

# A twelve hours course in Galois theory

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## 1 The Galois correspondence

We show an example of the Galois correspondence. Consider the polynomial  $f(T) = T^3 - 2 \in \mathbb{Q}[T]$ . Let  $\alpha_0, \alpha_1, \alpha_2 \in \mathbb{C}$  be the roots of  $f$ .

Slogan : Galois theory studies the “symmetries” of roots of polynomials

To make this precise, let us first investigate the field obtained by chucking in  $\alpha_0, \alpha_1, \alpha_2$  to  $\mathbb{Q}$ . Define

$$\mathbb{Q}_f := \mathbb{Q}(\alpha_0, \alpha_1, \alpha_2) := \text{smallest field in } \mathbb{C} \text{ containing } \mathbb{Q}, \alpha_0, \alpha_1, \alpha_2$$

**Question 0 : What does  $\mathbb{Q}_f$  look like?** We try to describe  $\mathbb{Q}(\alpha_0)$  first. Consider the map  $T \mapsto \alpha_0$

$$\begin{array}{ccc} \mathbb{Q}[T] & \xrightarrow{T \mapsto \alpha_0} & \mathbb{C} \\ \downarrow & \nearrow \subseteq & \\ \mathbb{Q}(\alpha_0) & & \end{array}$$

The image is  $\mathbb{Q}[\alpha_0]$  the collection of polynomial expressions in  $\alpha_0$  with coefficients in  $\mathbb{Q}$ . Since  $f \in \mathbb{Q}[T]$  is irreducible<sup>1</sup> we have  $\mathbb{Q}[\alpha_0] = \mathbb{Q}[T]/(f)$  and hence this has a  $\mathbb{Q}$ -basis  $1, \alpha_0, \alpha_0^2$ .

- Exercise 1 : show that for a field  $K$  and an  $K$ -algebra  $A$  which is finite dimensional as a  $K$ -vector space and an integral domain,  $A$  must be field.

It follows that  $\mathbb{Q}[\alpha_0]$  is a field and hence

$$\mathbb{Q}[\alpha_0] = \mathbb{Q}(\alpha_0)$$

Now we do a trick by observing that

$$\left(\frac{\alpha_1}{\alpha_0}\right)^3 = 2/2 = 1$$

Later on, we will give a way of checking when a polynomial has repeated roots so assume for now that all  $\alpha_0, \alpha_1, \alpha_2$  are distinct. Then we get  $\alpha_1 = \alpha_0\omega$  for some  $\omega \neq 1 = \omega^3$ , and similarly  $\alpha_2 = \alpha_0\omega^2$ . The  $\omega, \omega^2$  here are called a *primitive cube roots of unity*. They are both roots of the polynomial  $T^2 + T + 1 \in \mathbb{Q}[T]$ . In the next section, we will be able to show that  $\omega \notin \mathbb{Q}(\alpha_0)$ . Taking this for granted for now,  $T^2 + T + 1$  does not have a root in  $\mathbb{Q}(\alpha_0)$ , so it is irreducible in  $\mathbb{Q}(\alpha_0)[T]$ . It follows that

$$\mathbb{Q}[\alpha_0, \omega] \simeq \mathbb{Q}[\alpha_0][T]/(T^2 + T + 1)$$

As a  $\mathbb{Q}[\alpha_0]$ -vector space, this has dimension two and hence is again a field by Exercise 1. We deduce **Answer 0** :

$$\mathbb{Q}(\alpha_0, \alpha_1, \alpha_2) = \mathbb{Q}[\alpha_0, \omega] = \mathbb{Q}[\alpha_0, \alpha_1, \alpha_2]$$

We now define the Galois group of  $f$  as

$$G_f := \text{Aut}_{\mathbb{Q}} \mathbb{Q}(\alpha_0, \alpha_1, \alpha_2) := \{\sigma : \mathbb{Q}_f \rightarrow \mathbb{Q}_f \text{ s.t. } \sigma \text{ ring morphism and } \forall \lambda \in \mathbb{Q}, \sigma(\lambda) = \lambda\}$$

**Question 1 : Why is this the “symmetries” of  $\alpha_0, \alpha_1, \alpha_2$ ?** Observation : any  $\sigma \in G_f$  must permute  $\{\alpha_0, \alpha_1, \alpha_2\}$ . This is *the trick* that underlies Galois theory :

$$f(\sigma(\alpha_i)) = (\sigma(\alpha_i))^3 - 2 = \sigma(\alpha_i^3 - 2) = 0$$

Hence we have a well-define group morphism

$$G_f \rightarrow \text{Aut} \{\alpha_0, \alpha_1, \alpha_2\}$$

Since  $\mathbb{Q}_f = \mathbb{Q}[\alpha_0, \alpha_1, \alpha_2]$  any  $\sigma \in G_f$  is determined by what it does on  $\alpha_i$  hence the above morphism is injective. **Answer 1 : The above morphism defines an isomorphism**

$$G_f \simeq \{\sigma \in \text{Aut} \{\alpha_0, \alpha_1, \alpha_2\} \text{ s.t. } \forall g \in \mathbb{Q}[X_0, X_1, X_2], g(\alpha_0, \alpha_1, \alpha_2) = 0 \Rightarrow g(\sigma(\alpha_0), \sigma(\alpha_1), \sigma(\alpha_2)) = 0\}$$

**in other words,  $G_f$  is the permutations of roots of  $f$  which preserves all algebraic relations over  $\mathbb{Q}$ .**

*Proof.*  $\mathbb{Q}[\alpha_0, \alpha_1, \alpha_2]$  is precisely the image of the evaluation map

$$\mathbb{Q}[X_0, X_1, X_2] \rightarrow \mathbb{Q}[\alpha_0, \alpha_1, \alpha_2], X_i \mapsto \alpha_i$$

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<sup>1</sup>Can be checked by Eisenstein’s criterion. Alternatively, a cubic over  $\mathbb{Q}$  is reducible iff it has a root in  $\mathbb{Q}$ . This can be checked to be impossible by brute force.

It follows that  $\mathbb{Q}[\alpha_0, \alpha_1, \alpha_2] \simeq \mathbb{Q}[X_0, X_1, X_2]/I$  where  $I$  is the set of polynomials  $g(X_0, X_1, X_2)$  with  $g(\alpha_0, \alpha_1, \alpha_2) = 0$ . From this, it is clear that  $G_f$  lands inside the RHS. Now given  $\tilde{\sigma}$  in RHS, one can evaluate

$$\mathbb{Q}[X_0, X_1, X_2] \rightarrow \mathbb{Q}[\alpha_0, \alpha_1, \alpha_2], X_i \mapsto \tilde{\sigma}(\alpha_i)$$

Then by definition  $I$  is in the kernel of this evaluation map so it factors through the quotient by  $I$  to give an automorphism of  $\mathbb{Q}(\alpha_0, \alpha_1, \alpha_2)$  preserving  $\mathbb{Q}$ .  $\square$

Let us now compute  $G_f$ . We have the following

$$\mathbb{Q}_f = \mathbb{Q}[\alpha_0, \omega] = \mathbb{Q}[\alpha_0][\omega] \simeq \frac{\mathbb{Q}[\alpha_0][Y]}{(Y^2 + Y + 1)} \simeq \frac{\mathbb{Q}[X][Y]/(X^3 - 2)}{(X^3 - 2, Y^2 + Y + 1)/(X^3 - 2)} \simeq \frac{\mathbb{Q}[X, Y]}{(X^3 - 2, Y^2 + Y + 1)}$$

where the last isomorphism is the 3rd isomorphism theorem of rings. Consider the 3-cycle  $\sigma := (\alpha_0 \ \alpha_1 \ \alpha_2)$ . Knowing  $\omega = \alpha_1/\alpha_0$  we send  $X \mapsto \alpha_1, Y \mapsto \omega$ .

$$\begin{array}{ccc} & X \mapsto \alpha_1 & \\ & Y \mapsto \omega & \\ \mathbb{Q}[X, Y] & \xrightarrow{\quad} & \mathbb{Q}[\alpha_0, \omega] \\ \downarrow \begin{array}{l} X \mapsto \alpha_0 \\ Y \mapsto \omega \end{array} & \nearrow \simeq & \\ \mathbb{Q}[\alpha_0, \omega] & & \end{array}$$

We get the factoring because  $\alpha_1^3 - 2 = 0 = \omega^2 + \omega + 1$  and so  $\sigma \in G_f$ . Now consider  $\tau := (\alpha_0 \ \alpha_1)$ . Again, since  $\omega = \alpha_1/\alpha_0$  we know  $\tau$  should send  $\omega \mapsto 1/\omega = \omega^2$  so we send  $X \mapsto \alpha_0, Y \mapsto \omega^2$ .

$$\begin{array}{ccc} & X \mapsto \alpha_1 & \\ & Y \mapsto \omega^2 & \\ \mathbb{Q}[X, Y] & \xrightarrow{\quad} & \mathbb{Q}[\alpha_0, \omega] \\ \downarrow \begin{array}{l} X \mapsto \alpha_0 \\ Y \mapsto \omega \end{array} & \nearrow \simeq & \\ \mathbb{Q}[\alpha_0, \omega] & & \end{array}$$

Again  $\alpha_1^3 - 2 = 0 = (\omega^2)^2 + \omega^2 + 1$  gives the above factoring and hence  $\tau \in G_f$ . It follows that  $G_f$  is the whole of  $\text{Aut} \{\alpha_0, \alpha_1, \alpha_2\}$ .

Symmetry means “changes that cannot be observed”. The symmetries of a triangle are the ways you can change the triangle such that you cannot tell the difference between before and after. In the same way,  $G_f$  are the ways you can swap of roots of  $f$  such that as far as  $\mathbb{Q}$  can tell, nothing has changed. In this example, there is nothing special about  $\alpha_0$ ; the whole argument works starting with  $\alpha_1$  or  $\alpha_2$ . The roots are equally ambiguous, which is reflected in the quantitative fact that  $G_f \simeq S_3$ . An example of less ambiguity is  $T^3 - 1$ . The roots are  $1, \omega, \omega^2$ . The Galois group of  $T^3 - 1$  is cyclic order two generated by  $\omega \mapsto \omega^2$ . This reflects the fact that  $1$  is more special than  $\omega, \omega^2$  whilst the latter cannot be distinguished from each other. Indeed if one writes  $\mu := \omega^2$  then  $\omega = \mu^2$ .

Back to  $T^3 - 2$ . Observe that  $\mathbb{Q} \subseteq \mathbb{Q}_f^{G_f} :=$  the set of elements in  $\mathbb{Q}_f$  fixed by  $G_f$ . **Claim :**  $\mathbb{Q} = \mathbb{Q}_f^{G_f}$ . Let  $x \in \mathbb{Q}_f$  be fixed by  $G_f$ . We approach  $\mathbb{Q}_f$  this time by adding  $\omega$  first then  $\alpha_0$ . Since  $\mathbb{Q}_f = \mathbb{Q}[\omega][\alpha_0]$  we can write

$$x = \lambda_0 + \lambda_1 \alpha_0 + \lambda_2 \alpha_0^2$$

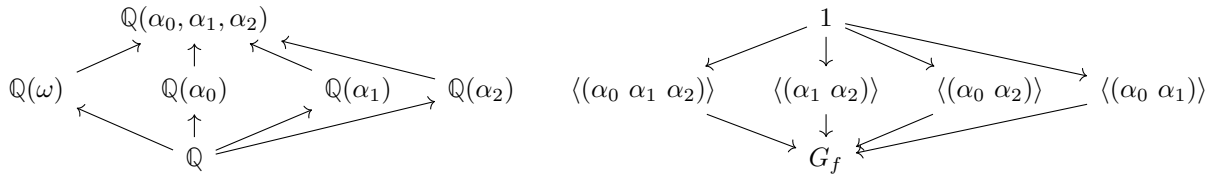
for  $\lambda_i \in \mathbb{Q}(\omega)$ . Then since  $\sigma(\omega) = \omega$  we have

$$x = \sigma(x) = \lambda_0 + \lambda_1 \omega \alpha_0 + \lambda_2 \omega^2 \alpha_0^2$$

Since  $1, \alpha_0, \alpha_0^2$  are a  $\mathbb{Q}(\omega)$ -basis for  $\mathbb{Q}_f$ , we can compare coefficients to get  $\lambda_1 = \lambda_1 \omega$  and  $\lambda_2 = \lambda_2 \omega^2$ . This implies  $\lambda_1 = 0 = \lambda_2$  and so  $x \in \mathbb{Q}(\omega)$ . Now  $x = \mu_0 + \mu_1 \omega$  for  $\mu_i \in \mathbb{Q}$ . Then

$$x = \tau(x) = \mu_0 + \mu_1 \omega^2 = (\mu_0 - \mu_1) - \mu_1 \omega$$

which implies  $\mu_1 = -\mu_1$  and so  $\mu_1 = 0$ . We find that  $x \in \mathbb{Q}$ . More generally, given any subgroup  $H$  of  $G_f$  we can compute the *fixed subfield*  $\mathbb{Q}_f^H$ . Here is a diagram of all the subgroups of  $G_f$  and their corresponding fixed subfields.



The fundamental theorem of Galois theory says this is all of them. To be more precise, we make some definitions.

#### Definition – Galois extension

Let  $K \rightarrow L$  be an extension of fields. We often identify  $K$  with its image in  $L$ . We call it *Galois* when there is a finite group  $G \subseteq \text{Aut}_K L$  such that  $K = L^G$ .

The extension earlier  $\mathbb{Q} \subseteq \mathbb{Q}(\alpha_0, \alpha_1, \alpha_2)$  was an example of a Galois extension.

#### Proposition – The Galois correspondence

Let  $K \rightarrow L$  be a Galois extension of fields and let  $G := \text{Aut}_K L$ . Consider the following two constructions :

- Given a subgroup  $H \subseteq G$ , define  $L^H$  as the set of fixed points of  $L$  by  $H$ . This defines a field containing the image of  $K$ .
- Given a subfield  $M \subseteq L$  containing  $K$ , define  $\text{Aut}_M L$  as the subgroup of  $G$  acting trivially on  $M$ .

Then we have an order reversing bijection

$$\{\text{subextensions } M \subseteq L\} \begin{array}{c} \xrightarrow{\text{Aut}_L L} \\ \simeq \\ \xleftarrow{L^-} \end{array} \{\text{subgroups of } \text{Aut}_K L\}$$

The Galois extension  $\mathbb{Q}_f/\mathbb{Q}$  is an example of a *solvable* extension.

### Definition

Let  $K \rightarrow L$  be an extension. We say it is *radical* when there exists a chain of subextensions

$$K = L_0 \rightarrow L_1 \rightarrow \cdots \rightarrow L_{n-1} \rightarrow L_n = L$$

such that each  $L_{i+1} = L_i(\alpha_i)$  for some  $\alpha_i$  with  $\alpha_i^{d_i} \in L_i$  for some  $d_i > 0$ .

For  $f \in K[T]$  we say  $f$  is *solvable by radicals* when there exists a radical extension  $K \rightarrow L$  which splits  $f$ .

Notice that in the example, that the sequence of groups

$$1 \rightarrow \langle (\alpha_0 \ \alpha_1 \ \alpha_2) \rangle \rightarrow G_f$$

is such that one subgroup is normal in the next and furthermore that the factor groups are cyclic. This is an example of a *solvable group*.

### Definition

Let  $G$  be a finite group. Then  $G$  is called solvable when there exists a chain

$$1 = H_0 \triangleleft H_1 \triangleleft \cdots \triangleleft H_{n-1} \triangleleft H_n = G$$

such that  $H_{n+1}/H_n$  is cyclic.

We will show the following by the end of the course.

### Proposition – Characterization of solvable polynomials

Let  $K$  be a field of characteristic zero and  $f \in K[T]$ . Then  $f$  is solvable by radicals iff  $G_f$  is solvable.

### Proposition

The polynomial  $T^5 - T - 1 \in \mathbb{Q}[T]$  has Galois group  $S_5$  and hence is not solvable by radicals.

## 2 Finite extensions and the embedding theorem

We saw in the previous section that  $\omega \in \mathbb{Q}(\alpha_0)$  precisely when there is a solution to  $T^2 + T + 1$  inside  $\mathbb{Q}(\alpha_0)$ . Accordingly, there is no copy of  $\mathbb{Q}(\omega)$  inside  $\mathbb{Q}(\alpha_0)$ . This section investigates this phenomenon. We didn't formally define field extensions last time.

### Definition

A field extension is a ring morphism  $\iota : K \rightarrow L$  between fields.

Since fields have no non-trivial ideals, any field extension  $\iota : K \rightarrow L$  must be injective. When it is clear, we often identify  $K$  with its image  $\iota K$ . Sometimes we write  $L/K$  to say  $L$  is an extension of  $K$ .

*Example.*

Here is an example of a field extension from a field to itself. Let  $\mathbb{Q}(T) := \text{Frac } \mathbb{Q}[T]$ . Define  $\mathbb{Q}[T] \rightarrow \mathbb{Q}[T], T \mapsto T^2$ . Then this induces a field extension  $\mathbb{Q}(T) \rightarrow \mathbb{Q}(T)$  where the image of the first copy is  $\mathbb{Q}(T^2)$ .

A basic invariant of a field extension is its degree.

### Definition – Degree of an extension

Let  $K \rightarrow L$  be a field extension. Define its *degree* as  $[L : K] := \dim_K L$ . It is called finite when  $[L : K] < \infty$ .

When proving things about a finite extension  $K \rightarrow L$ , we will often do so by inducting on  $[L : K]$ . The following is useful.

### Proposition – Tower law

Let  $K \rightarrow L \rightarrow N$  be extensions of fields. Then  $[N : K] = [N : L][L : K]$ . In particular, a sequence of finite extensions is finite.

The following argument works for infinite extensions, though we will mostly be interested in finite extensions.

*Proof.* Let  $B_L \subseteq L$  be a  $\iota_L$ -basis and  $B_N \subseteq N$  a  $\iota_N$ -basis. The claim is that  $B_L B_N := \{ab \mid a \in B_L, b \in B_N\}$  is a  $(\iota_N \circ \iota_L)$ -basis of  $N$  and has cardinality  $B_L \times B_N$ .

(Cardinality) Let  $(a_1, b_1), (a_2, b_2) \in B_L \times B_N$  such that  $a_1 b_1 = a_2 b_2$ . This is then a non-trivial  $L$ -linear combination of elements in  $B_N$ , contradicting linear independence of  $B_N$ . The cardinality is thus as desired.

(Linear Independence) Let  $\sum_{(a,b) \in B_L \times B_N} \lambda_{a,b} ab = 0$  where  $\lambda_{a,b} \in K$  and only finitely many are non-zero. Then we have  $\sum_{b \in B_N} (\sum_{a \in B_L} \lambda_{a,b} a) b = 0$ , giving  $\sum_{a \in B_L} \lambda_{a,b} a = 0$  by linear independence of  $B_N$ , which in turn gives  $\lambda_{a,b} = 0$  by linear independence of  $B_L$ .

(Spanning) Let  $x \in N$ . Since  $B_N$  is spanning, we have  $\sum_{b \in B_N} \lambda_b b = x$  for some  $\lambda_b \in L$ , finitely many non-zero. Then since  $B_L$  is spanning, we have  $\sum_{a \in B_L} \mu_{a,b} a = \lambda_b$  for each  $b \in B_N$ , where  $\mu_{a,b} \in K$ , finitely many non-zero. So  $\sum_{(a,b) \in B_L \times B_N} \mu_{a,b} ab = x$  as desired.  $\square$

*Example.*

Now we can show  $\omega \notin \mathbb{Q}(\alpha_0)$  from the previous section. We have  $3 = [\mathbb{Q}(\alpha_0) : \mathbb{Q}] = [\mathbb{Q}(\alpha_0) : \mathbb{Q}(\omega)][\mathbb{Q}(\omega) : \mathbb{Q}] = [\mathbb{Q}(\alpha_0) : \mathbb{Q}(\omega)]2$  which is a contradiction because 2 does not divide 3.

### Definition

Let  $K \rightarrow L$  be a field extension. For  $A \subseteq L$ , define  $K(A) \subseteq L$  as the smallest subfield of  $L$  containing the image of  $K$  and  $A$ . We say  $K \rightarrow L$  is finite type when there exists finite  $A \subseteq L$  with  $L = K(A)$ . In the case of  $A = \{a\}$ , we write  $K(a)$ . We call extensions of the form  $K \rightarrow K(a)$  simple.

Given  $a \in L$ , one can consider the evaluation ring morphism

$$\text{ev}_a : K[T] \rightarrow L, f(T) \mapsto f(a)$$

We say  $a$  is *algebraic over  $K$*  when there exists a non-zero  $f$  with  $f(a) = 0$ , i.e.  $0 \neq \ker \text{ev}_a$ .

We say  $K \rightarrow L$  is algebraic when all  $a \in L$  is algebraic over  $K$ .

### Proposition – Characteristization of finite simple extensions

Let  $K \rightarrow L$  be an extension and  $a \in L$ . Then the following are equivalent :

1.  $a$  is algebraic over  $K$
2.  $[K(a) : K]$  is finite
3.  $K \rightarrow K(a)$  is algebraic.

*Proof.*  $(1 \Rightarrow 2)$  We saw in section 1 how to compute  $K(a)$ . Specifically, consider the evaluation map  $K[T] \rightarrow L, f \mapsto f(a)$  and let  $K[a]$  be its image. By assumption, there exists non-zero  $f \in K[T]$  with  $f(a) = 0$ . WLOG  $\deg f = N \geq 0$ . Then  $1, a, \dots, a^{N-1}$  is a  $K$ -spanning set for  $K[a]$ . This implies  $K[a]$  is a finite dimensional  $K$ -vector space and hence a field and hence  $K[a] = K(a)$ .

$(2 \Rightarrow 3)$  Let  $b \in K(a)$ . Since  $[K(a) : K]$  is finite, there exists a non-trivial linear combination  $0 = \sum_{n \geq 0} \lambda_n b^n$  with  $\lambda_n \in K$ , which implies  $b$  is algebraic over  $K$ .

$(3 \Rightarrow 1)$  trivial. □

### Proposition – Characteristization of finite extensions

Let  $K \rightarrow L$  be an extension. The following are equivalent :

1.  $[L : K]$  is finite
2.  $K \rightarrow L$  is finite type and algebraic
3. There exists finite  $A \subseteq L$  such that  $L = K(A)$  and all  $a \in A$  are algebraic.

*Proof.*  $(1 \Rightarrow 2)$  Take a  $K$ -basis and use [the characterization of finite simple extensions](#) .  $(2 \Rightarrow 3)$  Clear.  $(3 \Rightarrow 1)$  Induct on the size of  $A$  and use [the characterization of finite simple extensions](#) . □

We are now ready for the main result of this section.

### Proposition – Embedding theorem for finite simple extensions

Let  $K \rightarrow L$  be an extension and  $a \in L$  algebraic over  $K$ . The ideal  $\ker \text{ev}_a \subseteq K[T]$  is generated by a unique monic polynomial. We call it the *minimal polynomial of  $a$  over  $K$* , denoted  $\min(a, K)$ . Let  $K \rightarrow N$  be another extension. Then we have a bijection

$$\text{Emb}_K(K(a), N) \simeq \{b \in N \text{ s.t. } \min(a, K) = \min(b, K)\}, \varphi \mapsto \varphi(a)$$

In particular,  $|\text{Emb}_K(K(a), N)| \leq [K(a) : K]$ . Elements  $b \in N$  with  $\min(b, K) = \min(a, K)$  are called *Galois conjugates of  $a$* .

*Proof.* We saw  $K(a) = K[a] \simeq K[T]/(\min(a, K))$ . Given  $\varphi : K(a) \rightarrow N$  a  $K$ -embedding, the composition  $K[T] \rightarrow K(a) \rightarrow N$  is  $\text{ev}_{\varphi(a)}$ . Since  $K(a) \rightarrow N$  is injective, we have  $\ker \text{ev}_{\varphi(a)} = \ker \text{ev}_a$ . It follows that  $\min(\varphi(a), K) = \min(a, K)$ . Conversely, given  $b \in N$  a Galois conjugate of  $a$  we can define the  $K$ -embedding  $K(a) \simeq K[T]/(\min(a, K)) = K[T]/(\min(b, K)) \simeq K(b) \subseteq N$ .  $\square$

We will now generalise the above to general finite extensions. For this, we need to know how embeddings from subextensions interact with the whole extension.

**Proposition – Subextensions partition embeddings**

Let  $K \rightarrow L \rightarrow M$  and  $K \rightarrow N$  be extensions. Then we have a bijection

$$\bigsqcup_{\iota \in \text{Emb}_K(L, N)} \text{Emb}_L(M, N) \xrightarrow{\sim} \text{Emb}_K(M, N)$$

by sending  $(L \rightarrow N \in \text{Emb}_K(L, N), M \rightarrow N \in \text{Emb}_L(M, N))$  to  $M \rightarrow N$  viewed as a  $K$ -embedding.

*Proof.* The point is that we have a map  $\text{Emb}_K(M, N) \rightarrow \text{Emb}_K(L, N)$  and the fibers over each  $\iota : L \rightarrow N$  is precisely the set of  $L$ -embeddings  $M \rightarrow N$  where  $N$  is viewed as an  $L$ -extension by  $\iota : L \rightarrow N$ .  $\square$

**Proposition – Embedding theorem for finite extensions**

Let  $K \rightarrow L$  be an extension and  $A \subseteq L$  finite set of algebraic generators for  $L$  over  $K$ . Let  $K \rightarrow N$  be another extension and assume that for all  $a \in A$  the minimal polynomial  $\min(a, K)$  splits into linear factors in  $N[T]$ . Then

$$0 < |\text{Emb}_K(L, N)| \leq [L : K]$$

and we have equality if for all  $a \in A$  the polynomial  $\min(a, K)$  has no *repeated* roots in  $N$ .

*Proof.* Induct on the cardinality of  $A$ .  $A = \emptyset$  is trivial so let  $a_0 \in A$  and  $M := K(A \setminus \{a_0\})$  and assume inductively  $0 < \text{Emb}_K(M, N) \leq [M : K]$  with equality if all for all  $a_1 \in A \setminus \{a_0\}$  we have  $\min(a_1, K)$  with no repeated roots in  $N$ . Then  $L = M(a_0)$ . We have  $\min(a_0, M)$  divides  $\min(a_0, K)$  in  $M[T]$ , so  $\min(a_0, M)$  also splits into linear factors in  $N[T]$ . It follows from [the characterization of finite simple extensions](#) and the tower law that

$$0 < |\text{Emb}_K(L, N)| = \sum_{\text{Emb}_K(M, N)} |\text{Emb}_M(L, N)| \leq \sum_{\text{Emb}_K(M, N)} [L : M] \leq [L : M][M : K] = [L : K]$$

Now assume all  $\min(a, K)$  for  $a \in A$  split into linear factors in  $N$ . This implies  $\min(a_0, M)$  splits into linear factors in  $N$  so  $|\text{Emb}_M(L, N)| = [L : M]$ . Then the first  $\leq$  is an equality and the second is also by the induction hypothesis on  $M$ .  $\square$



### 3 Normal and separable extensions

Given an extension  $K \rightarrow L = K(a_1, \dots, a_n)$  with  $a_i$  algebraic over  $K$ , the embedding theorem for finite extensions tells us how to construct automorphisms of  $L$  over  $K$ . For the main theorem of Galois theory to hold true, we need to have the maximum number of automorphisms, i.e.  $|\text{Aut}_K L| = [L : K]$ . The embedding theorem indicates two ways in which this can fail :

1. the polynomials  $\min(a_i, K)$  do not split into linear factors in  $L[X]$
2. there exists some  $a_i$  such that  $\min(a_i, K)$  has a repeated root in  $L$ .

These two phenomena are respectively called normality and separability. Let us illustrate the failure of normality by focusing on the extension  $\mathbb{Q} \rightarrow \mathbb{Q}(\alpha_0)$  from the first section. Using the embedding theorem for finite simple extensions, we see that  $\sigma \in \text{Emb}_{\mathbb{Q}}(\mathbb{Q}(\alpha_0), \mathbb{Q}(\alpha_0))$  correspond to solutions of  $T^3 - 2$  in  $\mathbb{Q}(\alpha_0)$ . There is only  $\alpha_0$ : If there is another root  $\tilde{\alpha}_1$  then  $\tilde{\omega} := \tilde{\alpha}_1/\alpha_0$  would be a primitive cube root of unity and  $[\mathbb{Q}(\tilde{\omega}) : \mathbb{Q}] = 2$  which we cannot have as we saw before. From this, we can see the problem is that  $\mathbb{Q}(\alpha_0)/\mathbb{Q}$  does not contain *all* the roots of the polynomial  $T^3 - 2$ . More precisely,  $T^3 - 2$  does not factorise into linear factors in  $\mathbb{Q}(\alpha_0)[T]$ . We can also see this phenomenon in the following way : there are three ways of  $\mathbb{Q}$ -embedding  $\mathbb{Q}(\alpha_0)$  inside  $\mathbb{Q}(\alpha_0, \alpha_1, \alpha_2)$  corresponding to each  $\mathbb{Q}(\alpha_i)$  and their images are *different*.

#### Definition – Normal Extension

Let  $K \rightarrow L$  be an extension and  $f \in K[X]$ . Then we say  $L$  *splits*  $f$  when  $f$  factorises into linear factors in  $L[X]$ .

Suppose  $L/K$  is algebraic. Then it is called *normal* when for all  $a \in L$ , it contains all the Galois  $K$ -conjugates of  $a$ , i.e.  $L$  splits  $\min(a, K)$ .

#### Proposition – Splitting Polynomials

Let  $K$  be a field and  $f \in K[X] \setminus K$ . Then there exists an extension  $K \rightarrow L$  such that  $f$  has a root in  $L$ . In particular, there exists a  $K$ -extension that splits  $f$ .

*Proof.* Since  $f$  is non-constant and  $K[X]$  is a UFD, there exists an irreducible  $f_1$  that divides  $f$ . Let  $L = K[X]/(f_1)$ . Then since  $f_1$  is irreducible and  $K[X]$  is a PID,  $L$  is a field and thus a  $K$ -extension. Note that the image of the monomial  $X$  in  $L$  is a root of  $f_1$ , and hence a root of  $f$ . To split  $f$ , use the above procedure to inductively construct a desired extension.  $\square$

#### Proposition – Characterisation of Finite Normal Extensions

Let  $K \rightarrow L$  be a finite extension. Then the following are equivalent :

1. (Contains all Galois  $K$ -Conjugates)  $K \rightarrow L$  normal.
2. (Contains all Galois  $K$ -Conjugates of Generators) There exists  $A \subseteq L$  a finite set of generators of  $K \rightarrow L$  such that for all  $a \in A$ ,  $a$  is algebraic over  $K$  and  $L$  splits  $\min(a, K)$ .
3. (is a Splitting Field) There exists a polynomial  $f \in K[X]$  such that  $L$  splits  $f$  and is generated by the roots of  $f$  in  $L$ .

4. (Image Invariance) For all extensions  $K \rightarrow N$  and two  $\iota_0, \iota_1 \in \text{Emb}_K(L, N)$ ,  $\iota_0 L = \iota_1 L$ .

*Proof.*  $(1 \Rightarrow 2 \Rightarrow 3)$  is clear.

$(3 \Rightarrow 4)$  The key is that roots of  $f$  remain roots of  $f$  under  $K$ -embeddings. Let  $f(X) = \prod_{k=1}^{\deg f} (X - a_k) \in L[X]$  where  $a_k \in L$ . Then  $f(X) = \prod_{k=1}^{\deg f} (X - \iota_0(a_k)) \in N[X]$  For all  $a_l$ , since  $\iota_1$  fixes  $K$  we get

$$0 = \iota_1(f(a_l)) = f(\iota_1(a_l)) = \prod_{k=1}^{\deg f} (\iota_1(a_l) - \iota_0(a_k))$$

so there exists  $a_k$  such that  $\iota_1(a_l) = \iota_0(a_k)$ . Since  $L = K(a_1, \dots, a_{\deg f})$ , this shows that  $\iota_1 L \subseteq \iota_0 L$  and by symmetry  $\iota_0 L \subseteq \iota_1 L$  as well.

$(4 \Rightarrow 1)$  Let  $a \in L$ . Since  $(L, \iota_L)$  is finite,  $\min(a, K)$  exists. We do not know if  $L$  splits  $\min(a, K)$ , but there exists an extension  $L \rightarrow M$  such that  $M$  splits  $\min(a, K)$ . We seek to show that all Galois  $K$ -conjugates of  $a$  in  $M$  are actually in (the image of)  $L$  already. So let  $\alpha \in M$  be a Galois  $K$ -conjugate of  $a$ . We have the following situation.

$$\begin{array}{ccccc} K & \xrightarrow{\iota_L} & K(a) & \xrightarrow{\subseteq} & L \\ & & & \searrow \phi_\alpha & \downarrow \iota_M \\ & & & & M \end{array}$$

By the [embedding theorem for finite simple extensions](#), there exists  $\phi_\alpha \in \text{Emb}_K(K(a), M)$  that maps  $a \mapsto \alpha$ . Suppose we have an  $\iota_1 \in \text{Emb}_{K(a)}(L, \phi_\alpha)$ . Then certainly  $\iota_1 \in \text{Emb}_K(L, \iota_M \circ \iota_L)$ . Also, trivially  $\iota_M \in \text{Emb}_K(L, \iota_M \circ \iota_L)$ . So  $\iota_1 L = \iota_M L$  implies  $\alpha \in \iota_M L$  as desired. It thus suffices to give an  $\iota_1 \in \text{Emb}_{K(a)}(L, \phi_\alpha)$ . Well, since  $(L, \iota_L)$  is finite, it is also a finite  $K(a)$ -extension, so it is generated by some finite subset  $B$  whose elements are all algebraic over  $K(a)$ . Then we can extend  $M$  so that it splits all  $\min(b, K(a))$  for  $b \in B$ . Thus by the [embedding theorem](#), we have an  $\iota_1 \in \text{Emb}_{K(a)}(L, \phi_\alpha)$ .  $\square$

Now let us discuss separability. As we will see, existence of inseparable irreducible polynomials is linked with the *characteristic* of the base field  $K$ . This implies that in terms of finding an insolvable quintic over  $\mathbb{Q}$ , the problem of inseparable minimal polynomials never happens.

#### Definition – Separable Polynomial, Separable extension

$f$  is said to be *separable* when for all  $K$ -extensions in which  $f$  splits,  $f$  has no repeated roots. If otherwise,  $f$  is called *inseparable*. An algebraic extension  $K \rightarrow L$  is called separable when for all  $a \in L$ , the polynomial  $\min(a, K)$  is separable.

#### Proposition – Characterization of separable polynomials using differentials

Let  $K$  be a field and  $f = \sum_{0 \leq n} f_n X^n \in K[X]$ . The *formal derivative* of  $f$  is defined to be  $f' = \sum_{0 < n} n f_n X^{n-1}$ . Then  $f$  is separable iff  $(f, f') = 1$ .

Intuition : if  $a \in K$ , writing  $f(X) = \sum_{d \geq 0} \lambda_d (X - a)^d$ ,  $a$  is a higher order root iff  $\lambda_0 = \lambda_1 = 0$ .

*Proof.* We will prove  $f$  is inseparable iff  $(f, f') \neq 1$ . Assume  $f$  is inseparable. Suppose  $(f, f') = 1$ . Then by the Euclidean algorithm there exists  $\lambda, \mu \in K[X]$  such that  $\lambda f + \mu f' = 1$ . Let  $K \rightarrow L$  be an extension where  $f$  has a repeated root  $a$ . By factoring  $f(X) = (X - a)^2 g(X)$  in  $L[X]$  and the product rule for formal differentiation (which can be proved by induction), we see a contradiction

$$1 = \lambda(a)f(a) + \mu(a)f'(a) = 0 + 0 = 0$$

Now assume  $(f, f') \neq 1$ . Let  $h \in K[X]$  be the GCD of  $f$  and  $f'$ , which is non-constant by assumption. Let  $K \rightarrow L$  be any extension that splits  $f$ . It also splits  $h$ . Let  $a \in L$  with  $h(a) = 0$ . We can write  $f(X) = (X - a)^d g(X)$  in  $L[X]$  for some  $d \geq 0$  and  $g(a) \neq 0$ . Since  $h$  divides  $f$  we have  $f(a) = 0$  so  $d \geq 1$ . Suppose  $d = 1$ . We also have  $h$  divides  $f'$  yielding a contradiction

$$0 = f'(a) = g(a) \neq 0$$

□

To give an example of an inseparable extension, we need to discuss the notion of the characteristic of a field.

### Definition – Characteristic of a Field

Let  $K$  be a field.  $\mathbb{Z}$  is generated by 1 and ring morphisms must preserve 1, so there is a unique ring morphism  $\mathbb{Z} \rightarrow K$ . Its image is an ID since  $K$  is an ID. So by  $\mathbb{Z}$  PID, its kernel is generated by either zero or a (positive) prime. This is defined as the *characteristic of  $K$* , denoted  $\text{Char}K$ .

More generally, the characteristic of any integral domain  $A$  is defined in the same way.

*Example.*

All fields  $K$  of characteristic 0 have a unique extension map  $\mathbb{Q} \rightarrow K$ . Similarly, all fields  $K$  of characteristic  $p > 0$  have a unique extension map  $\mathbb{F}_p \rightarrow K$ .

The following is the root of all interesting phenomena in positive characteristic.

### Proposition – Freshman's dream

Let  $A$  be an  $\mathbb{F}_p$ -algebra, i.e.  $p = 0$  in  $A$ , and  $a, b \in A$ . Then  $(a + b)^p = a^p + b^p$

*Proof.* The point is that the binomial coefficient  $\binom{p}{k}$  for  $0 < k < p$  is divisible by  $p$ . □

*Example.*

Consider  $K = \mathbb{F}_p(T) := \text{Frac } \mathbb{F}_p[T]$  and the polynomial  $f(X) = X^p - T \in K[X]$ . Then by Eisenstein's criterion  $f$  is irreducible. Let  $L := K[X]/(f)$  and  $T^{1/p}$  the image of  $X$  in  $L$ . Then in  $L[X]$  we have by Freshman's dream

$$f(X) = X^p - T = X^p - (T^{1/p})^p = (X - T^{1/p})^p$$

So  $f$  is inseparable. Notice in that  $f' = 0$  so indeed  $(f, f') \neq 1$ .

In fact, we cannot have inseparable extensions in characteristic zero.

**Proposition**

Let  $K$  be characteristic zero. Then any irreducible  $f \in K[T]$  is separable.

*Proof.*  $f'$  is either zero or has degree strictly less than  $f$ . WLOG  $f$  is monic. Then  $0 = f'$  implies by looking at the leading coefficient,  $0 = \deg f$  as elements of  $K$ , contradicting the characteristic of  $K$  being zero. So  $f' \neq 0$ . But then we must have  $(f, f') = 1$  because  $\deg f' < \deg f$  implies  $f$  cannot divide  $f'$ .  $\square$

## 4 Galois extensions and the correspondence

**Definition**

An extension  $K \rightarrow L$  is called finite Galois when there exists a finite subgroup  $G \subseteq \text{Aut}_K L$  such that  $K = L^G$ .

The following is arguably the fundamental theorem of Galois theory.

**Proposition – Artin’s characterization of finite Galois extensions**

Let  $K \rightarrow L$  be an extension. Then  $K \rightarrow L$  is finite, normal, separable iff  $K \rightarrow L$  is finite Galois. In this case the finite subgroup  $G \subseteq \text{Aut}_K L$  such that  $K = L^G$  must be  $\text{Aut}_K L$ .

*Proof.* Slogan : *set of Galois conjugates = orbit*.

(1  $\Rightarrow$  2) By the embedding theorem,  $|\text{Aut}_K L| \leq [L : K]$ . We claim that  $G := \text{Aut}_K L$  works. Let  $a \in L^G$ . Goal :  $a \in K$ . It suffices to show  $\min(a, K)$  is linear. Since  $K \rightarrow L$  is normal,  $\min(a, K)$  splits in  $L$ . Since  $K \rightarrow L$  is separable, it suffices to show that for any Galois  $K$ -conjugate  $\alpha$  of  $a$  we have  $\alpha = a$ . Let  $\alpha \in L$  with  $\min(a, K)(\alpha) = 0$ . Since  $a \in L^G$  it suffices to give  $\sigma \in \text{Aut}_K L$  which  $\sigma(a) = \alpha$ . By the embedding theorem applied to  $K(a) \rightarrow L$ , we can extend  $K(a) \simeq K(\alpha) \rightarrow L$  to an automorphism  $\sigma : L \rightarrow L$  preserving  $K$ . This maps  $a$  to  $\alpha$  as desired.

(2  $\Rightarrow$  1) Let  $G$  be a finite subgroup of  $\text{Aut}_K L$  such that  $K = L^G$ . For  $a \in L$  we claim that

$$\min(a, K)(T) = \prod_{\alpha \in Ga} (T - \alpha) \in L[T]$$

where  $Ga$  denotes the  $G$ -orbit of  $a$ . This proves that  $L/K$  is normal and separable. Let  $f \in L[T]$  be the above product. The claim is equivalent to showing  $f \in L^G[T] = K[T]$  and  $f$  is irreducible in  $K[T]$ . Let  $\sigma \in G$ . Then

$$\sigma f(T) = \sigma \prod_{\alpha \in Ga} (T - \alpha) = \prod_{\alpha \in Ga} (T - \sigma(\alpha)) = \prod_{\tilde{\alpha} \in Ga} (T - \tilde{\alpha}) = f(T)$$

Therefore  $f \in K[T]$ . For irreducibility, if  $f = gh$  is a non-trivial factoring in  $K[T]$  then one of  $g$  or  $h$  has  $a$  as a root. Say it's  $g$ , then by applying  $\sigma \in G$  to the equation  $0 = g(a)$  we get that  $g$  has all  $\alpha \in Ga$  as roots, i.e.  $f$  divides  $g$ , a contradiction.

Now we show  $L/K$  is finite. We are expecting  $G = \text{Aut}_K L$  which should have size  $[L : K]$ . So we will bound  $[L : K] \leq |G|$ . Magic claim :  $\dim_K L = \dim_L L[G] = |G|$  where  $L[G]$  is the set of functions from  $G$  to  $L$ . It will suffice for us to show that any  $K$ -linearly independent set gives rise to a  $L$ -linearly independent set in  $L[G]$  with the same cardinality. Let  $A \subseteq L$  be a finite  $K$ -linearly independent set. Define  $\tilde{A} := \{\text{ev}_a\}_{a \in A} \subseteq L[G]$ .

Then  $\text{ev}_- : A \rightarrow \tilde{A}$  is a bijection because  $\text{ev}_a = \text{ev}_{a_1}$  implies  $a = \text{ev}_a(e) = \text{ev}_{a_1}(e) = a_1$  and surjectivity is by definition. Claim :  $\tilde{A}$  is a  $L$ -linearly independent set in  $L[G]$ . We induct on  $|A|$ . Let  $\sum_{x \in X_0} \lambda_x \text{ev}_x = 0$  with  $\lambda_x \in L$ . Suppose for a contradiction that there exists  $a_0 \in A$  such that  $\lambda_{a_0} \neq 0$ . It suffices to show for all  $a \in A$  we have  $\lambda_a \in L^G = K$ , for then by evaluating at  $e \in G$  gives  $0 = \sum_{a \in A} \lambda_a a$ , implying all  $\lambda_a = 0$ . So let  $\sigma \in G$  with the goal of showing  $\sigma(\lambda_a) = \lambda_a$  for all  $a \in A$ . By rescaling, WLOG  $\lambda_{a_0} = 1$ . By induction it suffices to show

$$\sum_{x \in X_0 \setminus \{x_0\}} (\lambda_x - \sigma(\lambda_x)) \text{ev}_x = 0 \in L[G]$$

Let  $\rho \in G$ . Then we have as desired

$$\begin{aligned} \sum_{a \in A \setminus \{a_0\}} (\lambda_a - \sigma(\lambda_a)) \text{ev}_a(\rho) &= \sum_{x \in X_0} \lambda_x \text{ev}_x(\rho) - \sum_{a \in A} \sigma(\lambda_a) \rho(a) \\ &= -\sigma \left( \sum_{a \in A} \lambda_a \sigma^{-1} \rho(a) \right) = -\sigma \left( \left( \sum_{a \in A} \lambda_a \text{ev}_a \right) \sigma^{-1} \rho \right) = 0 \end{aligned}$$

□

### Proposition – The Galois correspondence

Let  $K \rightarrow L$  be a Galois extension of fields and let  $G := \text{Aut}_K L$ . Then we have an order reversing bijection

$$\{K\text{-subextensions } E \subseteq L\} \xrightleftharpoons[L^-]{\text{Aut}_- L} \{\text{subgroups of } \text{Aut}_K L\}$$

Furthermore, for  $E \subseteq L$  a  $K$ -subextension we have the following :

1. (Degree equals Index)  $[E : K] = [\text{Aut}_K L : \text{Aut}_E L]$ .
2. (Group Action) For all  $\sigma \in \text{Aut}_K L$ ,  $\text{Aut}_{\sigma E} L = \sigma \text{Aut}_E L \sigma^{-1}$ .
3. (Normality)  $E$  is a normal  $K$ -extension if and only if  $\text{Aut}_E L$  is a normal subgroup of  $\text{Aut}_K L$ . In this case, we have the isomorphism  $\text{Aut}_K E \cong \text{Aut}_K L / \text{Aut}_E L$ .

*Proof.* We need a lemma.

*Lemma.* Let  $K \rightarrow E \rightarrow L$  be a sequence of extensions.

1. If  $K \rightarrow L$  is finite normal, then  $E \rightarrow L$  is finite normal.
2. If  $K \rightarrow L$  is finite separable, then  $E \rightarrow L$  is finite separable.

*Proof.* Exercise. ■

(Surjectivity) Let  $H \subseteq \text{Aut}_K L$  be a subgroup. Then  $\text{Aut}_{L^H} L = H$  by the characterisation of Galois extensions. Now let  $E \subseteq L$  be a  $K$ -subextension. Then by the above lemma,  $L/E$  is Galois so  $E = L^{\text{Aut}_E L}$ .

(Injectivity) This actually does not use any Galois theory and is true for any partially ordered set. Here is the statement.

*Lemma.* Let  $I, J$  be partially ordered sets,  $F : I \rightarrow J$  and  $G : J \rightarrow I$  be order reversing functions satisfying:

– (Adjunction) For all  $x \in I$  and  $y \in J$ ,  $x \leq G(y)$  iff  $y \leq F(x)$ .

Then  $FGF = F$  and  $GFG = G$ . In particular,  $F$  and  $G$  induce a bijection on the images  $FI, GJ$ .

*Proof.* Exercise. ■

(Degree equals index) Use the above lemma and the characterisation of Galois extensions.

(Group action) Exercise.

(Normality) If  $E/K$  is normal, then image-invariance of normal extensions we get a well-defined morphism of groups by restriction

$$\text{Aut}_K L \rightarrow \text{Aut}_K E$$

The kernel is by definition  $\text{Aut}_E L$  so it is normal.

If  $\text{Aut}_E L$  is normal, then for any  $\sigma \in \text{Aut}_K L$  we have

$$\sigma E = L^{\text{Aut}_{\sigma E} L} = L^{\sigma \text{Aut}_E L \sigma^{-1}} = L^{\text{Aut}_E L} = E$$

so restriction gives a well-defined morphism of groups  $\text{Aut}_K L \rightarrow \text{Aut}_K E$ . Let  $G$  be the image. Then  $E^G = E \cap L^{\text{Aut}_K L} = E \cap L^G = E \cap K = K$  so  $E/K$  is Galois and hence normal. By the characterisation of Galois extensions,  $G$  must be all of  $\text{Aut}_K E$  and hence by the first isomorphism theorem of groups we have  $\text{Aut}_K E \simeq \text{Aut}_K L / \text{Aut}_E L$ . □

*Example.*

Let us compute the Galois group of  $T^4 - a \in \mathbb{Q}[T]$  over  $K = \mathbb{Q}$  where  $a$  is a positive integer with no square factors.

Let  $p > 0$  be a prime that divides  $a$ . Then  $T^4 - a$  satisfies Eisenstein's criterion and hence is irreducible in  $\mathbb{Q}[T]$ . Let  $L/K$  be a splitting field of  $T^4 - a$  and  $\alpha \in L$  any root. This is a Galois extension because  $\mathbb{Q}$  is characteristic zero. By separability of  $T^4 - a$ , there exists another root  $\beta$  not equal to  $\pm\alpha$ . Let  $i := \beta/\alpha$ . Then  $0 = i^4 - 1 = (i - 1)(i + 1)(i^2 + 1)$  implies  $i^2 + 1 = 0$ . So the four roots are  $\alpha, \alpha i, \alpha i^2, \alpha i^3$ .

Let  $\sqrt[4]{2} \in \mathbb{R}$  be the unique positive fourth-root of 2. Using the embedding theorem, there exists an embedding  $\phi : L \rightarrow \mathbb{C}$  such that  $\phi(\alpha) = \sqrt[4]{2}$ . From this, we deduce  $i \notin \mathbb{Q}(\alpha)$  because if it were it would give an element  $\phi(i) \in \phi\mathbb{Q}(\alpha) \subseteq \mathbb{R}$  which is not fixed by complex conjugation. It follows that  $T^2 + 1$  is irreducible in  $\mathbb{Q}(\alpha)[T]$  and hence  $[L : \mathbb{Q}] = [L : \mathbb{Q}(\alpha)][\mathbb{Q}(\alpha) : \mathbb{Q}] = 2 \cdot 4 = 8$ . <sup>a</sup>

Using embedding theorem for  $L/\mathbb{Q}(\alpha)$ , we get  $\tau \in \text{Aut}_{\mathbb{Q}} L$  such that

$$\tau(i) = -i \qquad \tau(\alpha) = \alpha$$

Since  $\deg \min(\alpha, \mathbb{Q}(i)) = [L : \mathbb{Q}(i)] = 4$  by the tower law, we have  $\min(\alpha, \mathbb{Q}(i)) = T^4 - 2$ . Using embedding

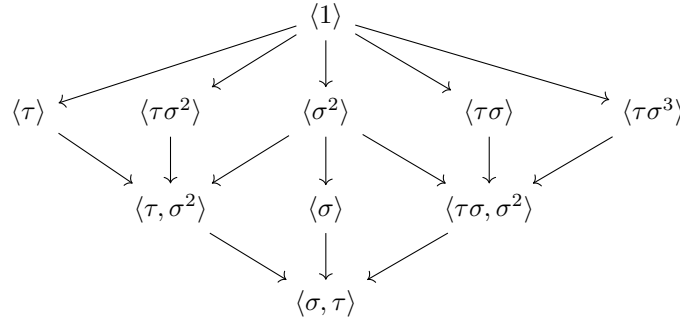
theorem again, we have  $\sigma \in \text{Aut}_{\mathbb{Q}} L$  such that

$$\sigma(i) = i \qquad \sigma(\alpha) = \alpha i$$

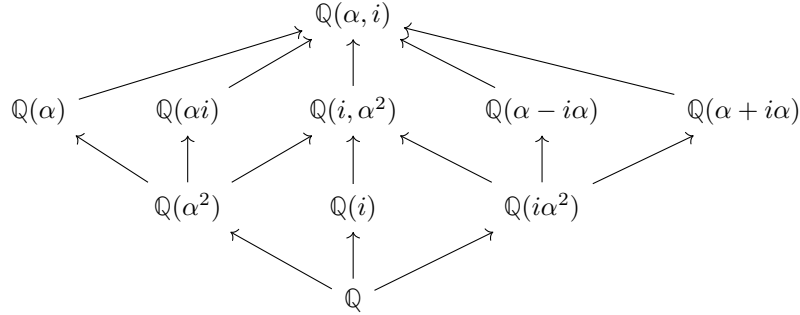
We have  $\sigma^k(\alpha) = \alpha i^k$  so  $\sigma$  has order 4.

$$\begin{aligned} \tau\sigma\tau^{-1}(i) &= \tau\sigma(-i) = \tau(-i) = i \\ \tau\sigma\tau^{-1}(\alpha) &= \tau\sigma(\alpha) = \tau(\alpha i) = -\alpha i = \sigma^{-1}(\alpha) \end{aligned}$$

So  $\tau\sigma\tau^{-1} = \sigma^{-1}$  and thus  $\text{Aut}_{\mathbb{Q}} L \simeq D_8$ . We have the following classification of subgroups of  $D_8$



The corresponding intermediate extensions are :



To compute fixed subfields  $L^H$  of a given subgroup  $H$  of  $\text{Aut}_{\mathbb{Q}} L$ , one can use linear algebra: Choose a  $\mathbb{Q}$ -basis for  $L$ , for each  $\sigma \in H$  write the matrix  $A$  given by the  $K$ -linear map  $x \mapsto \sigma(x)$  and compute the kernel of  $A - I$  where  $I$  is the identity matrix. Alternatively, one can check that it is invariant and then check the degree. For example,  $\tau\sigma(\alpha - i\alpha) = \tau(\alpha i + \alpha) = \alpha - \alpha i$  so  $\mathbb{Q}(\alpha - i\alpha) \subseteq L^{\langle \tau\sigma \rangle}$ .

$$(\alpha - i\alpha)^4 = (-2i\alpha^2)^2 = -4a$$

so  $[\mathbb{Q}(\alpha - i\alpha) : \mathbb{Q}] \leq 4$ . On the other hand, if  $i \in \mathbb{Q}(\alpha - i\alpha)$  then  $\alpha = (\alpha - i\alpha + i(\alpha - i\alpha))/2$  implies  $L = \mathbb{Q}(\alpha - i\alpha)$  which would imply  $8 = [L : \mathbb{Q}] \leq 4$  a contradiction. Therefore  $[L : \mathbb{Q}(\alpha - i\alpha)] = 2$  and hence  $[\mathbb{Q}(\alpha - i\alpha) : \mathbb{Q}] = 4$ . Since  $[L^{\langle \tau\sigma \rangle} : \mathbb{Q}] = [\langle \sigma, \tau \rangle : \langle \tau\sigma \rangle] = 4$  we conclude  $\mathbb{Q}(\alpha - i\alpha) = L^{\langle \tau\sigma \rangle}$ .

<sup>a</sup>There should be a way to do this without using  $\mathbb{R}$  but this is probably the easiest way.

## 5 Cyclotomic extensions, Cyclic extensions

We saw in the example of  $T^3 - 2$  that in understanding its roots, the roots of  $T^3 - 1$  appeared. This reduces the understanding of radical Galois extensions into two steps : *cyclotomic* and *cyclic* extensions. We study these as stepping stones towards understanding radical extensions.

### Definition

Let  $K$  be a field and  $f \in K[T]$ . A *splitting field* of  $f$  is an extension  $K \rightarrow L$  that splits  $f$  and is generated by the roots of  $f$ .

It will be useful to have another characterisation of Galois extensions.

### Proposition – Splitting field characterisation of Galois extensions

Let  $K \rightarrow L$  be an extension. Then  $L/K$  is the splitting field of a separable  $f \in K[T]$  iff  $L/K$  is Galois.

*Proof.* ( $\Rightarrow$ ) Let  $G = \text{Aut}_K L$  which is finite by the embedding theorem. We know that  $L/L^G$  is Galois so STS  $L^G = K$ . Since  $f$  is separable,  $\min(\alpha, K)$  is also separable for any root  $\alpha$  of  $f$ . Since the roots of  $f$  generate  $L$  over  $K$ , by the embedding theorem we have  $|G| = [L : K]$ . Then  $[L^G : K] = [L : K]/[L : L^G] = [L : K]/[L : K] = 1$ .

( $\Leftarrow$ ) By the characterisation of normal extensions,  $L/K$  is the splitting field of some  $f \in K[T]$ . Remove all repeated irreducible factors of  $f$  so that  $f$  is square-free. Any pair of distinct irreducible factors  $g, h$  of  $f$  must satisfy  $1 = \lambda g + \mu h$  for some  $\lambda, \mu \in K[T]$ . It follows that they do not share roots in any extension of  $K$ . The irreducible factors of  $f$  are (scalar multiples of) minimal polynomials, which are separable because  $L/K$  is Galois. Thus  $f$  is a separable polynomial.  $\square$

### Proposition – Galois groups of cyclotomic extensions

Let  $n \in \mathbb{N}$  and  $L/K$  the splitting field of  $X^n - 1 \in K[T]$ . Assume that  $X^n - 1$  is separable, or equivalently  $n \neq 0$  as elements of  $K$ . Let  $\mu_n \subseteq L^\times$  be the subgroup of roots of  $X^n - 1$ . A *primitive  $n$ -th root of unity* is defined as a generator of  $\mu_n$ . Then

1. there are  $\phi(n)$  many primitive  $n$ -th roots of unity in  $L$
2. the group morphism

$$\begin{aligned} (\mathbb{Z}/n\mathbb{Z})^\times &\rightarrow \text{Aut}_{\text{Grp}} \mu_n \\ k &\mapsto (z \mapsto z^k) \end{aligned}$$



is an isomorphism, where  $\text{Aut}_{\text{Grp}} \mu_n$  denotes the group of group automorphisms of  $\mu_n$ . The restriction  $\text{Gal}(L/K) \rightarrow \text{Aut}_{\text{Grp}} \mu_n$  is injective, so  $\text{Gal}(L/K)$  is abelian.

*Proof.* (1) Let  $\mu_n^d \subseteq \mu_n$  be the subset of elements with order  $d$ . Then by strong induction on  $n$  we have<sup>1</sup>

$$|\mu_n^n| = |\mu_n| - \sum_{n > d|n} |\mu_n^d| n - \sum_{n > d|n} \phi(d) = \phi(n)$$

(2) Being a splitting field of a separable polynomial, it makes sense to talk about the Galois group  $L/K$ . We give an inverse group morphism. Since  $\phi(n) > 0$  there exists  $z_0 \in \mu_n$  with order  $n$ . For any  $\sigma \in \text{Aut}_{\text{Grp}} \mu_n$ , there is a unique  $k_\sigma \in \mathbb{Z}/n\mathbb{Z}$  such that  $\sigma(z_0) = z_0^{k_\sigma}$ . Since  $\sigma$  has to send  $z_0$  to another element of order  $n$ , we must have  $(n, k_\sigma) = 1$  i.e.  $k_\sigma \in (\mathbb{Z}/n\mathbb{Z})^\times$ . Then  $\sigma \mapsto k_\sigma$  gives the desired inverse.<sup>2</sup>  $\square$

*Remark.* It is possible to show that when  $K = \mathbb{Q}$ , the morphism  $\text{Gal}(\mathbb{Q}(\mu_n)/\mathbb{Q}) \rightarrow \text{Aut}_{\text{Grp}} \mu_n$  is surjective and hence bijective. This is not necessary for solvability of polynomials so we will return to this later.

### Proposition – Characterization of cyclic extensions

Let  $n \in \mathbb{Z}_{>0}$  and  $K \rightarrow L$  be an extension where  $T^n - 1 \in K[T]$  is split and separable in  $K$ . Then

1. if  $L = K(\alpha)$  where  $\alpha^n \in K$  and is the minimal power of  $\alpha$  in  $K$ , then  $L/K$  is Galois and the map

$$\begin{aligned} \text{Gal}(L/K) &\rightarrow \mu_n \\ \sigma &\mapsto \sigma(\alpha)/\alpha \end{aligned}$$

is group isomorphism. Hence  $\text{Gal}(L/K)$  is cyclic.

2. Conversely if  $L/K$  is Galois with  $\text{Gal}(L/K)$  cyclic order  $n$  then there exists  $\alpha \in L$  such that  $L = K(\alpha)$  and  $\alpha^n$  is the minimal power of  $\alpha$  in  $K$ .

This is completely analogous to the situation  $\mathbb{Q}(\sqrt[3]{2}, \omega)/\mathbb{Q}(\omega)$ .

*Proof.* (1) (Galois)  $L/K$  is the splitting field of  $T^n - \alpha^n$  which is separable by separability of  $T^n - 1$ .

(Group morphism) For  $\sigma, \rho \in \text{Gal}(L/K)$  we have

$$\frac{\sigma(\rho(\alpha))}{\alpha} = \frac{\sigma(\rho(\alpha))}{\rho(\alpha)} \frac{\rho(\alpha)}{\alpha} = \frac{\sigma(\alpha)}{\alpha} \frac{\rho(\alpha)}{\alpha}$$

because  $\rho(\alpha) = \alpha z$  for some  $z \in \mu_n$  so

$$\frac{\sigma(\rho(\alpha))}{\rho(\alpha)} = \frac{\sigma(\alpha)z}{\alpha z} = \frac{\sigma(\alpha)}{\alpha}$$

<sup>1</sup>Here is a proof of  $n = \sum_{0 \leq d|n} \phi(d)$ . We take as definition  $\phi(d) := |(\mathbb{Z}/d\mathbb{Z})^\times|$ . Then the chinese remainder theorem implies  $\phi$  is multiplicative so it suffices to prove the result for  $n = p^a$  where  $p > 0$  is prime and  $a > 0$ . Now  $p^a = (p^a - p^{a-1}) + \dots + (p-1) + 1 = \phi(p^a) + \phi(p^{a-1}) + \dots + \phi(p) + 1$  because an element in  $\mathbb{Z}/p^k\mathbb{Z}$  is invertible iff it is invertible mod  $p$ .

<sup>2</sup>Although the definition of the inverse used a choice of generator of  $\mu_n$ , it is independent of this choice because inverse of group morphisms are unique and  $(\mathbb{Z}/n\mathbb{Z})^\times \rightarrow \text{Aut}_{\text{Grp}} \mu_n$  does not use any choices of generator of  $\mu_n$ .

(Bijjective) Injectivity follows from kernel being trivial because any  $\sigma$  is determined by what it does on  $\alpha$ . Suppose for a contradiction that  $\text{Gal}(L/K) \rightarrow \mu_n$  is not surjective. Then the image of  $\text{Gal}(L/K)$  is a subgroup of order  $d < n$  so by Lagrange's theorem for all  $\sigma \in \text{Gal}(L/K)$  we have  $(\sigma(\alpha)/\alpha)^d = 1$ . This says  $\sigma(\alpha^d) = \alpha^d$  i.e.  $\alpha^d \in L^G = K$  which contradicts minimality of  $n$ .

(2) Let  $\sigma \in \text{Gal}(L/K)$  be a generator. The proof of (1) shows that we are expecting  $\alpha \in L$  to be such that  $\sigma(\alpha)/\alpha \in \mu_n$ , i.e.  $\alpha$  is an eigenvector of  $\sigma$  with eigenvalue  $z \in \mu_n$ . So consider  $\sigma$  as a  $K$ -linear map  $L \rightarrow L$ . Then the minimal polynomial of  $\sigma$  divides  $T^n - 1$  in  $K[T]$ . This is split and separable over  $K$  so the minimal polynomial of  $\sigma$  is split and separable over  $K$ . This occurs iff  $\sigma$  is diagonalizable as a  $K$ -linear map. The eigenvalues of  $\sigma$  are precisely the roots of its minimal polynomial, which divides  $T^n - 1$  so consequently there exists  $\alpha \in L$  with eigenvalue  $z \in \mu_n$ , i.e.  $\sigma(\alpha) = z\alpha$ . Then  $\sigma((\alpha)^n) = (\sigma(\alpha))^n = (z\alpha)^n = \alpha^n$  so  $\alpha^n \in L^G = K$ . Let  $\tilde{n}$  be the minimal power of  $\alpha$  in  $K$ . Then by (1) we have  $\tilde{n} = |\text{Gal}(L/K)| = n$ .  $\square$

## 6 Radical extensions

Today we discuss solvability polynomials.

### Definition

Let  $K \rightarrow L$  be an extension. We say it is *radical* when there exists a chain of subextensions

$$K = L_0 \rightarrow L_1 \rightarrow \cdots \rightarrow L_{n-1} \rightarrow L_n = L$$

such that each  $L_{i+1} = L_i(\alpha_i)$  for some  $\alpha_i$  with  $\alpha_i^{d_i} \in L_i$  for some  $d_i > 0$ .

For  $f \in K[T]$  we say  $f$  is *solvable by radicals* when there exists a radical extension  $L/K$  which splits  $f$ .

Some group theoretic things we need...

### Definition

Let  $G$  be a finite group. Then  $G$  is called solvable when there exists a chain

$$1 = H_0 \triangleleft H_1 \triangleleft \cdots \triangleleft H_{n-1} \triangleleft H_n = G$$

such that  $H_{n+1}/H_n$  is cyclic.

### Proposition

Suppose we have a normal subgroup  $N$  of a finite group  $G$ .

$$1 \rightarrow N \rightarrow G \rightarrow G/N \rightarrow 1$$

If  $N$  and  $G/N$  are solvable, then  $G$  is solvable. If  $G$  is solvable, then  $N$  is solvable. <sup>a</sup>

<sup>a</sup>One can prove in this case that  $G/N$  is solvable, too, but this is not relevant for solvability of polynomials.

*Proof.* Exercise in group theory.  $\square$

The main result is :

**Proposition – Characterization of solvable polynomials in characteristic zero**

Let  $K$  be a characteristic zero field and  $f \in K[T]$  be irreducible. Then  $f$  is solvable by radicals iff there exists a splitting field  $L/K$  of  $f$  such that  $\text{Gal}(L/K)$  is solvable.

*Remark.* In the above,  $L/K$  is normal by the characterization of finite normal extensions.  $K$  characteristic zero implies all extensions of  $K$  are separable, so  $L/K$  is indeed Galois and it makes sense to talk about its Galois group. Furthermore if  $\tilde{L}/K$  is another splitting field of  $f$  then by the embedding theorem there exists an isomorphism  $\gamma : L \simeq \tilde{L}$  of extensions of  $K$ . It follows that  $\gamma_- \gamma^{-1} : \text{Gal}(L/K) \rightarrow \text{Gal}(\tilde{L}/K)$  is an isomorphism of groups.<sup>1</sup> So for a polynomial  $f$  solvable by radicals, *all* splitting fields of  $f$  have solvable Galois groups.

*Proof of characterization of solvable polynomials in characteristic zero.* ( $\Rightarrow$ ) Assume there is a tower of simple radical extensions

$$K = L_0 \rightarrow L_1 \rightarrow \cdots \rightarrow L_{n-1} \rightarrow L_n = L$$

where  $L_{i+1} = L_i(\alpha_i)$  for some  $\alpha_i^{d_i} \in L_i$  and  $d_i > 0$ , and that  $L$  contains a splitting field of  $f$ . **Let us first assume  $L/K$  is Galois.** Then  $L/K$  Galois implies it splits  $X^N - 1$  where  $N = d_1 \cdots d_n$ . It is separable by the assumption that  $K$  is characteristic zero. Let  $\tilde{L}_0 := L_0(\mu_N)$  and  $\tilde{L}_{i+1} := \tilde{L}_i(\alpha_i)$ .

$$\begin{array}{ccccccccc} L_0 & \longrightarrow & L_1 & \longrightarrow & \cdots & \longrightarrow & L_{n-1} & \longrightarrow & L_n = L \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow = \\ \tilde{L}_0 & \longrightarrow & \tilde{L}_1 & \longrightarrow & \cdots & \longrightarrow & \tilde{L}_{n-1} & \longrightarrow & \tilde{L}_n = L \end{array}$$

Applying the main theorem of Galois theory we obtain a sequence of subgroups

$$\text{Gal}(\tilde{L}_n/\tilde{L}_n) \subseteq \text{Gal}(\tilde{L}_n/\tilde{L}_{n-1}) \subseteq \cdots \subseteq \text{Gal}(\tilde{L}_n/\tilde{L}_1) \subseteq \text{Gal}(\tilde{L}_n/\tilde{L}_0) \subseteq \text{Gal}(\tilde{L}_n/L_0) = \text{Gal}(L/K)$$

Then

1. each factor group  $\text{Gal}(\tilde{L}_n/\tilde{L}_i)/\text{Gal}(\tilde{L}_n/\tilde{L}_{i+1}) \simeq \text{Gal}(\tilde{L}_{i+1}/\tilde{L}_i)$  is cyclic by the characterisation of cyclic extensions.
2. For the final factor group at the top,  $\tilde{L}_0/L_0$  is a cyclotomic extension. So it has abelian Galois group, which is in particular solvable by, say, the classification of finite abelian groups.

Thus  $\text{Gal}(L/K)$  is solvable.

To complete the proof of the forward direction, we need to show that we can always enlarge  $L$  so that  $L/K$  is not just radical but also Galois. By splitting minimal polynomials of generators of  $L/K$ , we can find  $N/L$  such that  $N/K$  is finite normal. Since  $K$  is characteristic zero,  $N/K$  is separable and hence Galois. But  $N$  is made with choices (the generators of  $L/K$ ) so we do not know immediately that  $N/K$  is radical.

<sup>1</sup>This is analogous to the following phenomenon from algebraic topology : given a topological space  $X$  and a path  $\gamma$  from a point  $x$  to  $\tilde{x}$ , then  $\gamma_- \gamma^{-1}$  gives an isomorphism  $\pi_1(X, x) \simeq \pi_1(X, \tilde{x})$ . These two are united in *algebraic geometry*.

Let  $\text{Gal}(N/K) = \{\sigma_1, \dots, \sigma_{[N:K]}\}$  with  $\sigma_1 = e$ . The reason why  $L/K$  is not Galois is more or less because we don't have the Galois conjugates of  $\alpha_i$ . So we add them in. Define the tower of subextensions

$$\begin{aligned} K &= L_{1,0} \subseteq L_{1,1} \subseteq \dots \subseteq L_{1,n-1} \subseteq L_{1,n} \\ &= L_{2,0} \subseteq L_{2,1} \subseteq \dots \subseteq L_{2,n-1} \subseteq L_{2,n} \\ &= L_{3,0} \subseteq \dots \\ &= L_{[N:K],0} \subseteq L_{[N:K],1} \subseteq \dots \subseteq L_{[N:K],n} =: M \end{aligned}$$

where  $L_{i,j+1} = L_{i,j}(\sigma_i(\alpha_j))$ . Goal : each step is simple radical and  $M/K$  is Galois. The point is that

- $\sigma_2 L_{1,1} = \sigma_2 L_{1,0}(\alpha_1) = L_{1,0}(\sigma_2(\alpha_1)) \subseteq L_{2,0}(\sigma_2(\alpha_1)) = L_{2,1}$
- $\sigma_2 L_{1,2} = \sigma_2 L_{1,1}(\alpha_2) \subseteq L_{2,1}(\sigma_2(\alpha_2)) = L_{2,2}$
- by induction the same for the entirety of second row.
- By the same reasoning, we get for every  $i$ -th row  $\sigma_i L_{1,j} \subseteq L_{i,j}$  for all  $j$ .

From this we get

$$(\sigma_i(\alpha_j))^{d_j} = \sigma_i(\alpha_j^{d_j}) \in L_{i,j}$$

so that  $L_{i,j+1}/L_{i,j}$  is simple radical. To show  $M/K$  is Galois, it suffices by the characterisation of Galois extensions to show that  $M$  is stable under the action of  $\text{Gal}(N/K)$ . For this we guess another construction of  $M$ . From the proof of the Tower law, we define  $\tilde{M}$  as the set of finite  $K$ -linear combinations of  $\sigma_1(x_1) \dots \sigma_{[N:K]}(x_{[N:K]})$  where  $x_i \in L$ . This is a subring of  $N$  containing  $K$  and finiteness of  $L/K$  implies finiteness of  $\tilde{M}$  as a  $K$ -vector space. It follows that  $\tilde{M}$  is a  $K$ -subextension of  $N/K$ . By looking at the proof of the Tower law,  $M \subseteq \tilde{M}$ . Conversely, any  $\sigma_1(x_1) \dots \sigma_{[N:K]}(x_{[N:K]}) \in (\sigma_1 L) \dots (\sigma_{[N:K]} L) \subseteq L_{1,n} \dots L_{[N:K],n} \subseteq M$  so  $\tilde{M} \subseteq M$  and hence  $M = \tilde{M}$ .<sup>1</sup>

( $\Leftarrow$ ) Suppose  $L/K$  is a splitting field of  $f$  and  $\text{Gal}(L/K)$  is solvable. Again, for the characterisation of cyclic extensions to apply we need enough roots of unity in our base field. Let  $L \rightarrow \tilde{L}$  be a splitting field of  $T^{[L:K]} - 1 \in L[T]$  and  $\tilde{K} := K(\mu_{[L:K]}) \subseteq \tilde{L}$ . The extension  $\tilde{L}/K$  is the splitting field of  $(T^{[L:K]} - 1)f \in K[T]$ , and hence Galois because we are in characteristic zero. By the main theorem of Galois theory, we have

$$\text{Gal}(\tilde{L}/K) / \text{Gal}(\tilde{L}/L) \simeq \text{Gal}(L/K)$$

The latter is solvable and the kernel is solvable too because  $\tilde{L}/L$  is a cyclotomic extension. Thus  $\text{Gal} \tilde{L}/K$  is also solvable. Since  $\text{Gal} \tilde{L}/\tilde{K}$  is a normal subgroup, it is also solvable. So we have

$$1 = H_0 \triangleleft H_1 \triangleleft \dots \triangleleft H_{n-1} \triangleleft H_n = \text{Gal}(\tilde{L}/\tilde{K})$$

with cyclic factor groups. To apply the characterisation of cyclic extensions to get  $\tilde{K} \rightarrow \tilde{L}$ , we need to know  $|H_{i+1}/H_i|$  divide  $[L:K]$  so that we have the correct roots of unity.  $|H_{i+1}/H_i|$  divides  $|\text{Gal}(\tilde{L}/\tilde{K})|$  so it STS that the composition

$$\text{Gal} \tilde{L}/\tilde{K} \rightarrow \text{Gal}(\tilde{L}/K) \rightarrow \text{Gal} L/K$$

is injective. If  $\sigma \in \text{Gal}(\tilde{L}/\tilde{K})$  fixes  $L$  then it fixes the roots of  $f$  and  $T^n - 1$ . But these generate  $\tilde{L}$  over  $K$  so then  $\sigma = 1$ . Hence,  $\tilde{K} \rightarrow \tilde{L}$  is radical. Since  $K \rightarrow \tilde{K}$  is cyclotomic and so also radical, we have thus that  $K \rightarrow \tilde{L}$  is radical, completing the proof.  $\square$

<sup>1</sup>The trick of constructing  $\tilde{M}$  here is called taking *normal closure*. It comes from trying to force the image invariance property in the characterisation of finite normal extensions.

## 7 Finite fields, Frobenius lifts and existence of non-solvable quintic

By the characterisation of solvability over characteristic zero, to show that there exists quintics with roots *inexpressible* in terms of basic arithmetic and radicals, it suffices to give an irreducible quintic with non-solvable Galois group. We claim that  $T^5 - T - 1 \in \mathbb{Q}[T]$  has Galois group  $S_5$  which is not solvable. To compute its Galois group, we introduce an effective technique using *finite fields* called *Frobenius lifts*.

### Definition

Let  $A$  be a ring where  $p = 0$ . The *Frobenius map* is the map  $x \mapsto x^p$  on  $A$ . This is a ring morphism by freshman's dream.

### Proposition – Classification of finite fields

Let  $p > 0$  be a prime.

- (Existence) For  $n > 0$ , let  $\mathbb{F}_{p^n}$  be a splitting field of  $X^{p^n} - X$  over  $\mathbb{F}_p$ . Then  $\mathbb{F}_{p^n}$  is a field with  $p^n$  elements. This is well-defined up to isomorphism as  $\mathbb{F}_p$  extensions.
- (Uniqueness) Any finite extension  $\mathbb{F}_p \rightarrow F$  must be isomorphic to  $\mathbb{F}_{p^n}$  for some  $n > 0$  as extensions of  $\mathbb{F}_p$ .
- (Galois)  $\mathbb{F}_p \rightarrow \mathbb{F}_{p^n}$  is finite Galois with Galois group cyclic order  $n$  generated by the Frobenius map  $x \mapsto x^p$ .

More generally, for  $0 < n$  and  $0 \leq d$ , the extension  $\mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^{n+d}}$  is finite Galois with Galois group cyclic order  $d$  generated by  $x \mapsto x^{p^n}$ .

*Proof.* (Existence) Because the Frobenius is a ring morphism on  $\mathbb{F}_{p^n}$ , the set of roots of  $X^{p^n} - X$  forms a subfield containing  $\mathbb{F}_p$ . It follows that this must be all of  $\mathbb{F}_{p^n}$ .

(Uniqueness) Let  $n := [F : \mathbb{F}_p]$ . Then  $|F| = p^{[F:\mathbb{F}_p]}$ . By Lagrange's theorem on groups, we have that any  $x \in F^\times$  must satisfy  $x^{|F^\times|} - 1 = 0$ . It follows that the elements of  $F$  are precisely all the roots of the polynomial  $X^{p^n} - X$  and hence must be a splitting field for it.

(Galois) For  $x \in \mathbb{F}_{p^n}$ ,  $x^p = x$  iff  $x \in \mathbb{F}_p$ . The result follows from the characterisation of finite Galois extensions. We leave the general case as an exercise.  $\square$

*Example.*

Let us find all the monic irreducible quadratics in  $\mathbb{F}_3[T]$ . By the classification of finite fields, they are precisely the minimal polynomials of  $x \in \mathbb{F}_9 \setminus \mathbb{F}_3$ . This implies there are precisely three of them. At this point we can guess. The following quadratics do not have roots in  $\mathbb{F}_3$  and hence are irreducible.

- $T^2 + 1$
- $T^2 - T - 1$
- $T^2 + T - 1$

**Proposition – Frobenius lifts**

Let  $f \in \mathbb{Z}[T]$  be monic and separable,  $\mathbb{Q} \rightarrow K$  a splitting field of  $f$ . Let  $S \subseteq K$  be the set of roots of  $f$  and consider the subring generated by  $S$

$$A := \mathbb{Z}[S] \subseteq K$$

Let  $p > 0$  be a prime such that the mod  $p$  reduction  $\bar{f} \in \mathbb{F}_p[T]$  is separable. Then :

1. There exists a maximal ideal  $\mathfrak{q} \subseteq A$  which contains  $p$ . We call  $\mathfrak{q}$  a *prime lying above  $p$* .
2. Let  $\mathfrak{q}$  be a prime lying above  $p$ . Define the *decomposition group*

$$D(\mathfrak{q}/p) := \{\sigma \in \text{Gal}(K/\mathbb{Q}) \text{ s.t. } \sigma(\mathfrak{q}) = \mathfrak{q}\}$$

Then

- (a)  $\kappa(\mathfrak{q}) := A/\mathfrak{q}$  is a splitting field of  $\bar{f}$
- (b) The ring morphism  $\mathbb{Z}[S] \rightarrow \kappa(\mathfrak{q}), x \mapsto \bar{x}$  induces a bijection between  $S$  and its image  $\bar{S}$ . The action of  $D(\mathfrak{q}/p)$  on  $S$  is compatible with the action of  $\text{Gal}(\kappa(\mathfrak{q})/\mathbb{F}_p)$  on  $\bar{S}$ , i.e. the following diagram commutes

$$\begin{array}{ccc} D(\mathfrak{q}/p) \times S & \longrightarrow & S \\ \downarrow & & \downarrow \sim \\ \text{Gal}(\kappa(\mathfrak{q})/\mathbb{F}_p) \times \bar{S} & \longrightarrow & \bar{S} \end{array}$$

- (c) The group morphism  $D(\mathfrak{q}/p) \rightarrow \text{Gal}(\kappa(\mathfrak{q})/\mathbb{F}_p)$  is bijection.

In particular, there exists a unique  $\phi \in \text{Gal}(K/\mathbb{Q})$  which such that  $\phi|_A = \text{Frob mod } \mathfrak{q}$ .

Let's see the applications to Galois groups before the proof.

**Proposition – Dedekind's result on cycle shapes**

Let  $f \in \mathbb{Z}[T]$  be monic separable,  $K/\mathbb{Q}$  a splitting field and  $S \subseteq K$  the set of its roots. Let  $p > 0$  a prime such that mod  $p$  reduction  $\bar{f} \in \mathbb{F}_p[T]$  is separable. Suppose that  $\bar{f}$  factors into irreducible polynomials of degree  $n_1, \dots, n_r$ . Then there exists  $\sigma \in \text{Gal}(K/\mathbb{Q})$  such that under the injection  $\text{Gal}(K/\mathbb{Q}) \rightarrow \text{Aut } S$ ,  $\sigma$  has cycle shape  $(n_1) \cdots (n_r)$ .

*Proof.* Let  $\mathfrak{q}$  be as in the previous proposition and  $\sigma$  be a Frobenius lift from  $\kappa(\mathfrak{q})$ . Then the cycle shape of  $\sigma$  acting on  $S$  is the cycle shape of the Frobenius acting on  $\bar{S}$ . The cycles are precisely the orbits under the action of the Galois group  $\text{Gal}(\kappa(\mathfrak{q})/\mathbb{F}_p)$ . Elements of each orbit share the same minimal polynomial, and the set of minimal polynomials ranging across the orbits is precisely the irreducible factors of  $\bar{f}$  (up to scaling by  $\mathbb{F}_p^\times$ ).  $\square$

### Proposition

The polynomial  $T^5 - T - 1 \in \mathbb{Q}[T]$  has Galois group  $S_5$  and hence is not solvable by radicals.

*Proof.* Mod 2 we get  $(T^2 + T + 1)(T^3 + T^2 + 1)$  which are irreducible because they do not have roots in  $\mathbb{F}_2$ . So there exists an element of the Galois group with cycle shape  $(2)(3)$  and hence there exists one with cycle shape  $(2)$ , i.e. a transposition.

Mod 3 it is irreducible. It has no roots and we can check it is not divisible by the three monic irreducible quadratics in  $\mathbb{F}_3[T]$ .

$$\begin{aligned} - T^5 - T - 1 &= (T^2 + 1)(T^3 - T) - 1 \\ - T^5 - T - 1 &= (T^2 - T - 1)(T^3 + T^2 - T - 1) + 1 \\ - T^5 - T - 1 &= (T^2 + T - 1)(T^3 - T^2 - T) + (T - 1) \end{aligned}$$

So there exists an element of the Galois group with cycle shape  $(5)$ .

*Lemma.* Let  $G \subseteq S_p$  where  $p > 0$  is prime. Suppose  $G$  contains a transposition and a  $p$ -cycle. Then  $G = S_p$ .

*Proof.* Exercise in group theory. ■

So the Galois group is  $S_5$ . The fact that this is not solvable is a group theory fact so we omit it. □

We give another application of Frobenius lifts.

### Proposition – Cyclotomic extensions in characteristic zero

Let  $n > 0$  and let  $\mathbb{Q}(\mu_n)/\mathbb{Q}$  be a splitting field of  $T^n - 1$ . Recall we have a canonical isomorphism  $\text{Aut}_{\text{Grp}} \mu_n \simeq (\mathbb{Z}/n\mathbb{Z})^\times$  and hence an injection

$$\text{Gal}(\mathbb{Q}(\mu_n)/\mathbb{Q}) \rightarrow (\mathbb{Z}/n\mathbb{Z})^\times$$

This is in fact an isomorphism.

*Proof.* Let  $m \in (\mathbb{Z}/n\mathbb{Z})^\times$ . This corresponds to  $x \mapsto x^m \in \text{Aut}_{\text{Grp}} \mu_n$ . To show  $m$  is in the image of  $\text{Gal}(\mathbb{Q}(\mu_n)/\mathbb{Q})$ , it suffices to do case of  $m = p$  a prime. By assumption,  $n \not\equiv 0 \pmod p$  so  $T^n - 1$  is separable over  $\mathbb{F}_p$ . Then we have a commuting diagram of group morphisms :

$$\begin{array}{ccccc} D(\mathfrak{q}/p) & \longrightarrow & \text{Gal}(\mathbb{Q}(\mu_n)/\mathbb{Q}) & \longrightarrow & \text{Aut}_{\text{Grp}} \mu_n(\mathbb{Q}) \\ \downarrow \simeq & & & & \simeq \downarrow \\ \text{Gal}(\mathbb{F}_p(\mu_n)/\mathbb{F}_p) & \longrightarrow & & \longrightarrow & \text{Aut}_{\text{Grp}} \mu_n(\mathbb{F}_p) \end{array}$$

This implies there's  $\sigma \in \text{Gal}(\mathbb{Q}(\mu_n)/\mathbb{Q})$  which gives  $x \mapsto x^p$  in  $\text{Aut}_{\text{Grp}} \mu_n$ . □

We can apply this to lines and circle constructions to determine which regular  $n$ -gons are constructible using lines and circles.

### Definition

Let  $K$  be a field and  $x, y \in L/K$  some extension. We say  $(x, y)$  is *constructible in one step from  $K$*  when it they can be obtained as solutions to the following three kinds of simultaneous equations.

1. (Line-Line intersection)  $a_1x + b_1y + c_1 = 0$  and  $a_2x + b_2y + c_2 = 0$ .
2. (Line-circle intersection)  $ax + by + c = 0$  and  $x^2 + y^2 + Ax + By + C = 0$ .
3. (Circle-circle intersection)  $x^2 + y^2 + Ax + By + C = 0$  and  $x^2 + y^2 + Rx + Sy + T = 0$

We say  $(x, y)$  is constructible from  $K$  when there exists a sequence  $(x_0, y_0), \dots, (x_n, y_n)$  with  $x_0, y_0 \in K$  and  $x_n = x, y_n = y$  such that  $(x_{i+1}, y_{i+1})$  is constructible from  $K_i$  in one step and  $K_{i+1} := K_i(x_{i+1}, y_{i+1})$ .

### Proposition

Let  $K$  be a field and  $x, y \in L/K$  some extension. Then  $(x, y)$  is constructible in one step from  $K$  iff  $[K(x, y) : K] \leq 2$ . Hence  $(x, y)$  is constructible from  $K$  iff  $[K(x, y) : K]$  is a power of 2.

*Proof.* The three kinds of simultaneous equations are all equivalent to solving at most a quadratic equation.  $\square$

*Example (Constructing regular pentagon).*

We ask if we can construct the regular pentagon from  $\mathbb{Q}$ . This is equivalent to  $(\cos(2\pi/5), \sin(2\pi/5))$  being constructible from  $\mathbb{Q}$ , which is equivalent to  $[\mathbb{Q}(\cos(2\pi/5), \sin(2\pi/5)) : \mathbb{Q}] = 2^n$ . By chucking in  $i$ , this is equivalent to  $[\mathbb{Q}(\cos(2\pi/5), \sin(2\pi/5), i) : \mathbb{Q}] = 2^{n+1}$ . Now  $\mathbb{Q}(\mu_5) \subseteq \mathbb{Q}(\cos(2\pi/5), \sin(2\pi/5), i)$ . If you chuck  $i$  into  $\mathbb{Q}(\mu_5)$  then you can make  $\sin(2\pi/5)$  because  $\zeta := e^{2\pi i/5} = \cos(2\pi/5) + i \sin(2\pi/5)$ . So the condition is equivalent to  $[\mathbb{Q}(\mu_5) : \mathbb{Q}] = 2^n$ . By the theory of cyclotomic extensions over  $\mathbb{Q}$ ,  $[\mathbb{Q}(\mu_5) : \mathbb{Q}] = \phi(5) = 4$  so the regular pentagon is constructible. In fact we can derive a construction by writing  $\mathbb{Q} \rightarrow \mathbb{Q}(\mu_5)$  as a tower of degree 2 extensions solving quadratics we can construct. In this case, we are lucky because  $\cos(2\pi/5) = (\zeta + \zeta^{-1})/2$  which is fixed by the unique order two element in  $\text{Gal}(\mathbb{Q}(\mu_5)/\mathbb{Q})$ . Indeed,

$$(\zeta + \zeta^{-1})^2 = \zeta^2 + \zeta^{-2} + 2 = \zeta - \zeta^{-1} + 1$$

so

$$\cos(2\pi/5) = \frac{-1 + \sqrt{5}}{4}$$

### Proposition – Characterization of constructible regular polygons

**TODO**

*Proof of Frobenius lifts.* Just as  $K^G = \mathbb{Q}$ , we prove  $A^G = \mathbb{Z}$ . The following is an “integral version” of the characterisation of finite simple extensions.

*Lemma (Characterisation of integral elements).* Let  $K/\mathbb{Q}$  be an extension and  $\alpha \in K$ . Then  $\alpha$  is the root of a monic  $f \in \mathbb{Z}[X]$  iff  $\mathbb{Z}[\alpha]$  is finitely generated as a  $\mathbb{Z}$ -module. If any of the above are satisfied, we say  $\alpha$  is integral over  $\mathbb{Z}$ .

Consequently, if  $\alpha, \beta \in K$  are integral over  $\mathbb{Z}$ , then so is any  $x \in \mathbb{Z}[\alpha, \beta]$ .



*Proof.* ( $\Rightarrow$ ) Same strategy as for algebraic elements. ( $\Leftarrow$ ) Since  $\mathbb{Z}[\alpha] \subseteq K$  which does not have any torsion, it follows from Smith normal form that  $\mathbb{Z}[\alpha]$  has a  $\mathbb{Z}$ -basis  $x_1, \dots, x_d$ . We can write  $x_i = g_i(\alpha)$  for some  $g_i \neq 0 \in \mathbb{Z}[X]$ . Let  $n = \text{maximum of the degrees of } g_i$ . Then  $\alpha^{n+1} = \lambda_1 x_1 + \dots + \lambda_d x_d$  for some  $\lambda_i \in \mathbb{Z}$ . Expanding out  $x_i = g_i(\alpha)$  implies  $\alpha$  satisfies  $X^{n+1} - \lambda_1 g_1(X) - \dots - \lambda_d g_d(X)$ , which is monic and in  $\mathbb{Z}[X]$ .

For the consequence,  $\alpha, \beta$  integral implies  $\mathbb{Z}[\alpha, \beta]$  is finitely generated as a  $\mathbb{Z}$ -module. This implies the submodule  $\mathbb{Z}[x] \subseteq \mathbb{Z}[\alpha, \beta]$  is also, and hence  $x$  is integral over  $\mathbb{Z}$ . ■

The lemma implies that every element in  $A$  is integral over  $\mathbb{Z}$ . Then for any  $x \in A^G = A \cap K^G = A \cap \mathbb{Q}$ , we can write  $x = a/b$  for  $a, b \in \mathbb{Z}$  coprime and  $b \neq 0$ , and  $x^d + \lambda_1 x^{d-1} + \dots + \lambda_d = 0$  for some  $\lambda_i \in \mathbb{Z}$  and  $d > 0$ . Then  $a^d + \lambda_1 b a^{d-1} + \dots + \lambda_d b^d = 0$  so  $b$  divides  $a$  and hence  $b = \pm 1$ . Thus  $A^G = \mathbb{Z}$ .

(1) It is a consequence of Zorn's lemma that if  $p \in A$  is not a unit, then such  $\mathfrak{q}$  exists. We have  $1/p \notin A$  because if it were then  $1/p \in A^G = \mathbb{Z}$ .

(2) (a) It is a field because  $\mathfrak{q}$  is maximal and it is the splitting field of  $\bar{f}$  because it is generated by the image of  $S$ , which gives all the roots of  $\bar{f}$ . Note that  $\mathfrak{q} \cap \mathbb{Z}$  is a proper ideal containing  $(p)$  so  $\mathfrak{q} \cap \mathbb{Z} = (p)$ .

(b) Write  $f(T) = \prod_{a \in S} (T - a) \in \mathbb{Z}[S][T]$ . Then  $\bar{f}(T) = \prod_{a \in S} (T - \bar{a}) \in \kappa(\mathfrak{q})[T]$  where  $\bar{a} = a \bmod \mathfrak{q}$ . By assumption of  $\bar{f}$  being separable,  $a \neq b$  in  $S$  implies  $\bar{a} \neq \bar{b}$ . So  $S \rightarrow \bar{S}$  is an injection of finite sets and hence a bijection. The compatibility of actions is clear.

(c) Injectivity follows from  $S \rightarrow \bar{S}$  being bijective. Surjectivity is more subtle, we closely follow the argument from [Stacks, Lemma 0BRJ].

*Lemma.* For primes  $\mathfrak{q}, \mathfrak{q}_1 \subseteq A$  lying above  $p$ , there exists  $\sigma \in G := \text{Gal}(K/\mathbb{Q})$  such that  $\sigma(\mathfrak{q}) = \mathfrak{q}_1$ . It follows that there are finitely many primes lying above  $p$ .

*Proof.* Suppose for a contradiction that there exists  $x \in \mathfrak{q}_1$  and not in  $\sigma(\mathfrak{q})$  for any  $\sigma \in G$ . Then  $\prod_{\sigma \in G} \sigma(x) \in \bigcap_{\sigma \in G} \sigma(\mathfrak{q}_1)$ . The latter is a proper ideal of  $A^G = \mathbb{Z}$  containing  $(p)$  so must be equal to  $(p)$ . But then we have  $\prod_{\sigma \in G} \sigma(x) \in (p) \subseteq \mathfrak{q}$  and hence  $x \in \sigma^{-1}(\mathfrak{q})$  for some  $\sigma \in G$ , a contradiction. ■

By the Chinese remainder theorem, we have a surjection

$$A \rightarrow \prod_{\mathfrak{q}_1 \in \text{Orb}(\mathfrak{q})} A/\mathfrak{q}_1$$

We know  $\kappa(\mathfrak{q})$  is a finite extension of  $\mathbb{F}_p$ . So by the theory of finite fields and cyclotomic extensions, there exists  $\bar{a}$  generating  $\kappa(\mathfrak{q})^\times$ . Choose  $a \in A$  such that  $a = \bar{a} \bmod \mathfrak{q}$  and  $0 \bmod \mathfrak{q}_1 \neq \mathfrak{q}$ . Now we use the Galois theory trick:  $\min(a, \mathbb{Q})(T) = \prod_{\alpha \in \text{Orb}(a)} (T - \alpha) \in K[T]$ . Since  $a \in A$  it follows that  $\min(a, \mathbb{Q}) \in A[T]$ . Reducing  $\mathfrak{q}$ , we find that the minimal polynomial of  $\bar{a}$  must divide  $\prod_{\alpha \in \text{Orb}(a)} (T - \bar{\alpha})$ . This implies  $\text{Frob}(\bar{a}) = \overline{\sigma(a)}$  for some  $\sigma \in \text{Gal}(K/\mathbb{Q})$ . It STS  $\sigma \in D(\mathfrak{q}/p)$ . Let  $x \in \mathfrak{q}$ . Then  $ax = 0 \bmod \bigcap_{\mathfrak{q}_1 \in \text{Orb}(\mathfrak{q})} \mathfrak{q}_1$  so  $\sigma(ax) = 0 \bmod \bigcap_{\mathfrak{q}_1 \in \text{Orb}(\mathfrak{q})} \mathfrak{q}_1$ . Looking at the  $A/\mathfrak{q}$  component,  $0 = \sigma(x)\sigma(a) = \sigma(x)\bar{a}^p \bmod \mathfrak{q}$  which implies  $\sigma(x) = 0 \bmod \mathfrak{q}$ . □

## 8 Bonus : Sneak peak at $p$ -adic and perfectoid fields

To be fleshed out :

1.  $\mathbb{F}_p[T]$
2.  $\mathbb{F}_p[[T]] := \varprojlim_{n \geq 0} \mathbb{F}_p[T]/(T^{n+1})$ . As a set we have

$$\prod_{n \geq 0} \mathbb{F}_p \xrightarrow{\sim} \mathbb{F}_p[[T]]$$

by  $(a_n) \mapsto (\sum_{0 \leq d \leq n} a_d T^d \bmod T^{n+1})_n$ . One can check that the coefficients of sums and products are as one would expect for power series. We have an induced ring morphism

$$\mathbb{F}_p[T] \rightarrow \mathbb{F}_p[[T]]$$

It is injective because if one has a polynomial  $f \in \mathbb{F}_p[T]$  which is divisible by  $T^{n+1}$  for all  $n \geq 0$  then  $f = 0$ . Notice in the  $T$ -adic completion,  $1 - T$  has an inverse given by  $\sum_{d \geq 0} T^d$ . Indeed,  $\bmod T^{n+1}$  we have

$$(1 - T)(1 + T + \cdots + T^n) = 1$$

In fact,  $(T)$  is the unique maximal ideal of  $\mathbb{F}_p[[T]]$ . (Hint : One idea is to use geometric series.) The geometric intuition is that  $\mathbb{F}_p[T]$  is the ring of functions on the affine line,  $\mathbb{F}_p[T]/(T)$  is the ring of functions at the point  $\{0\}$  in the affine line.  $\mathbb{F}_p[T]/(T^2)$  is the ring of functions on a subspace of the affine line that's a tiny bit bigger than just  $\{0\}$ . It's not bigger to the point that  $T$  can take on any values other than 0 because  $T^2 = 0$ . But this space is large enough to know about the first derivative of functions at  $\{0\}$ . This is called the *first order infinitesimal neighbourhood* of  $\{0\}$ . Similarly for  $\mathbb{F}_p[T]/(T^{n+1})$ . Finally,  $\mathbb{F}_p[[T]]$  is the ring of functions on the union of these infinitesimal neighbourhoods. The fact that anything outside  $(T)$  is invertible says anything that is non-zero at zero is invertible. In this sense, we still don't have points other than zero.

One can show  $\mathbb{F}_p[[T]]$  is a domain. Let  $f, g \in \mathbb{F}_p[[T]]$  with  $fg = 0$ . Then  $f_0 g_0 = 0$  so WLOG  $f_1 \in \mathbb{F}_p^\times$  and  $g_0 = 0$ . Then  $f_0 g_1 + f_1 g_0 = 0$  implies  $g_1 = 0$ . By induction,  $g_n = 0$  for all  $n \geq 0$  so  $g = 0$ . This means we can take fraction field

$$\mathbb{F}_p((T)) := \text{Frac } \mathbb{F}_p[[T]] \simeq \mathbb{F}_p[[T]][1/T]$$

which intuitively is the ring of functions on the *punctured disk around zero*.

3.  $\mathbb{Z}$  is similar to  $\mathbb{F}_p[T]$  in the sense that elements can be written uniquely as polynomials with coefficients in  $\{0, \dots, p-1\}$ . In  $\mathbb{Z}$  the "variable" is  $p$  and unlike  $\mathbb{F}_p$ , there's a non-trivial "carrying over" of coefficients when adding elements. For example in  $\mathbb{Z}$

$$(0 \cdot 1 + 3 \cdot 5) + (0 \cdot 1 + 2 \cdot 5) = (0 \cdot 1 + 0 \cdot 5 + 1 \cdot 5^2)$$

whilst in  $\mathbb{F}_5[T]$

$$(0 + 3T) + (0 + 2T) = 0$$

An idea is that we can play the same game of completion with  $p$  instead of  $T$ . The resulting ring is called the  *$p$ -adic integers*.

$$\mathbb{Z}_p := \varprojlim_{n \geq 0} \mathbb{Z}/(p^{n+1})$$

By the same argument as for  $\mathbb{F}_p[T]$  we have an injection

$$\mathbb{Z} \rightarrow \mathbb{Z}_p$$

Again, as sets we have

$$\prod_{n \geq 0} \{0, \dots, p-1\} \xrightarrow{\sim} \mathbb{Z}_p$$

$$(a_n)_n \mapsto \left( \sum_{0 \leq d \leq n} a_d p^d \bmod p^{n+1} \right)_n$$

This is not too useful because of the non-trivial “carrying over” of coefficients when adding and multiplying unlike the case of  $\mathbb{F}_p[[T]]$ .

One can also show  $\mathbb{Z}_p$  has  $(p)$  as the unique maximal ideal. The key is that in  $\mathbb{Z}/(p^{n+1})$ ,  $p$  is nilpotent so any maximal ideal must contain  $(p)$ , which is maximal itself. It follows that  $(p)$  is the unique maximal ideal of  $\mathbb{Z}/(p^{n+1})$  for all  $n \geq 0$ . So if  $x \in \mathbb{Z}_p$  with  $x \not\equiv 0 \pmod{p}$ , then  $x \bmod p^{n+1}$  is invertible for all  $n \geq 0$  and hence  $x$  is invertible.<sup>1</sup>

Geometrically, if one pretends  $\mathbb{Z}$  is the ring of functions on some space and  $p$  is a point in that space, then one can imagine  $\mathbb{F}_p = \mathbb{Z}/(p)$  as the ring of functions at the point  $p$  and  $\mathbb{Z}_p$  as the ring of functions on the formal disk around  $p$ .

One can also show  $\mathbb{Z}_p$  is a domain. The key is  $p$ -torsion-free.

*Lemma.* For  $x \in \mathbb{Z}_p$ , if  $px = 0$  then  $x = 0$ .

*Proof.* Write  $x = \sum_{n \geq 0} x_n p^n$  with  $x_n \in \{0, \dots, p-1\} \subseteq \mathbb{Z}$ . Mod  $p^2$  we have  $px_0 = 0$  so  $x_0 = 0$ . Mod  $p^3$  we have  $0 = p(x_1 p + x_2 p^2) = x_1 p^2$  so  $x_1 = 0$ . By induction  $x_n = 0$  for all  $n$ . ■

Let  $x, y \in \mathbb{Z}_p$  with  $xy = 0$ . Writing both as  $p$ -adic expansions with coefficients in  $[0, p-1] \cap \mathbb{Z}$  gives  $x_0 y_0 = 0 \bmod p$  and hence  $x_0 = 0$  or  $y_0 = 0$ . WLOG  $x_0 \in \mathbb{F}_p^\times$  and  $y_0 = 0$ . Then we can write  $0 = xy = px(y_1 + y_2 p + \dots)$  and so  $x(y_1 + y_2 p + \dots) = 0$  in  $\mathbb{Z}_p$ . By induction,  $y_n = 0$  for all  $n$  and hence  $y = 0$ .

Thus, we can take fraction fields and obtain the  $p$ -adic rationals.

$$\mathbb{Q}_p := \text{Frac } \mathbb{Z}_p \simeq \mathbb{Z}_p[1/p]$$

Intuitively, this is the ring of functions on the punctured disk around  $p$ .

4. Why is this useful? Recall in the section on cyclotomic extensions, we proved that  $\mathbb{F}_p^\times$  is cyclic effectively by counting. Let us give a different proof by relating  $\mathbb{F}_p^\times$  with  $(p-1)$ -th roots of unity in  $\mathbb{C}$ , which we know is cyclic because it is generated by  $e^{2\pi i/(p-1)}$ .

Recall we wrote elements of  $\mathbb{Z}_p$  as power series in  $p$  with coefficients by picking  $\{0, \dots, p-1\} \subseteq \mathbb{Z} \subseteq \mathbb{Z}_p$  as lifts of  $\mathbb{F}_p^\times$  under  $\mathbb{Z}_p \rightarrow \mathbb{F}_p$ . This does not reflect the additive nor multiplicative nature of elements in  $\mathbb{F}_p^\times$ . We cannot expect addition of lifts to be respected because  $p = 0$  in  $\mathbb{F}_p$  but  $p \neq 0$  in  $\mathbb{Z} \subseteq \mathbb{Z}_p$ . We now show that there is a better choice of coefficients which is multiplicative. More precisely,

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<sup>1</sup>This strategy also works for  $\mathbb{F}_p[[T]]$ .

*Lemma.* There exists a unique multiplicative map  $[\_] : \mathbb{F}_p \rightarrow \mathbb{Z}_p$  such that  $[x] = x \bmod p$ .

*Proof.* The idea is that for  $x = y \in \mathbb{F}_p = \mathbb{Z}/(p)$ , although we cannot in general lift  $x, y$  so that  $x = y \bmod p^2$  we do have  $x^p = y^p \bmod p^2$  by binomial expansion. Take  $x \in \mathbb{F}_p$ , we define  $[x] \bmod p^{n+1}$  for each  $n \geq 0$ :

- (a) Take  $x^{1/p} \in \mathbb{F}_p$ , which is unique by the Frobenius being bijective.

In general, take  $x^{1/p^n}$ .

- (b) Take *any* lift  $x_1 \in \mathbb{Z}/(p^2)$  of  $x^{1/p}$ .

For general  $n$  take any lift  $x_n \in \mathbb{Z}/(p^{n+1})$  of  $x^{1/p^n}$ .

- (c) Take  $[x]_1 := x_1^p \in \mathbb{Z}/(p^2)$ . This only depends on the value of  $[x]_1 \bmod p$  which is  $[x]_1 = x_1^p = (x^{1/p})^p = x \bmod p$ .

For any  $n$ , take  $[x]_n := x_n^{p^n} \in \mathbb{Z}/(p^{n+1})$ . This depends only on the value of  $[x]_n \bmod p$  which is again  $x$ .

- (d) Because  $(x^{1/p^{n+1}})^p = x^{1/p^n} \bmod p$  we have  $[x]_{n+1} = (x_{n+1}^{p^{n+1}})^p = x_n^{p^n} = [x]_n \bmod p^n$ . This defines  $[x] \in \mathbb{Z}_p$ .

For  $x, y \in \mathbb{F}_p$ ,  $x_n y_n$  lifts  $(xy)^{1/p^n}$  so  $[x]_n [y]_n = x_n^{p^n} y_n^{p^n} = [xy]_n \bmod p^{n+1}$ . It follows that  $[x][y] = [xy]$ . Uniqueness is straightforward to check. ■

In particular,  $[\mathbb{F}_p^\times] \subseteq \mathbb{Z}_p$  gives  $(p-1)$ -th roots of unity! Furthermore,  $\bmod p$  gives a group isomorphism  $[\mathbb{F}_p^\times] \simeq \mathbb{F}_p^\times$ . So we have lifting the  $(p-1)$ -th roots of unity in characteristic  $p$  to characteristic zero.

Now note  $\mathbb{Z} \subseteq \mathbb{Z}_p$  induces a field extension  $\mathbb{Q} \rightarrow \mathbb{Q}_p$  (of infinite degree). Take  $\mathbb{Q}([\mathbb{F}_p^\times])$  which is a finite subextension. This must be a splitting field for  $X^{p-1} - 1 \in \mathbb{Q}[X]$ . By the embedding theorem, we must have some isomorphism  $\mathbb{Q}([\mathbb{F}_p^\times]) \simeq \mathbb{Q}(e^{2\pi i/(p-1)})$  as extensions of  $\mathbb{Q}$  since both are splitting fields of  $X^{p-1} - 1$ . Under this unknown isomorphism,  $[\mathbb{F}_p^\times] \simeq \langle e^{2\pi i/(p-1)} \rangle$ . Thus we conclude  $\mathbb{F}_p^\times$  is cyclic.

5. Define  $\mathbb{F}_p((t^{1/p^\infty}))$  and  $\mathbb{Q}_p^\infty$ . State Fontaine–Winterberger isomorphism. [FW83]

These are examples of *perfectoid fields*. Just as fields generalise to rings, perfectoid fields generalise to *perfectoid rings*. The applications of these rings falls in the area called *p-adic Hodge theory*. Describing this is beyond the scope of these talks. Let's just say they were significant enough to win Peter Scholze the Fields medal in 2018!

6. (If time permits) Tilting  $\mathbb{Q}_p^\infty$  to  $\mathbb{F}_p((t^{1/p^\infty}))$ .

## References

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