

*Remark.* A scheme will be a “space modelled on  $\mathbb{M}$  with an open cover by affine schemes”. Since every “space” in  $\mathbb{M}\mathbf{Set}$  is modelled on  $\mathbb{M}$ , we first define the notion of open covers for affine schemes, then define it for general  $X \in \mathbb{M}\mathbf{Set}$ .

We define closed subfunctors of affine schemes first, then define open ones as “complements” of closed.

### Definition – Closed Subfunctors of Affine Schemes

Let  $X \in \mathbf{Aff}$ . For  $I \in \text{Ideal } \mathcal{O}(X)$ , define the *vanishing of  $I$* ,  $V(I) \in \mathbf{SubMSet}(X)$ , by

$$A \in \mathbb{M}^{op} \mapsto \left\{ \varphi \in \mathbf{Aff}(\text{Sp } A, X) \mid I \subseteq \ker \varphi^\flat \right\}$$

In particular, for  $I = (f)$ , we write  $V(f)$  instead of  $V((f))$ . A subfunctor  $Z$  of  $X$  is called *closed* when there exists  $I \in \text{Ideal } \mathcal{O}(X)$  such that  $Z = V(I)$ .

### Proposition – Basic Facts about Closed Subfunctors

Let  $X \in \mathbf{Aff}$ . Then the following are true :

- (Closed Subfunctors are Affine) For  $I \in \text{Ideal } \mathcal{O}(X)$ ,  $V(I)$  is representable by  $\mathcal{O}(X)/I$  and  $I = \ker(\mathcal{O}(X) \rightarrow \mathcal{O}(V(I)))$ . Hence for any closed subfunctor  $Z$  of  $X$ ,  $Z$  is an affine scheme and  $Z = V(I(Z))$  where  $I(Z) := \ker(\mathcal{O}(X) \rightarrow \mathcal{O}(Z))$  is called the *ideal of definition of  $Z$* .
- (Ideals, Vanishing Adjunction) For  $I, J \in \text{Ideal } \mathcal{O}(X)$ ,  $I \subseteq J$  if and only if  $V(J) \subseteq V(I)$ .
- (Arbitrary Intersection of Closed) Let  $\mathcal{X}$  be a collection of closed subfunctors of  $X$ . Then  $\bigcap_{Z \in \mathcal{X}} Z = V(\sum_{Z \in \mathcal{X}} I(Z))$ .
- (Base Change / “Preimage of Closed are Closed”) Let  $\varphi \in \mathbf{Aff}(Y, X)$ ,  $I \in \text{Ideal } \mathcal{O}(X)$ . Let the following be a pullback diagram :

$$\begin{array}{ccc} Y & \xrightarrow{\varphi} & X \\ \uparrow & & \uparrow \\ \varphi^{-1}V(I) & \longrightarrow & V(I) \end{array}$$

Then  $\varphi^{-1}V(I) = V(\mathcal{O}(Y)\varphi^\flat I)$ .

### Proposition – Open Subfunctors of Affine Schemes

Let  $X \in \mathbf{Aff}$ . Then the following are true :

- (Open Subfunctors) For  $I \in \text{Ideal } \mathcal{O}(X)$ , the following two subfunctors of  $X$  are equal :
  - (“Complement of  $V(I)$ ”) Define  $X \setminus V(I)$  by setting  $(X \setminus V(I))(A)$  to be the set of  $\alpha \in \mathbf{Aff}(\text{Sp } A, X)$  such that  $\alpha^{-1}V(I) = \text{Sp } 0$ , the “empty affine scheme”.
  - (“Support of  $I$ ”) Define  $D(I)$  by setting  $D(I)(A)$  to be the set of  $\alpha \in \mathbf{Aff}(\text{Sp } A, X)$  such that  $A = A\alpha^\flat I$ .

A subfunctor  $U$  of  $X$  is called *open* when there exists  $I \in \text{Ideal } \mathcal{O}(X)$  such that  $U = D(I) = X \setminus V(I)$ .

We will use  $\text{Open } X$  to denote the full subcategory of  $\mathbf{SubMSet}(X)$  consisting of open subfunctors of  $X$ .

- (Ideal, Open Adjunction) Let  $I, J \in \text{Ideal } \mathcal{O}(X)$ . Then  $D(I) \subseteq D(J)$  if and only if  $\sqrt{I} \subseteq \sqrt{J}$ . In particular, for  $U \in \text{Open } X$ , there exists a unique  $I_U \in \text{Ideal } \mathcal{O}(X)$  such that  $\sqrt{I_U} = I_U$  and  $U = D(I_U)$ .
- (“Fields given enough points for Opens”) For any  $Y \in \mathbf{MSet}$ , define  $Y^{\text{Pts}}$  to the restriction of  $Y$  to the full subcategory  $\text{Pts}$  of  $\mathbf{M}^{op}$  consisting of  $K^{op}$  where  $K$  is a field. We will call  $Y^{\text{Pts}}$  the *points* of  $Y$ .

Then for  $U, V$  opens of  $X$ ,  $U = V$  if and only if  $U^{\text{Pts}} = V^{\text{Pts}}$ .

- For  $\mathcal{U} \subseteq \text{Open } X$ , define the *open union* of  $\mathcal{U}$  to be

$$\bigcup_{U \in \mathcal{U}}^{\circ} U := X \setminus \bigcap_{U \in \mathcal{U}} V(I_U) = D\left(\sum_{U \in \mathcal{U}} I_U\right)$$

Then for  $\mathcal{U} \subseteq \text{Open } X$ ,

- (Choice) for any  $\{J_U\}_{U \in \mathcal{U}} \subseteq \text{Ideal } \mathcal{O}(X)$  where each  $U = D(J_U)$ , we have  $\bigcup_{U \in \mathcal{U}}^{\circ} U = D(\sum_{U \in \mathcal{U}} J_U)$ .
- (“Open Cover”) TFAE :
  1. (Intuitive)  $\bigcup_{U \in \mathcal{U}}^{\circ} U = X$ .
  2. (Partition of Unity)  $\mathcal{O}(X) = \sum_{U \in \mathcal{U}} J_U$  where  $\{J_U\}_{U \in \mathcal{U}}$  is any collection of ideals of  $\mathcal{O}(X)$  such that  $U = D(J_U)$  for each  $U$ .
  3. (Surjective on Points) For all  $x : \text{Sp } K \rightarrow X$  where  $K$  is a field, there exists  $U \in \mathcal{U}$  such that  $x$  factors through  $U$ .

We say  $\mathcal{U}$  *covers*  $X$  when any (and thus all) of the above are true.

- (Base Change / “Preimage of Opens are Open”) Let  $\varphi \in \mathbf{Aff}(Y, X)$ ,  $I \in \text{Ideal } \mathcal{O}(X)$ . Let the following be a pullback diagram :

$$\begin{array}{ccc} Y & \xrightarrow{\varphi} & X \\ \uparrow & & \uparrow \\ \varphi^{-1}D(I) & \longrightarrow & D(I) \end{array}$$

Then  $\varphi^{-1}D(I) = D(\varphi^b I)$ . Hence the pullback of opens along morphisms of affine schemes remain open.

- (Fiber Product) Let  $X, X_1 \in \mathbf{Aff}/Y$  where  $Y \in \mathbf{Aff}$ . Let  $I, I_1$  be ideals of  $\mathcal{O}(X), \mathcal{O}(X_1)$  respectively. Then we have the pullback diagram :

$$\begin{array}{ccc} D(I) & \longrightarrow & Y \\ \uparrow & & \uparrow \\ D(I \otimes_{\mathcal{O}(Y)} I_1) & \longrightarrow & D(I_1) \end{array}$$

In particular,  $X = X_1 = Y$  implies the intersection of two opens of  $X$  is an open of  $X$ .

*Proof.*

(Open Subfunctors)  $A \otimes_{\mathcal{O}(X)} \mathcal{O}(X)/I = A/A\alpha^b I$ .

(Ideal, Open Adjunction) The reverse is straightforward. For forward implication, it suffices that  $I \subseteq \sqrt{J}$ . Suppose we have  $f \in I \setminus \sqrt{J}$ , in particular  $\mathcal{O}(X) \neq 0$ . Consider  $\iota : \mathrm{Sp}(\mathcal{O}(X)/J)_f \rightarrow X$ . Then  $\iota \in D(I) \setminus D(J)$  since  $(\mathcal{O}(X)/J)_f$  a non-zero ring by assumption. This is a contradiction.

(Fields give enough points for opens) Forwards is clear. Let  $U = D(I), V = D(J)$ . For reverse, suppose  $D(I) \neq D(J)$ . WLOG let  $\alpha \in D(I)(A) \setminus D(J)(A)$ . We're looking for a "point"  $\mathrm{Sp} K \rightarrow D(I)$  that doesn't lift to  $D(J)$ . Well, since  $A\alpha^b J \subsetneq A$ , by Zorn's lemma there exists a map  $\mathrm{ev}_x : A \rightarrow K$  where  $K$  is a field and  $J \subseteq \ker \mathrm{ev}_x$ . Then  $\alpha \circ x \in D(I)(K) \setminus D(J)(K)$  as desired.

(Choice) Straightforward by checking on points. (Open Cover, Base Change, Fiber product) Straightforward. □

### Definition – Open Subfunctors, Open Covers

Let  $X \in \mathbf{MSet}$ . For  $U \in \mathbf{SubMSet}(X)$ ,  $U$  is called *open* when for all  $\alpha : \mathrm{Sp} A \rightarrow X$ , the pullback  $\alpha^{-1}U$  of  $U$  along  $\alpha$  is an open of  $\mathrm{Sp} A$ .

$$\begin{array}{ccc} \mathrm{Sp} A & \xrightarrow{\alpha} & X \\ \uparrow & & \uparrow \\ \alpha^{-1}U & \longrightarrow & U \end{array}$$

We will use  $\mathrm{Open} X$  to denote the full subcategory of  $\mathbf{SubMSet}(X)$  consisting of opens. When  $X$  is affine, this agrees with our specialized notion for affine schemes.

### Proposition – Basic Facts about Open Subfunctors

The following are true :

- (“Extensionality”) Let  $U, V \in \mathrm{Open} X$ . Then  $U = V$  if and only if  $U^{\mathrm{Pts}} = V^{\mathrm{Pts}}$ .
- (Composition) Let  $V \in \mathrm{Open} U, U \in \mathrm{Open} X, X \in \mathbf{MSet}$ . Then  $V \in \mathrm{Open} X$ .

- (Base Change/“Preimage of Opens are Opens”) Let  $X \in \mathbb{M}\mathbf{Set}$ ,  $U \in \mathbf{Open} X$  and  $\varphi \in \mathbb{M}\mathbf{Set}(Y, X)$ . Then the pullback  $\varphi^{-1}U$  of  $U$  along  $\varphi$  is an open of  $Y$ .

$$\begin{array}{ccc} Y & \xrightarrow{\varphi} & X \\ \uparrow & & \uparrow \\ \varphi^{-1}U & \longrightarrow & U \end{array}$$

- (Fiber Product) Let  $U, U_1$  be opens of  $X$ ,  $X_1 \in \mathbb{M}\mathbf{Set}$  respectively. Then for any  $X \rightarrow S, X_1 \rightarrow S$ , the fiber product  $U \times_S U_1$  is an open of  $X \times_S X_1$ . In particular, for  $X = X_1 = S$ , this proves the intersection of two opens of  $X$  is again an open of  $X$ .
- (“Open Cover”) Let  $\mathcal{U} \subseteq \mathbf{Open} X$ . TFAE :
  - (“Looks like Open Cover when Tested”) For all  $(A, \alpha) \in \mathbf{Sp} \downarrow X$ ,  $\bigcup_{U \in \mathcal{U}} \alpha^{-1}U = \mathbf{Sp} A$ .
  - (“Surjective on Points”) For all  $x : \mathbf{Sp} K \rightarrow X$  where  $K$  is a field, there exists  $U \in \mathcal{U}$  such that  $x$  factors through  $U$ .

We say  $\mathcal{U}$  *covers*  $X$  when any (and thus all) of the above are true.

- (Base Change of Open Cover) Let  $\varphi \in \mathbb{M}\mathbf{Set}(Y, X)$  and  $\mathcal{U} \subseteq \mathbf{Open} X$  cover  $X$ . Then  $\varphi^{-1}\mathcal{U} := \{\varphi^{-1}U\}_{U \in \mathcal{U}}$  covers  $Y$ .

*Proof.* (Extensionality)  $U = V$  if and only if for all  $\alpha : \mathbf{Sp} A \rightarrow X$ ,  $\alpha^{-1}U = \mathbf{Sp} A$  if and only if  $\alpha^{-1}V = \mathbf{Sp} A$ . This reduces to the affine global case.  $\square$