

Notes Category Theory

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In this paper, we do not pay attention to foundational set-theoretic issues like the “set” of all sets should be a class.

1 Categories

Definition – Categories

A *category* \mathcal{C} is defined by the following data :

1. A set of *objects*, $\text{Obj}(\mathcal{C})$.
2. For every $U, V \in \text{Obj}(\mathcal{C})$, a set of \mathcal{C} -*morphisms* from U to V , denoted $\mathcal{C}(U, V)$. We denote $f : U \xrightarrow{\mathcal{C}} V$ for $f \in \mathcal{C}(U, V)$.
3. For every $U, V, W \in \text{Obj}(\mathcal{C})$, $f : U \xrightarrow{\mathcal{C}} V$ and $g : V \xrightarrow{\mathcal{C}} W$, a \mathcal{C} -morphism called the *composition of f with g* , denoted $g \circ f : U \xrightarrow{\mathcal{C}} W$.
4. Associativity of \circ .

5. For every $U \in \text{Obj}(\mathcal{C})$, an *identity morphism* $\mathbb{1}_U : U \xrightarrow{\mathcal{C}} U$.
6. For all $U, V, W \in \text{Obj}(\mathcal{C})$, $f : U \xrightarrow{\mathcal{C}} V$ and $g : W \xrightarrow{\mathcal{C}} U$, we have $f \circ \mathbb{1}_W = f$ and $\mathbb{1}_U \circ g = g$.

Definition – Isomorphisms

Let \mathcal{C} be a category, $U, V \in \text{Obj}(\mathcal{C})$, $f : U \xrightarrow{\mathcal{C}} V$. Then f is called an *isomorphism* when there exists $g : V \xrightarrow{\mathcal{C}} U$ such that $g \circ f = \mathbb{1}_U$ and $f \circ g = \mathbb{1}_V$. In this case, we denote $f : U \xrightarrow{\sim} V$. When there exists an isomorphism from U to V , we say they are *isomorphic* and write $U \cong V$.

Definition – Subcategories

Let \mathcal{C}, \mathcal{D} be categories. Then \mathcal{D} is called a *subcategory* of \mathcal{C} when $\text{Obj}(\mathcal{D}) \subseteq \text{Obj}(\mathcal{C})$ and for all $U, V \in \text{Obj}(\mathcal{D})$, $\mathcal{D}(U, V) \subseteq \mathcal{C}(U, V)$.

Example (Standard Categories).

1. **Set** denotes the category of sets, where $\text{Obj}(\mathbf{Set})$ contains sets and for $U, V \in \text{Obj}(\mathbf{Set})$, $\mathbf{Set}(U, V)$ is the set of maps from U to V .
2. **Top** denotes the category of topological spaces, where $\text{Obj}(\mathbf{Top})$ contains topological spaces and for $U, V \in \text{Obj}(\mathbf{Top})$, $\mathbf{Top}(U, V)$ is the set of continuous maps from U to V . **Top** is a subcategory of **Set**.
3. The category of groups **Grp** has $\text{Obj}(\mathbf{Grp})$ containing groups and $\mathbf{Grp}(U, V)$ containing group homomorphisms from U to V . **Grp** is a subcategory of **Set**.
4. The category of abelian groups **Ab** has $\text{Obj}(\mathbf{Ab})$ containing abelian groups and $\mathbf{Ab}(U, V)$ containing group homomorphisms from U to V . **Ab** is a subcategory of **Grp**.
5. The category of rings **Ring** has $\text{Obj}(\mathbf{Ring})$ containing rings and $\mathbf{Ring}(U, V)$ containing ring homomorphisms from U to V . **Ring** is a subcategory of **Set**.
6. The category of commutative rings **CRing** has $\text{Obj}(\mathbf{CRing})$ containing commutative rings and $\mathbf{CRing}(U, V)$ containing ring homomorphisms from U to V . **CRing** is a subcategory of **Ring**.
7. Let R be a commutative ring. Then the category of R -modules **Mod**(R) has $\text{Obj}(\mathbf{Mod}(R))$ containing R -modules and $\mathbf{Mod}(R)(U, V)$ contains R -linear maps from U to V . This is a subcategory of **Ab**.
8. Let R be a commutative ring. Then the category of R -algebras **Alg**(R) has $\text{Obj}(\mathbf{Alg}(R))$ containing pairs (S, σ) where $\sigma : R \xrightarrow{\mathbf{CRing}} S$. $\mathbf{Alg}(R)((U, u), (V, v))$ contains $f : U \xrightarrow{\mathbf{CRing}} V$ such that $f \circ u = v$.

Example (Preordered Sets as Categories).

Let I be a set, \leq a relation on I . Then (I, \leq) is called a *preordered set* when \leq satisfies all of the following :

1. (Reflexivity) For all $i \in I, i \leq i$.
2. (Transitivity) For all $i, j, k \in I, i \leq j$ and $j \leq k$ implies $i \leq k$.

If (I, \leq) is a preordered set where \leq is clear, we abbreviate to I .

Let I be a preordered set. Then we can turn I into a category as follows :

1. $\text{Obj}(I)$ is I .
2. For $i, j \in \text{Obj}(I)$, $I(i, j)$ is singleton when $i \leq j$ and empty otherwise.

Things get meta. We can form the category of preordered sets **Ord** where $\text{Obj}(\mathbf{Ord})$ contains preordered sets and $\mathbf{Ord}(I, J)$ contains $f : I \xrightarrow{\text{Set}} J$ such that for all $i, j \in I, i \leq j$ implies $f(i) \leq f(j)$.

Example (Category of Partially Ordered Sets).

Let $I \in \text{Obj}(\mathbf{Ord})$. Then I is called a partially ordered set when \leq is antisymmetric, i.e. for all $i, j \in I, i \leq j$ and $j \leq i$ implies $i = j$. We thus have the category of partially ordered sets **PoSet** where $\text{Obj}(\mathbf{PoSet})$ contains partially ordered sets and $\mathbf{PoSet}(I, J) = \mathbf{Ord}(I, J)$. We see that **PoSet** is a subcategory of **Ord**.

Example (Partially Ordered Sets).

1. Let X be a set. Then its powerset $2^X \in \text{Obj}(\mathbf{PoSet})$.
2. Let X be a topological space. Then the set of its open sets $\text{Open } X$ is a partially ordered set.
3. Let G be a group. Then the set of its subgroups is in $\text{Obj}(\mathbf{PoSet})$.
4. Let R be a commutative ring and M be an R -module. Then the set of R -submodules of M is in $\text{Obj}(\mathbf{PoSet})$.
5. Let R be a commutative ring and (S, σ) an R -algebra. Then the set of all R -subalgebras of S is in $\text{Obj}(\mathbf{PoSet})$.
6. Consider the relation on \mathbb{N} that is $a \mid b$. This is a partial order on \mathbb{N} .

Example (A Group as a Category).

A group G is equivalent to a category G where there is only one object \bullet and all morphisms are isomorphisms.

A direct generalization is a groupoid : a category where every morphism is an isomorphism.

2 Functors

Definition – Functors

Let \mathcal{C}, \mathcal{D} be categories. Then a functor F from \mathcal{C} to \mathcal{D} is defined by the following data :

1. A map of objects $\text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$, which we will denote by the same name F .
2. A map of morphisms for all $U, V \in \text{Obj}(\mathcal{C}), \mathcal{C}(U, V) \rightarrow \mathcal{D}(F(U), F(V))$, which we will also

denote by the same name F .

3. (Compositions are Preserved) For all $f : U \xrightarrow{\mathcal{C}} V$ and $g : V \xrightarrow{\mathcal{C}} W$, $F(g \circ f) = F(g) \circ F(f)$.
4. (Identity Morphisms are Preserved) For all $U \in \text{Obj}(\mathcal{C})$, $F(\mathbb{1}_U) = \mathbb{1}_{F(U)}$.

Definition – Category of Categories

We define the *category of categories* \mathbf{Cat} ,

1. $\text{Obj}(\mathbf{Cat})$ consists of categories.
2. For $\mathcal{C}, \mathcal{D} \in \text{Obj}(\mathbf{Cat})$, $\mathbf{Cat}(\mathcal{C}, \mathcal{D})$ consists of functors from \mathcal{C} to \mathcal{D} .
3. For $\mathcal{C} \in \text{Obj}(\mathbf{Cat})$, $\mathbb{1}_{\mathcal{C}}$ is the obvious thing.

Definition – Faithful, Full, Fully Faithful

Let $F : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathcal{D}$. Then F is called

1. *faithful* when for all $U, V \in \text{Obj}(\mathcal{C})$, $F : \mathcal{C}(U, V) \rightarrow \mathcal{D}(F(U), F(V))$ is injective.
2. *full* when for all $U, V \in \text{Obj}(\mathcal{C})$, $F : \mathcal{C}(U, V) \rightarrow \mathcal{D}(F(U), F(V))$ is surjective.
3. *fully faithful* when for all $U, V \in \text{Obj}(\mathcal{C})$, $F : \mathcal{C}(U, V) \rightarrow \mathcal{D}(F(U), F(V))$ is bijective.

Proposition – Fully Faithful Functors are Injective

Let $F : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathcal{D}$ be fully faithful, $U, V \in \text{Obj}(\mathcal{C})$ such that $F(U) \cong F(V)$. Then $U \cong V$.

Proof. Let $f_1 \in \mathcal{D}(F(U), F(V))$ and $f_2 \in \mathcal{D}(F(V), F(U))$ such that $\mathbb{1}_{F(U)} = f_2 \circ f_1$ and $\mathbb{1}_{F(V)} = f_1 \circ f_2$. Then f_1, f_2 corresponds respectively to $g_1, g_2 \in \mathcal{C}(U, V), \mathcal{C}(V, U)$ through F . We thus have

$$F(g_2 \circ g_1) = F(g_2) \circ F(g_1) = f_2 \circ f_1 = \mathbb{1}_{F(U)} = F(\mathbb{1}_U)$$

which by F fully faithful gives $g_2 \circ g_1 = \mathbb{1}_U$. Similarly, $g_1 \circ g_2 = \mathbb{1}_V$. □

Definition – Natural Transformations

Let $F, G : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathcal{D}$. Then a *natural transformation* η from F to G is defined by the following data :

1. For all $U \in \text{Obj}(\mathcal{C})$, $\eta_U : F(U) \xrightarrow{\mathcal{D}} G(U)$.
2. (Naturality) For all $U, V \in \text{Obj}(\mathcal{C})$ and $f : U \xrightarrow{\mathcal{C}} V$, we have the following commutative diagram.

$$\begin{array}{ccc}
F(U) & \xrightarrow{\eta_U} & G(U) \\
F(f) \downarrow & & \downarrow G(f) \\
F(V) & \xrightarrow{\eta_V} & G(V)
\end{array}$$

Definition – Category of Functors

Let $\mathcal{C}, \mathcal{D} \in \text{Obj}(\text{Cat})$. Then the *category of functors from \mathcal{C} to \mathcal{D}* , denoted $\mathcal{D}^{\mathcal{C}}$, is defined by

1. $\text{Obj}(\mathcal{D}^{\mathcal{C}}) := \text{Cat}(\mathcal{C}, \mathcal{D})$.
2. For all $F, G \in \text{Obj}(\mathcal{D}^{\mathcal{C}})$, $\mathcal{D}^{\mathcal{C}}(F, G) :=$ the set of natural transformations from F to G .
3. For all $F \in \text{Obj}(\mathcal{D}^{\mathcal{C}})$, $\mathbb{1}_F$ is the obvious thing.

Definition – Equivalence of Categories

Let \mathcal{C}, \mathcal{D} be categories, $F \in \text{Cat}(\mathcal{C}, \mathcal{D})$. Then F is called an *equivalence of categories* when there exists $G \in \text{Cat}(\mathcal{D}, \mathcal{C})$ such that $G \circ F \cong \mathbb{1}_{\mathcal{C}}$ and $F \circ G \cong \mathbb{1}_{\mathcal{D}}$.

Definition – Essentially Surjective

Let \mathcal{C}, \mathcal{D} be categories and $F : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$. The *essential image* of F is defined as the set of $X \in \mathcal{D}$ such that there exists $U \in \mathcal{C}$ where $F(U) \cong X$. F is called *essentially surjective* when its essential image is the whole of \mathcal{D} .

Proposition – Characterisation of Equivalence of Categories

Let $F : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$. Then F is an equivalence of categories if and only if F is fully faithful and essentially surjective.

Proof. (\Rightarrow) Let $G : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$, $\varepsilon : \mathbb{1}_{\mathcal{C}} \xrightarrow{\sim} G \circ F$, $\eta : F \circ G \xrightarrow{\sim} \mathbb{1}_{\mathcal{D}}$. It is clear that F is essentially surjective. For faithful, let $f, g \in \mathcal{C}(U, V)$ such that $F(f) = F(g)$. Then by naturality of ε , we have the following commutative diagram :

$$\begin{array}{ccc}
U & \xrightarrow{f} & V \\
\downarrow \varepsilon_U & & \downarrow \varepsilon_V \\
GF(U) & \xrightarrow{GF(f)} & GF(V)
\end{array}$$

Then $f = g$ follows from $\varepsilon_U, \varepsilon_V$ being isomorphisms.

For fullness, let $f \in \mathcal{D}(F(U), F(V))$. The guess is that by mapping f back to \mathcal{C} , we get the morphism that maps to f . That is, we claim that $F(\varepsilon_V^{-1} \circ G(f) \circ \varepsilon_U) = f$. Since the arguments of the above paragraph also applies to G , we have G is faithful and hence it suffices to show that $GF(\varepsilon_V^{-1} \circ G(f) \circ \varepsilon_U) = G(f)$. We

first show that perhaps unsurprisingly, $GF(\varepsilon_V^{-1}) = \varepsilon_{GF(V)}^{-1}$. By functoriality of GF , it suffices to show that $GF(\varepsilon_V) = \varepsilon_{GF(V)}$. This follows from ε_V being an isomorphism and the following commutative diagram due to the naturality of ε :

$$\begin{array}{ccc} V & \xrightarrow{\varepsilon_V} & GF(V) \\ \downarrow \varepsilon_V & & \downarrow GF(\varepsilon_V) \\ GF(V) & \xrightarrow{\varepsilon_{GF(V)}} & GF GF(V) \end{array}$$

It now remains to show $GF(G(f) \circ \varepsilon_U) = \varepsilon_{GF(V)} \circ G(f)$. This follows from naturality of ε and ε_U being an isomorphism:

$$\begin{array}{ccc} U & \xrightarrow{G(f) \circ \varepsilon_U} & GF(V) \\ \downarrow \varepsilon_U & & \downarrow \varepsilon_{GF(V)} \\ GF(U) & \xrightarrow{GF(G(f) \circ \varepsilon_U)} & GF GF(V) \end{array}$$

(\Leftarrow) Using the axiom of choice, for each $X \in \text{Obj}(\mathcal{D})$, let $G(X) \in \text{Obj}(\mathcal{C})$ and $\eta_X \in \mathcal{D}(FG(X), X)$ such that η_X is an isomorphism. For $f \in \mathcal{D}(X, Y)$, by full faithfulness of F let $G(f) \in \mathcal{C}(G(X), G(Y))$ be the unique morphism such that $FG(f) = \eta_Y^{-1} \circ f \eta_X$. It then follows from uniqueness of the above morphisms and functoriality of F that G is a functor. Note that by construction, the collection of η_X gives a natural isomorphism $\eta : F \circ G \rightarrow \mathbb{1}_{\mathcal{D}}$.

It remains to give a natural isomorphism $\varepsilon : \mathbb{1}_{\mathcal{C}} \rightarrow G \circ F$. For $U \in \text{Obj}(\mathcal{C})$, we are looking for a morphism $U \rightarrow GF(U)$. Feeling optimistic, we use full and faithfulness of F to define $\varepsilon_U \in \mathcal{C}(U, GF(U))$ as the unique morphism such that $F(\varepsilon_U) = \eta_{F(U)}^{-1}$. From $\eta_{F(U)}$ being an isomorphism and full faithfulness of F , it follows that ε_U is also an isomorphism. Finally, to check naturality of ε , let $f \in \mathcal{C}(U, V)$. We need $\varepsilon_V \circ f = GF(f) \circ \varepsilon_U$. But since F is faithful, it suffices that F applied to these morphisms are equal. Well, indeed we have it

$$F(\varepsilon_V \circ f) = \eta_{F(V)}^{-1} \circ F(f) = \eta_{F(V)}^{-1} \circ F(f) \circ \eta_U \circ \eta_U^{-1} = \eta_{F(V)}^{-1} \circ F(f) \circ \eta_U \circ F(\varepsilon_U) = F(GF(f) \circ \varepsilon_U)$$

□

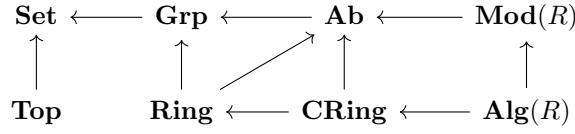
Example (Functors and Natural Transformations).

The following is but a small sample of the vast sea of functors that appear in mathematics. There is no need to “memorize” these. You will spot them when they appear.

*The first list gives constructions of “structures” between subcategories of sets. The theme is that these are all **free functors** adjoint to some kind of forgetful functor. Details of this are explained in the section on adjunctions.*

- *Forgetful functor* Given any subcategory \mathcal{C} of \mathcal{D} , there is an “obvious” functor from \mathcal{C} to \mathcal{D} that maps $\text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$ by doing nothing and morphisms in \mathcal{C} to morphisms in \mathcal{D} by doing nothing. Functors of this form are often called the forgetful functor.

Here is a graph showing subcategories of set and their “inclusions”.



in the diagram, R is a commutative ring with unity. The maps from **Ring**, **CRing** into **Ab** take (commutative) rings to their underlying abelian groups.

- (Free Group) For each set S , the free group over S is an object $\langle S \rangle \in \mathbf{Grp}$ that comes with a morphism of sets $\uparrow: S \rightarrow \langle S \rangle$ such that for any group G and $\phi \in \mathbf{Set}(S, G)$, there is a unique morphism of groups $\langle \phi \rangle \in \mathbf{Grp}(\langle S \rangle, G)$ such that $\langle \phi \rangle \circ \uparrow = \phi$. This makes $G \mapsto \langle G \rangle$ into a functor from **Set** to **Grp**.
- (Free Module over a Ring) Let A be a commutative ring. For each set S , the free A -module over S is an object $A^{\oplus S} \in \mathbf{Mod}(A)$ that comes with a morphism of sets $\uparrow: S \rightarrow A^{\oplus S}$ such that for any A -module M and $f \in \mathbf{Set}(S, M)$, there is a unique A -linear map $A^{\oplus f}: \oplus_{s \in S} A \rightarrow M$ such that $A^{\oplus f} \circ \uparrow = f$. This makes $S \mapsto A^{\oplus S}$ into a functor from **Set** to **Mod**(A).

In particular, for a fixed $M \in \mathbf{Mod}(A)$ and $S \subseteq M$, S is called respectively linearly independent, spanning, a basis if and only if $A^{\oplus f}$ is injective, surjective, an isomorphism.

Note that the above covers **Ab**, since **Ab** is nothing more than **Mod**(\mathbb{Z}).

- (Free Algebra over a Ring) Let K be a commutative ring. For each set S , the free K -algebra over S is an object $K[S] \in \mathbf{Alg}(K)$ that comes with a morphism of sets $\uparrow: S \rightarrow K[S]$ such that for any K -algebra A and $a \in \mathbf{Set}(S, A)$, there exists a unique K -algebra morphism $ev_a: K[S] \rightarrow A$ such that $ev_a \circ \uparrow = a$. This makes $S \mapsto K[S]$ into a functor from **Set** to **Alg**(K).

These free algebras are not unfamiliar. For instance, the polynomial ring in $K[T]$ over K is precisely $K[\{*\}]$ where $\{*\}$ is the singleton set. For any K -algebra A , a set morphism $a: \{*\} \rightarrow A$ is nothing more than an element in A . So as suggested by the notation, ev_a is precisely evaluation of polynomials $f \mapsto f(a)$ where we have identified the set morphism a with the unique element in its image. Generalizing, for an arbitrary set S , $K[S]$ is precisely the K -algebra of polynomials with variables indexed by S . In particular, for a K -algebra A and $S \subseteq A$, S is called respectively algebraically independent over A , generating when $ev_S: K[S] \rightarrow A$ is injective, surjective.

- (Tensor Product, Extension and Contraction of Scalars) Let B be an A -algebra where A is a commutative ring. Every B -module N already has an A -module structure. This gives a forgetful functor from **Mod**(B) to **Mod**(A).

“Conversely”, for any A -module M , $B \otimes_A M$ has an obvious B -module structure. Then for any A -linear map $f \in \mathbf{Mod}(A)(M, N)$, $\mathbb{1}_B \otimes_A f \in \mathbf{Mod}(B)(B \otimes_A M, B \otimes_A N)$. This makes $B \otimes_A (-)$ into a functor from **Mod**(A) to **Mod**(B). In analogy with the prior examples, extension of scalars can be seen as “taking the free B -module over an A -module”.

- (Localization of Modules) Let A be a commutative ring and $S \subseteq A$ multiplicative. Define the category $\mathbf{Mod}(A_S)$ as the full subcategory of $\mathbf{Mod}(A)$ with objects consisting of $M \in \mathbf{Obj}(\mathbf{Mod}(A))$ such that for all $f \in S$, scalar multiplication by f on M is an isomorphism, i.e. f is an “invertible” scalar for M . There is an obvious forgetful functor from $\mathbf{Mod}(A_S)$ to $\mathbf{Mod}(A)$.

“Conversely”, for an A -module M , the localization of M with respect to S is an object M_S of $\mathbf{Mod}(A_S)$ that comes with an A -linear map $\uparrow: M \rightarrow M_S$ such that for all $N \in \mathbf{Mod}(A_S)$ and $f \in \mathbf{Mod}(A)(M, N)$, there is a unique $f_S \in \mathbf{Mod}(A_S)(M_S, N)$ where $f_S \circ \uparrow = f$. This gives a functor $\mathbf{Mod}(A) \rightarrow \mathbf{Mod}(A_S)$, $M \mapsto M_S$ and morphisms are mapped to induced morphisms. In particular, the localization A_S of A itself has an obvious ring structure. This realizes $\mathbf{Mod}(A_S)$ as the category of modules over A_S .

- (Group Algebra) The following is similar to the free algebra construction. Let K be a commutative ring. Then for $A \in \mathbf{Alg}(K)$, $A^\times \in \mathbf{Ab}$. Any $f \in \mathbf{Alg}(K)(A, B)$, let f^\times denote the restriction of f onto A^\times . Then f^\times is automatically a morphism of abelian groups (keeping in mind the group operation is multiplication). This gives a “forgetful functor” from $\mathbf{Alg}(K)$ to \mathbf{Ab} .

“Conversely”, for any abelian group G , the group K -algebra over G is a K -algebra $K[G]$ that comes with a morphism of abelian groups $\uparrow: G \rightarrow K[G]^\times$ such that for any other K -algebra A and $\phi \in \mathbf{Ab}(G, A^\times)$, there exists a unique $K[\phi] \in \mathbf{Alg}(K)(K[G], A)$ such that $K[\phi] \circ \uparrow = \phi$. This property makes $G \mapsto K[G]$ into a functor from \mathbf{Ab} to $\mathbf{Alg}(K)$. In analogy to the prior examples, this may be seen as taking the “free K -algebra on G ”. In particular, $K[\mathbb{Z}]$ is precisely the localization $K[T, T^{-1}]$.

- (Symmetric Algebra) The following is similar to the group algebra construction. Let A be a commutative ring. Then for any A -module M , the symmetric algebra $\text{Sym } M$ is an object in $\mathbf{Alg}(A)$ that comes with an A -linear map $\uparrow: M \rightarrow \text{Sym } M$ such that for any A -algebra B and $\phi \in \mathbf{Mod}(A)(M, B)$, there exists a unique A -algebra morphism $\text{Sym } \phi: \text{Sym } M \rightarrow B$ such that $\text{Sym } \phi \circ \uparrow = \phi$. In analogy with the prior examples, this may be seen as taking the “free A -algebra over M ”.
- (Discrete Topology) For any set X , $(X, 2^X)$ where 2^X is the powerset of X is a topological space. Then for any topological space Y and $f \in \mathbf{Set}(X, Y)$, f is automatically continuous with respect to the discrete topology 2^X . This gives rise to a functor $\mathbf{Set} \rightarrow \mathbf{Top}$. In analogy with the prior examples, this seen as taking the “free topological space on X ”.

The next list is themed “moving structures on objects across morphisms”.

- Image, Preimage of subsets
- Image Preimage of subgroups
- Image Preimage of Subrings
- Image Preimage of Submodules
- Image, Preimage of filters

This list contains more exotic “algebraic constructions”.

- Fundamental groups
- Singular Complex

- Classical Galois Correspondence
- Vanishing, Ideal
- Spec of a commutative ring

The final list consists of miscellaneous “algebraic constructions” :

- (Vector Spaces with a Basis) Let K be a field. Define a category \mathcal{C} as follows :
 - objects are pairs (V, B) where V is a K -vector space and B is a basis of V .
 - For $(V, B_V), (W, B_W)$ objects in \mathcal{C} , define $\mathcal{C}((V, B_V), (W, B_W))$ as the set of K -linear maps from V to W such that maps B_V into B_W .
 - For every K -vector space with a basis (V, B_V) , $\mathbb{1}_{(V, B_V)}$ is defined to be the identity map of V .
 - Composition of the underlying K -linear maps of morphisms yields another morphism in this category.

Define the functor $F : \mathcal{C} \rightarrow \mathcal{C}$ that “takes components” as follows :

- For (V, B_V) in \mathcal{C} , let $F((V, B_V)) := (K^{\oplus B_V}, E)$ where $K^{\oplus B_V}$ is the free K -vector space on B_V and E is the standard basis.
- For a morphism $f \in \mathcal{C}((V, B_V), (W, B_W))$, since $f B_V \subseteq B_W$, this determines a map from the standard basis of $K^{\oplus B_V}$ to the standard basis of $K^{\oplus B_W}$, thus extending to a unique K -linear map $F(f) : K^{\oplus B_V} \rightarrow K^{\oplus B_W}$.
- Identity morphisms are clearly respected.
- Composition of morphisms are clearly respected.

There is a natural isomorphism between “taking components” and the identity functor : For each (V, B_V) in \mathcal{C} , consider the K -linear map $[-]_{B_V} : V \rightarrow K^{\oplus B_V}$ that takes vectors to their components with respect to B_V . This is well-defined and an isomorphism by B_V being a basis. (In fact, this can serve as a definition of B_V being a basis.) Then we have naturality :

$$\begin{array}{ccc} (V, B_V) & \xrightarrow{f} & (W, B_W) \\ [-]_{B_V} \downarrow \sim & & \sim \downarrow [-]_{B_W} \\ (K^{\oplus B_V}, E) & \xrightarrow{F(f)} & (K^{\oplus B_W}, E) \end{array}$$

In particular for a fixed K -vector space V and two finite bases B, B_1 , any total ordering on B, B_1 gives rise to a unique $f \in \mathcal{C}((V, B), (V, B_1))$. Then the (iso)morphism $F(f)$ is what is usually known as change of basis.

- Dual Module

- (Power Set as an \mathbb{F}_2 -Algebra) For any set X , we can see the power set 2^X as \mathbb{F}_2^X the set of set morphisms from X to the field with two elements \mathbb{F}_2 . Then \mathbb{F}_2^X naturally has a structure of an \mathbb{F}_2 -algebra. Explicitly, for two subsets $f, g \in \mathbb{F}_2^X$, $fg = f \cap g$ and $f + g = (f \cup g) \setminus (f \cap g)$. The additive identity is \emptyset and the multiplicative identity is X . One can see that preimage functor $X \mapsto 2^X = \mathbb{F}_2^X$ upgrades to a contravariant functor from **Set** to **Alg**(\mathbb{F}_2).
- Tangent space of pointed differentiable manifold

3 Universal Morphisms

Definition – Comma Category

Let $G : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ and $X \in \text{Obj}(\mathcal{D})$. Then the *comma category* $X \downarrow G$ is defined as follows.

1. $\text{Obj}(X \downarrow G)$ consists of pairs (U, u) where $U \in \text{Obj}(\mathcal{C})$ and $u : X \xrightarrow{\mathcal{D}} G(U)$.
2. For $(U, u), (V, v) \in \text{Obj}(X \downarrow G)$, $X \downarrow G((U, u), (V, v))$ consists of $f : U \xrightarrow{\mathcal{C}} V$ such that

$$\begin{array}{ccc} X & \xrightarrow{u} & G(U) \\ & \searrow v & \downarrow G(f) \\ & & G(V) \end{array}$$

Dually, let $F : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$ and $U \in \text{Obj}(\mathcal{C})$. Then the *comma category* $F \downarrow U$ is defined as follows.

1. $\text{Obj}(F \downarrow U)$ consists of pairs (X, x) where $X \in \text{Obj}(\mathcal{D})$ and $x : F(X) \xrightarrow{\mathcal{C}} U$.
2. For $(X, x), (Y, y) \in \text{Obj}(F \downarrow U)$, $F \downarrow U((X, x), (Y, y))$ consists of $g : X \xrightarrow{\mathcal{D}} Y$ such that

$$\begin{array}{ccc} F(X) & & \\ F(g) \downarrow & \searrow x & \\ F(Y) & \xrightarrow{y} & U \end{array}$$

Remark. Here is a special case of the comma category worth noting.

Definition – Over Category

Let \mathcal{C} be a category and $U \in \text{Obj}(\mathcal{C})$. Then the *over category* $\mathcal{C} \downarrow U$ is defined as $\mathbb{1}_{\mathcal{C}} \downarrow U$.

Dually, the *under category* $U \downarrow \mathcal{C}$ is defined as $U \downarrow \mathbb{1}_{\mathcal{C}}$.

Example (Over and Under Categories). 1. Let $R \in \text{Obj}(\mathbf{Ring})$. Then $\mathbf{Alg}(R) = R \downarrow \mathbf{Ring}$.

2. Let $X \in \text{Obj}(\mathbf{Top})$. Then we have the category of covering spaces of X which is the subcategory of $\mathbf{Top} \downarrow X$ where objects are (\tilde{X}, p) with p a covering map.

Definition – Universal Morphism

Let $G : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ and $X \in \text{Obj}(\mathcal{D})$. Then a *universal morphism from X to G* is the following data.

1. An object $(F(X), \eta_X)$ of the comma category $X \downarrow G$.
2. (Universal Property) For all $(V, v) \in \text{Obj}(X \downarrow G)$, there exists a unique morphism $(F(X), \eta_X) \xrightarrow{X \downarrow G} (V, v)$.

Dually, let $F : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$ and $U \in \text{Obj}(\mathcal{C})$. Then a *universal morphism from F to U* is the following data.

1. An object $(G(U), \varepsilon_U)$ of the comma category $F \downarrow U$.
2. (Universal Property) For all $(Y, y) \in \text{Obj}(F \downarrow U)$, there exists a unique morphism $(Y, y) \xrightarrow{F \downarrow U} (G(U), \varepsilon_U)$.

Proposition – Unique up to Unique Isomorphism

Let $G : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$, $X \in \text{Obj}(\mathcal{D})$, $(U, u), (V, v) \in X \downarrow G$ both universal morphisms from X to G . Then there exists a unique $f : (U, u) \xrightarrow{X \downarrow G} (V, v)$ and $g : (V, v) \xrightarrow{X \downarrow G} (U, u)$ such that $g \circ f = \mathbb{1}_{(U, u)}$ and $f \circ g = \mathbb{1}_{(V, v)}$. Thus, if a universal morphism exists, we say it is *unique up to unique isomorphism*.

Dually, let $F : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$, $U \in \text{Obj}(\mathcal{C})$, $(X, x), (Y, y) \in F \downarrow U$ both universal morphisms from F to U . Then there exists a unique $f : (X, x) \xrightarrow{F \downarrow U} (Y, y)$ and $g : (Y, y) \xrightarrow{F \downarrow U} (X, x)$ such that $g \circ f = \mathbb{1}_{(X, x)}$ and $f \circ g = \mathbb{1}_{(Y, y)}$.

Proof. (Shorter proof that does not go through Yoneda).

By the universal property of (U, u) , There exists a unique $f : (U, u) \xrightarrow{X \downarrow G} (V, v)$. Similarly, there exists a unique $g : (Y, y) \xrightarrow{F \downarrow U} (X, x)$. But then $g \circ f : (U, u) \xrightarrow{X \downarrow G} (U, u)$. By applying the universal property of (U, u) with itself, we see that $\mathbb{1}_{(U, u)}$ is the unique $(U, u) \xrightarrow{X \downarrow G} (U, u)$. In particular, we have $g \circ f = \mathbb{1}_{(U, u)}$. Similarly, we have $f \circ g = \mathbb{1}_{(V, v)}$. Since f and g are the *only* morphisms between $(U, u), (V, v)$, they are the unique isomorphism between (U, u) and (V, v) . \square

Remark – “Canonically Isomorphic”. It is common in category theory and maths at large to *equate* two objects that satisfy the same universal property, since they are not only isomorphic, but also isomorphic in a unique way. Some also call these *canonically isomorphic*.

Proposition – Isomorphic to Universal implies Universal

Let $G : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$, $X \in \text{Obj}(\mathcal{D})$, $(U, u), (V, v) \in X \downarrow G$ where $(U, u) \cong_{X \downarrow G} (V, v)$ and (U, u) is a universal morphism from X to G . Then (V, v) is a universal morphism from X to G .

Dually, let $F : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$, $U \in \text{Obj}(\mathcal{C})$, $(X, x), (Y, y) \in F \downarrow U$ where $(X, x) \cong_{F \downarrow U} (Y, y)$ and (X, x) is a universal morphism from F to U . Then (Y, y) is a universal morphism from F to U .

Proof. Let $f : (U, u) \xrightarrow[\sim]{X \downarrow G} (V, v)$. Let $(W, w) \in \text{Obj}(X \downarrow G)$. Then f induces a bijection between $X \downarrow G((U, u), (W, w))$ and $X \downarrow G((V, v), (W, w))$. Since the former is singleton, so is the latter.

The dual has a similar argument. □

4 Yoneda's Lemma

Definition – Dual Categories

Let $\mathcal{C} \in \text{Obj}(\mathbf{Cat})$. Then the *dual category* of \mathcal{C} , denoted \mathcal{C}^{op} , is defined by :

1. $\text{Obj}(\mathcal{C}^{op}) := \text{Obj}(\mathcal{C})$.
2. For all $U, V \in \text{Obj}(\mathcal{C}^{op})$, $\mathcal{C}^{op}(U, V) := \mathcal{C}(V, U)$.

Definition – Contravariant Functors

Let $\mathcal{C}, \mathcal{D} \in \text{Obj}(\mathbf{Cat})$. Then a *contravariant functor* from \mathcal{C} to \mathcal{D} is just a functor $\mathcal{C}^{op} \xrightarrow{\mathbf{Cat}} \mathcal{D}$. Functors $\mathcal{C} \xrightarrow{\mathbf{Cat}} \mathcal{D}$ are henceforth called *covariant functors* from \mathcal{C} to \mathcal{D} .

Definition – Morphism Functor

Let \mathcal{C} be a category and $U \in \text{Obj}(\mathcal{C})$. Then $h_U : \mathcal{C}^{op} \xrightarrow{\mathbf{Cat}} \mathbf{Set}$ is defined as :

1. For all $V \in \text{Obj}(\mathcal{C}^{op})$, $h_U(V) := \mathcal{C}(V, U)$.
2. For all $V, W \in \text{Obj}(\mathcal{C}^{op})$ and $f : V \xrightarrow{\mathcal{C}^{op}} W$, $h_U(f) : h_U(V) \rightarrow h_U(W)$, $g \mapsto g \circ f$.

Similarly, $h^U : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathbf{Set}$ is defined as :

1. For all $V \in \text{Obj}(\mathcal{C})$, $h^U(V) := \mathcal{C}(U, V)$.
2. For all $V, W \in \text{Obj}(\mathcal{C})$ and $f : V \xrightarrow{\mathcal{C}} W$, $h^U(f) : h^U(V) \rightarrow h^U(W)$, $g \mapsto f \circ g$.

Proposition – Morphism Functor is Functorial

Let \mathcal{C} be a category. Then $h_* : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathbf{Set}^{\mathcal{C}^{op}}$. Similarly, $h^* : \mathcal{C}^{op} \xrightarrow{\mathbf{Cat}} \mathbf{Set}^{\mathcal{C}}$.

Remark – Functor of Points. Because of its relevance in algebraic geometry, h_U is called the *functor of points* of U .

Proposition – Yoneda's Lemma

Let \mathcal{C} be a category. Then $h_* : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathbf{Set}^{\mathcal{C}^{op}}$ is fully faithful. Since [fully faithful functors are injective](#), h_* is called the *Yoneda embedding*.

More generally, for any $U \in \text{Obj}(\mathcal{C})$ and $F \in \text{Obj}(\mathbf{Set}^{\mathcal{C}^{op}})$, $\mathbf{Set}^{\mathcal{C}^{op}}(h_U, F)$ bijects with $F(U)$ via $s \mapsto s_U(\mathbb{1}_U)$ and this bijection is natural in both U and F .

Dually, $h^* : \mathcal{C}^{op} \xrightarrow{\mathbf{Cat}} \mathbf{Set}^{\mathcal{C}}$ is fully faithful and more generally, for any $U \in \text{Obj}(\mathcal{C}^{op})$ and $F \in$

Obj($\mathbf{Set}^{\mathcal{C}}$), $\mathbf{Set}^{\mathcal{C}}(h^U, F)$ naturally bijects with $F(U)$ via $s \mapsto s_U(\mathbb{1}_U)$.

Proof. We first prove the general statement. Let $U \in \text{Obj}(\mathcal{C})$, $F \in \text{Obj}(\mathbf{Set}^{\mathcal{C}^{op}})$. Given an element $s \in F(U)$, we are tasked with constructing a natural transformation $h_U \rightarrow F$. For $V \in \mathcal{C}$ we want to map elements $f \in h_U(V)$ to some element of $F(V)$. Well, f is a morphism from V to U , so $F(f)$ is a morphism from $F(U)$ to $F(V)$, and we are given an element $s \in F(U)$. So define $\alpha_V^s : h_U(V) \rightarrow F(V) := f \mapsto F(f)(s)$. For the collection of α_V^s to form a natural transformation, we need naturality. So given $f \in \mathcal{C}(V, W)$, we need the following diagram to commute :

$$\begin{array}{ccc} h_U(W) & \xrightarrow{\alpha_W^s} & F(W) \\ \downarrow h_U(f) & & \downarrow F(f) \\ h_U(V) & \xrightarrow{\alpha_V^s} & F(V) \end{array}$$

For $g \in h_U(W)$, then we have as desired

$$\alpha_V^s \circ h_U(f)(g) = \alpha_V^s(g \circ f) = F(g \circ f)(s) = F(f) \circ F(g)(s) = F(f) \circ \alpha_W^s(g)$$

So $\alpha^s : h_U \rightarrow F$ is a natural transformation.

Note that we can recover s from α^s by $\alpha_U^s(\mathbb{1}_U) = s$. This motivates us to define the inverse map by $\alpha \in \mathbf{Set}^{\mathcal{C}^{op}}(h_U, F) \mapsto \alpha_U(\mathbb{1}_U)$. To show these two maps are indeed inverses, first consider the following diagram where $\alpha : h_U \rightarrow F$ is a natural transformation, $W \in \text{Obj}(\mathcal{C})$ and $f \in h_U(W)$:

$$\begin{array}{ccc} h_U(U) & \xrightarrow{\alpha_U} & F(U) \\ \downarrow h_U(f) & & \downarrow F(f) \\ h_U(W) & \xrightarrow{\alpha_W} & F(W) \end{array} \quad \begin{array}{ccc} \mathbb{1}_U & \xrightarrow{\quad} & \alpha_U(\mathbb{1}_U) \\ \downarrow & & \downarrow \\ f & \xrightarrow{\quad} & \alpha_W(f) = F(f)(\alpha_U(\mathbb{1}_U)) \end{array}$$

The above diagram commutes by naturality of α . What it shows is that α_W is completely determined by $\alpha_U(\mathbb{1}_U)$, and hence α is completely determined by $\alpha_U(\mathbb{1}_U)$. This proves one side of the inverse situation. The other side is clear. Thus we have a bijection between $\mathbf{Set}^{\mathcal{C}^{op}}(h_U, F) \cong F(U)$.

At this point, we can already get h_* fully faithful by applying the above bijection to $F = h_*$ itself and noting the bijection turns $f \in h_V(U)$ into h_f .

For naturality in the first component, let $f : U \xrightarrow{\mathcal{C}} V$. Then we have the following commutative diagram.

$$\begin{array}{ccc}
\mathbf{Set}^{cop}(h_U, F) & \xrightarrow{\quad\quad\quad} & F(U) \\
\uparrow (\star \circ h^f) & & \uparrow F(f) \\
& \alpha \circ h^f \mapsto (\alpha \circ h^f)_U(\mathbb{1}_U) = \alpha_V(f) = F(f) \circ \alpha_V(\mathbb{1}_V) & \\
& \uparrow \qquad \qquad \qquad \uparrow & \\
& \alpha \mapsto \alpha_V(\mathbb{1}_V) & \\
\mathbf{Set}^{cop}(h_V, F) & \xrightarrow{\quad\quad\quad} & F(V)
\end{array}$$

For naturality in the second component, let $\phi : F \xrightarrow{\mathbf{Set}^{cop}} G$. Then we have the following commutative diagram.

$$\begin{array}{ccc}
\mathbf{Set}^{cop}(h_U, F) & \xrightarrow{\quad\quad\quad} & F(U) \\
\downarrow (\phi \circ \star) & & \downarrow \phi_U \\
& \alpha \mapsto \alpha_U(\mathbb{1}_U) & \\
& \downarrow \qquad \qquad \downarrow & \\
& \phi \circ \alpha \mapsto (\phi \circ \alpha)_U(\mathbb{1}_U) = \phi_U \circ \alpha_U(\mathbb{1}_U) & \\
\mathbf{Set}^{cop}(h_U, G) & \xrightarrow{\quad\quad\quad} & G(U)
\end{array}$$

We thus have the desired result. □

Definition – Representable Functors

Let $G : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathbf{Set}$ be a covariant functor. Then a *representation of G* is a $(U, u) \in h^\star \downarrow G$ where $u : h^\star \xrightarrow[\sim]{\mathbf{Set}^c} G$.

Dually, let $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$ be a contravariant functor. Then a *representation of F* is a $(U, u) \in h_\star \downarrow F$ where $u : h_\star \xrightarrow[\sim]{\mathbf{Set}^{cop}} F$.

A functor (covariant or contravariant) that has a representation is called *representable*.

Remark. If a functor has a representation, Yoneda's lemma implies it is canonical. This is the [next result](#).

Before this, we first relate universal morphisms to representable functors. This is important as it leads to the notion of *adjunction*.

Proposition – Universal iff Represents

Let $R : \mathcal{C} \xrightarrow{\mathbf{Cat}} \mathcal{D}$, $X \in \mathbf{Obj}(\mathcal{D})$, $(L(X), \eta_X) \in \mathbf{Obj}(X \downarrow R)$. Then the following are equivalent :

1. $(L(X), \eta_X)$ is a universal morphism from X to R .
2. $L(X)$ represents the covariant functor $\mathcal{D}(X, R(\star))$ and $\mathbb{1}_{L(X)}$ corresponds to η_X .

Dually, let $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$, $U \in \text{Obj}(\mathcal{C})$, $(R(U), \varepsilon_U) \in \text{Obj}(L \downarrow U)$. Then the following are equivalent :

1. $(R(U), \varepsilon_U)$ is a universal morphism from L to U .
2. $R(U)$ represents the contravariant functor $\mathcal{C}(L(\star), U)$ and $\mathbb{1}_{R(U)}$ corresponds to ε_U .

Proof. (Universal implies Represents) Let $(L(X), \eta_X)$ be a universal morphism from X to R . Define the following natural transformation,

$$h^{L(X)} \xrightarrow{\text{Set}^{\mathcal{C}}} \mathcal{D}(X, R(\star)) :=$$

$$W \in \text{Obj}(\mathcal{C}) \mapsto \left[f \in h^{L(X)}(W) \mapsto R(f) \circ \eta_X \in \mathcal{D}(X, R(W)) \right]$$

Then for every $W \in \text{Obj}(\mathcal{C})$, this is an isomorphism between $h^{L(X)}(W)$ and $\mathcal{D}(X, R(W))$, and hence a natural isomorphism. Indeed, $\mathbb{1}_{L(X)}$ corresponds to η_X under this natural isomorphism.

(Represents implies Universal) Let $\alpha : h^{L(X)} \xrightarrow{\text{Set}^{\mathcal{C}}} \mathcal{D}(X, R(\star))$ be a natural isomorphism where at $L(X)$, $\alpha_{L(X)}(\mathbb{1}_{L(X)}) = \eta_X$. Let $(V, v) \in \text{Obj}(X \downarrow R)$. For any $f : L(X) \xrightarrow{\mathcal{C}} V$, consider the following commutative diagram.

$$\begin{array}{ccc}
 h^{L(X)}(L(X)) & \xrightarrow{\alpha_{L(X)}} & \mathcal{D}(X, RL(X)) \\
 \downarrow h^{L(X)}(f) & & \downarrow \mathcal{D}(X, R(f)) \\
 & \mathbb{1}_{L(X)} \mapsto \alpha_{L(X)}(\mathbb{1}_{L(X)}) = \eta_X & \\
 & \downarrow & \downarrow \\
 & f \mapsto \alpha_V(f) = R(f) \circ \eta_X & \\
 h^{L(X)}(V) & \xrightarrow{\alpha_V} & \mathcal{D}(X, R(V))
 \end{array}$$

Thus $f : (L(X), \eta_X) \xrightarrow{X \downarrow R} (V, v)$ if and only if $\alpha_V(f) = v$. Then $\alpha_V^{-1}(v)$ is the unique morphism $(L(X), \eta_X) \xrightarrow{X \downarrow R} (V, v)$. Since there exists a unique $(L(X), \eta_X) \xrightarrow{X \downarrow R} (V, v)$, $(L(X), \eta_X)$ is universal.

The dual equivalence has an analogous proof. □

Proposition – Canonical Representation

Let $G : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ and $(U, u) \in h^{\star} \downarrow G$. Then the following are equivalent :

1. (U, u) is a representation of G .

2. (U, u) is a universal morphism from h^* to G .

In particular, representations of G are canonically isomorphic.

Dually, let $F : \mathcal{C}^{op} \xrightarrow{\text{Cat}} \mathcal{D}$ and $(V, v) \in h_* \downarrow F$. Then the following are equivalent :

1. (V, v) is a representation of F .
2. (V, v) is a universal morphism from h_* to F .

In particular, representations of F are canonically isomorphic.

Proof. (Representation implies Universal) Let $(W, w) \in \text{Obj}(h^* \downarrow G)$. Then $u^{-1} \circ w : h^W \xrightarrow{\text{Set}^C} h^U$. By [Yoneda's lemma](#), there exists a unique $u(W, w) : U \xrightarrow{\mathcal{C}} W$ such that $u^{-1} \circ w = h^{u(W, w)}$. Hence $u(W, w)$ is the unique morphism $(W, w) \xrightarrow{h^* \downarrow G} U, u$.

(Universal implies Representation) By [universal iff represents](#) and [Yoneda's lemma](#), we have the following diagram.

$$\begin{array}{ccc}
 & V \in \text{Obj}(\mathcal{C}) \mapsto [s \in \text{Set}^C(h^V, G) \mapsto s_V(\mathbb{1}_V)] & \\
 & \text{Set}^C(h^*, G) \xrightarrow{\sim} G & \\
 \uparrow \sim & \nearrow u & \\
 V \in \text{Obj}(\mathcal{C}) \mapsto [f \in h^U(V) \mapsto u \circ h^f] & & \\
 & h^U &
 \end{array}$$

The claim is that the above commutes, and hence u is an isomorphism. Let $V \in \text{Obj}(\mathcal{C})$ and $f \in h^U(V)$. Then

$$(h^f \circ u)_V(\mathbb{1}_V) = u_V \circ (h^f)_V(\mathbb{1}_V) = u_V(f)$$

So the above diagram commutes.

For the dual, the argument is similar. □

5 Adjoint Functors

Definition – Adjoint Functors

Let $R : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$. Then R is a *right adjoint* when there exists $L : \text{Obj}(\mathcal{D}) \rightarrow \text{Obj}(\mathcal{C})$ and $\eta \in \Pi X \in \text{Obj}(\mathcal{D}), \mathcal{D}(X, RL(X))$ such that for all $X \in \text{Obj}(\mathcal{D})$, $(L(X), \eta(X))$ is a universal morphism from X to R . In this case, L is called the *left adjoint* of R .

Dually, let $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$. Then L is a *left adjoint* when there exists $R : \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$

and $\varepsilon \in \Pi U \in \text{Obj}(\mathcal{C}), \mathcal{C}(LR(U), U)$ such that for all $U \in \text{Obj}(\mathcal{C})$, $(R(U), \varepsilon(U))$ is a universal morphism from L to U . In this case, R is called the *right adjoint* of L .

Definition – Product Category

Let \mathcal{C}, \mathcal{D} be categories. Then the *product category* of \mathcal{C}, \mathcal{D} is denoted $\mathcal{C} \times \mathcal{D}$ and is defined as follows.

1. $\text{Obj}(\mathcal{C} \times \mathcal{D}) := \text{Obj}(\mathcal{C}) \times \text{Obj}(\mathcal{D})$.
2. For $(U, X), (V, Y) \in \text{Obj}(\mathcal{C} \times \mathcal{D})$, $\mathcal{C} \times \mathcal{D}((U, X), (V, Y)) := \mathcal{C}(U, V) \times \mathcal{D}(X, Y)$.

Proposition – Natural Transformations on Product Category

Let $F, G : \mathcal{C} \times \mathcal{D} \rightarrow \mathcal{E}$, $\alpha \in \Pi(U, X) \in \text{Obj}(\mathcal{C} \times \mathcal{D}), \mathcal{E}(F(U), G(X))$. Then the following are equivalent.

1. $\alpha : F \rightarrow G$.
2. For all $(U, X) \in \text{Obj}(\mathcal{C} \times \mathcal{D})$, $\alpha(U, -) : F(U, -) \rightarrow G(U, -)$ and $\alpha(-, X) : F(-, X) \rightarrow G(-, X)$.

Definition – Adjunction

Let $R : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ and $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$. We have the two functors $\mathcal{C}(L(\star), -), \mathcal{D}(\star, R(-)) : \mathcal{D}^{op} \times \mathcal{C} \xrightarrow{\text{Cat}} \text{Set}$. Then (L, R) is an *adjunction* when $\mathcal{C}(L(\star), -), \mathcal{D}(\star, R(-))$ are naturally isomorphic.

In this case, R is called the *right adjoint* of L and L is called the *left adjoint* of R . The isomorphism is called the *adjunction isomorphism*. For all $f : L(X) \xrightarrow{\mathcal{C}} U$, the image of f under the adjunction isomorphism is called the *adjunct* of f , denoted f^\perp . Similarly for $g : X \xrightarrow{\mathcal{D}} R(U)$, we have the *adjunct* of g , denoted g^\perp .

Proposition – Universal Morphism Characterisation of Adjunction

Let $R : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$. Then the following are equivalent :

1. R is a right adjoint.
2. There exists $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$ such that (L, R) is an adjunction.

Dually, let $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$. Then the following are equivalent :

1. L is a left adjoint.
2. There exists $R : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ such that (L, R) is an adjunction.

Proof. (\Rightarrow) Let R be a right adjoint, $L : \text{Obj}(\mathcal{D}) \rightarrow \text{Obj}(\mathcal{C})$, $\eta \in \Pi X \in \text{Obj}(\mathcal{D}), \mathcal{D}(X, RL(X))$, for all $X \in \text{Obj}(\mathcal{D})$, $(L(X), \eta(X))$ universal morphism from X to R .

The universal properties at every $X \in \text{Obj}(\mathcal{D})$ implies L is functorial. By [universal iff represents](#), for all $X \in \text{Obj}(\mathcal{D})$, we have $\mathcal{C}(L(X), -) \cong \mathcal{D}(X, R(-))$ as functors $\mathcal{C} \rightarrow \text{Set}$. Let $f : X \xrightarrow{\mathcal{D}} Y$ and $U \in \text{Obj}(\mathcal{C})$. Then we have the following commutative diagram.

$$\begin{array}{ccc}
 \mathcal{C}(L(X), U) & \xrightarrow{R(-) \circ \eta(X)} & \mathcal{D}(X, R(U)) \\
 \uparrow h^{L(f)} & & \uparrow h^f \\
 & g \circ L(f) \mapsto R(g \circ L(f)) \circ \eta(X) = R(g) \circ \eta(Y) \circ f & \\
 & \uparrow \quad \quad \quad \uparrow & \\
 & g \mapsto R(g) \circ \eta(Y) & \\
 \mathcal{C}(L(Y), U) & \xrightarrow{R(-) \circ \eta(Y)} & \mathcal{D}(Y, R(U))
 \end{array}$$

Thus the isomorphism $\mathcal{C}(L(X), -) \cong \mathcal{D}(X, R(-))$ is functorial in X , and hence an isomorphism between $\mathcal{C}(L(\star), -) \cong \mathcal{D}(\star, R(-))$.

(\Leftarrow) Let $L : \text{Obj}(\mathcal{D}) \xrightarrow{\text{Cat}} \mathcal{C}$ such that (L, R) is an adjunction. Then for each $X \in \text{Obj}(\mathcal{D})$, $\mathcal{C}(L(X), -) \cong \mathcal{D}(X, R(-))$. Let $\eta(X)$ be the adjunct of $\mathbb{1}_{L(X)}$. By [universal iff represents](#), $(L(X), \eta(X))$ is a universal morphism from X to R .

The dual has a similar argument. □

Proposition – Uniqueness of Adjoints

Let $R, R_1 : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$, $L, L_1 : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$. Then

1. If (L, R) and (L, R_1) are both adjunctions, then $R \cong R_1$ as functors.
2. If (L, R) and (L_1, R) are both adjunctions, then $L \cong L_1$ as functors.

Proof. (1) Let $(L, R), (L, R_1)$ both be adjunctions. Let $f : U \xrightarrow{\mathcal{C}} V$. We have an isomorphism between the functors $\mathcal{D}(-, R(U))$ and $\mathcal{D}(-, R_1(U))$ for all $U \in \text{Obj}(\mathcal{C})$. By [Yoneda's lemma](#), these isomorphisms are equal to h_{α_U} for some unique morphism $\alpha_U : R(U) \xrightarrow{\mathcal{D}} R_1(U)$. So we have the following commutative diagram.

$$\begin{array}{ccc}
 \mathcal{D}(-, R(U)) & \xrightarrow[\sim]{h_{\alpha_U}} & \mathcal{D}(-, R_1(U)) \\
 h_{R(f)} \downarrow & & \downarrow h_{R_1(f)} \\
 \mathcal{D}(-, R(V)) & \xrightarrow[\sim]{h_{\alpha_V}} & \mathcal{D}(-, R_1(V))
 \end{array}$$

Again by Yoneda, we have $R_1(f) \circ \alpha_U = \alpha_V \circ R(f)$. The fact that h_{α_U} is an isomorphism implies α_U is an isomorphism. Thus α is a natural isomorphism between R, R_1 .

(2) Analogous. □

Remark. There is another characterisation of adjunctions.

Proposition – Unit/Counit Characterisation of Adjunction

Let $R : \mathcal{C} \xrightarrow{\text{Cat}} \mathcal{D}$ and $L : \mathcal{D} \xrightarrow{\text{Cat}} \mathcal{C}$. Then the following are equivalent :

1. (Morphism Isomorphism) (R, L) is an adjunction.
2. (Unit-Counit) There exists $\eta : \mathbb{1}_{\mathcal{D}} \rightarrow RL$ and $\varepsilon : LR \rightarrow \mathbb{1}_{\mathcal{C}}$ such that
 - (a) $\mathbb{1}_L = \varepsilon L \circ L\eta$, that is to say for all $X \in \text{Obj}(\mathcal{D})$, we have the following commutative diagram.

$$\begin{array}{ccc} L(X) & \xrightarrow{L(\eta(X))} & LRL(X) \\ & \searrow \mathbb{1}_{L(X)} & \downarrow \varepsilon(L(X)) \\ & & L(X) \end{array}$$

- (b) $\mathbb{1}_R = R\varepsilon \circ \eta R$, i.e. for all $U \in \text{Obj}(\mathcal{C})$, we have the following commutative diagram.

$$\begin{array}{ccc} R(U) & \xrightarrow{\eta(R(U))} & RLR(U) \\ & \searrow \mathbb{1}_{R(U)} & \downarrow R(\varepsilon(U)) \\ & & R(U) \end{array}$$

The above two equations are often called *triangle-identities*.

Proof. $(1 \Rightarrow 2)$ For all $X \in \text{Obj}(\mathcal{D})$, the adjunction isomorphism gives an isomorphism of functors

$$\mathcal{C}(L(X), -) \cong \mathcal{D}(X, R(-))$$

Define $\eta(X) := \mathbb{1}_{L(X)}^\perp$. Then by [universal iff represents](#), $(L(X), \eta(X))$ is a universal morphism from X to R . We claim that $\eta : \mathbb{1}_{\mathcal{D}} \rightarrow RL$.

Let $f : X \xrightarrow{\mathcal{D}} Y$. Then by the universal property of $(L(X), \eta(X))$, we have the following commutative diagram.

$$\begin{array}{ccc} X & \xrightarrow{\eta(X)} & RL(X) \\ f \downarrow & & \downarrow RL(f) \\ Y & \xrightarrow{\eta(Y)} & RL(Y) \end{array}$$

i.e. η is a natural transformation as desired. We similarly define $\varepsilon(U) := \mathbb{1}_{R(U)}^\perp$ for $U \in \text{Obj}(\mathcal{C})$ and see that $\varepsilon : LR \rightarrow \mathbb{1}_{\mathcal{C}}$.

To prove (a), let $X \in \text{Obj}(\mathcal{D})$. Then

$$\mathbb{1}_{L(X)} = \left(\mathbb{1}_{L(X)}^\perp \right)^\perp = (\eta(X))^\perp = \varepsilon(L(X)) \circ L(\eta(X))$$

where the last equality follows from the universal property of $(RL(X), \varepsilon(L(X)))$. Similarly for (b), we have for $U \in \text{Obj}(\mathcal{C})$,

$$\mathbb{1}_{R(U)} = \left(\mathbb{1}_{R(U)}^\perp \right)^\perp = (\varepsilon(U))^\perp = R(\varepsilon(U)) \circ \eta(R(U))$$

where the last equality is by the universal property of $(LR(U), \eta(R(U)))$.

(2 \Rightarrow 1) Let $(X, U) \in \text{Obj}(\mathcal{D}^{op} \times \mathcal{C})$. Since $(L(X), \eta(X))$ is supposed to be a universal morphism from X to R , we define the adjunction map to be

$$\begin{aligned} \mathcal{C}(L(X), U) &\xleftarrow{\perp} \mathcal{D}(X, R(U)) \\ f &\longmapsto R(f) \circ \eta(X) \\ \varepsilon(U) \circ L(g) &\longleftarrow g \end{aligned}$$

Then for $f : L(X) \xrightarrow{\mathcal{C}} U$,

$$\begin{aligned} (f^\perp)^\perp &= \varepsilon(U) \circ L(f^\perp) = \varepsilon(U) \circ L(R(f) \circ \eta(X)) \\ &= \varepsilon(U) \circ LR(f) \circ L(\eta(X)) = f \circ \varepsilon(L(X)) \circ L(\eta(X)) = f \end{aligned}$$

Similarly, $(g^\perp)^\perp = g$. So \perp is an isomorphism at all (X, U) .

It remains to show naturality. It suffices to show that the isomorphism is natural in both components. Let $f : X \xrightarrow{\mathcal{D}^{op}} Y$. Then we have the following diagram.

$$\begin{array}{ccc} \mathcal{C}(L(X), U) & \xrightarrow{\perp} & \mathcal{D}(X, R(U)) \\ h^{L(f)} \downarrow & & \downarrow h^f \\ \mathcal{C}(L(Y), U) & \xrightarrow{\perp} & \mathcal{D}(Y, R(U)) \end{array}$$

It follows from $\eta : \mathbb{1}_{\mathcal{D}} \rightarrow RL$ that the above commutes. Similarly, naturality of ε implies naturality in the second component. Hence \perp is a natural isomorphism as desired. \square

Remark. The following is a special case of adjunction that is worth noting.

Definition – Galois Connection

Let I, J be partially ordered sets. Then I, J can be seen as categories. A *monotone Galois connection* between I, J is an adjunction between I, J . A *antitone Galois connection* between I, J is an adjunction

between I^{op}, J .

Remark. The [unit/counit characterisation of adjunctions](#) shows that if (R, L) is a Galois connection (mono or anti) between partially ordered sets I, J , then R and L are bijective on their images.

Definition – Free Functors

6 Limits and Colimits

Definition – (Co)Diagrams

Let \mathcal{I}, \mathcal{C} be categories. Then an \mathcal{I} -*diagram* in \mathcal{C} is a covariant functor from \mathcal{I} to \mathcal{C} . Dually, an \mathcal{I} -*codiagram* is a contravariant functor from \mathcal{I} to \mathcal{C} , i.e. an \mathcal{I}^{op} -diagram.

Remark. Often, it is easier to take \mathcal{I} to be a subcategory of \mathcal{C} .

Definition – Constant (Co)Diagrams

Let \mathcal{I}, \mathcal{C} be categories and $U \in \text{Obj}(\mathcal{C})$. Then define the *constant diagram* $\Delta(U)$ as follows.

1. For all $i \in \mathcal{I}$, $\Delta(U)(i) := U$.
2. For all $\phi : i \xrightarrow{\mathcal{I}} j$, $\Delta(U)(\phi) := \mathbb{1}_U$.

Dually, we have the *constant codiagram* $\Delta^{op}(U)$ defined as :

1. For all $i \in \text{Obj}(\mathcal{I})$, $\Delta^{op}(U)(i) := U$.
2. For all $\phi : i \xrightarrow{\mathcal{I}^{op}} j$, $\Delta^{op}(U)(\phi) := \mathbb{1}_U$.

Proposition – Functoriality of Constant (Co)Diagrams

Let \mathcal{I}, \mathcal{C} be categories. Then $\Delta : \mathcal{C} \rightarrow \mathcal{C}^{\mathcal{I}}$. Dually, $\Delta^{op} : \mathcal{C} \rightarrow \mathcal{C}^{\mathcal{I}^{op}}$.

Definition – (Co)Limits of (Co)Diagrams

Let \mathcal{I}, \mathcal{C} be categories, X a \mathcal{I} -diagram in \mathcal{C} , and Y a \mathcal{I} -codiagram in \mathcal{C} .

Then a *limit* of X is a universal morphism from Δ to X . If a limit of X exists, it is [canonical](#) and referred to as *the limit*, denoted $(\varprojlim X, \pi_X)$.

Dually, a *colimit* of Y is a universal morphism from Y to Δ^{op} . If a colimit of Y exists, it is canonical and referred to as *the colimit*, denoted with $(\varinjlim Y, \iota_Y)$.

Remark. Sometimes limits are also called *projective limits*, and colimits are called *injective limits*.

Definition – (Co)Completeness

Let \mathcal{C} be a category. Then it is called *complete* when for all “small” categories \mathcal{I} and diagrams $X : \mathcal{I} \xrightarrow{\text{Cat}} \mathcal{C}$, there exists the limit of X .

Dually, it is called *cocomplete* when for all “small” categories \mathcal{I} and codiagrams $Y : \mathcal{I}^{op} \xrightarrow{\text{Cat}} \mathcal{C}$,

there exists the colimit of Y .

Remark. We now cover important examples of limits and colimits.

Definition – Discrete Category

For $I \in \text{Obj}(\mathbf{Set})$, I can be turned into a category by having elements as objects and the only morphisms being identity morphisms. Categories obtained in this way are called *discrete categories*.

Remark. Note that for a discrete category \mathcal{I} , \mathcal{I} and \mathcal{I}^{op} are isomorphic in an obvious way. Consequently, it is best to think of \mathcal{I} -diagrams and \mathcal{I} -codiagrams as “the same”.

Definition – (Co)Products

Let \mathcal{C} be a category and \mathcal{I} a discrete category.

Let X be an \mathcal{I} -diagram in \mathcal{C} . Then the limit of X is called the *product of $X(i)$* .

Dually, let Y be an \mathcal{I} -codiagram in \mathcal{C} . Then the colimit of Y is called the *coproduct of $Y(i)$* .

In the special case of $I = \emptyset$, the product is called the *final object of \mathcal{C}* . Dually, the coproduct is called the *initial object of \mathcal{C}* .

Example (Final Objects).

Example (Initial Objects).

Example (Products).

Example (Coproducts).

Definition – (Co)Equalizers

Let \mathcal{C} be a category. Let I be an arbitrary set and \mathcal{I} be the following category.

$$\begin{array}{ccc} \mathbb{1}_0 & & \mathbb{1}_1 \\ \downarrow & & \downarrow \\ 0 & \xrightarrow{i} & 1 \end{array}$$

where there is a morphism $i : 0 \xrightarrow{\mathcal{I}} 1$ for all $i \in I$.

Let X be an \mathcal{I} -diagram in \mathcal{C} . Then the limit of X is called the *equalizer of $X(i)$'s*. Dually, let Y be an \mathcal{I} -codiagram in \mathcal{C} . Then the colimit of Y is called the *coequalizer of $Y(i)$'s*.

Example (Equalizers).

Example (Coequalizers).

Definition – Pullbacks and Pushouts

Let \mathcal{C} be a category, $U \in \text{Obj}(\mathcal{C})$. Then a *pullback over U* is a product in the category $\mathcal{C} \downarrow U$. Dually, a *pushout under U* is a coproduct in the category $U \downarrow \mathcal{C}$.

Let I be an arbitrary set and \mathcal{I} the following category.

$$\begin{array}{ccc} \mathbb{1}_0 & & \mathbb{1}_1 \\ \downarrow & \phi(i) & \downarrow \\ i & \xrightarrow{\quad} & * \end{array}$$

1. $\text{Obj}(\mathcal{I}) = I \sqcup \{*\}$.
2. For all $x \in \text{Obj}(\mathcal{I})$, $\mathcal{I}(x, x) = \{\mathbb{1}_x\}$.
3. For all $i \in I$, $\mathcal{I}(i, *) = \{\phi(i)\}$.

Then a pullback over U is equivalently the limit of an \mathcal{I} -diagram X with $X(*) = U$. Dually, a pushout under U is equivalently the colimit of an \mathcal{I} -codiagram Y with $Y(*) = U$.

7 Completeness

Proposition – Characterisation of Completeness, Cocompleteness

Proposition – Set Complete

Proposition – Top Complete

Proposition – Grp Complete

Proposition – Ring Complete

Proposition – Mod Complete

Proposition – Set-theoretic Characterisation of Limits and Colimits

Let X be an \mathcal{I} -shaped diagram in a category \mathcal{C} and $(U, u) \in \text{Obj}(\Delta \downarrow X)$. We have an \mathcal{I} -shaped diagram in $\mathbf{Set}^{C^{op}}$ that is $h_X := h_* \circ X$. We also have $h_{\Delta(U)} = \Delta(h_U)$ and the natural transformation $h_u : \Delta(h_U) \rightarrow h_X$. So $(h_U, h_u) \in \text{Obj}(\Delta \downarrow h_X)$. Then the following are equivalent.

1. (U, u) is a limit of X .
2. (h_U, h_u) is a limit of h_X .

Corollary – Right Adjoints commute with Limits, Left Adjoints commute with Colimits

Definition – Filtered Sets and Filtered Colimits

Proposition – Filtered Colimits commute with Finite Limits

8 Abelian Categories

Definition – Zero Objects

Definition – Kernels and Cokernels