

Operating System

~~Operating System~~

OS → interface between user and hardware

→ Resource allocation

→ managing memory, process files, security

→ user convenience

→ efficiency

→ Batch OS

→ multitasking OS

→ multiprogramming OS

→ multiprocessing OS

→ real time OS

Time

① CPU time

② I/O time

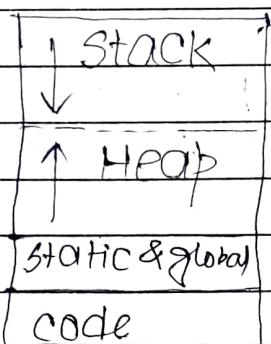
Process :

Program in execution



secondary memory

→ primary memory



Attributes of a process :

- ① Process id
- ② Process counter \rightarrow next instruction to be executed
- ③ State - Ready, running
- ④ Priority
- ⑤ General purpose registers
- ⑥ List of open files
- ⑦ List of open devices
- ⑧ Protection

→ Process control block (PCB)
Also known as context

State of a process :

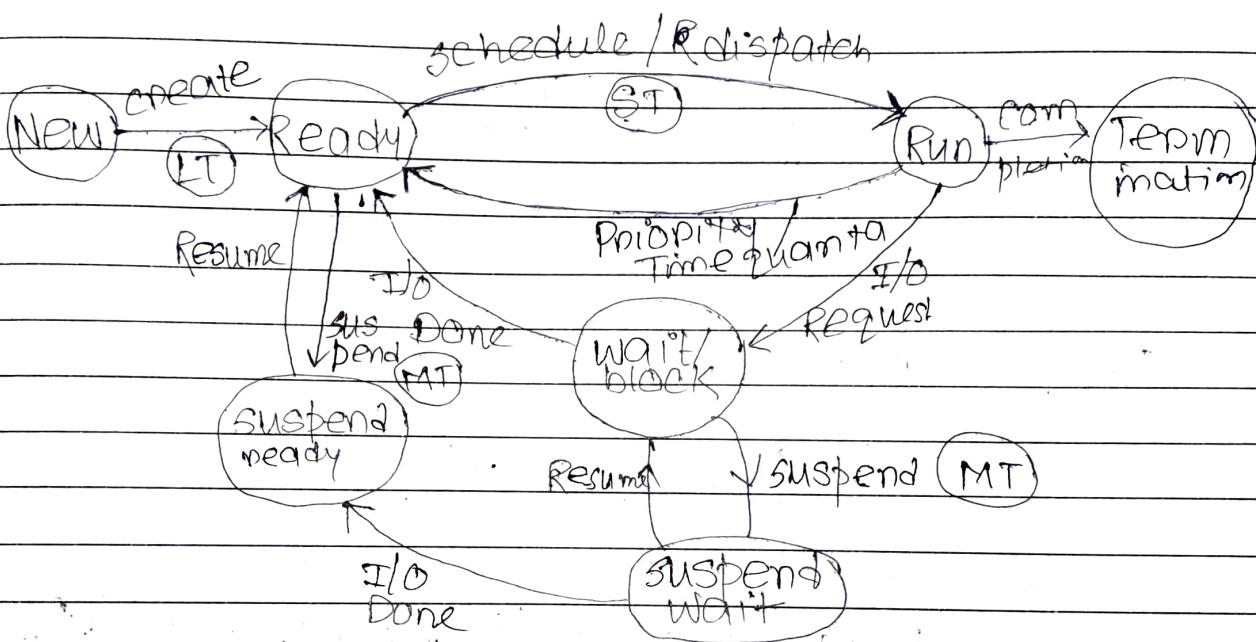
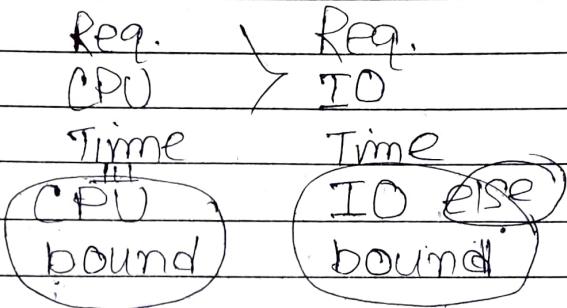
- ① New - created
- ② Ready - " . Primary.
- ③ Run - one process (1 CPU)
- ④ Block / wait - I/O, main memory
- ⑤ Termination / completion - delete PCB
- ⑥ Suspend ready - secondary memory
- ⑦ Suspend wait / block - " "

multiprogramming : multiple processes "ready"
 → with preemption → without preemption

multitasking	Forcefully remove during running.
time sharing	

Process

- ① Creation
- ② Scheduling
- ③ Execution
- ④ Kill / delete



many programs can be in **Ready** state
⇒ multiprogramming

preemption allowed

⇒ multitasking

degree of multiprogramming

= max no of processes

Scheduler

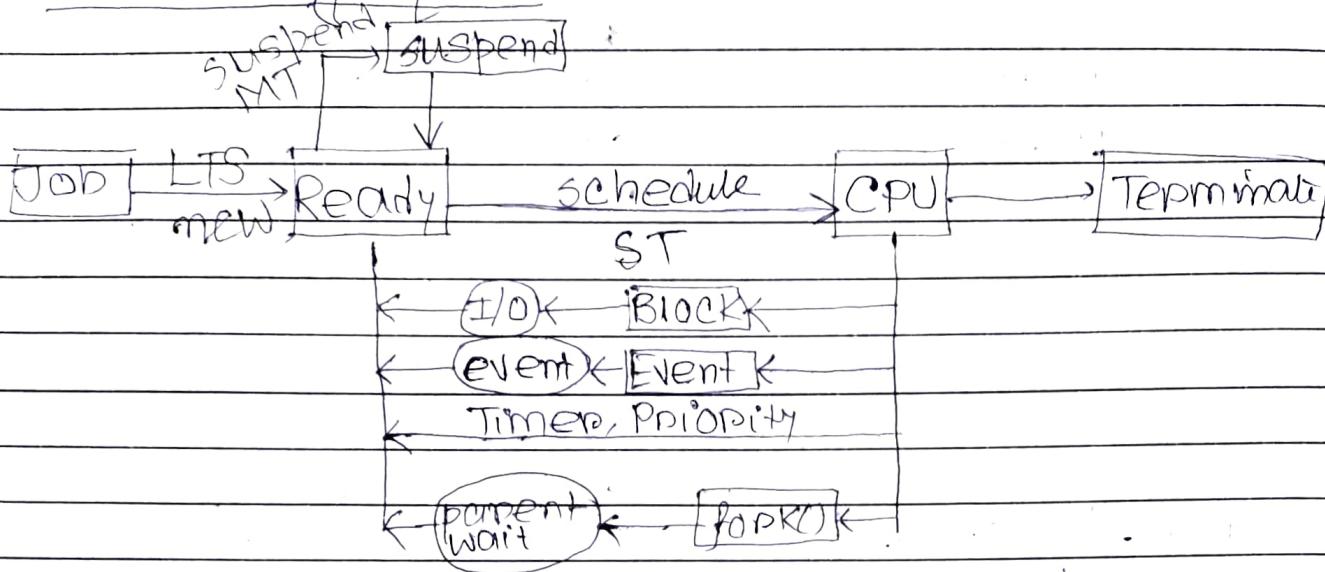
① Long term (LT)

② Short term / dispatcher

③ Medium term (Swapping)

allowed to be in Ready state

Scheduling queue :



→ queue

Time

ignoring I/O

① Arrival time : The time at which process comes to ready state

② Burst time : CPU.time

③ Completion time : the time at which a process finishes

④ Turn around time : $(CT - AT)$

⑤ Wait time : wait for CPU allocation

⑥ Response time : First time a process get CPU allocated

$$CT - AT = (WT + BT)$$

Operating SystemProcess scheduling :

CPU scheduling :

Ready → Run

Run → Termination

→ Wait

→ Ready

CPU free

Queue of ready processes

↓

Run

First Come First Serve :

(FCFS)

(Assuming no I/O time)

Criteria: arrival time

mode : non preemptive

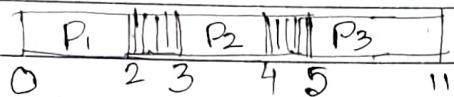
Pro	AT	BT	CT	TAT	WT	RT	
1	0	4				4	
2	1	3				3	
3	2	1		P ₁	P ₂	P ₃	
4	3	2		0	4	7	
5	4	5			8	10	
				P ₄	P ₅	15	
				(Gantt chart)			

TAT → time spent in the system

Disadv: convoy effect /

starvation : average wait time becomes high

PNO	AT	BT	CT	TAT	WT
1	0	2	2	2	0
2	3	1	4	1	0
3	5	6	11	6	0

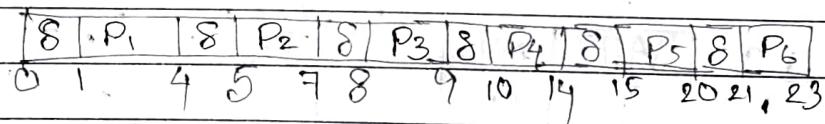


DATA STRUCTURE : queue

Time complexity : $O(n)$ no of processes

PNO	AT	BT	CT
1	0	3	
2	1	2	
3	2	1	
4	3	4	
5	4	5	
6	5	2	

context switching time = 1 unit. (8)



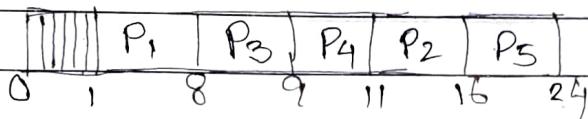
$\left(\frac{6}{23}\right) \times 100\%$ inefficiency (overhead)

$$\eta = \left(1 - \frac{6}{23}\right) \times 100\%$$

Shortest Job First (SJF):

Criteria: Burst time mode : non preemptive

PNo	AT	BT	CT	TAT	WT
1	1	7	8	7	0
2	2	5	16	14	9
3	3	1	9	6	5
4	4	2	11	7	5
5	5	8	24	19	11



Problem: ① starvation

② Burst time unknown

→ Min Heap (Priority queue)
O(n log n)

Adv: ① max throughput at any moment during execution
jobs get done as soon as possible

② Min Avg WT & TAT

→ We → BT needs to be predicted

BT Prediction

Static

Dynamic

① Process size

② " type

OS

User

interactive

foreground
background

① simple avg

② Exp avg

$$P_{old} \Rightarrow 200 \text{ KB} \Rightarrow 20 \text{ unit}$$

$$P_{new} \Rightarrow 201 \text{ KB} \Rightarrow 20 \text{ unit}$$

Simple Avg:

$$T_{n+1} = \frac{1}{n} \sum_{i=1}^n t_i$$

$t_i \Rightarrow$ Actual burst time

$T_i \Rightarrow$ Predicted burst time

Exponential Averaging
(Aging)

$$T_{n+1} = \alpha t_n + (1-\alpha) T_n$$

→ Smoothening factor

$\alpha = 1$ dynamic (t_n)

$\alpha = 0$ static (T_1)

Operating System

Shortest Remaining Time First (SRTF)

Criteria : Burst time
mode : preemptive

PNO	AT	BT	CT	TAT	WT	RT
1	0	7/6	19	19	12	0
2	1	5/4/0	13	12	7	1
3	2	3/2/0	6	4	1	2
4	3	1/0	4	1	0	3
5	4	2/0	9	5	3	7
6	5	1/0	7	2	1	6

P ₁	P ₂	P ₃	P ₄	P ₅	P ₆	P ₅	P ₂	P ₁
0	1	2	3	4	6	7	9	13

→ no starvation → (BT prediction)

Round Robin Algorithm:

Criteria : Time Quanta
mode : preemptive

PNO	AT	BT	CT	TAT	WT
1	0	4/2/0	8	8	4
2	1	5/8/1	8	17	12
3	2	2/0	6	4	2
4	3	1/0	9	6	5
5	4	8/4/2	21	17	11
6	6	3/1	19	13	10

(TQ=2)

P ₁	P ₂	P ₃	P ₁	P ₄	P ₅	P ₂	P ₆	P ₅	P ₆	P ₅
0	2	4	6	8	9	11	13	15	17	18

Queue

P₁ P₆ P₅ P₁ P₄ P₅ P₂ P₆ P₅ P₂ P₆ P₅

$TQ \downarrow$ context switching \uparrow

$TQ \uparrow \rightarrow$ starvation

$TQ \downarrow \rightarrow$ High context switching

$TQ = 3$	PNO	AT	BT	CT	TAT	WT	RT
1	5	52	32	97	22	(5-5)	
2	4	63	27	23	17	(9-4)	
3	3	740	36	33	26	(6-3)	
4	1	968	30	29	20	(1-1)	
5	2	90	6	4	2	(4-2)	
6	6	30	21	15	12	(18-6)	

Queue : $[P_4 P_5 P_3 P_2 P_4 P_1 P_6 P_3 P_2 P_4 P_1 P_3]$

Grant : $\boxed{1} P_4 \boxed{4} P_5 \boxed{6} P_3 \boxed{9} P_2 \boxed{12} P_4 \boxed{15} P_1 \boxed{18} P_6 \boxed{21} P_3 \boxed{24} P_2 \boxed{27} P_4 \boxed{30} P_1 \boxed{32} P_3$

n processes

s context switching time

q time quantum

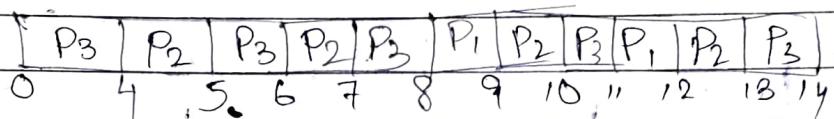
$P_1 P_2 \dots P_n P_1$
↓ t

$$ns + (n-1)q \leq t$$

$$q \leq \frac{t - ns}{n-1}$$

Longest Remaining Time First

PNO	AT	BT	CT	TAT
1	0	2	12	12
2	0	4 3 2	13	13
3	0	8 4 3 2	14	14



Highest Response Ratio Next (HRRN)

Response Ratio = $\frac{W+S}{S}$ → wait time + burst time

Priority { ① short job
② long wait time
mode: non preemptive

PNO	AT	BT	CT	TAT	WT
0	0	3	3	3	0
1	2	6	9	7	1
2	4	4	13	9	5
3	6	5	15	9	4
4	8	2	20	12	10



$$RR_2 = \frac{5}{4}$$

$$RR_3 = \frac{3}{5}$$

$$RR_4 = \frac{1}{2}$$

$$RR_3 = \frac{7}{5} = 1.4$$

$$RR_4 = \frac{5}{2} = 2.5$$

Priority Scheduling:

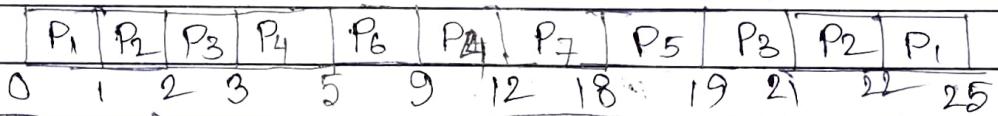
→ Static : Fixed priority

→ Dynamic : Priority changes with time

→ Preemptive

→ Non preemptive

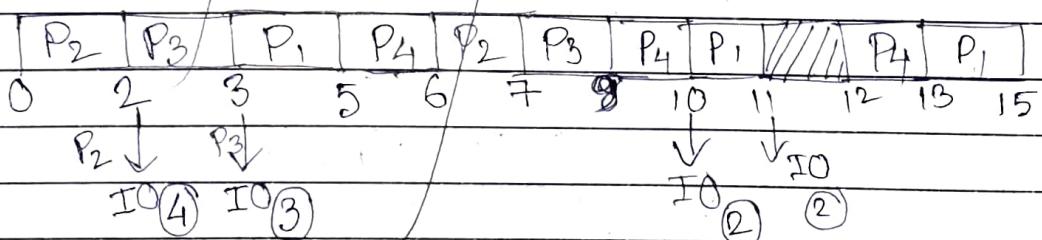
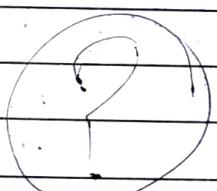
PNO	Prio	AT	BT	CT	TAT
1	2	0	450	25	25
2	4	1	240	22	21
3	6	2	370	21	19
4	10	3	530	12	9
5	8	4	10	19	15
6	12	5	40	9	4
7	9	6	60	18	12



(CPU + IO) time:

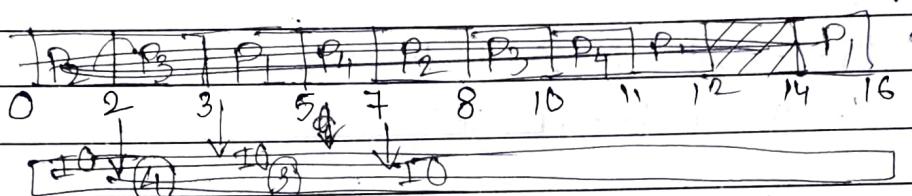
(SRTF)

PNO	AT	BT	IO	BT	CT
1	0	3	X	2	5
2	0	20	4	1	21
3	2	X0	3	2	22
4	5	21	2	1	22



Operating System:CPU + IO timeSRTF

PNO	AT	(BT	IO	BT)
1	0	8	1	2
2	0	2	0	4
3	2	1	0	3
4	5	2	0	2

Multi Level Queue scheduling
(Feedback)

Highest Priority System Process FCFS

Interactive Process SJF

Batch Process RR

Lowest Priority Student Process Priority

After a wait time, promote to higher level of queue
(Feedback)

Process Synchronization:

Interprocess communication :

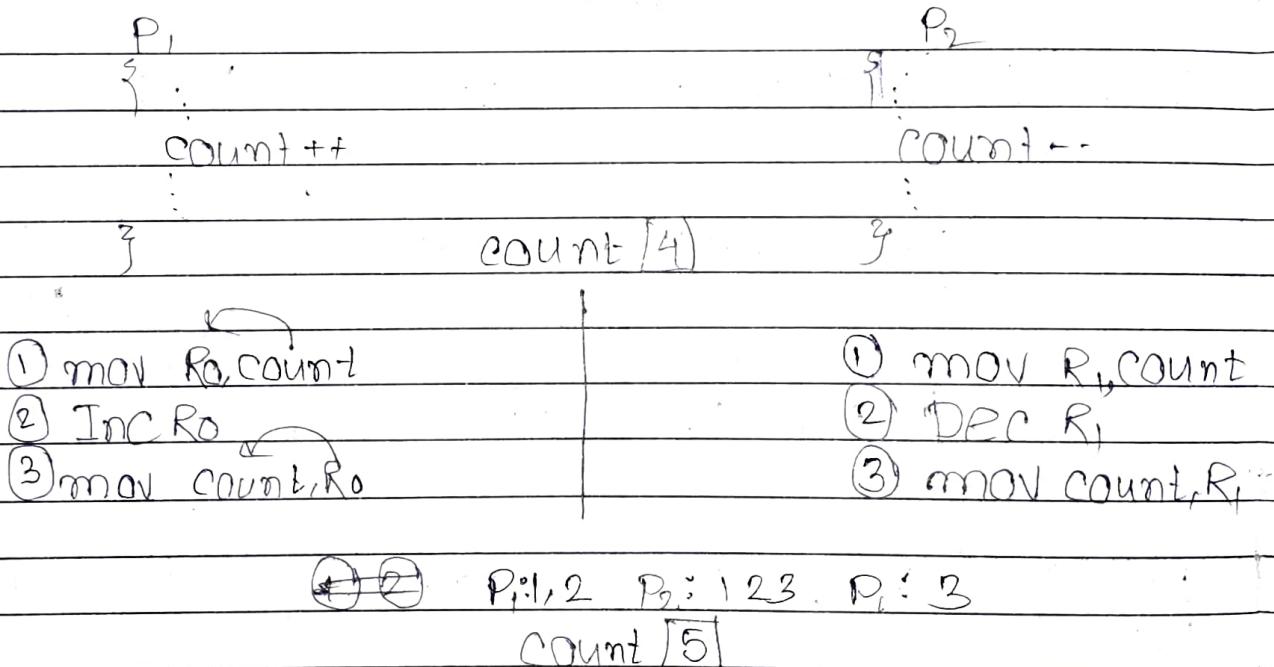
Shared memory

critical section
that access
shared memory

need:

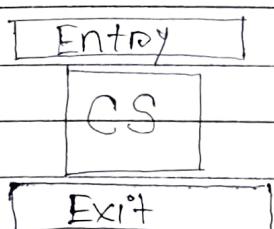
→ preemptive scheduling +
shared memory

Graham's Law: If something could go wrong, it would go wrong.



Race condition: output depends on the order of execution

Solution: Only one process allowed to access the critical section



Synchronization mechanism:

Requirement:

- | | | |
|------------------|--------------------|---|
| <u>Primary</u> | ① Mutual Exclusion | - 2 entry not allowed |
| | ② Progress | - 1 does not want. This must not stop 2 |
| <u>Secondary</u> | ③ Bounded waiting | - avoid starvation |
| | ④ Portability | - architecture neutrality |

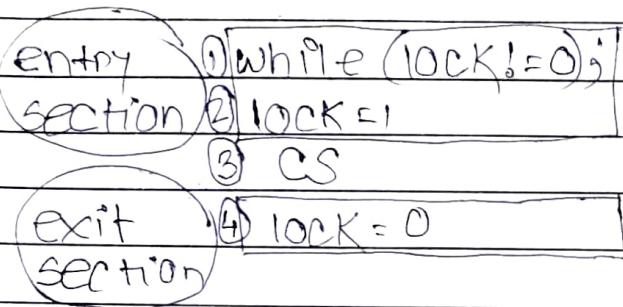
(Requirement)

- with busy waiting
- without busy waiting

↓
 ↳ infinite loop
 ↳ CPU wastage

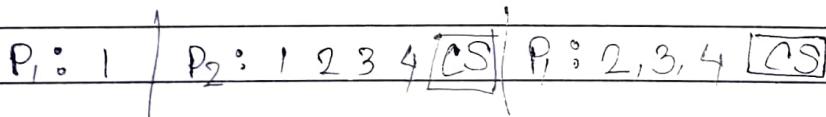
LOCK-variable:

- ① user made
- ② busy waiting
- ③ multiple processes



Problem: Mutual exclusion not guaranteed
 → Preemption after line ① ② ③

1. Load $\overleftarrow{\text{lock}}$
2. Cmp R0,#
3. JNZ ①
4. Store $\overleftarrow{\text{LOCK}, \#1}$
 $\boxed{\text{CS}}$
5. Store $\overleftarrow{\text{LOCK}, \#0}$



Operating System

- | | |
|--|---------------|
| ① Load R ₀ , LOCK | atomic ↴ |
| ② Store R ₀ , #0 | no preemption |
| ③ LD R₀, [R₁] CMP R ₀ , #0 | |
| ④ JNZ ① | |

Mutual exclusion violated only if
preemption occurred after ①

TSL (Test Set Lock)

- ① TSL R₀, LOCK
- ② CMP R₀, #0
- ③ JNZ ①

- ① Mutual exclusion ✓
- ② Progress ✓
- ③ Bounded waiting ✗
- ④ Poptability ✗

Another problem:

Priority inversion problem:

- ① Higher priority process arrives
- ② Process preempted from CS

Now no process can enter CS
↳ Deadlock

(Spin lock)

Disabling Interrupt : (kernel mode)

Preemption is done via interrupt.
If interrupt disabled, no preemption

Disable interrupt

CS

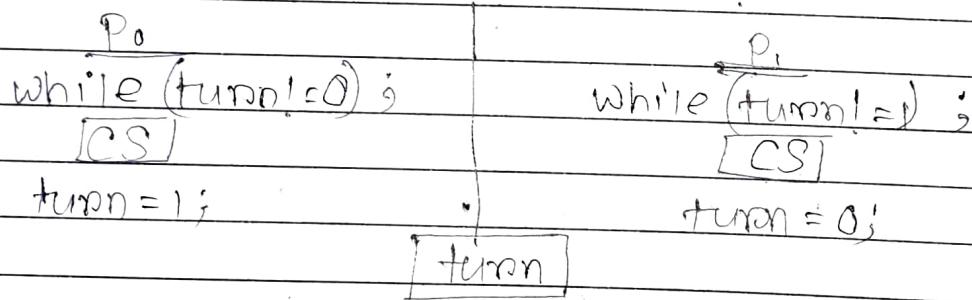
Enable interrupt

- ① Mutual Exclusion ✓
- ② Progress ✓
- ③ Bounded waiting X
- ④ Portability X

Strict Alteration approach /

turn variable :

- ① User mode
- ② busy waiting
- ③ 2 process solution



- ① Mutual exclusion ✓
- ② Progress X
- ③ Bounded waiting ✓
- ④ Portability ✓

Date: 6/8/2020

Interested Variable:

$$\text{Intp}[0] = F$$

$$\text{Intp}[1] = T$$

P₀P₁

$$\text{Intp}[0] = T;$$

while ($\text{Intp}[1] = T$) ;

CS

$$\text{Intp}[1] = T;$$

while ($\text{Intp}[0] = F$)

CS

$$\boxed{\text{Intp}[0] = F;}$$

$$\boxed{\text{Intp}[1] = F;}$$

① Mutual Exclusion ✓

② Progress ✓

③ Bounded Waiting X

④ Portability ✓

Dead lock

$$I_0 = F$$

$$I_1 = F$$

P ₀	P ₁	P ₀	P ₁	P ₀
$I_0 = T$	$I_1 = T$	$I_0 = F$	loop	loop
CS	loop	$I_0 = T$	loop	

(Deadlock)

Peterson's method:

- ① user mode
- ② busy waiting
- ③ 2 process solution (P₀) (P₁)

① Interested [process] { both variable }
② Turn

(P₀) & (P₁)

void entry-section (int process)

int other;

other = 1 - process;

interested [process] = True;

turn = process;

while (interested [other] = True &&
turn = process);

}

void exit-section (int process)

{

interested [process] = False;

}

Operating System

Busy waiting :
 → Infinite loop in entry section, CPU resource waste
 → Priority inversion problem

Sleep and wake up :

~~producer consumer problem~~/
~~dead lock problem~~

count = 0 allocated
 N = 100 buffer size

void producer (void)

{
 int item;
 while (true){

 if (count == N) sleep();

 produce-item();

 count++;

 if (count == 0)

 wakeup (consumer);

 } ← (preemption)

}
 void consumer (void)

int item;

while (true){

 if (count == 0) sleep();

 remove-item();

 count--;

 if (count == N - 1)

 wakeup (producer);

Problem :

→ Preemption problem.
Deadlock

SOLUTION

set flag [while] wake up symbol call

Semaphore :

(Record the wake up calls
(count))

OS support needed

OS provides atomicity to

read

modify

store

+ semaphore variable

① counting semaphore

② binary semaphore (mutual exclusion)

struct semaphore

{ int value;

Queue type L; (blocked processes)

<u>Entry</u>	<u>Exit</u>
Down	Up
Decrement P	V
Wait	Signal

Down (Semaphore S): If the initialization value

```
s.value = s.value - 1;
if (s.value < 0)
    put PCB in L
    sleep();
```

Up (Semaphore S)

```
s.value = s.value + 1;
if (s.value ≤ 0)
    select a process from L
    wakeup();
```

(L) → Queue (Bounded waiting guaranteed)

Binary Semaphore: Mutex

Mutual exclusion

Dining Philosophers Problem:

mutex $m_0[0] \dots m[4]$
 process $P[0] \dots P[4]$

P_i

$\text{wait}(m[i])$, $\text{wait}(m[(i+1) \bmod 4])$
 CS

$\text{release}(m[i])$, $\text{release}(m[(i+1) \bmod 4])$

0, 1, 2, 3

$P_0 : P(m_0); P(m_1)$

$P_1 : P(m_1); P(m_2)$

$P_2 : P(m_2); P(m_3)$

$P_3 : P(m_3); P(m_0)$

(preemption) \rightarrow deadlock

$P_3 : P(m_0) P(m_3)$ (avoids deadlock)

\rightarrow (out of order)

$m_1 P_0 m_0$

m_0

P_3

m_3

m_1

P_1

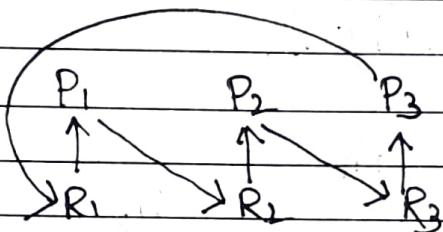
m_2

$m_2 P_2 m_3$

Operating System

Deadlock: A set of processes are said to be in deadlock if they wait for happening of an event caused by others in the same set

- Starvation - long waiting
- Deadlock - infinite waiting



Necessary conditions for deadlock:

- ① Mutual exclusion
- ② Hold and wait
- ③ No preemption
- ④ Circular wait

Resources :

- ① single instance
- ② multi instance

- Max required resources for deadlock
- Min " " to avoid deadlock

Gate
Q3

(n) process \leftarrow (3)
 $R = 6$

$$\frac{6}{2} = 3$$

	P ₁	P ₂	P ₃
<u>deadlock</u>	↑	↑	↑

A	B	C
3	4	6
2	3	5
minimum(11)	no deadlock	(10)

Gate
2005

$P_1 \quad P_2 \quad \dots \quad P_n$

$$\sum (s_i - 1) \leq m$$

$$\sum s_i \leq m+n$$

2006

x_1 y_1

$$\sum x_i =$$

(6_{IP}, 2_Q)

$$x_p + x_q \geq \min_{k \in K} y_k$$

Deadlock Handling

- ① Deadlock ignorance
 - ② Deadlock prevention
 - ③ Deadlock avoidance
 - ④ Deadlock detection and recovery

① Deadlock ignorance:

most popular

ostrich method

both windows & unix

② Deadlock prevention:

disable one of the
necessary conditions

③ Deadlock avoidance:

safe / unsafe

④ Deadlock detection and recovery:

checkpoints

Dead lock prevention:

For dead lock to occur the must conditions

- ① mutual exclusion
- ② hold and wait
- ③ circular wait
- ④ no preemption

If any of the necessary conditions is violated then deadlock does not occur.

① Mutual exclusion:

multiple process can share a resource

Spooling: printer

memory associated with printer which stores the assigned jobs

Problem: ① spool memory limited
② every resource is not sharable

So violating mutual exclusion is not practical.

Operating System

Deadlock prevention

② Hold and wait:

a process is allocated all the required resources at the beginning of the execution.

Now this can be implemented practically only if a process declares its resources initially. Otherwise this is not practical.

Another problem

- ① low efficiency
- ② Starvation

③ No preemption:

Problem: inconsistency

④ Circular wait:

Assign numbers to processes and resources. A process cannot request lower numbered resource.

→ Practically implementable.

Condition	Violation	Practical
Mutual exclusion	Spooling	X
Hold and wait	Request all resources initially	X
No Preemption	Take resources away.	X
Circular wait	Assign numbers	✓

Deadlock Avoidance :

Request for any resource will be granted if only the resulting resource state does not cause deadlock.

- Safe state
- Banker's algorithm
- maximum number of resources that a process require

Assigned

Process	R1	R2	R3	R4
A	3	0	2	2
B	0	0	1	1
C	1	1	1	0
D	2	1	4	0

Needed

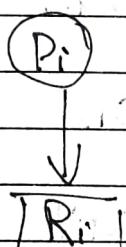
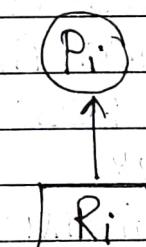
Process	R1	R2	R3	R4
A	1	1	0	0
B	0	1	1	2
C	1	2	1	0
D	2	1	1	2

$$T = \begin{pmatrix} 7 & 6 & 8 & 4 \end{pmatrix} \quad (\text{Total})$$

$$P = \begin{pmatrix} 6 & 2 & 8 & 3 \end{pmatrix}$$

$$F = \begin{pmatrix} 1 & 4 & 0 & 1 \end{pmatrix}$$

Resource allocation graph: (RAG)



assigned

Request

→ Single instance resources :
cycle \Rightarrow deadlock

→ ~~all~~ multi instance resource :
cycle : necessary but
not sufficient

Allocation matrix :

Request matrix :

③ Deadlock detection and recovery :

OS periodically checks for deadlocks

Detection

Single
instanced

↓
Detect
cycle

Multiple
instanced

↓
Safety
algorithm
(Bankers' algo,
Allocation matrix)

Recovery :

Resource \rightarrow Preempt : choosing a
resource to pre-
empt is tough

Kill a process:

choosing a process to kill
is tough.

Kill the process with least
amount of work done.

Kill all process:

In efficient

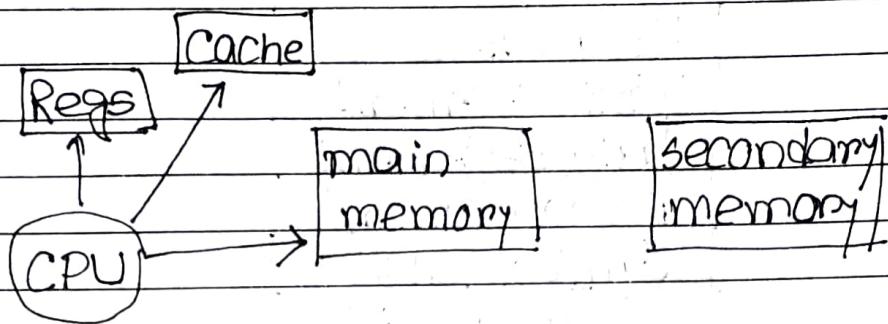
Rollback to a safe state:

Keep check points.

Roll back to last safe state.

Operating System:

Memory management:

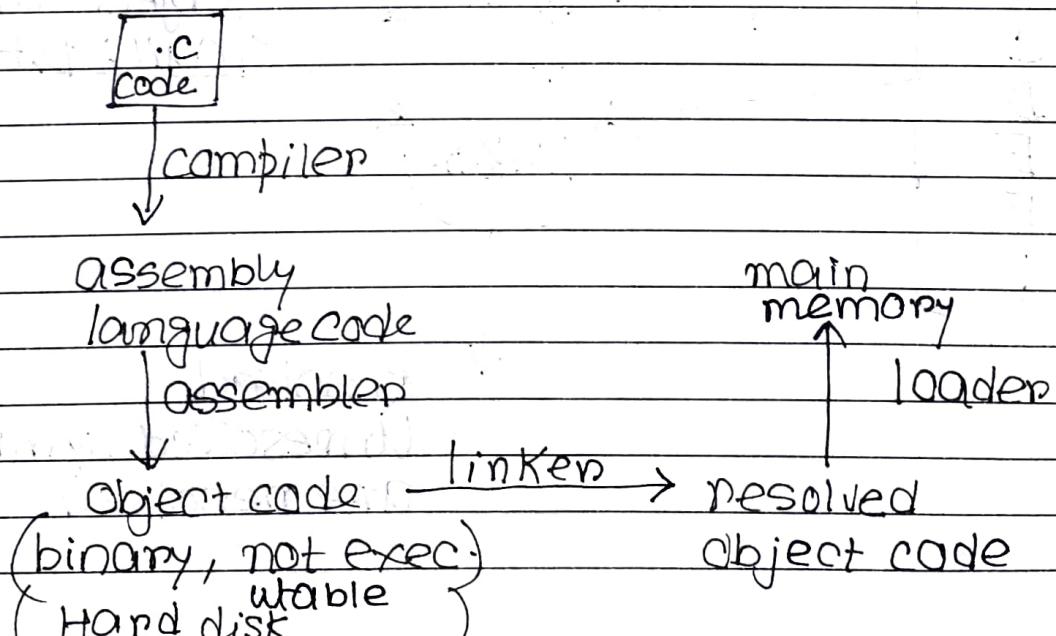


more main memory → higher degree of multiprogramming
 higher more processes can be loaded → Higher CPU utilization

need for memory manager:

- ① multiprogramming
- ② Security

Process Creation:



Object code

Header
Text segment
Data segment
Relocation info
Symbol table
Debugging info

Relocatable address (w.r.t. 0)

↓ (Relocation)

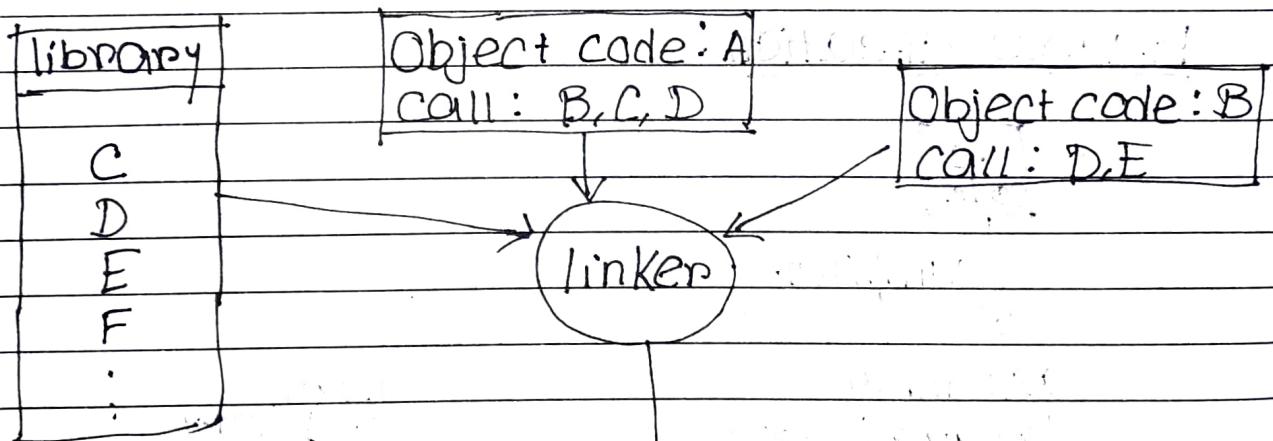
Absolute address (main)

(w.r.t. the address memory)
when it is loaded

Symbol table

mini()	100	
printf()	—	→ unresolved

external/ internal
function/ variable name



Resolved
Unresolved symbols
are resolved

Searching for external references

- (i) same directory
- (ii) system library
- (iii) user library

Linker (2) Phase :

Phase I: (i) segment table : segments to be loaded
 (ii) symbol table : symbols to be resolved

Phase II: Resolve unresolved references

Starting address: 0

(linker does not know)
 absolute address

→ Static linking

→ dynamic linking — leave unresolved
 (shared library) ↗ (stub/glue code) ↘

Ex: printf

↗ resolved later during execution

with the help of OS.

: OS searches for printf in memory (main)

Adv: (i) version change

(ii) low memory

Disadv: Page fault

If no other program is using for printf, then it is not present in the main memory. So it needs to be loaded

If compiler knows the absolute (main memory address where the process will be to loaded → absolute address is used then)

compile time binding

If linker knows the odd main memory address where the process will be to loaded → absolute address

link time binding

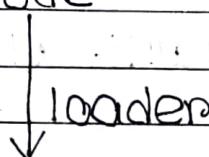
Static linking : OS support not needed

Loader:

loader knows the address of main memory where the process will be loaded.

linked object code

loader must be present in main memory



Absolute address code

① Program loading:

Hard disk → main memory

② Relocation: absolute address

Load time depends on program size

Compile time: symbolic names → relocatable address

Runtime:① Dynamic linking (DLL)

- OS support required

to search for the linked library.

A process is not allowed to access beyond its allocated space.

Q2001
Q2003

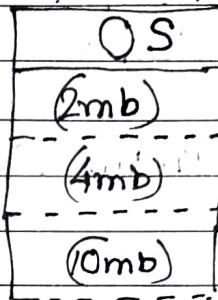
Memory management techniques:

→ Contiguous memory allocation

Fixed partitioning:

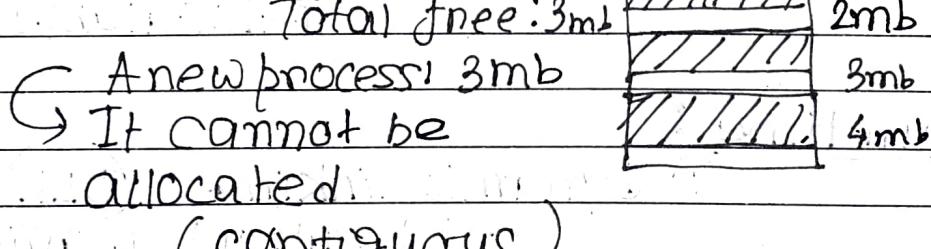
partitions can be
of different sizes

① Internal fragmentation:
Space wastage



② Maximum process size limited:
unless virtual memory used

③ External fragmentation:



④ Degree of multiprogramming is limited

Relocation:

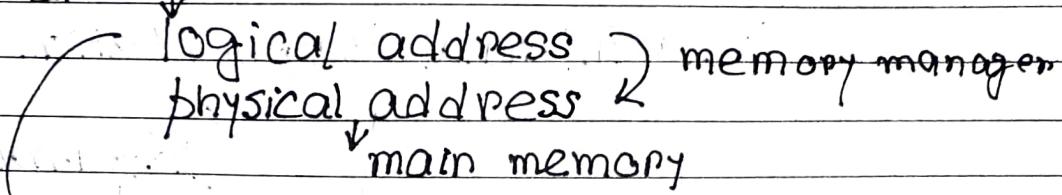
& Relocation by loader \rightarrow software protection approach

problem: preemption - when the process is loaded again off in main memory, conflict happens.

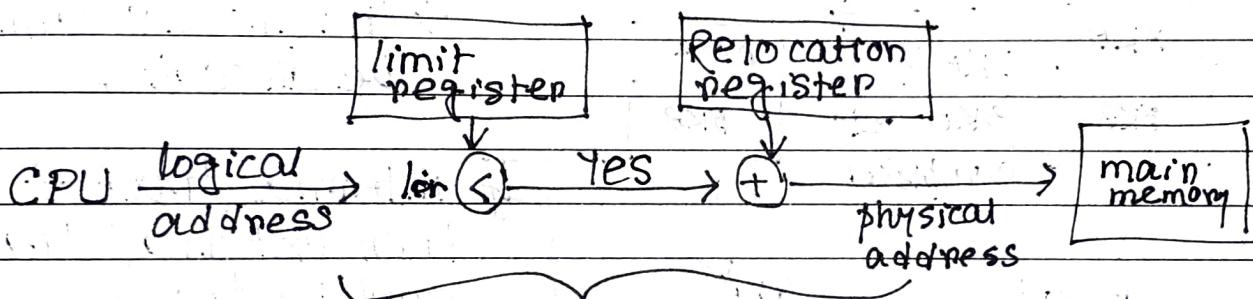
Solution: Run time binding.

(instead of load time binding)

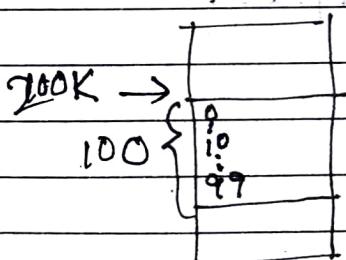
CPU \rightarrow



it assumes the starting address as 0



memory manager
(contiguous allocation)
 \rightarrow partitioning



limit register: 100 (protection)

relocation register: 200K (relocation)

swap out & swap in: relocation register value changes

swap out &
swap in :

limit register &

relocation pg

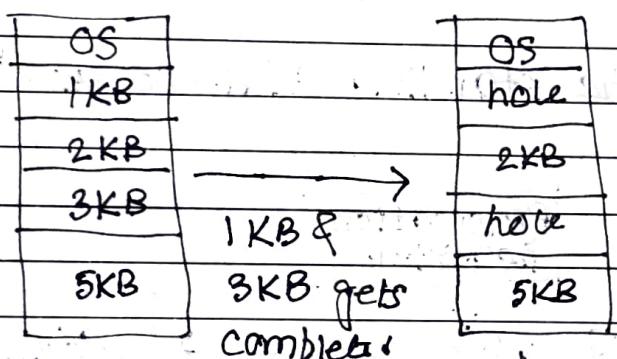
must be reserved

(mem must not be given
to any other process)

Dynamic partitioning :

According to the
requirement of the processes

→ no internal fragmentation.



cannot

be allocated

4KB

process

comes

(External frag :

→ a process comes
→ memory available
(not contiguous)
→ the process cannot
be allocated

If internal frag →
then external frag
must be present

External fragmentation

(Reason : contiguous)

Operating System

Contiguous memory allocation:

Dynamic partitioning:

External fragmentation:

solution: compaction

compaction/:

defragmentation

pull the free spaces and put them together

problem → all the processes need to be copied (relocated)

Keeping track of free & occupied spaces:

Bitmap:

allocation unit (defined)

one bit → one allocation unit

hole : 0

occupied : 1

allocation unit size ↓ bitmap size ↑



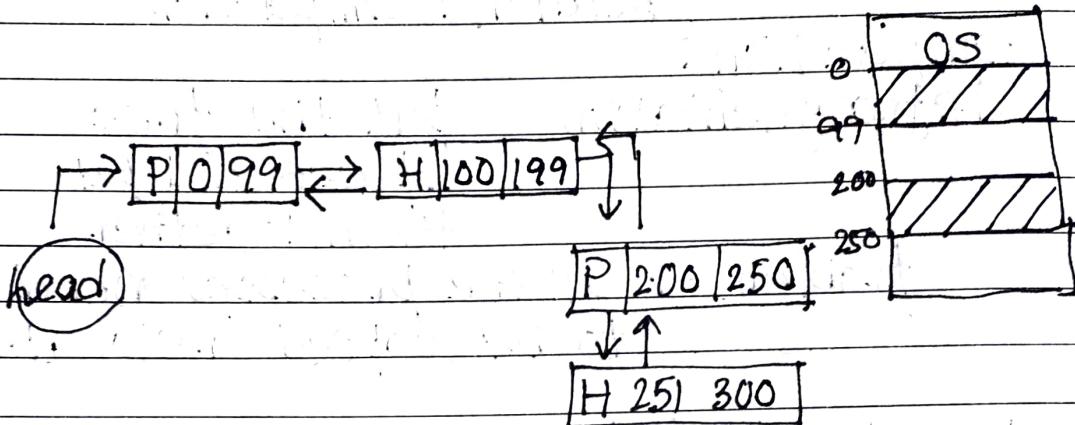
hole

hole

→ compaction requires searching (I mean the whole bit map)

Linked list:

node | id : process(p) / hole(h)
 Start :
 end :



- ① maintain the nodes in increasing order of starting address
- ② double linked list

Process allocation in a hole: both fixed & dynamic partitioning① First fit:

Search from the begin head till the first hole big enough to hold the requested process

$\boxed{\text{size(hole)} \geq \text{size(process)}}$

\hookrightarrow break into two part \rightarrow process

\rightarrow new hole

\rightarrow fast

\rightarrow malloc uses first fit

(2) Next fit: instead of searching from the head, search from the point left earlier.

(experimentally next fit is not better than first fit, so not popular.)

(3) Best fit:

- Smallest possible hole
- search the entire list

time high

problem: many small holes get created, so which cannot be allocated to any other process
so space wastage

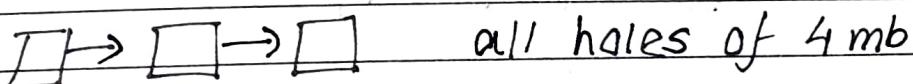
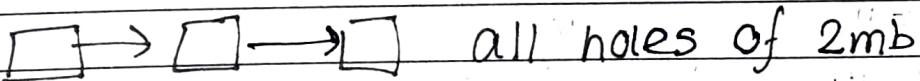
(4) Worst fit:

- Largest hole
- search the entire list

(5) Quick fit:

- Most frequently used sizes
- Linked list of that

Say 2mb, 4mb most frequently used



Problem: freeing a process creates is expensive

Date: 26/8/2020
Page No.

				800
200	270	260	270	
				560

460	440			
3				
153	200	153	260	154

155	460			
200				
260	50	260		<u>540 KB free</u>

270				180
181				
	180	200	180	260

→ denied

best fit

fixed partitioning

4K 8K 20K 2K

Job	J1	J2	J3	J4	J5	J6	J7
	2K	14K	3K	6K	10K	20K	2K
Execution time	4	10	2	1	1	8	6

4K	8K	20K	2K
----	----	-----	----

t = 0

J3 J4 J2 J1

t = 10

J5

t = 14

J6

t = 19

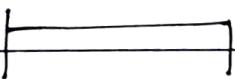
(14+8) = 19

Operating System

Memory management :

Partitioning (contiguous) allocation

Static



Dynamic

Equal sized

Different sized

maximum process size

is limited by the maximum
of allocated partition size

solution

overlays → done by user

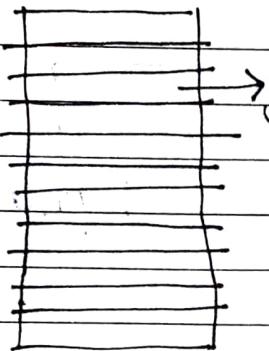
→ load only the required parts
of a process

similar to virtual memory
done by OS

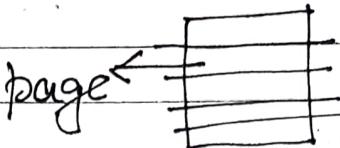
Paging:

non-contiguous memory allocation

main memory

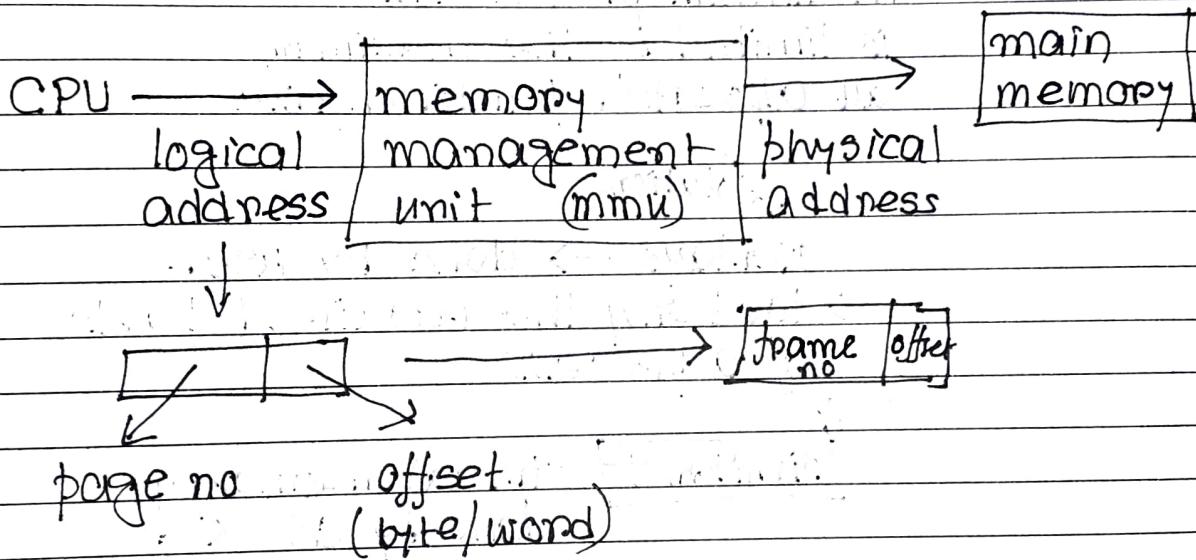


process



$$|\text{frame}| = |\text{page}|$$

→ no more external fragmentation



process = 16 B
page = 4 B

main memory = 64 B
frame size = 4 B

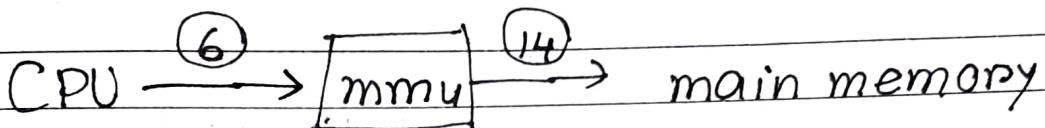
	P ₁			
0	0	1	2	3
1	4	5	6	7
2	8	9	10	11
3	12	13	14	15

byte

0	0	1	2	X	X
1	4	5	6	X	
2	8	9	10	11	P ₁
3	12	13	(14)	15	P ₁
4	16	17	18	19	P ₁
5	20	21	22	23	P ₁
6					
15					

⑥

⑭



page
table (every table
has a page)

page no	0	f2	table
	1	f3	frame
	2	f4	no
	3	f5	

process.size = 16 B
(Byte addressable) ④ bits

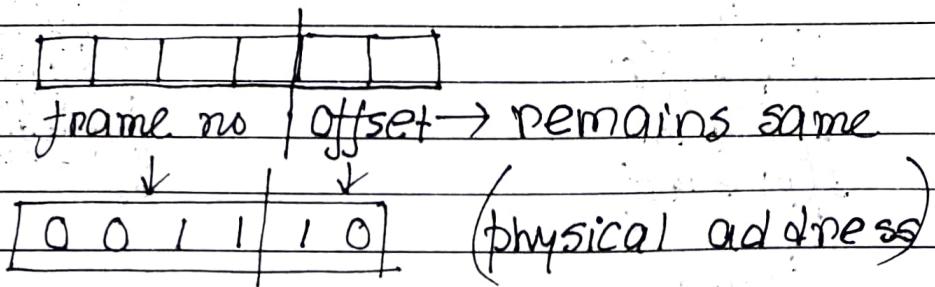
page no [0] 1 1 0

page size | offset (byte no)

= 4 B
② bit to
denote page

page → frame
no (page)
table

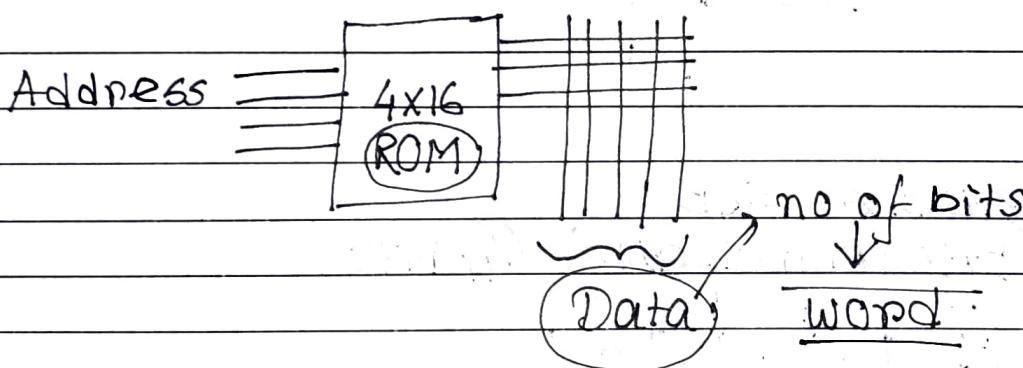
main memory 64 B
byte addressable
(26)



Every process has its own page table.

$$\begin{aligned}
 2^{10} &= K \quad \text{Kilo} \\
 2^{20} &= M \quad \text{mega} \\
 2^{30} &= G \quad \text{giga} \\
 2^{40} &= T \quad \text{Tera}
 \end{aligned}$$

word : smallest addressable unit
in the memory



Operating SystemMemory management:

Physical address space = size of main memory

$$= 128 \text{ KB}$$

$$= 2^7 \times 2^{10} \text{ B}$$

$$= 2^{17} \text{ B}$$

$$\text{Word} = 4 \text{ B} = 2^2 \text{ B}$$

$$= \frac{2^{17}}{2^2} \text{ words}$$

$$= 2^{15} \text{ words}$$

$$\text{Physical address} = [15 \text{ bits}]$$

Logical address space = size of a process

virtual memory : $\begin{pmatrix} \text{process} > \text{main memory} \\ \text{size} & \text{size} \\ \downarrow & \end{pmatrix}$

only the required part is put in main memory

Also, virtual memory can be used in all processes to increase the degree of multiprogramming

$$\text{page size} = \text{frame size} = P \text{ words}$$

$$= (\log_2 P) \text{ bits}$$

(page) offset

no of bits required to identify each word of a page uniquely.

PAS = main memory size = M words

LAS = process size = L words

Page size = P words

$$PA = \lceil \log_2 M \rceil = m \quad LA = \lceil \log_2 L \rceil = l$$

Page offset = no of bits required to uniquely identify a word within a page
 $= \lceil \log_2 P \rceil = p$

$$PAS = 128 MB = 2^{27} B$$

$$LAS = 1 MB = 2^{20} B$$

$$PS = 4 KB = 2^{12} B$$

$$1 \text{ word} = 1 B$$

Page table:

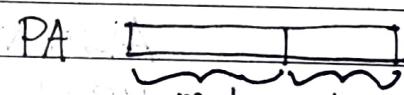
$$\# \text{page in the process} = 2^{l-p}$$



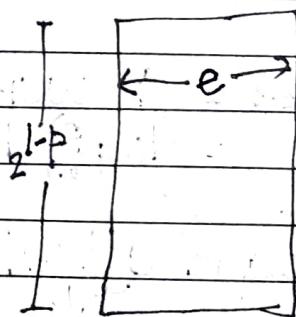
page no page offset

main memory:

$$\# \text{frames} = \left(\frac{2^m}{2^p} \right) = 2^{m-p}$$



frame no



page table entry size

$$= 2^{l-p} \times e$$

$$= (2^{l-p}) \times (m-p)$$

(normally $e > m-p$) \rightarrow to save

page table other informations

entries in page table = # pages in the process

$e = \text{each entry size}$

$$= m-p$$

Date: 27/8/2020

Multi level paging :

(Byte addressable) LA = 22 bits LAS = 2^{22} B
 PS = 4 KB = 2^{12} B
 offset = ... 12

$$\# \text{ pages} = 2^{22-12} = 1K$$

⊕ page table entry size = 4 B

$$\text{PTS} = 4 \text{ KB}$$

If (Page Table Size > Page size)

Page Table itself needs to be divided into pages

Byte addressable LA = 32 bits LAS = 2^{32} B
 PS = 4 KB = 2^{12} B
 $\# \text{ pages} = 2^{20}$

PA = 44 bits (main memory = 2^{44} B)
 $\# \text{ frame} = 2^{32}$

page table : (PT2)

$$\# \text{ entries} = 2^{20}$$

entry size = 32 bits (minimum)
 $= 2^5 \text{ B}$

$$\text{PTS} = 2^{22} \text{ B}$$

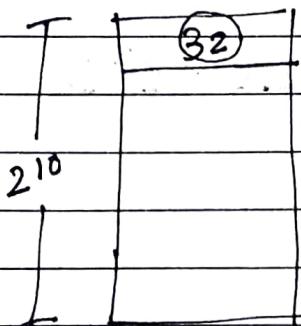
$$= 4 \text{ MB}$$

needs to be divided into pages

{ cannot fit into one frame in the main memory }

$$\# \text{ pages} = \left(\frac{4 \text{ MB}}{4 \text{ KB}} \right) = 1K \quad (2\text{nd level paging})$$

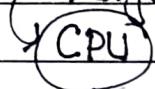
2nd level page table (PT1)



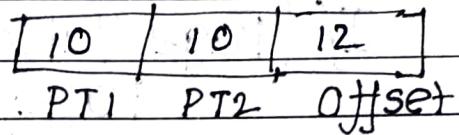
entry size

= 32 bit (minimum)
(since it must denotes
a frame)

Page Table Base address (Register)



LA (32 bits)



Operating SystemVirtual memory:

Illusion that
main memory = secondary memory

Example:

LA	Page size	PTE
48	16 KB	4B

(Byte Addressable)

$$LA = 48 \text{ bits}$$

$$\text{Page size} = 16 \text{ KB} = (2^4 \times 2^{10}) \text{ B} \\ = 2^{14} \text{ B}$$

$$\# \text{Page} = 2^{48-14} = 2^{34}$$

PT	PTE = 4B
Level 1	PTS = $(2^{34} \times 4) \text{ B} = (2^{34}) \text{ B}$

PT	# page = $2^{34-14} = 2^{20}$
Level 2	PTE = 4B PTS = $(2^{20} \times 2^2) = 2^{24} \text{ B}$

PT	# page = $2^{24-14} = 2^{10}$
Level 3	PTE = 2 ² PTS = 2^{10} B

-8	14	14	14
----	----	----	----

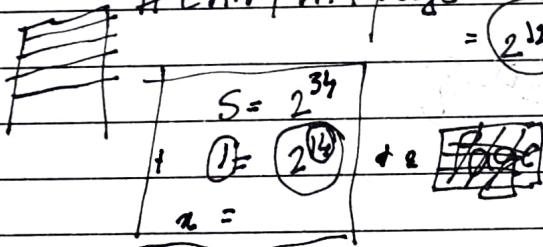
$$1. \text{ page table entry} = 4B$$

$$\text{Page size} = 2^{14} \text{ B}$$

$$= 2^{12}$$

12	14
----	----

entry in 1 page



10	12	12	14
----	----	----	----

PT3 PT2 PT1 Offset

$$LA = 64B$$

$$\text{Page size} = 1 \text{ mB MB} = 2^{20} \text{ B}$$

$$PTE = 4B$$

Q.

PT1

$$\begin{aligned} \# \text{ pages} &= 2^{44} \\ PTE &= 2^2 \\ PTS &= 2^{56} 2^{46} \text{ B} \end{aligned}$$

PT2

$$\begin{aligned} \# \text{ pages} &= 2^{26} \\ PTE &= 2^2 \\ PTS &= 2^{28} \end{aligned}$$

PT3

$$\begin{aligned} \# \text{ pages} &= 2^8 \\ PTE &= 2^2 \\ PTS &= 2^{10} \end{aligned}$$

8	18	18	20
---	----	----	----

$$\text{Page size} = 2^{20}$$

$$PTE = 2^2$$

$$\# \text{ Entry} = 2^{18}$$

$$LAS = 4 \text{ MB} = (2^2 \times 2^{20}) \text{ B} = 2^{22} \text{ B}$$

$$PS = 4 \text{ KB} = (2^2 \times 2^{10}) = 2^{12} \text{ B}$$

$$PTE = 2^2$$

$$\# \text{ pages} = 2^{8+10}$$

$$2^{10} 2^8 \times 2^2 = 2^{12}$$

Fill in single
level

$$a = 62$$

$$2^4 = 16 \text{ B}$$

$$2^2 = 4$$

27/8/2020
Date: / / Page

$$\text{Page size} = 4 \text{ KB} = 2^{12} \text{ B}$$

$$\text{PTE} = 4 \text{ B} = 2^2 \text{ B}$$

$$\text{PTS} = 4 \text{ KB} = 2^{12} \text{ B}$$

$$\# \text{page} = \# \text{entry} = 2^{10}$$

$$\text{LA} = (x)$$

$$\# \text{page} = \frac{2^x}{2^{12}} = 2^{10}$$

$$\text{LA} = [x = 22]$$

$$\begin{aligned} \text{LAS} &= 2^{22} \text{ B} \\ &= 4 \text{ MB} \end{aligned}$$

$$\text{Page size} = 4 \text{ KB} = 2^{12} \text{ B}$$

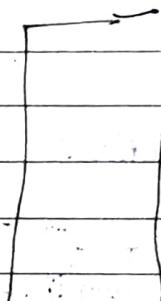
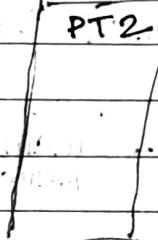
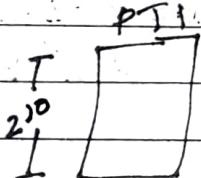
$$\therefore \text{PTE} = 4 \text{ B} = 2^2$$

$$\text{Outer PTS} = 4 \text{ KB} = 2^{12} \text{ B}$$

+ page

$$\# \text{entry/page} = 2^{10}$$

Two level paging



(PTS)

$$\text{PT2 size} = 2^{10}$$

page size

$$\text{PT2 size} = 2^{10+12}$$

$$= 2^{22}$$

$$\# \text{entry} = 2^{20}$$

$$\frac{\text{LAS}}{2^{12}} = 2^{20}$$

$$\text{LAS} = 2^{32}$$

$$\boxed{\text{LAS} = 4 \text{ GB}}$$

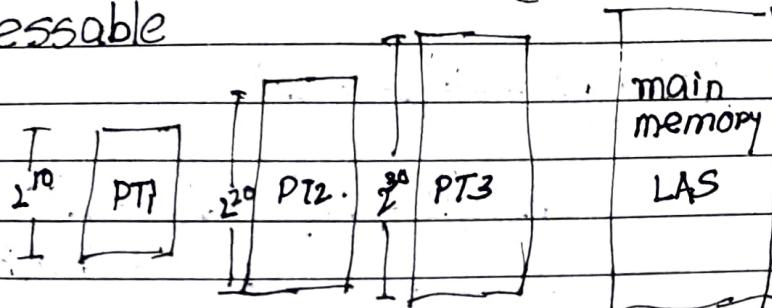
3 level
paging

$$\text{Page size} = 4 \text{ KB} = 2^{12} \text{ B}$$

$$\text{PTE} = 4 \text{ B} = 2^2 \text{ B}$$

$$\text{outermost (page) size} = 4 \text{ KB} \\ (\text{table}) = 2^{12} \text{ B}$$

Byte
addressable



$$\underline{\text{PT2}} = 2^{22} \quad \text{PT3} = 2^{32} \quad \text{LAS} = 2^{42} \\ = 4 \text{ TB}$$

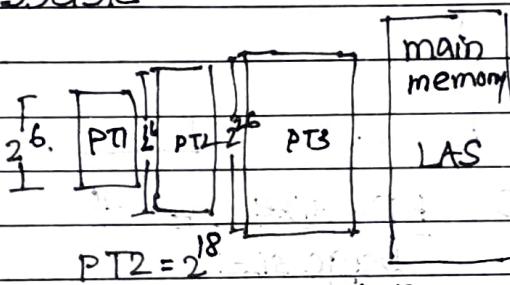
—X—

3 level
paging

$$\text{page size} = 4 \text{ KB} = 2^{12} \text{ B} \\ \text{PTE} = 2^2 \text{ B}$$

Byte
addressable

$$\text{outermost page table} = 256 \text{ B} \\ = 2^8 \text{ B}$$



$$\text{PT2} = 2^{18}$$

$$\text{PT3} = 2^{16+12}$$

$$= 2^{28}$$

$$\text{LAS} = 2^{26+12}$$

$$= 2^{38}$$

8 GB

2⁸ GB

256 GB

—X—

Operating System

2001 main

$$\text{memory} \rightarrow \text{VAS} = 64 \text{ MB}$$

$$= 2^6 \times 2^{20} \text{ B}$$

$$= 2^{26} \text{ B}$$

$$\# \text{frame} = 2^8$$

$$\text{VAS} = 32 \text{ bit}$$

$$\text{PS} = 4 \text{ KB}$$

$$= 2^{12} \text{ B}$$

$$\# \text{entry} =$$

$$\# \text{page} = 2^{20}$$

(24)

24

$$\text{PTE} = 14$$

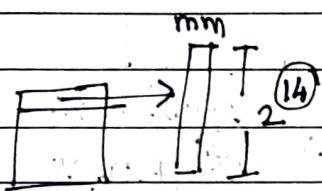
$$\text{PTS} = 2^{20} \times 2^{14}$$

$$= 2^{34}$$

$$= 8 \text{ GB}$$

$$\frac{14 \times 2^{20}}{J} \quad [14 \text{ mb}]$$

bits



$$\frac{14 \times 2^{20}}{8 \times 2^3} \text{ B} \approx 2 \text{ MB}$$

Initially virtual memory was used
as only when process size $>$ main memory size.

Nowadays, virtual memory is used in all cases to increase the degree of multiprogramming.

2008

$$\text{PA} = 36 \text{ bits}$$

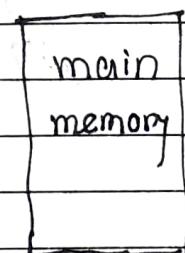
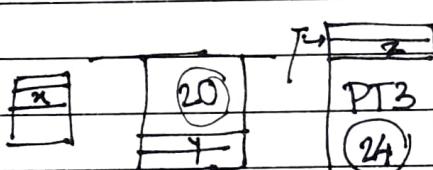
$$\text{LA} = 32 \text{ bits}$$

$$\text{PS} = 4 \text{ KB} = 2^{12} \text{ B}$$

$$\text{PTE} = 4 \text{ B} = 2^2 \text{ B}$$

2	9	9	12	
PT1	PT2	PT3	offset	

~~address~~



$$36 - 12 = 24$$

$$\# \text{entry} =$$

$$\# \text{entry} = 2^{20}$$

$$\text{size} = 2^{20} \times 2^4$$

$$2^{20} \times 2^4 /$$

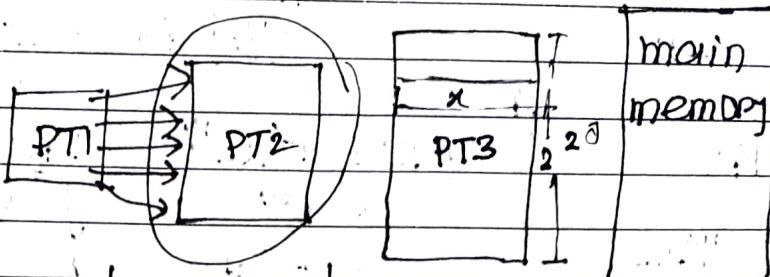
PA = 36 bits

LA = 32 bits

$$PS = 4 KB = 2^{12} B$$

$$PTE = 4 B = 2^2 B$$

		24	
2	9	9	12



$$(36 - 12) = 24$$

$$\# \text{pages} = 2^{20} \quad \text{24 frames}$$

$$x > 24$$

$$PTS = x \cdot 2^{20}$$

$$x = 24$$

⑨ 29

PT2

$$\# \text{pages} = 2^2 = 4$$

PT3

$$\# \text{pages} 2^9 \times$$

$$(1 \text{ page}) = 2^{12} B$$

$$1 \text{ entry} = x$$

$$1 \text{ page} \frac{2^{12}}{x}$$

$$\frac{2^{12}}{x} = 2^9 \Rightarrow x = 2^3 = 8$$

$$\text{PT2 } 26 \quad PTS = 27 \times 2^{20}$$

$$\frac{2^{10} \times 2^2}{2^{12}}$$

$$\# \text{pages} = \#$$

$$\frac{24 \times 2^0}{2^2 \times 2^{20}}$$

$$2^{12}$$

$$2^{10}$$

$$VA = 32$$

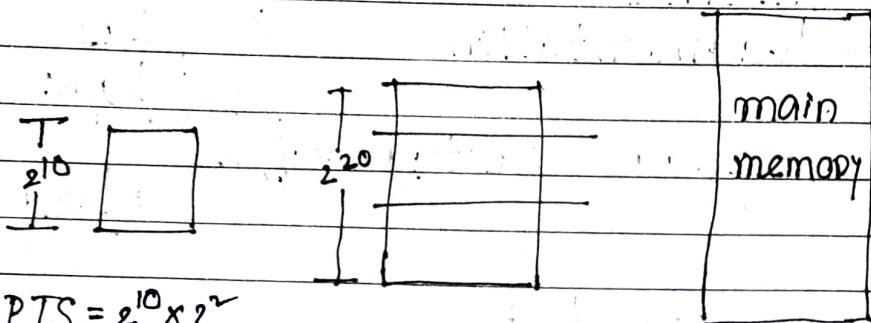
$$PA = 32$$

Byte addressable

TWO level paging

$$PS = 4 \text{ KB} = 2^{12} \text{ B}$$

$$PTE = 2^2 \text{ B}$$



$$PTS = 2^{10} \times 2^2$$

$$= 2^{12}$$

$$PTS = 2^{20} \times 2^2$$

$$2^{32}$$

$$\boxed{4 \times 8 - 20} \\ = 12$$

$$= 2^{22}$$

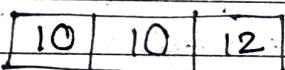
$$\boxed{4 \times 8 - 20} \\ = 12$$

$$\# \text{frames} = 2^{20}$$

~~(confusing)~~

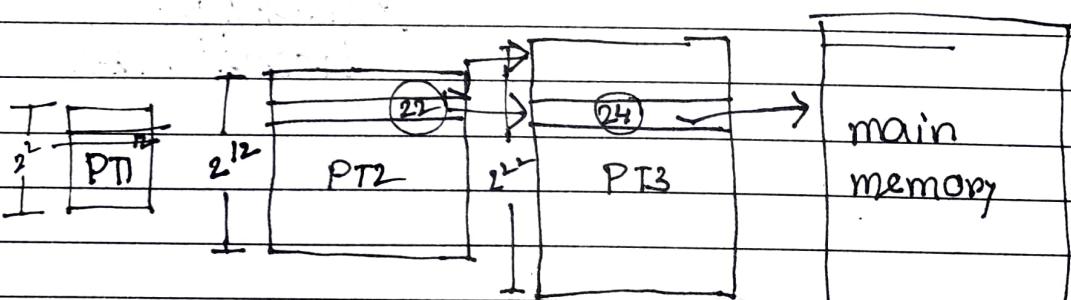
1 page = 2^{10} entries

LA



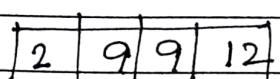
22, 24

— X —



$$2^x = \frac{x \times 2^y}{2^z}$$

$$2^{12} = \frac{10 \times 2^8}{2^{12}}$$



$$\frac{2^{32} \times 2^2}{2^{12}} = 2^{22}$$

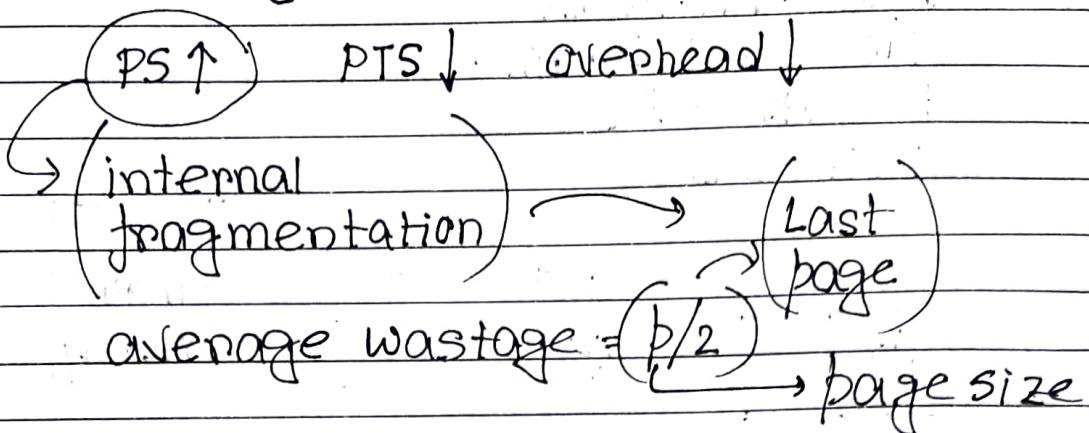
36 - 12

224

$$\# \text{frame} = 2^{24}$$

28/08/2020
Date: / /

Optimal page size:



$$\text{page size} = p \cdot B$$

$$\text{PTE} = e \cdot B$$

$$\text{avg VAS} = s \cdot B$$

$$\text{avg overhead} = \left(\frac{p}{2} \right) + \left(\frac{s}{p} \times e \right) \rightarrow \text{last page of process}$$

$$O = \frac{p}{2} + \frac{se}{p}$$

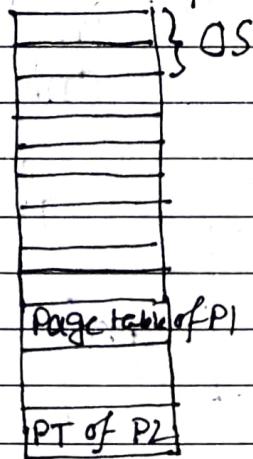
$$\frac{dO}{dp} = \frac{1}{2} - \frac{se}{p^2}$$

$$p = \sqrt{2se} \rightarrow \text{PTE}$$

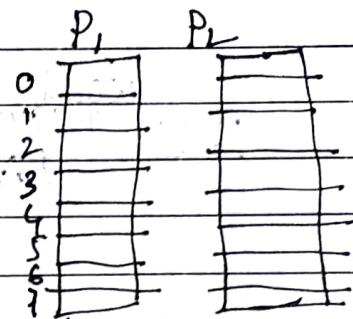
→ average process size

Operating SystemVirtual memory :

main
memory



secondary
memory



Page table of P1

(8 pages)

Page no.	Frame no	P/A		
		I	D	R
0				
1				
2				
3				
4				
5				
6				
7				

Present / (valid bit) :: 1 → present in main mem
absent bit 0 → not present in main mem

. Dirty : After being loaded
bit in main memory,
modified modified or not.

Reference : In last clock cycle, is it
page is referred or not

violated

Trap

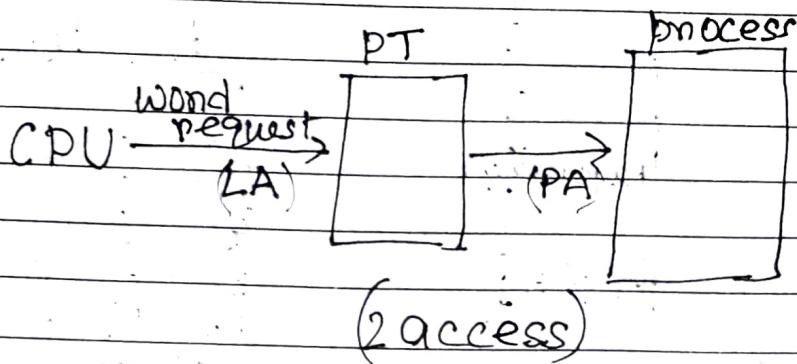
Protection : permission to modify
read/write/execute

28/08/2020
Date: / /

Page Fault: → stop the process
→ read the page from secondary

Locality of reference:

in page table put
only these pages



Cache memory: cheaper than registers
faster than main memory

Translation

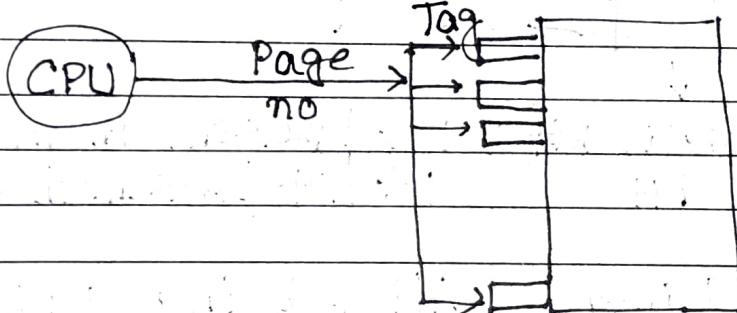
Look aside

Buffer

(TLB)

Associative
memory

key



TLB holds the frequently used
(page table entries)

28/8/2020
Date: / / Page: / /

$$\begin{aligned}
 & \text{cache/TLB hit} : p \\
 & \text{cache/TLB miss} : (1-p) \\
 & \left[\begin{array}{c} \text{hit} \quad \text{miss} \\ p(t+m) + (1-p)(t+m+m) \end{array} \right] \rightarrow \\
 & \begin{array}{ccccc} \text{cache} & \text{main} & \text{access} & \text{page table} & \text{paging} \\ \text{access} & \text{memory} & \text{time} & \text{access time} & (\text{main mem}) \end{array} \\
 & \left[p(t+m) + (1-p)(t+km+m) \right] \rightarrow K \\
 & \begin{array}{c} \text{level} \\ \text{page} \\ \text{table} \end{array}
 \end{aligned}$$

Ex: —x—

TLBA : MA TLBH : PT level

20 ns 100 ns 80%

$$0.8(20+100)+0.2$$

$$(20+100+120)$$

(no page fault)

$$= (6.8 \times 120) + (6.2 \times 220)$$

$$960+$$

$$= 96 + 44 = 140$$

" " "

2

$$0.8(20+100)+$$

$$0.2(20+2 \cdot 100 + 100)$$

$$= (0.8 \times 120) + (0.2 \times 320)$$

$$= 96 + 64$$

$$= 160$$

—x—

(no page fault)

29/08/2020
Date: / /

Demand paging :

DO not load any page in main memory until it is required.

Page fault :

present bit : 0

not present in main memory

↓ user process

Trap (hardware) interrupt

↓ context switch

OS

↓ read request

Read from secondary mem

↓ context switch

OS gives up control

Thrashing: page fault > 50% (normally)

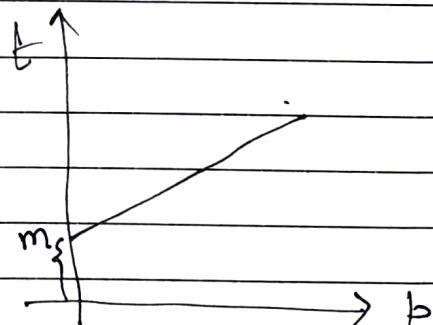
Page fault time / service time :

$$\text{Ex: } p(j+m) + (1-p)m$$

$$\frac{1}{10^6} \cdot 10 + \frac{9}{10^6} \cdot 20$$

$$\frac{1}{10} \cdot 10 \cdot 10^6$$

$$= p(j+m) + (1-p)m \\ = pj + pm + m = pm$$



$$t = pj + m$$

$$\frac{1}{10^6} \cdot 10 \cdot 10^6 + 20 \\ = 30 \text{ ms}$$

Operating System

Ex :

$$\text{page fault time} = 100$$

$$\text{replace dirty page} = 300$$

$$\text{main mem} = 1$$

(b) page fault rate

(b) (page fault \rightarrow dirty) rate

$$\text{avg access time} = p \left(p \frac{300}{400} + (1-p) \frac{100}{400} \right) + m$$

$$= p(400p + 100 - 100p) + m$$

$$= p \cdot 300p + 100p + m = 1$$

$$200p^2 + 100p + 1 = 3$$

$$\boxed{200p^2 + 100p - 2 = 0}$$

$$300p^2 + 100p + 1 = 3$$

$$300p^2 + 100p - 2 = 0$$

$$10000 + 4.2 \cdot 200$$

$$11600$$

$$10000 + 4.2 \cdot 300$$

$$12400$$

$$100 \cancel{+ 110} < 110$$

$$\sqrt{b^2 - 4ac} < 110$$

$$p = \frac{-100 \pm \sqrt{b^2 - 4ac}}{2 \cdot 200 \cdot (-2)} \Rightarrow \frac{-100 \pm 110}{-800}$$

$$\cancel{-100 + 110}$$

2a)

$$\frac{11}{40}$$

$$\frac{220}{800}$$

$$\boxed{-100 - 110}$$

$$\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

Answering

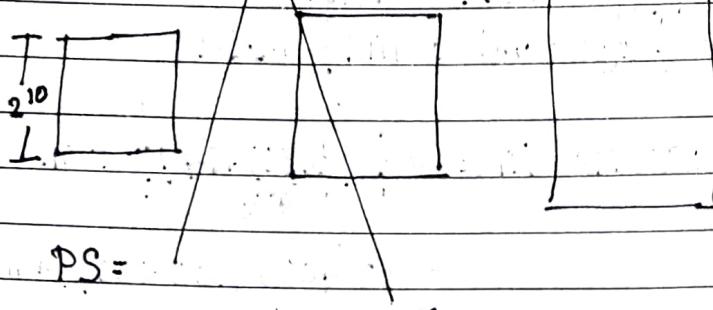
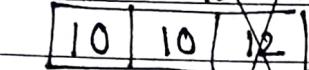
-----x-----

~~VA(LA) = PA = 32 bits~~

~~Byte addressable~~

~~2 level paging~~

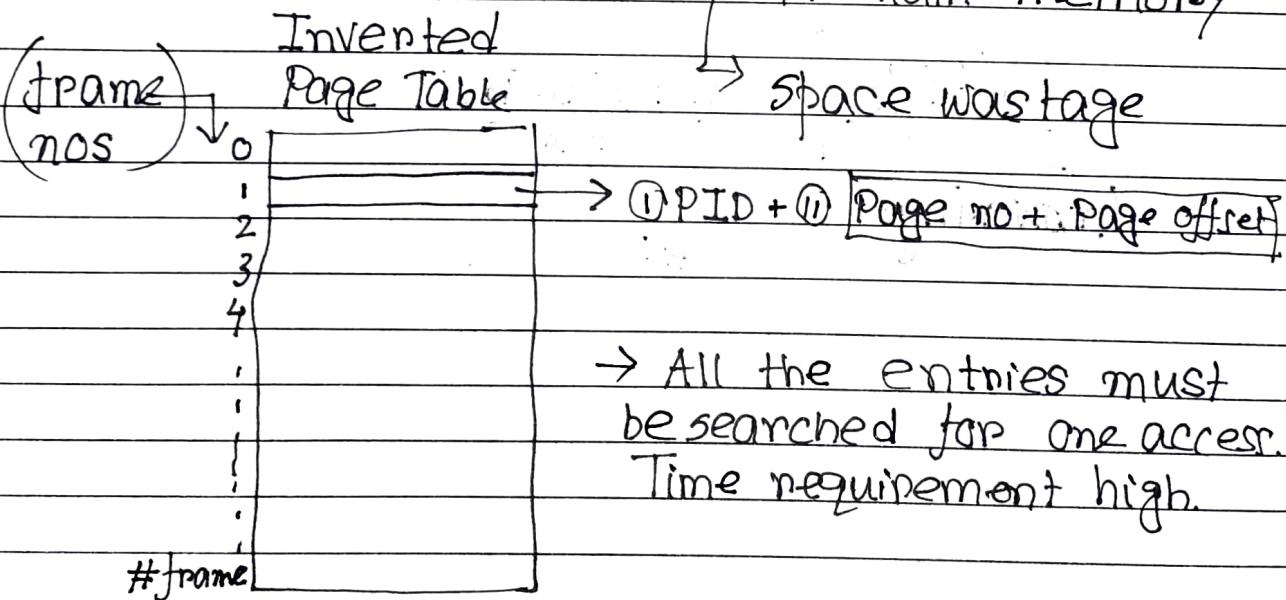
~~PTE = 4B = $2^2 B$~~



Inverted page table:

During any time, maximum total number of pages (from all processes) is = frame no.

One page table entry for each of the processes
at one page table takes at least one page (frame) in main memory



Virtual memory implementation:

→ frame allocation → page replacement

↓
how many frames
to be allocated in
main memory
for this process

↓
which page
to be replaced

minimum requirement:

One instruction may

- ① 1 code page
- ② 2 data page
- ③ 1 stack page

max : size of the
process

→ Equal allocation:

\nwarrow (static allocation) 30 frames available
 3 process $(30/3) = 10$ page (frame) for each

→ Weighted allocation:

depending on the size
of the processes

→ Dynamic allocation:

→ Shrink & grow during
execution

→ Priority based

29/08/2020
Date: / / Page: / /

Page replacement algorithm:

→ local : replace only from the frames allocated for this process

→ global : considers all the frames

used in practice.

Demand paging:

Reference string: CPU generated request contains page number in order

Optimal page replacement algorithm:

Replace the page which wont be referenced in the longest future
(which is impossible ↴
to predict precisely in practice)

LRU:

FIFO:

Operating System

4 7 6 1 7 6 1 2 7 2

LRU

4	1
7	2
6	7

FIFO

4	1
7	2
6	7

#page fault = 6

#page fault = 6

1 2 3 4 2 1 5 3 2 4 6

Optimal

X	5 3
X	6
X	4

#page fault = 7

LRU

X	4 5 4
X	3 6
X	1 2

FIFO

X	4 3 6
X	1 2
X	3 4

#page fault = 10

#page fault = 10

-----x-----

Belady's Anomaly:

Optimal replacement: increasing the allocated no of frames decreases the number of page faults

In case of FIFO it does may not occur.

Belady's anomaly

Stack algorithm

Property Reason for Belady's Anomaly.

[Pages present with n frames \leq
Pages present with $(n+1)$ frames]

Stack property

If any replacement algorithm follows stack property, it is called stack algorithm.

Stack algorithms do not show Belady's anomaly.

FIFO does not follow stack property.

Optimal, LRU \rightarrow stack algorithm.

Loop:

1 2 3 4 5 6 1 2 3 4 5 6 1 2 3 4 5 6

Optimal

X	3
2	4
3	4 5
4	5 6

most recently used pages are getting replaced

fault = 10

1 2 3 4 5 6 7 8 9 9 8 7 6 5 4 3 2 1

Optimal

X	8 9 8 3 2 1
2	6
3	7
4	8

least recently used pages are getting replaced.

Page Replacement algorithm implement

Referenced bit : if referenced in this clock cycle then set as 1. At the end of clock cycle make all as 0.

Modified bit :

This clock $\leftarrow R \quad M \rightarrow$ overall lifetime since fetch cycle

$\begin{array}{|c|c|} \hline R & M \\ \hline 0 & 0 \\ \hline \end{array}$

$0 \quad 1 \rightarrow$ modified in prev cycle

$\downarrow \quad \downarrow$

$\begin{array}{|c|c|} \hline R & M \\ \hline 1 & 1 \\ \hline \end{array}$

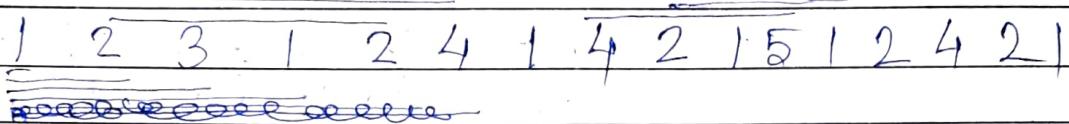
\rightarrow replacement cost high due to $M=1$

Working set algorithm:

It assumes that the nearest future is close approximation of recent past.

Dynamic frame allocation.

A window is taken



W_{\max}
 $(W_{\text{window size}} = 4) \rightarrow$ Pre-determined
 Requirement for a system

$$W = \{1\}$$

$$W = \{1, 2\}$$

$$W = \{1, 2, 3\}$$

$$W = \{1, 2, 3, 4\}$$

$$W = \{1, 2, 3, 4, 5\}$$

$$W = \{1, 2, 4\}$$

$$W = \{1, 2, 4\}$$

$$W = \{1, 2, 4\}$$

$$W = \{1, 2, 4, 5\}$$

$$W = \{1, 2, 5\}$$

$$W =$$

All processes use this value only

If page faults are increasing, increase window size by ①.

Avg frame requirement: $\frac{\sum w_i}{n}$

Operating System

File System:

Secondary memory

- creating
- writing
- reading
- repositioning
- deleting — remove data + metadata
- truncating — remove data only accessible

Logical block ← → smallest unit of secondary memory

Physical block

File System

Directory

Files	metadata	name (.extension): human readable
	Type	video/audio/txt
	Location	
	Size	
	Protection	permissions
	Time & date	
	Identifier	

[Read] pointer → Last read/modified
 [Write]

Accessing a file:

- ① File pointer
- ② File open count
- ③ Disk location of the file
- ④ Access rights

File table :

For each process

- ① File pointer
- ② Access rights

Open file table:

Global

- ① Disk location of file
- ② File open count

name	location	open count
.	.	.
.	.	.
.	.	.
.	.	.

When open

count = 0, delete ←
that entry from
the table.

How many
processes has
have opened
that file?

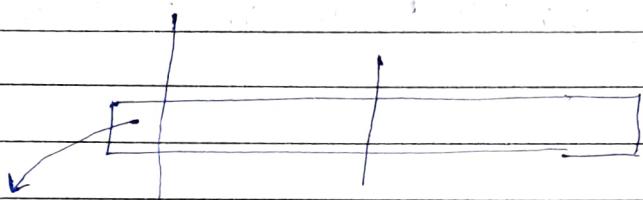
BLOCK size = 512 B (normally)

Access methods:

- ① Sequential access
- ② Direct access / Random access
- ③ Indexed access

→ sorted on
some field

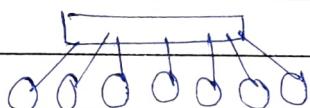
Partitioning: → multiple file system /
partition / multiple OS
volume /
minidisk



Directory

Different directory structures

① Single level

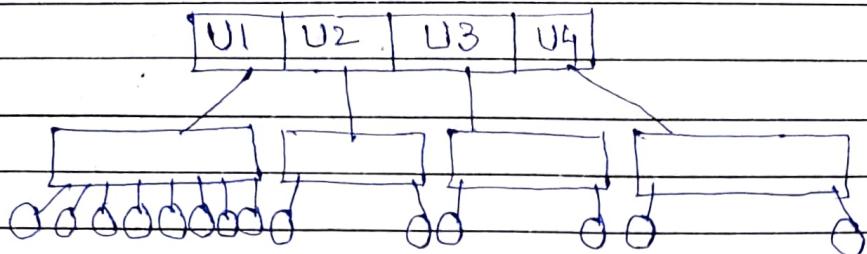


Adv: ① implementation
→ ② creating & searching

Disadv: ① Naming conflict
② Security problem

⑩ Two level directory:

master file directory (MFD)
↳ contains info about the users



⑪ PWD:

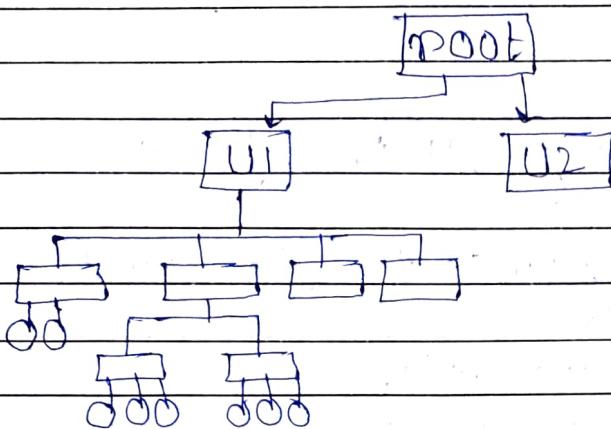
Adv : ① Name conflict resolved
② Security problem resolved

Disadv:

- ① System files
 - ↳ copy all in all user directory
 - ↳ one special directory shared by all users containing system files

Operating SystemFile system(III) Tree structured directory :

Extension of two level directory



Path :

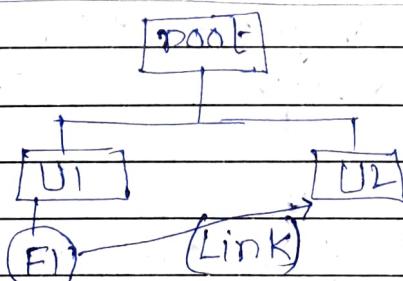
① absolute path name

② relative path name, w.r.t. (pwd)

(present working directory)

r-w-x permission

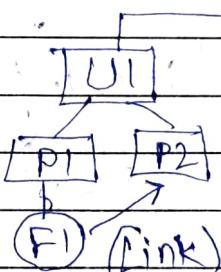
→ files can be shared b/w users

(IV) Acyclic graph structured directory :Adv: File sharing
and directory

Disadv: File deletion

① dangling pointer

(ii)



Counter of links

Do not delete a file until
link counter = 0 \rightarrow all the links to the
file are removed

File system:

managing all the files

- storage and access to file contents
- file structure
- disk space allocation
- free space recovery
- track data location
- interfacing other parts of OS to secondary storage

File System Structure:

Application (process) ask/request file operation

↓
Logical file system: metadata, directory path structure

File organization

module : logical block \Rightarrow physical block

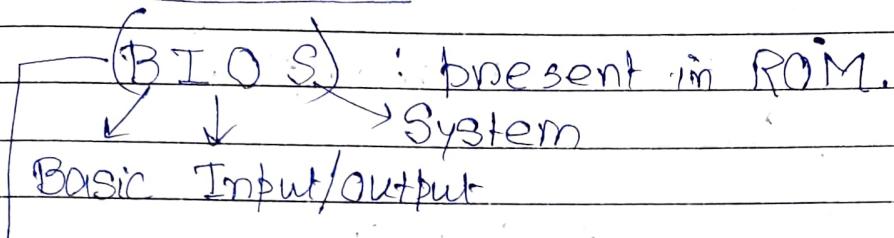
↓
Basic file system: I/O control, buffers

↓
I/O control : device drivers, interrupt handlers

Devices

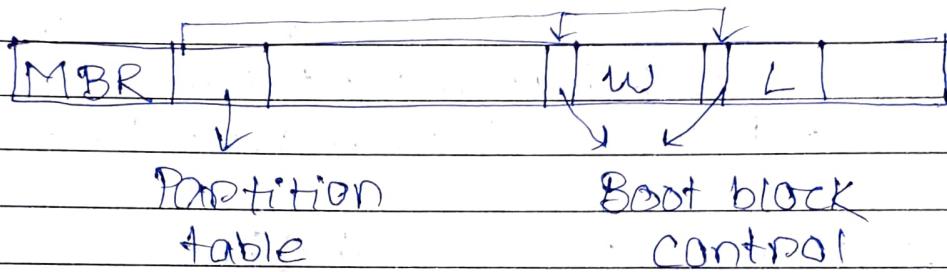
Partitioning

of hard disk : partition/volume/minidisk



Fetches the 0th block from the hard disk. → MBR (master boot record)

contains partition table
shows the option of diff OS



Boot Control Block : per volume/partition

also known as
 Info about that OS
 boot block (Unix)
 partition boot sector (ntfs)

Volume Control Block : Next to boot control block
 how many blocks in
 that partition, size of each block

Super block
+ master file table (NTFS)

File control block :

Permissions
Dates (create/modified)
Owner
Size
File data blocks

Pointers
Mapping to hard disk locations

Data structures related to File System

Main memory :

performance improvement
(similar to cache)

- Loaded at mount time
- Deleted at unmount time

I) In memory mount table:

info about each mounted volume

II) In memory directory structure cache:

recently accessed
directory info

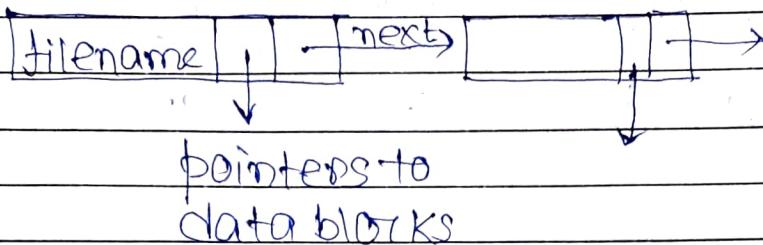
III) System wide open file table:

FCB of all opened files

IV) Per process Open file tables:

for each process

It contains pointers to system wide open file table

Operating SystemFile System:Directory implementation:① Linked list:Problem:

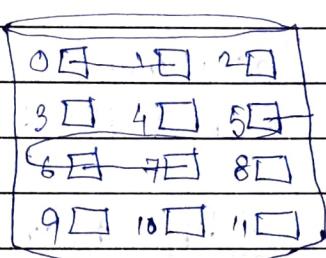
Always need to search the whole table
 create/
 delete/access

② Hash table: Hashing the name / path along with list (linked list) of filesAllocation methods:

Allocate space to files

① Contiguous allocation:

file	start	length
f1	0	2
f2	5	3

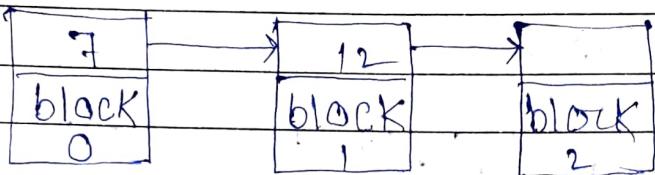


Adv: → Reading performance high
 → Simple implementation

Disadv: → Fragmentation (both internal & external)
 → Append data (external)

② Linked list allocation:

fileA



Physical block 7 12 12

Adv: No external fragmentation

Disadv: → Space wastage due to pointers
→ Random access slow.

③ File Allocation Table:

Physical block	Present in main memory (in memory data structure)
0	
1	
2	
3	7
4	
5	-1
6	
7	5
:	
Total no of blocks present	15

Adv: Random access

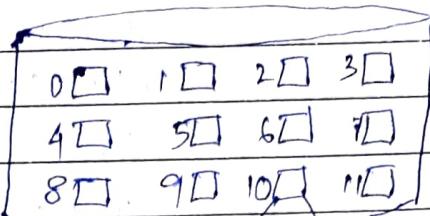
Disadv: Large size of FAT

(4) Indexed allocation:

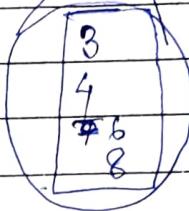
inode/index block

↳ for a file ~~all~~, all the physical blocks it covers

file	block in which inode present
file1	10



file 1
is contained
in 3,4,6,8



Problem: index block too large ← huge file
cannot be contained in one block

SOL:

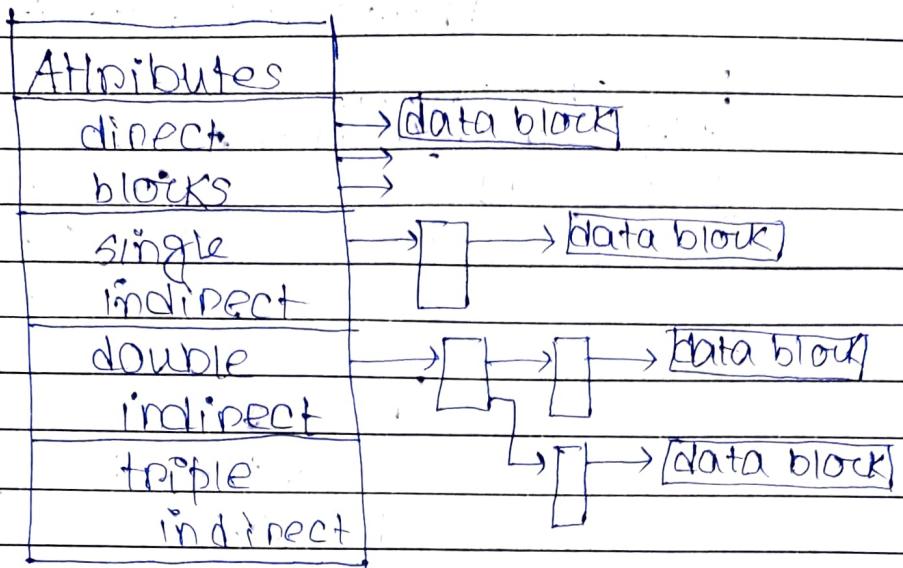
① Linked list of multiple index blocks

② Multilevel indexing: B Tree/B+Tree

③ Hybrid of ① & ②: most popular in unix

Unix inode implementation:

(Linked list + multilevel indexing)



Free Space Management:

① Bit Vector: One bit for each block

② Linked list: free list

Address of first free block stored in some specified place

Operating System

File management

Secondary memory

Disk Scheduling:

Since hard disk is the slowest, so must be scheduled efficiently

① Seek time: move the read/write head to the appropriate cylinder on the track

② FCFS: simplest technique
→ performs operations in the order of requested
→ no starvation

③ SSTF: shortest seek time first regardless direction
→ starvation
→ switching direction slows down
→ not the most optimal

④ SCAN: (Elevator algorithm)

→ Go from outside to inside
then inside to outside
servicing requests

→ Goes till 0.

C SCAN: circular scan

- Move inward till 0
- Start from the outermost
- move inward

④ LOOK:

- Outward Go inward till the lowest request, not till 0

C-LOOK:

- Go ~~in~~ outward till the highest req
- Start from ^{the lowest req &} go outward

System calls:

→ Function call
→ present in OS

System functions (unix)	write()
	read()
	open()
	close()

- ① can be called directly in a C program
- ② can be called via library function calls → printf() calls write()

Context switching

User → OS

- ① parameter passing

→ using registers

Problem: for large size/number of parameters

→ saving at an(allocated) memory

→ stack

→ Store all the parameters

in a stack and call the OS function

When all stack values (parameters) are used up, free up the space

System calls needed to be provided to user functions:

⇒ Process control:

- end, abort → finish before completion
- load, execute
- create / terminate process
- get / set process attributes
- [wait for some time] sleep
- wait / signal (Semaphore)
- allocate and free memory

(using these OS functions a process deals with another process)

⇒ File manipulation:

A process deals with files using the OS functions:

- create / delete
- open / close
- read / write / repositioning
- get / set file attributes

⇒ Device manipulation:

- request device, release device
- read, write, reposition
 - ↓
 - scanner
 - ↓
 - printer
 - ↓
 - hard disk
- get / set attributes
- attach / detach devices

Operating System

⇒ Information maintenance :

- Get/ set time or date
- get/ set system data
- get/ set process, file, device data

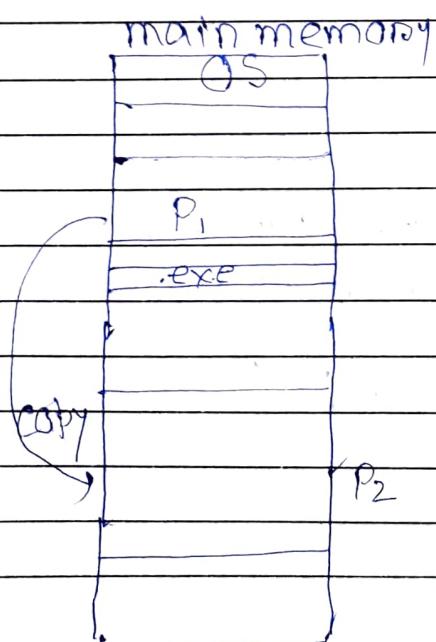
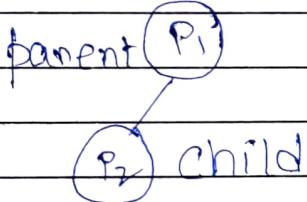
⇒ Communication :

- Create/ delete communication connection

- send/ receive messages
- transfer status information
- attach/ detach remote devices

→ X →

FORK / EXECVE



Fork() is a system call
so, context switching
(User mode → Kernel mode)
needed. → overhead

```

int i = fork();
if (i == 0)
    {
        // child
    }
    if (i != 0)      (pid of child)
        // parent
    }
}

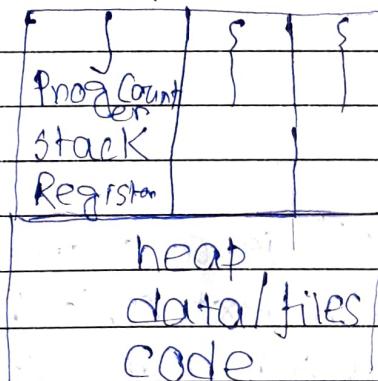
```

execve

Replace a process with another

Threads :

Task →



Thread: sequence of execution | states are same as a process: new, ready, running

User creates a new thread (user level threads)

Since threads are implemented at user level, OS is unaware of its existence.

One thread asks for I/O, whole process (all the threads) gets stopped blocked.

This is solved by asking the OS to create threads : Kernel level threads. (Problem: context switching)

Another problem of user level threads:

Unfair scheduling

P₁: 100 threads

P₂: 1 thread

(same time)
↑↑

For user level thread: P₁, P₂, P₁, P₂, P₁, P₂
(Round Robin)

Kernel level thread

P₁ P₂ P₁ P₂ P₁ P₂
↓↓

(100x2) (2) time quanta

Kernel level threads are less expensive than creating another process (fork)

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Hybrid threads: (used by Solaris)

