Problem set 1

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(Exercise sessions: 13.-14.2.2022) Hand-in due: Tue 18.1.2022 at 23:59

Fill-in-the-blanks 1. Complete the following proofs about injectivity, surjectivity, and bijectivity of two functions given by the same formula, but with different domains and codomains.

Claim (a): Consider the function  $f: \mathbb{R} \to [0, \infty)$  given by  $f(x) = x^2$  for  $x \in \mathbb{R}$ . This f is surjective but not injective. It is not bijective.

**Proof of (a).** To prove surjectivity of f, we must show that for every  $y \in [0, \infty)$  there exists \_\_\_\_\_\_ such that f(x) = y.

Let  $y \in [0, \infty)$ . The non-negative number y has a non-negative square root  $x = \sqrt{y} \ge 0$ . Then we have

$$f(x) = x^2 = (\sqrt{y})^2 =$$
\_\_\_\_.

Since  $x \in \mathbb{R}$ , this shows that f is surjective.

Consider for example  $x_1 = -3$  and  $x_2 =$ \_\_\_\_. We have  $f(x_1) = f(-3) = (-3)^2 = 9 = (____)^2 = f(___) = f(x_2)$ . Since  $x_1 \neq x_2$ , this shows that f is not injective.

Finally, f is not bijective because it \_\_\_\_\_\_.

Claim (b): Consider the function  $g: [0, \infty) \to \mathbb{R}$  given by  $g(x) = x^2$  for  $x \in [0, \infty)$ . This g is injective but not surjective. It is not bijective.

**Proof of (b).** To prove non-surjectivity of g, we must show that for some  $y \in \mathbb{R}$  there does not exists any \_\_\_\_\_\_ such that g(x) = y. For example y = -1 works, because for any x we have  $g(x) = x^2 \ge$ \_\_\_\_\_ and thus in particular  $g(x) \ne -1 = y$ .

To show injectivity of g, we must prove that if for  $x_1, x_2 \in \underline{\hspace{1cm}}$  we have  $g(x_1) = g(x_2)$ , then necessarily  $x_1 = x_2$ . So suppose that  $x_1, x_2$  are such a pair. From  $x_1^2 = g(x_1) = g(x_2) = x_2^2$ , we then get  $x_1 = \pm \sqrt{x_2^2} = \pm |x_2|$ . However, since  $\underline{\hspace{1cm}}$ , this is only possible if  $x_1 = x_2$ . Injectivity follows.

Now g is not bijective because it \_\_\_\_\_\_.  $\square$ 

Fill-in-the-blanks 2. Complete the following proof of the squeeze theorem (sandwich principle, lemma of two policemen).

**Claim:** If three sequences  $(a_n)_{n\in\mathbb{N}}$ ,  $(b_n)_{n\in\mathbb{N}}$ , and  $(c_n)_{n\in\mathbb{N}}$  of real numbers satisfy  $a_n \leq b_n \leq c_n$  starting from some index, and if

$$\lim_{n\to\infty} a_n = \lim_{n\to\infty} c_n = \beta \in \mathbb{R},$$

then the sequence  $(b_n)_{n\in\mathbb{N}}$  also converges, and  $\lim_{n\to\infty} b_n = \beta$ .

**Proof.** Since the beginning of a sequence affects neither the convergence nor the limit of the sequence, we may assume that  $a_n \leq b_n \leq c_n$  holds for all  $n \in \mathbb{N}$ . We will show that  $\lim_{n\to\infty} b_n = \beta$ .

Let  $\varepsilon > 0$ . We must show that  $|b_n - \beta| < \varepsilon$  from some index on.

Idea: the expression  $b_n - \beta$  has to be estimated from both directions; one relying on the sequence  $(a_n)_{n \in \mathbb{N}}$ , and the other on the sequence  $(c_n)_{n \in \mathbb{N}}$ . (Draw a figure!)

Since	$\lim_{n\to\infty} a_n = \beta$ and $\varepsilon > 0$ , there exists an $n'_{\varepsilon} \in \mathbb{N}$ such that
	for all $n \geq n'_{\varepsilon}$ .
Since	$\lim_{n\to\infty} c_n = \beta$ and $\varepsilon > 0$ , there exists an $n''_{\varepsilon} \in \mathbb{N}$ such that
	for all
Now o	choose

With this choice, for any  $n \geq n_{\varepsilon}$  we have  $n \geq n'_{\varepsilon}$ . Therefore we get

$$\beta - b_n \le \beta - a_n \le |\beta - a_n| < \underline{\hspace{1cm}}$$

(the leftmost inequality holds by virtue of the assumption  $a_n \leq b_n$ ). Similarly, for  $n \geq n_{\varepsilon}$  we have  $n \geq n_{\varepsilon}''$ , so we get

$$b_n - \beta \leq c_n - \beta \leq \underline{\phantom{a}} < \underline{\phantom{a}}$$

(the leftmost inequality holds by virtue of the assumption  $b_n \leq c_n$ ). The above inequalities imply that

$$|b_n - \beta| < \underline{\hspace{1cm}}$$

for all  $n \geq n_{\varepsilon}$ . We have thus proved the claim.  $\square$