

Notes on Delta Sets

Ken Lee

Spring 2021

Things that I have not formalised (yet) but are there for intuition are put in quotation marks.

Remark – Motivation for Singular Homology. Consider these three scenarios :

1. There's no doubt that a circle $S^1 := \{x \in \mathbb{R}^2 \mid |x| = 1\}$ has a “hole” in the middle.
2. Going one dimension down, it is clear as day that the space $\{0, 1\} \subseteq \mathbb{R}$ has a “hole” in between the two points.
3. Finally, in one dimension up, it is clear that a sphere $S^2 := \{x \in \mathbb{R}^3 \mid |x| = 1\}$ has a “hole” inside it.

What do these have in common that makes us think there is a “hole”? Well,

1. $S^1 = \partial D^2$, the boundary of the disk $D^2 := \{x \in \mathbb{R}^2 \mid |x| \leq 1\}$. But D^2 is *not* part of the space S^1 . So S^1 is *supposed to be* the boundary of D^2 , which isn't there.
2. Similarly, $\{0, 1\}$ is *supposed to be* the boundary of $[0, 1]$, but $[0, 1]$ is not part of the space $\{0, 1\}$.
3. Finally, S^2 is *supposed to be* the boundary of D^3 but isn't because D^3 is not part of the space S^2 .

One point of view of homology of spaces is to formalize “ n -dimensional holes in X ” as exactly this : “subspaces of X that are *supposed to be* boundaries of $(n + 1)$ -dimensional subspaces, but aren't.”

However, since general spaces and subspaces are difficult to work with, we use approximations of them using “generalised triangles” called *simplices*, which are simpler in the sense that they are more combinatorial in nature. So we begin by formalising how to “build a space out of simplices”. For this, we first extract the combinatorial essence of simplices.

1 Spaces made from Simplices

Remark – Key Observation about Simplices. An “ n -dimensional simplex” Δ^n is completely described by a “spine”, which is a choice of ordering of its vertices $\{v_0 \leq \dots \leq v_n\}$ such that v_k, v_{k+1} are adjacent. “ k -dimensional subsimplices” correspond exactly to sub-posets of cardinality k . We thus axiomatize simplices and “how they interact with each other” as follows.

Definition – Strict Simplex Category

Define the *strict simplex category*, Δ , as follows :

- the objects are $[n] := \{0 \leq \dots \leq n\}$, one for each $n \in \mathbb{N}$.

- for $m, n \in \mathbb{N}$, the set of morphisms from $[n]$ to $[m]$, denoted $\Delta([n], [m])$, is the set of *injective* order preserving functions from $[n]$ to $[m]$.^a

For $n \in \mathbb{N}$ and $0 \leq k \leq n + 1$, we use \uparrow_n^k to denote $[n] \rightarrow [n + 1]$ by missing out the k -th vertex.

^aOne doesn't have to restrict to injective maps. Allowing for all order preserving maps yields what's called the *simplex category*, after which I have named the *strict* simplex category. (Non-standard terminology.) This is indeed more flexible in the sense that we are allowed to "collapse higher dimensional simplices into lower dimensional ones", but it becomes significantly more difficult to work with. See the final example of Δ -sets in this section and *simplicial sets*.

Lemma. Morphisms in Δ are "generated by the morphisms \uparrow_n^k ".

Proof. Combinatorics. □

Remark – Passing from Algebra to Geometry. Whilst Δ captures the combinatorial essence of simplices, we have actual, "geometric" simplices in **Top** given by

$$|\Delta_n| := \left\{ t \in \mathbb{R}^{[n]} \mid \sum_{k \in [n]} t_k = 1, t_k \geq 0 \right\}$$

In a sense, we can "realise" our abstraction Δ into **Top** by sending each $[n] \mapsto |\Delta_n|$. This yields the following.

Definition – Standard Realisation of Simplices

The *standard realisation* is the functor $|\cdot| : \Delta \rightarrow \mathbf{Top}$ taking $[n] \mapsto |\Delta_n|$ and morphisms $\uparrow_n^k \in \Delta([n], [n + 1])$ to embedding in boundary $|\Delta_n| \rightarrow |\Delta_{n+1}|$ by missing out the k -th vertex and keeping ordering of vertices preserved.

Remark – What is a "space made out of simplices". There are two philosophies.

1. ("Set Theory Approach") "A space X made out of simplices" is obtained by "gluing $|\Delta_n|$'s together across different n ", concretely, via taking disjoint union of a bunch of $|\Delta_n|$ and then quotient by an equivalence relation, finally giving this set the appropriate topology.
2. ("Functor of Points Approach") If X is "made out of simplices", its data should be completely described by how the simplices are put together. So we can just define "a space X made of simplices" as a "blueprint for putting simplices together".

Both philosophies have their advantages and we will use both, but starting from the second philosophy. ¹

So what's a "blue print for putting simplices together"? We start from what we want and work backwards to a definition. For brevity, let us refer to the "category of spaces made of simplices" as $\Delta\mathbf{Set}$ and its objects as Δ -sets. So let $X \in \Delta\mathbf{Set}$. We make the following observations :

- Since simplices themselves are also "made out of simplices", we should have a set of morphisms from the n -simplex $[n]$ into X .

$$X_n := \text{"set of morphisms from } n\text{-simplex into } X\text{"}$$

¹I have a preference towards the functor of points philosophy because : 1) It is cleaner since it avoids all those small details that come with working with the underlying set, 2) it's arguably more pictorial. 3) This philosophy also features prominently in modern formulations of algebraic geometry, so early exposure is good.

- We should be able to restrict any $\sigma \in X_{n+1}$ along the morphisms \uparrow_n^k , resulting in an element of X_n . In other words, for every $[n] \in \Delta$ and $0 \leq k \leq n+1$, we should have a *backwards* set map

$$X(\uparrow_n^k) : X_{n+1} \rightarrow X_n$$

- The above should satisfy some obvious properties :
 - “restricting along identity $\mathbb{1}_{[n]}$ doesn’t do anything”. i.e. $X(\mathbb{1}_{[n]}) = \mathbb{1}_{X_n}$.
 - “restrict along g then along f should be the same as restricting along $g \circ f$ ”. i.e. $X(g \circ f) = X(f) \circ X(g)$.

And we have arrived at a “blueprint for putting simplices together”. This is exactly what’s called a *presheaf* on Δ .

Let us think a bit more about Δ -sets. Specifically, if these are to be spaces, we should be able to *map between them*. Let $X, Y \in \Delta\text{Set}$. Then what should an $f \in \Delta\text{Set}(X, Y)$ be? Well, such an f should allow us to do the following :

- For each $[n]$, we should be able to compose morphisms $[n] \rightarrow X$ with $f : X \rightarrow Y$ to get a morphism $[n] \rightarrow Y$. In other words, we should have for each $[n]$, a map of sets :

$$f_n : X_n \rightarrow Y_n$$

- “composition with f then restriction should be the same as restriction then composition with f ”. i.e. for $\uparrow_n^k \in \Delta([n], [n+1])$, we should have the commutative square :

$$\begin{array}{ccc} X_{n+1} & \xrightarrow{f_{n+1}} & Y_{n+1} \\ \downarrow X(\uparrow_n^k) & & \downarrow Y(\uparrow_n^k) \\ X_n & \xrightarrow{f_n} & Y_n \end{array}$$

The above two pieces of data is exactly what’s called a *natural transformation of presheaves on Δ* , and what we take as a “morphism of Δ -sets”.

Definition – Delta Sets

Define ΔSet as the category of functors $\Delta^{op} \rightarrow \text{Set}$, with natural transformations as morphisms.^a We refer to objects of ΔSet as Δ -sets. We will use $\Delta\text{Set}(X, Y)$ to denote the set of morphisms of Δ -sets from X to Y .

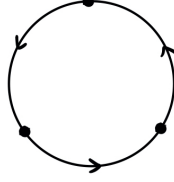
For $X \in \Delta\text{Set}$ and $[n] \in \Delta$, call elements of X_n the *n-faces* of X . In particular, $n = 0, 1, 2$ are called vertices, edges, faces respectively.

For $A, X \in \Delta\text{Set}$, A is called a Δ -subset of X when for each $[n] \in \Delta$, $A_n \subseteq X_n$.

^a Δ^{op} denotes the opposite category of Δ . Same objects, morphisms reversed in direction.

Remark. A great exercise now is to draw some shapes made by putting together points, lines, triangles, n -simplices generally and try to write them down as a functor $\Delta^{op} \rightarrow \text{Set}$. Here are important ones to try, some of which I’ve demonstrated the procedure.

*Example (Boundary of circle, in three pieces).
The picture is*



The sets are :

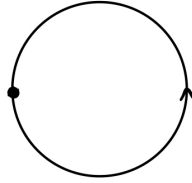
- $X_0 := \{v_0, v_1, v_2\}$, representing the three maps of 0-simplex into this space at the three vertices.
- $X_1 := \{e_0, e_1, e_2\}$, representing the three maps of 1-simplex into this space at the three edges.
- $X_n := \emptyset$ for $n \geq 2$, representing the fact that there are no (injective) maps of n -simplex into this space.

Now, how these simplices are put together.

- For $n = 0$.
 - $X(\uparrow_0^0) : X_1 \rightarrow X_0, e_0, e_1, e_2 \mapsto v_1, v_2, v_0$. This represents the fact that when viewed as maps from the 1-simplex into X , e_0, e_1, e_2 restrict to v_1, v_2, v_0 along \uparrow_0^0 .
 - $X(\uparrow_0^1) : X_1 \rightarrow X_0, e_0, e_1, e_2 \mapsto v_0, v_1, v_2$. This represents restriction along $\uparrow_0^1: [0] \rightarrow [1]$, i.e. picking out the starting point.
- For $n \geq 1$, there's nothing to do.

This defines $X = (X_n) \in \Delta\mathbf{Set}$. Note that in X , every n -face is determined uniquely by its set of vertices. These are called simplicial complexes. Historically, this was one of the candidate definitions for “space made of triangles”, however this is “too rigid” in the sense that it may take a lot of simplices to “approximate a space”, so it is impractical for computations. An “approximation using a simplicial complex” is called a triangulation.

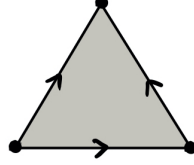
*Example (Boundary of circle, in one piece).
The picture is*



This demonstrate why delta sets are better than simplicial complexes in terms of computation.

Example (n -simplices themselves).

The picture is of the 2-simplex, which we'll denote with Δ_2 .



For $n \geq 3$, we have no ways of mapping the n -simplex (injectively) into Δ_2 . For $n = 2$, we have one way, which corresponds to the identity. So define $(\Delta_2)_2 := \{ \mathbb{1}_{[2]} \}$. Restricting this to the boundary edges by omitting vertices gives the three ways of mapping the 1-simplex into Δ_2 . This amounts to defining $(\Delta_2)_1 := \{ \uparrow_1^0, \uparrow_1^1, \uparrow_1^2 \}$ and for

$$\begin{aligned} X(\uparrow_1^0) : \mathbb{1}_{[2]} &\mapsto \uparrow_1^0 \\ X(\uparrow_1^1) : \mathbb{1}_{[2]} &\mapsto \uparrow_1^1 \\ X(\uparrow_1^2) : \mathbb{1}_{[2]} &\mapsto \uparrow_1^2 \end{aligned}$$

These then restrict in two ways to give the three ways of mapping the 0-simplex into Δ_2 . In other words, we define $(\Delta_2)_0 := \{ (0), (1), (2) \}$ where the elements represent mapping 0-simplex into the 0-th, 1-st, and 2-nd vertex. It follows naturally to define :

$$\begin{aligned} X(\uparrow_0^0) : \uparrow_1^0, \uparrow_1^1, \uparrow_1^2 &\mapsto (2), (2), (1) \\ X(\uparrow_0^1) : \uparrow_1^0, \uparrow_1^1, \uparrow_1^2 &\mapsto (1), (0), (0) \end{aligned}$$

This finishes the definition of $\Delta_2 \in \Delta\mathbf{Set}$. The curious thing is the following, which you may or may not have noticed : Δ_2 is exactly $\Delta(-, [2])$, the functor of morphisms into $[2]$.

It's not hard to see that more generally, the delta set representing the n -simplex is $\Delta(-, [n])$, which we will denote with Δ_n . This gives a functor $\Delta \rightarrow \Delta\mathbf{Set}$, $[n] \mapsto \Delta_n$, which is an example of the Yoneda embedding.^a The point it makes is the following : We began making spaces out of simplices by axiomatizing simplices. But simplices themselves ought to be spaces made of simplices. In particular, the n -simplex is made exactly by putting together simplices according to how they map into the n -simplex.

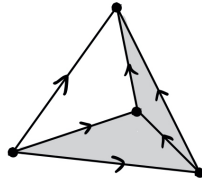
A conceptual exercise is to prove Yoneda's lemma for Δ -sets : Let $X \in \Delta\mathbf{Set}$ and $[n] \in \Delta$. Then we have a bijection of sets, functorial in both X and $[n]$,

$$\Delta\mathbf{Set}(\Delta_n, X) \cong X_n$$

The right is what we thought of as the morphisms from the n -simplex into X , and the left is actual morphisms from the n -simplex into X , that is, as Δ -sets.

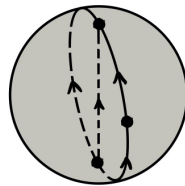
^aEmbedding means full and faithful.

Example (A portion of an n -simplex).
The following is a picture of a portion of Δ_2 .



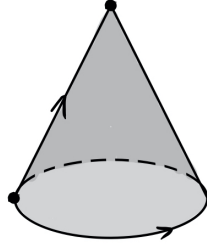
Writing this up as a Δ -set X , one should see that it is a Δ -subset of Δ_2 . In topos theory, subfunctors of Yoneda embeddings of objects are also called sieves. This example demonstrates how you can think of sieves as “picking out a portion of the object”.

Example (A slightly more elaborate shape).
(Stolen from alg top cw3.)

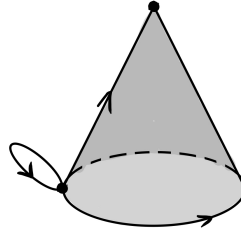


This is meant to have two faces, four edges, and two vertices. One of the edges is meant to be “a pole inside the sphere”.

Example (A non-example).
Ice cream cone. One face, two edges, and two vertices.



The reason that this cannot be written as a Δ -set is that one of the restrictions of the unique face is a “degenerate” edge. It has been collapsed to the point in the bottom left, which we will call v_0 . What happens if one forcefully adds a third edge \bar{e} from v_0 to itself, trying to represent the “degenerate” edge? All this does is add a loop around v_0 , changing the shape.



We could try and “fill in” this loop by adding in a face, but then we just need to add more “degenerate” edges for the boundaries of the filled in loop, which requires more faces, and so on.

The point of this example is to show that Δ -sets don’t have enough data to describe “degenerate” n -faces. By throwing in all the “degenerate” n -faces that “should be there”, one obtains exactly a simplicial set. This is nice, but as this example hopefully have demonstrated, there will suddenly be a lot of faces, which again makes computation unwieldy. For this reason, we do not pursue simplicial sets further in this document.^a

^aI will however remark that it should be true that the data of a simplicial set is *essentially* given by all its “non-degenerate” faces. This should appear in the form of a fully faithful functor from ΔSet to $s\text{Set}$, the category of simplicial sets.

Remark – Realising Δ -Sets. We discussed before how to realize the simplices by $|\cdot| : \Delta \rightarrow \mathbf{Top}, [n] \mapsto |\Delta_n|$. We now show how this extends to $|\cdot| : \Delta\text{Set} \rightarrow \mathbf{Top}$ and the fundamental result that links the two philosophies of “making spaces out of simplices”.

Proposition – Adjunction of Geometric Realisation and Nerve

For $X \in \mathbf{Top}$, we define Δ -approximations^a of X as Δ -subsets of the Δ -set $\mathbf{Top}(|\cdot|, X)$ of all topological morphisms of the realisations of simplices into X . In algebraic topology, the largest Δ -approximation, $\mathbf{Top}(|\cdot|, X)$ itself, is called the *singular Δ -set of X* , often denoted with $S(X)$. In category theory, it is called the *nerve of X* , often denoted with $N(X)$. We follow the latter notation. This defines a functor $N : \mathbf{Top} \rightarrow \Delta\text{Set}$ called the *nerve functor*.

Define the functor $|\cdot| : \Delta\text{Set} \rightarrow \mathbf{Top}$ as follows :

- (On objects) Let $X \in \Delta\mathbf{Set}$. We build $|X|$ inductively : define the *zero skeleton* of X as $|X|_0 := X_0$ the discrete space. Then define the $(k+1)$ -*skeleton* of X by the pushout diagram in \mathbf{Top} :

$$\begin{array}{ccc} |X|_k & \longrightarrow & |X|_{k+1} \\ \uparrow & & \uparrow \\ \sum_{\sigma \in X_{k+1}} \sum_{l=0}^{k+1} |\Delta_k| & \longrightarrow & \sum_{\sigma \in X_{k+1}} |\Delta_{k+1}| \end{array}$$

where the left vertical arrow is from the UP of coproduct and the construction of $|X|_k$, and the bottom horizontal arrow is from the UP of the coproduct and the mappings $|\uparrow_k^0|, \dots, |\uparrow_k^{k+1}| : |\Delta_k| \rightarrow |\Delta_{k+1}|$.

Finally, the *geometric realisation* of X is defined as the colimit $|X| := \varinjlim_{k \in \mathbb{N}} |X|_k$.

- (On morphisms) induced by UPs.

The functor $|\cdot| : \Delta\mathbf{Set} \rightarrow \mathbf{Top}$ is called *geometric realisation*.

Then we have the following :

1. Geometric realisation extends the standard realisation of simplices, meaning we have the following commuting triangle of functors :

$$\begin{array}{ccc} \Delta & \xrightarrow{|\cdot|} & \mathbf{Top} \\ \Delta(-) \downarrow & \nearrow |\cdot| & \\ \Delta\mathbf{Set} & & \end{array}$$

2. (Adjunction) For $X \in \Delta\mathbf{Set}$ and $Y \in \mathbf{Top}$, we have the following bijection, functorial in X and Y :

$$\mathbf{Top}(|X|, Y) \cong \Delta\mathbf{Set}(X, \mathbf{Top}(|\cdot|, Y))$$

i.e. a topological morphism from the geometric realisation of X to Y consists of the same data as a choice of topological morphism $|\Delta_n| \rightarrow Y$ for each n -face in X “according to the blueprint of how to make X out of simplices”.

^aThis is non-standard terminology.

Proof. (1) Easily checked. (2) There’s a category-theoretic proof using the so-called *density theorem*, which is a small step away from Yoneda’s lemma. However, giving the explicit bijection is equally easy, enlightening, and ultimately, tautological.

We describe the forward map. Let $\varphi \in \mathbf{Top}(|X|, Y)$ and let $\varphi^\sharp \in \Delta\mathbf{Set}(X, \mathbf{Top}(|\cdot|, Y))$ be the corresponding morphism of Δ -sets we are about to define. The map of sets $(\varphi^\sharp)_n : X_n \rightarrow \mathbf{Top}(|\Delta_n|, Y)$ is defined by

mapping $\sigma \in X_n$ to the composition :

$$|\Delta_n| \rightarrow \sum_{\sigma \in X_n} |\Delta_n| \rightarrow |X_n| \rightarrow |X| \xrightarrow{\varphi} Y$$

This is easily checked to define a morphism of Δ -sets.

We also describe the inverse map. Let $\alpha \in \Delta\text{Set}(X, \mathbf{Top}(|\cdot|, Y))$. To define a map $|X| \rightarrow Y$, it suffices to give a compatible system of topological morphisms $|X|_n \rightarrow Y$. Define this inductively. For $n = 0$, $|X|_0 \rightarrow Y$ is defined as α_0 . For $n = k + 1$, define $|X|_{k+1} \rightarrow Y$ by using its UP as pushout.

Checking these are inverses and are functorial in X, Y is straightforward. It is a good exercise to be able to “see” that these are inverses and functorial in X, Y without writing out all the details.

□

Remark. The motivation of the next definition is evident.

Definition – Good Δ -Approximations

Let $X \in \mathbf{Top}$. A good Δ -approximation^a for X is a Δ -approximation \tilde{X} of X such that the corresponding topological morphism $|\tilde{X}| \rightarrow X$ under the realisation, nerve adjunction is an isomorphism.

^aNon-standard terminology.

Remark – “Delta Complexes”. In Hatcher, good Δ -approximations are called Δ -complex structures for X . The following lemma proves the equivalence with Hatcher’s definition.

Lemma (Hatcher’s Delta Complexes). Let $X \in \mathbf{Top}$, \tilde{X} a Δ -approximation of X . Then TFAE :

1. \tilde{X} is a good Δ -approximation for X .
2. We have the two conditions :
 - (Topology) For all subsets U of X , U is open if and only if for all $[n] \in \Delta$ and $\sigma \in \tilde{X}_n$, $\sigma^{-1}U \subseteq |\Delta_n|$ is open.
 - (Bijective) For all $[n] \in \Delta$ and $\sigma \in \tilde{X}_n$, $\sigma : |\overset{\circ}{\Delta}_n| \rightarrow X$ is injective. Furthermore, for all $x \in X$, there exists a unique $[n] \in \Delta$ and $\sigma \in \tilde{X}_n$ such that $x \in \sigma|\overset{\circ}{\Delta}_n|$.

Proof. The proof essentially comes down to studying the structure of $|\tilde{X}|$.

(1 \Rightarrow 2) WLOG $X = |\tilde{X}|$. We first note that in the definition of $|\tilde{X}|_{k+1}$:

$$\begin{array}{ccc} |\tilde{X}|_k & \longrightarrow & |\tilde{X}|_{k+1} \\ \uparrow & & \uparrow \\ \sum_{\sigma \in \tilde{X}_{k+1}} \sum_{l=0}^{k+1} |\Delta_k| & \longrightarrow & \sum_{\sigma \in \tilde{X}_{k+1}} |\Delta_{k+1}| \end{array}$$

since the bottom horizontal arrow is an isomorphism onto a subspace, so is the top horizontal arrow. Then since each $|\tilde{X}|_k \rightarrow |\tilde{X}|_{k+1}$ is an isomorphism onto a subspace and $|\tilde{X}|_0 \rightarrow |\tilde{X}|_1 \rightarrow \cdots$ is a filtered system, it follows that each morphism $|\tilde{X}|_k \rightarrow |\tilde{X}|$ is an isomorphism onto a subspace.

(*Topology*) By our construction of $|\tilde{X}|$, $U \subseteq X$ is open if and only if for all $[n] \in \Delta$, the preimage of U in $|\tilde{X}|_n$ is open. Then by the inductive construction of $|\tilde{X}|_{k+1}$ as a pushout, it can be proven using induction that the above is equivalent to $\sigma^{-1}U \subseteq |\Delta_n|$ being open for all $\sigma \in \tilde{X}_n$ for all $[n]$.

(*Bijjective*) Let $x \in \tilde{X}$. Viewing each skeleta $|\tilde{X}|_k$ as a subspace of $|\tilde{X}|$, we have $|\tilde{X}| = \bigcup_k |\tilde{X}|_k$. For any $k \in \mathbb{N}$, we note that the composition

$$\sum_{\sigma \in \tilde{X}_{k+1}} |\Delta_{k+1}|^\circ \rightarrow \sum_{\sigma \in \tilde{X}_{k+1}} |\Delta_{k+1}| \rightarrow |\tilde{X}|_{k+1}$$

is again an isomorphism onto a subspace and hence we have

$$|\tilde{X}|_{k+1} = |\tilde{X}|_k + \sum_{\sigma \in \tilde{X}_{k+1}} |\Delta_{k+1}|^\circ$$

where we viewed $\sum_{\sigma \in \tilde{X}_{k+1}} |\Delta_{k+1}|^\circ$ as a subspace of $|\tilde{X}|_{k+1}$. It follows that $|\tilde{X}| = \sum_{[k] \in \Delta} \sum_{\sigma \in X_k} |\Delta_k|^\circ$, from which the desired result is easily seen.

($2 \Rightarrow 1$) As seen above, (*Bijjective*) implies the topological morphism $|\tilde{X}| \rightarrow X$ is bijective. Then (*Topology*) ensures the morphism is a topological isomorphism.

□