

CSCI203 – Algorithms and data structures

Recursion

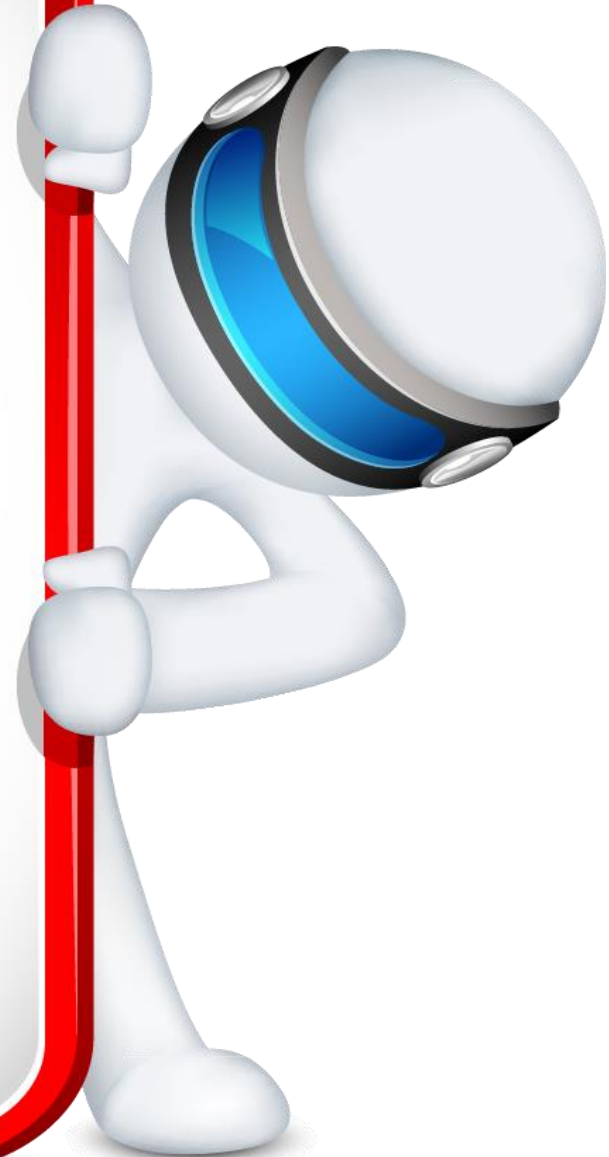
Sionggo Japit

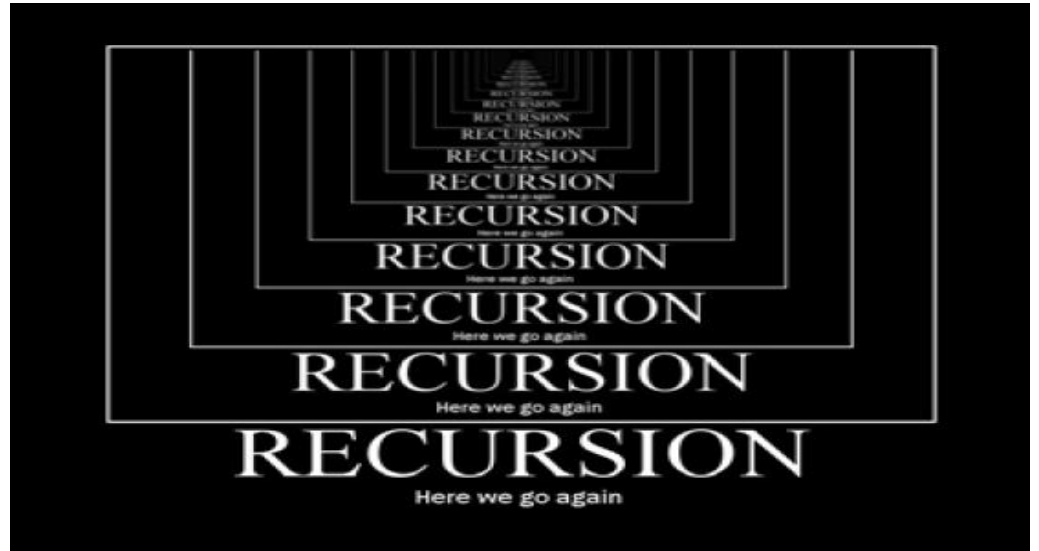
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2 January 2023

Lecture Outline

- Recursion
- Recurrence Relation

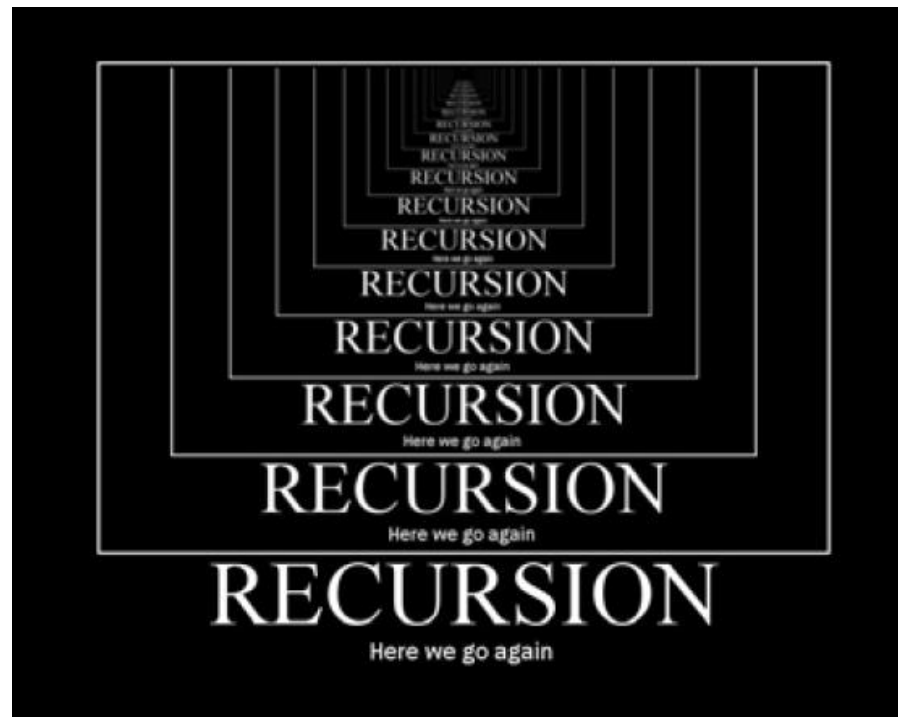




Recursion

Recursion

- Recursion is a repetitive process in which an algorithm calls itself.



Recursion

- Each recursive call solves an **identical**, but **smaller, problem**.
- A test for the **base case** enables the recursive calls to stop.
- Eventually, one of the smaller problems must be the base case.
 - Begin by testing for a set of base cases (there should be at least one).
 - Every possible chain of recursive calls must eventually reach a base case, and the **handling of each base case should not** use recursion.

Recursion

Fibonacci numbers

- 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...
- Definition:

$$f(n) = \begin{cases} 0, & n = 0 \\ 1, & n = 1 \\ f_{n-1} + f_{n-2}, & n \geq 2 \end{cases}$$

The Fibonacci numbers are the sequence of numbers $\{F_n\}_{n=1}^{\infty}$ defined by the linear recurrence equation

$$F_n = F_{n-1} + F_{n-2}$$

With $F_0 = 0$ and $F_1 = 1$.

Recursion

- It appears that a simple recursive algorithm will do a good job of calculating f_n .

```
algorithm fib (val num <integer>)  
  if (num is 0 or num is 1)  
    return num  
  end if  
  return (fib (num - 1) +  
         fib (num - 2))  
end fib
```

```
long fib (long num)  
{  
  if (num == 0 || num == 1)  
    return num;  
  return (fib (num - 1) +  
          fib (num - 2));  
}
```

Recursion

- How good is this solution?
 - Note that we calculate the same function more than once.
 - This can't be a good thing.
 - Just how bad is it?



Recursion

- Consider fibrec(5)
 - To compute fibrec(5), the algorithm needs fibrec(4) and fibrec(3)
 - But fibrec(4) needs fibrec(3) and fibrec(2)
 - And fibrec(3) needs fibrec(2) and fibrec(1)
 - Finally fibrec(2) needs fibrec(1) and fibrec(0)
 - So, to evaluate fibrec(5) we evaluate fibrec(4) once, fibrec(3) twice, fibrec(2) three times, fibrec(1) five times and fibrec(0) three times

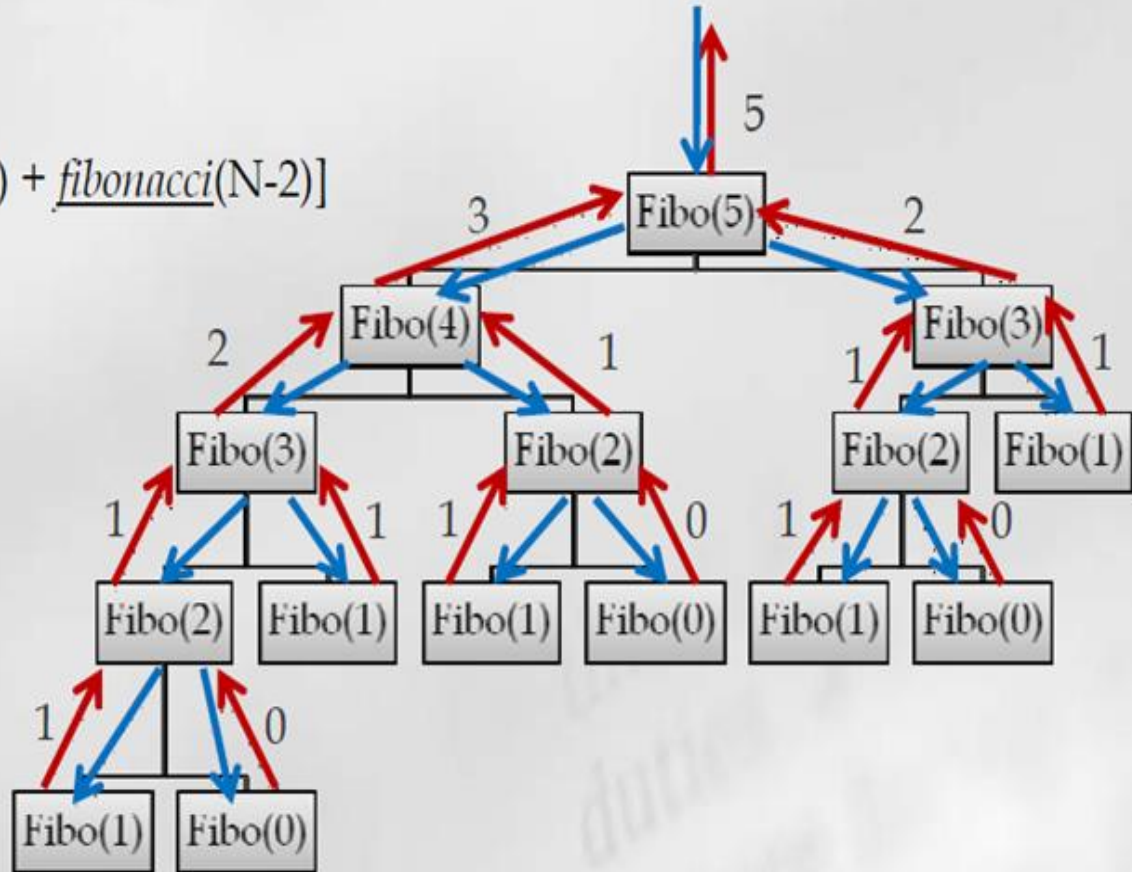
Recursion

```
function fibonacci(N)
```

- ```

1. if (N<2) then
2. return N
3. return [fibonacci(N-1) + fibonacci(N-2)]

```



## Recursive Tree

# Recursion

- The following table tabulates the number of invocations (calls) that a recursively implemented Fibonacci function invokes itself.

| No. | Calls | No. | Calls | No. | Calls       |
|-----|-------|-----|-------|-----|-------------|
| 1   | 1     | 8   | 67    | 15  | 1973        |
| 2   | 3     | 9   | 109   | 20  | 21,891      |
| 3   | 5     | 10  | 177   | 25  | 242,785     |
| 4   | 9     | 11  | 287   | 30  | 2,692,573   |
| 5   | 15    | 12  | 465   | 35  | 29,860,703  |
| 6   | 25    | 13  | 753   | 40  | 331,160,281 |
| 7   | 41    | 14  | 1219  |     |             |

# Recursion

- The time taken to calculate  $f_n$  with the recursive algorithm is proportional to  $f_n$ .
- It can be proved that the runtime of the recursive algorithm is  $O((3/2)^n)$ . [Note: the proof is out of the scope of this module. However, if you are interested, you can refer to [https://en.wikipedia.org/wiki/Fibonacci\\_number#Relation to the golden ratio](https://en.wikipedia.org/wiki/Fibonacci_number#Relation_to_the_golden_ratio) for the proof.]
- The runtime grows exponentially, the recursive algorithm is definitely not practical!

# Recursion

- Fibonacci numbers - An iterative Algorithm

```
function fibiter(n)
 i = 1; j = 0
 for k = 1 to n do
 j = i + j
 i = j - i
 return j
```

- Is this solution better? What is the running time complexity?
  - The time taken to evaluate  $f_n$  is linearly proportionate to  $n$ ; that is, the running time is  $O(n)$ .

# Recursion

- Comparing the algorithms (results are from empirical studies.)

| N       | 10        | 20        | 30        | 50         | 60         |
|---------|-----------|-----------|-----------|------------|------------|
| fibrec  | 7.14 msec | 3.26 msec | 2.98 msec | 53.22 msec | 158 min    |
| fibiter | 9.78 msec | 2.68 msec | 7.99 msec | 4.03 msec  | 12.96 msec |

- In fact, there is an algorithm that evaluates  $f_n$  in time proportional to  $\lg(n)$ .

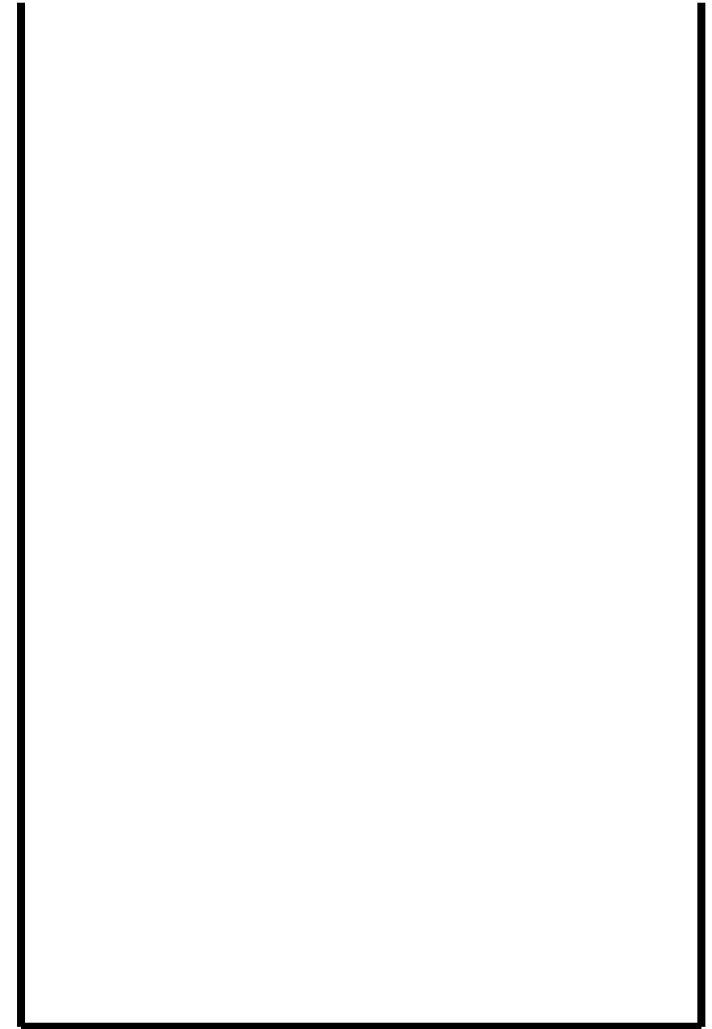
# Recursion and Stacks

- Every recursive call has an Activation Record (AR) that includes the spaces needed for parameters, local variables, a return value, and a place to return control when done. This AR is created and placed on the system stack.
- When a copy of the recursively called function is completed, its AR instance (ARI) is popped / removed from the system stack.

# Recursion and Stacks

Algorithm: Fact(n)

```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```





# Recursion and Stacks

```
Algorithm: Fact(n)
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```

A

n=3,  
Fact(3-1)

|   |                 |
|---|-----------------|
| 3 | :n              |
| A | :Return address |

System Stack 17

# Recursion and Stacks

Algorithm: Fact(n)

```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```

B  
A

n=2,  
Fact(2-1)

n=3,  
Fact(3-1)

2

:n

B

:Return address

3

:n

A

:Return address

System Stack 18

# Recursion and Stacks

Algorithm: Fact(n)

{

if n = 0

return 1;

else

return n \* Fact( n - 1 )

}

C  
B  
A

n=1,  
Fact(1-1)

n=2,  
Fact(2-1)

n=3,  
Fact(3-1)

1

:n

C

:Return address

2

:n

B

:Return address

3

:n

A

:Return address

System Stack 19

# Recursion and Stacks

Algorithm: Fact(n)

```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```

D  
C  
B  
A

n=0,  
Fact(0-1)

n=1,  
Fact(1-1)

n=2,  
Fact(2-1)

n=3,  
Fact(3-1)

|   |                 |
|---|-----------------|
| 0 | :n              |
| D | :Return address |

|   |                 |
|---|-----------------|
| 1 | :n              |
| C | :Return address |

|   |                 |
|---|-----------------|
| 2 | :n              |
| B | :Return address |

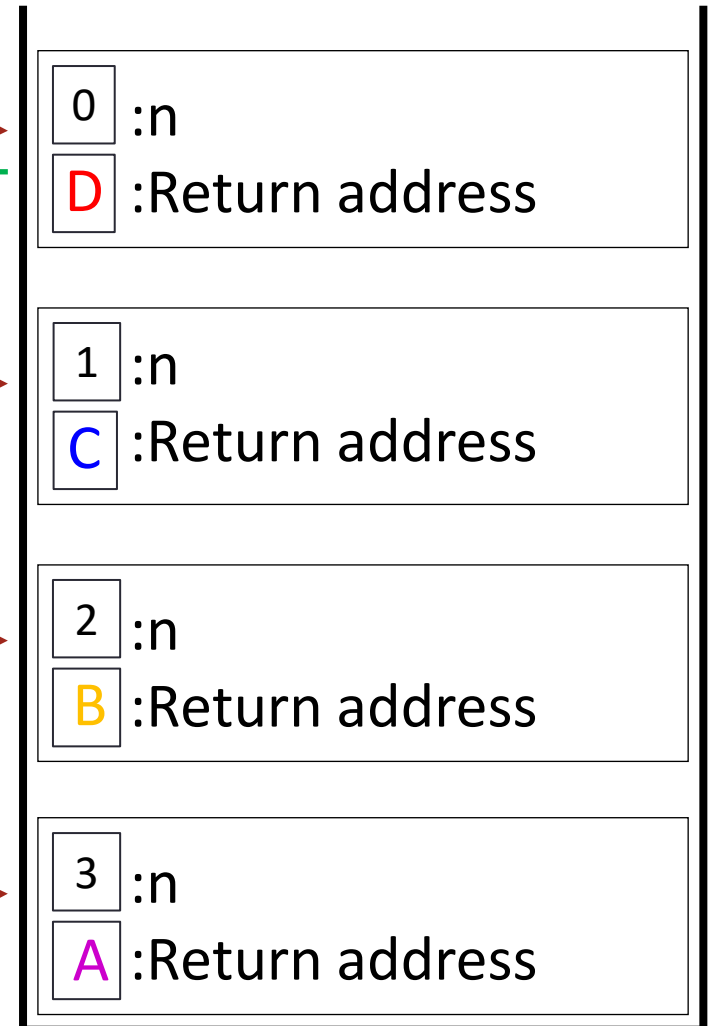
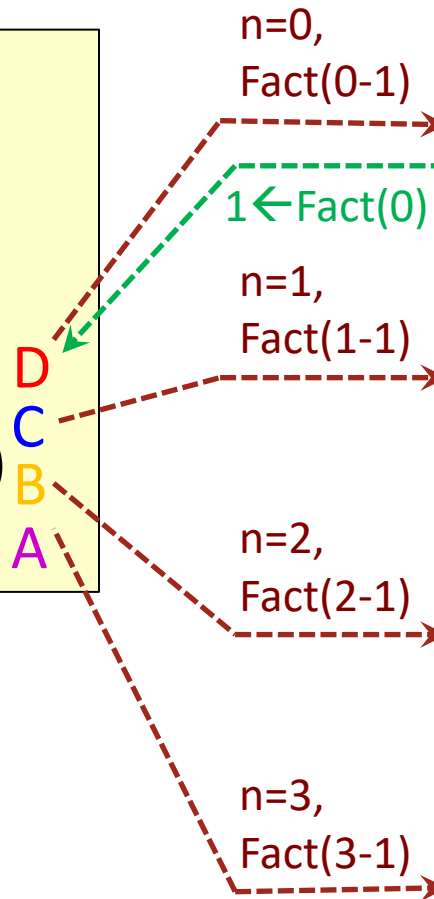
|   |                 |
|---|-----------------|
| 3 | :n              |
| A | :Return address |

System Stack 20

# Recursion and Stacks

Algorithm: Fact(n)

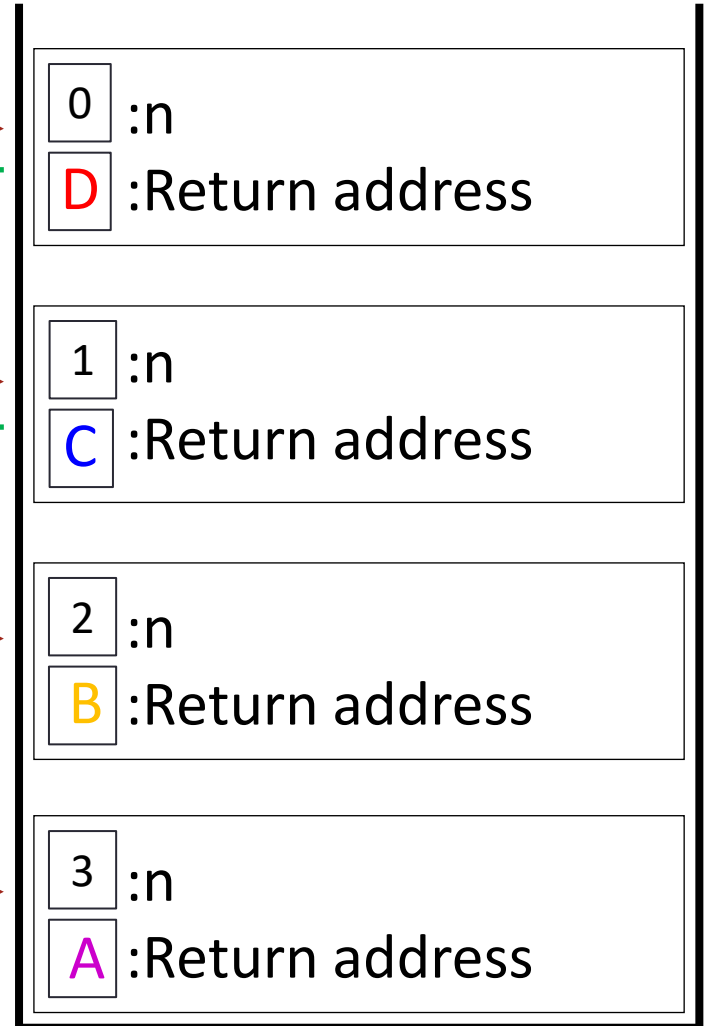
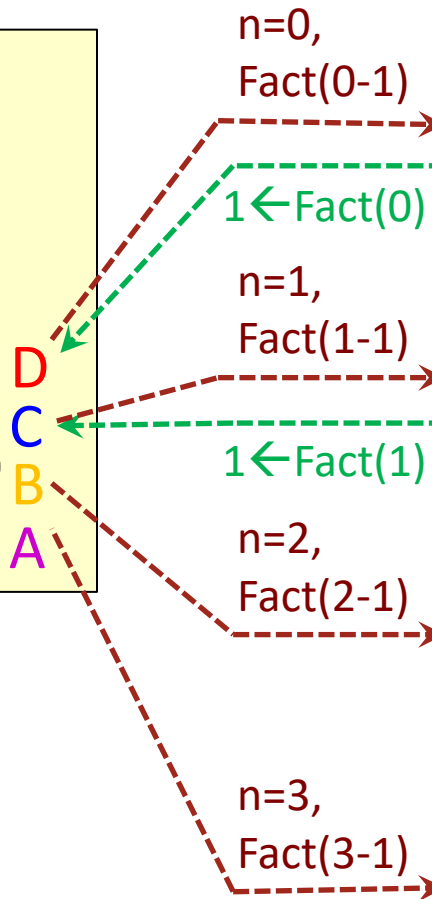
```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```



# Recursion and Stacks

Algorithm: Fact(n)

```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```

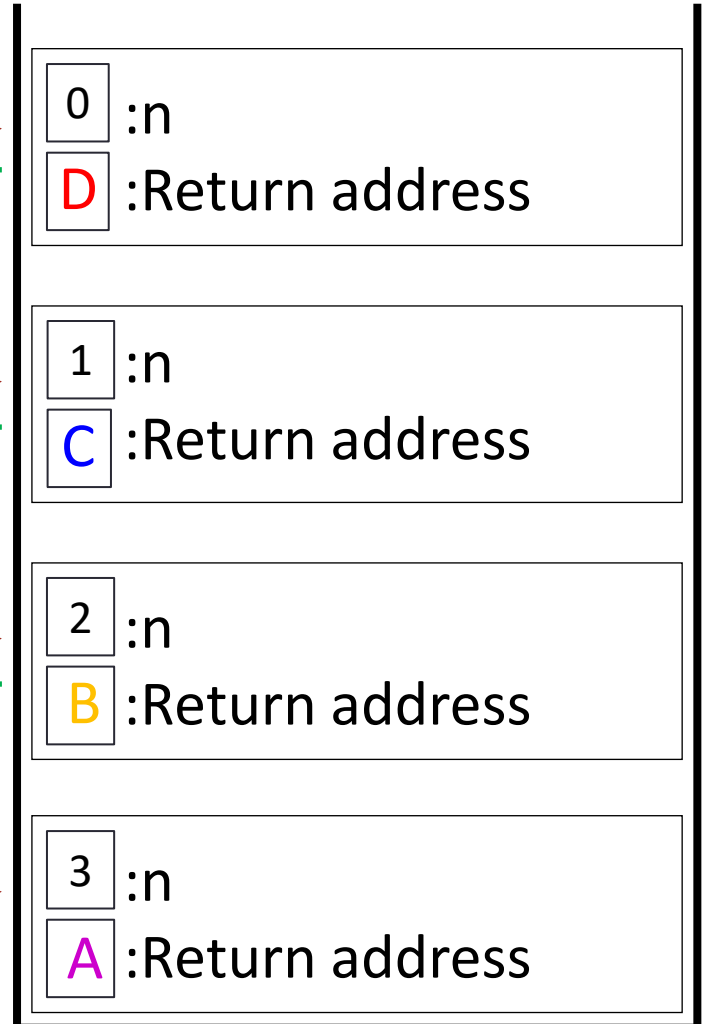
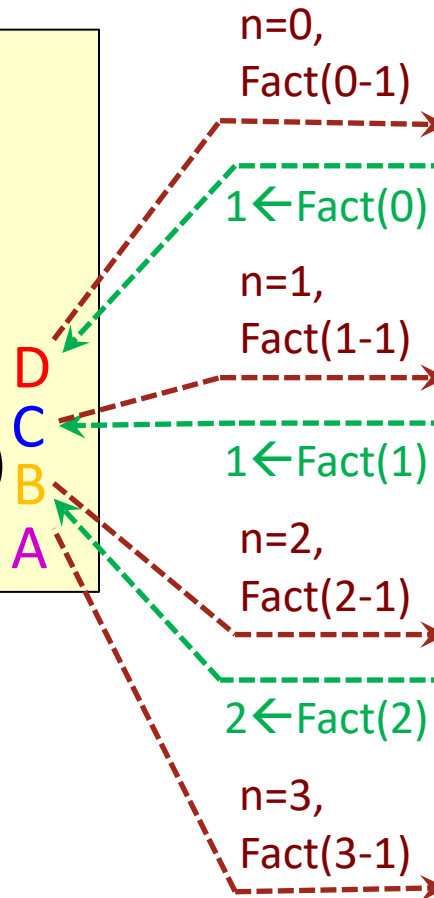


System Stack 22

# Recursion and Stacks

Algorithm: Fact(n)

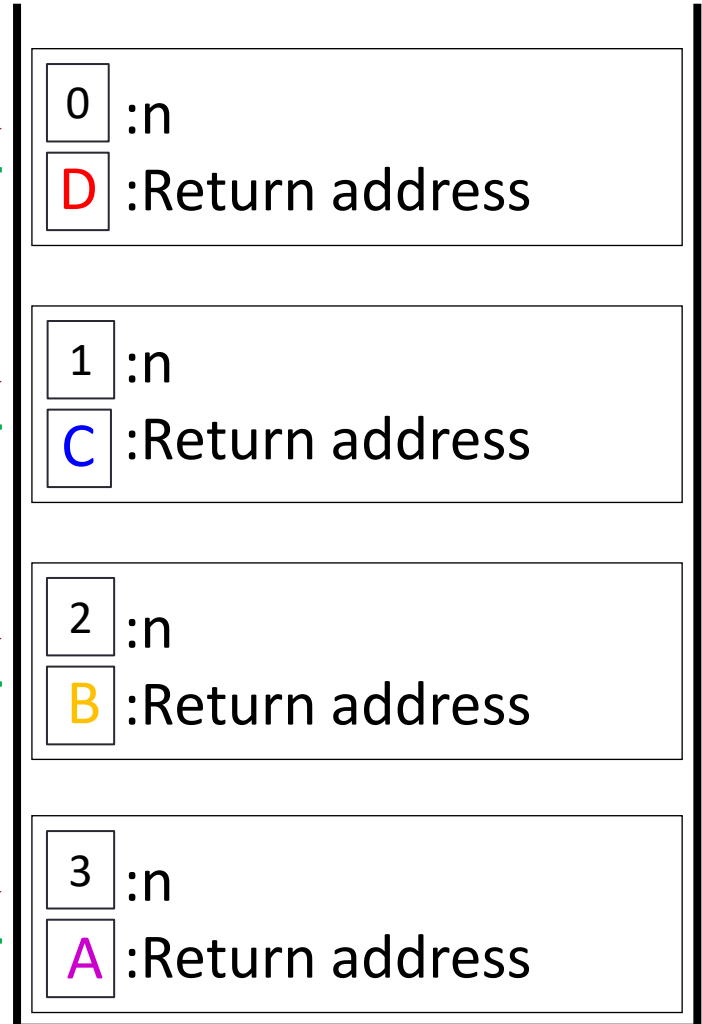
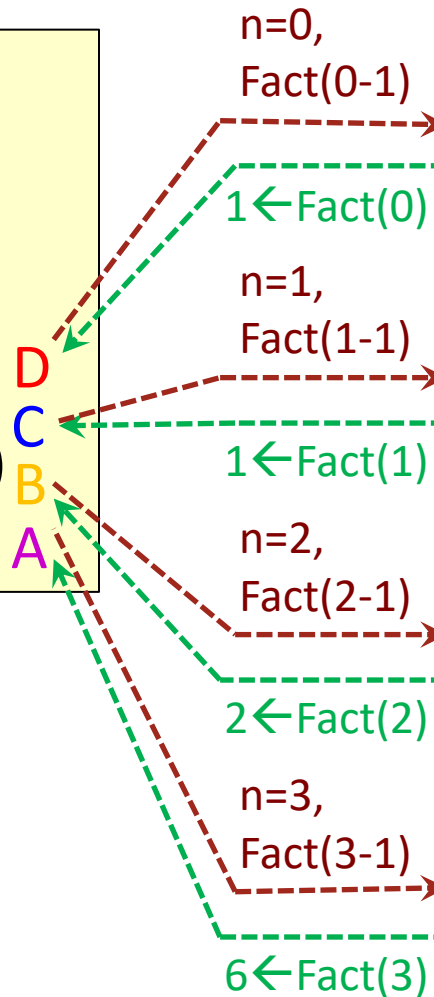
```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```



# Recursion and Stacks

Algorithm: Fact(n)

```
{
 if n = 0
 return 1;
 else
 return n * Fact(n - 1)
}
```





# Type of Recursion

- Recursion algorithms can be implemented in various forms:
  - Linear recursion
    - Linear, Tail recursion,
    - Linear, Non-tail recursion
  - Non-linear recursion
    - Non-linear, non-tail recursion.

# Type of Recursion

- Linear vs Non-linear

A recursion function is **linear recursion** if each execution of it “**calls itself at most once**,” that is, each activation of it involves at most one new activation.

Otherwise, it is a **non-linear recursion**.

# Type of Recursion - examples

- Implementation of Fibonacci function using linear recursion

```
int FibNumL (int n, int x, int y)
{
 // Base conditions
 if (n == 1)
 return y;
 else
 // Recursive call of function linearly
 return (FibNumL (n - 1, y, x+y));
}
```

# Type of Recursion - examples

- Implementation of Fibonacci function using linear recursion

```
int FibNumL (int n, int x, int y)
{
 // Base conditions
 if (n == 1)
 return y;
 else
 // Recursive call of function linearly
 return (FibNumL (n - 1, y, x+y));
}
```

At one time there is only one recursive function is called, hence linear.

# Type of Recursion - examples

- Implementation of Fibonacci function using non-linear recursion

```
int FibNumNL (int n)
{
 // Base conditions
 if (n < 1)
 return -1;
 if (n == 1 || n == 2)
 return 1;

 // Recursive call by binary method
 return (FibNumNL (n - 1) + FibNumNL (n - 2));
}
```

# Type of Recursion - examples

- Implementation of Fibonacci function using non-linear recursion

```
int FibNumNL (int n)
{
 // Base conditions
 if (n < 1)
 return -1;
 if (n == 1 || n == 2)
 return 1;

 // Recursive call by binary method
 return (FibNumNL (n - 1) + FibNumNL (n - 2));
}
```

At one time more than one recursive functions are called, hence non-linear.

# Type of Recursion

- Tail vs Non-tail

A recursion function is **tail recursion** if each execution of it *either*:

- defines the function outright (base cases) or
- calls itself “only” with different values for arguments (or parameters).

and the recursive call is the last statement in a method.

Otherwise, it is a **non-tail recursion**.

# Type of Recursion - examples

- Implementation of Factorial function using tail recursion

```
int FactorialT (int n, long accumulator)
{
 // Base conditions
 if (n == 0)
 return accumulator;
 else
 // Recursive call linearly
 return (FactorialT (n - 1, n * accumulator));
}
```



# Type of Recursion - examples

- Implementation of Factorial function using tail recursion

```
int FactorialT (int n, long accumulator)
```

```
{
 // Base conditions
 if (n == 0)
 return accumulator;
 else
 // Recursive call linearly
 return (FactorialT (n - 1, n * accumulator));
}
```

The function calls itself with different values for arguments, and the recursive call is the last statement in the function; hence it is a tail recursion.

# Type of Recursion - examples

- Implementation of Factorial function using non-tail recursion

```
int FactorialNT (long n)
{
 // Base conditions
 if (n < 0)
 return -1;
 if (n == 0)
 return 1;
 else
 // Recursive call linearly
 return (n * FactorialNT (n - 1));
}
```

# Type of Recursion - examples

- Implementation of Factorial function using non-tail recursion

```
int FactorialNT (long n)
{
 // Base conditions
 if (n < 0)
 return -1;
 if (n == 0)
 return 1;
 else
 // Recursive call linearly
 return (n * FactorialNT (n - 1));
}
```

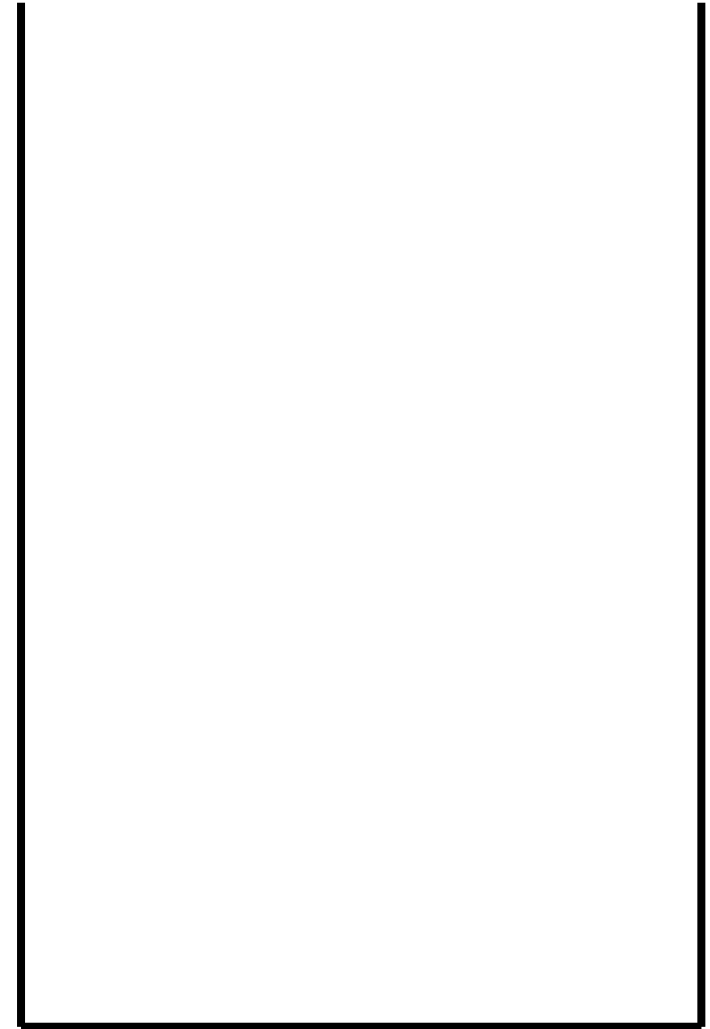
The function calls itself with different values for arguments, however, there is still multiplication operation is needed; hence it is a non-tail recursion.

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```



System Stack

# Recursion and Stacks

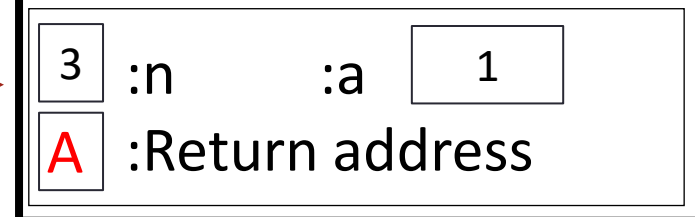
Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```

$n=3, a=1$   
 $\text{Fact}(3-1, 3 * 1)$

**A**



System Stack

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```

$n=2, a=3$

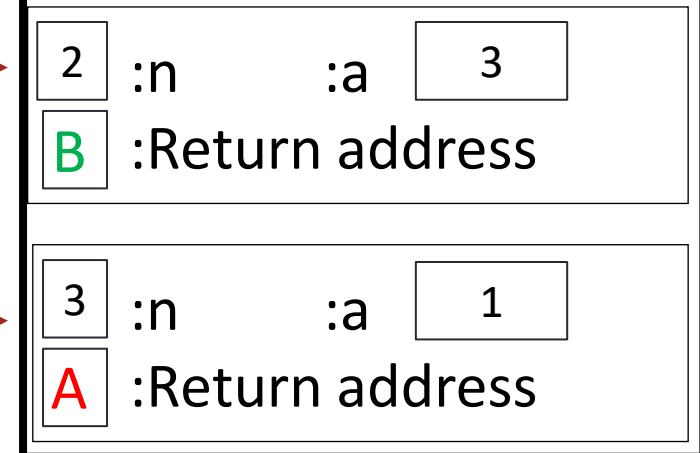
$\text{Fact}(2-1, 2 * 3)$

B

$n=3, a=1$

$\text{Fact}(3-1, 3 * 1)$

A



System Stack

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```

$n=1, a=6$

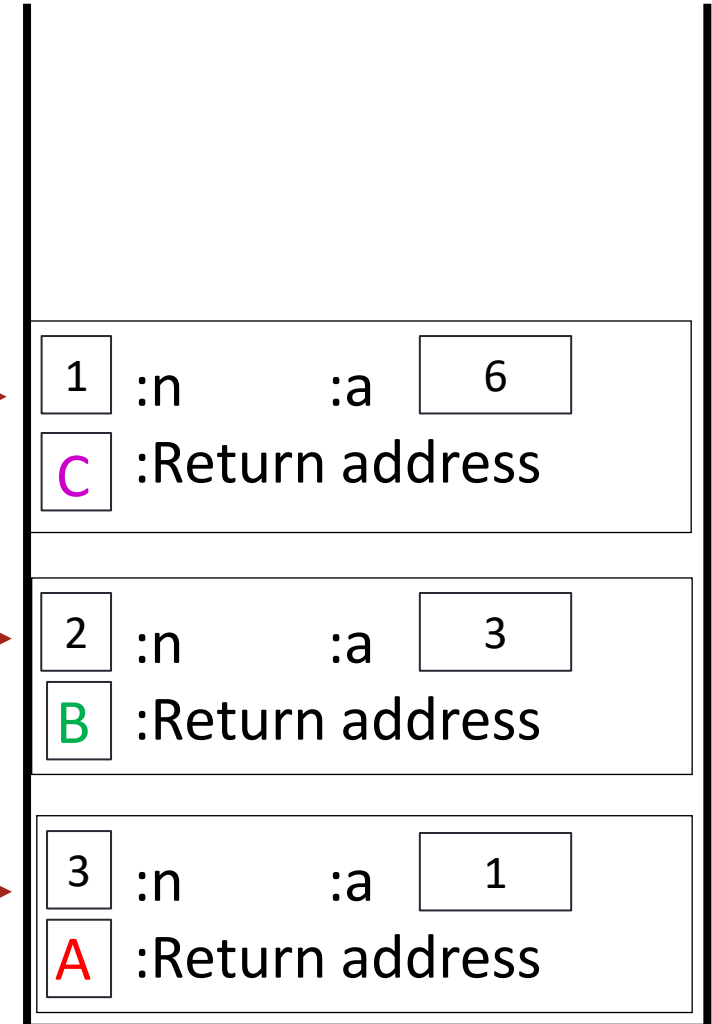
Fact(1-1, 1 \* 6)

$n=2, a=3$

Fact(2-1, 2 \* 3)

$n=3, a=1$

Fact(3-1, 3 \* 1)



System Stack

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```

$n=0, a=6$

Fact(1-1, 1 \* 6)

$n=1, a=6$

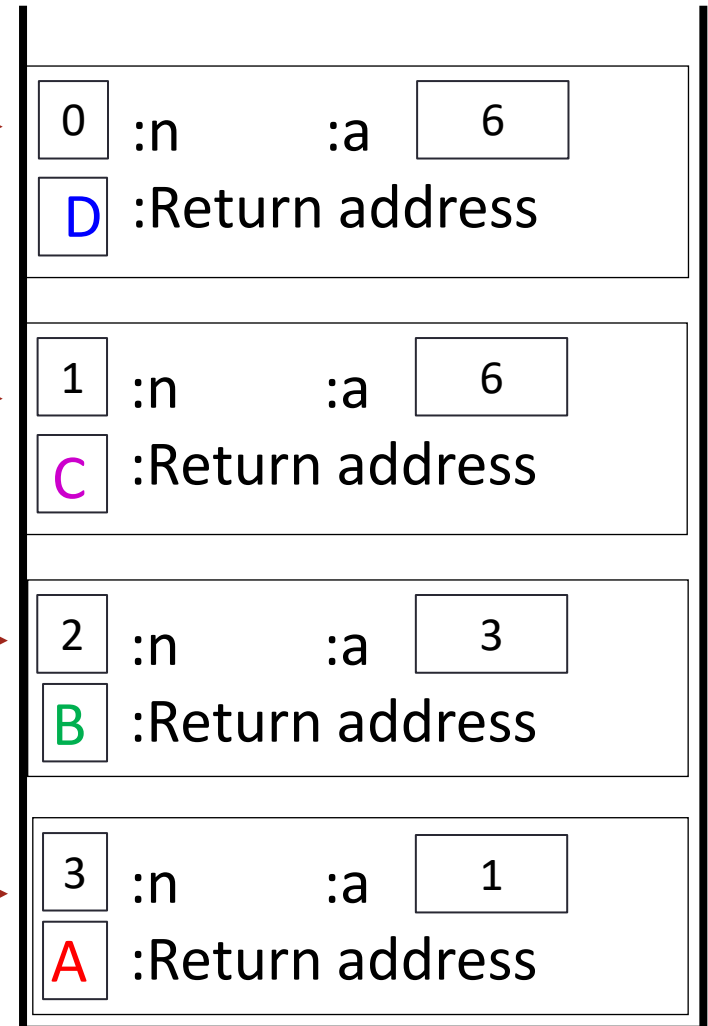
Fact(1-1, 1 \* 6)

$n=2, a=3$

Fact(2-1, 2 \* 3)

$n=3, a=1$

Fact(3-1, 3 \* 1)



System Stack

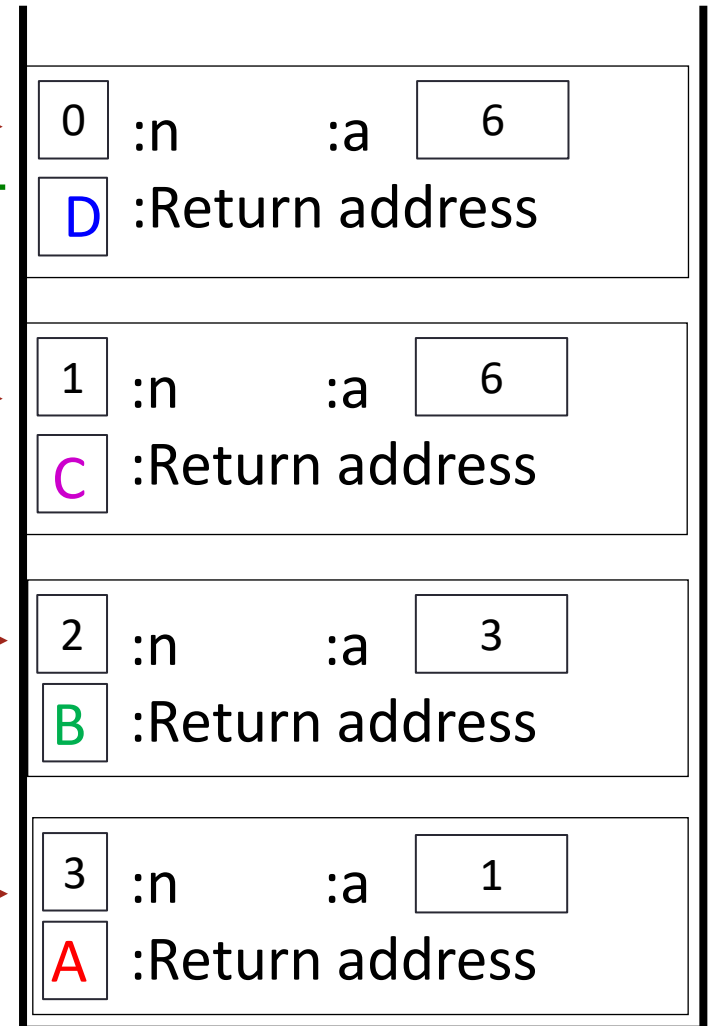
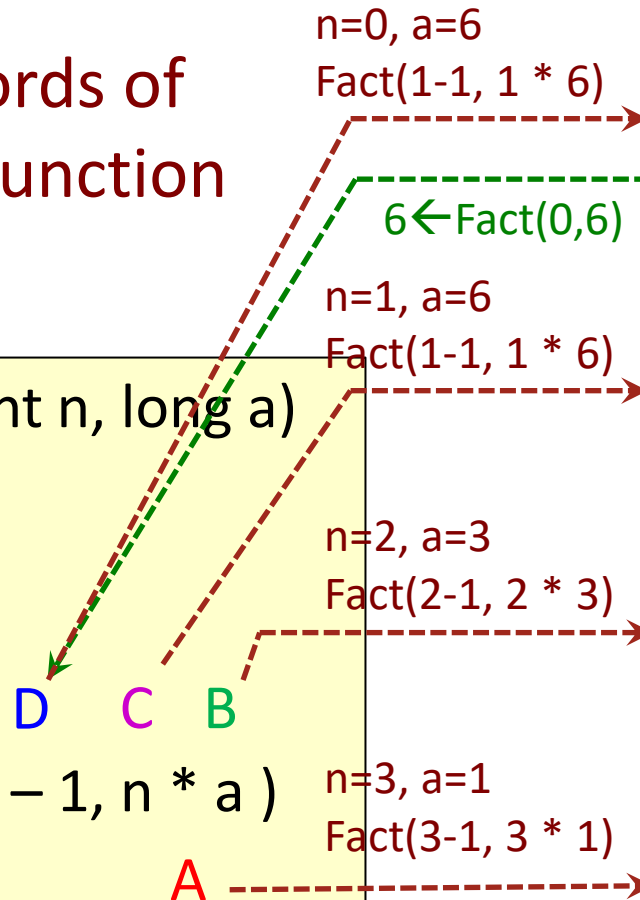


# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```



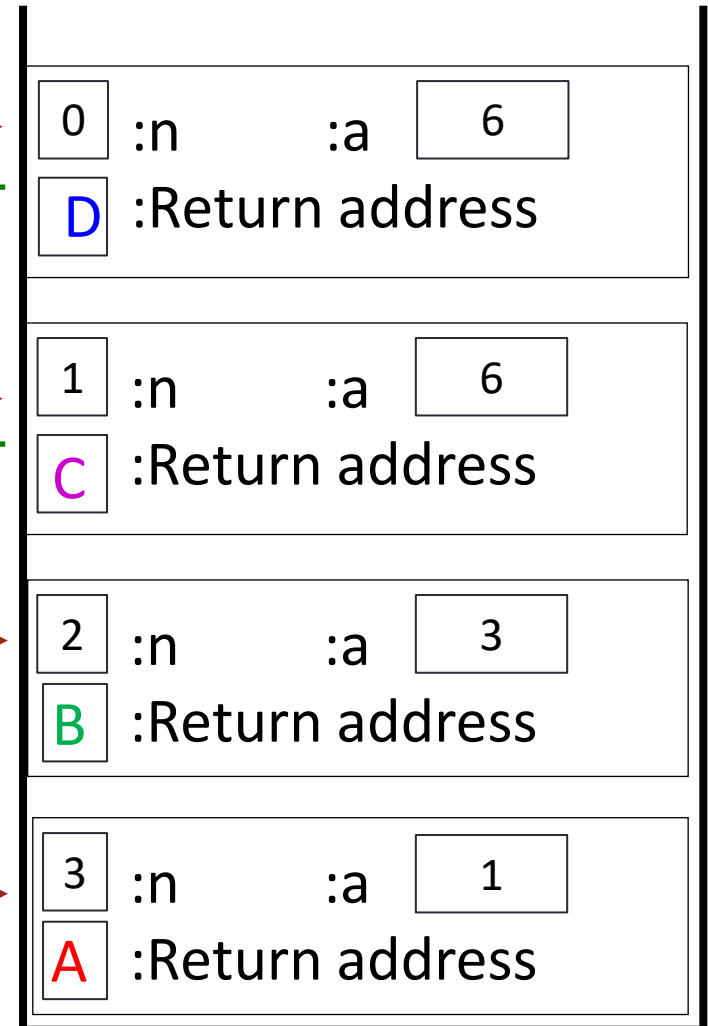
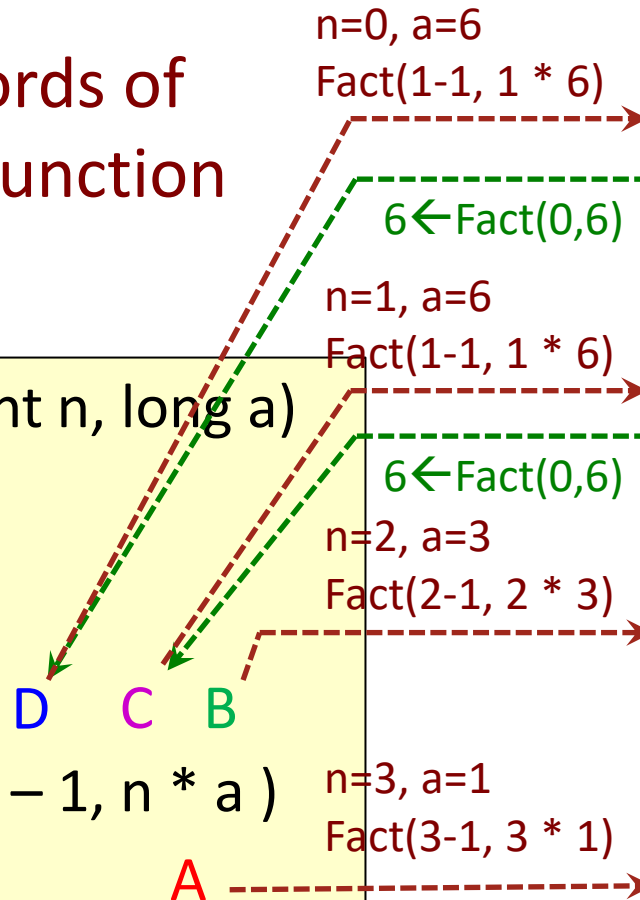
System Stack

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```



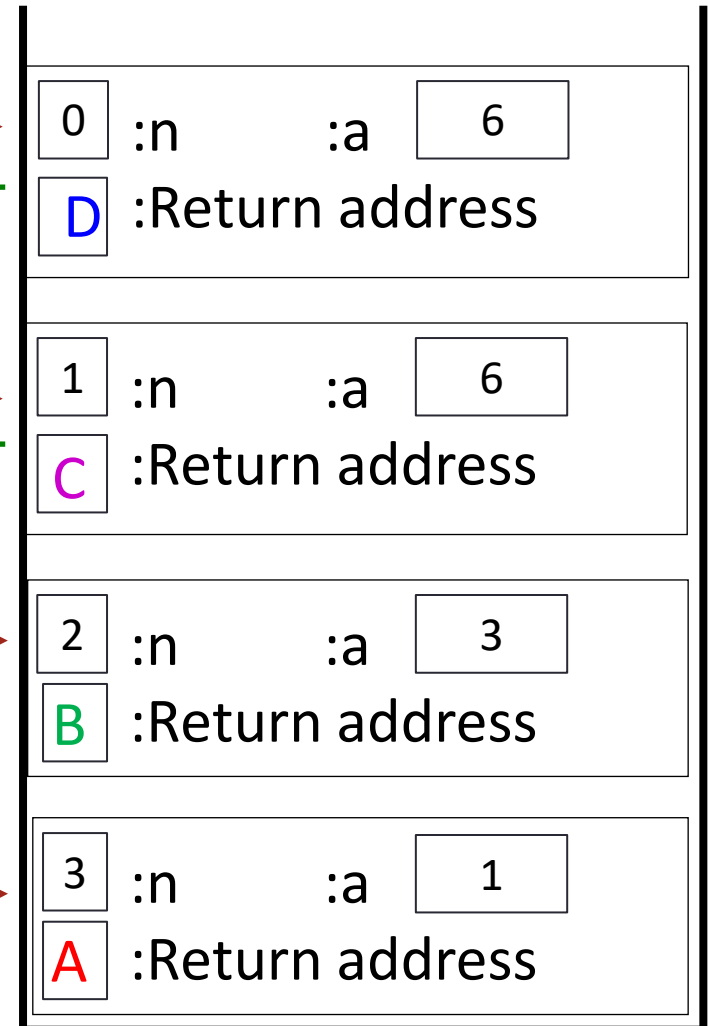
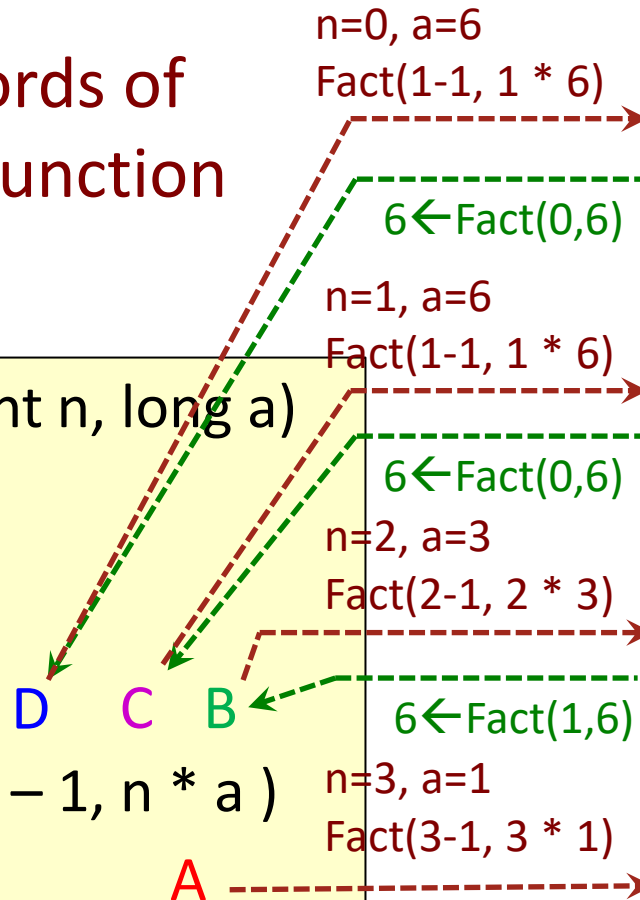
System Stack

# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3, 1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```



System Stack

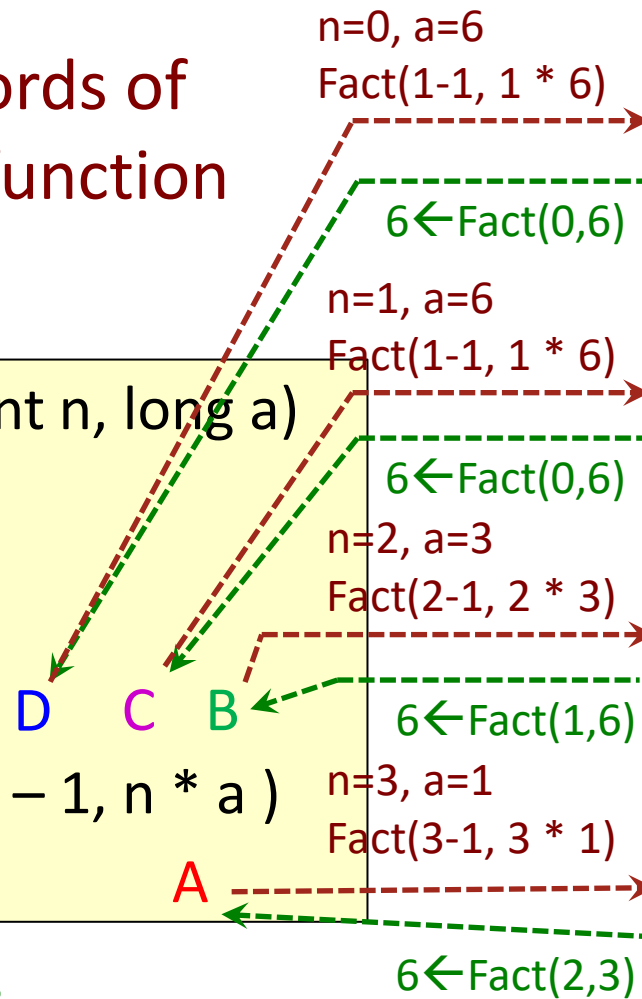
# Recursion and Stacks

Activation records of  
tail-recursion function

$X = \text{Fact}(3,1);$

```
Algorithm: Fact(int n, long a)
{
 if n == 0
 return a;
 else
 return Fact(n - 1, n * a)
}
```

$6 \leftarrow \text{Fact}(3,1);$



|   |                 |    |   |
|---|-----------------|----|---|
| 0 | :n              | :a | 6 |
| D | :Return address |    |   |
| 1 | :n              | :a | 6 |
| C | :Return address |    |   |
| 2 | :n              | :a | 3 |
| B | :Return address |    |   |
| 3 | :n              | :a | 1 |
| A | :Return address |    |   |

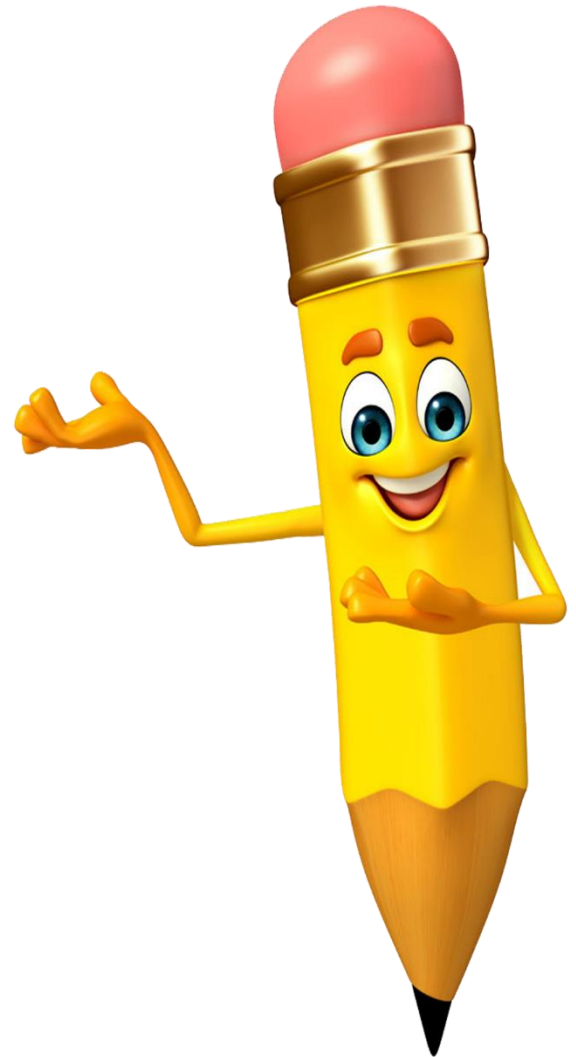
System Stack

# Recurrence Relation

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n - 1) + 1$$



# What is a recurrence relation?

- A recurrence relation, denoted as  $T(n)$ , is a recursive form of equation that involves some constant and integer variable  $n$ .
- Like all recursive functions, it has both recursive case and base case.
- For example:

$$f(n) = \begin{cases} 0, & x = 0 \\ 1, & x = 1 \\ f_{n-1} + f_{n-2}, & x \geq 2 \end{cases}$$

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n - 1) + 1$$

# What is a recurrence relation?

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- For example:

Recurrence relations

$$f(n) = \begin{cases} 0, & x = 0 \\ 1, & x = 1 \\ f_{n-1} + f_{n-2}, & x \geq 2 \end{cases}$$

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n - 1) + 1$$

# What is recurrence relation?

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n - 1) + 1$$



# What is recurrence relation?

$$T(0) = 2$$

$$T(1) = 2$$

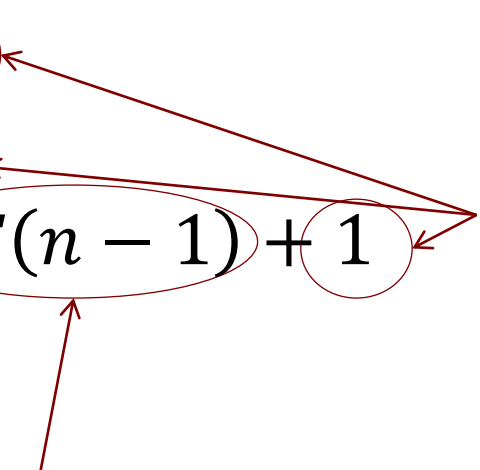
$$T(n) = 2T(n - 1) + 1$$

The term(s) of the relation that **does not** contain T is called the **base case** of the recurrence relation.

# What is recurrence relation?

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n - 1) + 1$$


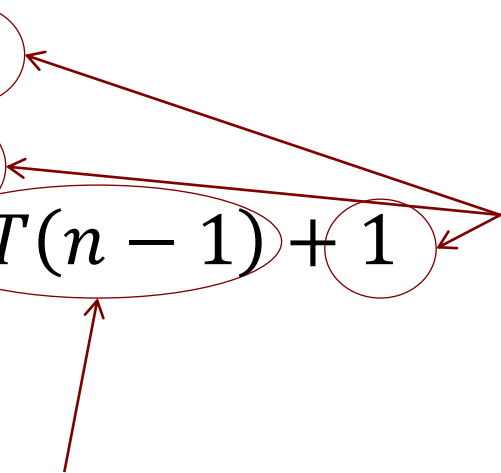
The term(s) of the relation that **does not** contain T is called the **base case** of the recurrence relation.

The term(s) of the relation that contains T is called the **recurrent or recursive case**.

# What is recurrence relation?

$$T(0) = 2$$

$$T(1) = 2$$

$$T(n) = 2T(n-1) + 1$$


The term(s) of the relation that **does not** contain T is called the **base case** of the recurrence relation.

The term(s) of the relation that contains T is called the **recurrent or recursive case**.

- Recurrence relations are useful for expressing the running times, that is, the number of basic operations executed, of recursive algorithms.

# Forming recurrence relations

- For a given recursive method, the base case and the recursive case of its recurrence relation correspond directly to the base case and the recursive case of the method.
- For example, what is the recurrence relation for the following recursive function?

```
public void f (int n) {
 if (n == 0)
 return 1;
 else
 return n * f (n - 1);
}
```

# Forming recurrence relations

- For a given recursive method, the base case and the recursive case of its recurrence relation correspond directly to the base case and the recursive case of the method.
- For example, what is the recurrence relation for the following recursive function?

```
public void f (int n) {
 if (n == 0)
 return 1;
 else
 return n * f (n - 1);
}
```

What function is this? 😊

# Forming recurrence relations

```
public void f (int n) {
 if (n = 0)
 return 1;
 else
 return n * f (n - 1);
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# Forming recurrence relations

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The base case is reached when  $n = 0$ . The function performs **ONE** comparison and a return statement. Hence, the number of operation is some constant, that is  $T(0) = a$ .

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Hence, the recurrence relations are:

$T(0) = a$  for some constant  $a$

$T(n) = T(n-1) + b$  for a constant  $b$  and a recursive term

# Forming recurrence relations

- Another example:

```
public int myFunction (int n) {
 if (n == 1)
 return 1;
 else
 return 2 * myFunction (n / 2) + myFunction (n / 2) + 1;
}
```

- The base case is reached when  $n == 1$ . The function performs one comparison and **ONE** return statement. Hence,  $T(1)$  is some constant  $c$ .
- When  $n > 1$ , the function performs **TWO** recursive calls, each with the parameter  $n/2$ , and some constant number of basic operations.

# Forming recurrence relations

Hence, the recurrence relations are:

$$T(1) = c \quad \text{for some constant } c$$

$$T(n) = 2T\left(\frac{n}{2}\right) + b \quad \text{for a constant } b \text{ and a recursive term}$$

# Solving recurrence relations

- There are four methods to solve recurrence relations that represent the running time of recursive methods:
  - Recursion tree method
  - **Iteration method (unrolling and summing)**
  - **Master method**
  - Substitution method
- We will discuss the iteration method and the master method here.

# Solving recurrence relations – Iteration method

Steps:

- Expand the recurrence relation
- Express the expansion as a summation by plugging the recurrence back into itself until we see a pattern.
- Evaluate the summation.

# Solving recurrence relations – Iteration method

Example:

Form and solve the recurrence relation for the running time of factorial function and hence determine its big-O complexity:

```
public void factorial (int n) {
 if (n == 0)
 return 1;
 else
 return n * factorial (n - 1);
}
```

# Solving recurrence relations – Iteration method

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public void factorial (int n) {
 if (n == 0)
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# Solving recurrence relations – Iteration method

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public void factorial (int n) {
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 return n * factorial (n - 1);
}
```

$$T(0) = c$$

$$T(n) = b + T(n - 1)$$

$$= b + b + T(n - 2)$$

$$= b + b + b + T(n - 3)$$

...

$$= kb + T(n - k)$$



# Solving recurrence relations – Iteration method

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public void factorial (int n) {
 if (n == 0)
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$$T(0) = c$$

$$T(n) = b + T(n - 1)$$

$$= b + b + T(n - 2)$$

$$= b + b + b + T(n - 3)$$

...

$$= kb + T(n - k)$$

When  $k = n$ , we have:

$$T(n) = nb + T(n - n)$$

$$= bn + T(0)$$

$$T(n) = bn + c \text{ since } T(0) = c$$

# Solving recurrence relations – Iteration method

What does  $T(n) = bn + c$  indicate?

# Solving recurrence relations – Iteration method

What does  $T(n) = bn + c$  indicate?

The running time complexity of the factorial function is  $O(n)$ .

# Analysis of recursion binary search

- Search for  $x$  in a **sorted** array  $A$ .

Binary – Search( $A, p, q, x$ )

if  $p \geq q$  return  $-1$ ;

$r = \lfloor (p + q) / 2 \rfloor$

if  $x = A[r]$  return  $r$

else if  $x < A[r]$  Binary – Search( $A, p, r - 1, x$ )

else Binary – Search( $A, r + 1, q, x$ )

- The initial call is Binary-Search( $A, 1, n, x$ )

# Recursion

Write down the **recurrence equation** which describes the running time of the algorithm Binary-Search( $A, 1, n, x$ ) as a function of  $n$ .

```
Binary – Search(A, p, q, x)
 if $p \geq q$ return -1 ;
 $r = \lfloor (p + q)/2 \rfloor$
 if $x = A[r]$ return r
 else if $x < A[r]$ Binary – Search($A, p, r - 1, x$)
 else Binary – Search($A, r + 1, q, x$)
```

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if  $p \geq q$  return  $-1$ ;

$r = \lfloor (p + q) / 2 \rfloor$

if  $x = A[r]$  return  $r$

else if  $x < A[r]$  Binary – Search( $A, p, r - 1, x$ )

else Binary – Search( $A, r + 1, q, x$ )

$$T(n) = c \quad \text{if } n = 1$$

$$T(n) = k + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) \quad \text{if } n > 0$$

# Recursion

What is the upper-bound (big-O) complexity of the algorithm Binary-Search( $A, 1, n, x$ )?

$$\begin{aligned}T(n) &= b + T\left(\frac{n}{2}\right) \\&= b + b + T\left(\frac{n}{(2)^2}\right) \\&= b + b + b + T\left(\frac{n}{(n)^3}\right) \\&\dots \\&= kb + T\left(\frac{n}{(2)^k}\right)\end{aligned}$$

# Recursion

when  $\frac{n}{2^k} = 1$ , we have  $n = 2^k$ , and this happen when  $p = q$ .

Since  $n = 2^k$ , we have  $k = \lg n$  (Note:  $\lg n = \log_2 n$ )

Substituting  $k$  with  $\lg n$  into  $T(n) = kb + T\left(\frac{n}{(2)^k}\right)$ ,  
we have  $T(n) = (\lg n)b + T\left(\frac{n}{(2)^{\lg n}}\right)$ .



# Recursion

Hence the total cost  $T(n) = b(\lg n) + T\left(\frac{n}{2^{\lg n}}\right)$

$= b(\lg n) + T(1)$  (Note:  $2^{\lg n} = n$ )

$= b(\lg n) + c$

$\Rightarrow O(\lg n)$

# Solving recurrence relations – Master method

- The Master method is a general method for solving recurrence relations that arise frequently in divide and conquer algorithms, which have the following form:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Where:

- $a$  is a constant and  $\geq 1$
- $b$  is a constant and  $> 1$
- $f(n)$  is a function of non – negative integer  $n$ .

# Solving recurrence relations – Master method

- The constant  $a$  is the number of sub-problems into which a problem of size  $n$  was divided, and for each division, it is divided into  $b$ .
- For example, a list of size  $n$  is divided into 5 sub-lists, and each time a list or sub-list is divided into *two*. Hence  $a = 5$  and  $b = 2$ .

# Solving recurrence relations – Master method

- Recurrence relation of the form  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$  can be bounded asymptotically as follows:

Case 1: If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$

# Solving recurrence relations – Master method

Case 2: If  $f(n) = \Theta(n^{\log_b a})$ , then, then  
 **$T(n) = \Theta(n^{\log_b a} \lg n)$**

Case 3: If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$ , and all sufficiently large  $n$ , then  **$T(n) = \Theta(f(n))$** .

# Solving recurrence relations – Master method

- For a recurrence relation, we test to verify if the function  $f(n)$  satisfies one of the following:
  - Case 1:  $f(n) \in O(n^{\log_b a - \varepsilon})$  for some  $\varepsilon > 0$ .
  - Case 2:  $f(n) \in \Theta(n^{\log_b a})$
  - Case 3:  $f(n) \in \Omega(n^{\log_b a + \varepsilon})$  for some  $\varepsilon > 0$ , and if  $a \times f(n/b) \leq c \times f(n)$  for some constant  $c < 1$ , and all sufficiently large  $n$ .
- If case 1 is satisfied, then  $T(n) = \Theta(n^{\log_b a})$ .
- If case 2 is satisfied, then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
- If case 3 is satisfied, then  $T(n) = \Theta(f(n))$ .

# Solving recurrence relations – Master method

The master theorem cannot be applied if:

- $T(n)$  is not **monotone**, e.g.,  $T(n) = \sin n$ .
- $f(n)$  is not **polynomial**, e.g.,  $T(n) = 2T(n/2) + 2^n$ .
- $b$  cannot be expressed as a constant, e.g.,  $T(n) = T(\sqrt{n})$ .
- Another important note: the Master Theorem does not solve a recurrence relation.

# Solving recurrence relations – Master method

- For example, from the earlier analysis of the Binary Search algorithm, we have

$$T(n) = c + T\left(\frac{n}{2}\right)$$



# Solving recurrence relations – Master method

- For example, from the earlier analysis of the Binary Search algorithm, we have

$$T(n) = c + T\left(\frac{n}{2}\right)$$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Using Master method,  $a = 1$ ,  $b = 2$ , and  $f(n) = c$ , we can determine the run-time complexity of a Binary Search algorithm as follow:

# Solving recurrence relations – Master method

- For example, from the earlier analysis of the Binary Search algorithm, we have

$$T(n) = c + T\left(\frac{n}{2}\right)$$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Using Master method,  $a = 1$ ,  $b = 2$ , and  $f(n) = c$ .

Test for case 1:

$$\begin{aligned} \text{Is } f(n) = c &\in O\left(n^{\log_b a - \varepsilon}\right) \\ &\in O\left(n^{\log_2 1 - \varepsilon}\right) \\ &\in O\left(n^{0 - \varepsilon}\right) \text{ for some } \varepsilon > 0? \end{aligned}$$

# Solving recurrence relations – Master method

Since  $\varepsilon > 0$ , then  $f(n) = c \notin O(n^{0-\varepsilon})$ .

Hence, the first form of the Master theorem cannot be used.

# Solving recurrence relations – Master method

Test for case 2:

Is  $f(n) = c \in \Theta(n^{\log_b a}) \in \Theta(n^{\log_2 1}) \in \Theta(n^0) \in \Theta(1)$ ?

Since  $f(n) = c \in \Theta(1)$ , the second form of the Master theorem can be used, that is,

$$T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(n^0 \lg n) = \Theta(\lg n)$$

# Solving recurrence relations – Master method

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Since  $f(n) = c \in \Theta(1)$ , the second form of the Master theorem can be used, that is,

$$T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(n^0 \lg n) = \Theta(\lg n)$$

Hence, the running complexity of Binary Search algorithm is  $\Theta(\lg n)$ .

# Other examples

What is the asymptotic order of the following recurrence relation?

$$T(n) = 4T\left(\frac{n}{2}\right) + n$$

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$$T(n) = 4T\left(\frac{n}{2}\right) + n$$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Using Master method,  $a = 4$ ,  $b = 2$ , and  $f(n) = n$ .

# Other examples

Test for case 1:

Is  $f(n) = n \in O(n^{\log_b a - \varepsilon})$  for some  $\varepsilon > 0$ ?

$$n \in O(n^{\log_2 4 - \varepsilon})$$

$$n \in O(n^{2 - \varepsilon})$$

If  $\varepsilon = 1$ , for example, then  $f(n) = n \in O(n^{2-1})$ .



# Other examples

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If  $\varepsilon = 1$ , for example, then  $f(n) = n \in O(n^{2-1})$ .

Hence, the first form of the Master theorem can be used, that is,  $T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 4}) = \Theta(n^2)$ .

# Other examples

∴ the asymptotic complexity of the recurrence relation  $T(n) = 4T\left(\frac{n}{2}\right) + n$  is  $\Theta(n^2)$ .

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Test using case 1:

Is  $f(n) = n^2 \in O(n^{\log_b a - \varepsilon})$  for some  $\varepsilon > 0$ ?

$$n^2 \in O(n^{\log_2 4 - \varepsilon})$$

$$n^2 \in O(n^{2 - \varepsilon})$$

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$n^2 \in \Theta(n^2)$ ?

# Other examples

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Hence, the first form of the Master theorem cannot be used.

Test using case 2:

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$n^2 \in \Theta(n^2)$ ?

Since  $f(n) = n^2 \in \Theta(n^2)$ , the second form of the Master theorem can be used, that is,

$$T(n) = \Theta(n^{\log_b a} \log_2 n) = \Theta(n^2 \log_2 n).$$

# Other examples

Since  $f(n) = n^2 \in \Theta(n^2)$ , the second form of the Master theorem can be used, that is,  
$$T(n) = \Theta(n^{\log_b a} \log_2 n) = \Theta(n^2 \log_2 n).$$

$\therefore$  the asymptotic complexity of the recurrence relation  $T(n) = 4T\left(\frac{n}{2}\right) + n^2$  is  $\Theta(n^2 \log_2 n)$ .



## Other examples

What is the asymptotic order of the following recurrence relation?

$$T(n) = 4T\left(\frac{n}{2}\right) + n^3$$

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$$\begin{aligned} \text{Is } f(n) = n^3 &\in O(n^{\log_b a - \varepsilon}) \\ &\in O(n^{\log_2 4 - \varepsilon}) \\ &\in O(n^{2 - \varepsilon}) \text{ for some } \varepsilon > 0? \end{aligned}$$

If  $\varepsilon = 1$ , for example, then  $f(n) = n^3 \notin O(n)$ .

## Other examples

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$$n^3 \in \Theta(n^{\log_2 4})$$

$$n^3 \in \Theta(n^2)?$$

Since  $f(n) = n^3 \notin \Theta(n^2)$ , the second form of the Master theorem cannot be used.

## Other examples

Test for case 3:

$$\begin{aligned} \text{Is } f(n) = n^3 &\in \Omega(n^{\log_b a + \varepsilon}) \\ &\in \Omega(n^{\log_2 4 + \varepsilon}) \\ &\in \Omega(n^{2 + \varepsilon}) \text{ for some } \varepsilon > 0? \end{aligned}$$

## Other examples

Test for case 3:

$$\begin{aligned} \text{Is } f(n) = n^3 &\in \Omega(n^{\log_b a + \varepsilon}) \\ &\in \Omega(n^{\log_2 4 + \varepsilon}) \\ &\in \Omega(n^{2 + \varepsilon}) \text{ for some } \varepsilon > 0? \end{aligned}$$

If  $\varepsilon = 1$ , for example, then  $f(n) = n^3 \in \Omega(n^{2+1}) \in \Omega(n^3)$ .

# Other examples

- Next, we prove that  $af\left(\frac{n}{b}\right) < cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ .

- That is,

$$af\left(\frac{n}{b}\right) = 4f\left(\frac{n}{2}\right) = 4 \times \frac{n^3}{2^3} = \frac{1}{2}n^3$$

- Since  $\frac{1}{2}n^3 < cf(n)$  for some  $c = 1/2$  and  $n \geq 1$ , hence case 3 of master theorem applies.
- Thus  $T(n) = \Theta(f(n)) = \Theta(n^3)$



## Other examples

∴ the asymptotic complexity of the recurrence relation  $T(n) = 4T\left(\frac{n}{2}\right) + n^3$  is  $\Theta(n^3)$ .