

# EMMY NOETHER'S THEOREM

## Invariante Variationsprobleme.

(F. Klein zum fünfzigjährigen Doktorjubiläum.)

Von

**Emmy Noether** in Göttingen.

Vorgelegt von F. Klein in der Sitzung vom 26. Juli 1918<sup>1)</sup>.

Es handelt sich um Variationsprobleme, die eine kontinuierliche Gruppe (im Lieschen Sinne) gestatten; die daraus sich er-

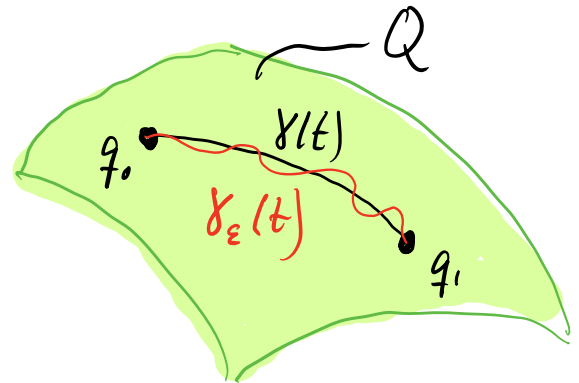
Felix Klein  
David Hilbert  
Pavel Alexandrov  
(der Noether)

**Variational mechanics** (Lagrangian mechanics)

*Setting:*

$Q$ : configuration space  
(manifold)

$L(q, \dot{q}, t)$ : Lagrangian function  
( $TQ \times \mathbb{R} \rightarrow \mathbb{R}$ )



*Hamilton's principle:*

action  $S(t) = \int_{t_0}^{t_1} L(\gamma(t), \dot{\gamma}(t), t) dt$  extremized

$$\left. \frac{d}{d\epsilon} \right|_{\epsilon=0} S(\gamma_\epsilon(t)) = 0 \quad \text{for } \gamma_0(t) = \gamma(t)$$

Euler-Lagrange equations:

$$\begin{aligned} \left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} S(\gamma_\varepsilon(t)) &= \int_{t_0}^{t_1} \left[ \frac{\partial L}{\partial q} \cdot \underbrace{\left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} \gamma_\varepsilon(t)}_{\delta q} + \frac{\partial L}{\partial \dot{q}} \cdot \underbrace{\left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} \dot{\gamma}_\varepsilon(t)}_{\frac{d}{dt} \delta q} \right] dt = \\ &= \int_{t_0}^{t_1} \left[ \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \frac{d}{dt} \delta q \right] dt = \int_{t_0}^{t_1} \left[ \frac{\partial L}{\partial q} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} \right] \delta q dt + \left[ \frac{\partial L}{\partial \dot{q}} \delta q \right]_{t_0}^{t_1} \end{aligned}$$

$\Rightarrow$  Euler-Lagrange eq:

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0 \quad (*)$$

Special case:

$$Q = \mathbb{R}^n$$

$$L(q, \dot{q}) = \frac{m}{2} |\dot{q}|^2 - V(q) \Rightarrow m \ddot{q} = -\nabla V(q) \quad (**)$$

Newton's eq. for potential  $V(q)$

Note: (\*) valid in any coordinates on  $Q$

(\*\*) requires affine coordinates

THEOREM (Noether 1918)

Let  $\Phi^s \in \text{Diff}(Q \times \mathbb{R})$  be one-parameter subgroup s.t.  $\Phi^s(q, t) = (\psi^s(q, t), \eta^s(q, t))$

$$L(\psi^s(q, t), D_{\dot{q}} \psi^s(q, t) \dot{q}, \eta^s(q, t)) = L(q, \dot{q}, t)$$

Then  $I(q, \dot{q}) = \frac{\partial L}{\partial \dot{q}} \frac{\partial \varphi^s}{\partial s} \Big|_{s=0} - \left( \frac{\partial L}{\partial \dot{q}} \dot{q} - L \right) \frac{\partial \varphi^s}{\partial s} \Big|_{s=0}$   
 is conserved along (\*)

PROOF ( $\varphi^s(q, t) = t$ )


$$\varphi^s(q) \mapsto q_s$$

$$\varphi^s \in \text{Ditt}(Q)$$

$$\varphi^s * L = L$$

$$L(q, \dot{q}, t) = L(\underbrace{\varphi^s(q, t)}_{q_s}, \underbrace{D\varphi^s(q, t)\dot{q}}_{\dot{q}_s}, \underbrace{t}_{t_s})$$

$$0 = \frac{d}{ds} L(q_s, \dot{q}_s, t) = \frac{\partial L}{\partial q} \cdot \dot{q}_s' + \frac{\partial L}{\partial \dot{q}} \cdot \dot{q}_s'$$

$\frac{\partial q_s}{\partial s}$  

For any  $s$ ,  $q_s(t)$  solves EL:

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_s} = \frac{\partial L}{\partial q_s}$$

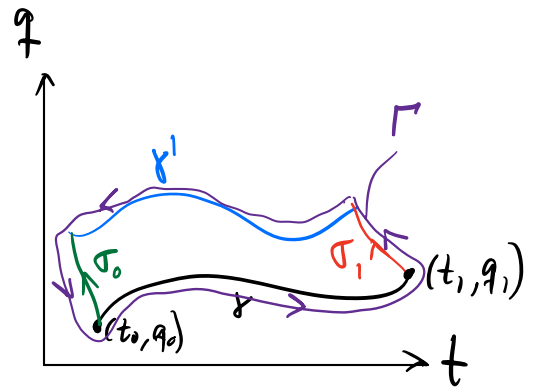
$$\Rightarrow 0 = \left( \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_s} \right) \dot{q}_s' + \frac{\partial L}{\partial q} \left( \frac{d}{dt} \dot{q}_s \right)$$

$$= \frac{d}{dt} \left( \underbrace{\frac{\partial L}{\partial \dot{q}_s} \dot{q}_s'}_{I(q, \dot{q})} \right)$$

$$I(q, \dot{q})$$

# GEOMETRIC PROOF

$$S(q, t) = \int_{t_0}^t L(r(\tau), \dot{r}(\tau), \tau) d\tau$$



$$0 = \int_{\Gamma} dS = \underbrace{\int_{\gamma} dS - \int_{\gamma'} dS + \int_{\sigma_1} dS - \int_{\sigma_0} dS}_0$$

$$dS = \frac{\partial S}{\partial q} dq + \frac{\partial S}{\partial t} dt$$

$$\begin{aligned} \frac{d}{d\epsilon} S(q + \epsilon \delta q, t) &= \int_{t_0}^t \left( \frac{\partial L}{\partial q} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} \right) \delta q + \left[ \frac{\partial L}{\partial \dot{q}} \delta q \right]_{t_0}^t \\ &= \frac{\partial L}{\partial \dot{q}} \delta q \end{aligned}$$

$$\left. \begin{aligned} \frac{d}{dt} S &= L(q, \dot{q}, t) \\ \frac{d}{dt} S &= \frac{\partial S}{\partial t} + \frac{\partial S}{\partial q} \dot{q} \end{aligned} \right\} \Rightarrow \frac{\partial S}{\partial t} = L - \frac{\partial L}{\partial \dot{q}} \dot{q} = -E(q, \dot{q}) \text{ energy}$$

$$\text{Along } \sigma_1: dt = \frac{\partial \gamma}{\partial s} ds \quad dq = \frac{\partial \gamma}{\partial s} ds$$

$$\sigma_0: dt = \frac{\partial \gamma}{\partial s} ds \quad dq = \frac{\partial \gamma}{\partial s} ds$$

$$\Rightarrow \int_{\sigma_0} dS = \int_{\sigma_1} dS$$

$$\int_{\sigma_0} \frac{\partial S}{\partial q} dq + \frac{\partial S}{\partial t} dt = \int_{\sigma_1} \frac{\partial S}{\partial q} dq + \frac{\partial S}{\partial t} dt$$

$$\int_0^s \left( \frac{\partial L}{\partial \dot{q}} \frac{\partial y}{\partial s} + \left( L - \frac{\partial L}{\partial \dot{q}} \dot{q} \right) \frac{\partial y}{\partial s} \right) ds = \int_0^s \left( \frac{\partial L}{\partial \dot{q}} \frac{\partial y}{\partial s} + \left( L - \frac{\partial L}{\partial \dot{q}} \dot{q} \right) \frac{\partial y}{\partial s} \right) ds$$

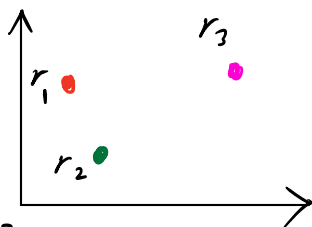
**Example:**  $L(q, \dot{q}, t) = L(q, \dot{q})$  (autonomous)

$$\left. \begin{array}{l} \eta^s(q, t) = -s \\ \frac{\partial y}{\partial s} = 0 \end{array} \right\} \Rightarrow E(q, \dot{q}) = - \left( \frac{\partial L}{\partial \dot{q}} \dot{q} - L \right) \frac{\partial y}{\partial s}$$

$$= \frac{\partial L}{\partial \dot{q}} \dot{q} - L \leftarrow \text{energy is conserved!}$$

**Example**  $Q = \mathbb{R}^{3N}$

$$q = (r_1, \dots, r_N)$$



$$L(q, \dot{q}) = \sum_{i=1}^N \frac{m_i |\dot{r}_i|^2}{2} - V(|r_1|, \dots, |r_N|)$$

$$L(e^{s\tilde{\Omega}} q, e^{s\tilde{\Omega}} \dot{q}) = L(q, \dot{q}) \quad \tilde{\Omega} \in \mathfrak{so}(3)$$

$$I(q, \dot{q}) = \frac{\partial L}{\partial \dot{q}} \frac{d}{ds} \bigg|_{s=0} e^{s\tilde{\Omega}} q = \sum_{i=1}^N m_i r_i \cdot \tilde{\Omega} q$$

$$= \sum_{i=1}^N m_i \dot{r}_i \cdot (\Omega \times r_i) = \sum_{i=1}^N m_i (\underbrace{r_i \times \dot{r}_i}_{\text{angular momentum}}) \cdot \Omega$$

$\Omega \in \mathbb{R}^3$