.
- **Fine-Grained Transformers:** There is ongoing work on designing transformers that can focus on fine-grained details within an image while maintaining global context, potentially enhancing performance for tasks like fine-grained image classification and object detection.
- Efficient Transformers: Given that transformer-based models can be computationally expensive, various techniques like pruning, quantization, and knowledge distillation are being explored to make these models more efficient and deployable on resource-constrained devices.
- Hybrid Models: Recent work has focused on hybrid models that combine CNNs and transformers, leveraging the strengths of both architectures. For example, CNNs are good at extracting local features, while transformers excel at capturing global dependencies. Hybrid models combine these advantages for improved performance.

2.3 Research on Ophthalmological Disease Detection using Image Transformers

Introduction to Ophthalmology and Disease Detection

Ophthalmology, the branch of medicine focused on the eyes and visual system, has seen significant advancements with the help of artificial intelligence (AI) and computer vision. A key area of focus is the development of automated systems for the detection and diagnosis of ophthalmological diseases, such as diabetic retinopathy, age-related macular degeneration (AMD), glaucoma, cataracts, and retinal diseases. Image-based

diagnostic tools, particularly fundus images, optical coherence tomography (OCT), and retinal scans, play a critical role in detecting these diseases.

Historically, deep learning models such as Convolutional Neural Networks (CNNs) have been the go-to architectures for processing ophthalmic images due to their strong performance in image classification tasks. However, recent research has increasingly shifted towards the use of transformer-based models for visual data, inspired by the success of transformers in natural language processing. Transformers, particularly image transformers, have shown promising results in ophthalmology due to their ability to capture global dependencies and long-range contextual information, which is crucial for interpreting the intricate patterns in ophthalmic images.

2.4 Key Contributions in the Area

The application of image transformers in ophthalmology has seen a variety of innovations and contributions that have significantly advanced the field of automated disease detection, diagnosis, and prognosis.

- Vision Transformers: ViT introduced a new paradigm where images were split into patches, flattened, and treated as a sequence, similar to words in NLP. This approach proved effective in capturing global contextual relationships within images, which is essential in medical imaging tasks where understanding the full context is crucial for accurate diagnosis.
- Swin Transformer: The Swin Transformer introduces a novel approach by applying transformers to vision tasks while maintaining computational efficiency. Its hierarchical feature extraction process allows it to capture both local and global dependencies in an image, which is ideal for the complex patterns found in medical imaging. This approach has been successfully applied in medical image classification, including retinal disease detection.
- **Hybrid CNN-Transformer Models:** The hybrid CNN-Transformer architecture leverages CNNs for initial feature extraction followed by transformer-based models to capture the global relationships and contextual dependencies within the image. This allows the model to focus on the most relevant regions of an image while still maintaining a broader understanding of the entire image context.

- Data-Efficient Transformers (DeiT): DeiT introduced the concept of distilling knowledge from a large model to a smaller, more efficient one, making transformer-based models more practical for real-world applications with limited annotated data.
- Multi-Modal Transformers: Multi-modal transformers combine data from multiple imaging modalities to enhance the diagnostic capabilities of models. These models use attention mechanisms to cross-reference features from each modality, resulting in a more robust analysis and diagnosis.

2.5 Research Papers on Ophthalmological Disease Detection

Multi-class multi-label ophthalmological disease detection using transfer learning based convolutional neural network [21]

Brief information about the paper:

- This paper was published by Neha Gour, Pritee Khanna in 2020.
- An automated multi-class multi-label fundus image classification method is proposed.
- Two transfer learning-based models with four CNN architectures are proposed for fundus images.
- The results are evaluated on grand challenge database named ODIR database.
- The paper focuses on Accuracy, Sensitivity and Specificity parameters to evaluate the performance per class.

Multi-Class Eye Disease Classification Using Deep Learning [22]

Brief information about the paper:

• This paper was published by Mariam Ayman Mohamed; Mustafa Adel Zakaria; Eman Hamdi; Rawan elSayed Tawfek; Taha Mohamed Taha; Yasmine M. Afify in 2023.

- An automated multi-class fundus image classification method is proposed.
- The system can accurately detect and classify normal, diabetic retinopathy, glaucoma, and cataracts with an overall accuracy rate of 92

BFENet: A two-stream interaction CNN method for multi-label ophthalmic diseases classification with bilateral fundus images [23]

Brief information about the paper:

- This paper was published by Xingyuan Ou, Li Gao, Xiongwen Quan, Han Zhang, Jinglong Yang, Wei Li in 2022.
- They propose a two-stream interactive CNN architecture for multi-label ophthalmic diseases classification with bilateral fundus images.
- They designed a feature enhancement module by using the attention mechanism to learn the interdependence between local and global information, which enhances the extracted feature under the two-stream interactive architecture.
- A multiscale module is designed to enriches the feature maps by superimposing feature information of different resolutions images extracted through dilated convolution.
- The paper focuses on Kappa, F1, AUC and Final score parameters to evaluate the performance.

Multi-Label Classification of Fundus Images With Graph Convolutional Network and Self-Supervised Learning [24]

Brief information about the paper:

- This paper was published by Jinke Lin; Qingling Cai; Manying Lin in 2021.
- They propose a two-stream interactive CNN architecture for multi-label ophthalmic diseases classification with bilateral fundus images.
- They designed a feature enhancement module by using the attention mechanism to learn the interdependence between local and global information, which enhances the extracted feature under the two-stream interactive architecture.

- A multiscale module is designed to enriches the feature maps by superimposing feature information of different resolutions images extracted through dilated convolution.
- The paper focuses on Kappa, F1, AUC and Final score parameters to evaluate the performance.

Dense Correlation Network for Automated Multi-Label Ocular Disease Detection with Paired Color Fundus Photographs [25]

Brief information about the paper:

- This paper was published by Jinke Lin; Qingling Cai; Manying Lin in 2021.
- They propose Propose two new multi-label classification networks MCG-Net based on graph convolutional network and MCGS-Net based on graph convolutional network and self-supervised learning.
- The paper focuses on F1, AUC as parameters to evaluate the performance.

Chapter 3

Feature Engineering

3.1 Data Collection

Ocular Disease Intelligent Recognition (ODIR) is a structured ophthalmic database of 5,000 patients with age, color fundus photographs from left and right eyes and doctors' diagnostic keywords from doctors.

This dataset is meant to represent "real-life" set of patient information collected by Shanggong Medical Technology Co., Ltd. from different hospitals/medical centers in China. In these institutions, fundus images are captured by various cameras in the market, such as Canon, Zeiss and Kowa, resulting into varied image resolutions.

Annotations were labeled by trained human readers with quality control management. They classify patient into eight labels including:

- Normal (N),
- Diabetes Retinopathy(D),
- Glaucoma (G),
- Cataract (C),
- Age related Macular Degeneration (A),
- Hypertension (H),

- Pathological Myopia (M),
- Other diseases/abnormalities (O)

3.2 Data Preprocessing

Preprocessing steps included:

- Resizing images to 224x224 pixels to ensure compatibility with the Swin Transformer model.
- Normalizing class imbalances using scikit-learn.
- Augmenting the dataset with transformations such as rotation, flipping, and color jitter to prevent overfitting and increase robustness.

3.3 Feature Extraction

With Swin Transformer, feature extraction is performed through its transformer layers, which learn to capture both local and global features from the images. This is done via hierarchical patch-based attention mechanisms that allow the model to focus on different scales of the input image.

Chapter 4

Development and Coding

4.1 Technology Used

Technologies used in the project include:

- **Python**: The primary programming language [6].
- **KaggleHub**: For downloading and extracting the dataset [1].
- **PyTorch**: The deep learning framework used for implementing the Swin Transformer model [12][13].
- NumPy, Pandas: Libraries for data manipulation and handling [7], [8].
- Matplotlib and Seaborn: Libraries for data visualization [9],[10].
- Scikit-Learn Documentation: For Train-Test split, Label Encoding, Computing Metrics and Class Weights [11].
- timm: For getting Swin Transfomer from PyTorch Image Models [19].
- NTLK(Natural Language ToolKit): For Word Clouds on Diagnostic Keywords [15], [16], [17].
- **Transformers**: For Swin Image Classification from Hugging Face [18].
- Google Colab: For Training and Testing on Free GPU computational power [5].

- **Python Imaging Library**: For loading and processing Images [20].
- **Miscellaneous**: Modules like os, random, warnings, string, re were used for various purposes.

4.2 Software Requirements

- IDE Anaconda/Google Colab
- Python 3.6 or higher
- Linux/Windows 8 or higher
- Latest Version of all libraries viz. KaggleHub, PyTorch, NumPy, Pandas, Matplotlib, Seaborn, sklearn, timm, NTLK, Transformers, PIL.

4.3 Hardware Requirements

- Processor Intel i5
- 2.9 GHz or Better CPU
- 8GB RAM
- · Hard Disk 80GB
- 8GB GPU with minimum of 3000 CUDA Cores (For Running Locally)
- Minimum of 20 Mbps of Uninterrupted Internet (For Running Online)
- Input Devices viz. Keyboard and Mouse

4.4 Architecture Diagram

The architecture of the Swin Transformer is based on a hierarchical design, where the image is divided into non-overlapping patches, each processed by attention layers to

capture both local and global information. The model then uses these learned features to classify the image into one of the multiple disease categories.

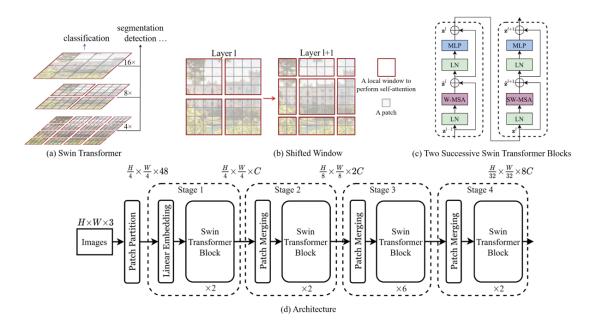


Figure 4.1: Architecture Diagram of the Swin Transformer Model

4.5 Explanation of the Model

The Swin Transformer model for this task uses a vision transformer-based architecture. The process is as follows:

- **Hierarchical Structure:** Unlike traditional Vision Transformers that process the image in one fixed-size grid (e.g., 16x16 patches), the Swin Transformer introduces hierarchical feature maps. It progressively down-samples the image as the network deepens, similar to convolutional neural networks (CNNs). This allows the model to capture information at different scales and be more computationally efficient.
- Window-based Attention: The attention mechanism in Swin Transformer is computed within non-overlapping local windows. These windows reduce the computational burden compared to global attention (which considers all tokens in the image at once). Each window performs self-attention independently, which is more efficient and allows the model to handle larger images.

The local windows help focus attention on smaller regions, which is similar to how convolutions capture local patterns in CNNs.

• **Shifted Windows:** A key feature of the Swin Transformer is the shifted window strategy. The windows are shifted between layers, meaning that for each layer, the set of pixels (patches) in each attention window slightly shifts. This allows the model to capture cross-window dependencies without dramatically increasing computational complexity.

In Layer 1, the image is divided into fixed-size windows.

In Layer 2, the windows are shifted by a few pixels, allowing information from adjacent windows to be communicated without fully merging the windows. This helps the model capture larger receptive fields in an efficient manner.

• Linear Complexity: A key advantage of Swin Transformer is that the attention mechanism within windows is computed with linear complexity. In traditional transformers, the attention complexity grows quadratically with the number of patches $(O(n^2))$, which is inefficient for images. By restricting attention to smaller, local windows, the Swin Transformer reduces the complexity, making it scalable to larger images.

In simple terms, The image is first divided into patches. Then a patch embedding layer is used to convert patches into feature vectors. Then these features are then passed through a series of transformer blocks that apply multi-head self-attention mechanisms. And the output features from the transformer blocks are processed through a classification head to predict the disease category.

The model uses the softmax activation function in the final layer to output a probability distribution over the classes.

4.6 Implementation

4.6.1 my-swin-model.py

```
1 # -*- coding: utf-8 -*-
2 """13-02-25.ipynb
3
4 Automatically generated by Colab.
```

```
https://colab.research.google.com/drive/XXXXXXXXXXX
      https://github.com/kjpvaibhav/PGD-Project
10 **Name:** Kamarajugadda Jyothi Phani Vaibhav
12 **PNR:** 240860825003
14 **Problem Statement:**
16 In the field of healthcare, particularly in ophthalmology, early
     diagnosis of eye diseases is crucial for effective treatment
     and prevention of blindness. This project focuses on
     developing a deep learning model that can automatically
     classify different types of ocular diseases from fundus images
      (photographs of the back of the eye). The goal is to create a
      predictive model that can detect multiple eye conditions,
     such as diabetes, glaucoma, cataracts, hypertension, age-
     related macular degeneration (AMD), and more, based on
     features extracted from these images. Given a dataset of
     ocular images and diagnostic keywords like ODIR-5K, the model,
     like Swin Transformer, should accurately classify and predict
      whether a given eye image is associated with any of these
     diseases, helping doctors and healthcare professionals with
     faster and more accurate diagnoses.
18
20 **Objective:**
22 To develop a Deep Learning model to classify the Ocular disease
     type, using the Microsoft Swin Transformer on the ODIR-5K
     dataset
24 # Importing Required Modules
25 11 11 11
27 # For Dataset Download
28 import kagglehub
30 # Data Processing
31 import numpy as np
32 import pandas as pd
34 # Data Visualization
35 import matplotlib.pyplot as plt
```

6 Original file is located at

```
36 import seaborn as sns
38 # NTLK
39 import nltk
40 nltk.download('stopwords')
41 from nltk.corpus import stopwords
42 from wordcloud import WordCloud, STOPWORDS
43 from nltk.stem import SnowballStemmer
45 # Machine Learning
46 from sklearn.model_selection import train_test_split
47 from sklearn.metrics import accuracy_score, classification_report,
      cohen_kappa_score, confusion_matrix, f1_score,
     precision_score, recall_score, roc_auc_score, roc_curve
48 from sklearn.preprocessing import LabelEncoder
49 from sklearn.utils.class_weight import compute_class_weight
51 # Deep Learning
52 import timm
53 import torch
54 import torch.nn as nn
55 import torch.optim as optim
56 from torch.optim.lr_scheduler import StepLR
57 from torch.utils.data import Dataset, DataLoader
58 from torchvision import transforms
59 from transformers import SwinForImageClassification
61 # Miscellaneous
62 import os
63 import itertools
64 import string
65 import re
66 from random import sample
67 from PIL import Image
68 import warnings
69 warnings.filterwarnings("ignore")
71 device = torch.device("cuda" if torch.cuda.is_available() else "
     cpu")
73 print(f"Using device: {device}")
75 """# Loading Dataset"""
77 path = kagglehub.dataset_download("andrewmvd/ocular-disease-
     recognition-odir5k")
```

```
79 print("Path to dataset files:", path)
81 """# Exploratory Data Analysis"""
82
83 import os
85 def get_folder_info(parent_dir):
      folder_info = []
      for dirpath, dirnames, filenames in os.walk(parent_dir):
88
           total_size = 0
           num_folders = len(dirnames)
           num_files = len(filenames)
91
           for filename in filenames:
               filepath = os.path.join(dirpath, filename)
94
               total_size += os.path.getsize(filepath)
           if num_files == 1:
               single_file_size = os.path.getsize(os.path.join(
      dirpath, filenames[0]))
               folder_info.append({
99
                    'folder_name': dirpath,
100
                   'num_folders': num_folders,
                    'num_files': num_files,
102
                    'total_size': single_file_size,
103
                   'filenames': filenames[0]
104
               })
105
           else:
106
               folder_info.append({
                    'folder_name': dirpath,
108
                    'num_folders': num_folders,
109
                   'num_files': num_files,
                    'total_size': total_size,
111
                   'filenames': "Image Files"
               })
113
114
      return folder_info
117 folders = get_folder_info(path)
118
119 for folder in folders:
      print(f"Folder: {folder['folder_name']}")
      print(f"Number of subfolders: {folder['num_folders']}")
121
      print(f"Number of files: {folder['num_files']}")
122
```

```
print(f"Total size: {folder['total_size']} bytes")
      print('=' * 40)
124
125
      if folder['num_files'] == 1:
           single_file = folder['filenames']
127
           single_file_path = os.path.join(folder['folder_name'],
      single_file)
           print(f"Single file: {single_file}")
129
           print(f"Total size: {folder['total_size']} bytes")
           print(f"File path: {single_file_path}")
           print('-' * 40)
134 eyes = pd.read_csv(path + '/full_df.csv')
135 eyes
137 eyes.info()
139 eyes.describe(include="all")
141 eyes.columns
143 len (eyes)
145 len(eyes[eyes.duplicated()])
147 eyes.isnull().sum()
149 label_columns = ['N', 'D', 'G', 'C', 'A', 'H', 'M', 'O']
150 label_names = [
      "Normal",
151
      "Diabetic Retinopathy",
152
      "Glaucoma",
      "Cataract",
154
      "Age-related Macular Degeneration",
      "Hypertension",
      "Myopia",
157
      "Other diseases"
158
159
labels_dic = dict(zip(label_columns, label_names))
162 print(labels_dic)
164 print("Count of rows containing 'right':", eyes['filename'].str.
      contains('right').sum())
165 print("Count of rows containing 'left':", eyes['filename'].str.
      contains('left').sum())
```

```
167 """# Data Cleaning"""
169 eyes['Left-Fundus'].nunique()
photo_counts = eyes['Left-Fundus'].value_counts()
photo_more_than_once = photo_counts[photo_counts > 1].index.tolist
173 print(len(photo_more_than_once))
175 photo_more_than_once [0]
177 eyes[eyes['Left-Fundus'] == '0_left.jpg']
179 print(len(eyes[(eyes['labels'] == "['N']") & (eyes['N'] != 1)]))
180 print(len(eyes[(eyes['labels'] == "['D']") & (eyes['D'] != 1)]))
181 print(len(eyes[(eyes['labels'] == "['0']") & (eyes['0'] != 1)]))
182 print(len(eyes[(eyes['labels'] == "['C']") & (eyes['C'] != 1)]))
183 print(len(eyes[(eyes['labels'] == "['G']") & (eyes['G'] != 1)]))
184 print(len(eyes[(eyes['labels'] == "['A']") & (eyes['A'] != 1)]))
185 print(len(eyes[(eyes['labels'] == "['M']") & (eyes['M'] != 1)]))
186 print(len(eyes[(eyes['labels'] == "['H']") & (eyes['H'] != 1)]))
188 eyes.drop(columns=[ 'ID'] , inplace=True)
190 old_path_part = "../input/ocular-disease-recognition-odir5k/ODIR-5
     K/Training Images/"
191 new_path_part = path + "/ODIR-5K/ODIR-5K/Training Images/"
192 eyes['filepath'] = eyes['filepath'].replace(old_path_part,
     new_path_part, regex=True)
193
194 for filepath in eyes['filepath']:
      assert os.path.exists(filepath), f"Missing file: {filepath}"
197 len(eyes[eyes['Patient Age'] == 1])
199 eyes['labels'] = eyes['labels'].str[2]
200 eyes.head()
202 eyes['labels'].nunique()
204 eyes['labels'].value_counts()
206 """# Data Visualization"""
208 plt.figure(figsize=(14, 5))
```

```
209 sns.countplot(x='labels', data=eyes , orient='h')
210 plt.title('Count of Target Labels in Eyes Dataset')
211 plt.xlabel('Labels')
212 plt.ylabel('Count')
213 plt.xticks(rotation=45)
214 plt.show()
216 eyes[eyes['Left-Diagnostic Keywords'] == 'low image quality']
218 eyes = eyes.loc[~(eyes['Left-Diagnostic Keywords'] == 'low image
     quality')]
220 print(len(eyes[(eyes['labels'] == "['N']") & (eyes['N'] != 1)]))
221 print(len(eyes[(eyes['labels'] == "['0']") & (eyes['0'] != 1)]))
222 eyes.head()
224 photos_unique = eyes.drop_duplicates(subset='Left-Fundus', keep='
     first')
225 eyes = photos_unique
226 eyes.reset_index(drop=True,inplace=True)
227 len (eyes)
229 eyes['Left-Diagnostic Keywords'].nunique()
231 eyes['Right-Diagnostic Keywords'].nunique()
233 eyes['Left-Diagnostic Keywords'].mode()
235 eyes['Left-Diagnostic Keywords'].value_counts()
237 eyes['Right-Diagnostic Keywords'].mode()
239 both_eyes_normal = eyes[
      (eyes['Right-Diagnostic Keywords'] == 'normal fundus') &
      (eyes['Left-Diagnostic Keywords'] == 'normal fundus')
242
243
244 both_eyes_normal.reset_index(inplace=True,drop=True)
246 len(both_eyes_normal)
248 both_eyes_not_normal = eyes[
      (eyes['Right-Diagnostic Keywords'] != 'normal fundus') &
      (eyes['Left-Diagnostic Keywords'] != 'normal fundus')
251
252
```

```
253 both_eyes_not_normal.reset_index(inplace=True,drop=True)
255 len(both_eyes_not_normal)
257 right_eye_normal = eyes[
      (eyes['Right-Diagnostic Keywords'] == 'normal fundus') &
      (eyes['Left-Diagnostic Keywords'] != 'normal fundus')
260
262 right_eye_normal.reset_index(inplace=True,drop=True)
264 len(right_eye_normal)
266 left_eye_normal = eyes[
      (eyes['Right-Diagnostic Keywords'] != 'normal fundus') &
      (eyes['Left-Diagnostic Keywords'] == 'normal fundus')
269
271 left_eye_normal.reset_index(inplace=True,drop=True)
273 len(left_eye_normal)
275 sns.pairplot(eyes)
276 plt.show()
278 data_num = eyes[['Patient Age', 'N', 'D', 'G', 'C', 'A', 'H', 'M',
      וויםי
279 data_num.head()
281 corr_exists = data_num.corr()
282 corr_exists
284 plt.figure(figsize=(15, 15))
285 sns.heatmap(corr_exists, annot=True)
286 plt.title('Correlation Heatmap of ODIR Dataset')
287 plt.show()
289 fig, axes = plt.subplots(2, 2, figsize=(14, 10))
290 fig.suptitle('Disease Distribution Across Different Groups',
     fontsize=16)
292 titles = [
      'Both Eyes Normal',
      'Both Eyes Not Normal',
      'Right Eye Normal',
295
      'Left Eye Normal'
296
```

```
297
298
299 dataframes = [both_eyes_normal, both_eyes_not_normal,
      right_eye_normal, left_eye_normal]
300
301 for df, ax, title in zip(dataframes, axes.ravel(), titles):
      disease_columns = ['N', 'D', 'G', 'C', 'A', 'H', 'M', 'O']
      disease_counts = df[disease_columns].sum()
303
304
      sns.barplot(x=disease_counts.index, y=disease_counts.values,
      color='skyblue', ax=ax)
      ax.set_title(title)
      ax.set_xlabel('Diseases')
      ax.set_ylabel('Frequency')
308
310 plt.tight_layout(rect=[0, 0, 1, 0.96])
311 plt.show()
313 fig, axes = plt.subplots(2, 2, figsize=(14, 10))
314 fig.suptitle('Disease Distribution Across Different Groups',
      fontsize=16)
315
316 \text{ titles} = [
      'Both Eyes Normal',
317
      'Both Eyes Not Normal',
      'Right Eye Normal',
319
      'Left Eye Normal'
320
321
323 dataframes = [both_eyes_normal, both_eyes_not_normal,
      right_eye_normal, left_eye_normal]
325 for df, ax, title in zip(dataframes, axes.ravel(), titles):
      disease_columns = ['N', 'D', 'G', 'C', 'A', 'H', 'M', 'O']
      disease_counts = df[disease_columns].sum()
327
328
      sns.barplot(x=disease_counts.index, y=disease_counts.values,
329
      color='skyblue', ax=ax)
      ax.set_title(title)
330
      ax.set_xlabel('Diseases')
331
      ax.set_ylabel('Frequency')
332
334 plt.tight_layout(rect=[0, 0, 1, 0.96])
335 plt.show()
337 condition_normal_left = eyes['Left-Diagnostic Keywords'] == '
```

```
normal fundus'
338 condition_normal_right = eyes['Right-Diagnostic Keywords'] == '
     normal fundus'
339
340 both_normal = (condition_normal_left) & (condition_normal_right)
341 both_abnormal = (~condition_normal_left) & (~
      condition_normal_right)
342 left_normal_right_abnormal = (condition_normal_left) & (~
      condition_normal_right)
343 right_normal_left_abnormal = (~condition_normal_left) & (
     condition_normal_right)
345 counts = {
      'Both Normal': both_normal.sum(),
      'Both Abnormal': both_abnormal.sum(),
      'Left Normal, Right Abnormal': left_normal_right_abnormal.sum
      (),
      'Right Normal, Left Abnormal': right_normal_left_abnormal.sum
350 }
352 labels = counts.keys()
353 sizes = counts.values()
354 colors = ['#ff9999', '#66b3ff', '#99ff99', '#ffcc99']
355 \text{ explode} = (0.1, 0.07, 0, 0)
357 plt.figure(figsize=(8, 6))
358 plt.pie(sizes, explode=explode, labels=labels, colors=colors,
      autopct='%1.1f%%', shadow=True, startangle=140)
359 plt.title('Distribution of Fundus Conditions')
360 plt.axis('equal')
362 plt.show()
364 fig, axes = plt.subplots(2, 2, figsize=(8, 8), sharex=True, sharey
     =True)
366 dataframes = [both_eyes_normal, both_eyes_not_normal,
     right_eye_normal, left_eye_normal]
367 titles = ['Both Eyes Normal', 'Both Eyes Not Normal', 'Right Eye
     Normal', 'Left Eye Normal']
369 for i, (df, title) in enumerate(zip(dataframes, titles)):
      row = i // 2
      col = i \% 2
371
      sns.histplot(df['Patient Age'], kde=True, bins=10, ax=axes[row
```

```
, col])
      axes[row, col].set_title(title)
373
      axes[row, col].set_xlabel('Age')
      axes[row, col].set_ylabel('Frequency')
375
376
378 plt.tight_layout()
379 plt.show()
381 counts = {
      'Both Eyes Normal': both_eyes_normal['Patient Sex'].
     value_counts(),
      'Both Eyes Not Normal': both_eyes_not_normal['Patient Sex'].
     value_counts(),
      'Right Eye Normal': right_eye_normal['Patient Sex'].
384
     value_counts(),
      'Left Eye Normal': left_eye_normal['Patient Sex'].value_counts
      ()
386 }
388 plot_data = pd.DataFrame(counts).fillna(0).T.reset_index()
389 plot_data = plot_data.melt(id_vars='index', var_name='Gender',
     value_name='Count')
390 plot_data = plot_data.rename(columns={'index': 'Category'})
392 plt.figure(figsize=(8, 8))
sys sns.barplot(data=plot_data, x='Category', y='Count', hue='Gender',
      palette='viridis')
395 plt.title('Number of Males and Females by Category')
396 plt.xlabel('Category')
397 plt.ylabel('Number of Patients')
398 plt.legend(title='Gender')
399 plt.xticks(rotation=45)
400 plt.tight_layout()
401 plt.show()
403 fig, axes = plt.subplots(2, 2, figsize=(8, 8), sharex=True, sharey
     =True)
405 dataframes = [both_eyes_normal, both_eyes_not_normal,
     right_eye_normal, left_eye_normal]
406 titles = ['Both Eyes Normal', 'Both Eyes Not Normal', 'Right Eye
     Normal', 'Left Eye Normal']
407 colors = ['#66b3ff', '#ff9999']
408
```

```
409 for i, (df, title) in enumerate(zip(dataframes, titles)):
      row = i // 2
410
      col = i % 2
411
      sns.histplot(df, x='Patient Age', hue='Patient Sex', multiple=
      'stack', palette=colors, bins=10, ax=axes[row, col], kde=True)
      axes[row, col].set_title(title)
      axes[row, col].set_xlabel('Age')
      axes[row, col].set_ylabel('Frequency')
415
417 plt.tight_layout()
418 plt.show()
420 fig, axes = plt.subplots(1, 3, figsize=(18, 6), sharex=True,
      sharey=True)
422 dataframes = [both_eyes_not_normal, right_eye_normal,
      left_eye_normal]
424 titles = ['Both Eyes Not Normal', 'Right Eye Normal', 'Left Eye
      Normal']
425 colors = ['#66b3ff', '#ff9999']
427 for i, (df, title) in enumerate(zip(dataframes, titles)):
      row = i // 3
428
      col = i % 3
      filtered_df = df.query('D == 1')
430
      if not filtered_df.empty:
431
           sns.histplot(filtered_df, x='Patient Age', hue='Patient
432
      Sex', multiple='stack', palette=colors, bins=10, ax=axes[col],
      kde=True)
      axes[col].set_title(title)
433
      axes[col].set_xlabel('Age')
      axes[col].set_ylabel('Frequency')
435
437 plt.tight_layout()
438 plt.show()
440 fig, ax = plt.subplots(figsize=(6, 6))
442 df = both_eyes_not_normal
443 title = 'Both Eyes Not Normal (H=1)'
444 colors = ['#66b3ff', '#ff9999']
446 filtered_df = df.query('H == 1')
447 if not filtered_df.empty:
      sns.histplot(filtered_df, x='Patient Age', hue='Patient Sex',
```

```
multiple='stack', palette=colors, bins=10, ax=ax, kde=True)
      ax.set title(title)
449
      ax.set_xlabel('Age')
450
      ax.set_ylabel('Frequency')
451
452 else:
      ax.text(0.5, 0.5, 'No data available for H = 1',
453
      horizontalalignment='center', verticalalignment='center',
      fontsize=14, color='red')
455 plt.tight_layout()
456 plt.show()
458 filtered_eyes = eyes[eyes['labels'] == 'G']
459 sample_images = sample(filtered_eyes['filepath'].tolist(), 16)
461 plt.figure(figsize=(12, 12))
462
463 for i in range (16):
      image = Image.open(sample_images[i])
465
      label = filtered_eyes.iloc[i]['labels']
466
      plt.subplot(4, 4, i+1)
468
      plt.imshow(image)
469
      plt.title(label, color='k', fontsize=12)
      plt.axis("off")
471
473 plt.show()
475 stemmer = SnowballStemmer("english")
476 stopword = set(stopwords.words('english'))
478 def clean(text):
      text = str(text).lower()
      text = re.sub(r'\setminus[.*?\setminus]', '', text)
481
      text = re.sub(r'https?://\S+|www\.\S+', '', text)
482
      text = re.sub(r'<.*?>+', '', text)
483
      text = re.sub(r'[%s]' % re.escape(string.punctuation), '',
484
      text)
      text = re.sub(r'\n', '', text)
      text = re.sub(r'\w*\d\w*', '', text)
486
487
      text = [word for word in text.split() if word not in stopword]
      text = " ".join(text)
489
490
```

```
text = [stemmer.stem(word) for word in text.split()]
      text = " ".join(text)
492
      return text
496 eyes['Right-Diagnostic Keywords'] = eyes['Right-Diagnostic
     Keywords'].apply(clean)
498 text = " ".join(i for i in both_eyes_not_normal['Right-Diagnostic
     Keywords'])
499 stopwords = set(STOPWORDS)
500 wordcloud = WordCloud(stopwords=stopwords, background_color="white
     ").generate(text)
501 plt.figure(figsize=(15, 10))
502 plt.imshow(wordcloud, interpolation='bilinear')
503 plt.axis("off")
504 plt.show()
506 text = " ".join(i for i in both_eyes_not_normal['Left-Diagnostic
     Keywords'])
507 stopwords = set(STOPWORDS)
508 wordcloud = WordCloud(stopwords=stopwords, background_color="white
     ").generate(text)
509 plt.figure(figsize=(15, 10))
510 plt.imshow(wordcloud, interpolation='bilinear')
511 plt.axis("off")
512 plt.show()
514 """# Model Building"""
s16 eyes = eyes[['filename', 'filepath', 'labels']]
518 label_encoder = LabelEncoder()
s20 eyes['labels_encoded'] = label_encoder.fit_transform(eyes['labels'
     ])
521
522 for label, encoded_value in zip(label_encoder.classes_, range(len(
     label_encoder.classes_))):
      print(f"{label}: {encoded_value}")
523
525 eyes.head()
527 train_df, test_df = train_test_split(eyes, test_size=0.2,
     random_state=42, stratify=eyes['labels_encoded'])
528 train_df, val_df = train_test_split(train_df, test_size=0.1,
```

```
random_state=42, stratify=train_df['labels_encoded'])
530 class ODIRDataset(Dataset):
      def __init__(self, dataframe, transform=None):
           self.dataframe = dataframe
532
           self.transform = transform
533
      def __len__(self):
535
           return len(self.dataframe)
537
      def __getitem__(self, idx):
538
           img_path = self.dataframe.iloc[idx]['filepath']
           image = Image.open(img_path).convert('RGB')
           label = self.dataframe.iloc[idx]['labels_encoded']
541
          if self.transform:
               image = self.transform(image)
544
545
          return image, label
547
548 train_transform = transforms.Compose([
      transforms.Resize((224, 224)),
      transforms.RandomHorizontalFlip(),
550
      transforms.RandomRotation(20),
551
      transforms.ColorJitter(brightness=0.2, contrast=0.2,
      saturation=0.2, hue=0.1),
      transforms.ToTensor(),
553
      transforms.Normalize(mean=[0.485, 0.456, 0.406], std=[0.229,
554
     0.224, 0.225])
555 ])
556
557 val_transform = transforms.Compose([
      transforms.Resize((224, 224)),
558
      transforms.ToTensor(),
      transforms.Normalize(mean=[0.485, 0.456, 0.406], std=[0.229,
     0.224, 0.225])
561 ])
563 train_dataset = ODIRDataset(train_df, transform=train_transform)
564 val_dataset = ODIRDataset(val_df, transform=val_transform)
565 test_dataset = ODIRDataset(test_df, transform=val_transform)
567 train_loader = DataLoader(train_dataset, batch_size=32, shuffle=
     True)
568 val_loader = DataLoader(val_dataset, batch_size=32, shuffle=False)
569 test_loader = DataLoader(test_dataset, batch_size=32, shuffle=
```

```
False)
570
571 """# Model Development"""
s73 model = timm.create_model('swin_tiny_patch4_window7_224',
     pretrained=True, num_classes=8)
574
575 device = torch.device("cuda" if torch.cuda.is_available() else "
     cpu")
576 model.to(device)
578 class_weights = compute_class_weight('balanced', classes=np.unique
     (eyes['labels_encoded']), y=eyes['labels_encoded'])
579 class_weights = torch.tensor(class_weights, dtype=torch.float).to(
     device)
580
581 criterion = nn.CrossEntropyLoss(weight=class_weights)
optimizer = optim.AdamW(model.parameters(), lr=1e-4)
scheduler = StepLR(optimizer, step_size=5, gamma=0.1)
585 save_dir = '/content/downloadable'
586 os.makedirs(save_dir, exist_ok=True)
588 if os.path.exists(os.path.join(save_dir, 'training_history.csv')):
    training_history_df = pd.read_csv(os.path.join(save_dir, ')
     training_history.csv'))
590 else:
    training_history_df = pd.DataFrame(columns=["Epoch", "Train Loss
     ", "Val Loss", "Val Acc"])
593 def train(model, dataloader, criterion, optimizer, device):
      model.train()
      running_loss = 0.0
595
      for images, labels in dataloader:
           images, labels = images.to(device), labels.to(device)
597
598
           optimizer.zero_grad()
599
           outputs = model(images)
600
           loss = criterion(outputs, labels)
601
           loss.backward()
           optimizer.step()
603
604
          running_loss += loss.item()
605
      return running_loss / len(dataloader)
607
608
```

```
609 def validate(model, dataloader, criterion, device):
      model.eval()
610
      val_loss = 0.0
611
      correct = 0
612
      total = 0
613
614
      with torch.no_grad():
615
           for images, labels in dataloader:
616
               images, labels = images.to(device), labels.to(device)
               outputs = model(images)
618
               loss = criterion(outputs, labels)
619
               val_loss += loss.item()
               _, predicted = torch.max(outputs.data, 1)
622
               total += labels.size(0)
623
               correct += (predicted == labels).sum().item()
625
      return (val_loss / len(dataloader)), (correct / total)
626
628 def training_epoch(training_history_df, prev=0):
    NUM_OF_EPOCHS = 10+prev
629
    for epoch in range(prev, NUM_OF_EPOCHS):
         train_loss = train(model, train_loader, criterion, optimizer
631
      , device)
        val_loss, val_acc = validate(model, val_loader, criterion,
     device)
        scheduler.step()
633
        print(f"Epoch {epoch+1}/{NUM_OF_EPOCHS}, Train Loss: {
634
     train_loss:.4f}, Val Loss: {val_loss:.4f}, Val Accuracy: {
     val_acc: .4f}")
635
        new_row = pd.DataFrame([{"Epoch": epoch+1, "Train Loss":
636
     train_loss, "Val Loss": val_loss, "Val Acc": val_acc}])
        if not new_row.empty:
637
           training_history_df = pd.concat([training_history_df,
     new_row], ignore_index=True)
           training_history_df.to_csv(os.path.join(save_dir, ')
639
     training_history.csv'), index=False)
         epoch_model_path = os.path.join(save_dir, f')
640
     swin_model_epoch_{epoch+1}.pth')
         torch.save(model.state_dict(), epoch_model_path)
641
    torch.save(model.state_dict(), os.path.join(save_dir, '
     swin_model_final.pth'))
643
645 if os.path.exists(os.path.join(save_dir, 'swin_model_final.pth')):
```

```
print("Swin Model Found!\nLoading the model!")
    ans = input("Is it your final model (Y) or Do you want to train
647
     further (N):")
    if ans.lower() == 'n':
      model.load_state_dict(torch.load(os.path.join(save_dir, )
649
     swin_model_final.pth')))
      prev = len(training_history_df)
      training_epoch(training_history_df, prev)
651
652 else:
      training_epoch(training_history_df)
654
  """# Model Evaluation"""
657 model.eval()
659 all_preds = []
660 all_labels = []
661 all_probs = []
663 with torch.no_grad():
      for images, labels in test_loader:
           images, labels = images.to(device), labels.to(device)
           outputs = model(images)
666
           probs = torch.softmax(outputs, dim=1)
           _, preds = torch.max(outputs, 1)
669
           all_preds.extend(preds.cpu().numpy())
670
           all_labels.extend(labels.cpu().numpy())
           all_probs.extend(probs.cpu().numpy())
674 all_preds = np.array(all_preds)
675 all_labels = np.array(all_labels)
676 all_probs = np.array(all_probs)
678 accuracy = accuracy_score(all_labels, all_preds)
679 print(f'Accuracy: {accuracy:.4f}')
681 print('\nClassification Report:')
682 print(classification_report(all_labels, all_preds, target_names=
     label_encoder.classes_))
684 kappa = cohen_kappa_score(all_labels, all_preds)
685 print(f'Cohen Kappa Score: {kappa:.4f}')
687 f1 = f1_score(all_labels, all_preds, average='weighted')
688 print(f'F1 Score (Weighted): {f1:.4f}')
```

```
690 precision = precision_score(all_labels, all_preds, average='
     weighted')
691 print(f'Precision (Weighted): {precision:.4f}')
693 recall = recall_score(all_labels, all_preds, average='weighted')
694 print(f'Recall (Weighted): {recall:.4f}')
696 roc_auc = roc_auc_score(all_labels, all_probs, multi_class='ovr',
     average='weighted')
697 print(f'ROC AUC Score (Weighted): {roc_auc:.4f}')
699 n_classes = len(label_encoder.classes_)
700 fpr = dict()
701 tpr = dict()
702 roc_auc = dict()
703
704 for i in range(n_classes):
      fpr[i], tpr[i], _ = roc_curve(all_labels == i, all_probs[:, i
      roc_auc[i] = roc_auc_score(all_labels == i, all_probs[:, i])
706
708 plt.figure(figsize=(10, 8))
709 for i in range(n_classes):
      plt.plot(fpr[i], tpr[i], label=f'Class {label_encoder.classes_
      [i]} (AUC = {roc_auc[i]:.2f})')
712 plt.plot([0, 1], [0, 1], 'k--')
713 plt.xlabel('False Positive Rate')
714 plt.ylabel('True Positive Rate')
715 plt.title('ROC Curve for Each Class')
716 plt.legend(loc='lower right')
717 plt.show()
719 conf_matrix = confusion_matrix(all_labels, all_preds)
720 print('\nConfusion Matrix:')
721 print(conf_matrix)
723 plt.figure(figsize=(10, 8))
724 sns.heatmap(conf_matrix, annot=True, fmt='d', cmap='Blues',
               xticklabels=label_encoder.classes_,
               yticklabels=label_encoder.classes_)
727 plt.xlabel('Predicted Labels')
728 plt.ylabel('True Labels')
729 plt.title('Confusion Matrix')
730 plt.show()
```

```
731
732 def sensitivity_specificity_multiclass(conf_matrix):
      num_classes = conf_matrix.shape[0]
733
      sensitivity = []
734
      specificity = []
      for i in range(num_classes):
           TP = conf_matrix[i, i]
738
           FN = sum(conf_matrix[i, :]) - TP
           FP = sum(conf_matrix[:, i]) - TP
           TN = conf_matrix.sum() - (TP + FP + FN)
741
           sens = TP / (TP + FN) if (TP + FN) != 0 else 0
           spec = TN / (TN + FP) if (TN + FP) != 0 else 0
744
745
           sensitivity.append(sens)
           specificity.append(spec)
747
748
      return sensitivity, specificity
750
751 sensitivity, specificity = sensitivity_specificity_multiclass(
      conf_matrix)
753 print('\nSensitivity and Specificity for Each Class:')
754 for i, (sens, spec) in enumerate(zip(sensitivity, specificity)):
      print(f'Class {label_encoder.classes_[i]}:')
755
      print(f' Sensitivity: {sens:.4f}')
                 Specificity: {spec:.4f}')
      print(f'
757
759 def accuracy_per_class(conf_matrix):
      num_classes = conf_matrix.shape[0]
      class_accuracy = []
761
762
      for i in range(num_classes):
           TP = conf_matrix[i, i]
764
           FN = sum(conf_matrix[i, :]) - TP
765
           FP = sum(conf_matrix[:, i]) - TP
           TN = conf_matrix.sum() - (TP + FP + FN)
767
768
           acc = (TP + TN) / conf_matrix.sum() if conf_matrix.sum()
      != 0 else 0
           class_accuracy.append(acc)
770
771
      return class_accuracy
774 class_accuracy = accuracy_per_class(conf_matrix)
```

```
775
776 print('\nAccuracy for Each Class:')
777 for i, acc in enumerate(class_accuracy):
778    print(f'Class {label_encoder.classes_[i]}: {acc:.4f}')
779
780 plt.figure(figsize=(10,6))
781
782 plt.plot(training_history_df['Epoch'], training_history_df['Train_Loss'], label='Train_Loss', color='blue')
783 plt.plot(training_history_df['Epoch'], training_history_df['Val_Loss'], label='Validation_Loss', color='red')
784
785 plt.title('Training_and_Validation_Loss_Over_Epochs')
786 plt.xlabel('Epoch')
787 plt.ylabel('Loss')
788 plt.legend()
789 plt.show()
```

Listing 4.1: myswin model.py

4.6.2 File Structure of Dataset

odir5k/versions/2/ODIR-5K/ODIR-5K

```
Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-
odir5k/versions/2
Number of subfolders: 2
Number of files: 1
Total size: 1494762 bytes
_____
Single file: full\_df.csv
Total size: 1494762 bytes
File path: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-
odir5k/versions/2/full\_df.csv
Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-
odir5k/versions/2/ODIR-5K
Number of subfolders: 1
Number of files: 0
Total size: 0 bytes
_____
```

Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-

Number of subfolders: 2

Number of files: 1

Total size: 268198 bytes

Single file: data.xlsx Total size: 268198 bytes

File path: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition

odir5k/versions/2/ODIR-5K/ODIR-5K/data.xlsx

Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-

odir5k/versions/2/ODIR-5K/ODIR-5K/Testing Images

Number of subfolders: 0 Number of files: 1000

Total size: 209848981 bytes

Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-

odir5k/versions/2/ODIR-5K/ODIR-5K/Training Images

Number of subfolders: 0 Number of files: 7000

Total size: 1425587322 bytes

Folder: /root/.cache/kagglehub/datasets/andrewmvd/ocular-disease-recognition-

odir5k/versions/2/preprocessed_images

Number of subfolders: 0 Number of files: 6392

Total size: 397121132 bytes

4.6.3 Dataset

Complete Dataset:

ID Patient Age Patient Sex Left-Fundus Right-Fundus

0 0 69 Female 0_left.jpg 0_right.jpg

```
1
         1
                      57
                                 Male
                                           1\_left.jpg
                                                            1\_right.jpg
2
         2
                      42
                                 Male
                                           2\_left.jpg
                                                            2\_right.jpg
3
         4
                      53
                                 Male
                                           4\_left.jpg
                                                            4\_right.jpg
4
         5
                      50
                               Female
                                           5\_left.jpg
                                                            5\_right.jpg
      4686
6387
                      63
                                 Male
                                       4686\_left.jpg
                                                         4686\_right.jpg
      4688
                      42
                                 Male
                                        4688\_left.jpg
6388
                                                         4688\_right.jpg
6389
      4689
                      54
                                 Male
                                        4689\_left.jpg
                                                         4689\_right.jpg
6390
      4690
                      57
                                 Male
                                        4690\_left.jpg
                                                         4690\_right.jpg
6391
      4784
                      58
                                 Male
                                        4784\_left.jpg
                                                         4784\_right.jpg
                                 Left-Diagnostic Keywords
0
                                                  cataract
1
                                             normal fundus
2
      laser spot, moderate non proliferative retinopathy
3
                              macular epiretinal membrane
4
                  moderate non proliferative retinopathy
. . . .
6387
                     severe nonproliferative retinopathy
6388
                  moderate non proliferative retinopathy
6389
                       mild nonproliferative retinopathy
6390
                       mild nonproliferative retinopathy
6391
      hypertensive retinopathy, age-related macular d....
                                Right-Diagnostic Keywords
                                                             N
                                                                D
                                                                    G
                                                                             Η
                                             normal fundus
                                                             0
                                                                    0
                                                                       1
                                                                          0
                                                                             0
0
                                                                0
                                                                                 0
                                             normal fundus
                                                                       0
                                                                          0
1
                                                             1
                                                                0
                                                                    0
                                                                             0
                                                                                 0
2
                  moderate non proliferative retinopathy
                                                                    0
                                                                       0
                                                                          0
                                                                             0
                                                             0
                                                                1
                                                                                 0
3
                       mild nonproliferative retinopathy
                                                                       0
                                                                          0
                                                             0
                                                                 1
                                                                    0
                                                                             0
                                                                                 0
                  moderate non proliferative retinopathy
                                                             0
                                                                1
                                                                    0
                                                                       0
                                                                                 0
                      proliferative diabetic retinopathy
6387
                                                             0
                                                                1
                                                                    0
                                                                       0
                                                                                 0
6388
                  moderate non proliferative retinopathy
                                                             0
                                                                 1
                                                                    0
                                                                       0
                                                                          0
                                                                             0
                                                                                 0
6389
                                             normal fundus
                                                             0
                                                                1
                                                                    0
                                                                       0
                                                                          0
                                                                             0
                                                                                 0
6390
                       mild nonproliferative retinopathy
                                                             0
                                                                    0
                                                                       0
                                                                          0
                                                                             0
                                                                                 0
6391
      hypertensive retinopathy, age-related macular d.... 0 0 0
```

0 filepath labels

```
0
         ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['N']
1
         ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['N']
2
         ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['D']
3
                                                               ['D']
         ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['D']
         ../input/ocular-disease-recognition-odir5k/ODI....
      0
. . . .
                                                                  . . . .
        ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['D']
6387
      0
6388
         ../input/ocular-disease-recognition-odir5k/ODI....
                                                               ['D']
         ../input/ocular-disease-recognition-odir5k/ODI....
6389
                                                               ['D']
         ../input/ocular-disease-recognition-odir5k/ODI....
6390
     0
                                                               ['D']
         ../input/ocular-disease-recognition-odir5k/ODI....
6391
                                                               ['H']
                         target
                                      filename
0
      [1, 0, 0, 0, 0, 0, 0, 0]
                                   0\_right.jpg
      [1, 0, 0, 0, 0, 0, 0, 0]
                                   1\_right.jpg
2
      [0, 1, 0, 0, 0, 0, 0, 0]
                                   2\_right.jpg
3
      [0, 1, 0, 0, 0, 0, 0, 0]
                                   4\_right.jpg
4
      [0, 1, 0, 0, 0, 0, 0, 0]
                                   5\_right.jpg
6387
      [0, 1, 0, 0, 0, 0, 0, 0]
                                 4686\_left.jpg
      [0, 1, 0, 0, 0, 0, 0, 0]
6388
                                 4688\_left.jpg
      [0, 1, 0, 0, 0, 0, 0, 0]
6389
                                 4689\_left.jpg
6390
      [0, 1, 0, 0, 0, 0, 0, 0]
                                 4690\lnewline 1900
6391
      [0, 0, 0, 0, 0, 1, 0, 0]
                                 4784\_left.jpg
```

[6392 rows x 19 columns]

Information on Dataset:

<class 'pandas.core.frame.DataFrame'>
RangeIndex: 6392 entries, 0 to 6391
Data columns (total 19 columns):

\#	Column	Non-Null Count	Dtype
0	ID	6392 non-null	int64
1	Patient Age	6392 non-null	int64
2	Patient Sex	6392 non-null	obiect

3	Left-Fundus	6392 non-null	object
4	Right-Fundus	6392 non-null	object
5	Left-Diagnostic Keywords	6392 non-null	object
6	Right-Diagnostic Keywords	6392 non-null	object
7	N	6392 non-null	int64
8	D	6392 non-null	int64
9	G	6392 non-null	int64
10	C	6392 non-null	int64
11	A	6392 non-null	int64
12	Н	6392 non-null	int64
13	M	6392 non-null	int64
14	0	6392 non-null	int64
15	filepath	6392 non-null	object
16	labels	6392 non-null	object
17	target	6392 non-null	object
18	filename	6392 non-null	object

dtypes: int64(10), object(9)
memory usage: 948.9+ KB

Describing the Dataset:

ID	Patient Age Pa	atient Sex	${\tt Left\text{-}Fundus}$	Right-Fundus	
count	6392.000000	6392.000000	6392	6392	6392
unique	NaN	NaN	2	3358	3358
top	NaN	NaN	Male	$4690\left.jpg$	4690_right.jpg
freq	NaN	NaN	3424	2	2
mean	2271.150814	57.857947	NaN	NaN	NaN
std	1417.559018	11.727737	NaN	NaN	NaN
min	0.000000	1.000000	NaN	NaN	NaN
25\%	920.750000	51.000000	NaN	NaN	NaN
50\%	2419.500000	59.000000	NaN	NaN	NaN
75\%	3294.000000	66.000000	NaN	NaN	NaN
max	4784.000000	91.000000	NaN	NaN	NaN

Left-Diagnostic Keywords Right-Diagnostic Keywords N count 6392 6392.000000

unique		196		205	NaN
top	noi	rmal fundus	no	rmal fundus	NaN
freq		2796		2705	NaN
mean		NaN		NaN	0.328692
std		NaN		NaN	0.469775
min		NaN		NaN	0.000000
25\%		NaN		NaN	0.000000
50\%		NaN		NaN	0.000000
75\%		NaN		NaN	1.000000
max		NaN		NaN	1.000000
	D	G	С	A	Н
count	6392.000000	6392.000000	6392.000000	6392.000000	6392.000000
unique	NaN	NaN	NaN	NaN	NaN
top	NaN	NaN	NaN	NaN	NaN
freq	NaN	NaN	NaN	NaN	NaN
mean	0.332134	0.062109	0.062891	0.049906	0.031758
std	0.471016	0.241372	0.242786	0.217768	0.175370
min	0.000000	0.000000	0.000000	0.000000	0.000000
25\%	0.000000	0.000000	0.000000	0.000000	0.000000
50\%	0.000000	0.000000	0.000000	0.000000	0.000000
75\%	1.000000	0.000000	0.000000	0.000000	0.000000
max	1.000000	1.000000	1.000000	1.000000	1.000000
	М	0			
count	6392.000000	6392.000000			
unique	NaN	NaN			
top	NaN	NaN			
freq	NaN	NaN			
mean	0.047872	0.248436			
std	0.213513	0.432139			
min	0.000000	0.000000			
25\%	0.000000	0.000000			
50\%	0.000000	0.000000			
75\%	0.000000	0.000000			
max	1.000000	1.000000			

filepath labels

count	6392	6392
unique	6392	8
top	/input/ocular-disease-recognition-odir5k/ODI	['N']
freq	1	2873
mean	NaN	NaN
std	NaN	NaN
min	NaN	NaN
25\%	NaN	NaN
50\%	NaN	NaN
75\%	NaN	NaN
max	NaN	NaN

			target	filename
count			6392	6392
unique			8	6392
top	[1, 0,	0, 0,	0, 0, 0, 0]	4784_left.jpg
freq			2873	1
mean			NaN	NaN
std			NaN	NaN
min			NaN	NaN
25\%			NaN	NaN
50\%			NaN	NaN
75\%			NaN	NaN
max			NaN	NaN

Columns in the dataset:

Labels Counts:

labels

```
N
     2873
D
     1608
0
      708
C
      293
      284
G
      266
Α
М
      232
Η
      128
Name: count, dtype: int64
```

4.6.4 Swin model

```
SwinTransformer(
  (patch\_embed): PatchEmbed(
    (proj): Conv2d(3, 96, kernel\_size=(4, 4), stride=(4, 4))
    (norm): LayerNorm((96,), eps=1e-05, elementwise\_affine=True)
  (layers): Sequential(
    (0): SwinTransformerStage(
      (downsample): Identity()
      (blocks): Sequential(
        (0): SwinTransformerBlock(
          (norm1): LayerNorm((96,), eps=1e-05, elementwise\_affine=True)
          (attn): WindowAttention(
            (qkv): Linear(in\_features=96, out\_features=288, bias=True)
            (attn\_drop): Dropout(p=0.0, inplace=False)
            (proj): Linear(in\_features=96, out\_features=96, bias=True)
            (proj\_drop): Dropout(p=0.0, inplace=False)
            (softmax): Softmax(dim=-1)
          )
          (drop\_path1): Identity()
          (norm2): LayerNorm((96,), eps=1e-05, elementwise\_affine=True)
          (mlp): Mlp(
            (fc1): Linear(in\_features=96, out\_features=384, bias=True)
            (act): GELU(approximate='none')
            (drop1): Dropout(p=0.0, inplace=False)
            (norm): Identity()
```

```
(fc2): Linear(in\_features=384, out\_features=96, bias=True)
        (drop2): Dropout(p=0.0, inplace=False)
     )
      (drop\_path2): Identity()
    (1): SwinTransformerBlock(
      (norm1): LayerNorm((96,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=96, out\_features=288, bias=True)
        (attn\_drop): Dropout(p=0.0, inplace=False)
        (proj): Linear(in\_features=96, out\_features=96, bias=True)
        (proj\_drop): Dropout(p=0.0, inplace=False)
        (softmax): Softmax(dim=-1)
      (drop\_path1): DropPath(drop\_prob=0.009)
      (norm2): LayerNorm((96,), eps=1e-05, elementwise\_affine=True)
      (mlp): Mlp(
        (fc1): Linear(in\_features=96, out\_features=384, bias=True)
        (act): GELU(approximate='none')
        (drop1): Dropout(p=0.0, inplace=False)
        (norm): Identity()
        (fc2): Linear(in\_features=384, out\_features=96, bias=True)
        (drop2): Dropout(p=0.0, inplace=False)
     )
      (drop\_path2): DropPath(drop\_prob=0.009)
   )
 )
(1): SwinTransformerStage(
  (downsample): PatchMerging(
    (norm): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
    (reduction): Linear(in\_features=384, out\_features=192, bias=False)
  (blocks): Sequential(
    (0): SwinTransformerBlock(
      (norm1): LayerNorm((192,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=192, out\_features=576, bias=True)
```

```
(attn\_drop): Dropout(p=0.0, inplace=False)
    (proj): Linear(in\_features=192, out\_features=192, bias=True)
    (proj\_drop): Dropout(p=0.0, inplace=False)
    (softmax): Softmax(dim=-1)
  (drop\_path1): DropPath(drop\_prob=0.018)
  (norm2): LayerNorm((192,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=192, out\_features=768, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=768, out\_features=192, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.018)
(1): SwinTransformerBlock(
  (norm1): LayerNorm((192,), eps=1e-05, elementwise\_affine=True)
  (attn): WindowAttention(
    (qkv): Linear(in\_features=192, out\_features=576, bias=True)
    (attn\_drop): Dropout(p=0.0, inplace=False)
    (proj): Linear(in\_features=192, out\_features=192, bias=True)
    (proj\_drop): Dropout(p=0.0, inplace=False)
    (softmax): Softmax(dim=-1)
 )
  (drop\_path1): DropPath(drop\_prob=0.027)
  (norm2): LayerNorm((192,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=192, out\_features=768, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=768, out\_features=192, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.027)
)
```

```
)
(2): SwinTransformerStage(
  (downsample): PatchMerging(
    (norm): LayerNorm((768,), eps=1e-05, elementwise\_affine=True)
    (reduction): Linear(in\_features=768, out\_features=384, bias=False)
  (blocks): Sequential(
    (0): SwinTransformerBlock(
      (norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
        (attn\_drop): Dropout(p=0.0, inplace=False)
        (proj): Linear(in\_features=384, out\_features=384, bias=True)
        (proj\_drop): Dropout(p=0.0, inplace=False)
        (softmax): Softmax(dim=-1)
     )
      (drop\_path1): DropPath(drop\_prob=0.036)
      (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
      (mlp): Mlp(
        (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
        (act): GELU(approximate='none')
        (drop1): Dropout(p=0.0, inplace=False)
        (norm): Identity()
        (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
        (drop2): Dropout(p=0.0, inplace=False)
      (drop\_path2): DropPath(drop\_prob=0.036)
   )
    (1): SwinTransformerBlock(
      (norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
        (attn\_drop): Dropout(p=0.0, inplace=False)
        (proj): Linear(in\_features=384, out\_features=384, bias=True)
        (proj\_drop): Dropout(p=0.0, inplace=False)
        (softmax): Softmax(dim=-1)
     )
```

)

```
(drop\_path1): DropPath(drop\_prob=0.045)
  (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.045)
(2): SwinTransformerBlock(
  (norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (attn): WindowAttention(
    (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
    (attn\_drop): Dropout(p=0.0, inplace=False)
    (proj): Linear(in\_features=384, out\_features=384, bias=True)
    (proj\_drop): Dropout(p=0.0, inplace=False)
    (softmax): Softmax(dim=-1)
 )
  (drop\_path1): DropPath(drop\_prob=0.055)
  (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.055)
(3): SwinTransformerBlock(
  (norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (attn): WindowAttention(
    (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
    (attn\_drop): Dropout(p=0.0, inplace=False)
```

```
(proj): Linear(in\_features=384, out\_features=384, bias=True)
    (proj\_drop): Dropout(p=0.0, inplace=False)
    (softmax): Softmax(dim=-1)
  (drop\_path1): DropPath(drop\_prob=0.064)
  (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.064)
(4): SwinTransformerBlock(
  (norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (attn): WindowAttention(
    (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
    (attn\_drop): Dropout(p=0.0, inplace=False)
    (proj): Linear(in\_features=384, out\_features=384, bias=True)
    (proj\_drop): Dropout(p=0.0, inplace=False)
    (softmax): Softmax(dim=-1)
 )
  (drop\_path1): DropPath(drop\_prob=0.073)
  (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
  (mlp): Mlp(
    (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
    (act): GELU(approximate='none')
    (drop1): Dropout(p=0.0, inplace=False)
    (norm): Identity()
    (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
    (drop2): Dropout(p=0.0, inplace=False)
  (drop\_path2): DropPath(drop\_prob=0.073)
(5): SwinTransformerBlock(
```

```
(norm1): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=384, out\_features=1152, bias=True)
        (attn\_drop): Dropout(p=0.0, inplace=False)
        (proj): Linear(in\_features=384, out\_features=384, bias=True)
        (proj\_drop): Dropout(p=0.0, inplace=False)
        (softmax): Softmax(dim=-1)
     )
      (drop\_path1): DropPath(drop\_prob=0.082)
      (norm2): LayerNorm((384,), eps=1e-05, elementwise\_affine=True)
      (mlp): Mlp(
        (fc1): Linear(in\_features=384, out\_features=1536, bias=True)
        (act): GELU(approximate='none')
        (drop1): Dropout(p=0.0, inplace=False)
        (norm): Identity()
        (fc2): Linear(in\_features=1536, out\_features=384, bias=True)
        (drop2): Dropout(p=0.0, inplace=False)
      (drop\_path2): DropPath(drop\_prob=0.082)
   )
 )
(3): SwinTransformerStage(
  (downsample): PatchMerging(
    (norm): LayerNorm((1536,), eps=1e-05, elementwise\_affine=True)
    (reduction): Linear(in\_features=1536, out\_features=768, bias=False)
  (blocks): Sequential(
    (0): SwinTransformerBlock(
      (norm1): LayerNorm((768,), eps=1e-05, elementwise\_affine=True)
      (attn): WindowAttention(
        (qkv): Linear(in\_features=768, out\_features=2304, bias=True)
        (attn\_drop): Dropout(p=0.0, inplace=False)
        (proj): Linear(in\_features=768, out\_features=768, bias=True)
        (proj\_drop): Dropout(p=0.0, inplace=False)
        (softmax): Softmax(dim=-1)
      (drop\_path1): DropPath(drop\_prob=0.091)
```

```
(mlp): Mlp(
          (fc1): Linear(in\_features=768, out\_features=3072, bias=True)
          (act): GELU(approximate='none')
          (drop1): Dropout(p=0.0, inplace=False)
          (norm): Identity()
          (fc2): Linear(in\_features=3072, out\_features=768, bias=True)
          (drop2): Dropout(p=0.0, inplace=False)
        (drop\_path2): DropPath(drop\_prob=0.091)
      (1): SwinTransformerBlock(
        (norm1): LayerNorm((768,), eps=1e-05, elementwise\_affine=True)
        (attn): WindowAttention(
          (qkv): Linear(in\_features=768, out\_features=2304, bias=True)
          (attn\_drop): Dropout(p=0.0, inplace=False)
          (proj): Linear(in\_features=768, out\_features=768, bias=True)
          (proj\_drop): Dropout(p=0.0, inplace=False)
          (softmax): Softmax(dim=-1)
       )
        (drop\_path1): DropPath(drop\_prob=0.100)
        (norm2): LayerNorm((768,), eps=1e-05, elementwise\_affine=True)
        (mlp): Mlp(
          (fc1): Linear(in\_features=768, out\_features=3072, bias=True)
          (act): GELU(approximate='none')
          (drop1): Dropout(p=0.0, inplace=False)
          (norm): Identity()
          (fc2): Linear(in\_features=3072, out\_features=768, bias=True)
          (drop2): Dropout(p=0.0, inplace=False)
        (drop\_path2): DropPath(drop\_prob=0.100)
   )
 )
(norm): LayerNorm((768,), eps=1e-05, elementwise\_affine=True)
(head): ClassifierHead(
  (global\_pool): SelectAdaptivePool2d(pool\_type=avg, flatten=Identity())
```

(norm2): LayerNorm((768,), eps=1e-05, elementwise_affine=True)

```
(drop): Dropout(p=0.0, inplace=False)
  (fc): Linear(in\_features=768, out\_features=8, bias=True)
  (flatten): Identity()
)
```

4.6.5 Per Epoch values

```
Epoch 1/10, Train Loss: 1.7329, Val Loss: 1.4525, Val Accuracy:
                                                                  0.4664
Epoch 2/10, Train Loss: 1.4441, Val Loss: 1.3828, Val Accuracy:
                                                                  0.5149
Epoch 3/10, Train Loss: 1.2777, Val Loss: 1.4203, Val Accuracy:
                                                                  0.3731
Epoch 4/10, Train Loss: 1.2613, Val Loss: 1.2363, Val Accuracy:
                                                                  0.4328
Epoch 5/10, Train Loss: 1.1328, Val Loss: 1.2642, Val Accuracy:
                                                                  0.4776
Epoch 6/10, Train Loss: 1.0112, Val Loss: 1.1461, Val Accuracy:
                                                                  0.4851
Epoch 7/10, Train Loss: 0.9212, Val Loss: 1.1684, Val Accuracy:
                                                                  0.5336
Epoch 8/10, Train Loss: 0.8877, Val Loss: 1.1479, Val Accuracy:
                                                                  0.5037
Epoch 9/10, Train Loss: 0.8449, Val Loss: 1.1782, Val Accuracy:
                                                                  0.4813
Epoch 10/10, Train Loss: 0.8306, Val Loss: 1.1760, Val Accuracy:
                                                                   0.5597
```

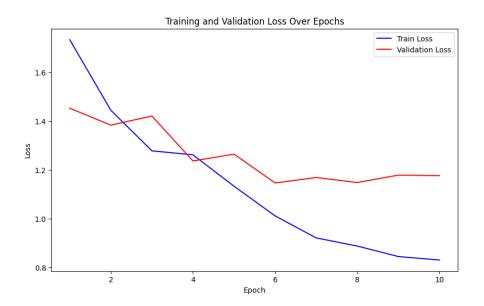


Figure 4.2: Training and Validation Loss over epochs

Chapter 5

Visualization and Testing

5.1 Data Visualization

Data visualization was performed to gain insights into the dataset. This includes:

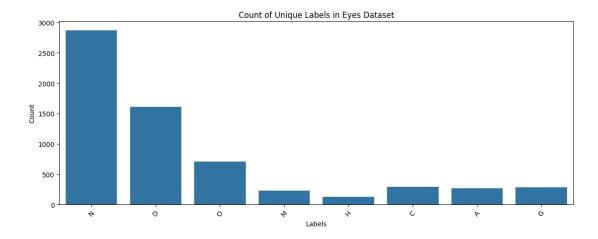


Figure 5.1: Distribution of diseases across the dataset

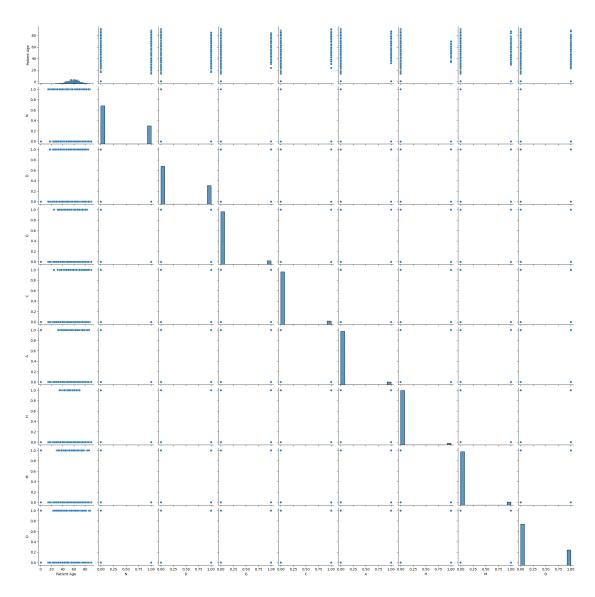


Figure 5.2: Pairplot of the ODIR Dataset

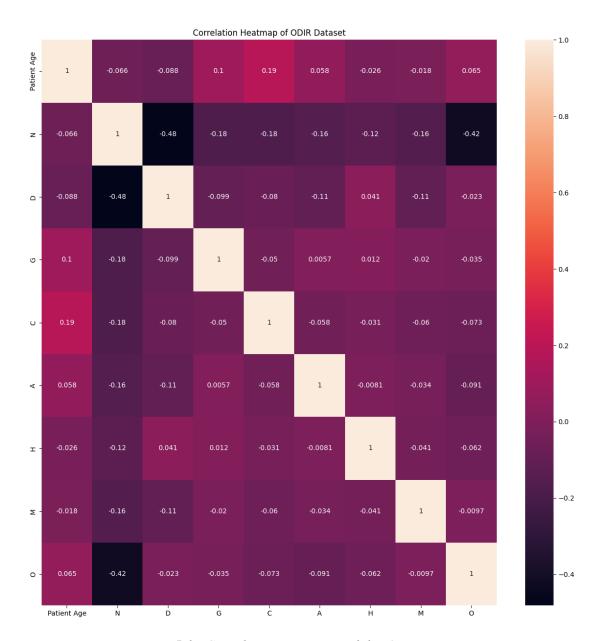


Figure 5.3: Correlation Heatmap of the ODIR Dataset

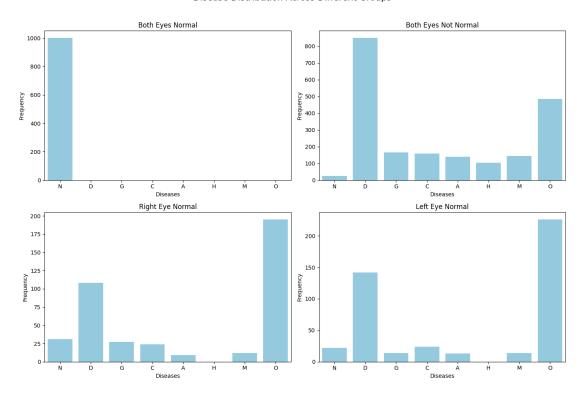


Figure 5.4: Disease Distribution Across Different Groups

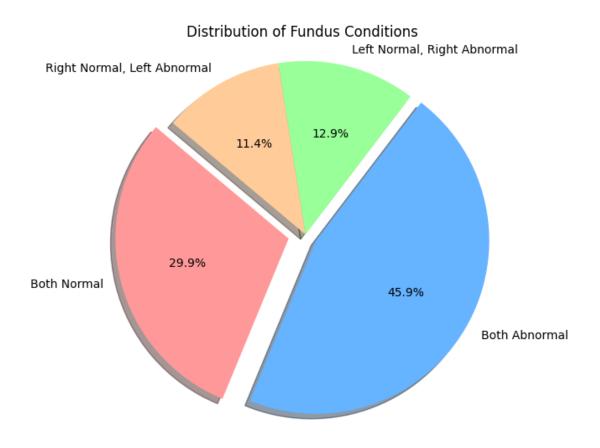


Figure 5.5: Distribution of Fundus Conditions

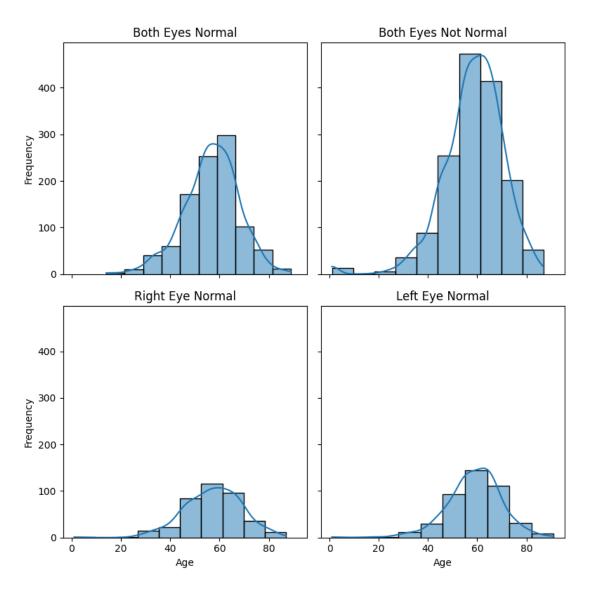


Figure 5.6: Distribution of Fundus Conditions against Patient's Age

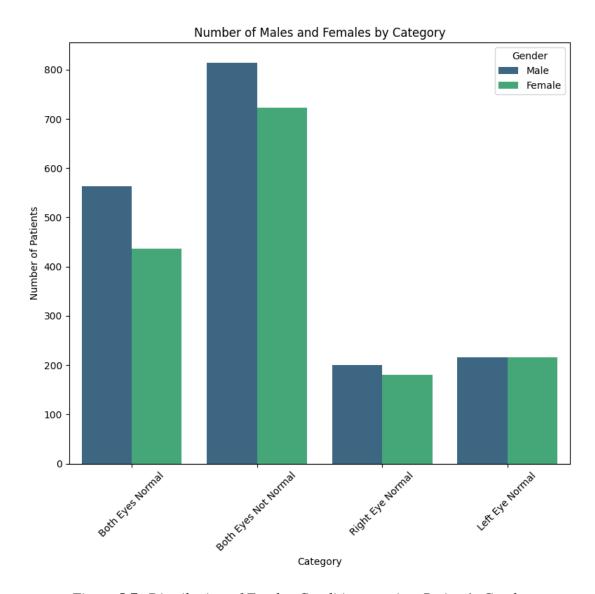


Figure 5.7: Distribution of Fundus Conditions against Patient's Gender

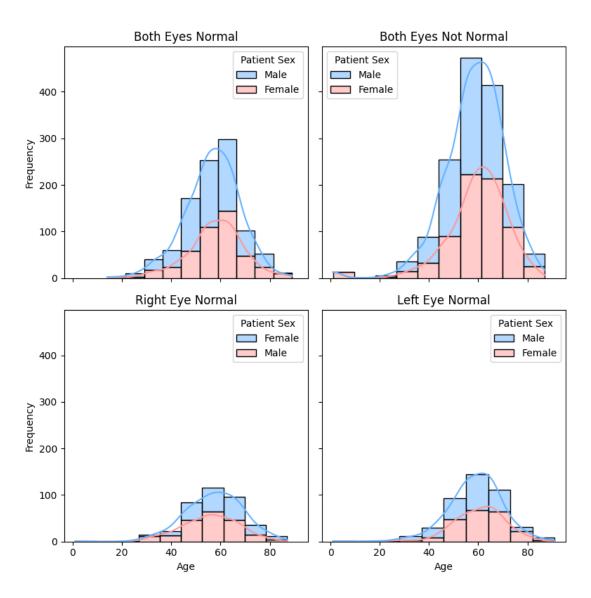


Figure 5.8: Distribution of Fundus Conditions against Patient's Age & Gender

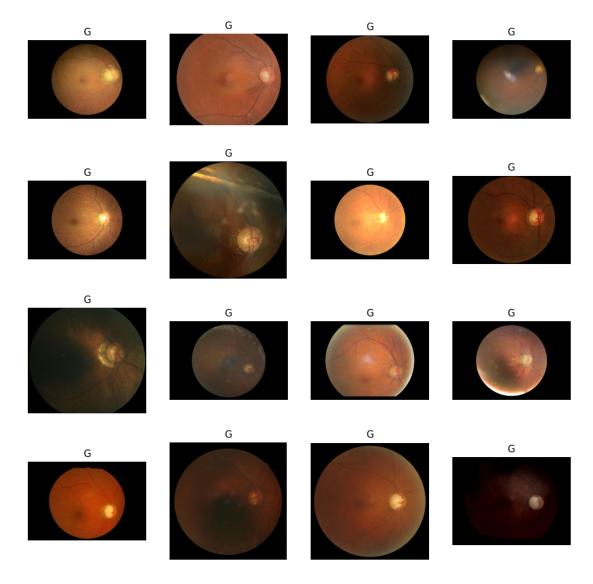


Figure 5.9: Sample Glaucoma Retinal Images in ODIR Dataset

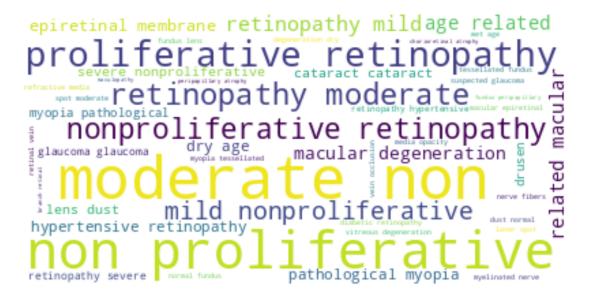


Figure 5.10: Word Cloud on Left Diagnostic Keywords

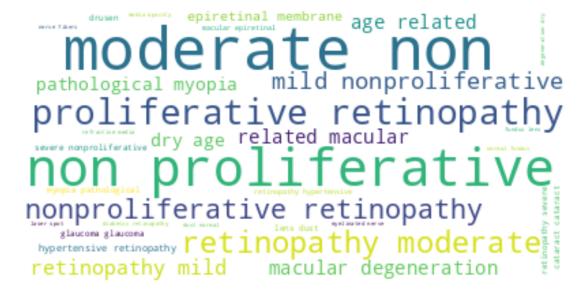


Figure 5.11: Word Cloud on Right Diagnostic Keywords

5.2 Evaluation Metrics for Classification

The model's performance was evaluated using several key metrics:

5.2.1 Definitions:

• Accuracy Score:

The accuracy score measures the overall correctness of a model by calculating the ratio of correct predictions to the total number of predictions:

$$Accuracy = \frac{Number of Correct Predictions}{Total Number of Predictions}$$

• Classification Report:

The classification report provides a summary of key classification metrics (precision, recall, F1-score) for each class in the model. It often includes macro and weighted averages.

Precision: The proportion of true positive predictions over the total predicted positives:

$$Precision = \frac{TP}{TP + FP}$$

Recall: The proportion of true positive predictions over the total actual positives:

$$Recall = \frac{TP}{TP + FN}$$

- **F1-score:** The harmonic mean of precision and recall:

$$F1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}}$$

• Cohen's Kappa Score:

The Cohen's Kappa score measures the agreement between two raters (or classifiers), adjusted for the agreement that would occur by chance. It is defined as:

$$\kappa = \frac{P_o - P_e}{1 - P_e}$$

where:

- P_o is the observed agreement.
- P_e is the expected agreement by chance.

The range is from -1 (complete disagreement) to 1 (complete agreement), with 0 indicating no agreement beyond chance.

• Confusion Matrix:

The confusion matrix is a table that describes the performance of a classification model by comparing the predicted labels to the actual labels. It contains the following values:

- True positives (TP): Correctly predicted positive instances.
- True negatives (TN): Correctly predicted negative instances.
- False positives (FP): Incorrectly predicted positive instances.
- False negatives (FN): Incorrectly predicted negative instances.

• F1 Score:

The F1 score is the harmonic mean of precision and recall:

$$F1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}}$$

• Precision Score:

Precision is the proportion of true positive predictions over the total predicted positives:

$$Precision = \frac{TP}{TP + FP}$$

• Recall Score:

Recall is the proportion of true positive predictions over the total actual positives:

$$Recall = \frac{TP}{TP + FN}$$

• ROC AUC Score:

The ROC AUC score measures the area under the receiver operating characteristic (ROC) curve. It evaluates the performance of a classifier across all classification thresholds:

where 1 represents a perfect classifier and 0.5 represents a random classifier.

• ROC Curve:

The ROC curve plots the true positive rate (recall) against the false positive rate (1 - specificity) at various thresholds. The axes are:

- X-axis: False Positive Rate (FPR)
- Y-axis: True Positive Rate (TPR)

• Sensitivity:

Sensitivity (or recall) is the proportion of actual positives that were correctly identified:

Sensitivity =
$$\frac{TP}{TP + FN}$$

• Specificity:

Specificity is the proportion of actual negatives that were correctly identified:

Specificity =
$$\frac{TN}{TN + FP}$$

5.2.2 Computed Evaluation Metrics:

• Accuracy (Weighted): 0.5992

• **F1 Score (Weighted):** 0.5195

• Precision (Weighted): 0.5309

• Recall (Weighted): 0.5261

• Cohen Kappa Score: 0.3559

• ROC AUC Score (Weighted): 0.7671

• Classification Report:

Classification Report:

	precision	recall	f1-score	support
Α	0.33	0.64	0.43	28
С	0.72	0.87	0.79	30
D	0.52	0.40	0.45	170
G	0.31	0.67	0.42	27
Н	0.18	0.23	0.20	13
M	0.73	0.89	0.80	27
N	0.62	0.61	0.62	298
0	0.28	0.17	0.21	78

accuracy			0.53	671
macro avg	0.46	0.56	0.49	671
weighted avg	0.53	0.53	0.52	671

• Confusion Matrix:

Cor	ıfus	ion	Matr	ix:				
[[18	0	1	0	1	1	5	2]
[0	26	0	1	0	1	1	1]
[9	2	68	4	5	2	67	13]
[2	0	0	18	0	0	7	0]
[0	0	3	1	3	0	5	1]
[0	0	1	2	0	24	0	0]
[13	4	42	29	8	3	183	16]
[13	4	17	3	0	2	26	13]]

• Accuracy, Sensitivity and Specificity for Each Class:

Class	Accuracy	Sensitivity	Specificity
A:	0.9151	0.6429	0.9269
C:	0.9791	0.8667	0.9844
D:	0.7198	0.4471	0.8124
G:	0.9061	0.7778	0.9115
H:	0.9463	0.3077	0.9590
M:	0.9821	0.8889	0.9860
N:	0.6379	0.3926	0.8338
0:	0.8286	0.2692	0.9022

5.2.3 Existing Model V/S Proposed Model

Class	Accuracy	
	Two input VGG16+SGD optimizer	Proposed
Normal	0.66	0.6379
Cataract	0.96	0.8286

Diabetic retinopathy	0.93	0.9791
AMD	0.94	0.9151
Hypertension	0.95	0.9463
Myopia	0.94	0.9821
Glaucoma	0.67	0.9061
Other abnormalities	0.73	0.7198
Class	Sensitivity	
	Two input VGG16+SGD optimizer	Proposed
Normal	0.77	0.3926
Cataract	0	0.2692
Diabetic retinopathy	0.05	0.8667
AMD	0.06	0.6429
Hypertension	0	0.3077
Myopia	0.11	0.8889
Glaucoma	0.4	0.7778
Other abnormalities	0.74	0.4471
Class	Specificity	
	Two input VGG16+SGD optimizer	Proposed
Normal	0.21	0.8338
Cataract	1	0.9022
Diabetic retinopathy	0.94	0.9844
AMD	0.93	0.9269
Hypertension	0.99	0.959
Myopia	0.94	0.986
Glaucoma	0.6	0.9115
Other abnormalities	0.32	0.8124

Inferences:

- Proposed model is performing better than previous model on per class metrics.
- The overall accuracy, precision, F1-Scores and other metrics are average.

Chapter 6

Conclusion

In conclusion, this project successfully demonstrated the application of the Swin Transformer for multi-class classification on the ODIR-5K dataset. By leveraging the Swin Transformer's hierarchical feature extraction capabilities and its ability to capture both local and global patterns, the model was able to efficiently classify retinal images into multiple categories.

The Swin Transformer model has proven to be an effective tool for multi-class classification of retinal images. The model achieved high accuracy and demonstrated the ability to identify different types of eye diseases from medical images. Future work could focus on fine-tuning hyperparameters and addressing class imbalances to further improve the model's performance.

Key achievements of the project include:

- Preprocessing and Data Augmentation: Effective preprocessing and augmentation techniques ensured that the model received well-processed and diverse data, which contributed to better generalization and improved classification performance.
- Swin Transformer Model: The Swin Transformer, with its attention mechanism, allowed the model to focus on relevant regions within the retinal images, thereby improving the accuracy of classifications. Compared to traditional CNN-based models, the Swin Transformer exhibited superior performance, showcasing its

advantages in handling complex image data.

- Model Performance: The evaluation metrics, including accuracy, precision, recall, and F1-score, were above average, but the accuracy, specificity and sensitivity per class was significantly high indicating that the model performed well across different classes within the dataset. The model was able to learn the patterns in the retinal images, leading to accurate predictions.
- Scalability and Adaptability: The Swin Transformer demonstrated its potential for scalability and adaptability, showing that it could be applied to other medical image classification tasks with minimal modification.

The limitations of the project work, is the class imbalance and incompleteness of the openly available ODIR dataset. The missing correlation between patient's metadata and retinal images. The computational power to run swin tiny, small, base and large models for long epochs.

In future work, the model can be further refined by exploring different hyperparameter tuning strategies, incorporating additional data sources, or experimenting with hybrid architectures that combine the strengths of convolutional networks and transformers. This project highlights the potential of transformer-based models like Swin Transformer in advancing the field of medical image analysis, especially in tasks requiring multiclass classification.

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