

# CE394M: Stress paths and invariants

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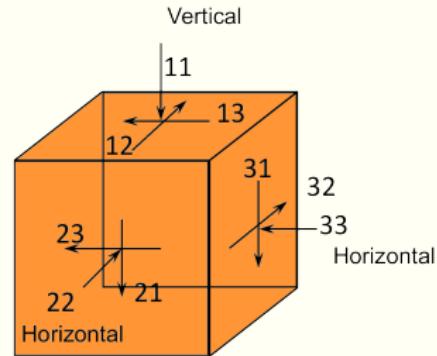
# Overview

- 1 Stresses / strains in typical geotechnical lab tests
- 2 Friction
- 3 Stress invariants
- 4 Soil engineering properties
- 5 Total vs Effective stress

# Stresses / strains

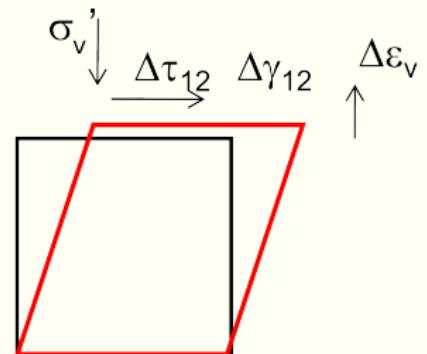
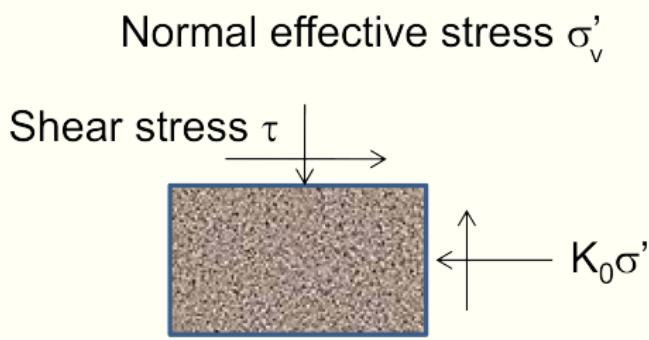
## 1D consolidation / simple shear

## 2D plane strain



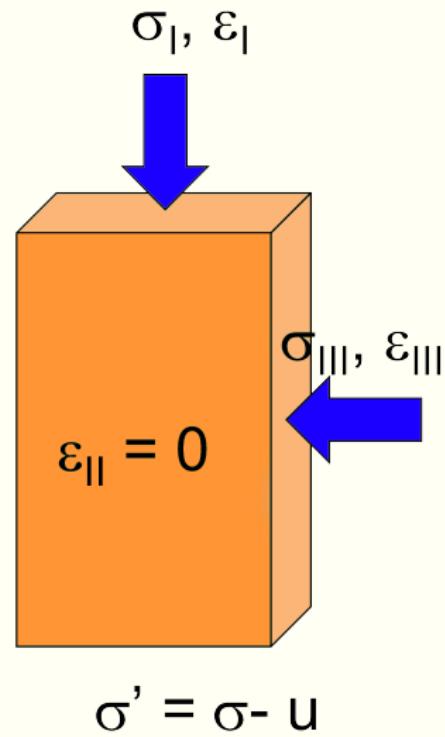
## 3D general (axi-symmetric as a special case)

# 1D simple shear



# 2D plane strain / Mohr-Coulomb model

Stresses and strains: independent components



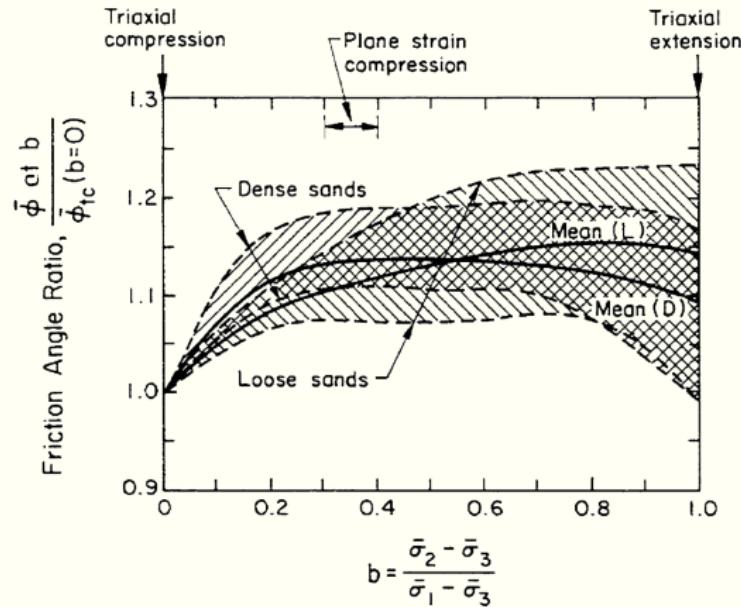
# 2D Mohr circle

# Mohr circle to 2D stress components

Successive Mohr circles and stress path for constant  $\sigma_3$  and increasing  $\sigma_1$ :

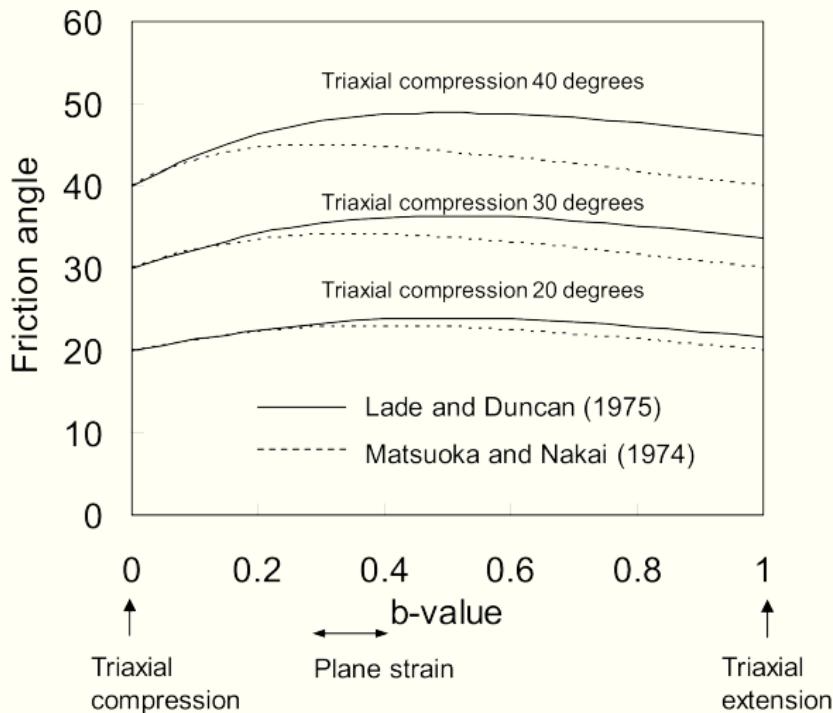
# Effect of $\sigma_{II}$

Bishop (1966) defined **b-value**:



Effect of  $\sigma_{II}$  on friction angle (Kulhawy and Mayne, 1990)

# Effect of $\sigma_{II}$ on friction



Chapter 11., Mitchell and Soga, 2005

## Effect of $\sigma_{II}$ on friction

Various models fit the experimental data showing the intermediate stress effect. Lade and Duncan (1975)

$$\frac{I_1^3}{I_3} = \text{const}$$

Matsuoka and Nakai (1974)

$$\frac{I_1 I_2}{I_3} = \text{const}$$

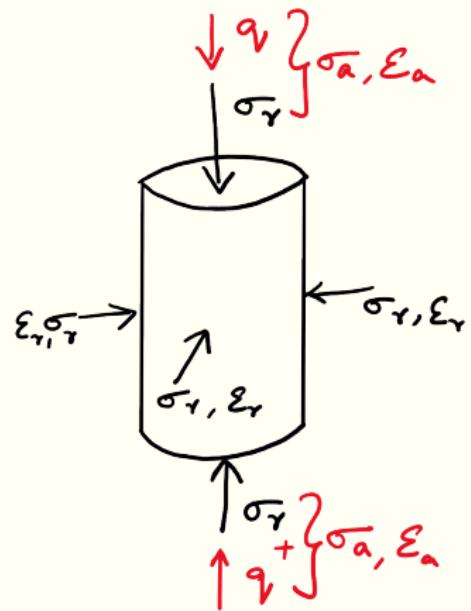
$$I_1 = \sigma_1 + \sigma_2 + \sigma_3$$

$$I_2 = \sigma_1\sigma_2 + \sigma_2\sigma_3 + \sigma_3\sigma_1$$

$$I_3 = \sigma_1\sigma_2\sigma_3$$

Matsuoka and Nakai's model gives the same friction angle for compression and extension, whereas Lade and Duncan's model gives the ratio of the TXE friction angle ( $\phi'_{TXE}$ ) to the TXC friction angle ( $\phi'_{TXC}$ ) to be 1.08 at  $\phi'_{TXC} = 20^\circ$  to 1.15 at  $\phi'_{TXC} = 40^\circ$ .

# Triaxial stresses and strains: independent components



# Triaxial deviatoric strain

- Deviatoric / shear strain:  $\varepsilon_s = 2/3(\varepsilon_a - \varepsilon_r)$
- Why 2/3?:

# Triaxial stress - strain relationship

Relationship in terms of axial and radial direction:

$$\begin{bmatrix} \varepsilon_a \\ \varepsilon_r \end{bmatrix} = \frac{1}{E} \begin{bmatrix} 1 & -2\nu \\ -\nu & (1-\nu) \end{bmatrix} \begin{bmatrix} \sigma_a \\ \sigma_r \end{bmatrix} \quad (1)$$

Relationship in terms of 'p' and 'q':

$$\begin{bmatrix} p \\ q \end{bmatrix} = \begin{bmatrix} 1/3 & 2/3 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} \sigma_a \\ \sigma_r \end{bmatrix} \quad \text{invert} \rightarrow \begin{bmatrix} \sigma_a \\ \sigma_r \end{bmatrix} = \begin{bmatrix} 1 & 2/3 \\ 1 & -1/3 \end{bmatrix} \begin{bmatrix} p \\ q \end{bmatrix} \quad (2)$$

Strain:

$$\begin{bmatrix} \varepsilon_p \\ \varepsilon_q \end{bmatrix} = \begin{bmatrix} 1 & 2 \\ 2/3 & -2/3 \end{bmatrix} \begin{bmatrix} \varepsilon_a \\ \varepsilon_r \end{bmatrix} \quad (3)$$

# Volume - shear coupling

Equation (1) and eq. (2) in eq. (3) to relate  $\varepsilon_p, \varepsilon_q$  to  $p, q$ :

$$\begin{bmatrix} \varepsilon_p \\ \varepsilon_q \end{bmatrix} = \begin{bmatrix} 3(1 - 2\nu)/E & 0 \\ 0 & 2(1 + \nu)/3E \end{bmatrix} \begin{bmatrix} p \\ q \end{bmatrix}$$

## Volume shear coupling

Usually volume change and shear deformation are decoupled (i.e. bulk modulus and shear modulus), but in soils they are coupled:

# Mohr circle to 3D stress components

Successive Mohr circles and stress path for constant  $\sigma_3$  and increasing  $\sigma_1$ :

# Stress paths p-q

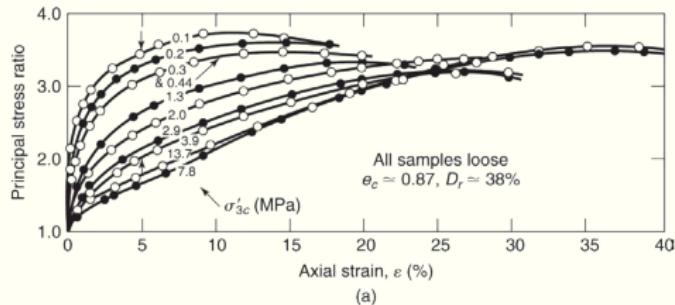
Different stress paths for initially hydrostatic stress conditions:

# Triaxial compression

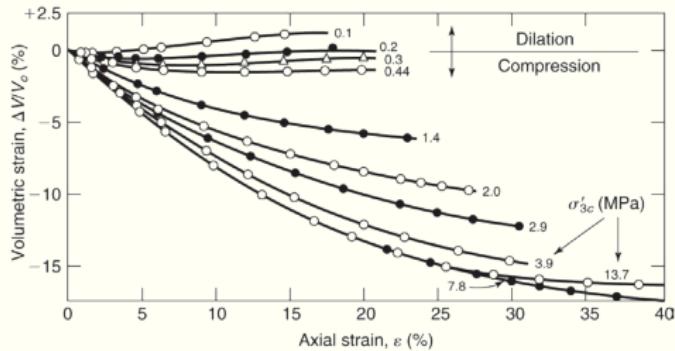
TXC with constant back pressure  $u_o$  (TSP: Total stress path; ESP: Effective stress path)

# Triaxial compression undrained: loose v dense

# Triaxial compression drained: loose

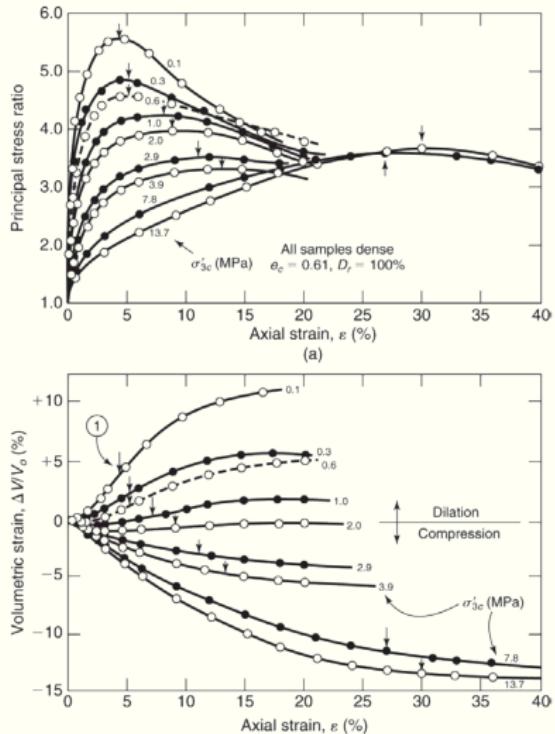


(a)



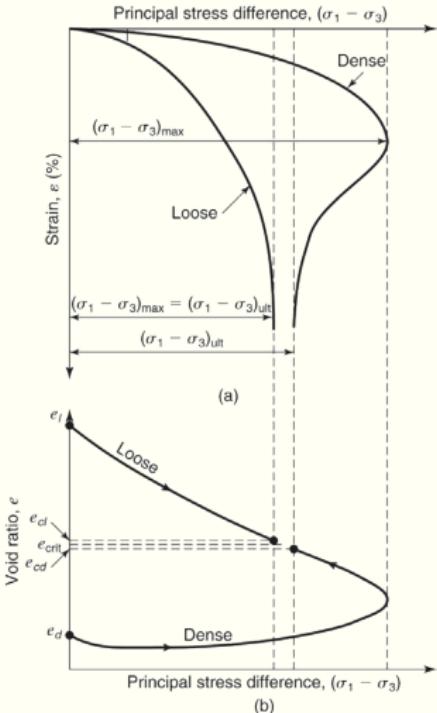
Loose Sacramento River sand: (a) principal stress ratio versus axial strain; (b) volumetric strain versus axial strain (Lee, 1965).

# Triaxial compression drained: dense



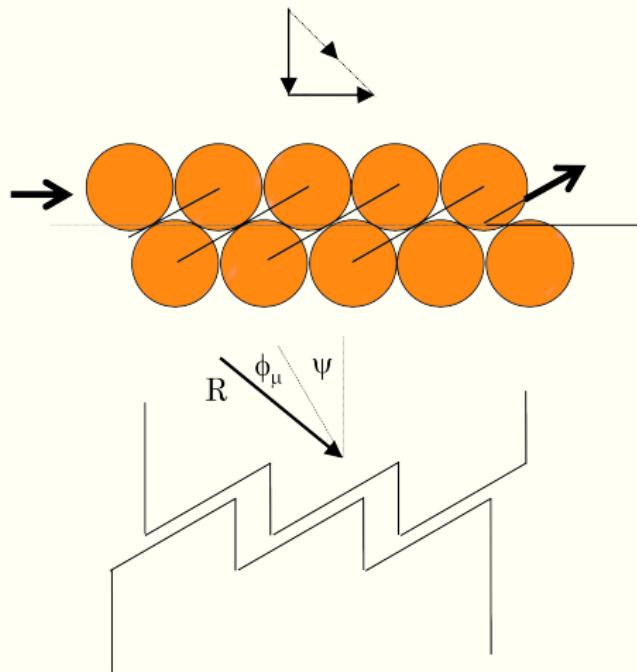
Dense Sacramento River sand: (a) principal stress ratio versus axial strain; (b) volumetric strain versus axial strain (Lee, 1965).

# Triaxial compression drained: loose v dense



Triaxial tests on “loose” and “dense” specimens of a typical sand: (a) stress-strain curves; (b) void ratio changes during shear (Hirschfeld, 1963).

# Friction: Is this correct?



$$\phi_{ss} = \phi_\mu + \psi_{ss}$$

# Discrete Element Method

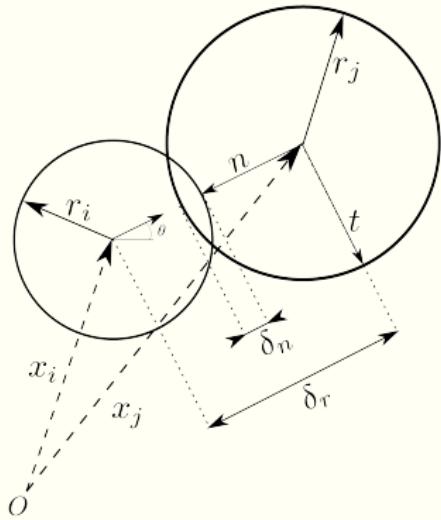
- ① Particle level interaction based on Newton's equation of motion
- ② The contact normal force is computed as:

$$F_n = \begin{cases} 0, & \delta_n > 0 \\ -k_n \delta_n - \gamma_n \frac{d\delta_n}{dt}, & \delta_n < 0 \end{cases}$$

- ③ The contact tangential force is computed in a similar way, but has a frictional limit.

$$F_t \leq \mu F_n$$

- ④ Solve Newton's second law and the angular momentum equation (including rotational resistance).

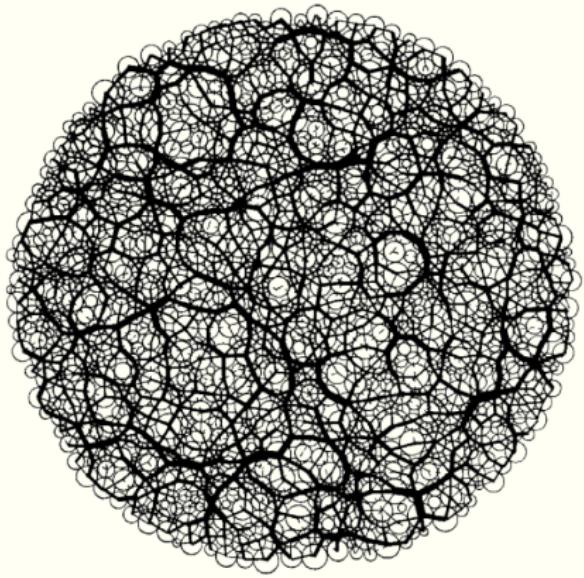


# Interparticle friction angles

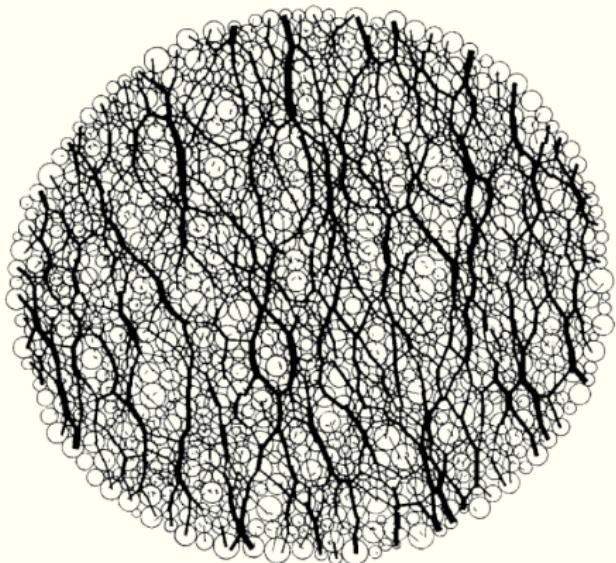
- For Quartz Sands: 26 degrees
- For Sheet Minerals (muscovite, phlogopite, biotite and chlorite): 7 - 13 degrees
  - Water acts as a lubricant
- Clay minerals: Probably 7 - 13 degrees
  - Similar to reported residual friction angles.
  - Sodium Montmorillonite: 4 degrees

# Strong force network vs weak clusters

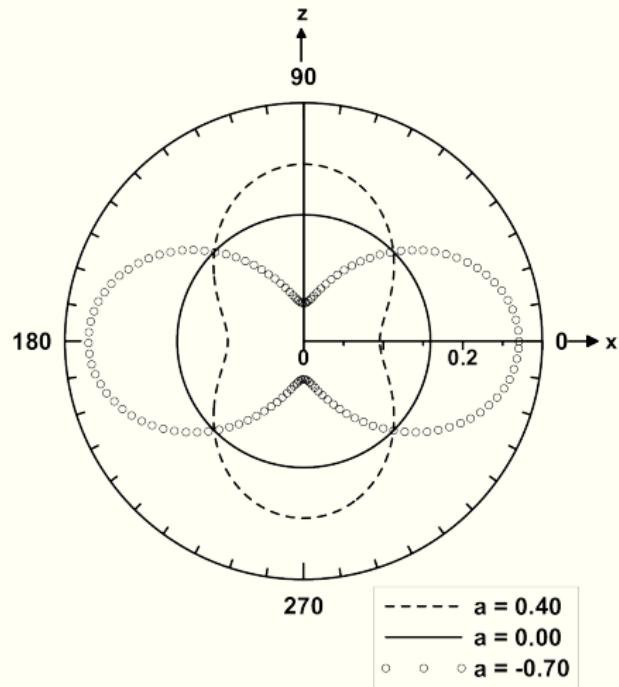
Isotropic Loading



Biaxial Loading

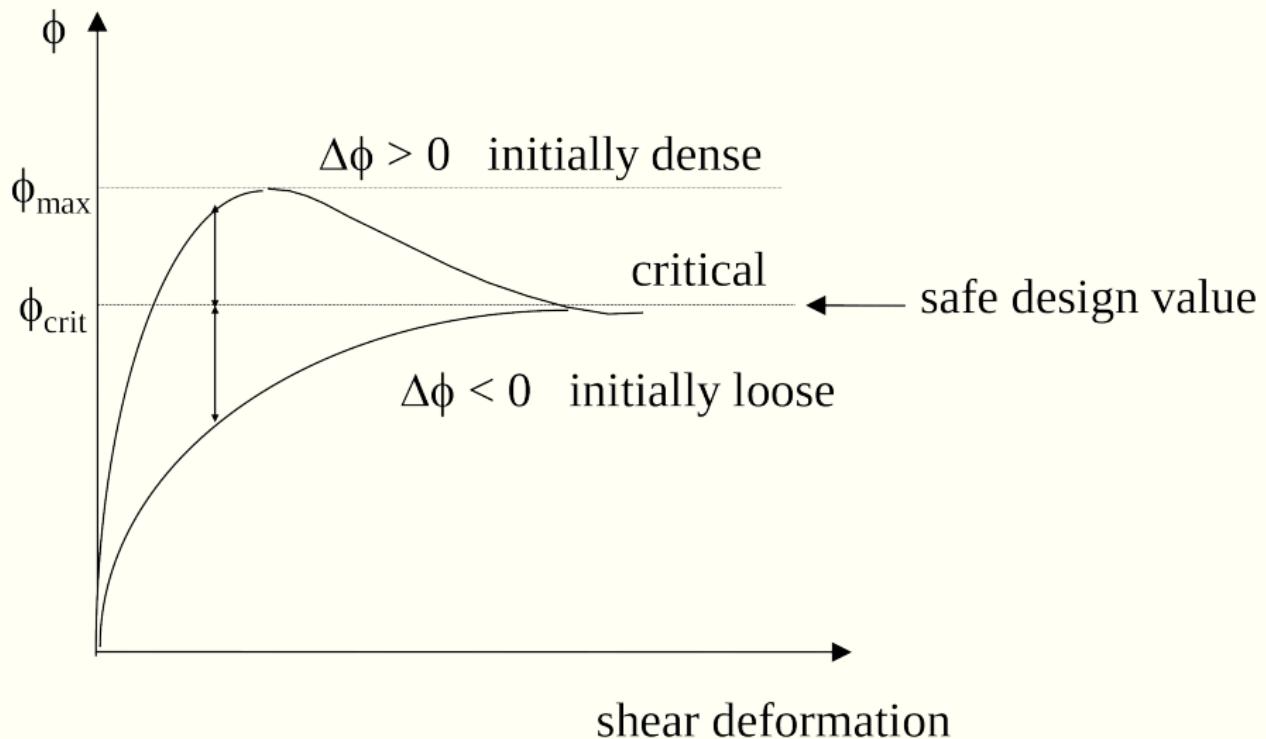


# Fabric anisotropy

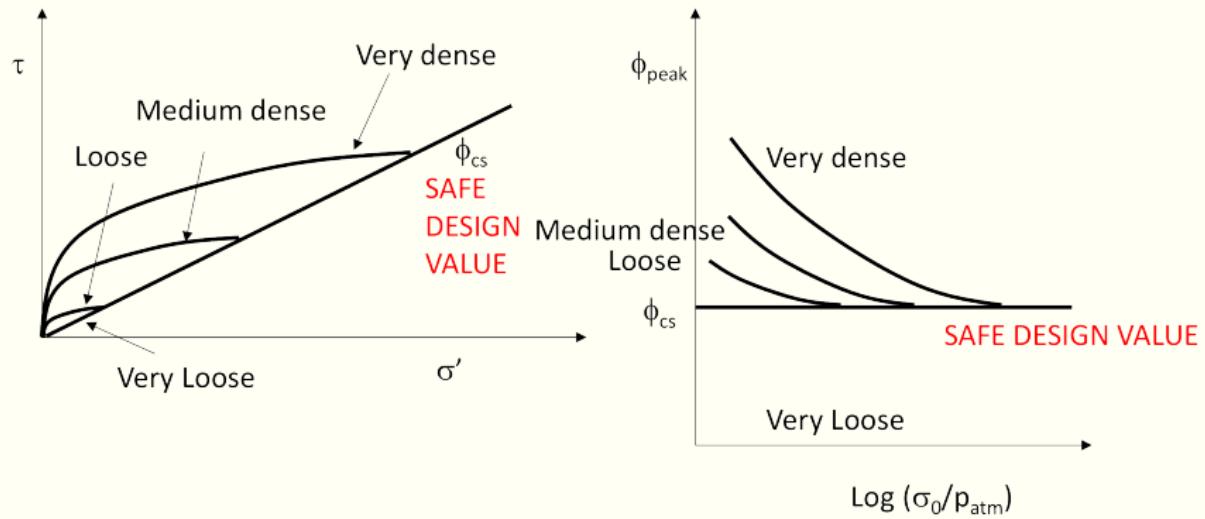


Fabric anisotropy under different stress conditions

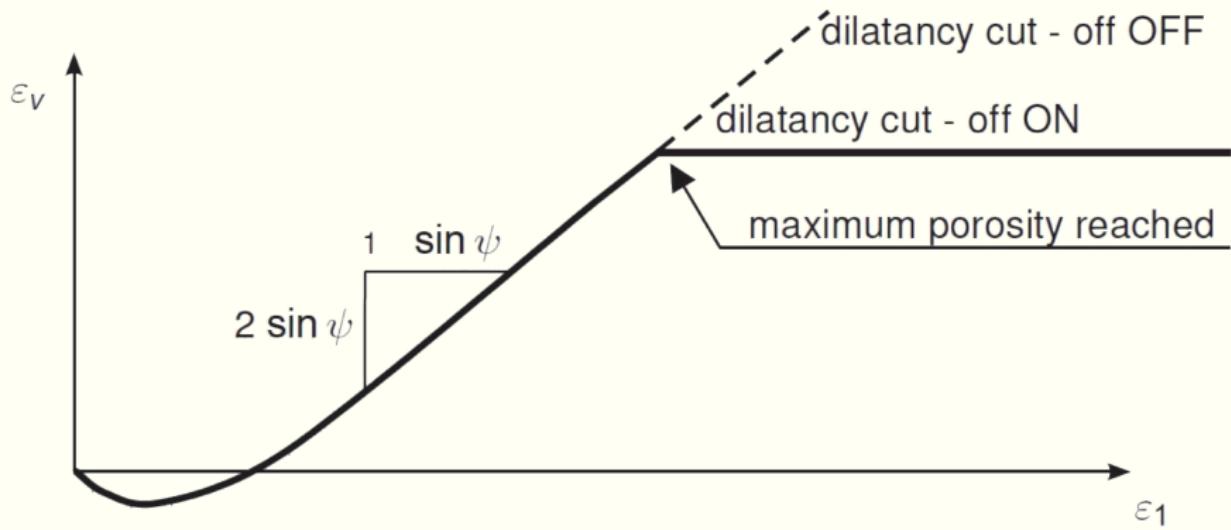
Macroscopically, as soil aggregates...



# Critical state friction angle and density



# Dilation angle $\psi$



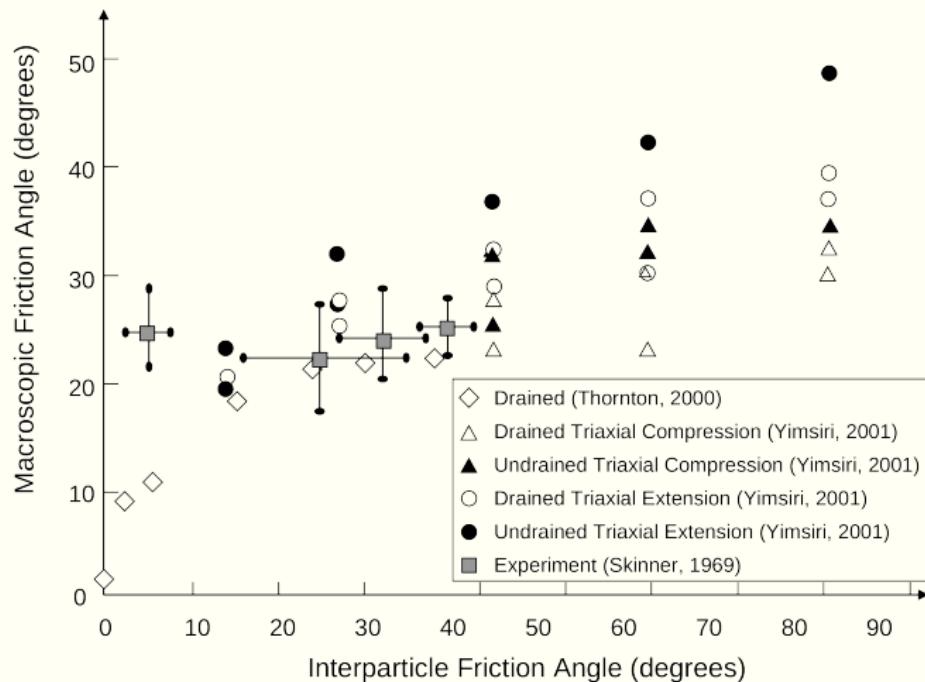
# Friction angle (Bolton., 1986)

Friction angle

# Macroscopic friction angle

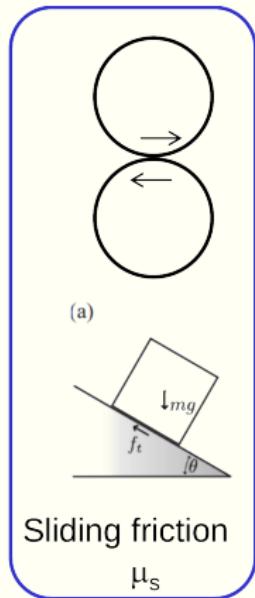
- $\phi_{crit}$  is the angle of friction measured at constant volume of a soil aggregate, and  $\Delta\phi$  dilatancy is the extra dilatant contribution to friction angle  $\phi$ . Typical values are:
- Critical state friction  $\phi_{crit}$ :
  - clay:  $22^\circ$
  - uniform rounded sand:  $32^\circ$
  - well-graded angular sandy gravel:  $38^\circ$
- peak strength of pre-compressed or uncrushable grains, densely compacted, and: shearing in plane strain  $\Delta\phi$ :
  - shearing in plane strain:  $\Delta\phi_{max} = 20^\circ$
  - shearing in axial symmetry:  $\Delta\phi_{max} = 12^\circ$

# Micro to Macroscopic friction angle

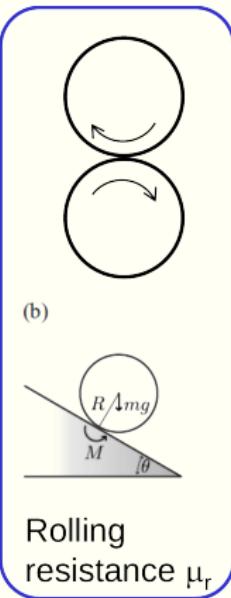


Relationship between macroscopic friction angle and interparticle friction angle (no rolling resistance) - Yimisir and Soga (2001)

# Micro to Macroscopic friction angle: Rolling resistance



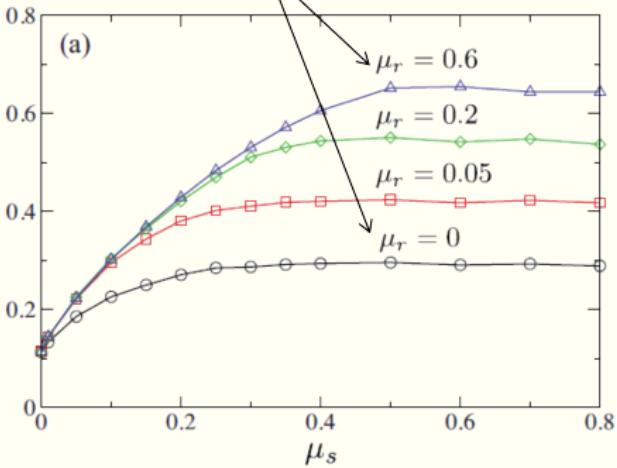
Sliding friction  
 $\mu_s$



Rolling  
resistance  $\mu_r$

Macroscopic friction angle  
 $\mu^* = \tan \phi_{\text{crit}}$

Different rolling resistances

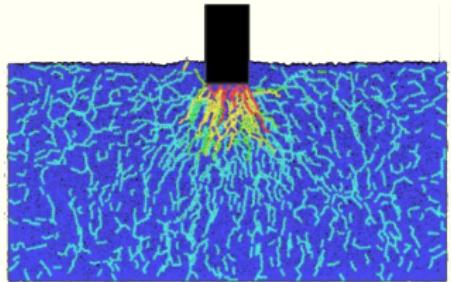


Microscopic sliding friction at  
particle contacts     $\mu_s = \tan \phi_\mu$

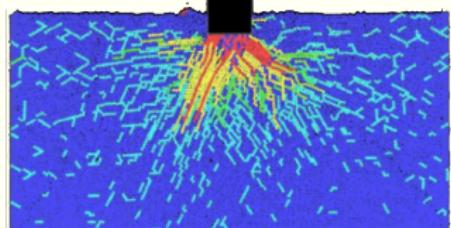
Estrada et al., (2001)

# Interparticle friction angles

- The interparticle friction acts as a kinematic constraint of the strong force network and not as the direct source of macroscopic resistance to shear.
- Increased friction at the contacts increases the stability of the system (development of anisotropic fabric) and reduces the number of contacts required to achieve a stable condition.
- As long as the strong force network can be formed, the magnitude of the interparticle friction becomes of secondary importance.



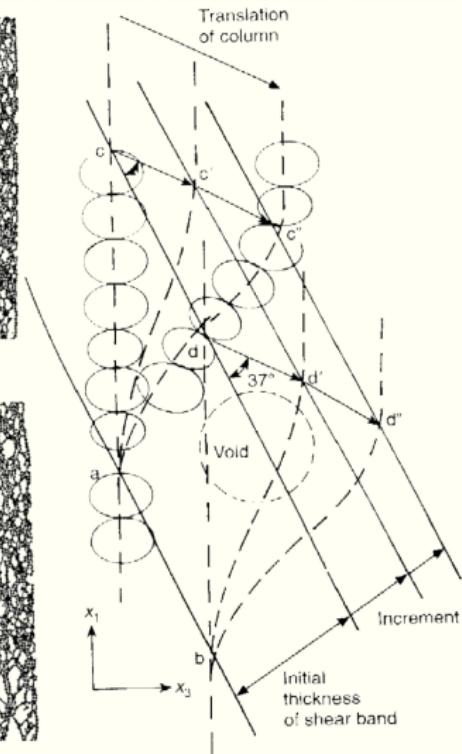
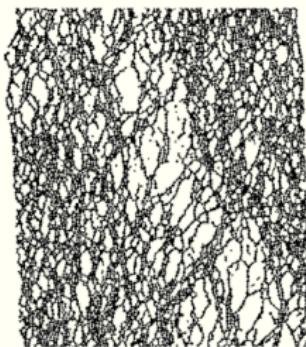
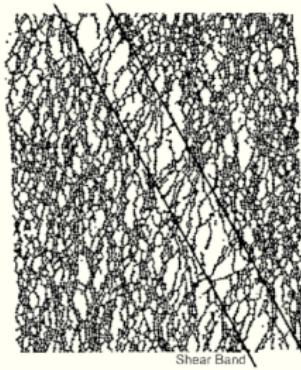
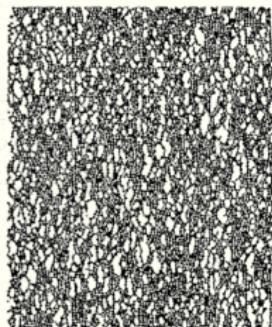
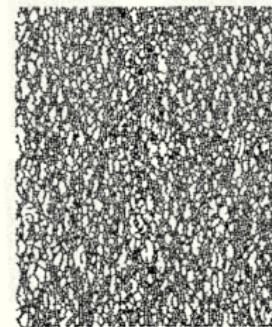
Loose



Dense

Muthuswamy and  
Tordesillas (2006)

# What is dilation? shear band



Iwashita and Oda (2000)

# Fabric evolution at critical state

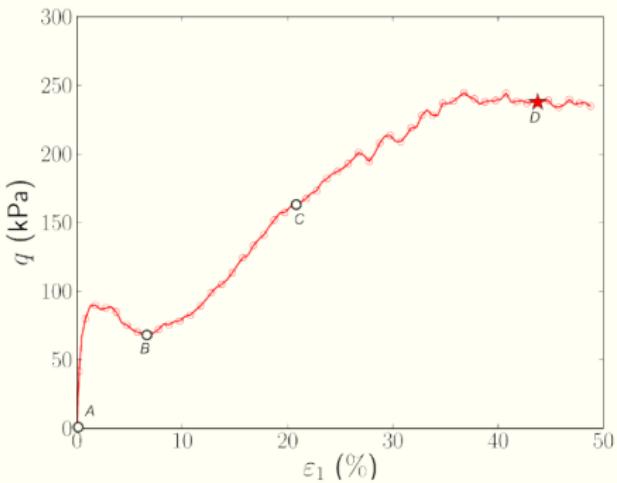


Fig.1 Undrained shear response of a medium dense sand and four stress states selected for examination of internal structure.

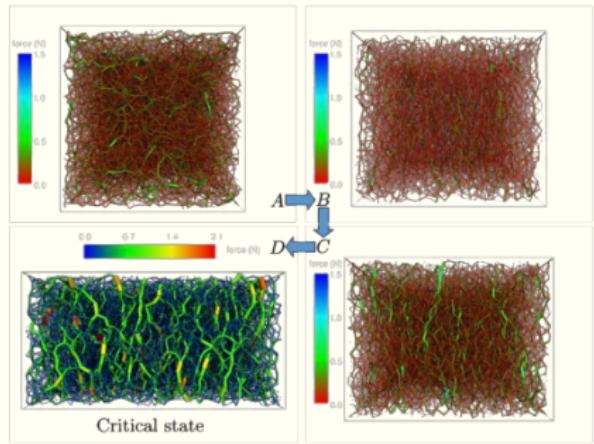


Fig.2 Evolution of Contact Force Network towards the critical state (see [Guo & Zhao \[Computers & Geotechnics, 2013\]](#)).

Guo and Zhao (2003)

# Stress and strain invariants in 3D

## 6 stresses and strains

- Mean pressure:
- Deviator stress:  $q = \sqrt{3/2} \sqrt{s_{11}^2 + s_{22}^2 + s_{33}^2 + 2\tau_{12}^2 + 2\tau_{23}^2 + 2\tau_{31}^2}$
- where  $s_{11} = \sigma'_{11} - p'$ ,  $s_{22} = \sigma'_{22} - p'$ ,  $s_{33} = \sigma'_{33} - p'$
- Volumetric strain:
- Deviatoric strain:  
$$\varepsilon_s = \sqrt{2/3} \sqrt{e_{11}^2 + e_{22}^2 + e_{33}^2 + \gamma_{12}^2/2 + \gamma_{23}^2/2 + \gamma_{31}^2/2}$$
- where  $e_{11} = \varepsilon_{11} - \varepsilon_v/3$ ,  $e_{22} = \varepsilon_{22} - \varepsilon_v/3$ ,  $e_{33} = \varepsilon_{33} - \varepsilon_v/3$

# Stress and strain invariants in 3D

## 3 Principal stresses and strains

- Mean pressure:
- Deviator stress:  $q = \sqrt{1/2} \sqrt{(\sigma'_I - \sigma'_{II})^2 + (\sigma'_{II} - \sigma'_{III})^2 + (\sigma'_{III} - \sigma'_I)^2}$
- Volumetric strain:
- Deviatoric strain:  $\varepsilon_s = \frac{\sqrt{2}}{3} \sqrt{(\varepsilon'_I - \varepsilon'_{II})^2 + (\varepsilon'_{II} - \varepsilon'_{III})^2 + (\varepsilon'_{III} - \varepsilon'_I)^2}$

**In triaxial condition (principal stresses/strains)**

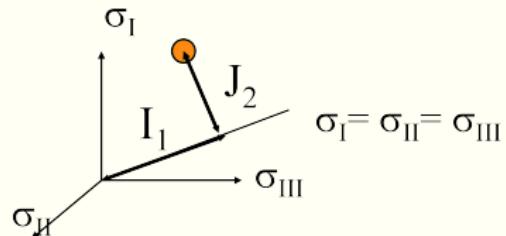
# The missing stress invariant

- **General stresses:**
- **Principal stresses:**

# Stress invariant

Principal stresses (3 components)  $\Leftrightarrow$  Invariants (3 components)

- $I_1 =$



- $s_{ij} =$

- $J_2 =$

Lode angle:

- $J_2 =$

- $\sin 3\theta = \frac{-3\sqrt{3}}{2} \frac{J_3}{J_2^{3/2}}$

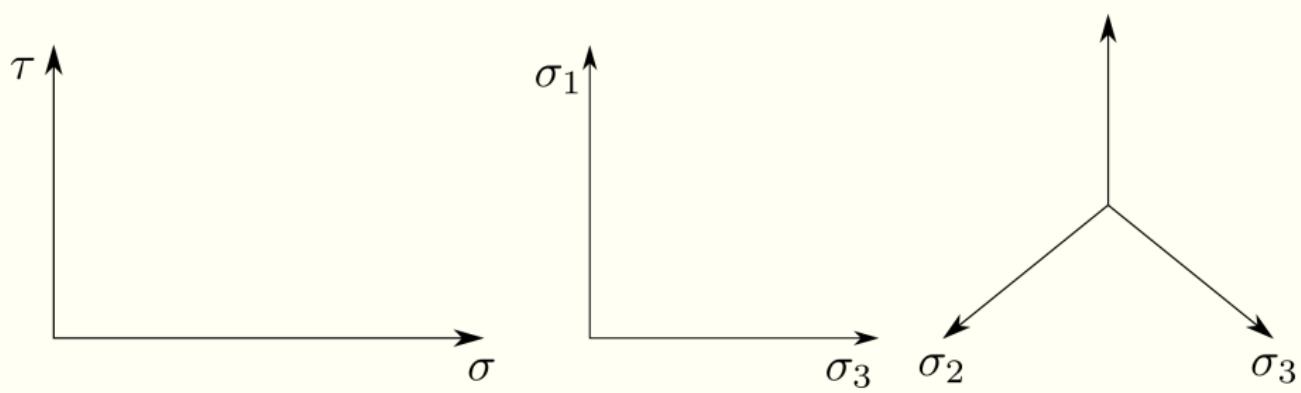
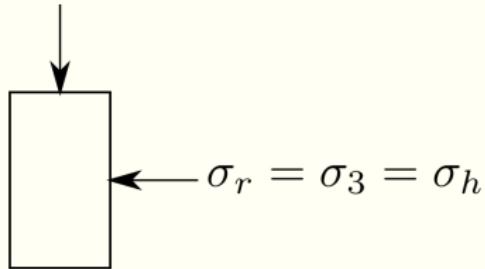
- $\theta = \tan^{-1} \left[ \frac{1}{\sqrt{3}} \left( 2 \frac{(\sigma_2 - \sigma_3)}{(\sigma_1 - \sigma_3)} - 1 \right) \right]$

- $q =$

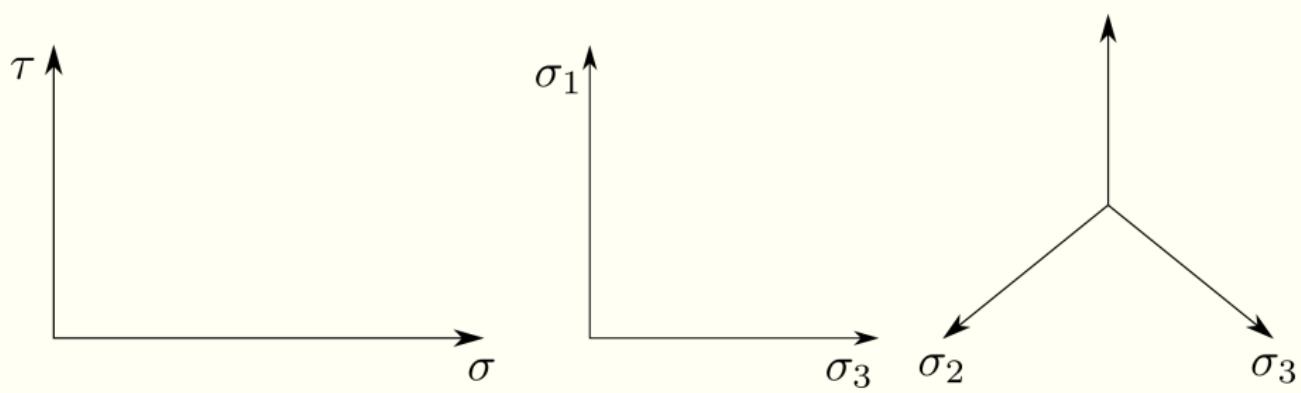
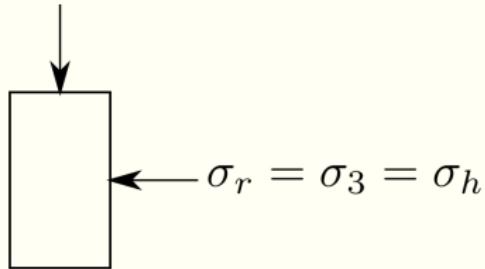
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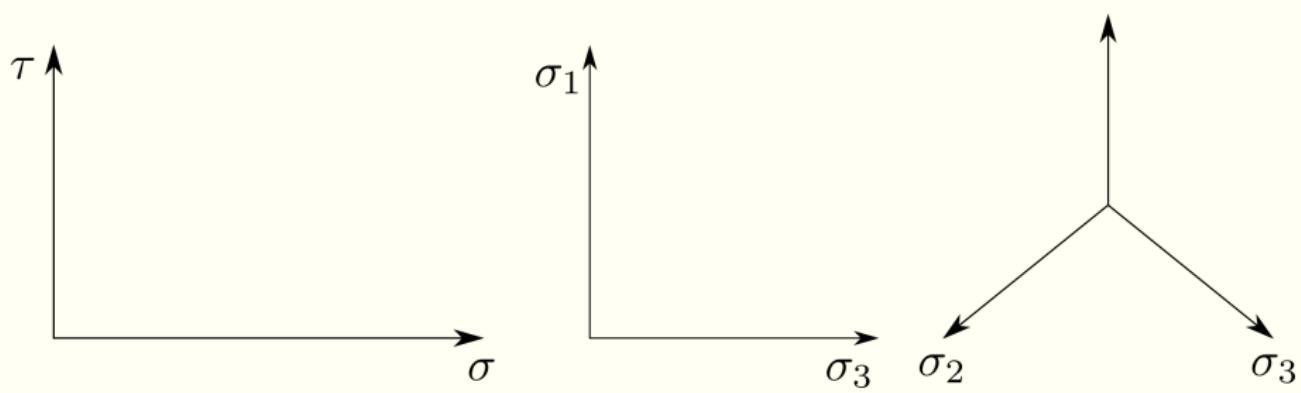
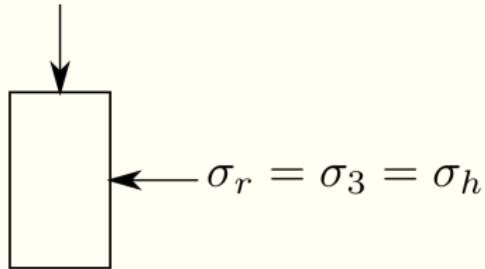
## $\pi$ plane: Triaxial compression



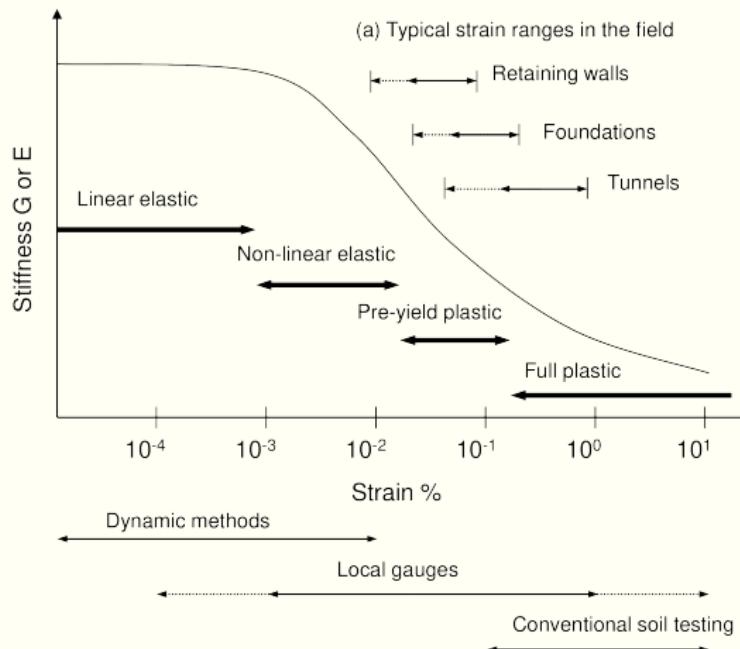
## $\pi$ plane: Triaxial extension



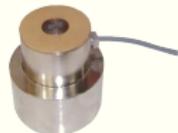
# $\pi$ plane: Random stress paths



# Stiffness: small to large strains

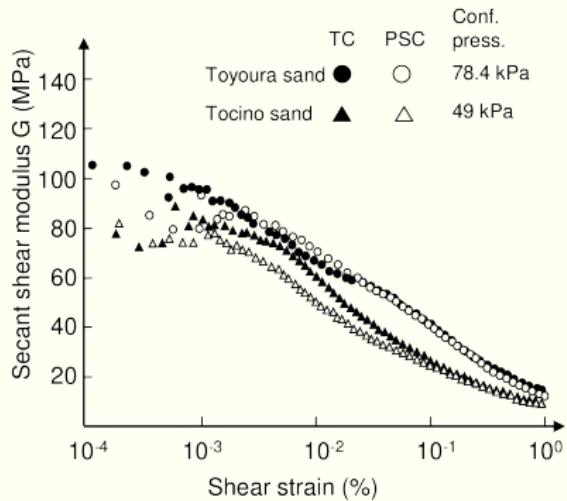


Local gauges

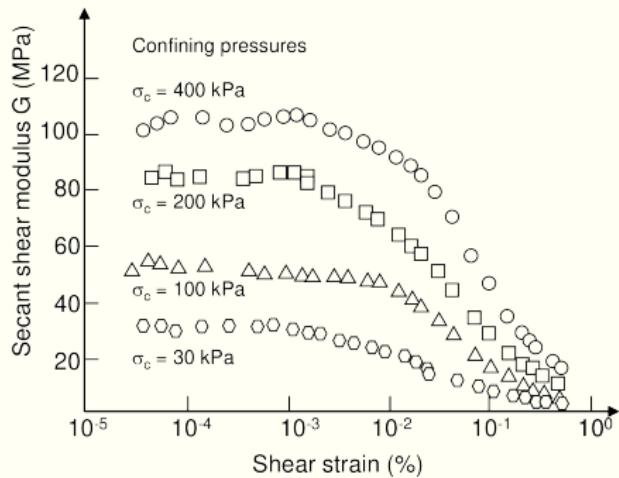


## Bender element (GDS)

# Stiffness: small to large strains

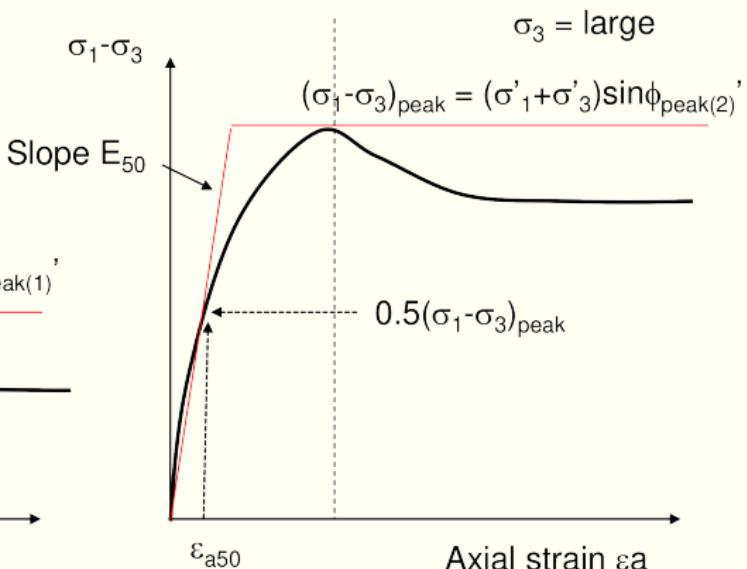
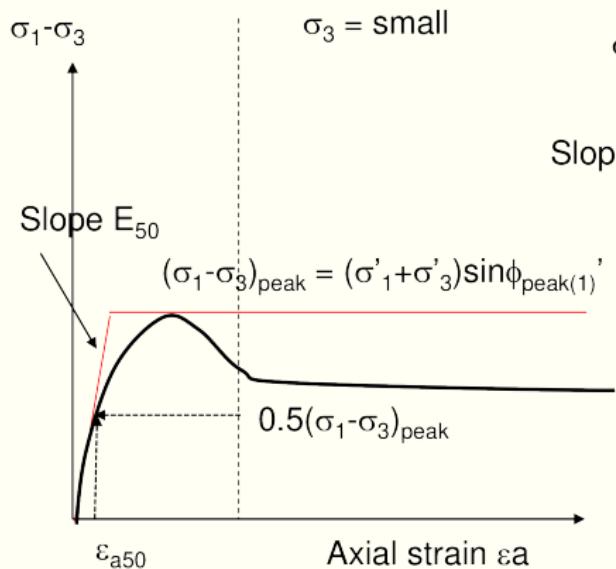


(a) Toyoura and Tocino sands

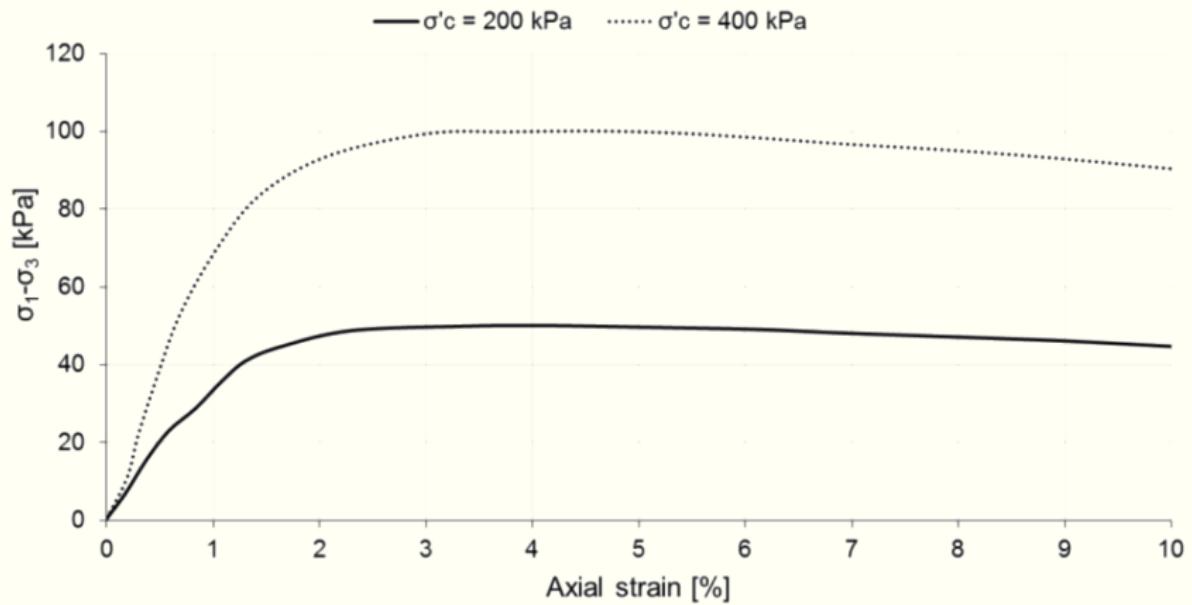


(b) Kaolin clay

# Stiffness at intermediate strains

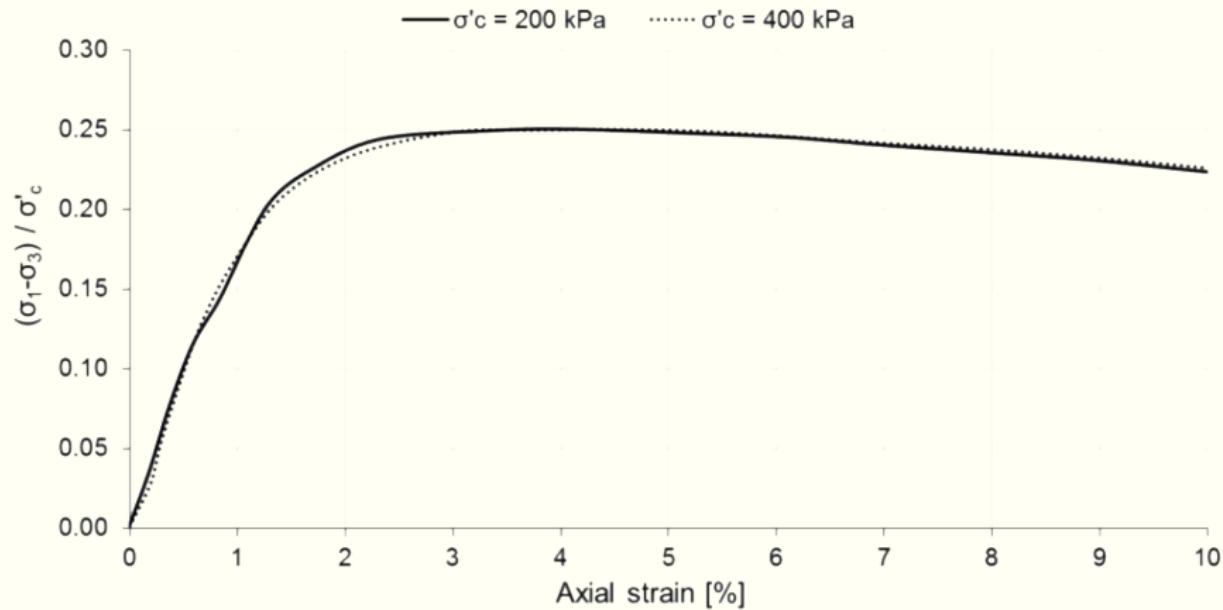


# Undrained strength



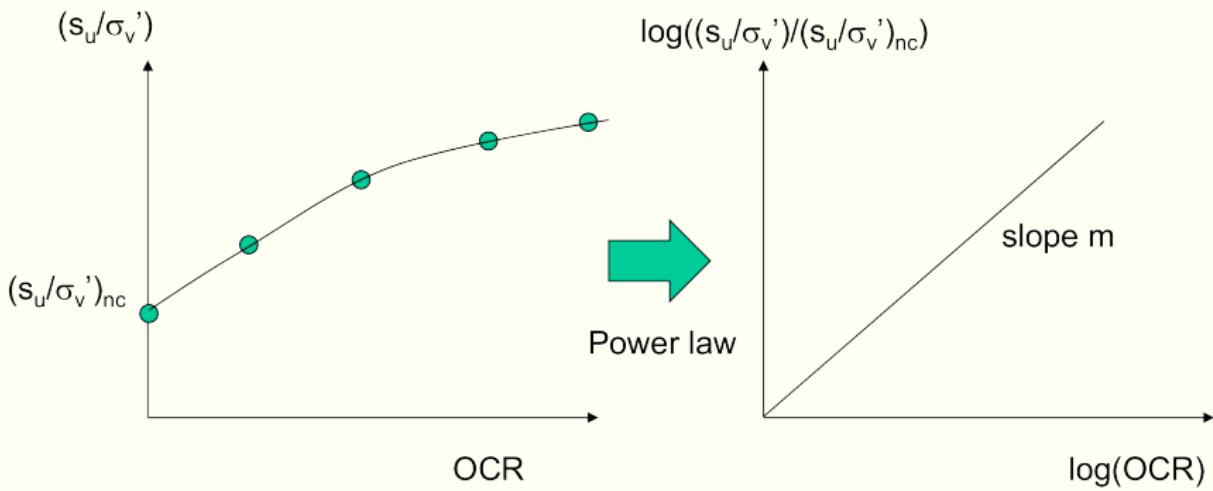
Triaxial compression test data of homogeneous clay (Ladd & Foott, 1974)

# Normalized undrained strength



Normalised triaxial compression test data of homogeneous clay (Ladd & Foott, 1974)

# Stress History and Normalized Soil Engineering Properties (SHANSEP) (Ladd and Foote, 1974)



# SHANSEP procedure

# TSP v ESP footing: Tresca failure

- Better! Conduct Total Stress Analysis:  $s_u = \sigma_1 - \sigma_3$ 
  - But  $s_u$  needs to be defined at different locations even if it is the same soil.
  - We can do quick undrained (UU) tests to obtain spatial variation of  $s_u$ . Hence, practical.
  - No information on pore pressure, so cannot assess the long-term consolidation deformation behavior.
- Effective analysis  $= (\sigma'_1 - \sigma'_3)/(\sigma'_1 + \sigma'_3) = \sin \phi'$ :
  - If it is the same soil, can use the same soil properties (such as  $\phi'$ ) and need to conduct CU tests.
  - But need to compute the excess pore pressure at different locations.
  - This is difficult: only a good effective stress constitutive model that can predict the excess pore pressure correctly can do this.
  - If pore pressure profile can be computed, then it can be used to evaluate the subsequent consolidation process.