

**Extension of pigeonhole principle:** Suppose  $A$  and  $B$  are finite sets with  $k|B| < |A|$  and  $f : A \rightarrow B$  is a function. Then at least one element of  $B$  must be the image of at least  $k + 1$  elements of  $A$  under  $f$ .

**Example:** How many different surnames must be in a telephone directory in order to ensure that at least four surnames have the same first and the same second letter?

Here we need at least  $3(26)^2 + 1$  surnames.

**Example:** Show that, in any group of six people, there are either three who all know each other or three complete strangers.

Let  $x$  be any one of the people and set  $A$  equal to the set consisting of the other 5. Set  $B = \{0, 1\}$  and define  $f : A \rightarrow B$  by

$$f(y) = \begin{cases} 1 & \text{if } x \text{ knows } y \\ 0 & \text{if } x \text{ does not know } y \end{cases}$$

Since  $|A| = 5 > 4 = 2|B|$  at least one of the numbers 0 or 1 arises three times as  $f(y)$ . So either  $x$  knows three of the others or  $x$  does not know three of the others. In the first case, suppose  $x$  knows  $y_1$ ,  $y_2$  and  $y_3$ . If any two of these know each other then we can add  $x$  to this pair to get a set of three who all know each other. If no two of these know each other then we have a set of three complete strangers. The second case is similar.

## COUNTING AND COMBINATORICS

**Note:** If  $A$  and  $B$  are disjoint sets with  $n$  and  $m$  elements respectively then  $A \cup B$  has  $n + m$  elements. This follows from our rule

$$|A \cup B| = |A| + |B| - |A \cap B|.$$

Saying  $A$  and  $B$  are disjoint means  $A \cap B = \emptyset$  so that  $|A \cap B| = 0$ .

**Addition Principle of Counting:** If an event  $E_1$  can occur in  $n$  different ways and an event  $E_2$  can occur in  $m$  different ways and both events cannot occur at the same time then  $E_1$  or  $E_2$  can occur in  $n + m$  different ways.

**Rule of thumb:** ‘or’  $\leftrightarrow$  addition

**Example:** If a phone shop stocks 5 models of phone of brand 1 and 6 models of phone of brand 2, then it stocks 11 different models of phone.

**Example:** There are 33 numbers between 1 and 100 inclusive which are multiples of three and 50 which are multiples of 2. There are not 83 numbers in this range which are multiples of 3 or multiples of 2. Here  $A$ , the set of multiples of 3, and  $B$ , the set of multiples of 2, are not disjoint since  $A \cap B$  contains all the multiples of 6.

**Note:** Of course, this principle can be extended to more than 2 events: If event  $E_i$  can occur in  $n_i$  different ways for  $i = 1, 2, \dots, k$  and no two of the events can occur at the same time then  $E_1$  or  $E_2$  or  $\dots$  or  $E_k$  can occur in  $n_1 + \dots + n_k$  different ways.

**Note:** If  $E$  is the compound event that exactly one of  $E_1$  or  $E_2$  or  $\dots$  or  $E_k$  occurs, then  $E$  is partitioned by the sets  $E_1, E_2, \dots, E_k$ .

**Example:** Binary strings of length at least 4 consist of strings of length 0, 1, 2, 3 or 4 and a string cannot have two different lengths. If  $B_i$  is the set of binary strings of length  $i$  then the total number is

$$|B_0| + |B_1| + |B_2| + |B_3| + |B_4| = 1 + 2 + 4 + 8 + 16 = 31.$$

**Example:** The number of integers between 100 and 299 which are divisible by 5 can be expressed as the disjoint union of 4 sets:

$$S_1 = \{\text{strings of form } [1][k][0]\}$$

$$S_2 = \{\text{strings of form } [1][k][5]\}$$

$$S_3 = \{\text{strings of form } [2][k][0]\}$$

$$S_4 = \{\text{strings of form } [2][k][5]\}$$

Each  $S_i$  has 10 elements (10 choices for  $k$ ). Now the number we want is

$$n = |S_1| + |S_2| + |S_3| + |S_4| = 10 + 10 + 10 + 10 = 40.$$

**Difference Rule:** If  $A$  is a finite set and  $B$  is a subset of  $A$  then

$$|A - B| = |A| - |B|.$$

**Proof:** The sets  $B, A - B$  partition  $A$ . So  $|A| = |B| + |A - B|$ .

**Example:** How many binary strings of length 5 are not divisible by 4?

A string  $abcde$  is divisible by 4 if and only if  $d = e = 0$ . (Remember that  $abcde = a2^4 + b2^3 + c2^2 + d2 + e = 2^2(a2^2 + b2 + c) + d2 + e$ .) So a string of length 5 which is divisible by 4,  $abc00$ , is a string of length 3 followed by two 0's. The number of strings divisible by 4 is  $2^3 = 8$  and the number not divisible by 4 is

$$2^5 - 2^3 = 32 - 8 = 24.$$

Here  $A$  is the set of strings of length 5.  $B$  is the set of strings of length 5 which are divisible by 4. We want  $|A - B|$ .

**Note:** If  $A$  and  $B$  are sets with  $n$  and  $m$  elements respectively then their Cartesian product  $A \times B$  has  $nm$  elements.

**Product Principle of Counting:** If event  $E_1$  can occur in  $n$  ways and event  $E_2$  can occur in  $m$  ways, then the number of ways the events can occur in the order  $E_1$  followed by  $E_2$  is  $nm$ .

**Rule of thumb:** 'and'  $\leftrightarrow$  multiplication

**Note:** Of course, this principle can be extended to more than 2 events: If event  $E_i$  can occur in  $n_i$  different ways for  $i = 1, 2, \dots, k$  then  $E_1$  followed by  $E_2$  followed by  $\dots$  followed by  $E_k$  can occur in  $n_1 n_2 \dots n_k$  different ways.

**Example:** How many license plates are there with three letters followed by three digits? The first digit cannot be zero. Answer:  $(26)(26)(26)(9)(10)(10)$ .  $E_1$  is choice of first letter,  $E_2$  is choice of second letter,  $E_3$  is choice of third letter,  $E_4$  is choice of first non-zero digit,  $E_5$  is choice of second digit,  $E_6$  is choice of last digit.