

### Proposition – Monodromy Functor<sup>a</sup>

Let  $B \in \mathbf{Top}$  be locally path connected and  $X \in \mathbf{Cov}(B)$ . (It follows that  $X$  is locally path connected.) Then the *monodromy functor* of  $X$  is defined as the  $\Pi_1(B)$ -set :

$$\begin{aligned} \text{Fib}_X : \Pi_1(B) &\rightarrow \mathbf{Set} \\ b &\mapsto \downarrow^{-1} b \\ [\gamma] &\mapsto \text{Fib}_X([\gamma]) : \downarrow^{-1} s(\gamma) \rightarrow \downarrow^{-1} t(\gamma), x \mapsto \gamma_x(1) \end{aligned}$$

where  $\gamma_x$  is any lift of any representative of  $[\gamma]$ , such that  $\gamma_x(0) = x$ . This gives rise to a functor :

$$\text{Fib} : \mathbf{Cov}(B) \rightarrow \mathbf{Set}^{\Pi_1 B}$$

Furthermore, for each  $X \in \mathbf{Cov}(B)$ , we can recover the fundamental groupoid of  $X$  via

$$\int_{\Pi_1(B)} \text{Fib}_X \simeq \Pi_1(X)$$

where the former is the category of elements of  $\text{Fib}_X$ . Hence

- $X$  is path-connected if and only if  $\text{Fib}_X$  is transitive, i.e. for all  $(b, x), (b_1, x_1) \in \int_{\Pi_1(B)} \text{Fib}_X$ , there exists a morphism  $[\gamma]$  of  $\Pi_1(B)$  such that  $\text{Fib}_X([\gamma])(x) = x_1$ .
- for all  $(b, x) \in \int_{\Pi_1(B)} \text{Fib}_X$ ,  $\downarrow \pi_1(X, x) = \text{Stab}(x) \subseteq \pi_1(B, b)$ .

<sup>a</sup>The following doesn't require coverings be surjective?

*Proof.* To define the  $\Pi_1(B)$ -action on fibres, we need to be able to lift paths uniquely *and* for path-homotopies to lift. We first prove paths lift uniquely.

*Lemma (Unique Path Lifting).*

Let  $B \in \mathbf{Top}$ ,  $X \in \mathbf{Cov}(B)$ . Then  $X$  satisfies unique path lifting, meaning for all commuting squares of the form :

$$\begin{array}{ccc} \bullet & \longrightarrow & X \\ 0 \downarrow & \nearrow & \downarrow \\ I & \longrightarrow & B \end{array}$$

there exists a unique morphism in the diagonal such that the whole diagram commutes. Such a diagonal morphism is called a *lift* of the morphism  $I \rightarrow B$ .

*Proof.* Let  $\gamma : I \rightarrow B$  and  $x \in X$  in the fibre over  $\gamma(0)$ . Then there exists a set  $\mathcal{U}$  consisting of opens of  $B$  trivialising  $X$  such that  $\gamma I \subseteq \bigcup \mathcal{U}$ .

The idea is that each  $U \in \mathcal{U}$  allows us to lift a part of  $\gamma I$  and compactness of  $I$  allows for induction. Since  $I$  is compact, there exists a partition  $\{0 = t_0 < \dots < t_n = 1\}$  of  $I$  such that for each  $t_i < t_n$ ,

$\gamma[t_i, t_{i+1}]$  is in some  $U_i \in \mathcal{U}$ . Suppose by induction we have a unique lift  $\overline{\gamma_{n-1}} : [0, t_{n-1}] \rightarrow X$  of  $\gamma : [0, t_{n-1}] \rightarrow B$ . Let  $U_n \in \mathcal{U}$  with  $\gamma[t_{n-1}, t_n] \subseteq U_n$ . Let  $s_n : U_n \rightarrow X$  be a section such that  $\overline{\gamma_{n-1}}(t_{n-1}) \in s_n U_n$ . Then define a lift  $\tilde{\gamma} : I \rightarrow X$  by patching together  $\overline{\gamma_{n-1}}$  and  $s_n \circ \gamma|_{[t_{n-1}, t_n]}$ . This lift is unique because any lift  $\tilde{\gamma} : I \rightarrow X$  of  $\gamma$  must restrict to a lift of  $\gamma : [0, t_{n-1}] \rightarrow B$ , and thus  $\tilde{\gamma}|_{[0, t_{n-1}]} = \overline{\gamma_{n-1}}$  by uniqueness of  $\overline{\gamma_{n-1}}$  and finally  $\tilde{\gamma}$  must also agree with  $\tilde{\gamma}$  on  $[t_{n-1}, t_n]$  since  $\tilde{\gamma}[t_{n-1}, t_n] \subseteq s_n U_n$  and  $s_n$  is a homeomorphism onto its image. ■

For lifting homotopies, we prove a more general lemma :

*Lemma (Unique Homotopy Lifting).*

Let  $B \in \mathbf{Top}$  be locally path connected and  $X \in \mathbf{Cov}(B)$ . (It follows that  $X$  is also locally path connected.) Then  $X$  satisfies unique homotopy lifting with respect to locally connected spaces, meaning for all commuting squares

$$\begin{array}{ccc} Y & \xrightarrow{\quad} & X \\ \mathbb{1}_Y \times 0 \downarrow & \nearrow ! & \downarrow \\ Y \times I & \xrightarrow{\quad} & B \end{array}$$

where  $Y$  is locally connected, there exists a unique morphism in the diagonal such that the diagram commutes. Such a morphism is called a lift of the morphism  $Y \times I \rightarrow B$ .

*Proof. (Existence) (Local Lifts)* Let  $y \in Y$ . We show the existence of an open neighbourhood  $U_y$  of  $y$  with a lift  $\overline{H}_y : V_y \times I \rightarrow X$  of  $H : U_y \times I \rightarrow B$ . Let  $\mathcal{U}$  be an open cover of  $B$  trivialising  $X$ . Since  $B$  is locally path connected, we can WLOG assume  $\mathcal{U}$  consists of path connected opens. Now, for every  $t \in I$ , there exists  $\varepsilon_t > 0$  and  $V_t$  open neighbourhood of  $y$  such that  $HV_t \times [t - \varepsilon_t, t + \varepsilon_t] \subseteq U_t$  for some  $U_t \in \mathcal{U}$ . By compactness of  $I$ , there exists a partition  $\{0 = t_0 < \dots < t_n = 1\}$  of  $I$  and open neighbourhoods  $(V_i)$  of  $y$  such that  $HV_i \times [t_i, t_{i+1}] \subseteq U_i$  for some  $U_i \in \mathcal{U}$ . We can now take  $V_y = \bigcap_i V_i$  as a single open neighbourhood of  $y$  such that  $HV_y \times [t_i, t_{i+1}] \subseteq U_i$  for some  $U_i \in \mathcal{U}$ . We construct a lift  $\overline{H}_y$  inductively. By local connectedness of  $Y$ , we can WLOG assume  $V_y$  is connected, which we will use. Suppose by induction we have a lift  $\tilde{H} : V_y \times [0, t_{n-1}] \rightarrow X$  of  $H : V_y \times [0, t_{n-1}] \rightarrow X$ . Let  $U_n \in \mathcal{U}$  that covers  $HV_y \times [t_{n-1}, t_n]$ . By connectedness of  $V_y$ ,  $\tilde{H}V_y \times t_{n-1}$  lies within the image of a section  $s_n : U_n \rightarrow X$ . Hence we can define a lift  $\overline{H} : V_y \times I \rightarrow X$  by patching together  $\tilde{H}$  and  $s_n \circ H|_{V_y \times [t_{n-1}, t_n]}$ .

*(Global Lift)* We have an open cover  $\mathcal{Y}$  of  $Y$  and for each  $V \in \mathcal{Y}$  a lift  $\overline{H}_V : V \times I \rightarrow X$  of  $H : V \times I \rightarrow B$ . For any two  $V, W \in \mathcal{Y}$ ,  $\overline{H}_V$  and  $\overline{H}_W$  both restrict to lifts of  $V \times I \cap W \times I = (V \cap W) \times I$ . But these give lifts of paths starting in  $V \cap W$  and lifts of paths are unique by the previous lemma, so  $\overline{H}_V$  and  $\overline{H}_W$  agree on  $V \times I \cap W \times I$ . Thus these lifts patch together to give a global lift  $\overline{H} : Y \times I \rightarrow X$  of  $H$ .

*(Uniqueness)* Let  $\overline{H}, \overline{H}_1 : Y \times I \rightarrow X$  be lifts of  $H : Y \times I \rightarrow B$ . Again, these restrict to lifts of paths  $y \times I \rightarrow B$ , which are unique by the previous lemma so  $\overline{H} = \overline{H}_1$ . ■

We can now define the  $\Pi_1(B)$ -action. Let  $[\gamma]$  be a morphism in  $\Pi_1(B)$ . Choose a representative  $\gamma$ . Define

$$\text{Fib}_X([\gamma]) : \downarrow^{-1} s([\gamma]) \rightarrow \downarrow^{-1} t([\gamma]) := x \mapsto \gamma_x(1)$$

where  $\gamma_x : I \rightarrow X$  is the unique lift of  $\gamma$  with  $\gamma_x(0) = x$ . We now need to show this is independent of the choice of  $\gamma$ . Let  $\gamma^1$  be another representative of  $[\gamma]$ . So we have a homotopy  $H : I \times I \rightarrow B$  from  $\gamma$  to  $\gamma^1$  that fixes endpoints. Since  $I$  is locally connected, we have a lift :

$$\begin{array}{ccc} I & \xrightarrow{\gamma_x} & X \\ \downarrow 1_Y \times 0 & \nearrow \overline{H} & \downarrow \\ I \times I & \xrightarrow{H} & B \end{array}$$

We hope that  $\overline{H}$  gives a path-homotopy. Well,

1. Restricted to  $0 \times I$ ,  $\overline{H}$  gives a lift of the constant point  $s([\gamma])$ . By uniqueness of path lifting,  $\overline{H}$  must be constant along  $0 \times I$ . Similarly,  $\overline{H}$  is the constant point  $t([\gamma])$  along  $1 \times I$ .
2. Now restricted to  $I \times 1$ ,  $\overline{H}$  gives a lift of  $\gamma^1$  starting at  $s([\gamma])$ . By uniqueness of path lifting,  $\overline{H}$  must be  $\gamma_x^1$  along  $I \times 1$ .

Hence,  $\overline{H}$  is indeed a homotopy from  $\gamma_x$  to  $\gamma_x^1$  fixing end points, i.e.  $[\gamma_x] = [\gamma_x^1]$ . In particular,  $\gamma_x(1) = \gamma_x^1(1)$  so  $\text{Fib}_X([\gamma])(x)$  is well-defined.

(Fib) Let  $f \in \text{Cov}(Y, X)$ . Then indeed for every morphism  $[\gamma]$  in  $\Pi_1(B)$ , we have

$$\begin{array}{ccc} \text{Fib}_X(s([\gamma])) & \xrightarrow{f} & \text{Fib}_Y(s([\gamma])) \\ \downarrow \text{Fib}_X([\gamma]) & & \downarrow \text{Fib}_Y([\gamma]) \\ \text{Fib}_X(t([\gamma])) & \xrightarrow{f} & \text{Fib}_Y(t([\gamma])) \end{array}$$

since for every  $x$  in the fibre over the source of  $[\gamma]$  and any lift  $\gamma_x$  of  $[\gamma]$  starting at  $x$ ,  $f \circ \gamma_x$  is a lift of  $[\gamma]$  starting at  $f(x)$ .

(Furthermore) We describe the functor  $\int_{\Pi_1(B)} \text{Fib}_X \rightarrow \Pi_1(X)$  :

- for each object  $(b, x)$ , map it to  $x$ .
- for each morphism  $[\gamma] \in \int_{\Pi_1(B)} \text{Fib}_X((b, x), (b_1, x_1))$ , map it to  $[\gamma_x]$  where  $\gamma_x$  is any lift of  $\gamma$  starting at  $x$ . We have seen this is well-defined and by the assumption of  $\text{Fib}_X([\gamma])(x) = x_1$ ,  $[\gamma_x] \in \Pi_1(X)(x, x_1)$  indeed.
- Functoriality follows from uniqueness of path liftings.

The functor is clearly essentially surjective. Faithfulness comes from projecting paths back down  $\Pi_1(X) \rightarrow \Pi_1(B)$ . We have seen fullness.

(Hence) clear. □

*Lemma (Characterisation of Semi-Locally Simply Connected).*

Let  $B \in \mathbf{Top}$  locally path connected. Given an open  $U$  of  $B$  and  $b \in U$ , TFAE :

1.  $U$  is path connected and the obvious morphism  $\pi_1(U, b) \rightarrow \pi_1(B, b)$  is trivial.
2. The following being a bijection :

$$b \downarrow \Pi_1 U \rightarrow U$$

When  $U$  and  $b$  satisfies any (and thus both) of the above, call  $b$  a centre of  $U$ . If  $U$  satisfies the above for some  $b \in U$ , then call  $U$  a centred open.<sup>a</sup>

Then TFAE :

1. There exists an open cover  $\mathcal{U}$  of  $B$  consisting of  $U$  such that every  $b \in U$  is a centre of  $U$ .
2. For every  $b \in B$ , there is a neighbourhood base of opens  $U$  with  $b$  as a centre.
3. There exists a cover  $\mathcal{U}$  of  $B$  consisting of centred opens.

We say  $B$  is semi-locally simply connected when it satisfies any (and thus all) of the above.

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<sup>a</sup>I made up this terminology to avoid repeating long phrases in the proof.

*Proof.* ( $1 \Leftrightarrow 2$  for  $U$  and  $b$ )  $U$  being path connected corresponds to  $b \downarrow \Pi_1 U \rightarrow U$  being surjective. It suffices to prove  $\pi_1(U, b) \rightarrow \pi_1(B, b)$  trivial if and only if  $b \downarrow \Pi_1 U \rightarrow U$  injective. Forwards, given two morphisms  $[\gamma], [\gamma_1]$  in  $\Pi_1 U$  with source at  $b$  and same target,  $[\gamma]^{-1}[\gamma_1] \in \pi_1(U, b)$ . Triviality of  $\pi_1(U, b) \rightarrow \pi_1(B, b)$  implies  $[\gamma] = [\gamma_1]$  as morphisms in  $\Pi_1 B$ , in particular in  $\Pi_1 U$ . The converse is easy.

Now for equivalent conditions of  $B$  semi-locally simply connected. ( $1 \Rightarrow 2$ ) Use local path connectedness of  $B$  and functoriality of  $\pi_1(-, b)$ . ( $2 \Rightarrow 3$ ) Obvious. ( $3 \Rightarrow 1$ ) Let  $\mathcal{U}$  be an open cover of  $B$  such that for all  $U \in \mathcal{U}$ , there exists a centre  $b$  of  $U$ . It suffices to show for other  $b_1 \in U$ ,  $\pi_1(U, b_1) \rightarrow \pi_1(B, b_1)$  is also trivial. By assumption, there exists  $[\gamma] \in \Pi_1 U(b, b_1)$ , so we have a commutative square

$$\begin{array}{ccc} \pi_1(U, b) & \xrightarrow{1} & \pi_1(X, b) \\ \cong \downarrow & & \downarrow \cong \\ \pi_1(U, b_1) & \longrightarrow & \pi_1(X, b_1) \end{array}$$

where the vertical maps are “conjugation” by  $[\gamma]$ . This proves the bottom horizontal morphism is trivial. □

### Proposition – Fundamental Theorem of Covering Spaces <sup>a</sup>

Let  $B \in \mathbf{Top}$  be locally path connected and semi-locally simply connected. Consider the functor  $\int_{\Pi_1 B} : \mathbf{Set}^{\Pi_1 B} \rightarrow \mathbf{Set} \downarrow B$  that sends  $X$  to its category of elements  $\int_{\Pi_1 B} X$ , which we then view as a set with a set-morphism down to  $B$ . Then

1. we can promote the  $\int_{\Pi_1 B}$  to a functor  $\mathbf{Set}^{\Pi_1 B} \rightarrow \mathbf{Cov} B$ .
2.  $\int_{\Pi_1 B}$  and  $\mathbf{Fib}$  form an equivalence of categories

$$\mathbf{Cov}(B) \simeq \mathbf{Set}^{\Pi_1 B}$$

<sup>a</sup>As called on nLab page on covering space.

*Proof.* (1) Let  $\rho \in \mathbf{Set}^{\Pi_1 B}$ . By assumption,  $B$  has a topological base of centred opens. These will give the topology on  $\int_{\Pi_1 B} \rho$  as well as the trivialising opens of the projection.

(1)(*Topology*) For  $(b, x) \in \int_{\Pi_1 B} \rho$  and an open  $U$  of  $B$  centred at  $b$ , define

$$U[b, x] := \{\rho([\gamma])(x) \mid [\gamma] \in b \downarrow \Pi_1 U\}$$

Then the set of these give a topological base because centred opens form a topological base for  $B$ . We topologise  $\int_{\Pi_1 B} \rho$  using this topological base.

(1)(*Projection Topological*) To show  $\int_{\Pi_1 B} \rho \rightarrow B$  is a morphism of topological spaces, since  $B$  has centred opens as a topological base, it suffices for each centred  $U$ , say at some  $b$ , to have preimage

$$\downarrow^{-1} U = \bigsqcup_{x \in \rho(b)} U[b, x]$$

The equality is straight forward from  $U$  being centred at  $b$  and disjoint union follows from  $\Pi_1 U$  being a groupoid.

(1)(*Covering*) We saw already that  $\downarrow^{-1} U = \bigsqcup_{x \in \rho(b)} U[b, x]$  as sets. Since  $\int_{\Pi_1 B} \rho$  has topology generated by the  $U[b, x]$ 's, to show  $U[b, x] \rightarrow U$  is a topological isomorphism, it suffices to show for all  $U$  with centres  $b$  and  $x \in \rho(b)$ ,  $U[b, x]$  maps to  $U$  bijectively. And it does because  $U$  is centred!

(1)(*Functorial*) Let  $f \in \mathbf{Set}^{\Pi_1 B}(\rho, \rho_1)$ . We need to show the induced  $f_* : \int_{\Pi_1 B} \rho \rightarrow \int_{\Pi_1 B} \rho_1$  is a topological morphism over  $B$ . Suffices for basic opens  $U[b, y]$  of  $\int_{\Pi_1 B} \rho_1$  to have preimage

$$\downarrow^{-1} U[b, y] = \bigcup_{x \in f_b^{-1}(y)} U[b, x]$$

where  $f_b : \rho(b) \rightarrow \rho_1(b)$ .  $\supseteq$  is clear. Let  $(b_1, x_1)$  be in the preimage of  $U[b, y]$ . By definition, there exists  $[\gamma] \in \Pi_1 U(b, b_1)$  with  $\rho[\gamma](y) = f_{b_1}(x_1)$ . Then by naturality of  $f$ , we have

$$\begin{array}{ccc} \rho(b) & \xrightarrow{f_b} & \rho_1(b) \\ \rho[\gamma] \downarrow & & \downarrow \rho_1[\gamma] \\ \rho(b_1) & \xrightarrow{f_{b_1}} & \rho_1(b_1) \end{array}$$

Since  $\Pi_1 B$  is a groupoid,  $\rho[\gamma]$  are isomorphisms of sets, so there exists  $x \in \rho(b)$  with  $\rho[\gamma](x) = x_1$  and  $f_b(x) = y$ , i.e. we have the other inclusion.

(2) ( $\text{Fib} \circ \int_{\Pi_1 B} \cong \mathbb{1}$ ) We know that  $\text{Fib} \left( \int_{\Pi_1 B} \rho \right) (b) = \rho(b)$  for  $b \in \Pi_1 B$ . So it suffices that for all morphisms  $[\gamma]$  in  $\Pi_1 B$ ,

$$\text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma] = \rho[\gamma]$$

which will actually show  $\text{Fib} \circ \int_{\Pi_1 B} = \mathbb{1}$ . The left is topological and right is algebraic. We bridge from left to right by using compactness of paths to break  $[\gamma]$  into finitely many pieces that lie within basic opens, where things are algebraic.

Let  $[\gamma]$  be a morphism in  $\Pi_1 B$ . Since  $B$  is semi-locally simply connected, we have an open cover  $\mathcal{U}$  of  $B$  such that every  $b \in U$  is a centre of  $U$ . By compactness of  $[0, 1]$ , there exists morphisms  $[\gamma_0], \dots, [\gamma_n]$  in  $\Pi_1 B$  such that  $[\gamma] = [\gamma_n] \circ \dots \circ [\gamma_0]$  and  $[\gamma_i]$  is  $\Pi_1 U_i$  for some  $U_i \in \mathcal{U}$ . Let  $x \in \rho(s[\gamma]) = \rho(s[\gamma_0])$ . By unique path lifting,

$$\left( \text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma_0] \right) (x) \in U_0 [s[\gamma_0], x] \cap \rho(t[\gamma_0]) = U_0 [t[\gamma_0], \rho[\gamma_0](x)] \cap \rho(t[\gamma_0]) = \{\rho[\gamma_0](x)\}$$

Thus  $\left( \text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma_0] \right) (x) = \rho[\gamma_0](x)$ . The same goes for all  $i$ , giving

$$\text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma] = \text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma_n] \circ \dots \circ \text{Fib}_{\int_{\Pi_1 B} \rho} [\gamma_0] = \rho[\gamma_n] \circ \dots \circ \rho[\gamma_0] = \rho[\gamma]$$

(2) ( $\int_{\Pi_1 B} \circ \text{Fib} \cong \mathbb{1}$ ) There's an obvious set isomorphism :

$$\int_{\Pi_1 B} \text{Fib}_X \rightarrow X$$

We have a topological base of  $B$  consisting of centred opens, which *also* trivialise  $X$ , so  $X$  also has a topological base consisting of centred opens, which map isomorphically down to centred opens of  $B$ . Let us call such opens of  $X$  basic for duration of the rest of the proof. It suffices for preimage of basic opens of  $X$  to be open. Let  $U$  be a basic open of  $X$  and  $x$  a centre of  $U$ . Let  $b \in B$  be the projection of  $x$  and  $U_0$  the projection of  $U$ . The claim is that the preimage of  $U$  is  $U_0[b, x]$ . This is clear since

$$\begin{array}{ccc} U_0[b, x] & \longrightarrow & U \\ & \searrow \cong & \downarrow \\ & & U_0 \end{array}$$

□