## **Problem Set 1**

This problem set is due at 10:00pm on Friday, September 29, 2023.

Note that I've added some math problems right at the beginning to build up your foundations a bit.

Please make note of the following instructions:

- This assignment, like later assignments, consists of *exercises* and *problems*. **Hand in solutions to the problems only.** However, we strongly advise that you work out the exercises for yourself, since they will help you learn the course material. You are responsible for the material they cover.
- Remember that the problem set must be submitted on Gradescope. You can join using the code: 6GZKVG.
- We require that the solution to the problems is submitted as a PDF file, **typeset on LaTeX**, using the template available in the course materials. Each submitted solution should start with your name, the course number, the problem number, the date, and the names of any students with whom you collaborated.
- Code submissions will be over GitHub Classroom.
- You will often be called upon to "give an algorithm" to solve a certain problem. Your write-up should take the form of a short essay. A topic paragraph should summarize the problem you are solving and what your results are. The body of your essay should provide the following:
  - 1. A description of the algorithm in English and, if helpful, pseudocode.
  - 2. A proof (or indication) of the correctness of the algorithm.
  - 3. An analysis of the asymptotic running time behavior of the algorithm.
  - 4. Optionally, you may find it useful to include a worked example or diagram to show more precisely how your algorithm works.
- Late submissions will be penalised at the rate of 5% per hour of the assignment credit.

#### **SETUP**

The purpose of this section is to help you get setup with the tools you will need for this assignment and the rest of the course. A couple of pre-requistes before you start this are having a text editor installed, and familiarity with basic terminal commands.

#### Hello World

Lets start by writing our first piece of C code. To complete this section you should:

- 1. Install gcc and make on your system if you haven't done so already. For Linux simply running sudo apt-get update followed by sudo apt install build-essential should work. Here are guides to help get you started for MacOS and GCC for Windows and Make for Windows (skim through the entire article before starting the steps). Make sure you have gcc installed by running gcc --version. Also ensure you have make installed by running make --version.
- 2. Write your first piece of C code that prints "Hello World". We'll let you figure this out, just remember to save your file with a .c extension.
- 3. Compile the code. This is the stage where the C code you have written gets compiled into an executable. In your terminal (ensuring you're in the same directory as your file) type in gcc -Wall helloworld.c. You should see a new file called a .out in the same directory. This file is an executable that you can now run. It is worth it to read up a bit on gcc commands and flags. For example, try creating an output file with a custom name.
- 4. Run the code. Execute ./a.out in your terminal and you should see Hello World printed on your screen. Congratulations, you've just executed your first piece of C code!

#### Git and GitHub

- 1. Create a GitHub account if you don't already have one. Don't use your Ashoka email for this, GitHub is something you'll be using for the rest of your CS journey. (Optional: Once you've done this you can sign in to VSCode using GitHub which has a couple of handy advantages. You can also sign up for the Github Student Package, which comes with an assortment of benefits for future CS projects.)
- 2. Install git on your system by following these instructions. For MacOS we recommend using HomeBrew to install git using brew install git.
- 3. Connect your computer to your GitHub account using Git Credential Manager (Optional: you may also want to setup GitHub CLI. While this is a good idea we still reccomend GCM for managing your Git credentials.)

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4. Learn git. We cannot stress how important it is to know the basics of how git works. Some things you should know include:

- (a) What is git? Why do we use it?
- (b) What is a commit? How to commit changes?
- (c) What is branch? How to create, merge and delete branches?
- (d) What is a pull request?
- (e) What is a fork?
- (f) What is a repository? What is a main branch?
- (g) How to clone a GitHub repository locally?
- (h) How to connect a local repository to a repository on github?
- (i) How to pull changes from the repository?
- (j) How to push changes to the repository?
- 5. Create a first repo on your github page and play around with it. Clone it locally, make changes, commit them and push them back to the repository.

#### Github Classroom

We will be using **GitHub Classroom** for all coding assignments in this course. Usually you'll receive a PDF like this one that will have a link to the assignment on GitHub classroom. You should click on that link and then accept the assignment. Classroom automatically creates a new repository, which is only accessible to you and the course staff. Then, clone the repository on your machine and start making changes to it (SSH cloning usually doesn't work due to Ashoka's WiFi rules so use HTTPS or switch to your hotspot). Instructions specific to that assignment will be contained in the assignment's PDF and also in the readme that accompanies the repository.

Grading for this course for coding assignments will be done in part via GitHub's autograder. To ensure you get full marks you should adhere strictly to the guidelines on the output formats we provide. Once you push your commits to github, it will automatically run the tests and you will be able to see if your code passed or failed the tests in the actions tab. You can make as many pushes as you like before the deadline. We will only consider commits and pushes to the **main branch**, you may merge any other branches you are working on into this branch before submitting. Each repository will have an automatically created feedback pull request, which we will use to give you feedback on the assignment. **Do not merge this pull request**.

As a rule of thumb, don't make changes to files in an assignment unless you have been asked to do so as this this may break the autograder. Trying to get around the autograder by simply printing the correct statement without coding up the correct logic will lead to getting **0 marks** in that assignment.

### **EXERCISES (NOT TO BE TURNED IN)**

### Asymptotic Analysis, Recursion, and Master Theorem

- Do Exercise 4.3-7 in CLRS on page 87.
- Do Exercise 4.3-9 in CLRS on page 88.

### **Divide and Conquer Algorithms**

- Do Exercise 4.2-3 in CLRS on page 82.
- Do Exercise 9.3-1 in CLRS on page 223.

### **Optional Review Problem**

- 1. Recurrences and Asymptotics
  - (a) Consider the following functions. Within each group, sort the functions in asymptotically increasing order, showing strict orderings as necessary. For example, we may sort  $n^3$ , n, 2n as  $2n = O(n) = o(n^3)$ .
    - (a)  $\log \log n, (\log n)^3, \sqrt{n}, \log_3 n, \log (n^4).$
    - (b)  $\log(n!), n^{5/4}, \sqrt[3]{n}, n \log n$ .
    - (c)  $n^n$ ,  $n^{n+1}$ ,  $2020^n$ ,  $e^{\log(n^{\log\log n})}$ .

Let T(n) be the time complexity of an algorithm to solve a problem of size n. Assume T(n) is O(1) for any n less than 3. Solve the following recurrence relations for T(n).

- **(b)**  $T(n) = 8T(\frac{n}{3}) + n^{\log_3 5}$
- (c)  $T(n) = 4T(\frac{n}{2}) + n^2 \log^3 n$
- (d)  $T(n) = 7T(\sqrt[3]{n}) + \log^2 n$ .

# Questions to explore for C

Here is a (non-exhaustive) list of things that will help you prepare for coding problems in this course:

- Read up on how compilation works for C.
- What is a .h file? What is its purpose?
- Read up on Makefiles, what they are and why we use them.
- Read up on how pointers, arrays, malloc and structs work in C.
- Try writing up some more complex C code. Maybe replicate some sorting algorithms you have learnt in previous courses. The rest of this assignment will assume that you have a good grasp of C.

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### **Problem 1-1. Counting and Sets** [10 points]

Suppose the letters a, b, c, d have proper positions 1,2,3,4 i.e. the correct sequence is abcd. Write down all the deranged sequences (where none of the letters are in their proper position). Find a generalised combinatorial expression for n letters and use it to verify your answer.

### **Problem 1-2.** Probability Practice: Dice Rolling [35 points]

Asami and Bolin are playing a dice game in which a pair of dice is rolled repeatedly. Asami wins if a 6 is rolled before any number greater than or equal to 9, and Bolin wins if any number greater than or equal to 9 is rolled first. We will find the probability that Asami wins the game.

- (a) [Warm-up] What is the probability that a 6 is rolled on any given roll of the pair of dice? What is the probability that any number greater than or equal to 9 is rolled on any given roll of the pair of dice?
- **(b)** [Warm-up] Let  $E_n$  denote the event that a 6 occurs on the  $n^{th}$  roll and neither 6 nor any number greater than or equal to 9 occurs on any of the first (n-1) rolls. Compute  $P(E_n)$ .
- (c) [10 points] Compute  $\sum_{n=1}^{\infty} P(E_n)$  and argue rigorously that it is the desired probability. HINT:  $\sum_{n=0}^{\infty} r^n = \frac{1}{1-r}$  for |r| < 1.
- (d) [25 points] Now, suppose that in a run of this game, the dice are rolled ten times with neither a 6 nor any number greater than or equal to 9 appearing. Given this, let X be the sum of these ten rolls. We would now like to find an upper bound for the following probability  $P[|X \frac{1220}{21}| \ge 10]$ .
  - 1. [3 points] Given that each of these ten rolls results in neither a 6 nor any number greater than or equal to 9, enumerate the possible totals for each roll and their respective probabilities.
  - 2. [5 points] For these ten rolls, let  $X_i$  be the number on the face of the die for roll i. Compute the expected value of  $X_i$ .
  - 3. [5 points] Compute  $\mathbb{E}[X]$  where X is the sum of these ten dice rolls.
  - 4. [8 points] Compute the variance of X, where X is again the sum of these ten dice rolls.
  - 5. [4 points] Use Chebyshev's inequality to find an upper bound for  $P[|X \frac{1220}{21}| \ge 10]$ .

# **Problem 1-3. Su's Symmetry Studies** [50 points]

Darth Su, physics and computer science experimentalist extraordinaire, is on track to perform an experiment that will expose a flaw in one of the most fundamental physics and computer science theories of the time—that of parity bit symmetry in the CPTLRS symmetry theory. To demonstrate the violation of the parity bit symmetry, she deposits

a thin surface layer of cobalt-60 atoms onto a crystal of cerium-magnesium nitrate, and they decay to produce bits of 0 or 1, which she hopes to show are imbalanced in count and thus asymmetric.

The deposition of atoms is thin enough that the set of atom positions G can be modeled as points on the plane, where the location of each atom  $g_i \in G$  is represented by the tuple  $(x_i, y_i)$  with each coordinate measured in angstroms. However, Su finds that in order to accurately measure the deviation from perfect symmetry, each atom must be at least 1 angstrom away from every other atom. Su, eager to change the course of physics and computer science, reaches out to you for help.

Your job as a 1203 student is to help Su determine whether the set of atoms G violates this property. More formally, propose an algorithm to check whether there exists a pair of points  $g_i, g_j \in G$  such that  $||g_i - g_j|| < 1$  (meaning that their Euclidean distance is less than 1), prove the correctness of this algorithm, and analyze its runtime.

### **(a)** [Warm-up]

Consider first a simplification of the problem in which all of the atoms happen to lie on a single line, and each atom can be characterized by only an x-coordinate  $x_i$ .

Propose any  $O(n \log n)$  solution to determine whether there exists a pair of atoms less than 1 angstrom apart. If such a pair exists, your algorithm should return any example pair, and if no pair exists, your algorithm should return false. Your explanation need only be 2-3 sentences long, and you can provide your algorithm without proof of correctness or runtime analysis.

## **(b)** [Warm-up]

Now, we return to the two-dimensional case described in the problem statement.

Give an  $O(n^2)$  algorithm to determine whether there exists a pair of atoms less than 1 angstrom apart. If such a pair exists, your algorithm should return any example pair, and if no pair exists, your algorithm should return false. Your explanation need only be 2-3 sentences long, and you can provide your algorithm without proof of correctness or runtime analysis.

# **(c)** [5 points]

Suppose the planar deposition is divided into a grid of squares, where each square has dimensions  $\frac{1}{2} \times \frac{1}{2}$ . Why must you reject G if two atoms are in, or on the boundary of, the same square?

# **(d)** [20 points]

Propose an algorithm to determine whether there exists a pair of atoms less than 1 angstrom apart, providing a proof of correctness and a runtime analysis. For full credit, your algorithm should run in  $O(n \log n)$  time with respect to n = |G|, the number of atoms.

*Hint:* Use divide-and-conquer with part (c).

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### **(e)** [25 points]

Upon further analysis, Su realizes that the deposition of atoms is not quite as thin as she expected, so she can no longer make the assumption that the atoms lie in a single plane. However, Su must still ensure that none of the atoms are too close to other atoms, lest the experiment fail.

Let A be the set of all atom positions in this three dimensional setup, such that every atom position  $a_i \in A$  has 3D coordinates  $(x_i, y_i, z_i)$ , each measured in angstroms.

Give a solution to determine whether there exists a pair of atoms  $a_i, a_j \in A$ ,  $i \neq j$  such that the distance between the two atoms in the 3D space is less than 1 angstrom. If there is such a pair, your algorithm should return an example pair, and if there is no pair, your algorithm should return false. Prove the correctness of your algorithm, and analyze its runtime. For full credit, your algorithm should run in  $O(n \log^2 n)$  time with respect to n = |A|, the number of atoms.

*Hint:* Use your solution in part (d) as part of the divide-and-conquer process.

### **Problem 1-4.** Land It or Not [20 points]

We discussed the following situation in class. If you paid attention, you should find it ridiculously easy to get full points on this question.

Imagine you're in charge of managing ground traffic at a busy airport. Pilots request landing times, and you must decide whether to approve or decline based on the following constraint: if the requested landing time is  $n_1$  and  $\exists n_2$  which is pre-approved, then  $|n_1 - n_2|$  should be greater than buffer time, k. For simplicity, let the time shared be in the format:  $n \in \mathbb{Z}_+$ . Pilots won't necessarily request their time in the order in which they land, so you may get requests in any order (like 5, 10, 3 for example). If the request is approved, it should then be accepted and stored in a manner such that you can print out a sorted order of landings.

*Example*: If a pilot requests to land at time 10 and there's a pre-approved landing time of 7, and the current buffer time is k = 5, then the request should be declined.

- (a) [10 points] You need to design a system that efficiently handles landing requests and keeps a record of approved requests. Can you use arrays to keep track of this? If so, briefly explain how you would do so.
- **(b)** [10 points] Explain how you could also use binary search trees for this. Compare the efficiency of the BST solution and the array solution.

## **Problem 1-5.** Enchanted Forest [5 points]

Once upon a time, in a mystical land, there was an ancient forest filled with enchanted creatures and magical flora. At the heart of this forest stood a magnificent tree, known

as the "Tree of Wonders." Legend had it that this tree held unimaginable secrets and was guarded by the mystical creatures dwelling within.

However, a powerful curse had befallen the forest, causing it to wither and lose its magic. The key to breaking this curse lay in a special node of the Tree of Wonders, known as the "Curse Node". The curse node stores the C-th smallest value of the Tree of Wonders. It was said that removing this node would restore the forest's vitality. However, it is possible that while removing the curse node a new value is added to the Tree of Wonders, thus changing the value of the C-th smallest element!

Your task is to restore the Enchanted Forest. Once you have successfully completed your quest, you shall present the final cursed node you extracted from the tree. Explain how you would keep track of the C-th smallest node at any given time as numbers are being added to the Tree of Wonders. Make sure you explain any data structures you would use, and how they help you to solve the problem efficiently.

May the magic of the forest guide your hand!

### **Problem 1-6.** Coding Section [20 points]

We will now implement the data structures and algorithms we have discussed in Problems 1-4 and 1-5. To set up this assignment in GitHub classroom click here. Once your repository is set up, clone it to your local machine.

To compile code in this assignment properly run make from the assignment's directory. This will output an executable <code>asmnt1</code> that requires an integer input parameter. For example, to execute this assignment you could run <code>./asmnt1</code> 5. To clear the compiled files you can run make <code>clean</code>, it might make sense to do this before pushing your answers. The <code>make clean</code> command might fail on windows <code>-</code> just ignore it.

The integer 5 acts as a seed to generate random numbers using the Mersenne Twister algorithm. Any given seed will always generate the same set of random numbers in the same order, which will allow you to test the code you write easily, and allow us to test your code with our own set of random numbers. Use the same 15 random numbers in the order in which they are stored in the array to add to your data structures.

- (a) [7 points] Implement the BST solution to the runway problem in C. We have provided you with a few function primitives to get started, but feel free to code up any additional helper functions you need along the way. You should generate 15 random numbers using the functions provided and then use the BST solution to accept or reject them with k=12. At each stage you should print the sorted order of the tree on a new line in the format Inorder: w x y z and so on where the letters are the integers for each landing time that has been accepted.
- (b) [3 points] The second plane that sent you a request didn't take off. Remove its landing time from the tree and print the tree again. You should output Updated: w x y z and so on as earlier on a new line.

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(c) [10 points] Implement your solution for Problem 1-5. We have set C=4 for this implementation. After inserting each element, print the new C-th smallest element on a new line in the format The 4th smallest element is x where x is the element.