Aalto University Problem set 4

Department of Mathematics and Systems Analysis MS-C1541 — Metric spaces, 2021/III

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Exercise sessions: 4.-5.2.2021 Hand-in due: Tue 9.2.2021 at 23:59

Topic: Continuous functions, homeomorphisms, sequences in metric spaces

Written solutions to the exercises marked with symbol are to be returned in My-Courses. Each exercise is graded on a scale 0−3. The deadline for returning solutions to problem set 4 is Tue 9.2.2021 at 23:59.

Exercise 1 (Verifying openness and closednes of subsets).

(a) Show that the set $U = \{(x,y,z) \in \mathbb{R}^3 \mid x^2 < y^2 + z^2 - xyz + 3\} \subset \mathbb{R}^3$ is open and that the set $F = \{(x,y) \in \mathbb{R}^2 \mid x^2 + y^2 \le 2 \text{ and } x \le \frac{1}{3}\sin(\pi y)\} \subset \mathbb{R}^2$ is closed.

<u>Hint</u>: Express the sets U and F appropriately using preimages; for the latter it is best to use two different functions and an intersection of preimages.

The continuity of the functions involved can be considered known.

(b) Consider the space $\mathcal{C}([-1,1])$ of all continuous functions $f: [-1,1] \to \mathbb{R}$, equipped with the metric induced by the sup-norm $\|\cdot\|_{\infty}$. Consider the subset¹

$$D = \left\{ p \in \mathcal{C}([-1,1]) \mid p(x) \ge 0 \ \forall x \in [-1,1], \ \int_{-1}^{1} p(x) \, \mathrm{d}x = 1 \right\}.$$

Show that $D \subset \mathcal{C}([-1,1])$ is a closed set.

<u>Hint</u>: You may use the facts that evaluation functions $f \mapsto f(x)$ (for an arbitrary $x \in [-1,1]$), and the integration function $f \mapsto \int_{-1}^{1} f(x) dx$ are continuous functions $C([-1,1]) \to \mathbb{R}$ with the chosen metric. Otherwise the ideas are similar to part (a).

Exercise 2 (Coordinatewise convergence is not sufficient for convergence in ℓ^1). Consider the space

$$\ell^1 = \left\{ x = (x_j)_{j \in \mathbb{N}} \in \mathbb{R}^{\mathbb{N}} \mid \sum_{j=1}^{\infty} |x_j| < \infty \right\}$$

of absolutely summable real sequences. We consider it known that the formula $||x||_1 = \sum_{j=1}^{\infty} |x_j|$ for $x = (x_j)_{j \in \mathbb{N}} \in \ell^1$ defines a norm on ℓ^1 . We equip ℓ^1 with the metric induced by the norm $||\cdot||_1$.

(a) Show that if a sequence $(x^{(n)})_{n\in\mathbb{N}}$ of elements $x^{(n)}=(x_j^{(n)})_{j\in\mathbb{N}}\in\ell^1$ converges in ℓ^1 to $x=(x_j)_{j\in\mathbb{N}}$, then for every $k\in\mathbb{N}$, the sequence $(x_k^{(n)})_{n\in\mathbb{N}}$ of the k:th coordinates of $x^{(n)}$'s converges to $\lim_{n\to\infty}x_k^{(n)}=x_k$ (limit in \mathbb{R}).

<u>Hint</u>: You can start by showing that the k:th coordinate projection function $(x_j)_{j\in\mathbb{N}} \mapsto x_k$ is a 1-Lipschitz function $\ell^1 \to \mathbb{R}$.

¹This subset P could be interpreted as the set of all continuous probability density functions supported on the interval [-1, +1].

(b) For $n \in \mathbb{N}$ let $x^{(n)} = (x_j^{(n)})_{j \in \mathbb{N}} \in \ell^1$ be the element given by

$$x_j^{(n)} = \begin{cases} 1 & \text{if } j = n \\ 0 & \text{if } j \neq n. \end{cases}$$

Show that for any $k \in \mathbb{N}$ we have $\lim_{n\to\infty} x_k^{(n)} = 0$ but in the space $(\ell^1, \|\cdot\|_1)$ the sequence $(x^{(n)})_{n\in\mathbb{N}}$ does not converge.

<u>Hint</u>: If the sequence would converge in ℓ^1 , then part (a) together with the first calculation of (b) identifies the only possibility for a limit $x \in \ell^1$. Now show directly from the definition of limits that we do not have convergence to that candidate limit.

Exercise 3 (Hölder functions are continuous).

Let (X, d_X) and (Y, d_Y) be metric spaces. A function $f: X \to Y$ is said to be (M, α) -Hölder with parameters M > 0 and $\alpha > 0$ if

$$\mathsf{d}_Y\big(f(x_1), f(x_2)\big) \leq M \, \mathsf{d}_X(x_1, x_2)^{\alpha} \qquad \text{for all } x_1, x_2 \in X.$$

Prove that if $f: X \to Y$ is (M, α) -Hölder with some M > 0 and $\alpha > 0$, then f is continuous.

Exercise 4 (Some homeomorphisms).

(a) Prove that [0,1) and $[0,\infty)$ are homeomorphic, $[0,1)\approx [0,\infty)$. Remark: The subsets of the real axis above are equipped with the ordinary metric.

The continuity of the functions involved can be considered known.

(b) Prove that the open unit disk $B(\vec{0},1) \subset \mathbb{R}^2$ in the Euclidean plane \mathbb{R}^2 and the whole plane \mathbb{R}^2 are homeomorphic, $B(\vec{0},1) \approx \mathbb{R}^2$.

<u>Hint</u>: One option is a radial mapping in polar coordinates, using part (a).

Exercise 5 (Some functions of functions).

(a) Define a function $f: \mathcal{C}([0,10]) \to \mathcal{C}([0,10])$ by setting

$$[f(x)](t) = \int_0^t s \, x(s) \, ds,$$
 for $x \in \mathcal{C}([0, 10])$ and $t \in [0, 10]$.

(Here f(x) is a function $[0,10] \to \mathbb{R}$, whose value at $t \in [0,10]$ is obtained by the above formula.)

Prove that f is K-Lipschitz for a suitable $K \geq 0$, when the space $\mathcal{C}([0, 10])$ (both as the domain and codomain of f) is equipped with the metric induced by the norm $\|\cdot\|_{\infty}$ — i.e., show that for all $x, y \in C([0, 10])$ we have

$$||f(x) - f(y)||_{\infty} \le K ||x - y||_{\infty}.$$

<u>Hint</u>: The "triangle equality for integrals" $\left| \int_a^b h(s) \, ds \right| \leq \int_a^b |h(s)| \, ds$ can be used here.

(b) Let

$$\mathcal{C}^{1}([-1,1]) = \left\{ x \colon [-1,1] \to \mathbb{R} \mid x' \text{ is continuous} \right\}$$

be the set of all continuously differentiable functions on the interval [-1,1]. Interpret it as a subset $\mathcal{C}^1([-1,1]) \subset \mathcal{C}([-1,1])$, where the space $\mathcal{C}([-1,1])$ of continuous functions is equipped again with the metric induced by the norm $\|\cdot\|_{\infty}$. Consider the function $g: \mathcal{C}^1([-1,1]) \to \mathcal{C}([-1,1])$ given by

$$[g(x)](t) = x'(t),$$
 for $x \in C^1([-1,1])$ and $t \in [-1,1].$

Show that g is not K-Lipschitz for any $K \geq 0$.

<u>Hint</u>: Consider e.g. the zero function and $x_n(t) = \sin(\pi nt)$, for $n \in \mathbb{N}$, in the Lipschitz condition.