

MSc project plan:  
Intelligent Chat Agent for Q/A on  
Programming using StackOverflow as  
Knowledge base

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## Revision history

Version #	Description of change (why, what where - a few sentences)
0.1	First version: Problem description (Chapter 1)
0.2	Second version: Added sections to Chapters 2, 3 and 4. Added appendix for the questionnaire used in IMT5251 Advanced Project Course (see p. 26). Updated Section 1.6: Planned contributions. Added content to the following Chapters: 4 and 7.
0.3	Added content to the following Chapters: 2.1, 2.2, 5 and 6. Changed the title of Section 2.1 and 2.4. Added Section 2.3. Updated Section 1.1 (removed some redundant sentences). Updated Section 1.6 and 4.2 (in regards to the developed prototype). Added Hidden Markov Model and Bayesian Networks to keywords. Changed the list of research questions from unordered to ordered list.
0.4	Added content to the following Sections: 3.1 and 3.2
0.5	Updated the following based on feedback from supervisor: Section 1.6: altered footnote in regards to Turing test. Section 2.4: Renamed to passing limited Turing test. Section 4.3.1 and 4.3.2: Updated subversions. Updated research question 6: Changed to limited Turing test. Added quick fix to print authors; added natbib and changed bibstyle temporary to unsrnat (prints author-name).
0.6	Updated Section 2.2 based feedback from supervisor
0.7	Added content to the following Sections: 2.3, 2.4 and 2.5 Started partially on the following Sections: 3.3, 3.4 and 3.5
0.8	Added section 3.6 Completed Chapter 3.
0.9	Updated the following based on feedback from supervisor: Changed experimental testing method from 'A/B testing' to 'Random Control Trial (RTC)' in Abstract and Sections 1.5, 1.6, 3.3, 4.1, 4.2, 4.3.1 and 7 Changed search result values in the last paragraph in Section 1.6 (changed from '.' to ',')

## **Abstract**

When first starting to learn how to program, there is a lot of information to take in. There are a lot of different programming languages, some which have their own rules on how to build and execute the developed program. There are tons of different algorithms and different ways a problem can be solved, not to forget all the different terminologies and semantics that exists within the field. There is also a great amount of online resources on the Internet, ranging from encyclopaedias (e.g. Wikipedia), tutorial sites (e.g. TutorialsPoint, W3Schools, HackThisSite, etc), to online communities (e.g. StackOverflow, CodeProject, etc).

When looking for information, searching for an answer, or looking for a solution to a problem, it is not always that easy to come up with a good question (what defines a good question?). When learning to program in class, the questions may not come right away. Seeing something explained on the blackboard is quite different from actually understanding and doing it yourself. Looking for answers online can become quite time-consuming, since the relevance of the results returned by the search engine vary in a large degree. The answer to the question asked may not appear until page 10 of the returned results.

To help students learning programming, the goal of this thesis is to create a plug-in for the Learning Management System (LMS) Open Edx. The plug-in to be created is an Artificial Intelligent Chat Agent (aka. a ChatBot), and the students can then ask this Chat Agent questions related to programming. The Chat Agent will use StackOverflow as its Knowledge base, meaning the answers presented to the user will be based on the answers posted by users on StackOverflow. By using this Chat Agent, students can ask questions in the same way they would ask a teacher or a classmate, instead of having to use keywords when using a search engine. The goal of this thesis is to see if the Chat Agent can aid students that are learning programming, but also do research on it (e.g. trying to humanize the Chat Agent (Turing Test)). An example of the experiment would be to have two test groups (Random Controlled Trial (RCT)), where the comparison would be on the grades of the students using the Chat Agent vs. those not using it, to see if the Chat Agent had any effect on the students grade.



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# 1 Introduction

## 1.1 Topic covered by the project

The goal of this thesis is to develop an Artificial Intelligent Chat Agent (aka. ChatBot), which will function as a plug-in in the LMS Open Edx<sup>1</sup>. This Chat Agent is targeted at students learning programming, and will therefore be used to answer the students questions related to programming. The answers from the Chat Agent will be based on the content found in the online community StackOverflow<sup>2</sup>. Since StackOverflow is one of the many communities belonging to the StackExchange network<sup>3</sup>, the Chat Agent can later on be expanded to cover information from other communities.

The hope is that this Chat Agent can aid the students in their learning progress, since they can ask the Chat Agent questions in the same way they would ask their teacher or their classmate. They do not have to think about keywords or read through a lot text, since the Chat Agent will try to answer their questions based on the answers it finds on StackOverflow.

From a research perspective, it would be interesting to see if the Chat Agent will have any effect on the learning outcome and what the students think of the Chat Agent; e.g. is it useful or would they just prefer to continue using search engines and look for the answer(s) themselves. As for the Artificial Intelligence (AI) side, it would be interesting to see what can be done to humanize the Chat Agent so that it could pass a limited Turing test<sup>4</sup>. It would also be interesting to look more deeply into the AI algorithms, to see in what way they can be improved or extended to cover a larger base of linguistics.

## 1.2 Keywords

Intelligent Agent, Chatbot, Natural language processing, Human-computer interaction, Education, Question-answering, Hidden Markov Model, Bayesian Networks

## 1.3 Problem description

Can we find the answer if we do not know the question? The issue with most search engines today is that they are based on taking each search word (the Term) to create what is called a Dictionary (which contains all the words/terms searched for). It then looks through its content (documents, files, multimedia, etc.) and searches for each term, and returns those that contain at least one of the terms, ranking the results according to frequency of the given term. Although a given amount of search results is returned, there is no guarantee that the answer searched for are among the returned results. While programming, if an issue occurs, sometimes you can find the answer by using a few keywords, or simply copy/pasting the error message. But what do you search for when

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<sup>1</sup>Open Edx: <https://open.edx.org/>

<sup>2</sup>StackOverflow: <http://stackoverflow.com/>

<sup>3</sup>You can see all the StackExchange communities here: <http://stackexchange.com/sites>.

<sup>4</sup>A Turing Test is a test where a human is asked to converse with a unknown party, and then later on decide whether the party was a human or a computer Russell and Norvig [1, p. 2].



your question is more abstract? What do you search for when you have a question, but are struggling with phrasing it in a way that a search engine can understand? What do you do when you have a question that a teacher or a classmate could easily answer, but the search engine cannot?

With a Chat Agent, you do not have to think about keywords, phrases or "words best describing the problem". You can just ask the question you want an answer to. You also get anonymity with a Chat Agent. You can ask all sorts of questions, no matter how dumb you feel they are, because the Chat Agent is there to help.

A keypoint to remember is that the Chat Agent is intended to function as a help tool (e.g. FAQ FINDER Burke et al. [2] and Bzz Crutzen et al. [3]), and not as a replacement for the teacher (e.g. CALMsystem Kerly et al. [4]).

## 1.4 Justification, motivation and benefits

With the advancement of programming and the increase of different languages, libraries and functionality, it can be hard for a teacher to cover all topics. It can also be hard for a student to grasp everything at once and understand everything the code does. Although there is a vast amount of online resources on the Internet, finding what you need can take a lot of time, but when using a Chat Agent, you can just ask the question and get the answer right away. Since the Chat Agent will be based on Hidden Markov Model (HMM), it can remember everything previously discussed in the conversation, increasing the chance of it helping the student finding the desired answer. The Chat Agent can also help the teachers, e.g. when running exercises in class, students can use the Chat Agent to find answer to the simpler questions, and then ask the teacher for help on the more advanced and problematic issues.

One example is the paper by Crutzen et al. [3] where they used an existing ChatBot called Bzz for answering adolescents' questions related to sex, drugs and alcohol. Their study showed that the users used the ChatBot a lot<sup>5</sup>, and that the users felt it was faster and better than information lines and search engines. Knill et al. [5] says that a ChatBot can be easier to converse with due to its anonymity. Furthermore, teachers can look at the conversation logs to see what the students have discussed, to be able to map the problems and see how students learn.

Kowalski et al. [6, p. 268] did two case studies where they compared the use of ChatBots and e-learning in relation to Information Security. They measured the ChatBot experience qualitatively, and 70% of the users found the ChatBot useful and would use one in the future. However, quantitatively they found no significant difference between those using a ChatBot and those using e-learning. CSIEC (documented in Jia [7]) is a ChatBot developed for learning English, and was tested in Jia and Ruan [8]. The students achieved a very high score at the exam, but as the authors note, CSIEC was tested only between two tests (and there is also a chance of bias, since one of the authors is also the developer of CSIEC).

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<sup>5</sup>"42,217 conversations with the chatbot; thus, an average of 11.3 conversations with each lasting 3 minutes and 57 seconds" [p. 516]Crutzen et al. [3].

## 1.5 Research questions

1. How was the Chat Agent perceived by the user (e.g. using the Chat Agent vs. using a search engine)?
2. Did the Chat Agent help the user understand/learn more about programming?
3. By using Random Controlled Trial (RCT), is there a (statistical) improvement from the students using the Chat Agent, vs. those not using it?
4. In a given amount of executed queries, how many correct results were presented to the user?
5. In what way can the technology (i.e. the Chat Agent) be improved?
6. In what way can the conversation pattern (and algorithms used) be improved to pass a limited Turing test?
7. When retrieving question-answer(s) from e.g. StackOverflow, some questions may be closed due to it being a duplicate. Can this data be used in any way to see what is defined by the StackOverflow community as a good question?

## 1.6 Planned contributions

To create an Artificial Intelligent Chat Agent for Question-Answering (Q/A) on programming by using StackOverflow as its knowledge base. This chat agent will function as a plug-in in the LMS system Open Edx. The external resources are content accessible on StackOverflow, libraries for content retrieval from StackOverflow, lexical word mappings (e.g. WordNet<sup>6</sup>), word filtering (if this is needed) and available resources from Open Edx.

The Master thesis will be an extension of a prototype that was developed in IMT5251 Advanced Project Course. This prototype just takes the users question and looks for matches on StackOverflow. The answer that is marked as correct (or the most top-voted one, if no answers are marked) will be returned and displayed to the user. Part of the contribution is to make this prototype more intelligent, by using AI and to do research on the implemented technology (e.g. trying to humanize the Chat Agent (limited Turing Test<sup>7</sup>)). Part of the reason for wanting to humanize the Chat Agent is because the target group are students who are learning programming. It would be interesting to see if having this tool available can help them learn and understand more about programming. An attempt to confirm this will be by having an experiment with two test groups (A/B testing), where the comparison would be on the grades of the students using the Chat Agent vs. those not using it.

Since this is such a narrow and specific field, the Chat Agent will be based on the AI algorithms Hidden Markov Model (HMM) and Bayesian network (Bayes Net). These are chosen because they have been used for a very long time, and there is a great deal of research out there on using these for linguistics and Chat Agents. It could of course be interesting to look at newer or different AI algorithms, but the issue is that these may not

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<sup>6</sup>WordNet: <https://wordnet.princeton.edu/>

<sup>7</sup> For this thesis it would be a limited, domain specific Turing Test. Passing the true Turing test is out of the scope for this thesis, but it would be satisfactory if the users felt like they were talking to another human.

have an adequate amount of research and testing in relation to linguistics. It is therefore in my opinion safer to go with HMM and Bayes Net, to ensure the thesis will be completed.

The final part of the planned contribution is to research and analyse the definition of a good question. The StackExchange community has a wide amount of sites, with a high level of professional expertise and strict rules for posting questions. Here, questions can be closed or put on hold, based on the questions asked. Some examples are duplicates, questions that are too broad, or marked off-topic (Stackoverflow.com [9], Community-Wiki [10]). By analysing the posted questions and those asked by the students, could it be possible that the Chat Agent can help students ask better questions?

An example scenario would be a programming lecture teaching incrementation. For a student wanting to learn more about this (presuming the student have no previous knowledge on the topic), a natural question would perhaps be to ask Q1) "What is incrementation?" or Q2) "How to increment?". If you input these questions into StackOverflow, you get approximately 31,600 results for both<sup>8</sup>. Furthermore, the first results may not even be relevant. The natural progression would perhaps be then to add to the question "... in programming". This reduces the returned results to 3,083 for Q1, and 2,715 for Q2. This example can be extended even further, e.g. by adding the programming language (e.g.: C++), the results are now halved, with an amount of 1,429 for Q1 and 1,610 for Q2. This simple example proves that knowing how to phrase a good question can have a real impact of the results you get, and as stated in Lucky [11], coming up with a problem can be the hardest part.

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<sup>8</sup>This search was executed 29.11.2015, on StackOverflow.com.

## 2 Related work

### 2.1 Comparison of Information Retrieval (IR) when using Chat Agents and Search engines

I could not find anything in relation to this topic on the following sites:

- <http://dblp.uni-trier.de/>
- <http://link.springer.com/>
- <http://ieeexplore.ieee.org/>
- <http://www.sciencedirect.com/>
- <http://dl.acm.org/>

The following is a list of the keyword searches that were made:

- 'chatbot vs search engine'
- 'chatbot and search engine'
- 'comparison of chatbot and search engine'
- 'evaluation of retrieval systems'

The reason for this might be related to the fact that search engines are able to retrieve all sorts of information from numerous documents and web-sites, whereas ChatBots are usually made for light conversation or for specific topics and purposes. The research question this is related to (Research question 1) is more on the qualitative side, ie. will the users continue to use the search engine, or would they switch to the Chat Agent?

Although it is not an evaluation, in Crutzen et al. [3] a comparison of the ChatBot Bzz (for Windows Live Messenger) is made against search engines and information lines. The goal is to see which is better at answering adolescents' questions related to sex, drugs, and alcohol. The comparison was done by giving the users a questionnaire with a 5-point Likert scale. The results showed that the users found the ChatBot to be faster and more anonymous, in addition to being easier to use. Information quantity was considered less than both information lines and search engines, and it performed better when it came to conciseness and information quality Crutzen et al. [3, p. 517-518].

If one compares this paper to the goal of the Chat Agent I plan to develop, one can see some similarities. Even though search engines can give you numerous results, the quality of the results may vary, and there may not be any correlation between what you are looking for and what you find. Whereas with my Chat Agent, the focus is only on the StackExchange community, specifically on programming and StackOverflow. Therefore, one could also argue that rather than comparing the Chat Agent against a search engine, perhaps it rather should be compared against StackExchange. E.g. comparing the results

based on the question asked in the Chat Agent vs. the question searched for on the given StackExchange site.

There is also a program called FAQ FINDER, which is documented in Burke et al. [2]. As with my Chat Agent, here users can phrase their questions as they would when asking another person, rather than use keywords (as they perhaps would had to when using a search engine<sup>1</sup>).

## 2.2 Chat Agents for Learning and Education

There are numerous scientific articles and reports on using ChatBots in education, which are mentioned in many studies [3, 4, 5, 6, 7, 12, 13, 14, 15, 16]. Even though the names and definitions varies e.g. ChatBot, Virtual Teacher (VT), Intelligent Agents (IA) and Intelligent Tutoring System (ITS), the main purpose is mostly related to either relieving the teacher of work or to aid the user/students to learn more and acquire new knowledge. In the papers by [4, 5, 14] they found that students also wanted the ability to do smalltalk and have off-topic conversations. This would be a useful thing to implement, since this can increase the chance that the students will use the Chat Agent, since it will not be restricted to just the curriculum (e.g. being able to ask about the weather or just random conversations). There can however also be issues with having too free conversations, since users can attempt to use offensive language, invalid input causing the application to hang, spelling/grammatical errors or abuse in some way way (Kerly et al. [4]). Issues can also come if the knowledge base used is outdated, or is based on resources where there is no proper control of who is adding the information (Knill et al. [5], Imran and Kowalski [13], Reed and Meiselwitz [15]).

All information available in the StackExchange community is based on knowledge from the users who posts their answers there. This means that answers can be both outdated and invalid. However, StackExchange consists mostly of professional sites, where both moderators and the members are actively following the all posts, be it questions, answers or comments. Answers can also be graded by giving votes, and the answer that solved the users problem can be marked as correct. This data can then be used to ensure that the solution the Chat Agent presents to the user is based on useful knowledge (e.g. by filtering out answers with votes below a set threshold). An additional filtering can also be added by looking at the users reputation and badges [17, 18, 19]. Badges are awarded based on your contribution to the community, whereas reputation represents how much the community trusts you.

The goal is not for the Chat Agent to function as a VT, but more of an aiding tool to help students with the more general problems and help them be better at phrasing their questions. Not only that, but it can also help the teachers to understand how students learn by looking at the questions they ask the Chat Agent (Knill et al. [5], Rossi et al. [16]). The papers does not list a direct scientific proof that there is a learning improvement by using ChatBots. However, this does not mean that the use of ChatBots cannot have a positive impact. As noted in Kowalski et al. [6], the quantitative analysis showed no difference between those using and not using a ChatBot, but qualitatively they found that the use of a ChatBot was well received, and were open for using it again in the future.

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<sup>1</sup>It should also be noted that I am biased towards it being better to phrase questions to find answers, rather than having to enter a list of keywords.

## 2.3 What is the quality of the results when using Hidden Markov Model (HMM) and Bayesian network (Bayes Net)?

The following is a short introduction to HMM and Bayes Net. Both HMM and Bayes Net is a statistical model. Bayes Net is a generalization of the Bayesian classifier<sup>2</sup>, which uses acyclic graphs to model dependencies. (Kononenko and Kukar [20, p. 249-256], Ghahramani [21]). The nodes<sup>3</sup> in Bayes Net represents the attribute value, and the edge is the correlation between them. Mitchell [22, p. 180] presents a Naive Bayes algorithm for classifying English text on the web with an accuracy of 89%.

HMM<sup>4</sup> is based on the Markov model<sup>5</sup>, but HMM also contains hidden states (Ghahramani [21], Russell and Norvig [1]). An example would be the effect weather has on the environment, where the observable states would be whether the ground is wet, dry or frozen, and the hidden states would be sunny, cloudy, rainy and snowy (if the temperature also was a factor to consider, it would be observable). If the weather is sunny or cloudy, the ground will most likely be dry. If the weather is rainy or snowy, the ground could then be both wet and/or frozen (e.g. it rains, and the temperature drops). This could then be used to create a probabilistic model to see what state the ground would be in based on the current weather condition.

Gao et al. [24] analysed system errors in the states of HMM (for Speech-Recognition), where they found that states could be misclassified (non-aggressive condition) or wrongly enter the space of other states (invasive condition). They found that if there was a large amount of non-aggressive states, a slightly larger Gaussian mixture was better.

Pan and Zhao [25] developed a HMM thesaurus for extracting terms from academic Chinese literature. Yongjin and Bingyao [26] developed a symbol feature-based HMM for English pages using Viterbi algorithm<sup>6</sup>. Zhou and Li [27] researched the use of HMM for information extraction from HTML documents. They found that if you cleaned the HTML data and extracted the data by using the Viterbi algorithm, you would get better extraction results.

Barros et al. [28] presents a hybrid HMM for information extraction from 6000 references. They tested the HMM against four different classifiers<sup>7</sup>, where they found the average precision of HMM and Naive Bayes to be 72.38% (but k-NN scored highest with 76.15%; [28, p. 5]). Seymore et al. [29] used HMM and the Viterbi algorithm (for recovery of state sequence) to retrieve header information from computer science research papers. They achieved an overall accuracy of 92.9% for the all classes in the header<sup>8</sup>

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<sup>2</sup>Bayesian classifier calculates conditional probabilities based on the attribute values. It assumes attributes have conditional independence, and that they are discrete. If they are continuous, they have to be discretized (Kononenko and Kukar [20, p. 7]).

<sup>3</sup>However, if a given node is at the beginning (no nodes that link to the it), it is called parent attribute.

<sup>4</sup>If HMM only consists of a single state and variable, it is an Dynamic Bayes Net. Dynamic Bayes Net is useful if one can reduce the state to a single variable, or if the states only rely on a single parent. This can then be used to reduce the temporal probability model (Russell and Norvig [1, p. 600-601]).

<sup>5</sup>The Markov model consists of states that change over time based on probability. The current state decides the probability for the next one ([23, p. 3]).

<sup>6</sup>Viterbi algorithm can be thought of as Occam's Razor. If transition of states presents the same pattern, then this should be true for all who match that pattern.

<sup>7</sup>They used Weka (<http://sourceforge.net/projects/weka/>), and the four classifiers were: PART, Naive Bayes, k-Nearest Neighbour (k-NN) and Support Vector Machine (SVM).

<sup>8</sup>The class-specific accuracies were 97.8% for titles and 97.2% for authors ([29, p. 6]).

These papers shows that HMM is fitting for the purpose of Information Retrieval (IR) and information extraction, and that there are different ways this can be achieved. My goal is to use both HMM and Bayes Net, and it will most likely benefit the most from using the Viterbi algorithm (e.g. recover state sequence and improve conversations). Additional extensions could also be added (e.g. Reinforcement learning for improved IR) to improve the results given to the user.

## 2.4 Passing a limited Turing test

The Turing Test (or Imitation Game) is based on the paper by Turing [30]. In this paper, Turing discusses whether or not a machine can be defined as intelligent, and to what ends the intelligence can be measured as. The original Imitation game was based on a man, a woman and a judge, where the goal was for the judge to guess which gender belonged to which participant. Turings suggestion was to alter this test to instead include a human, a machine, and a judge, where the judge would decide whether or not he was talking to a human or machine. However, Harnad [31] argues that the Turing Test is outdated and that a machine easily can trick another human into passing the test. Harnad therefore defines five levels<sup>9</sup> for the Turing test, where the level starts with t1 ("toy" functionality) and goes up to T5 (Grand Unified Theory of Everything). Based on Harnads paper, for my Chat Agent it would be sufficient to pass T2.

Today, the Loebner Contest has replaced the Turing test (Shieber [32], Zdenek [33]). In the Loebner Contest, the contestants are graded on a numeric scale, where those that gets the highest score are perceived as most human-like. The winner was the one with the highest average score. The question however is if these types of tests truly can judge intelligence, since most of the time, it is all about the illusion of intelligence (Livingstone [34], Shieber [32]). The ChatBots could also end up creating weird sentences when basing their output on the users input (e.g. Shieber [32, p. 6]). In the early days, the judges had to stick to a given script, but today the conversations can easily go out of proportions (Zdenek [33, p. 13]).

Trying to pass a limited Turing test (e.g. Loebner Contest) seems to be more about fooling the judges by providing meaningful answers, rather than displaying intelligence. It is debatable whether or not my Chat Agent needs to pass such a test, considering the students already know that they are talking to a Chat Agent and not a real person<sup>10</sup>. Although the conversations should be as humanoid as possible, the most important part is that the answers are meaningful and relevant to the students questions.

One could of course separate the conversation pattern into two parts; one for the Q/A and the other for small-talk/off-topic conversations. However, the small-talk would not be a priority, so if this were to be added in the first release (mid-February), it would need to be initiated through a command. This command could then later be replaced by

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<sup>9</sup>The levels are t1: "Toy" functionality, T2: Pen-pal function (e.g. ChatBot), T3: Sensors and motoric (e.g. robot), T4: Humanoid (in both looks and appearance) and T5: Grand Unified Theory of Everything (Harnad [31]).

<sup>10</sup>An interesting experiment (as a continuation after the thesis, for the next years students) would be to tell the experimental group that they are testing out a new Q/A system (where they can get help from a domain expert). This group could then be expanded into two, where the first half is talking to a real person and the other half is talking to the Chat Agent. This could then be used to compare the accuracy of the answers provided by the Chat Agent vs. the person, in addition to the students at the end being asked to judge whether they talked to a real person (e.g. a teacher) or the Chat Agent. To avoid bias, this should be executed as a double blind.

using semantics to separate between Q/A and small-talk. If small-talk was a feature the students wanted to see more of, improvements could then be made by asking them for suggestions and feedback. E.g. What do you think of the conversations? In what ways can the conversations be improved? etc.

## 2.5 Question-Answering (Q/A): What defines a good question?

Question can be defined in many ways (e.g. a subject-related, situational, research/thesis, etc) (Boyer et al. [35, p. 3]). The focus of my Master thesis are questions on an academic level (Bachelor and Master). StackOverflow has several pages with guidelines for asking (good) questions [9, 10, 36, 37, 38].

Lezina and Kuznetsov [39] attempted to predict closed questions on StackOverflow by analysing a database dump<sup>11</sup>, but they noted that it would be too time consuming to analyse everything. Slowiaczek et al. [40] researches hypothesis testing, and what defines a good question and answer when you are restricted to only yes and no answers. Movshovitz-Attias et al. [41] analysed the reputations of users and their contribution by creating a User Activity Model (UAM), where they found a clear difference between expert and non-expert users.

Ragonis and Shilo [42] analysed problem-solving question which were sorted into categories and keywords. This can be used to see if it will be possible to create a taxonomy for questions (as was done in Nielsen et al. [43]). Boyer et al. [35] analysed how to encourage problem-solving in students. One of their findings was that students should start with thinking about whether or not they understand the problem, before attempting to find a solution. Boyer et al. [35] also suggests that instructors should start by asking questions to see if the students truly understand the task they are given.

This can be useful when analysing the students questions, since I can compare their questions against those from the edX course. E.g. if the student starts with asking a question taken from an edX course (assuming an answer is not found), in what way will the student phrase their question to find the answer?

By having the Chat Agent as an alternative to the teachers, the students can also improve their own question quality. Students may feel that the question they ask is wrong, too stupid, or fear that may be ridiculed when asking it. Through the anonymity of the Chat Agent, they can ask the question in whatever form they want. The answer they get will be based on the question they ask, so in time they may improve as they learn what type of question format gives them the answer they seek.

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<sup>11</sup>You can also download all data available on StackExchange through the BitTorrent link found here: <https://archive.org/details/stackexchange> (last accessed 18th December 2015).





## 3 Choice of methods

### 3.1 Hypotheses and variables

In this section, I will attempt to identify the hypotheses and variables relevant for my Master thesis. The following Hypotheses are based on the research questions, and is an attempt to identify the possible outcomes of my research.

- H0: The Chat Agent will have no effect on neither the students knowledge, or the students ability to phrase good questions.
- H1: The Chat Agent will have no effect on the students knowledge, but the students will be better at phrasing good questions.
- H2: The Chat Agent will have an effect on the students knowledge, but not on the students ability to phrase good questions.
- H3: The Chat Agent will improve both the knowledge and the students ability to phrase good questions.

The threats to the causality in my thesis is mostly the students maturity. In the beginning they may have little to no knowledge, but as they are coming closer to the end of the Spring semester, they will have acquired more understanding and knowledge on the given subjects. Their improvement in asking questions can also be affected by their supervisors (e.g. through the iterative process of asking questions, they learn to be more specific when asking for help). Previous knowledge from before the Bachelor started can also have an impact. Students with background in programming, be it self-taught or through work may know the basics, but its first at the end of the course they can put all the pieces together (the third variable problem) (Tafliovich et al. [44]).

### 3.2 Survey and Interview

To identify such underlying issues, all participants will be given surveys. The goal of this survey is to try to find out if there is a correlation between the use of the Chat Agent and the knowledge acquired at the end of the semester. The survey will ask them questions related to their current knowledge level, if they have any previous experience with programming and their field of interest<sup>1</sup>. The basis will be the Likert 5-point scale, and it would be an improvement of the questionnaire used in IMT5251 (shown in Appendix A.2, p. 26). It is also necessary to find out in what way the different students learn, e.g. by using Fleming's VARK questionnaire<sup>2</sup> (used in Kowalski et al. [6, p. 152] and Sarabdeen [45]). The results of the VARK questionnaire can be used to see if there is a correlation between those that are of the type read/write and their grades at the end.

---

<sup>1</sup>Since interest level can have an effect on the amount of time the student spends acquiring new knowledge for that field.

<sup>2</sup><http://vark-learn.com/the-vark-questionnaire/?p=questionnaire>

A combination of semi-structured interviews and observations may also prove beneficial (Fincher et al. [46]). This can be achieved by attending some of the classes, and observe the students when they use the Chat Agent (both for problem-solving and during lectures). Students could then also be randomly selected for follow up interviews based on the observation. The basis of the interview questions would of course be the same as the questions in the survey. This can then be used to see if there are any issues or variables which the survey does not cover. Examples of follow up questions could be explanations ("Why did you do X while using the Chat Agent?"), how often they use the Chat Agent, elaboration on a given topic, or feedback and suggestions for improvement ("What would make you want to use the Chat Agent more?").

### 3.3 Random Controlled Trial (RCT) as an experimental method

The students will be divided randomly into two groups, where the first would be in the experimental group, and the rest in the control group. The difference for these two groups is that the control group will only have access to the course content, and the experimental group will also have access to the Chat Agent. The groups will not know what is being tested, if it is the use of edX or if it is the Chat Agent.

### 3.4 Question-Answering (Q/A) model

Mishra and Iyer [47] presents question posting as a learning mechanism. It consists of two phases; Instruction phase (initial lecture) and Question Posing (QP) phase (clarification or acquire new knowledge based on the seed). This question posting can then be used to create a qualitative analysis based on the following questions:

- Starting from the first question (seed), is there an improvement in the questions asked (e.g. amount of questions asked until reaching an answer)?
- Does the students question(s) follow a pattern (e.g. iterative, copying teacher, etc.)?
- Upon reaching an answer, does the student look for more information<sup>3</sup>?

### 3.5 Quantitative comparison of the students results

How can we see if there is any notable difference in the students results? This can be achieved by not only comparing the results of the experimental and control group, but also the results of last years students. The analysis would be based on either Analysis of Variation (ANOVA) or Dunnett's test<sup>4</sup>. Dunnett's test was used in Simon and Snowdon [48] to compare the results of the current students and those from the previous year. Tafliovich et al. [44] combined the quantitative results (the grades) previous experience to see if this had any effect on their grades (they used Wilcoxon rank-sum test to compare the median of students with and without previous experience).

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<sup>3</sup> Presented answers will have varying length, and long answers will be shortened with a "Read More?" option. In addition, students will be asked to verify whether or not the currently presented answer answered their question. What could then be done is to check if attempts to acquire new knowledge is made, by comparing the topic of the current and previous questions after an answer is marked as correct (by also looking at the answers that were read).

<sup>4</sup>Dunnett's test is effective when the sample sizes are small, the separate populations are not normally distributed, and their variances are not equal (as determined by separate tests) (Simon and Snowdon [48, p. 3]).

Students results can also be affected by what type of learning theory the teacher uses. Kinnunen et al. [49] found in their phenomenographic<sup>5</sup> study five different categories<sup>6</sup> on how instructors understands why students fail and succeed. It could therefore be interesting to also interview the teachers of each course to find out how they teach their course, what their definition of a successful student is, and whether or not they think it is important that they can ask good questions. This could help identify third variable problems in relation to asking good questions. If the teacher is not in the Developmental category, it is a high probability that the teacher does not focus on improving question quality. If this is true for all teachers for a given experimental group, it could imply that for this group, the Chat Agent had an impact on their question quality.

### 3.6 Short summary of the process

1. Develop the AI for the Chat Agent
2. Randomly distribute students into control and experimental group
3. Have all students complete an introductory, mid-term and end-term survey
4. Observe students during class and interview students after observation
5. Analysing questions found on StackOverflow (e.g. Movshovitz-Attias et al. [41])
6. Analysing the questions asked by students by looking the quantity (amount of questions asked, amount of answers read, amount of answers marked as correct)
7. Interview teachers to draw conclusions on their learning style
8. Analyse the students results at the end by looking at variables (e.g. grades, previous experience, teachers learning style, interests, questions asked)

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<sup>5</sup>"Phenomenography is a research approach that is designed to elicit the variation in ways of experiencing things on a communal level. The roots of the approach are strongly connected to empirical studies of learning" (Kinnunen et al. [49, p. 3]).

<sup>6</sup>The five categories are:

Subject: Instructor only presents their material. This is how the students learn.

Intrinsic: Students quality is based on their own abilities, and is not something the instructor can change.

Previous experience: The knowledge the student had before starting the course is the reason for their success.

Attitude/Behaviour: The students investment in the course (e.g. workload, hours, etc.).

Developmental: Instructor uses different strategies to encourage learning (e.g. understanding the topic, new ways of thinking, skill usage, etc.) (Kinnunen et al. [49, p. 5-7])



## 4 Milestones, deliverables and resources

### 4.1 Table of Contents: Master thesis

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1.3. Problem description

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1.5. Methodology to be used

1.6. Justification, Motivation and Benefits

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1.8. Thesis contribution

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#### 2. State of the art

2.1. Chat Agents vs. Search Engines

2.2. Artificial Intelligence (AI) for Chat Agents

2.3. Chat Agents for Learning and Education

2.4. Question-Answering (Q/A): What defines a good question?

2.5. Limited Turing test: Humanizing the AI

#### 3. Methodology

3.1. Hidden Markov Model (HMM)

3.2. Bayesian network (Bayes Net)

3.3. Random Controlled Trial (RCT)

3.4. Survey and Interview

---

<sup>1</sup>Although it is called "Topic covered" in this report, it may be more appropriate to call it "Research area" in the Master thesis.

### 3.5. Research Design

## 4. Random Controlled Trial (RCT), Surveys and Results

### 4.1. Random Controlled Trial (RCT)

### 4.2. Interaction with the Chat Agent

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### 5.1. Data and Testing

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### A. Data sets/Statistical Overview

### B. User Survey

### C. Interview Questionnaire Format

### D. Application Screenshots (Interaction with the Chat Agent)

### E. Miscellaneous information

## 4.2 Obtaining the desired knowledge

The most important key element in this thesis will be the development of the Chat Agent, since it is the focus of my thesis. Preliminary work has already been done in the course IMT5251 Advanced Project Work, where a prototype has been developed. The prototype runs in Open Edx as an XBlock. XBlock runs as an Fragment in Open Edx, allowing developers to add their own content which then can be re-used in multiple systems<sup>3</sup>. As previously mentioned, the prototype developed is a simplistic version, meaning there is no AI. The prototype simply takes the users input (the question) and copy/pastes it to search for matching questions on StackOverflow. It then returns the first result, where the answer the user sees is either the answer marked as correct, or the top-voted answer (if no answer is marked as correct by original poster). Students from the 1. and 2. year (who are learning to program) were invited to test the prototype, where I observed them. Afterwards they were asked to fill out a questionnaire (the questionnaire is shown in Appendix A.2).

This means that most of the development needed during the master thesis will be to

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<sup>2</sup>Whichever is the appropriate format for the Applied Computer Science Master thesis.

<sup>3</sup>For more on XBlock, see <http://edx.readthedocs.org/projects/xblock-tutorial/en/latest/overview/index.html>

implement the AI and for it to be able to use WordNET for semantics. This would probably take a whole calendar month, but it depends on the actual hours invested. If I work between 6-8 hours each day (40+ hours each week), then this should be at least operational at latest mid-February. The reason for this extended time is to ensure I have time to setup and test the AI properly before allowing students to test them to ensure the collected data is valid. When it comes to equipment, I already have most of what I need, since I am already working on the prototype (development is done in Arch Linux). I also have a USB stick with Arch Linux installed, so that I can work on my laptop in case something should happen to my Desktop. I have also acquired a student license for PyCharm Professional<sup>4</sup> which is valid for 1 year (until 25. November 2016). I am also aware of people that have the required knowledge who I can ask for help, such as my supervisor Simon McCallum, Sule Yildirim-Yayilgan, Mariusz Nowostawski and Rune Hjelsvold.

The user testing will of course require students to be willing to partake and test the Chat Agent. The plan is to use Random Controlled Trial (RCT), so that only part of the students have access to and can use this Chat Agent. One thing that may affect the end results are the knowledge level of the users, which means that even if there is an increase in the end results, it may not be because of the Chat Agent. The solution to account for this issue is by having the users also grade their own knowledge level, from being novice to expert (e.g. having programmed for years). Although there is not a set time limit for required use, if the Chat Agent is not in use, there will not be enough test data. However, the Chat Agent will be available from around February to May, and participation may not be that high if there is a weekly requirement for usage. A solution could be to require the participants to at least use the Chat Agent for at least 10-20 hours each calendar month. If there then are 20-30 participants, that means the Chat Agent will have a usage of 200-600 hours each month. Which in turn should provide a good amount of test data. There will be at least three surveys for the participants, the first when they start using the Chat Agent, the second when testing is halfway and the last at the end, to see if there is a correlation between usage, their results and the students own observations.

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<sup>4</sup> PyCharm: <https://www.jetbrains.com/pycharm/>.



### 4.3 Produced deliverables

#### 4.3.1 Hours needed by me

Product	Time (calendar)	Time ('man-hours') <sup>5</sup>	Version #	Notes
MSc thesis report	January - May	125-200 Hours	v0.1 - v0.5	Draft should be presented to supervisor monthly
1) Chat Agent (AI research)	January - February	50-75 Hours	v0.1 - v0.2	Should be available at latest Mid-February for course start-up
2) Chat Agent (AI development)	January - February	50-75 Hours	v0.1 - v0.2	Should be available at latest Mid-February for course start-up
Meeting w/ supervisor	January - May	10-20 Hours	v0.1 - v0.5	Once a week, estimated between 30-60 minutes
Analyse questions on StackExchange	February - May	50-100 Hours	v0.2 - v0.5	One way of doing this would be to use the Chat Agent to e.g. retrieve questions that are closed
Read various scientific papers	January - May	50-100 Hours	v0.1 - v0.5	Read up on the latest published papers to keep up-to-date
Process student surveys	Jan/Feb, March/April and April/May	10-30 Hours	v0.2 - v0.4	Surveys delivered by students participating in the RCT
Process Student/ Chat Agent interactions	February - May	60-80 Hours	v0.2	Should at least try to keep a steady update on the current data on a weekly basis

#### 4.3.2 Hours by others

Who?	Time (calendar)	Time ('man-hours') <sup>6</sup>	Version #	Notes
Supervisor	January-May	10-20 Hours	v0.1 - v0.5	Once a week, estimated between 30-60 minutes
Students	February-April/May	40-80 Hours	v0.2 - v0.5	Students interaction with the Chat Agent (time per person)
Students	Jan/Feb, March/April and April/May	1.5 - 3 hours	v0.2 - v0.4	Filling out survey/questionnaire (per student)

<sup>5</sup>Total time spent during set period.

<sup>6</sup>Total time spent during set period.

## 5 Feasibility study

As mentioned in 4.2 the prototype has already been developed and tested by two 1. year Bachelor students (in addition I got a lot of useful feedback). Through the feedback I can ensure that the next version is more useful for the students, and the main focus of the development will be on the AI. Aside from having a personal interest in AI, I have also had two courses in Machine Learning at Gjøvik University College (GUC) and I have also taken a course in Content-based Indexing and Retrieval. One of the many things learned in Machine Learning was the development and use of both single and hybrid algorithms to solve different tasks. E.g. in the course IMT4641 Computational Forensics I developed a program that analysed Android SQLite databases by using Fuzzy rules and Decision Tree. Meaningful insight in retrieving information and how e.g. search engines work were learned through the course Content-based Indexing and Retrieval. Furthermore, I already know what type of hybrid algorithm I will use for the Chat Agents AI; HMM and Bayes Net. There is also a lot of research available on using these for language processing (and since HMM uses states, it can also remember the conversation history).

When it comes to the research for questions (and what defines a good question), there is already a lot of data available through the StackExchange community. This means that I do not have to rely solely on one of the community pages for my research. E.g. if StackOverflow is down for maintenance, I can just switch my focus to one of the other sites in the community. I also intend to add an editable backend for the teachers in the Chat Agent, so that they can themselves decide which of the StackExchange sites the Chat Agent should use. This way, it will not be locked to only one course (or one site), which also means that I can suggest for other professors at GUC (and potentially NTNU) that they should participate in testing the Chat Agent. Furthermore, this can also increase the amount of students using the Chat Agent, meaning I can get more data to analyse and compare to see if there is any benefit from using the Chat Agent.



## 6 Risk analysis

There are two points that can have a major negative impact on my Master thesis. The first is delay of the AI development (if it is not ready within time), and the second is not having enough users to test the Chat Agent. The greatest problem when developing and training an AI is the time it takes to make it work properly, ensuring it can handle invalid data and that it does not use too much time processing and presenting the result to the user. To account for this, the goal should not be to have a 100% perfect AI. The most important part in the beginning would be that the AI works. This way, the students can get started by testing the updated prototype, and then give feedback if something is not working as promised. However, if the AI is too stupid or give too many useless results, this can cause the students to get a negative view and stop using the Chat Agent.

During the prototype testing in IMT5251, although the students liked the concept, they did not find the prototype to be useful or preferable when compared to a search engine. This is also one of the arguments for why AI should be implemented before releasing it to the students. To avoid the students getting a too negative bias when starting to use it, they need to be properly informed that it is still just a prototype and given proper information about what functionality is expected to work. This can be then seen in relation to ending up not having enough participants testing it. As noted in Section 5, a way to get a lot of students to participate is by trying to get as many professors as possible interested in trying it out their courses. In a worst-case scenario where too many should stop using it, there will still be those who have not tried it, so replacements may be available. It is therefore important to ensure on a monthly (perhaps even weekly) basis that the participants are both using the Chat Agent, and they are willing to continue using it.

Since AI is a very large field, it can easily become complex and one can also get distracted and lose focus (or get hung up in what one could call "eye candy" features). Therefore, I think the best would be in the beginning to have weekly meetings with my supervisor. This way, the supervisor will not only know that work is being done and progress has been made, but he can also ensure that the work I have done and are planning to do is what I should focus on. It will also be helpful, because if I get issues which I cannot solve (and supervisor is not available at the time), I know that within the next week I have a scheduled meeting and can then focus on something else in the meantime.



## 7 Ethical and legal considerations

There are no legal considerations in this project. All user data be anonymized, and the only data logged by the Chat Agent is the questions that the students ask, and the answers they are presented with. The greatest ethical concern in this thesis is the invested amount of hours testing the Chat Agent. The students will have projects, deadlines and exams to relate to, so requirement of 10-20 hours of usage each month may be too much. On the other hand, if the Chat Agent is not used at all, there may not be enough test data to prove whether or not it had any effect on the students learning curve. If one were to set a timed requirement, it could increase the chance of stress and performance anxiety, ending with students declining to participate or withdrawing before the testing is completed. It is of course important for this thesis that the Chat Agent is used, but it is also important that the users want to use it. Another concern in relation to time is that there is no guarantee that the Chat Agent have any beneficial effects on learning. The results may show that it is faster or easier to use search engines, meaning students have lost time they could have invested elsewhere.

For the validity of the end results, there is also the question of whether or not the Chat Agent actually had any effect. Students past knowledge can effect the average outcome, for better or worse. During the thesis, the testing will be conducted by using Random Controlled Trial (RCT), meaning only a selected group will have access to the Chat Agent. If a large part of the group have a lot of knowledge from before, the end results may be mostly False-Positives. Because even though the data show improvement, they improved because they build on previous knowledge, and not by use of the Chat Agent. To catch this, one of the questions for each test user will be to grade their own knowledge level to scale the end results. There is also the issue that students who might benefit from the Chat Agent, will not be able to use it. Novice students, with little to none programming knowledge may find it unfair that they are not the primary selected group. However, by not selecting by type (e.g. knowledge) one can avoid affecting the data and the end results.



## A Appendix

### A.1 Acronyms

**AI** Artificial Intelligence. 1, 3, 13, 16–19, 21

**Bayes Net** Bayesian network. 3, 4, 7, 8, 19

**GUC** Gjøvik University College. 19

**HMM** Hidden Markov Model. 2–4, 7, 8, 19

**IA** Intelligent Agents. 6

**IR** Information Retrieval. 8

**ITS** Intelligent Tutoring System. 6

**LMS** Learning Management System. i, 1

**Q/A** Question-Answering. 3, 8, 9

**VT** Virtual Teacher. 6



## A.2 IMT5251 Advanced Project Course: Questionnaire

### Questionnaire/survey for ChatBot testing

Are you a (cross the one correct for you):

<input type="checkbox"/> 1. year student		<input type="checkbox"/> Bachelor
<input type="checkbox"/> 2. year student		<input type="checkbox"/> Master
<input type="checkbox"/> 3. year student		<input type="checkbox"/> Other? If so, what? _____

On a scale from 1 - 5 (*1 being novice and 5 being knowledgeable*), how much do you know about programming? \_\_\_\_\_

On a scale from 1 - 5 (*1 being novice and 5 being skilled*), how good are you at programming?  
\_\_\_\_\_

Do you have any previous experience with programming (*before bachelor*)? \_\_ Yes \_\_ No

If yes, how many months/years? \_\_\_\_\_

Where did you have this experience (*self-taught, school, work*)? \_\_\_\_\_

When looking for answers to something you do not know or understand, grade the following from 1 - 5 (*1 being used the most, 5 being used the least*):

Ask the teacher	_____	
Ask a classmate/friend	_____	
Look for answers in books/library	_____	
Use a search engine	_____	Which one(s)? _____
Use an online forum/community	_____	Which one(s)? _____

When using a search engine, on a scale from 1 - 5, how satisfied are you with the results you get (*1 very satisfied, 5 not very*)? \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Why are you so satisfied/dissatisfied with the search engine(s) your are using?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### ChatBot questions:

Having now tried the ChatBot prototype, the following are some questions about your experience.

What are your thoughts on having this tool available during class (*e.g. would it be helpful to have it*)?

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If you were to compare the ChatBot against a search engine, which one performs better and why?

---

---

When asking the ChatBot Questions, did you get the answers you wanted (*e.g. did it return meaningful answers*)?

---

---

How many times did you have to re-phrase your question to get an answer? \_\_\_\_\_  
(leave space for multiple questions)

- Do you think that this is acceptable? \_\_\_\_\_
- How many re-phrases do you find acceptable? \_\_\_\_\_

What did you like when using this ChatBot?

---

---

What did you not like when using this ChatBot?

---

---

In what ways can this be improved? I.e. what can be done to increase the chance that you will use it?

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