

SECURITIES AND EXCHANGE COMMISSION ISSUES RULE: CAPITAL, MARGIN, AND SEGREGATION REQUIREMENTS FOR SECURITY-BASED SWAP DEALERS AND MAJOR SECURITY-BASED SWAP PARTICIPANTS AND CAPITAL AND SEGREGATION REQUIREMENTS FOR BROKER-DEALERS; CORRECTION

US Fed News

October 16, 2019 Wednesday 7:57 PM EST

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Length: 213 words

Dateline: WASHINGTON

Body

WASHINGTON, Oct. 16 -- Securities And Exchange Commission has issued a rule called: Capital, Margin, and Segregation Requirements for Security-Based Swap Dealers and Major Security-Based Swap Participants and Capital and Segregation Requirements for Broker-Dealers; **Correction**.

The rule was published in the Federal Register on Oct. 15 by Vanessa A. Countryman, Secretary.

Summary: The Commission is **correcting** a final rule that appeared in the Federal Register on August 22, 2019. In the document, the Commission adopted capital and margin requirements for security-based swap dealers ("SBSDs") and major security-based swap participants ("MSBSPs"), segregation requirements for SBSDs, and notification requirements with respect to segregation for SBSDs and MSBSPs in accordance with the Dodd-Frank Wall Street Reform and Consumer Protection Act.

For more information, contact Sheila Dombal Swartz, Senior Special Counsel, at 202/551-5545; Division of Trading and Markets, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549-7010.

The full text of the rule can be found at: <http://www.gpo.gov/fdsys/pkg/FR-2019-10-15/html/2019-22053.htm> For any query with respect to this article or any other content requirement, please contact Editor at contentservices@htlive.com

Classification

Language: ENGLISH

Publication-Type: Newswire

Subject: SWAP TRANSACTIONS (90%); SECURITIES & OTHER INVESTMENTS (88%); SECURITIES LAW (88%); CONSUMER PROTECTION (65%); CONSUMER LAW (50%)

Organization: SECURITIES & EXCHANGE COMMISSION (94%)

SECURITIES AND EXCHANGE COMMISSION ISSUES RULE: CAPITAL, MARGIN, AND SEGREGATION
REQUIREMENTS FOR SECURITY-BASED SWAP DEALERS AND MAJOR SECURITY-BASED SWAP
PART....

Industry: SWAP TRANSACTIONS (90%); SECURITIES & OTHER INVESTMENTS (88%); SECURITIES LAW (88%); 2010 WALL STREET & BANKING REFORM (69%); US DODD FRANK ACT (69%)

Geographic: DISTRICT OF COLUMBIA, USA (79%); UNITED STATES (93%)

Load-Date: October 16, 2019

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