

5주차 RECURSION, DICTIONARIES

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MUTABLE, IMMUTABLE

- Mutable – 값이 변할 수 있는 것.
이유 call by reference
- Immutable – 값이 변하면 변수 id
가 달라지는 것. 이유 call by
value
- 변경가능한 객체에는 리스트(list)
와 딕셔너리(dict) 이 있습니다.
- 변경불가능한 객체에는 일반적
인 자료형 int, string 등 과 튜플
(tuple) 등

```
a = 1 #1
>>> id(a)
140729439544144
>>> a += 1 #2
>>> id(a)
140729439544176
```



String

```
a = 'a' #a
>>> id(a)
1985199052704
>>> a += 'b' #ab
>>> id(a)
1985209620944
```



Tuple

```
>>> a = (1,2) #(1,2)
>>> id(a)
1985209935624
>>> a += (3,) #(1,2,3)
>>> id(a)
1985209858448
```

```
>>> a = [1,2]
```

```
>>> b = a
```

```
>>> a == b
```

True

```
>>> b.append(3)
```

```
>>> a == b
```

True

```
#b = [1,2]
```

```
#a = [1,2] , b = [1,2]
```

```
#b = [1,2,3]
```

```
#a = [1,2,3] , b = [1,2,3]
```



Dict

```
>>> a = {1:'a'}
```

```
>>> b = a
```

```
>>> a == b
```

True

```
>>> b[2] = 'b'
```

```
>>> a == b
```

True

```
#b = {1:'a'}
```

```
#a = {1:'a'} , b = {1:'a'}
```

```
#b = {1:'a',2:'b'}
```

```
#a = {1:'a',2:'b'} , b = {1:'a',2:'b'}
```

- `b=a+[4,]`
- `c.append(5)`

```
a=[1, 2, 3]
b=a
c=a
print(id(a), id(b), id(c))
b=a+[4,]
print(a, b, c, id(b))
c.append(5)
print(a, b, c)
```

```
2155290353928 2155290353928 2155290353928
[1, 2, 3] [1, 2, 3, 4] [1, 2, 3] 2155290320712
[1, 2, 3, 5] [1, 2, 3, 4] [1, 2, 3, 5]
```

- List는 function 에 reference 만 pass
- Function 에서 list의 element를 바꾸어도 calling function 의 그 list 값도 변한다.

```
def list_print2(m):
    m[0]=4
    print("in the function", id(m))
```

```
m_list = [1, 2, 3]
print("in the main", id(m_list))
list_print2(m_list)
print(m_list)
-----
in the main 1816429127688
in the function 1816429127688
[4, 2, 3]
```

```
def list_print1(l):
    l=l*2
    print("in the function", id(l))
    print(l)
```

```
l_list = [1, 2, 3]
print("in the main", id(l_list))
list_print1(l_list)
print(l_list)
-----
in the main 1816450451400
in the function 1816450457864
[1, 2, 3, 1, 2, 3]
[1, 2, 3]
```

```
def string_print(ls):
```

```
#    ls = ls*2
```

```
    print("in the function", ls, id(ls))
```

```
ls = "test"
```

```
print("in the main", id(ls))
```

```
string_print(ls)
```

```
print(ls)
```

in the main 1816387087600

in the function test 1816387087600

test

```
def string_print(ls):
```

```
    ls = ls*2
```

```
    print("in the function", ls, id(ls))
```

```
ls = "test"
```

```
print("in the main", id(ls))
```

```
string_print(ls)
```

```
print(ls)
```

in the main 1816387087600

in the function testtest 1816451378544

test

- Dictionary도 list와 마찬가지로 function 에 reference 만 pass
- Function 에서 dictionary 의 element를 바꾸어도 calling function 의 해당 dictionary 값도 변한다.

```
def test1(d_dic):
```

```
    d_dic["Kim"] = 100
```

```
    print("in the function", d_dic, id(d_dic))
```

```
score = {"Sung": 90, "Choi": 85}
```

```
print("in the main1", score, id(score))
```

```
test1(score)
```

```
print("in the main2", score, id(score))
```

```
-----
```

```
in the main1 {'Sung': 90, 'Choi': 85} 1816451393000
```

```
in the function {'Sung': 90, 'Choi': 85, 'Kim': 100}
```

```
1816451393000
```

```
in the main2 {'Sung': 90, 'Choi': 85, 'Kim': 100}
```

```
import copy
```

```
a = [1, [1, 2, 3]]
```

```
b = copy.copy(a)    # shallow copy 발생
```

```
print(b)    # [1, [1, 2, 3]] 출력
```

```
b[0] = 100
```

```
print(b)    # [100, [1, 2, 3]] 출력,
```

print(a) # [1, [1, 2, 3]] 출력, shallow copy 가 발생해 복사된 리스트는 별도의 객체이므로 item을 수정하면 복사본만 수정된다. (immutable 객체의 경우)

```
c = copy.copy(a)
```

```
c[1].append(4)    # 리스트의 두번째 item(내부리스트)에 4를 추가
```

```
print(c)    # [1, [1, 2, 3, 4]] 출력
```

print(a) # [1, [1, 2, 3, 4]] 출력, a가 c와 똑같이 수정된 이유는 리스트의 item 내부의 객체는 동일한 객체이므로 mutable한 리스트를 수정할때는 둘다 값이 변경됨

주석에서 잘 설명하고 있지만, 리스트 내에 리스트가 있는 경우에 얇은 복사(b = copy.copy(a))가 이뤄지더라도 리스트 내의 내부 리스트까지 별도의 객체로 복사가 되는것은 아닙니다.



LASTTIME

- tuples - immutable
- lists - mutable
- aliasing, cloning
- mutability side effects

TODAY



- recursion – divide/decrease and conquer
- dictionaries – another mutable object type



RECURSION

- Recursion is the process of repeating items in a self-similar way.

WHAT IS RECURSION?

- Algorithmically: a way to design solutions to problems by **divide-and-conquer** or **decrease-and-conquer**
 - reduce a problem to simpler versions of the same problem
- Semantically: a programming technique where a **function calls itself**
 - in programming, goal is to NOT have infinite recursion
 - must have **1 or more base cases** that are easy to solve
 - must solve the same problem on **some other input** with the goal of simplifying the larger problem input



ITERATIVE ALGORITHMS SO FAR

- looping constructs (`while` and `for` loops) lead to **iterative** algorithms
- can capture computation in a set of **state variables** that update on each iteration through loop

MULTIPLICATION – ITERATIVE SOLUTION

- “multiply $a * b$ ” is equivalent to “add a to itself b times”

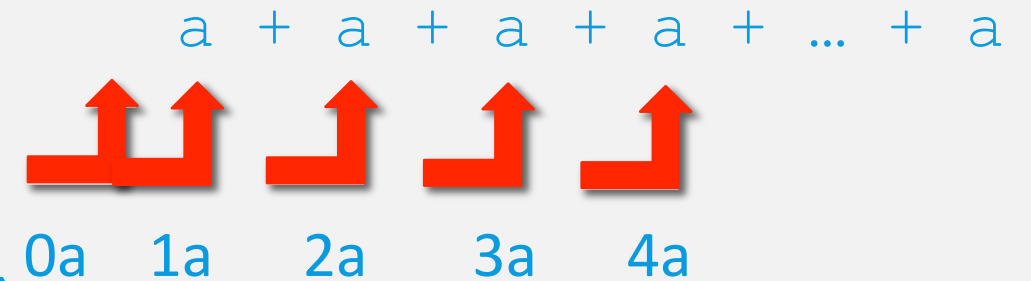
- capture **state** by

- an **iteration** number (i) starts at b

$i \leftarrow i - 1$ and stop when 0

- a current **value of computation** ($result$)

$result \leftarrow result + a$



```
def mult_iter(a, b):
```

```
    result = 0
```

```
    while b > 0:
```

```
        result += a
```

```
        b -= 1
```

```
    return result
```

iteration
current value of computation,
a running sum
current value of iteration variable

MULTIPLICATION – BY RECURSION

■ recursive step

- think how to reduce problem to a **simpler/ smaller version** of same problem

■ base case

- keep reducing problem until reach a simple case that can be **solved directly**
- when $b = 1$, $a*b = a$

$$\begin{aligned} a*b &= \underbrace{a + a + a + a + \dots + a}_{b \text{ times}} \\ &= a + \underbrace{a + a + a + \dots + a}_{b-1 \text{ times}} \\ &= a + \boxed{a * (b-1)} \end{aligned}$$

recursive reduction

```
def mult(a, b):
```

```
    if b == 1:
```

```
        return a
```

```
    else:
```

```
        return a + mult(a, b-1)
```

base case

recursive step

FACTORIAL

$$n! = n * (n-1) * (n-2) * (n-3) * \dots * 1$$

- for what n do we know the factorial?

```
n = 1      →    if n == 1:  
                return 1
```

base case

- how to reduce problem? Rewrite in terms of something simpler to reach base case

```

n*(n-1)!      →      else:
                        return n*factorial(n-1)

```

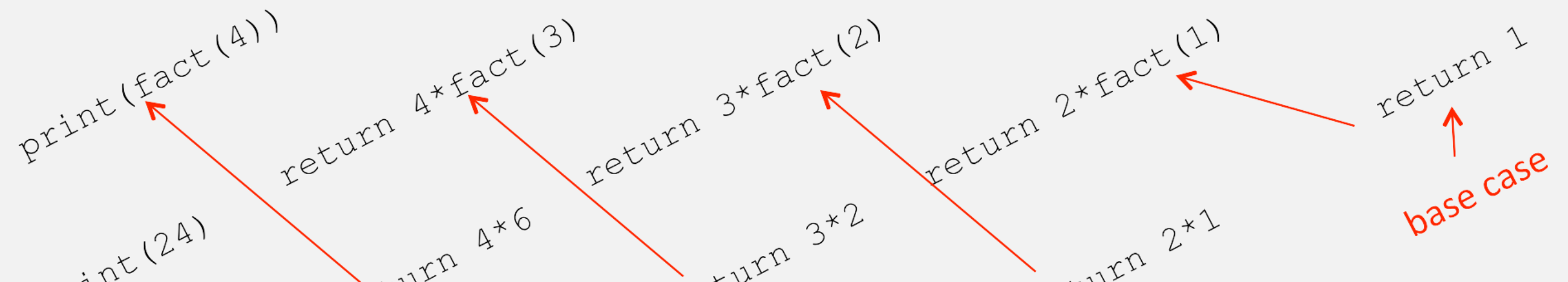
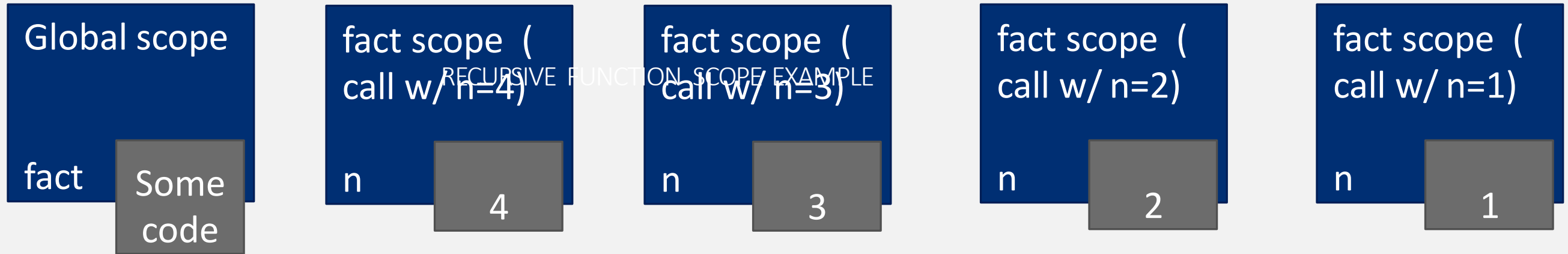
recursive step



```
def fact(n):  
    if n > 1:  
        return n*fact(n-1)  
    else:  
        return 1
```

```
print(fact(4))
```

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SOME OBSERVATIONS

- each recursive call to a function creates its **own scope/environment**
- **bindings of variables** in a scope are not changed by recursive call
- flow of control passes back to **previous scope** once function call returns value

using the same variable
names but they are different
objects in separate scopes

ITERATION VS FACTORIAL

```
def factorial_iter(n):  
    prod = 1  
    for i in range(1, n+1):  
        prod *= i  
    return prod
```

```
def factorial(n):  
    if n == 1:  
        return 1  
    else:  
        return n*factorial(n-1)
```

- recursion may be simpler, more intuitive
- recursion may be efficient from programmer POV
- recursion may not be efficient from computer POV



MATHEMATICAL INDUCTION

- To prove a statement indexed on integers is true for all values of n :
 - Prove it is true when n is smallest value (e.g. $n = 0$ or $n = 1$)
 - Then prove that if it is true for an arbitrary value of n , one can show that it must be true for $n+1$

EXAMPLE OF INDUCTION

- $0 + 1 + 2 + 3 + \dots + n = (n(n+1))/2$
- Proof:
 - If $n = 0$, then LHS is 0 and RHS is $0 \cdot 1/2 = 0$, so true
 - Assume true for some k , then need to show that
$$0 + 1 + 2 + \dots + k + (k+1) = ((k+1)(k+2))/2$$
 - LHS is $k(k+1)/2 + (k+1)$ by assumption that property holds for problem of size k
 - This becomes, by algebra, $((k+1)(k+2))/2$
 - Hence expression holds for all $n \geq 0$

RELEVANCE TO CODE?

- Same logic applies

```
def mult(a, b):  
    if b == 1:  
        return a  
    else:  
        return a + mult(a, b-1)
```

- Base case, we can show that `mult` must return correct answer
- For recursive case, we can assume that `mult` correctly returns an answer for problems of size smaller than `b`, then by the addition step, it must also return a correct answer for problem of size `b`
- Thus by induction, code correctly returns answer



RECURSION WITH MULTIPLE BASE CASES

- Fibonacci numbers

- Leonardo of Pisa (aka Fibonacci) modeled the following challenge
 - Newborn pair of rabbits (one female, one male) are put in a pen
 - Rabbits mate at age of one month
 - Rabbits have a one month gestation period
 - Assume rabbits never die, that female always produces one new pair (one male, one female) every month from its second month on.
 - How many female rabbits are there at the end of one year?

FIBONACCI

Ayer one month (call it 0) – 1 female

Ayer second month – still 1 female (now pregnant)

Ayer third month – two females, one pregnant, one not

In general, $\text{females}(n) = \text{females}(n-1) + \text{females}(n-2)$

- Every female alive at month $n-2$ will produce one female in month n ;
- These can be added to those alive in month $n-1$ to get total alive in month n

[illegible]

FIBONACCI

- Base cases:
 - $Females(0) = 1$
 - $Females(1) = 1$
- Recursive case
 - $Females(n) = Females(n-1) + Females(n-2)$

```
def fib(x) :  
    """assumes x an int >= 0  re  
        turns Fibonacci of x"""  
    if x == 0 or x == 1:  
        return 1  
    else:  
        return fib(x-1) + fib(x-2)
```

- an example of a “divide and conquer” algorithm
- solve a hard problem by breaking it into a set of sub-problems such that:
 - sub-problems are easier to solve than the original
 - solutions of the sub-problems can be combined to solve the original
- Divide conquer algorithm 의 예
 - Fast Fourier Transform



DICTIONARY – CUSTOM INDEXING

STUDENT INFO

- so far, can store using separate lists for every info

```
names = ['Ana', 'John', 'Denise', 'Katy']
```

```
grade = ['B', 'A+', 'A', 'A']
```

```
course = [2.00, 6.0001, 20.002, 9.01]
```

- a **separate list** for each item
- each list must have the **same length**
- info stored across lists at **same index**, each index refers to info for a different person

HOW TO UPDATE/RETRIEVE STUDENT INFO

- `def get_grade(student, name_list, grade_list, course_list):`
 - `i = name_list.index(student)`
 - `grade = grade_list[i]`
 - `course = course_list[i]`
 - `return (course, grade)`
- **messy** if have a lot of different info to keep track of
- must maintain **many lists** and pass them as arguments
- must **always index** using integers
- must remember to change multiple lists

A BETTER AND CLEANER WAY– A DICTIONARY

- nice to **index item of interest directly** (not always int)
- nice to use **one data structure**, no separate lists

A list

0	Elem 1
1	Elem 2
2	Elem 3
3	Elem 4
...	...

index

element

A dictionary

Key 1	Val 1
Key 2	Val 2
Key 3	Val 3
Key 4	Val 4
...	...

custom
index by
label

element

A PYTHON DICTIONARY

- store pairs of data
 - key
 - value

'Ana'	'B'
'Denise'	'A'
'John'	'A+ '
'Katy'	'A'

custom
index by
label

element

```
my_dict = {}
```

empty
dictionary

```
grades = {'Ana': 'B', 'John': 'A+', 'Denise': 'A', 'Katy': 'A'}
```



key1



val1



key2



val2



key3



val3



key4



val4

DICTIONARY LOOKUP

- similar to indexing into a list
- **looks up** the **key**
- **returns** the **value** associated with the key
- if key isn't found, get an error

'Ana'	'B'
'Denise'	'A'
'John'	'A+'
'Katy'	'A'

```
grades = {'Ana': 'B', 'John': 'A+', 'Denise': 'A', 'Katy': 'A'}
```

```
grades['John']           → evaluates to 'A+'
```

```
grades['Sylvan']         → gives a KeyError
```

DICTIONARY OPERATIONS

'Ana'	'B'
'Denise'	'A'
'John'	'A+'
'Katy'	'A'
'Sylvan'	'A'

```
grades = {'Ana': 'B', 'John': 'A+', 'Denise': 'A', 'Katy': 'A'}
```

- **add** an entry

```
grades['Sylvan'] = 'A'
```

- **test** if key in dictionary

```
'John' in grades → returns True  
'Daniel' in grades → returns False
```

- **delete** entry

```
del(grades['Ana'])
```

```
#This work, but not the best
```

```
if 'key1' in dict.keys():  
    print "blah"  
else:  
    print "boo"
```

```
d = {"key1": 10, "key2": 23}
```

```
if "key1" in d:  
    print("this will execute")
```

```
if "nonexistent key" in d:  
    print("this will not")
```

```
-----  
this will execute
```

DICTIONARY OPERATIONS

'Ana'	'B'
'Denise'	'A'
'John'	'A+'
'Katy'	'A'

```
grades = {'Ana': 'B', 'John': 'A+', 'Denise': 'A', 'Katy': 'A'}
```

- get an **iterable that acts like a tuple of all keys**

*no guaranteed
order*

```
grades.keys() → returns ['Denise', 'Katy', 'John', 'Ana']
```

- get an **iterable that acts like a tuple of all values**

```
grades.values() → returns ['A', 'A', 'A+', 'B']
```

*no guaranteed
order*

DICTIONARY KEYS AND VALUES

- values
 - any type (**immutable and mutable**)
 - can be **duplicates**
 - dictionary values can be lists, even other dictionaries!
- keys
 - must be **unique**
 - **immutable** type (`int`, `float`, `string`, `tuple`, `bool`)
 - actually need an object that is **hashable**, but think of as immutable as all immutable types are hashable
 - careful with `float` type as a key
- **no order** to keys or values!

```
d = {4:{1:0}, (1,3):"twelve", 'const':[3.14,2.7,8.44]}
```

LIST

VS

dict

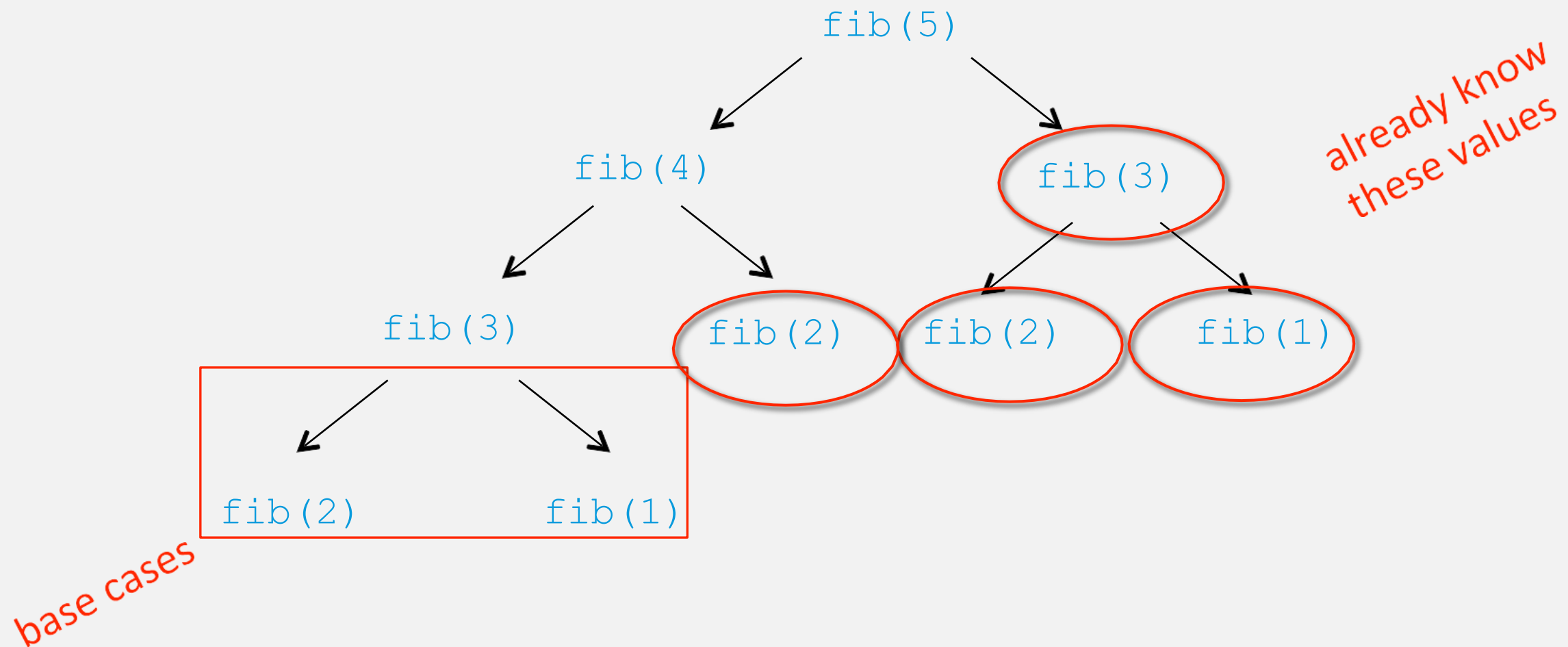
- **ordered** sequence of elements
- look up elements by an integer index
- indices have an **order**
- index is an **integer**

- **matches** “keys” to “values”
- look up one item by another item
- **no order** is guaranteed
- key can be any **immutable** type

FIBONACCI RECURSIVE CODE

```
def fib(n):  
    if n == 1:  
        return 1  
    elif n == 2:  
        return 2  
    else:  
        return fib(n-1) + fib(n-2)
```

- two base cases
- calls itself twice
- this code is inefficient



- **recalculating** the same values many times!
- could keep **track** of already calculated values

FIBONACCI WITH A DICTIONARY - MEMORIZATION

```
def fib_efficient(n, d):  
    if n in d:  
        return d[n]  
    else:  
        ans = fib_efficient(n-1, d) + fib_efficient(n-2, d)  
        d[n] = ans  
    return ans
```

```
d = {1:1, 2:2}  
print(fib_efficient(6, d))
```

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Method sometimes
called "memoization"

Initialize dictionary
with base cases

- do a **lookup first** in case already calculated the value
- **modify dictionary** as progress through function calls



EFFICIENCY GAINS

- Calling `fib(34)` results in 11,405,773 recursive calls to the procedure
- Calling `fib_efficient(34)` results in 65 recursive calls to the procedure
- Using dictionaries to capture intermediate results can be very efficient
- But note that this only works for procedures without side effects (i.e., the procedure will always produce the same result for a specific argument independent of any other computations between calls)



결론

- Python의 recursion 을 이용한 programming – 연역법을 이용하는데, 대체로 시간은 더 걸린다 (function call 의 overhead)
- Dictionary 구조 – custom indexing 이라 할 수 있다. 내부에서 큰 dictionary 에서 원하는 값을 빨리 찾는 hashing 등의 알고리즘이 구현되어 있다.