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# Suitability of Modern Wi-Fi for Wireless-Infield-Communication of Agricultural Machines

Diploma Thesis in Information Systems Engineering

14 April 2023

Please cite as:

Karl Christian Lautenschläger, "Suitability of Modern Wi-Fi for Wireless-Infield-Communication of Agricultural Machines,"  
Diploma Thesis (Diplomarbeit), Faculty of Computer Science, TU Dresden, Germany, April 2023.

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# **Suitability of Modern Wi-Fi for Wireless-Infield-Communication of Agricultural Machines**

Diploma Thesis in Information Systems Engineering

vorgelegt von

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geb. am 29. Juni 1998  
in Magdeburg

angefertigt an der

**Technischen Universität Dresden**  
**Fakultät Informatik**  
**Networked Systems Modeling**

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Abgabe der Arbeit: **14. April 2023**

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(Karl Christian Lautenschläger)

Dresden, 14 April 2023

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# **Abstract**

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Wireless-Infield Communication (WIC) describes a wireless data exchange between agricultural machines in the field. A prototypical use case is an Agricultural Platooning Service, which exchanges guidance data positions following vehicles in a platoon with a lateral and longitudinal offset to a leading vehicle in the field. This thesis investigates the suitability of modern Wi-Fi for WIC based on the use case Agricultural Platooning Service. I analyzed process data and derived requirements of Agricultural Platooning Services in the agricultural domain.

Field measurements in an agricultural environment indicated that the signal strength of Wi-Fi suffers from shadowing effects due to the big size of agricultural machines and multipath effects introduce additional interferences.

I simulated different Wi-Fi physical layer configurations that reduce the data rate but enable robust communication to overcome these challenges. The results enable configuration for long-range service discovery and Uniform Guidance Data exchange modes in the Agricultural Platooning Service. Finally, it was shown that the required latencies and message intervals for Agricultural Platooning Services could be met in the corn harvest scenario.

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## **Kurzfassung**

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Gleicher Text (sinngemäß, nicht wörtlich) in Deutsch

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## Chapter 1

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# Introduction

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With the growing demand for increased efficiency and autonomy in the agricultural domain, Wireless-Infield Communication (WIC) has emerged as a key technology to improve the automation and digitalization of farming processes. WIC describes a wireless connection between agricultural machines in the field that enables process data exchange.

Given that there are many agricultural technology companies worldwide, and a mix of their machines often cooperate in individual agricultural companies, demand for interoperability between agricultural machines of different brands has emerged. In 2008, the Agricultural Industry Electronics Foundation (AEF) was founded to develop and standardize this interoperability<sup>1</sup>.

The AEF is known for its developed binary unit system, the ISO 11783 standard, for agricultural machinery communication, mainly tractors and implements [1]. According to Schlingmann and Benishek [2], the ISO 11783 standard is named ISOBUS system.

The authors mention that the AEF is currently working on other issues. Among them is also WIC. The associated project group WIC develops and standardizes solutions for Machine-To-Machine (M2M) wireless communication between cooperating agricultural machines.

In order to implement WIC, the WIC project group has been searching for a suitable technology that can realize the required data rates, latencies, robustness, and high transmission range. The plans to implement WIC are written down by Schlingmann et al. [3], members of the WIC project group. The authors consider the fundamental use of cellular networks as very problematic because, according to [4], only 30 % of the land surface has network coverage. For this reason, one major concern is that the required data cannot be exchanged because there is no network

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<sup>1</sup><https://www.aef-online.org/about-us/about-the-aef.html>

connectivity around many fields. Nevertheless, the authors want to leave the future WIC system open to cellular standards.

The authors' current focus is on Wi-Fi technologies, especially IEEE 802.11p.

As of July 2021, the frequency spectrum of IEEE 802.11p in the United States of America, ranging from 5.85 GHz...5.925 GHz, has been split. The upper 30 MHz are reserved for Intelligent transportation systems now. The lower 45 MHz have been released for unlicensed operations [5].

Since the use of IEEE 802.11p has now been partly limited by the US Federal Communications Commission, the WIC project group is looking for an alternative Wi-Fi technology that enables WIC.

andere Länder Japan,  
Korea?

In my final thesis, I intend to support the progress of the WIC project group and investigate how modern Wi-Fi can enable WIC. In particular, I will focus on the current Wi-Fi standard, IEEE 802.11ax. During my research, I will study the use cases of WIC in agriculture and the requirements and challenges for WIC in agriculture. To analyze the suitability of IEEE 802.11ax to enable WIC, I will concentrate on the example use case Agricultural Platooning Service. Agricultural Platooning Service enables the exchange of process data to guide a vehicle in a platoon with a lateral and longitudinal offset to a leading vehicle.

In the beginning, I will analyze agricultural process data to find the requirements and challenges of the mentioned use case. I will complement these with insights from past research on WIC in agriculture and wireless technologies in the agriculture domain in general.

The suitability of IEEE 802.11ax for WIC depends on whether the required data rates, latencies, high transmission ranges and robustness in the harsh agricultural environment can be achieved.

By understanding past limitations of Wi-Fi technologies for outdoor communication networks and exploring how IEEE 802.11ax addresses these limitations, I will investigate how IEEE 802.11ax can be configured. For this purpose, I will first simulate how the capabilities of IEEE 802.11ax affect the data rate and the robustness of the wireless connection. After learning suitable parameter settings for the physical and MAC layer of IEEE 802.11ax, I will simulate the use case Agricultural Platooning Service to determine whether the requirements and challenges can be met by IEEE 802.11ax.

Add Field experiments  
to the thesis plan.

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## Chapter 2

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# Fundamentals

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### 2.1 Wireless-Infield Communication

Since 2014, the WIC project group has been working on the development of a WIC standard, which covers a standard for machine-to-machine communication, encryption, and security<sup>2</sup>. Schlingmann and Benishek [2] summarize the goals of the WIC project team as follows:

- Define use cases for WIC in agriculture
- Evaluate the suitability of communication technologies
- Find suitable communication protocols
- Standardize the WIC common software library
- Develop functional and security requirements and concepts
- Test first prototypes in regard to cross-brand conformance
- Write an application guideline

First steps have already been taken in this direction. The use cases and key scenarios are defined and explained by the authors as follows:

- **Real-Time Machine-to-Machine Control** is the exchange of control data under real-time conditions with defined latency policies. This use case enables leader-follower scenarios where agricultural machines follow a leading agricultural machine at a lateral and longitudinal distance. Throughout this thesis, I will refer to Real-Time Machine-to-Machine Control as Agricultural Platooning Service.

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<sup>2</sup><https://www.aef-online.org/about-us/teams.html>

- **Streaming Services** are communications that stream video from remote cameras and monitors at a high data rate and low latency. The authors estimate the distance between the communication participants to be less than 100 m. As a result, this data is available on another agricultural vehicle and can be analyzed and processed there. I will refer to Streaming Services as Agricultural Streaming Services in this thesis.
- **Process Data Exchange** describes the exchange of process data. One example is the exchange of already sprayed field areas to prevent multiple spraying of fertilizers and pesticides on the same field area by different machines. According to the authors, this WIC use case requires long-range technologies because agricultural fields worldwide can be vast.
- **Fleet Management & Logistics** is the potential retrieval of data from the ongoing agricultural process. This information can influence economic or agronomic decisions of agricultural enterprises or service companies and is therefore required in a Farm Management Information System (FMIS). Since not all agricultural machines may be connected to the FMIS, the WIC project group is looking at how to use M2M communications to bridge the missing communications infrastructure until the data reaches a machine that can connect to the FMIS.
- **Road Safety** describes a use case which is already a project between the European Telecommunication Standard Institute and the AEF. Since agricultural vehicles are repeatedly underestimated in their size and speed by other road users when they suddenly turn off the field onto the road, the other road users need to be warned in this situation. In this way, smart technologies in cars and motorcycles can brake these vehicles in advance and prevent possible accidents.

Wichtig:

Considering that I investigate the suitability of modern Wi-Fi for Wireless-Infield-Communication and modern Wi-Fi like IEEE 802.11ax is no long range technology, I will focus on investigating the suitability of IEEE 802.11ax for the WIC use case Real-Time Machine-to-Machine Control. Throughout this thesis, I will refer to real-time machine-to-machine control as Agricultural Platooning. An example how farmers can benefit from Streaming Services or Agricultural Platooning Services is the corn harvesting and loading process.

## 2.2 Harvest and Loading Processes as Use Cases for Wireless-Infield Communication

The Forage Harvester (FH) has proven to be an essential agricultural machine for harvesting and loading forage. Seifert, Grimm, and Schurig [6] define a forage harvester as an agricultural loading machine for nearly all types of animal feed. According to the authors, a forage harvester can load the following animal feed by mounting different cutting and loading devices: Hey, Straw, Corn, Grass and Clover.

In the harvesting and loading process, a Transport Machine (TM) typically drives alongside or behind the FH so that the FH can load the harvested goods onto the trailer of the TM using the spout. Drivers operate both machines and try to keep the speed and distance so that the spout throws the harvested goods into the trailer of the TM. An image of a corn harvesting and loading process can be seen in Figure 2.1.

Taking a corn harvest scenario as an example, some key figures are represented in [7], a standard reference book in agricultural literature. This book contains key figures on farming processes, which 80 experts have compiled. The key figures, which are shown in Table 2.1, are dependent on the Plant Density (PD) and show the large amount of forage harvested by a FH every hour.

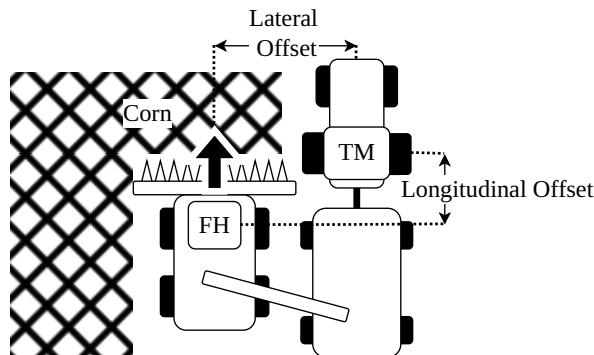


**Figure 2.1** – Forage Harvester (FH) and Transport Machine (TM) in a corn harvesting and loading process

Plant Density (PD)	20 t/ha	30 t/ha	50 t/ha
Harvested volume in m <sup>3</sup> /h	285.7-333.3	428.6-500.0	595.7-695.0
Filled Transport Machine loads in 1/h	5.7 - 6.7	8.6 - 10.0	11.9 - 13.9
Harvested mass in t/h	100	150	208.5

**Table 2.1** – Key figures of corn harvest of a Forage Harvester (FH) with a working width of 6.2 m in a 80 ha-field in regards to Plant Density (PD) [7]

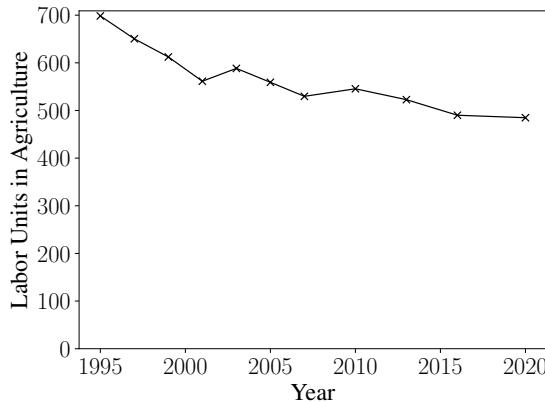
The harvesting and loading processes are examples of the use of agricultural Platooning Services as described by Zhang et al. [8]. This Platooning Service creates a leader and follower system where an uncrewed agricultural machine follows a leading operated agricultural machine. The operated FH, as a leader, sets the path and speed and transmits the data via WIC to the TM. Based on the path and speed data of the FH, TM follows unmanned with a longitudinal and lateral offset, as Figure 2.2 displays.



**Figure 2.2** – Lateral and longitudinal offset between the two agricultural machines Forage Harvester (FH) and Transport Machine (TM) in a corn harvest scenario

The application of platooning services offers many advantages. The TM is positioned optimally to the FH so that the forage can be loaded ideally from the FH onto the TM.

Because, as displayed in Figure 2.3, fewer and fewer workers are working in agriculture, platooning services for harvest and loading processes can save and free up labour for other activities [9]. As stated in Table 2.1, ten drivers for the TMs are needed in the corn harvest process with a high PD. Using an Agricultural Platooning Service, each TM can drive unmanned in the field, leading to fewer workers needed in the corn harvest process.



**Figure 2.3** – Decrease in the agricultural labor force in Germany based on the data from [10]

Smolnik and Lücke [11] adds that platooning services at the platoon level can reduce FH drivers' workload so that they can focus on optimally adjusting the machines. In addition, TMs can be guided to the FHs in a targeted manner so that logistics processes in the field can be improved.

At the same time, the harvest and loading processes are examples of the video streaming WIC use case. During these harvest and loading processes, the spout of the FH must be controlled to set the loading position of the forage into the trailer of the TM.

According to Murcia [12], different spout guidance and control systems have been developed to automate the filling of the trailer. Spout guidance and control systems use a camera attached to the spout to determine the fill volume at each point of the trailer via machine vision and set the spout to fill the empty parts accordingly. The author describes Autofill - systems from Claas and Intellifill - systems from CNH Industrial as examples of spout guidance systems.

Streaming the video of a camera at the spout from the FH to the TM would be a practical application of the video streaming use case in the harvesting process. If the TM driver can watch a live stream of the trailer's fill level, he will always be informed and knows when the trailer is fully loaded and can drive the forage back to the farm.

## 2.3 Wireless Lans according to IEEE 802.11

According to Kauffels [13], the first version of the Standard IEEE 802.11 was published in 1999 to enable a wireless alternative to Ethernet - or Token-Ring - networks. Sauter [14] considers IEEE 802.11 also to be an implementation of Ethernet with the help of wireless radio technologies. The author lists the extensions to the original

standard, which range from 802.11b, 802.11g, 802.11a, 802.11n, 802.11ac to the latest enhancement 802.11ax. The different IEEE 802.11 standards can operate in the 2.4 GHz - , 5 GHz and 6 GHz - frequency band. IEEE 802.11n is also known as High-Throughput (HT) Wi-Fi, IEEE 802.11ac as Very-High-Throughput (VHT) Wi-Fi [15] and IEEE 802.11ax as High-Efficiency (HE) Wi-Fi [16]. The standards are acknowledged by these names. In addition, they are also called Wi-Fi 4, Wi-Fi 5 and Wi-Fi 6 respectively. Jacob et al. [17] adds that there are also two extensions IEEE 802.11p and its successor IEEE 802.11bd. These operate in a reserved frequency spectrum for Vehicle-to-everything (V2X) according to the authors in the 5.9 GHz frequency spectrum.

Kauffels [13] defines the following three basic architectures for IEEE 802.11.

If two or more stations (STAs) communicate directly without an Access Point (AP), they form an ad hoc network. According to the author, this can be set up quickly and easily and is called Independent Basic Service Set (IBSS).

The Infrastructure Basic Service Set (BSS) mode allows all STAs within the range of defined range around the AP to communicate via a central AP. Within the area of the BSS, all stations can move freely and communicate with one another.

Since an AP has limited range and can only cover a certain area, the Extended Service Set (ESS) was introduced. It contains a distribution system, which links several BSS with each other.

Thereby, the BSS coverage areas can physically overlap so that continuous connection of stations within the ESS can be provided. For a better performance the BSS can be physically placed on top of each other. One can also have objectively separate BSSs so that these BSSs can be linked together over long distances. Pursuant to the author, the standard does not specify a distance limit for such connections.

He also mentions that the standard defines the following three mobility types for station in an ESS, where a station can do no-transition and thereby stay within a BSS, BSS-transitioning and move from one BSS to another BSS within the same ESS and ESS-transition, where the Station moves from an ESS to another one but no stable connection can be guaranteed.

Sauter [14] adds that usually Ethernet is used to link APs within an ESS. But according to the author this can be replaced by a wireless connection, which is called wireless bridge.

To enable communication between Wi-Fi devices, the IEEE 802.11 standard defines the physical and the MAC layer of the OSI model. The first layer is the physical layer.

## Wi-Fi Physical Layer

A constant change of the physical layer accompanies the further development of IEEE 802.11. Sauter [14] mentions that all new enhancements of the physical layer of IEEE 802.11 are backward compatible with previous definitions of the standard.

According to the Author, IEEE 802.11 initially used Direct Sequence Spread Spectrum and Frequency Hopping Spread Spectrum as modulation methods. Since IEEE 802.11g the modulation method Orthogonal Frequency-Division Multiplexing (OFDM) can be used in the 2.4 GHz frequency band. The author explains OFDM as follows. OFDM divides the transmission channel into subcarriers with different amplitudes, frequencies and phases. Each subcarrier is orthogonal to another one, as they send the information “Low“, where only one other subcarrier is sending the information “High“.

### Symbol length

The data is then sent as OFDM symbols over the individual OFDM subcarriers. The distance between the “Highs“ of the subcarriers is specified as subcarrier spacing and corresponds to the reciprocal symbol length. IEEE 802.11ax increased the OFDM symbol length from 3.2  $\mu$ s for IEEE 802.11n to a maximum of 12.8  $\mu$ s [14]. This corresponds to a subcarrier spacing of 312.5 kHz and 78.125 kHz respectively [14].

For the IEEE 802.11p and IEEE 802.11bd standards, a symbol length of 6.4  $\mu$ s applies, corresponding to a subcarrier spacing of 156.25 kHz [17].

The Fast Fourier Transform and Inverse Fast Fourier Transform are used to modulate and demodulate the transmitting bits. With the reduction of subcarrier spacing, more subcarriers are created in the transmission channel, so the Fast Fourier Transform size must be increased.

Kauffels [13] adds that OFDM can be used in the 5 GHz frequency band since IEEE 802.11a.

### Bandwidth (BW)

The frequency bands are divided into channels in order to create several transmission channels. This results in 13 channels in Europe in the frequency band of 2.412 GHz...2.482 GHz and 18 channels in the frequency band of 5.180 GHz...5.350 GHz and 5.470 GHz...5.725 GHz [14]. Transmission channels can be combined to enable higher data rates. Every channel has a width called BW specified in MHz. The possible BWs for the IEEE 802.11 standards are shown in Table 2.2.

IEEE 802.11ac and IEEE 802.11ax also enable the use of a discontinuous BW, which combines two 80 MHz channel to a 160 MHz BW channel [16], [15].

Standard	Channel BWs in 2.4 GHz	Channel BWs in 5 GHz	Channel BWs in 5.9 GHz
IEEE 802.11n [14]	20 MHz,40 MHz	20 MHz,40 MHz	-
IEEE 802.11ac [15]	-	20 MHz,40 MHz, 80 MHz,160 MHz	-
IEEE 802.11ax [16]	20 MHz,40 MHz	20 MHz,40 MHz, 80 MHz,160 MHz	-
IEEE 802.11p [17]	-	-	10 MHz
IEEE 802.11bd [17]	-	-	10 MHz,20 MHz

Table 2.2 – Available BWs for the IEEE 802.11 standards per frequency band.

While wider channels increase the theoretical data rate, Avallone et al. [18] mentions that narrower channels can boost the signal's power spectral density and thus increase the transmission range.

### Modulation and Coding Scheme (MCS)

In order to encode as many bits as possible on one OFDM symbol, different MCSs can be used. The MCSs for the IEEE 802.11 standards are based on Phase Shift Keying (PSK) or Quadrature Amplitude Modulation (QAM). [13]. The smallest MCS is Binary - PSK and encodes 1 bit per symbol. IEEE 802.11ax has the most complex MCS of 256 - QAM IEEE 802.11ac to 1024 - QAM and thus now encodes 10 bit per symbol [19]. In the V2X standards IEEE 802.11p and IEEE 802.11bd, the MCSs can range from binary - PSK to 256 - QAM [17].

An imaginary, theoretical transmission channel is usually specified as a square-wave signal in the frequency domain with limits of both minimum and maximum amplitude and cut-off frequency. Kauffels [13] defines the roll-off factor as a cosine-shaped flattening of the square signal between 0 and 1. In addition, the author points out that QAM can generate high roll-off factors so that signals interfere significantly more with adjacent channels.

In this regard, the author recommends setting the parameters in an OFDM system so that first, the coding rate and then the complexity of the MCS is reduced in challenging transmission environments. The more bits a MCS encodes on a symbol, the more error-prone the correct decoding.

### Forward Error Correction (FEC)

Nevertheless, bit errors can occur during transmission. In this regard, [13] mentions and explains FEC as a technique to reduce bit errors during transmission. FEC adds redundant bits to the data. The receiver uses these redundant bits to check the integrity or correct errors of the received data. The proportion of non-redundant transmission bits is defined in the Coding Rate (CR).

To achieve this, binary convolutional coding (BCC) is used mandatory since the IEEE 802.11n standard [19], [20]. Syafei et al. [20] add that it is optionally possible to use low-density parity-check (LDPC). The authors state that LDPC can achieve a better channel capacity performance. This impact is also confirmed by Afaqui, Garcia-Villegas, and Lopez-Aguilera [19], who point out that LDPC also generates higher computational cost.

IEEE 802.11ax stations must support LDPC when using the IEEE 802.11ax standard under the following conditions [16], [19] :

- The used bandwidth is greater than 20 MHz
- The chosen MCS is 1024-QAM
- More than four transmission channels are used for the transmission.

IEEE 802.11ax achieves CR of  $1/2$ ,  $2/3$ ,  $3/4$ , and  $5/6$  [16]. Similarly, IEEE 802.11p uses the BCC technique, which has been superseded by LDPC in its successor IEEE 802.11ax [17], [21]. Yacheur, Ahmed, and Mosbah [21] argue that this step was important, as LDPC offers better error correction possibilities for higher communication ranges greater than 50 m.

Together with the MCS, the FEC CR form a physical layer specification, which is named after the specific standard. For IEEE 802.11ax, this results in the HE-MCS values in Table 2.3.

### Guard Interval (GI)

Pulimamidi, Nulu, and Tahernehzadi [22] describe the Guard Interval as a cyclic prefix of OFDM symbols to prevent intersymbol interference and through intercarrier interference. Intersymbol interference is caused by multipath delays, where the reflected delayed previous symbol can interfere with the currently received symbol [23]. Similarly, intercarrier interference is caused by time-varying channel resulting in a longer OFDM symbol duration [24].

Pulimamidi, Nulu, and Tahernehzadi [22] explain how a guard interval can prevent these interferences. Since the guard interval is designed to prevent possible interference on the following symbol, it must be at least long enough to catch all channel impulse responses with the resulting delays. The guard interval is then

HE-MCS index	Modulation and Coding Scheme (MCS)	Coding Rate (CR)
0	Binary PSK	1/2
1	Quadrature PSK	1/2
2	Quadrature PSK	3/4
3	16-QAM	1/2
4	16-QAM	3/4
5	64-QAM	2/3
6	64-QAM	3/4
7	64-QAM	5/6
8	256-QAM	3/4
9	256-QAM	5/6
10	1024-QAM	3/4
11	1024-QAM	5/6

**Table 2.3 – MCS and CR for HE-MCS values [16]**

removed again at the receiver. This results in an attenuation of bandwidth which can be described by the following formula:

$$\text{GI\_Bandwidth\_Attenuation} = \frac{\text{OFDM\_symbol\_duration} \times 100}{\text{OFDM\_symbol\_duration} + \text{GI}}. \quad (2.1)$$

Since IEEE 802.11n, a shortened GI of 400 ns is usable, which increases the maximum data rate from 270 Mbit/s to 300 Mbit/s compared to the usual GI of 800 ns [14]. IEEE 802.11ax supports GIs of 800 ns, 1600 ns and 3200 ns to enable better protection against multipath effects in indoor and outdoor communications [25].

No condition for the use of the different GI is mentioned in [25], [26], [27] or [19]. Moreover, the sources mentioned only specify a OFDM symbol length of 12.8 µs.

Nevertheless, the standard IEEE 802.11ax [16] specifies the following rules for using the different GIs. A 1600 ns GI can only be used with a symbol length of 6.4 µs. The same applies to a GI of 800 ns, with the optional extension for use with a symbol length of 3.2 µs. A GI of 3200 ns can only be used for a symbol length of 12.8 µs.

### Dual Carrier Modulation (DCM)

To introduce additional robustness DCM can be applied to the physical layer since IEEE 802.11ax [17], [28], [16]. Jacob et al. [17] describe DCM as sending data twice over two coherent carriers. At the receiver, the data copies are combined with the log-likelihood ratio. Thus DCM increases the probability of receiving the data.

[16] provides a receiver minimum input sensitivity, which indicates until which Received Signal Strength (RSS) a packet is received with a probability of 90 %. The receiver minimum input sensitivity for a BW of 20 MHz is displayed in Figure 2.4. It

demonstrates that when using DCM, the receiver minimum input sensitivity can be lower than without using DCM. The effect on the receiver minimum input sensitivity increases as the HE-MCS value increases.

A similar development of the receiver minimum input sensitivity can also be observed for higher BW, except that the lowest value increases with BW.

The higher probability of achieving data is reached at the expense of the data rate. The same amount of data now takes twice as long to transmit.

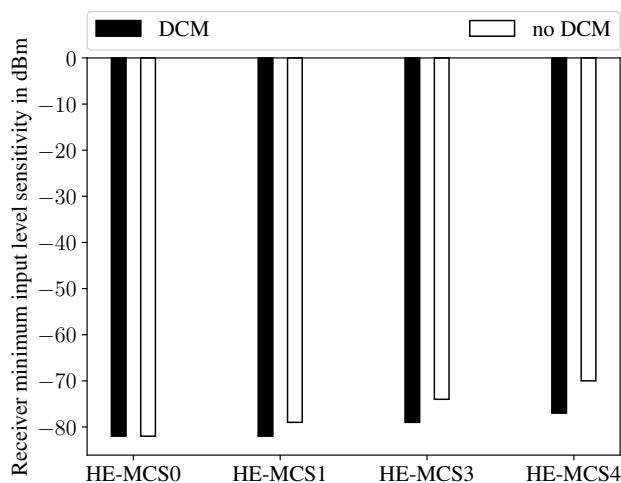
[16] lists the theoretically possible data rates. These reveal that the maximum achievable data rate with DCM is only half of the attainable data rate without DCM.

Support for DCM is only optional in the IEEE 802.11ax standard and can only be used for HE-MCS-0, HE-MCS-1, HE-MCS-3 and HE-MCS-4 for 1 or 2 spatial transmission streams [16].

Jacob et al. [17] and Triwinarko, Dayoub, and Cherkaoui [28] mention plans, to allow using DCM in the physical layer of IEEE 802.11bd.

### Extended Range (ER)

Since IEEE 802.11ax, the ER Mode exists, which defines the new HE ER Single-User (SU) Physical layer convergence protocol data unit (PPDU) as physical layer amendment [16], [19]. Deng et al. [25] explains that the HE ER SU PPDU format is intended to extend the range of a single station to access point transmission. According to the authors, this is accomplished by the PPDU containing a repetition of the HE-SIG-A field.



**Figure 2.4** – Receiver minimum input level sensitivity for different HE-MCS values according to [16], where packet error rate (PER) is less than 10 %

In addition, the authors explain that the preamble transmission power is boosted to guarantee reliable transmission for longer ranges. The power-boost is limited to additional 3 dB in [16], [17].

The IEEE 802.11ax [16] standard defines that the HE ER SU PPDU format may only be used when 20 Mhz transmissions with either 242-Resource Unit with HE-MCS-0 - HE-MCS-2 or 106-Resource Unit with HE-MCS-0 are used on a spatial stream. In addition, one can use DCM. Sauter [14] defines the Resource Unit as fragments of a Wi-Fi channel. The number before the Resource Unit indicates the number of subcarriers which are part of the Resource Unit.

Optionally, the HE ER SU PPDU may also be transmitted with a GI of 800 ns, where an additional application of DCM is forbidden.

Jacob et al. [17] and Triwinarko, Dayoub, and Cherkaoui [28] add that it is planned to use the ER mode also in the IEEE 802.11bd standard.

### Multiple Input Multiple Output (MIMO)

In order to further exploit the physical layer capabilities, the single transmitting and receiving antenna systems called Single-Input-Single-Output can be extended to MIMO - systems. Sauter [14] describe the idea behind MIMO as the usage of multiple transmit antennas and multiple receiving antennas. Spatial multiplexing is used so that the transmitted signals from each antenna are reflected differently on objects and can thus be received from different directions at the receiver antennas.

The authors explain that since IEEE 802.11n, it is possible to use up to 4 MIMO streams. This number was increased again up to 8 MIMO streams in IEEE 802.11ax [16]. Since data can be sent simultaneously via each MIMO stream, the theoretical data rate can thus increase proportionally depending on the usable streams. The mechanism is called SU-MIMO [16].

Sauter [14] mentions that since IEEE 802.11ac it is possible to use Downlink Multi-User (MU)-MIMO, which allows an AP to transmit data to multiple STAs via different available MIMO streams simultaneously. According to the authors, MU-MIMO can increase the network throughput. IEEE 802.11ax introduced MU-MIMO in the Uplink direction [16], where multiple STAs can transmit data simultaneously to the AP via different available MIMO streams. MU-MIMO DCM can also be applied in IEEE 802.11ax [16].

Another MIMO technique is Orthogonal Frequency-Division Multiple Access (OFDMA), which can be utilized since IEEE 802.11ax [16], [18], [29]. Avallone et al. [18] explains that OFDMA enables an AP to transmit data to multiple STAs simultaneously by dividing the available bandwidth into Resource Units (RUs) and assigning each RU to a STA. The authors add that OFDMA can be used in both the uplink and downlink direction. The AP can choose the best suited RU for each

STA and thus increase the Signal-to-Interference-plus-Noise Ratio [30]. Behara and Venkatesh [31] adds that OFDMA is designed to improve the per-user throughput in high-density networks, e.g. stadiums, airports or public transportation systems.

### Space-Time-Block-Code (STBC)

Abbas et al. [32] further explains that MIMO spatial streams can be utilized to enhance the quality of the received signal. The Technology is called STBC. Santumon and Sujatha [33] explain it as follows. STBC is a technique used in Wi-Fi networks to improve the reliability and robustness of wireless communications. STBC encodes multiple redundant copies of data at the transmit side, which are transmitted in different spatial streams to reduce fading and interference effects. At the receiver side, these multiple copies are combined using a maximum likelihood detector to retain a high-quality signal and decrease the packet error rate (PER).

Here, Stamoulis and Al-Dahir [34] has investigated the potential effect of STBCs on Wi-Fi. Their simulations showed that STBCs increase the range and robustness for IEEE 802.11a. In addition, the authors concluded that STBC increases the Signal Noise Ratio (SNR) in nearly all cases at the same throughput or even allows higher MCS values to be used, thus allowing a higher throughput at the same SNR. This results in STBC improving the reliability and robustness of wireless communications.

Ghosh et al. [35] analyzed the error rate performance for an increased number of used antennae and found that a lower bit error rate can be achieved when increasing the number of transmit antennas with STBC.

Gast [36] and Sauter [14] mention that STBC can extend the signal range due to the increased robustness.

IEEE 802.11ax stations can optionally use STBC under the following conditions [16]:

- DCM is not applied
- The number of spatial streams is 2
- The GI is not 0.8 ns and the symbol length is not 12.8  $\mu$ s

Gast [36] states that STBC is only supported in 1/5 of the Wi-Fi-certified devices.

## Wi-Fi Data Link Layer

The next layer in the OSI model is the Data Link Layer. The Data Link Layer consists of Medium Access - and Logic Link Control functionalities.

According to Kauffels [13], the MAC functionalities cover network entry, authentication, and media access methods. The author explains that every AP send beacon frames periodically to synchronise its stations in the BSS and that the beacon frame

contains the Service Set Identifier (SSID), which identifies the BSS or ESS of the station. Sauter [14] adds that a beacon frame contains a 16 bit - long capability information element. Each bit here signals that the AP provides a particular function or has a specific feature.

Kauffels [13] explains a station's network entry procedure. A station can use the passive or the active scanning mode. The station listens for a beacon frame in the various transmission channels in passive scanning mode. Alternatively, a station can send a probe frame in active scanning mode. The probe frame can either contain an already known SSID to test the presence of the AP or include a broadcast SSID that causes all nearby APs to respond. The response of an AP to the probe frame is the probe-response frame, which contains the same information as a beacon frame. With the information from the beacon frame, a station can start the authentication process.

For this process, Kauffels [13] names the two methods Open System Authentication and Shared Key Authentication. Sauter [14] explains that Open System Authentication is based on a device making an authentication request to the AP. If the AP answers with a positive status in the Authentication Frame, the station is included in the BSS. The actual encryption and authentication are then performed by the Wi-Fi Protected Access (WPA) functions. The author points out that Shared Key Authentication is no longer used today. Sommer and Dressler [37] adds that the authentication process differs for the Ad-Hoc mode, where every station can authenticate new stations.

After the authentication process, the station receives a time-synchronisation function with a timestamp, the physical layer parameter configuration and the SSID of the BSS or ESS. The STA can start the media access method now.

The IEEE 802.11 standard describes the two media access methods Distribution Coordination Function (DCF) and Point Coordination Function (PCF).

Sauter [14] explains that DCF is based on the media access method Carrier Sense Multiple Access/Collision Avoidance (CSMA/CA). In CSMA/CA, a device willing to transmit senses in the air transmission medium for a transmitting activity. If no other device is transmitting, the device can transmit. In transmitting activity, the terminal must wait at least until the transmission and the Interframe Space (IFS) are over. Various access priorities are implemented by different IFS lengths [37].

Since data transmission via the air transmission medium is very vulnerable to errors, the standard IEEE 802.11 requires that each received unicast packet be confirmed with an Acknowledgement (ACK) frame [37].

The DCF IFS ensures that an ACK frame can be sent before another station uses the same channel to send a data frame. Each ready-to-transmit device determines a random backoff time from a time interval called the contention window. The device with the shortest backoff time transmits next, and all other ready-to-transmit

devices restart the media access procedure to avoid multiple devices transmitting simultaneously after Distributed Coordination Function Interframe Space (DIFS). If two devices start sending next because they randomly chose the shortest backoff time, the transmitted signal will interfere, and the packets will not be answered with an ACK frame. Sommer and Dressler [37] adds that in case of such a faulty transmission, the contention window increases exponentially until the maximum value of retries is reached and the contention window size is reset to the starting value.

To share the knowledge of a transmission time and the subsequently IFS, a packet contains a Network Allocation Vector (NAV) that specifies the time the air transmission medium is used. Collisions can occur as the NAV information can be reset by other transmissions from a different, overlapping network. To avoid this, IEEE 802.11ax maintains two NAVs, one for intra-BSS and one for inter-BSS transmissions [16]

The extension IEEE 802.11e introduced a amendment of CSMA/CA called Enhanced Distributed Channel Access (EDCA) [37] [38]. According to Sommer and Dressler [37], EDCA provides a Quality-of-Service transmission procedure, which classifies 4 access categories. Each access category has different minimum and maximum contention window sizes and different IFS lengths, named arbitration IFS. Wu et al. [38] explains that each access category keeps its own backoff counter and frame queue. Every access category is handled as an independent virtual station which tries to access the medium. When two transmissions of different access categories collide, and both contention windows are set to zero simultaneously, the EDCA mechanism ensures that the access category with the higher priority wins.

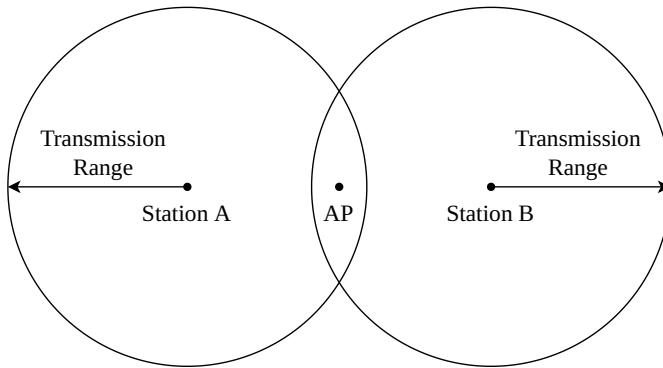
The four access categories are listed in Table 2.4.

EDCA is integrated into the modern IEEE 802.11 standard data link layer of IEEE 802.11ac [15] and IEEE 802.11ax [16].

Access Category	Priority (1 = Highest)
Voice	1
Video	2
Best Effort	3
Background	4

**Table 2.4 – Access Categories and their priorities for IEEE 802.11e EDCA [38]**

In various network architectures, the "hidden station"-problem may occur. As you can see in Figure 2.5, Station A cannot sense a transmission of station B and vice versa. In case of simultaneous transmission of both stations, interferences around the AP may occur.



**Figure 2.5 – Hidden Station Problem**, where interferences at the Access Point (AP) can occur, when station (STA) A and B send simultaneously

To prevent the hidden station problem, Sauter [14] explains that a STA can access the medium via the point coordination function. The STA sends a Request-to-Send (RTS) frame to the AP and waits for a Clear-to-Send (CTS) frame. After receiving the CTS frame, the STA has reserved the medium for a specific time and can send its data frame. The successful transmission of the data frame is confirmed with an ACK frame. To avoid another STA accessing the medium during the RTS and CTS frames, the PCF IFS is shorter than the DCF IFS. This mechanism allows the AP to send the CTS frame before another STA can access the medium.

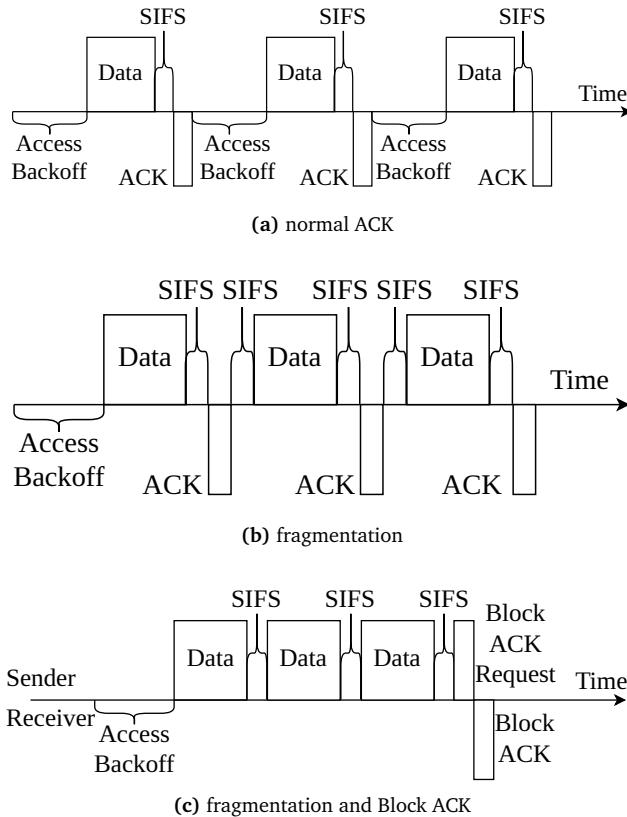
Sauter [14] states that the point coordination function is usually not configured because it introduces additional overhead of the RTS and CTS frames, which is only worth it when the data frame is large.

Larger frames can also be split into smaller frames to reduce the probability of collisions. This procedure, called fragmentation, divides the data into up to 16 frames when a specific data length threshold is exceeded [39]. The fragmented frames are transmitted by the three following different ACK policies in Figure 2.6, which are described by Sauter [14].

Using the standard ACK policy, the sender applies the DCF mechanism before sending each frame. The sender also waits for an ACK frame from the receiver after each transmission.

Instead of operating in the DCF mode, the sender can also send the next frame after waiting for the Short Interframe Space (SIFS) time when the ACK frame has been received. This is displayed in 2.6b and saves the overhead of acquiring the medium again.

Additional transmission time can be saved using the Block ACK policy, shown in 2.6c. The sender sends the first frame and waits for the ACK frame. After transmitting all fragments, the sender sends the Block ACK request. The receiver sends the Block ACK frame, which contains the information of all received frames.



**Figure 2.6 –** Data transmission between a sender and receiver in regards to the time with normal Acknowledgement (ACK) 2.6a, fragmentation 2.6b and additional Block ACK 2.6c

All missing frames are retransmitted. The Block ACK frame can be sent delayed when some processing time is required.

Every Block ACK session is started with an add Block Acknowledgment (ADDBA) request frame, which is sent by the sender and contains the session parameters. The receiver sends an ACK and answers with an add Block Acknowledgment (ADDBA) response frame. After the sender acknowledges the response frame, the Block ACK session is established. The Block ACK session is ended with a delete Block Acknowledgment (DELBA) frame, which is sent by the sender and acknowledged by the receiver.

The Block ACK policy can be used in IEEE 802.11n [39], IEEE 802.11ac [15] and IEEE 802.11ax [16]. In the IEEE 802.11p standard, the Block ACK policy can be added as an optional feature [40].

## 2.4 Related Work

Since my undergraduate thesis<sup>3</sup> about *Wirelessly Networked Coordination of Automatic Section Control for Agricultural Machines*, I have been working on the topic of WIC. I conducted both field experiments and simulations to investigate the performance of LoRa as a technology to exchange process data in meshed Automatic Section Control, a prototypical application of connected vehicles in the agricultural domain. A summary of my results is published in a paper [41]. In my undergraduate thesis and paper, I described the current state of research in the field of WIC.

The first research paper on WIC that I found was from Ali et al. [42]. The authors developed a system based on General Packet Radio Service (GPRS) to exchange position data between TMs and combine harvesters to guide empty TMs to a combine harvester.

Smolnik and Lücke [11] describes the research project *5G Netmobil* in which the authors investigated how existing technologies like IEEE 802.11 or 3GPP LTE can be integrated into 5G technologies to enable Agricultural Platooning Services. The research plan was to evaluate the use of User Datagram Protocol (UDP) and Basic Transport Protocol (BTP) to exchange guidance data via the underlying technologies 3GPP LTE and 5G V2X and IEEE 802.11p. The authors implemented a system using 802.11p, as according to their technical analysis, this technology already fulfils the requirements for data rate, latency and the number of participants. The authors report that the project results demonstrated that achieved latencies were five times lower than the defined maximum latency of 50 ms for Agricultural Platooning Services.

Further research on WIC is not based on cellular networks. Zhang et al. [8] used IEEE 802.15.4 to implement a prototype of an Agricultural Platooning Service, where the developed system exchanges relevant control data between a leading tractor to guide a following tractor.

Smolnik and Lücke [11] states that the developed system of Zhang et al. [8] is part of the project *Elektronische Deichsel für landwirtschaftliche Arbeitsmaschinen (EDA)* and it was further improved within the scope of project *Elektronische Deichsel für landwirtschaftliche Arbeitsmaschinen mit Umfeldsensorik und zusätzlichen Geoinformationen (EDAUG)*.

Klingler, Blobel, and Dressler [43] investigated how IEEE 802.11p can be used for WIC. Experiments revealed that data could be exchanged over a maximum range of 1700 m, where Line-Of-Sight (LOS) was lost. But during the measurement in an agricultural work scenario from the corn harvest, there were collapses in the RSS

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<sup>3</sup><https://github.com/klautenschlaeger/mvsc>

due to shadowing effects of the machines. The authors point out that the size and shape of the forage harvester can cause intensified shadowing effects.

There are also more developments from the industry in the field of WIC. In this context, Thomasson et al. [44] describe the John Deere Machine Sync and Case IH V2V systems as follows:

John Deere Machine Sync enables the WIC use cases Process Data Exchange and Agricultural Platooning Service. Liu et al. [9] have extended the system to use Combine Harvesters, adding that the Machine Sync system is based on Metzler, Flohr, and Hoeh [45]'s patent. Smolnik and Lücke [11] adds that John Deere Machine Sync is only available for a subgroup of John Deere machine types and cannot be used with machines of other brands.

Case IH V2V also offers an agricultural platooning service. However, according to the authors, the system can only be used for harvesting and loading scenarios.

Also currently on the market is the Raven Autonomy™ Driver Assist Harvest Solution<sup>4</sup> system from Raven Industries. This system allows the harvester to take control of a TM from a distance of 70 m. The harvester then automatically guides the TM into the perfect position to load the harvested crop onto the TM via the spout. Once the harvesting and loading process is complete, the driver of the TM driver retakes control.

A comparable system is CartACE from AgLeader<sup>5</sup>

The technology used in the mentioned systems is not known. In response to questions about how the systems can be used on farms worldwide and what prerequisites must be created for this, the manufacturers refer to the regional distribution options.

Wireless communication technologies are also used to implement wireless sensor networks in the agricultural domain.

According to Ahmed, De, and Hussain [46], wireless sensor networks in the agricultural domain can be used to monitor soil and water conditions, plant diseases, and farm automation solution or track animals or assets. The authors mention similar requirements for wireless sensor network applications compared to WIC applications. For example, asset-tracking applications require low latency and must support asset mobility. The authors' results indicate that fog computing can lessen the latency and the required bandwidth compared to cloud computing. When a higher data rate is required, the authors recommend Wi-Fi technologies like IEEE 802.11n or IEEE 802.11ac.

As wireless sensor networks for agricultural applications, they must be able to operate in the same agricultural environment as WIC applications. Brinkhoff and Hornbuckle [47] describe that they expect a limited cellular network coverage and

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<sup>4</sup><https://ravenind.com/products/autonomy/driver-assist-harvest-solution>

<sup>5</sup><https://www.agleader.com/harvest/cartace/>

complex outdoor environments with large water areas, different crop vegetation, and other obstacles or various weather conditions. The researchers developed a wireless sensor network based on IEEE 802.11b, where they exchanged data between an AP and multiple stations on a cotton and rice field. The authors report that they easily achieved a communication range of 1000 m in a LOS scenario. They mention that different wheater conditions have little impact on communication reliability. A significant influence on the communication range is the height above ground or the crop vegetation, where the authors recommend using at least a height of 0.2 m.

Wi-Fi technologies are also used in various outdoor scenarios. The outdoor performance of Wi-Fi technologies in different use cases and scenarios have been investigated by various researchers. Aust, Prasad, and Niemegeers [48] surveyed past research on outdoor performance of IEEE 802.11 technologies. The authors summarize results of urban, rural, desert or water surface scenarios with different environmental conditions and antenna configurations. I focus on the findings for Wi-Fi with omnidirectional antennas in rural areas.

In their general findings, they cite [49] and [50], which name the intersymbol interference due to multipath effects as main reason for packet losses in Wi-Fi outdoor communication. The authors conclude, that using directional antennas instead of omnidirectional antennas can lead to fewer multipath effects. Furthermore, the authors add that external Wi-Fi interference is only expected in urban areas and is not very likely in rural areas.

Due to the experiment results of [51], the authors state that the weather conditions only have a small impact of 1 dB...2 dB on the outdoor communication. These findings are also confirmed by [47] in their experiments on a rice and cotton field.

Paul et al. [52] analyzed the open outdoor performance for different physical layer and MAC layer configurations of IEEE 802.11n. The authors conducted experiments with different omnidirectional antenna constellations and spacings ranging from 0 cm...25.4 cm. They found out that no positive impact on the communication can be achieved by changing antenna constellations or spacings.

For the MAC layer with its CSMA/CA mechanism,Aust, Prasad, and Niemegeers [48] state that the increased propagation delay in outdoor scenarios can cause a higher number of packet collisions. They refer to to findings of [52] and recommend using Block Acknowledgement and Frame Aggregation. These mechanisms introduce a medium access control frame overhead, which cost less transmission time than retransmission of a whole frame or a single acknowledgment frame.

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## Chapter 3

# Analyzing Corn Harvest Process Data

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To gain a better insight into requirements of the WIC use case Agricultural Platooning Service, I analysed process data of a corn harvest scenario.

The goal of analysing the corn harvest data was to investigate the machines moving in the working scenarios relative to each other. The machines' speed and distance in tracked harvest platoon data may result in new use case requirements, e.g. latency or communication range. The machinery movement profile can be used to identify when shadowing effects may occur in the work scenario or when machines meet in the field.

To get GPS data of the corn harvest, I collected GPS tracks of a FH and two to three TMs harvesting corn on a field in Germany for two days in September. The workflow for collecting the corn harvest process data was as follows. I handed out the tablets to the drivers, which left the farm with the tablets in the driver's cabs to drive to the field in the morning. The tablets recorded the position and speed of the FH and the TMs every second of the day. During breaks, the tablets continued to capture the NMEA data stream of their GPS even if the positions and speed did not change.

After recording the process data, I anonymised it. First, I deleted data log lines of the log files until the recorded accuracy of the following data log lines was better than 2 m. Then, I replaced the timestamp and the date for all data points with a continuous index.

Then I anonymised the location data by adding a random offset to the GPS coordinates. As a result, this procedure moved the areas to a random location in the world with a continuous index as a timestamp, where the exact date is unknown.

To get a first glance at the recorded data, I built a dashboard with the Python framework *Dash*<sup>6</sup>. I initially plotted all the positions in a polyline for each machine on a map in the dashboard. An added slider allows one to set a time interval that

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<sup>6</sup><https://dash.plotly.com/introduction>

narrow down the data points for display in the dashboard. In addition, one could select which TMs are displayed next to the FH. For the chosen time interval, the distance and velocity difference between the selected TMs and the FH were plotted in graphs as time histories. In the dashboard, I could get an overview of the machine's behaviour before, during, and after the overloading scenario. The overview shows that a FH is nearly always in the overloading process with a TM. In doing so, the FH may occasionally stay in the same place if the cutter is clogged or there is a transition of TMs where a full TM moves away from the FH and an empty TM catches up to the FH to take over the forage.

A TM is in a platoon with a FH if the distance to the FH is less than 10 m and they are moving at nearly the same speed with a maximum velocity difference of 5 km/h (1.39 m/s). The distance between TM and FH increases during a turning manoeuvre on the field. Since both machines have different curve radii in a turning manoeuvre, a different machine speeds are required to finish turning simultaneously. Smolnik and Lücke [11] also describes these observations and indicates that this speed difference adds a new level of complexity.

A new harvesting process begins as soon as the machines finish turning and are at the beginning of a new lane. Again, the machines drive closely and nearly at the same speed to harvest and overload forage.

Furthermore, another TM can sometimes be close to the FH. For example, an empty TM that waits to work with the FH in the next platoon drives close behind the current platoon at the same speed to be ready in the vicinity.

Based on the above observations, I developed an algorithm for detecting platooning scenarios in the recorded harvest process data.

It starts by searching for every TM that could be in a harvest and overloading scenario with the FH by filtering the data points by the distance and speed difference between TM and FH. If the distance is less than 10 m, the speed difference is less than 5 km/h (1.39 m/s) and the FH and TM drive at a speed within 5 km/h ... 14 km/h (1.39 m/s ... 3.89 m/s), the TM could be in a harvest and overloading scenario with the FH.

In some cases, this algorithm would also detect the waiting TM. To avoid this, I applied a weighted sum of distance and speed difference between TM and FH to detect the harvest and overloading scenario. The weights are  $\frac{3}{5}$  and  $\frac{2}{5}$  for the distance and speed difference, respectively, to ensure that the closer TM is more likely in a harvest and overloading scenario with the FH, when they are moving at nearly the same speed. I determined the weights using the Trial-and-Error method, setting the weights and displaying the found platoons scenarios on the map. Then I adjusted the weights until the detected platoons scenarios were correct.

For verification purposes, I displayed the found platoons scenarios on the map and confirmed that the found platoon was correct and the weights were set correctly.

After the platoons scenarios were correctly detected, I included the data points before each harvest and overloading scenario till a maximum distance of 50 m between FH and TM was exceeded. These data points are also relevant to the requirements because at the beginning of an agricultural platooning service, the FH, as the system leader, must be able to guide an empty TM to the appropriate position for overloading. Furthermore, no turning manoeuvres are detected. So far, the algorithm only detected consecutive time intervals, where the TM and FH are in a harvest and overloading scenario driving down the field. By adding the data points until a distance of 50 m before every time interval, the turning manoeuvres with their turning radii are also included in the detected harvest and overloading scenarios.

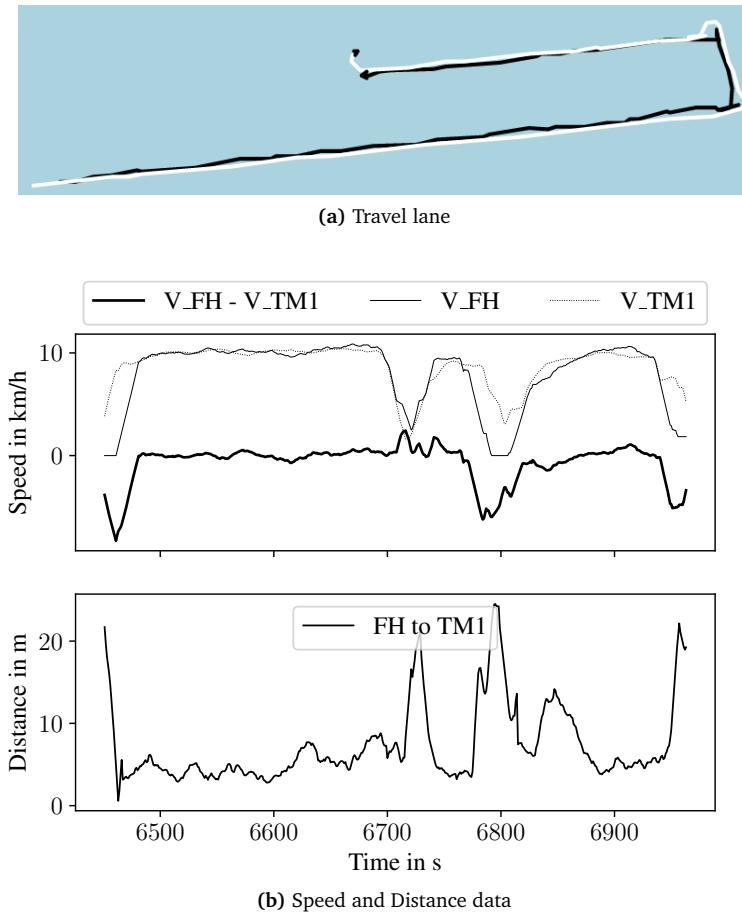
The final output shows the detected harvest and overloading scenarios with the FH and TM, which have roughly the same length every time the FH and TM are in a harvest and overloading scenario. This verifies that the algorithm is operating correctly, as it should generally take roughly the same time to fill the constant volume of TM's trailer with forage.

I also implemented the following verification method to determine whether the found platoon scenarios were correct. I observed that a fully loaded TM leaves the field via one of the field exits to bring the crop to a farm building. Via a check, if it has left the field and thereby passed the exit after leaving a platoon, wrongly recognised platoons can be detected.

The data points of a detected harvest and overloading scenario are represented in Figure 3.1. The FH and TM, plotted as black and white lines respectively, drive from the left lower corner to the top middle of the map in 3.1a. In between, the FH and TM do 2 turning manoeuvres on the right side of the map in 3.1a. At the end of the scenario, the TM turns to the right and heads towards the field exit.

The plotted distance and velocity data in 3.1b illustrates the same events. In the beginning, the TM drives with a higher velocity to catch up with the FH's position. After that, the TM and FH drive with a similar velocity and a distance below 10 m down the field lane. As soon as a turning manoeuvre starts, the distance increases and the velocity difference can be seen. After every turning manoeuvre, the TM and FH drive again with a similar velocity and a distance below 10 m. In the end, when the TM is full and leaves the harvest and overloading scenario, the distance increases and the TM drives at a higher velocity.

For the detected data points of the platooning services from recorded data of the corn harvest, the proportion where the FH and TM move in a specific distance is shown in Figure 3.2. For the same data points, the proportion in which FH and TM move at a given speed is available in Figure 3.3.



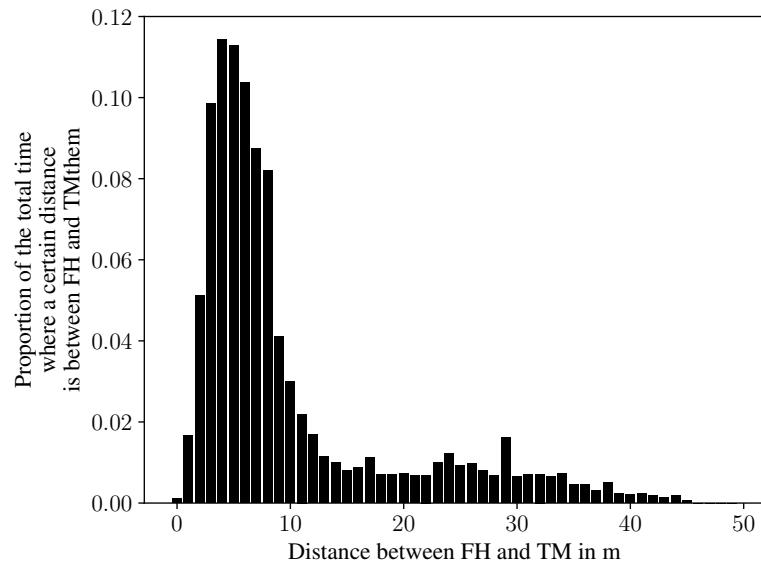
**Figure 3.1** – A FH and a TM in harvest and overloading scenario, which is represented as distance and speed data plotted in regards to the time and which is visualised as a black and white travel lane for the FH, TM respectively

These results show that the TM and the FH usually move with a distance of less than 10 m. In addition, the distance can also be higher, e.g. in turning manoeuvres or before the overloading process.

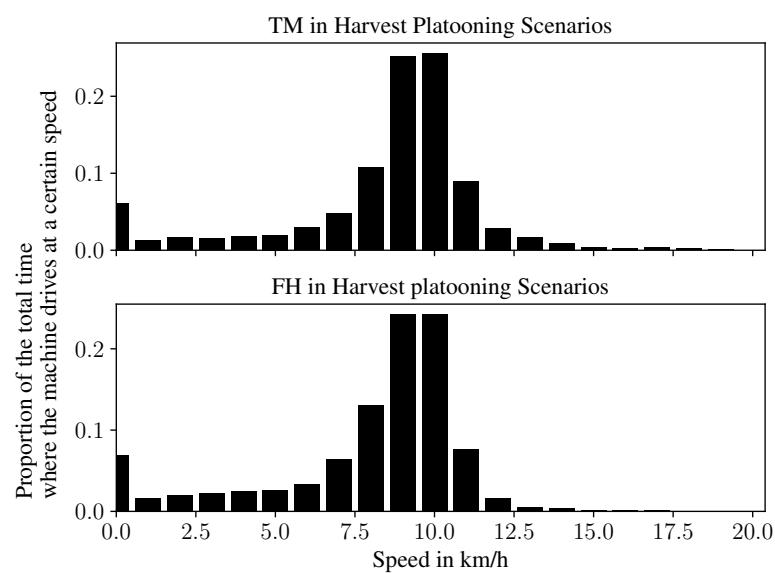
Smolnik and Lücke [11] specifies the required communication range of platooning services in the corn harvest process as less than 30 m.

One notable observation in Figure 3.3 is that the FH and TMs in the corn harvesting platooning scenario often travel at a speed of approximately 10 km/h. This speed is significantly higher than the average speed of 6.6 km/h... 8.1 km/h (1.9 m/s... 2.2 m/s) of a FH operating on a 80 ha field from [7]. Nedelcu et al. [53] report a optimal working speed of 2.6 km/h... 6.3 km/h (0.73 m/s... 1.75 m/s) for a FH which depends on the PD.

It is necessary to classify that in the year of the recorded data was little precipitation, so the corn was not dense and high, and the FH could drive faster. Nevertheless,



**Figure 3.2 – Distribution of time proportions where a given distance was between Forage Harvester (FH) and Transport Machine (TM) in a harvest platoon scenario.**



**Figure 3.3 – Distribution of time proportions where Forage Harvester (FH) and Transport Machine (TM) drove with a certain speed in a harvest platoon scenario**

the recorded data shows that a platooning service in agriculture must also be designed for higher speeds.

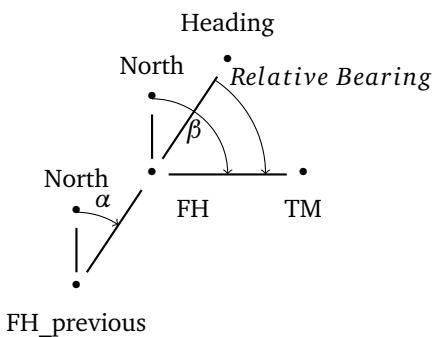
In Figure 3.3 is a local maximum at a speed of 0 km/h. In a harvest platoon scenario, FH and TM can stand still briefly when the cutting device is jammed. The driver's specific actuation usually clears the forage jam of the cutting device so that the platoon can continue its work.

Smolnik and Lücke [11] defines an average speed of 4.5 km/h for the development of platooning services in the corn harvesting process. Depending on the PD, the speed can vary from 2 km/h... 6 km/h according to the authors. The authors do not give a basis for the figures. However, the report is from the agricultural machinery manufacturer Claas, which is a major producer of FH worldwide and thus can be regarded as having good knowledge of the topic.

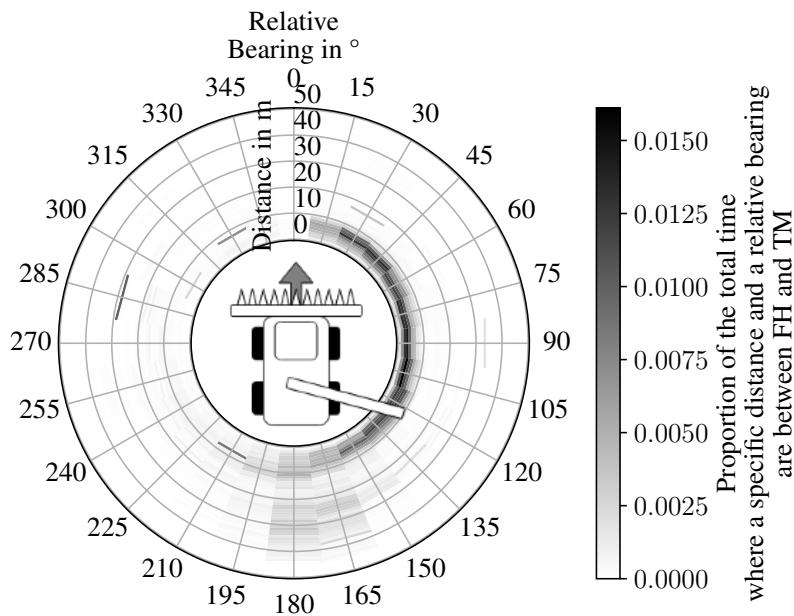
Klingler, Blobel, and Dressler [43] investigated the suitability of IEEE 802.11p for WIC. The authors detected that shadowing effects occur in the harvest scenario. The authors explain the effect because the spout of the FH was in LOS. I reviewed the recorded position data to get an overview of the TM's position relative to the FH in the overloading process. The relative bearing is the angle between the position of the TM and the heading of the position of the FH. Using the previous position of the FH, the relative bearing between FH and TM can be calculated with the angles  $\alpha$  and  $\beta$  in Figure 3.4 as:

$$\text{Relative Bearing} = \beta - \alpha, \quad (3.1)$$

Assuming that the FH does not move backwards, the relative bearing describes the relative angle from the FH to TM. The result is displayed in Figure 3.5. It can be observed that the TM is mainly close to the FH at an angle of 30°...150° at a distance between 0 m...10 m.



**Figure 3.4** – Relative bearing between FH and TM which is calculated using the previous location of FH by using  $\beta$  and  $\alpha$  for Equation 3.1



**Figure 3.5 – Distribution of time proportion at specific distances and relative bearings between Forage Harvester (FH) and Transport Machine (TM)**

In addition, it is noticeable that the machine can also be directly behind the FH. This driving behind each other is typical when a new part of the field is being cut in harvesting, as shown in Figure 3.6. When there is a greater distance between TM and FH, the TM is usually behind the FH at an angle of  $157.5^\circ \dots 187.5^\circ$ . At these moments, the TM is empty and closes up to the FH to operate in a new platooning Service together.



**Figure 3.6 – Forage Harvester (FH) and Transport Machine (TM) start cutting a new field section**

Another notable fact is that the TM hardly ever stayed to the left of the FH. Since the FH often made left turns, the crop was usually already harvested to the right of the FH so that the TM could drive there without running over the crop. On rare occasions, the TM was also to the left of the FH. Such a platooning scenario can be an exception or a driving manoeuvre to start cutting a new part of the field.

The results reveal only a first impression of the requirements of the harvest and loading process. More data from around the world must be analysed to make a general statement. The low rainfall this year resulted in a low plant population. This field condition made a higher process speed possible. The data may also vary based on the size of the agricultural machinery. Using a smaller FH would result in a smaller distance between TM and FH. To make a general statement, I should use data from different years, machines or harvest and loading scenarios because they can reveal other initial field conditions.

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## Chapter 4

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# Field Measurements

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Figure 3.4 shows that the TM can be positioned at various distances and angles in relation to the FH. For one corn harvest scenario, Klingler, Blobel, and Dressler [43] found out that the RSS can drop due to shadowing effects caused by the size and shape of the FH and the TM.

In a field experiment, I want to analyze which positions of the TM and FH cause the shadowing effects which subsequently reduce the RSS, and how physical layer parameters like MCS and STBC can be used to ensure a low PER.

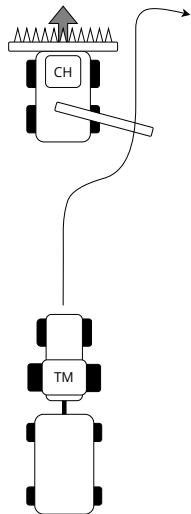
For the experiment, I will use a Combine Harvester (CH) instead of a FH as it has a similar shape and size as a FH and is available. The TM will be a Tractor pulling a trailer of the type HW80. Both machines will be equipped with a Global Positioning System (GPS) receiver and Wi-Fi devices which record the position, RSS and the PER of the exchanged packets. The CH will be positioned in an agricultural field. The tractor will start 50 m behind the CH, advance to the CH and pass the CH slowly with a speed of 1 km/h...5 km/h (0.28 m/s...1.39 m/s) as shown in Figure 4.1. While driving along the specified path, the tractor will mimic various overloading positions, where shadowing effects can occur. After the tractor has passed the CH, it will drive back to its starting position, and the experiment will be repeated with different overloading distances between the CH and the tractor.

During the experiments, GPS receivers at the agricultural machines will record the position and speed of the machines every 1 s.

The Wi-Fi setup consists of a Milesight Industrial Router UR75<sup>7</sup>, which implements the standards IEEE 802.11 b/g/n in the 2.4 GHz band and IEEE 802.11 a/n/ac in the 5 GHz band and IEEE 802.11 a/n/ac in the 5 GHz band. The router is equipped with two omnidirectional antennas for 2.4 GHz and 5 GHz usage. Brinkhoff and Hornbuckle [47] and Paul et al. [52] already found out that placing the antenna

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<sup>7</sup><https://iot-shop.de/en/shop/mil-ur75-500gl-g-p-w-milesight-ur75-500gl-g-p-w-industrial-cellular-5g-router-with-gps-wifi-and-poe-5677>



**Figure 4.1** – Path around the static Combine Harvester (CH), which the Transport Machine (TM) will drive during the experiment to mimic various overloading positions

higher above ground improves the robustness and communication range of Wi-Fi networks in an outdoor environment. As the regulation in the German Law StVZO §32 Abs. 2 limits the height of every agricultural vehicle or combination of vehicles to less than 4.0 m, the maximum antenna height is 4 m above the ground. Therefore, I will mount the router on the tractor's roof at a height 4 m above the ground.

I set up two Wi-Fi devices on the CH, which are two UP Squared Boards<sup>8</sup> with an Intel AX210 Wi-Fi module<sup>9</sup>.

Every Intel AX210 Wi-Fi module supports the IEEE 802.11ax standard for 2.4 GHz, 5 GHz and 6 GHz band and is equipped with two antennas, which support omnidirectional transmissions in the 2.4 GHz, 5 GHz and 6 GHz band and have a gain of 5 dB. The boards are mounted on the roof of the CH next to one another at a height 4 m above the ground too.

The router on the tractor sets up a Wi-Fi AP. One of the boards on the CH connects to the AP of the router as a Wi-Fi STA and hosts an iperf3<sup>10</sup> server. A notebook is connected via LAN to the router and runs an iperf3 client, which connects to the iperf3 server on the CH. The iperf3 client sends 100 Byte UDP packets every 100 ms to the iperf3 server on the CH. The server records the received packets.

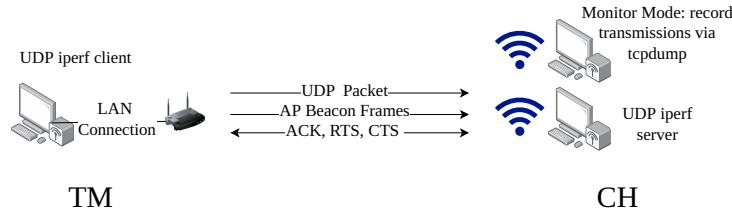
Many different Wi-Fi transmissions arise through the iperf3 UDP packets, the Wi-Fi manager of the Milesight Industrial Router, and the Intel AX210 Wi-Fi card. These transmissions can be RTS/CTS, ACK, Data, Beacon or Probe request frame,

<sup>8</sup>[https://eu.mouser.com/datasheet/2/826/UP\\_Square\\_DatasheetV0\\_4-3084829.pdf](https://eu.mouser.com/datasheet/2/826/UP_Square_DatasheetV0_4-3084829.pdf)

<sup>9</sup>[https://docs.alfa.com.tw/datasheets/alfa-network\\_ait-ax210-ex\\_latest.pdf](https://docs.alfa.com.tw/datasheets/alfa-network_ait-ax210-ex_latest.pdf)

<sup>10</sup><https://iperf.fr/>

displayed in Figure 4.2. Through testing, I found out that the Wi-Fi manager of the Wi-Fi devices can apply VHT MCS 0 … 9 and STBC as physical layer configurations.



**Figure 4.2 –** Wi-Fi transmissions between the Wi-Fi AP on the Transport Machine (TM) and the Wi-Fi STA on the Combine Harvester (CH), which are recorded by a third Wi-Fi device in monitor mode on the CH

The other UP Squared Board on the CH uses the Wi-Fi card in the monitor mode and records every transmission in the 5.6 GHz band using tcpdump<sup>11</sup>. Since the UP Squared board is placed next to the other board on the roof of the CH, it can record the same signals the other board receives in the UDP transmission. The tcpdump records are in pcap - format, which can be analyzed using Wireshark<sup>12</sup>. Using Wireshark, I can identify possible retransmissions to calculate a PER. At the same time, the data contains the RSS of each antenna and the physical layer parameters for every transmission, allowing each transmission's robustness to be calculated as a function of the RSS and the physical layer configuration.

In order to get insights on the robustness of using the different frequency bands, the frequency channels for a BW of 20 MHz in Table 4.1 are configured. To be able to calculate the means and standard deviations of the result for every configuration, the experiment is repeated 5 times for each channel, which means that the tractor drives 5 times the same path, which is displayed in Figure 4.1.

BW	Channel number 2.4 GHz	Channel number 5 GHz
20 MHz	1	100
40 MHz	3	102
80 MHz	-	106

**Table 4.1 –** Frequency channel numbers for 2.4 GHz and 5 GHz for the different Bandwidth (BW)s of the IEEE 802.11 standard [16], which can be used for outdoor communication [54], [55] and are configured in the Milesight Industrial Router UR75 for the field experiments.

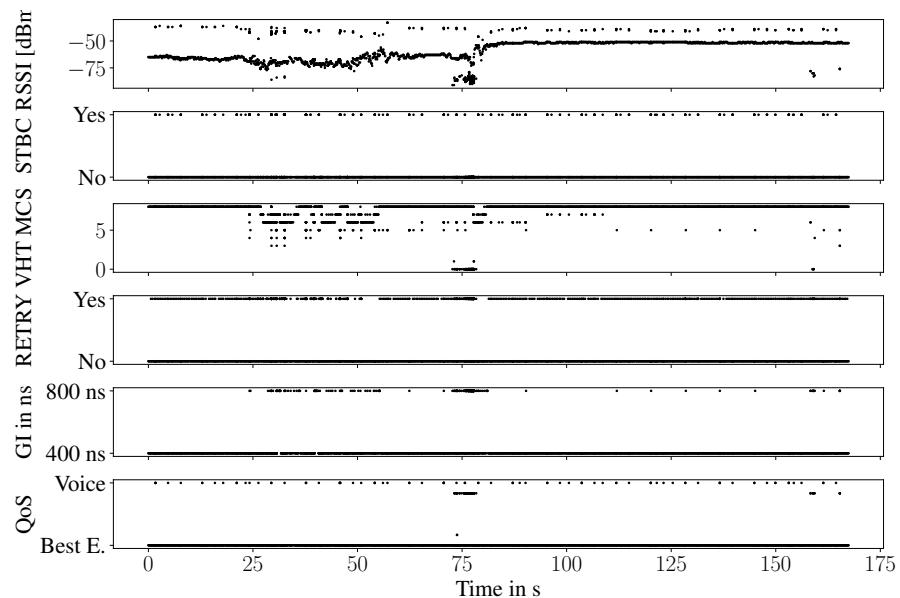
<sup>11</sup><https://www.tcpdump.org/>

<sup>12</sup><https://www.wireshark.org/>

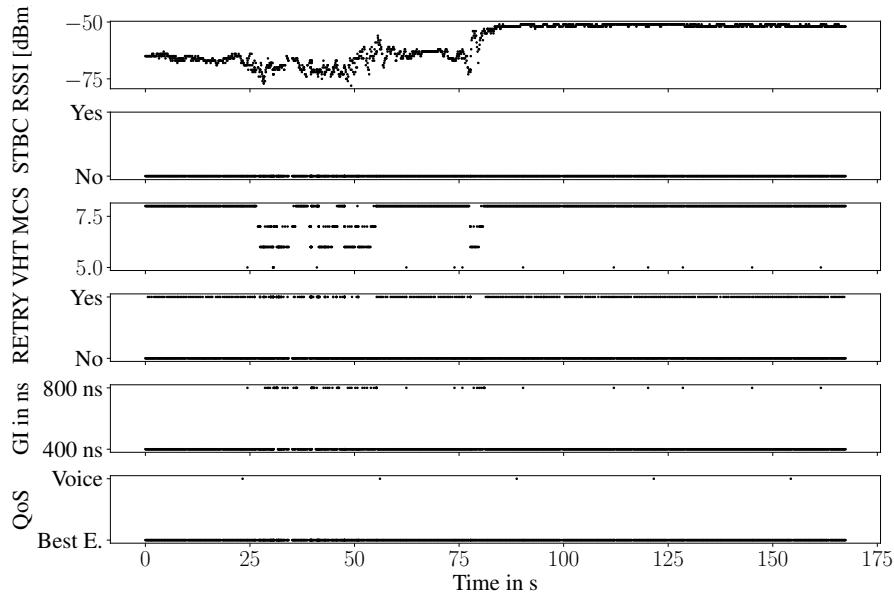
Feldversuche kommen  
nächste Woche D.h.  
hier fehlt dann noch  
alles.

**Trial Run**

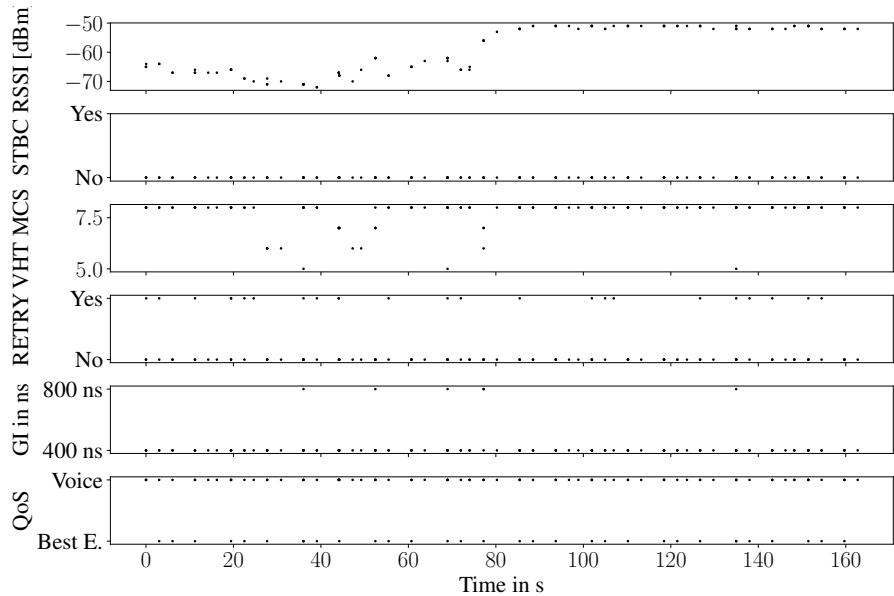
**Figure 4.3 – Position of the Wi-Fi Devices on the TM and the CH during the trialrun**



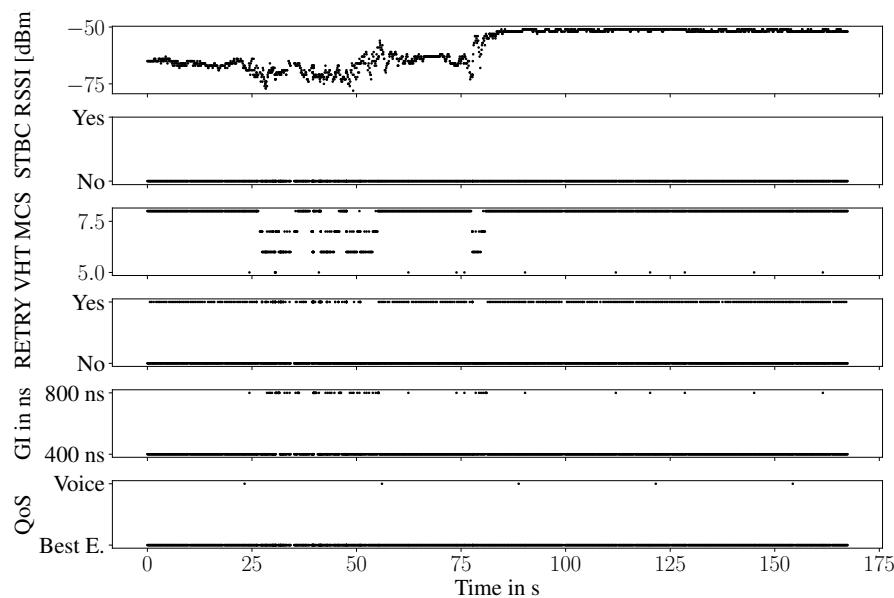
**Figure 4.4 – All QoS data transmissions between the Wi-Fi Access Point (AP) on the Transport Machine (TM) and the Wi-Fi STA on the Combine Harvester (CH) in a trialrun**



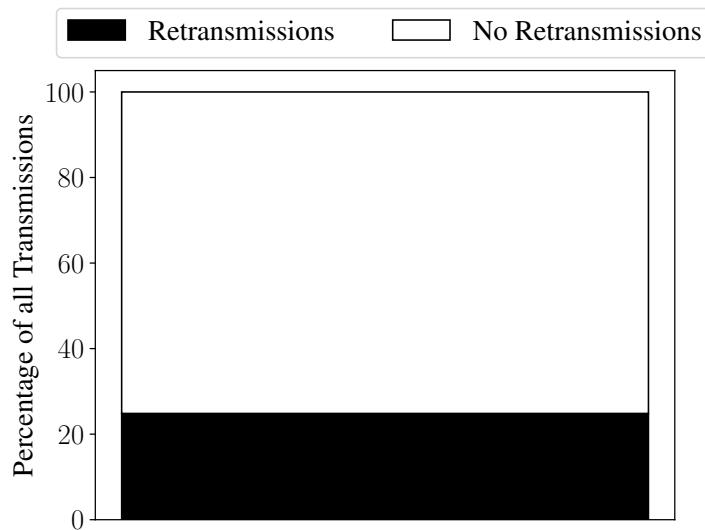
**Figure 4.5** – All QoS data transmissions between the Wi-Fi Access Point (AP) on the Transport Machine (TM) and the Wi-Fi STA on the Combine Harvester (CH) in a trialrun, which were initiated by the iperf3 client on the Transport Machine (TM)



**Figure 4.6** – QoS data transmissions between the Wi-Fi Access Point (AP) on the Transport Machine (TM) and the Wi-Fi STA on the Combine Harvester (CH) in a trialrun, which were initiated by AP



**Figure 4.7** – All QoS data transmissions between the Wi-Fi Access Point (AP) on the Transport Machine (TM) and the Wi-Fi STA on the Combine Harvester (CH) in a trialrun, which were initiated by the Wi-Fi STA on the CH



**Figure 4.8** – Percentage of retransmissions for every transmission in the trialrun

The field experiment reveals that the Wi-Fi transmissions in the agricultural environment suffer from multipath effects which introduce additional interferences. Depending on the Wi-Fi physical layer configuration, the robustness of the transmis-

Den folgenden Output erhoffe ich mir. Den Text brauchst du als groben Übergang zum nächsten Abschnitt.

sions is different and may result in a large number of retransmissions as shown in Figure 4.8.

In the following chapter, I will analyze which physical layer configurations are suitable for the agricultural environment in order to establish a reliable communication, which meets the requirements of data rate, range and latency.

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## Chapter 5

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# Link - Level - Simulation

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As every used Wi-Fi device in the field experiment runs a Wi-Fi rate manager, which is responsible for the rate adaptation, the influence of a particular physical layer parameter on the data goodput, robustness and latency can not be examined in the field experiment as no particular physical layer parameter can be set. The Milesight Router is only capable to set IEEE 802.11ac physical layer parameters, which don't include the new physical layer parameters of IEEE 802.11ax: ER mode, longer GIs, HE-MCS 9...11 or DCM. Therefore, I simulated the influence of the different physical layer parameters on the goodput, robustness and latency. Simulations benefit from the flexibility and possibility of simulating different communication and network protocols [56].

Simulations are considered the main evaluation method for IEEE 802.11ax networks by the IEEE 802.11ax task group [29].

The authors distinguish between link-level and system-level simulations for simulating HE wireless networks. The authors explain both methods as follows.

For system-level simulation, it requires abstractions of the physical and MAC layers to simulate a system close to real on this basis.

Link-level simulations, according to the authors, investigate the performance of the HE physical layer for different physical layer parameters as PER in terms of SNR. As an example, the authors cite multiple different researchers, which simulated PER regarding SNR and chosen MCS. Link-level simulations are usually visualised in so-called waterfall plots, which represent the PER regarding SNR for different physical layer parameters.

### 5.1 Robustness

The following section analyzes the impact of the different physical layer parameters of the IEEE 802.11ax standard on transmission robustness in a Link-Level Simulation.

A known simulation tool for wireless communication networks is GNU Radio<sup>13</sup>. GNU Radio is an open-source software development toolkit with additional blocks for IEEE 802.11 network simulation, called gr-ieee802-11<sup>14</sup>. However, the gr-ieee802-11 only supports the IEEE 802.11a, IEEE 802.11b, IEEE 802.11g and IEEE 802.11p. This means that the gr-ieee802-11 does not support the ER mode, DCM or STBC. Therefore, I decided that GNU Radio was not suitable for my simulation.

Sheela, Kuri, and Akhtar [57] cites ns-3<sup>15</sup> to mention that ns-3 does not implement any frequency-selective fading effects, such as multipath propagation and shadowing. Therefore, the authors decided to use the MATLAB WLAN Toolbox, which is standards-compliant and credible. I also decided to use Matlab because besides [57], [58] and [59] have also considered the MATLAB WLAN Toolbox to be suitable for IEEE 802.11ax simulations.

The MATLAB WLAN Toolbox<sup>16</sup> is an add-on to simulate, analyze, and test wireless LAN communication systems. The WLAN Toolbox supports a wide range of IEEE 802.11 standards. Since Release R2019b<sup>17</sup>, the WLAN Toolbox supports the Signal Recovery, Packet Extension and Physical Layer abstractions to simulate IEEE 802.11ax networks.

My robustness analysis is based on the WLAN Toolbox example wlan/HESUExample<sup>18</sup> to simulate the PER of point-to-point IEEE 802.11ax networks for specified SNR values.

First, I set the IEEE 802.11ax physical layer parameters using the wlanHEConfig object, where I define the default settings in Table 5.1.

<sup>13</sup><https://www.gnuradio.org/>

<sup>14</sup><https://github.com/bastibl/gr-ieee802-11>

<sup>15</sup><https://www.nsnam.org/docs/models/html/wifi-design.html>

<sup>16</sup>[https://de.mathworks.com/products/wlan.html?s\\_tid=A0\\_PR\\_info](https://de.mathworks.com/products/wlan.html?s_tid=A0_PR_info)

<sup>17</sup><https://de.mathworks.com/help/wlan/release-notes.html>

<sup>18</sup><https://de.mathworks.com/help/wlan/ug/802-11ax-packet-error-rate-simulation-for-single-user-format.html>

Parameter	Chosen Default Settings
GI	3200 ns
BW	20 MHz
Number Spatial Streams	2
Number Transmit Antenna	2
DCM	disabled
STBC	disabled
HE-MCS	0
ER	disabled
LDPC	enabled

**Table 5.1** – Default physical layer settings for the IEEE 802.11ax robustness simulations

Next, I chose a channel model to simulate the channel. The WLAN Toolbox supports a wide range of channel models, such as wlanTGaxChannel, wlanTGN-Channel, wlanTGacChannel, and wlanTGNChannel. The TGax channel models are MIMO broadband channel models for IEEE 802.11ax networks, which include spatial channel models, doppler effects, path loss, building penetration loss and shadowing effects [60]. The wlanTGaxChannel model supports 6 different sub-channel models, named TGax-A, TGax-B, TGax-C, TGax-D, TGax-E, and TGax-F, where the TGax-F channel model is suitable for pseudo-outdoor scenarios [60]. The TGax channel models were used for Matlab Wlan Toolbox simulations by [57], [58] and [59].

[60] and [29] list that the IEEE 802.11ax task group has also implemented the channel models UMa and UMi for outdoor urban scenarios. However, the WLAN Toolbox does not support these channel models, and they are intended for urban scenarios.

As I want to simulate outdoor scenarios, I chose the most appropriate channel model TGax-F, which is suitable for pseudo-outdoor scenarios [60]. The wlanTGax-Channel model supports configuring the BW, the number of transmit and receive antennas, which I set equal to the configuration of the wlanHEConfig object. [54] and [55] allow outdoor transmission in the frequency range of 5.725 GHz to 5.825 GHz. Therefore, I set the carrier frequency to 5.6 GHz. The TGax-F channel sampling rate is set to 20 MHz, which is the nominal sampling rate for the configured BW of 20 MHz. Additional parameters are left at their default values as they are irrelevant for outdoor scenarios. According to the MATLAB WLAN Toolbox documentation <sup>19</sup>, the TGax-F channel model has a maximum multipath propagation delay of 1050 ns and root mean square delay spread of 150 ns.

The simulations are based on Algorithm 5.1. To get a PER for every SNR value ranging 0 dB ... 45 dB, the Algorithm 5.1 is executed 5 times for 500 packets each. All packet errors are counted, and the PER is calculated by dividing the number of packet errors by 500 packets. A mean PER and the confidence interval with a confidence level of 95 % is calculated of the PER values of the 5 iterations.

The Algorithm 5.1 starts with the creation of a random packet of the specified length of 1000 Byte. The packet is used to create a Wlan waveform based on the physical layer parameters specified in the wlanHEConfig object using the wlanWaveformGenerator function.

The waveform is extended by 50 trailing zeros to ensure that packet delays can be detected by finding trailing samples unequal to null. Since the possible maximum detectable channel delay can be calculated by

$$\text{detectable channel delay} = \frac{\text{length of trailing zeros}}{\text{Sampling rate}}, \quad (5.1)$$

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<sup>19</sup><https://de.mathworks.com/help/wlan/ref/wlantgaxchannel-system-object.html>

then 50 trailing zeros match a maximum channel delay of 2.5  $\mu$ s. As the maximum channel delay of the TGax-F channel model is 1.05  $\mu$ s, 50 trailing zeros are sufficient to detect the maximum channel delay. I verified Equation 5.1 by comparing the length of the maximum detected packet delay with the maximum channel delay of the TGax-F channel model. The results showed that the maximum seen packet delay is 11 samples, which can be transferred using Equation 5.1 to rounded maximum TGax-F channel delay of 1.1  $\mu$ s.

After creating the waveform and appending the trailing zeros, the waveform is passed through the TGaxF channel model to simulate the channel. The output of the channel model is the received waveform, where I added noise to the received waveform based on the specified SNR value and active OFDM subcarriers.

In the next step, the received waveform is passed through the packet detection algorithm, which is based on the WLAN Toolbox example wlan/HESUExample<sup>20</sup> and shown in an abstracted form in Algorithm 5.1. The procedure calls various functions to decode the preamble, header and payload of the received waveform. A packet error is detected when no packet arrives, the packet delay is greater than 50 samples or the recovered packet data is not equal to the transmitted packet data.

#### Modulation and Coding Scheme (MCS) and Coding Rate (CR)

In the first simulation run, I analyzed the influence of a chosen set of HE-MCS values on the PER regarding the SNR. The results in Figure 5.1 show that the PER decreases

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<sup>20</sup><https://de.mathworks.com/help/wlan/ug/802-11ax-packet-error-rate-simulation-for-single-user-format.html>

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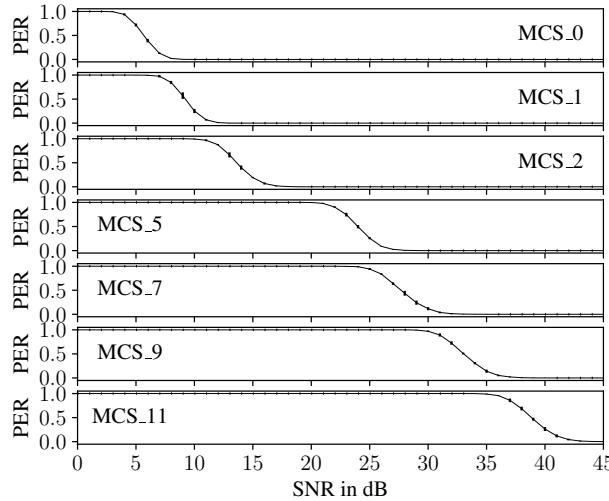
**Require:** Global variable *numPacketErrors*

- 1: Create random packet data *txData* of length 1000 Byte
- 2: Create transmission waveform *txWaveform* from packet data *txData*
- 3: *rxWaveform*  $\leftarrow$  *txWaveform* passed through TGax channel model
- 4: Add noise to *rxWaveform* based on SNR value
- 5: Run packet detection on *rxWaveform*
- 6: **if** no packet detected **then**
- 7:   *numPacketErrors*  $\leftarrow$  *numPacketErrors* + 1
- 8: **end if**
- 9: Detect packet delay *delay*
- 10: **if** *delay* > 50 samples **then**
- 11:   *numPacketErrors*  $\leftarrow$  *numPacketErrors* + 1
- 12: **end if**
- 13: Steps to recover packet data *rxData* from *rxWaveform*
- 14: **if** *txData* != *rxData* **then**
- 15:   *numPacketErrors*  $\leftarrow$  *numPacketErrors* + 1
- 16: **end if**=0

---

Algorithm 5.1 – Procedure to detect packet errors

with higher SNR for all HE-MCS values. The PER decreases at lower SNR values for lower HE-MCS values. Increasing the HE-MCS value by 2 increases the SNR, where a PER of less than 10 % is achieved, by 5 dB ... 6 dB.



**Figure 5.1** – Simulated PER regarding SNR for chosen HE-MCS values for IEEE 802.11ax physical layer parameters of a GI of 3200 ns, a bandwidth of 20 MHz and 2 spatial streams.

Paul et al. [52] conducted outdoor experiments to analyse the error rate and SNR of different IEEE 802.11n MCS for the communication distances of 300 m, 800 m and 1800 m. Their results show that SNR decreases when the transmissions range is longer. Additionally, they experienced a higher error rate for higher MCSs.

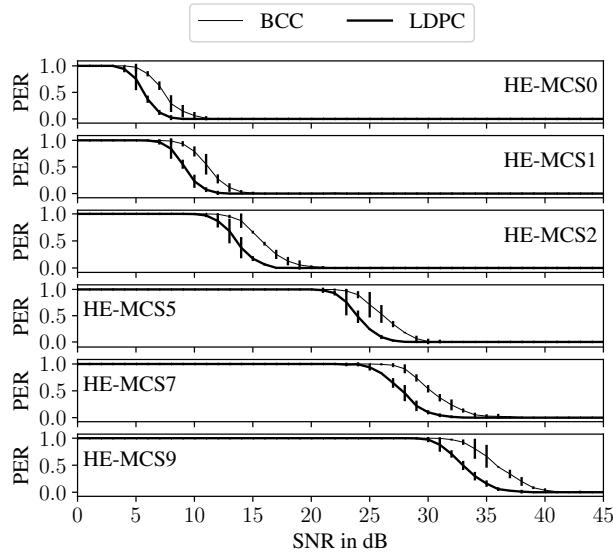
The effect that the PER decreases when a lower MCS or CR is used is the basis for the design of Wi-Fi rate managers. A rate manager is a software component that selects physical layer parameters, such as MCS or CR, based on the current network conditions to achieve the best possible throughput. Known rate managers of the Linux kernel are the minstrel or the minstrel HT rate manager.

### Forward Error Correction (FEC)

Another parameter that influences the PER is the forward error correction (FEC) procedure choice. To analyze the influence of the FEC procedure on the PER, I simulated the PER in regards to the SNR for HE-MCS 0 ... 9 and whether LDPC or BCC is enabled. For higher HE-MCS values, BCC can not be used as LDPC is compulsory, so no comparison of the FEC procedures is possible.

The results are displayed in Figure 5.2. The PER decreases with higher SNR for both FEC procedures for all HE-MCS values as expected. Using LDPC instead of BCC,

a PER of less than 10 % can be achieved at 2 dB lower SNR for all HE-MCS values. The effect increases to 3 dB with higher HE-MCS values than HE-MCS 5.



**Figure 5.2** – Simulated PER in regards to SNR for chosen HE-MCS values and whether LDPC or BCC is enabled for IEEE 802.11ax physical layer parameters of a GI of 3200 ns, a bandwidth of 20 MHz and 2 spatial streams

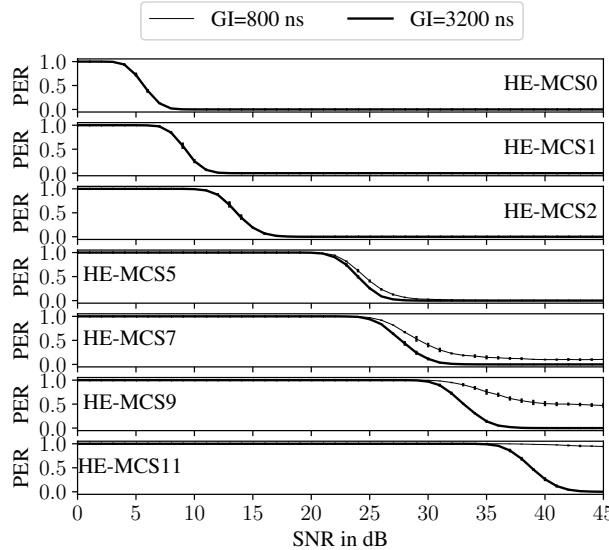
Syafei et al. [20] simulated the effect of the FEC procedure on the PER for IEEE 802.11n. They had similar results and state that using LDPC instead of BCC, a PER of 0.1 % can be achieved at a 3.2 dB...6 dB lower SNR.

According to Tran et al. [61], this effect is also present for IEEE 802.11ac. A PER of 0.1 % can be achieved at a 1.1 dB lower SNR for using LDPC instead of BCC for 64 - QAM. The effect increases to 1.5 dB for 256 - QAM.

### Guard Interval (GI)

Robustness against intercarrier and intersymbol interference can be achieved using a longer GI [22]. In order to analyse the impact of the GI on the PER, I simulated the PER regarding the SNR for different HE-MCS values and GIs. The results for a GI of 3200 ns and 800 ns are plotted in Figure 5.3. As expected, the PER decreases with higher SNR for all HE-MCS values. Using a GI of 3200 ns instead of 800 ns no significant difference of PER in regards to the SNR can be observed for HE-MCS values lower than 5. Increasing the HE-MCS value, the robustness of the MCS sinks and the effect of the intercarrier interference and intersymbol interference increases. As the maximum channel delay for the Tgax-F channel model is 1050 ns, the channel delay can be longer than the GI of 800 ns. This can result in intersymbol interference, which results in a higher PER for higher HE-MCS values. For HE-MCS5, a PER of

less than 10 % can be achieved at 1 dB lower SNR for a GI of 3200 ns instead of 800 ns. The effect increases with higher HE-MCS values.



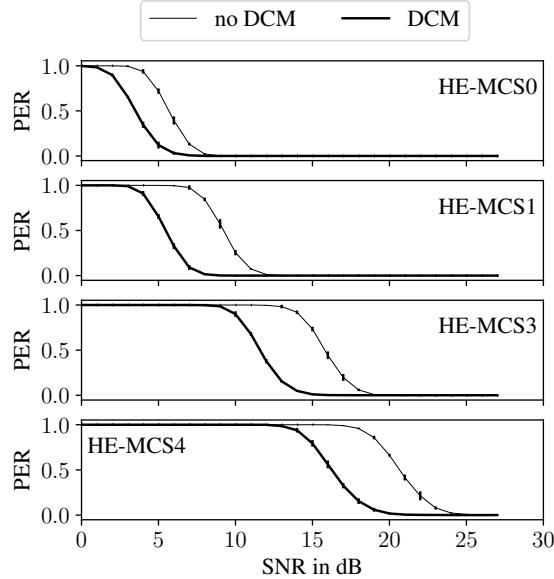
**Figure 5.3** – Simulated PER in regards to SNR for chosen HE-MCS values and whether a GI of 800 ns or 3200 ns is enabled for IEEE 802.11ax physical layer parameters of a bandwidth of 20 MHz and 2 spatial streams

Patil et al. [62] conducted similar simulations for IEEE 802.11n. They agree that a longer GI can increase the robustness against longer delay spreads as they are in the TGn-E and TGn-F channel models, which are predecessors of the TGax-F channel model [60].

#### Dual Carrier Modulation (DCM)

Next, I simulated the PER in regards to the SNR and whether DCM is enabled for the specified HE-MCS values. I have used the possible HE-MCS 0,1,3 and 4 from the IEEE 802.11ax standard [16] for the simulation of DCM.

The results indicate that using DCM can achieve the same PER at lower SNR values compared to not using DCM. A PER of less than 10 % can be achieved at a 2 dB lower SNR when using DCM. The effect increases to 4 dB for HE-MCS values higher HE-MCS4. The results are plotted in Figure 5.4.



**Figure 5.4** – Simulated PER in regards to SNR for chosen HE-MCS values and whether DCM is enabled for IEEE 802.11ax physical layer parameters of a GI of 3200 ns, a BW of 20 MHz and 2 spatial streams

Khorov, Kiryanov, and Lyakhov [63] also mention similar figures that DCM increases the PER performance by more than 2 dB.

Ryu, Lee, and Kang [64] and Park, Sung, and Ko [65] conducted a similar simulation, where they analyzed the bit error rate in regards to the normalized SNR and whether DCM was enabled for rayleigh fading channels. Both authors wrapped two Quadrature PSK modulated symbols into one 16-QAM symbol. As Quadrature PSK modulates 2 bit per symbol, the information of two Quadrature PSK modulated symbols can be transmitted in one 16-QAM symbol, which encodes 4 bit. The authors transmit the 16-QAM symbols and a redundant copy of the 16-QAM symbols via orthogonal subcarriers. At the receiver, the authors combine the copies and retrieve the transmitted information using the Maximum likelihood criterion. The results of the authors show that a better bit error rate can be achieved while applying DCM than sending the information via two Quadrature PSK or 16-QAM modulated symbols without DCM.

#### Extended Range (ER)

For a HE-MCS 0 and 1 the ER mode can be applied additional to DCM, when one spatial stream is used [16]. In order to analyze the impact of the ER mode, I set the physical layer parameters to a GI of 3200 ns, a BW of 20 MHz and one spatial

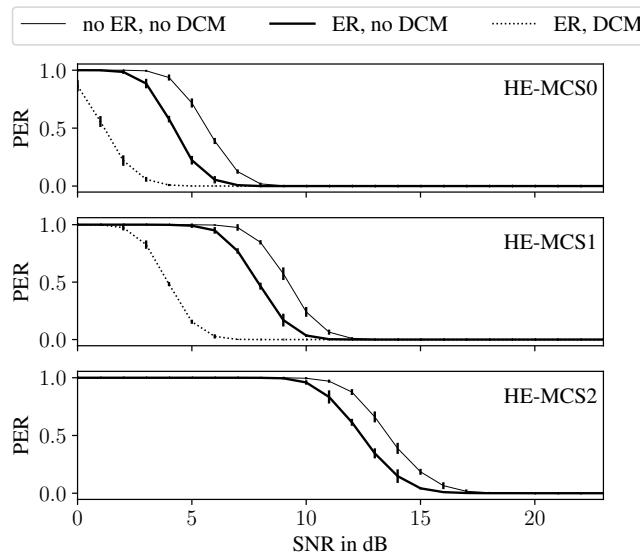
stream. For He-MCS 0, 1 and 3 I ran simulations, where I enabled the ER mode and compared the PER to the PER of the same HE-MCS values without ER mode.

The results in Figure 5.5 indicate that the PER is influenced by the ER mode. The difference in SNR with ER mode to without ER mode, where a PER of 10 % is achieved, is 1 dB...2 dB.

Additionally, I simulated the impact of applying the ER mode and DCM for the allowed HE-MCS values 0 and 1. Applying DCM additionally makes the transmission more robust. As it is displayed in Figure 5.5, a PER of less than 10 % can be achieved at a 4 dB...5 dB lower SNR when using DCM and ER mode together instead of using ER mode alone.

Jacob et al. [17] conducted a simulation, where they analysed the effect of DCM and ER on the PER for IEEE 802.11bd in vehicular environments to the transmission range. The authors found out that using DCM and ER can increase the transmission range for LOS by 65 % for a PER lower than 0.1. After additional analysis with higher vehicle densities, the authors remark that using DCM and the ER mode causes channel congestion in CSMA/CA based networks with low bandwidths. The authors conclude that the ER mode and DCM should be used for long-range transmissions, where the physical layer parameters can extend the transmission range significantly.

A similar simulation was conducted by Triwinarko, Dayoub, and Cherkaoui [28]. The researchers state that using DCM and the ER mode results in better PER performance at lower SNR values in LOS and non-LOS scenarios.



**Figure 5.5** – Simulated PER in regards to SNR for chosen HE-MCS values and whether Extended Range or DCM is enabled for IEEE 802.11ax physical layer parameters of a GI of 3200 ns, a BW of 20 MHz and 2 spatial streams

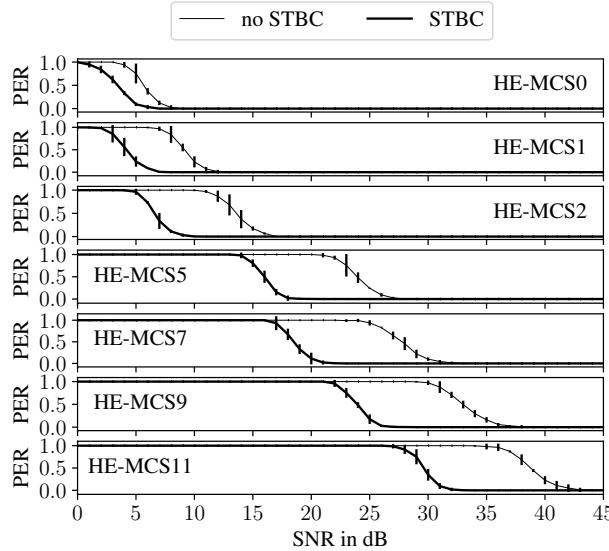
### Space-Time-Block-Code (STBC)

As aforementioned, additional robustness can be achieved by applying STBC. In order to analyse the impact of STBC on the PER in regards to the SNR, I run the simulation for the HE-MCS values 0 … 11 with and without STBC.

The results in Figure 5.6 indicate that the PER is influenced by the STBC mode. A PER of less than 10 % is possible at a 2 dB … 10 dB lower SNR when using STBC additionally. The impact of STBC on the PER grows from 2 dB for HE-MCS0 to 10 dB for HE-MCS11.

Stamoulis and Al-Dhahir [34] analysed the impact of STBC on the bit error rate for IEEE 802.11a in regards to the SNR. Their simulation was based on a HiperLAN/2 channel with 2 antennas. They used HiperLAN/2 because it has a physical layer similar to IEEE 802.11a. The authors found that applying STBC results in a lower bit error rate for a given SNR value. The authors conclude that STBC can be used to increase the robustness of the transmission.

Santumon and Sujatha [33] and Tarokh, Jafarkhani, and Calderbank [66] conducted simulations, where they analyzed the effect of STBC on the bit error rate for wireless communication systems. In general, the authors found out that STBC can be used to decrease the bit error rate for a given SNR value for different MCS values. Additionally, the authors remark that the impact of STBC on the bit error rate grows with the number of antennas. However, the number of antennas is limited to 2 for IEEE 802.11ax [16].



**Figure 5.6** – Simulated PER in regards to SNR for chosen HE-MCS values and whether STBC is enabled for IEEE 802.11ax physical layer parameters of a GI of 3200 ns, a BW of 20 MHz and 2 spatial streams

### 2.4 GHz Frequency band

I conducted the same simulations for the frequency band of 2.4 GHz. Using the same physical layer parameters in the 2.4 GHz band achieve a similar PER in regards to the SNR and chosen physical layer parameters as in the 5 GHz band.

But how does the effect of the frequency bands on the PER in regards to the SNR differ? Taking the Friis transmission model [67] into account, the RSS for omnidirectional antennas can be calculated with the distance  $d$ , receiving antenna gain  $G_R$ , wavelength  $\lambda$ , transmitting antenna gain  $G_T$  and transmission power  $P_T$  as follows:

$$RSS = P_T * G_T * G_R * \frac{\lambda^2}{(4 * \pi * d)^2}. \quad (5.2)$$

When  $\lambda$  is replaced and  $P_T$ ,  $G_T$ , and  $G_R$  are set to 1 the following equation can be derived:

$$RSS = \frac{c^2}{(f * 4 * \pi * d)^2}, \quad (5.3)$$

where  $c$  is the speed of light, and  $f$  is the transmission frequency. Converting the equation to  $d$  results in the following equation:

$$d = \frac{c}{(4 * \pi * f * \sqrt{RSS})}. \quad (5.4)$$

The equation shows that a lower frequency results in a higher RSS for a given distance. This means that the 2.4 GHz band can achieve a higher SNR for a given distance and noise floor compared to the 5 GHz band. The following conclusion can be derived from the equation: The 2.4 GHz band can accomplish a lower PER for a given set of physical layer parameters, distance and noise floor.

The simulation results indicate that modern Wi-Fi offers various physical layer parameters to enhance the robustness of the outdoor communication. The intensity of the impact varies depending on the environment and the actual communication channel. Every physical layer configuration has also an impact on data throughput, which is the next topic to be analyzed.

## 5.2 Data Rate

For the simulation of Wi-Fi data throughput, various tools are already available, such as Matlab, ns-2 and ns-3, OMNeT++, Qualnet [68] or OPNet [56].

Kumar et al. [56] compares different available simulators for the simulation of wireless networks and concludes that, in general, ns-2, ns-3 and OMNeT++ are the most popular simulators for academic research of wireless networks. Keller, Andre, and Wiedner [68] mentions that ns-3 keeps a more accurate Implementation of the IEEE 802.11 standard than OMNeT++. So far, I know that OMNeT++ supports

some IEEE 802.11ac modes via the library INET Release 4.0.0<sup>21</sup>. Consequently, OMNeT++ seems unsuitable for IEEE 802.11ax simulations and I looked at ns-3.

### ns-3 Network Simulator

Ns-3 is well documented in the ns-3 manual in the `./doc` - folder of [69], where I found the following information about the simulator. Ns-3 is a discrete-event network simulator project which was founded in 2006. The ns-3 project is open source with a licence based on GNU GPLv2 compatibility. It aims to provide an open, extensible network simulator for research and educational use. Ns-3 scripts can be written in C++ or Python.

The concept of ns3 is based on the abstraction of simulated systems. For this purpose, the term node was introduced for basic computing devices. The Node class offers the possibility of installing protocol stacks and applications or adding peripheral cards and mobility models to the node. Applications are the abstraction of the user-level applications, representing an activity to be simulated. For this purpose, the applications use resources and functionalities provided by the system software of a node.

Every node gets network access via the Net Device class. The Net Device class represents the physical interface of a node, which can be a network interface card or peripheral card. The Net Device simulates the software driver and the network interface hardware.

Every Net Device is connected to a channel. The channel class represents the physical medium which is used to transmit data. The channel behaviour is based on the channel model, which may include interference, propagation delay and loss.

The current version ns-3.37 supports IEEE 802.11ax as a standard in infrastructure and ad-hoc mode<sup>22</sup>. However, support for the 802.11ax standard is not yet complete. It is already possible to configure DCM and STBC, but there is a comment in line 496 of the file `he-ppdu.cc` that these are not yet taken into account in the current ns-3 version 3.37<sup>23</sup>.

When examining the implementation of 802.11ax in ns-3, one notices that the implementation of 802.11ax in ns-3 already implements a HE ER SU PPDU preamble, but this is never used, and one cannot activate the extended range mode. Therefore, some open points of 802.11ax in ns-3 still need to be implemented.

Black, Gamboa, and Rouil [70] have developed a 3D visualisation of ns-3, which visualises the simulations in 3D to make the ns-3 simulation scenarios tangible. The authors' graphical extension consists of two open source programmes. The

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<sup>21</sup><https://inet.omnetpp.org/2018-06-28-INET-4.0.0-released.html>

<sup>22</sup><https://www.nsnam.org/docs/models/html/wifi-design.html>

<sup>23</sup><https://www.nsnam.org/docs/models/html/wifi-user.html>

NetSimulyzer ns-3 module<sup>24</sup> can be integrated into the ns-3 simulation and builds a JSON file using the specified functions and configurations. This file contains all the data required for visualisation in the application NetSimulyzer<sup>25</sup>.

Ns-3 is chosen as a simulation tool for the simulation of 802.11ax by [71], [26] and [31].

As ns-3 is an open-source simulation software and was used by the mentioned other researchers in the past too, I used ns-3 to evaluate the effect of physical layer configuration on the achievable goodput between two nodes using IEEE 802.11ax Wi-Fi Netdevices to exchange UDP packets in ad-hoc Mode.

The setup consists of two nodes placed in static positions with a distance of 20 m. I chose the short communication range setup with no simulated interference to enable Wi-Fi transmission without any packets lost.

Every node is equipped with an IEEE 802.11ax Wi-Fi NetDevice, which is configured with the default parameters in Table 5.2.

General Parameters

Wi-Fi Standard	IEEE 802.11ax
GI	3200 ns
Frequency Spectrum	5.6 GHz
BW	20 MHz
max. Transmission Power	25 dB
Antenna Gain	5 dB
Spatial Streams	2

**Table 5.2** – Default simulation parameters for Wi-Fi Devices in the goodput simulations

A Constant Rate Wi-Fi Manager is used to set a constant data rate according to the fixed HE-MCS for data, non-uniform and control data transmissions. The used frequency band is 2.4 GHz or 5 GHz as higher frequencies are less resistant to shadowing and fading, and a higher data rate is not needed for the WIC use cases. The Wi-Fi Netdevices operate in the frequency channels specified in Table 4.1, which can be used for outdoor Wi-Fi communication in Germany [54], [55].

The simulation is based on the ns-3 ConstantSpeedPropagationDelayModel and the ns-3 FriisPropagationLossModel, representing free-space signal propagation at the speed of light. The ns-3 TableBasedErrorRateModel is used to model the error rate of the physical layer. According to the ns-3 Wi-Fi design guide<sup>26</sup>, the

evtl explain?

<sup>24</sup><https://github.com/usnistgov/NetSimulyzer-ns3-module>

<sup>25</sup><https://github.com/usnistgov/NetSimulyzer>

<sup>26</sup><https://www.nsnam.org/docs/models/html/wifi-design.html#default-table-based-error-model-validation>

TableBasedErrorRateModel derives the PER from results of the Matlab WLAN Toolbox regarding the physical layer parameters and SNR. The design guide recommends the TableBasedErrorRateModel for the IEEE 802.11ax standard.

As the Wi-Fi standard implements ACKs for every packet, every lost packet is repeated until it is received or the number of retries is exceeded. Platooning Services are time critical, so the number of retries should be as low as possible. This is why additional retransmission mechanisms like TCP are not needed. Therefore, the chosen transport layer protocol is UDP.

One node operates a UDP server, and the other is a UDP client. The client sends 1000 Byte UDP packets to the server every 0.1  $\mu$ s. This packet interval ensures that the packet queue of the client is never empty after starting the simulation. The server receives the packets and sends an ACK back to the client.

For every physical layer configuration, the simulation runs five times for 5 s. The goodput for every simulation run is calculated by dividing the number of received bytes at the UDP Server by the simulation time. The goodput is then averaged over all simulation runs per physical layer configuration, and the confidence interval with a confidence level of 95 % is calculated.

The theoretical data rate for the different physical layer configurations is retrieved from the function ns3::WifiMode::GetDataRate().

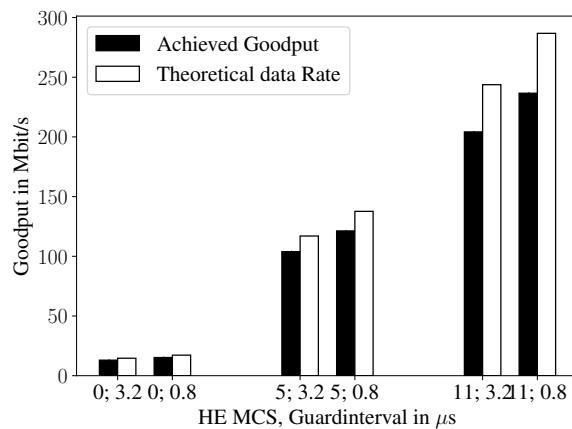
To verify the simulation software, I used different methods. First, I confirmed that the theoretical data rate for the simulation's IEEE 802.11ax physical layer configurations equals the theoretical data rate specified in the IEEE 802.11ax standard [16].

I also used the MonitorSnifferRxCallback and the MonitorSnifferTxCallback of the ns-3 WifiPhy class to check the ongoing transmissions. Both Callback functions can be added to WifiPhy objects of Wi-Fi NetDevice and are called every time a packet is received or transmitted at the Wi-Fi Netdevice. The function parameters are information about the packet, channel frequency and station ID and an instance of the WifiTxVector class. The WifiTxVector instance in the current ns-3 version 3.37 describes all parameters of the transmission in accordance to the TXVECTOR field of the IEEE 802.11 standard [16]. Additionally, the function parameters of the MonitorSnifferRxCallback contain the signal strength and the noise power of the received packet.

Using the provided information from the MonitorSnifferRxCallback and the MonitorSnifferTxCallback, I was able to comprehend the ongoing transmissions and verify the simulation results.

### Guard Interval (GI)

In the first simulation, I varied the GI of the Wi-Fi Netdevices for different HE-MCS values. The results are shown in Figure 5.7. The achieved goodput is plotted against the theoretical data rate for the different GI values. The theoretical data rate is always higher than the achieved goodput of the UDP applications because the channel is also used for ACK and ad-hoc Beacon transmissions. Due to these different transmissions, the goodput is lower than the theoretical data rate.



**Figure 5.7** – Achieved Goodput and theoretical Datarate of two Wi-Fi6 stations in Ad-Hoc Mode with 2 Multiple Input Multiple Output (MIMO) streams and a bandwidth of 80 MHz in regards to the chosen Guard Interval (GI) and HE-MCS value

The achieved goodput decreases as the GI length increases. This effect can be characterized by Equation 2.1. The bandwidth attenuation for the possible GI lengths is displayed in Table 5.3. The effect of the bandwidth attenuation for the different GI lengths can be observed in the mean achieved goodput in Table 5.3, where the decrease of the mean goodput reflects the bandwidth attenuation of the decreasing GI length.

A similar effect can be observed with higher HE-MCS values.

GI	Mean achieved goodput	BW attenuation
800 ns	31.15 Gbit/s	94 %
1600 ns	29.47 Gbit/s	89 %
3200 ns	26.52 Gbit/s	80 %

**Table 5.3** – Bandwidth (BW) attenuation and mean goodput for HE-MCS0 in regards to Guard Interval (GI) length

Patil et al. [62] and Karmakar et al. [72] conducted similar simulations for the 400 ns and 800 ns GI lengths in IEEE 802.11n and IEEE 802.11ac, respectively. Both papers state that shorter GI lengths lead to higher goodput values under the condition that there is a short delay spread and a low channel loss due to interference.

### Extended Range (ER) Mode

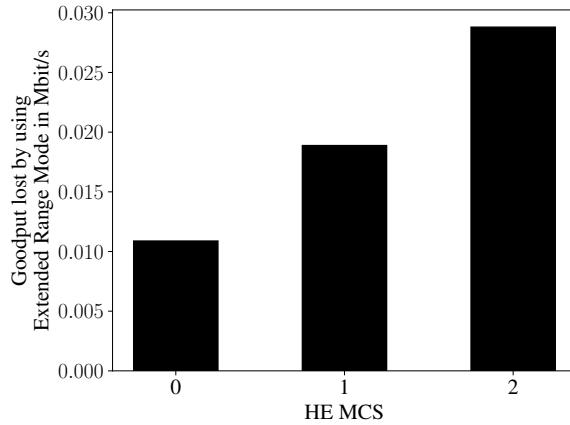
In the following simulation, I analyzed the effect of the ER Mode on the goodput of the IEEE 802.11ax physical layer. As mentioned in ns-3 Version 3.37, the ER Mode is implemented as a HE Capability with the new extended WifiPreamble. But the new preamble in the HE ER SU PPDU format is not used in ns-3 version 3.37.

As I was using the ConstantRateWifiManager, all parameters for the data transmission are set in the function `ConstantRateWifiManager::DoGetDataTxVector()`. The function creates a `WifiTxVector` instance with the parameters of the transmission. There I overwrote the preamble type to the already implemented ns3 `WifiPreamble::WIFI_PREAMBLE_HE_ER_SU`, when the ER Mode is enabled and conditions for the ER Mode in the IEEE 802.11ax standard [16] are fulfilled. Ns-3 version 3.37 implements the `ns3::GlobalValue`, which allows users to set global values for the simulation, which can be accessed in every class without changing Constructor or function parameters. This leaves the original functionality of the ns3 code intact.

I used the `ns3::GlobalValue` to create an instance named `HE_ER_Mode`, which is set to true at the start and read in the `ns3::ConstantRateWifiManager::DoGetDataTxVector()` function to overwrite the preamble type.

Via the `MonitorSnifferRxCallback` and the `MonitorSnifferTxCallback`, I was able to verify that the `ns3 WifiPreamble::WIFI_PREAMBLE_HE_ER_SU` was used for data transmission, when the following conditions were met: a) the ER Mode is enabled, b) the number of spatial streams is 1, c) the HE-MCS value is less than 3 and d) the BW is 20 MHz.

The simulation results are shown in Figure 5.8, where the reduction of achieved goodput is plotted against the theoretical data rate for the different HE-MCS values. The lost goodput is calculated by subtracting the achieved goodput when using the HE ER mode from the achieved goodput when using the normal HE SU mode. The only difference between HE SU and HE ER SU transmissions is the preamble, which repeats the HE-SIG-A field in the HE ER SU PPDU format. This results in a longer transmission time, reflecting the lower achieved goodput for the ER Mode.



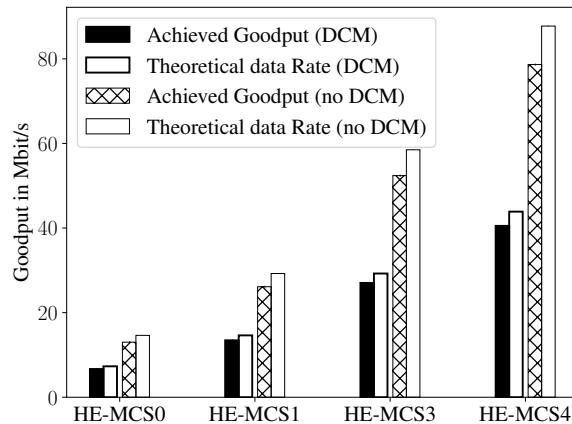
**Figure 5.8** – Achieved Goodput and theoretical Datarate of two Wi-Fi6 stations in Ad-Hoc Mode with a Guard Interval (GI) of 3200 ns and a bandwidth of 20 MHz in regards to the number of Multiple Input Multiple Output (MIMO) streams and the chosen HE-MCS value

The effect increases with smaller packet sizes because the longer preamble transmission time is more significant for smaller packets. For higher HE-MCS values, more achievable goodput is lost because longer transmission time for the preamble could have been used more OFDM symbol transmissions, where more data is coded onto a symbol.

#### Dual Carrier Modulation (DCM)

Using the DCM in the IEEE 802.11ax physical layer also affects the achievable goodput. As aforementioned, the DCM is not supported by ns-3 version 3.37. Therefore, I implemented the DCM for this simulation in the ns-3 version 3.37 by transmitting a payload of twice the size, which represents the original payload and a copy of it for the HE-MCS values 0, 1, 3 and 4, where MCS is allowed. Using DCM, the receiver would apply maximum likelihood decoding to decode the original payload with a higher probability.

The results of the simulation are shown in Figure 5.9, where the lost achieved goodput is plotted against the theoretical data rate for the different HE-MCS values. The theoretical data rate while using DCM is half of the theoretical data rate without DCM, which complies with the IEEE 802.11ax standard [16].



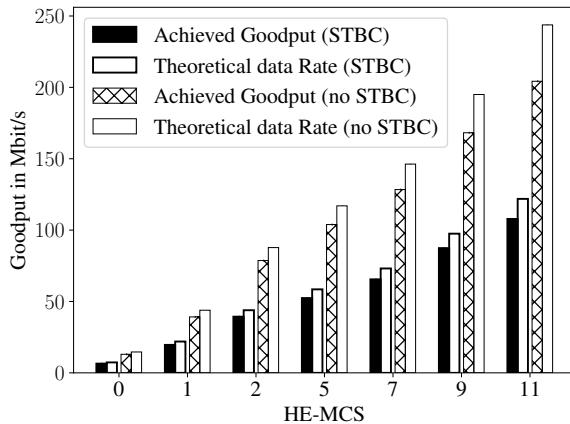
**Figure 5.9** – Achieved Goodput and theoretical Datarate of two Wi-Fi6 stations in Ad-Hoc Mode with for IEEE 802.11ax physical layer parameters of a Guard Interval (GI) of 3200 ns, a Bandwidth (BW) of 20 MHz and 2 spatial streams in regards to the number of the chosen HE-Modulation and Coding Scheme (MCS) value and whether Dual Carrier Modulation (DCM) is enabled

The achieved goodput is always lower than the theoretical data rate because data transmission time is lost to the header overhead and media access time. Wi-Fi access is based on CSMA/CA, meaning the stations have to wait for a random time before transmitting on a free channel. Additionally, the channel can be occupied by ACK or ad-hoc beacon frames, which must be transmitted.

Using DCM increases the ratio of achievable goodput to theoretical data rate because only one header and one ACK frame are transmitted per 2000 Byte payload, and the node has to go to the medium access procedure only once per 2000 Byte payload.

#### Space-Time-Block-Code (STBC)

Another physical layer parameter that reduces the theoretical data rate for more robustness is the STBC. As mentioned, ns-3 version 3.37 does not support the STBC for the IEEE 802.11ax standard. Therefore, I reduced the number of MIMO streams from 2 to 1 when the STBC is enabled. STBC would transmit a redundant copy of the data on the second antenna, which would be combined using the space-time block code (STBC) to increase the robustness and reliability of the transmission. The results of the simulation are shown in Figure 5.10, where the lost achieved goodput is plotted against the theoretical data rate for the different HE-MCS values. The theoretical data rate while using STBC is half of the theoretical data rate without STBC, which complies with the IEEE 802.11ax standard [16].



**Figure 5.10** – Achieved Goodput and theoretical Datarate of two IEEE 802.11ax stations in Ad-Hoc Mode with for IEEE 802.11ax physical layer parameters of a Guard Interval (GI) of 3200 ns, a Bandwidth (BW) of 20 MHz and 2 spatial streams in regards to the number of the chosen HE-Modulation and Coding Scheme (MCS) value and whether Space-Time-Block-Code (STBC) is enabled

Other physical layer parameters, supported by the IEEE 802.11ax standard, are LDPC and utilizing more MIMO streams. The effect that using more MIMO streams for transmission increases the achievable goodput, is already known [14]–[16]. LDPC adds no new effect for the achievable goodput, but more robustness which results in a lower PER.

Using a different frequency spectrum, the achievable goodput can be limited due to the lower maximum BW of 40 MHz in the 2.4 GHz frequency spectrum. To analyze achievable goodput in the 2.4 GHz frequency spectrum, I reran the simulations with the same configuration as in Figure 5.2, but within the 2.4 GHz frequency spectrum. The results indicate that the achievable goodput is between 0.1 Mbit/s... 2.7 Mbit/s slower than in the 5 GHz frequency spectrum.

Due to a signal extension of 6  $\mu$ s in the 2.4 GHz frequency spectrum, the transmission time is longer, which results in a lower achievable goodput. [39] states that the signal extension in the 2.4 GHz frequency spectrum enables backwards compatibility with the older 802.11 standards, which need time to write their network allocation vector register.

The simulation results represent an ideal scenario where only two nodes exchange data. In a real-world scenario in the agricultural domain, the number of nodes and the distance between the nodes may be higher. The signal propagation will be influenced by the complex agricultural environment, which can consist of large water areas, various obstacles and vegetation or weather conditions [47]. In case of the corn harvest scenario, Smolnik and Lücke [11] points out that corn plants

todo: maybe add more verifications here

can be up to 3 m high and thus influence signal propagation. These factors in the real-world scenario can lead to higher PER and thus reduce the achievable goodput.

### 5.3 Link - Level - Simulation Evaluation

The past two sections gave an overview of impact of the different parameters on the achievable goodput and robustness of the IEEE 802.11ax standard. Both simulations were based on nearly ideal conditions, where the channel was not affected by any interference from other devices.

The results show the complex interplay of the different parameters in regards of the achievable goodput and robustness. Every mentioned parameter can be set to have the highest possible data rate, which may lead to a low robustness and vice versa. When the communication link is less robust, more retransmissions are required, which may result in a lower goodput. When the configured data rate is too low, the goodput is also reduced.

As every application has different requirements on latency, range, robustness and goodput, the parameters can be configured accordingly.

In general, modern Wi-Fi offer a variety of different parameters, to achieve the best possible performance for the specific application. The new IEEE 802.11ax ER mode combined with DCM offers the highest robustness at low SNRs according to my simulations, which can be used for long range applications.

To deal with intersymbol interferences due to multipath effects, which were considered as the main reason for a high PER in Wi-Fi outdoor networks by [49] and [50], IEEE 802.11ax offers longer GIs of up to 3200 ns. My simulations show that GI of 3200 ns is more robust against multipath effects than GI of 800 ns, but reduces the theoretical achievable data rate.

Since IEEE 802.11n, the robustness of the communication link can be increased by applying STBC. But just as the IEEE 802.11ax DCM mode, the increased robustness comes at the cost of a reduced theoretical data rate.

The following chapter analyses the impact of the different physical layer parameters on the latency of the Application Layer.

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## Chapter 6

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# Application - Level - Simulation

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### 6.1 Agricultural Platooning Services

After I analyzed the impact on data rate and robustness of the physical layer parameters, I will simulate a platooning scenario in ns-3 to find the influence of the physical layer configuration on the network performance.

The network performance metric consists of the latency, which describes the time needed to transmit the data on the application layer, and the update rate of the platooning service, which defines how long ago a new position update was received by the TM in the Platooning Service.

Ns-3 is suitable for this simulation because it supports application layer-level simulation. Via the extension Netsimulyzer, a graphical user interface is available to visualize the simulation results.

Any packets in ns-3 can be tagged with a ns-3 Packet Tag<sup>27</sup>, which are designed to add additional information to the packet. Every added ns-3 Packet Tag belongs to the packet and does not change the packet size or characteristics. Throughout the simulation, I used ns-3 Packet Tags to add information that needed transferring.

The simulation scenario is based on the corn harvest and loading scenario, which is described in section 2.2, where multiple FHs harvest the corn and load it onto one of the TMs.

An ns-3 Node represents every machine. Each Node has a mobility model, which describes the movement of the machine.

#### Wi-Fi Setup

In order to exchange data between the machines, every machine is equipped with an ns-3 IEEE 802.11ax WifiNetDevice. Every Wi-Fi Device runs in Ad-Hoc mode to

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<sup>27</sup>[https://www.nsnam.org/docs/release/3.36/doxygen/classns3\\_1\\_1\\_tag.html](https://www.nsnam.org/docs/release/3.36/doxygen/classns3_1_1_tag.html)

enable direct communication between the machines. The Wi-Fi data rate is managed by a Constant Rate Wi-Fi Manager, which has a non-uniform transmission mode and a data mode for uniform transmissions. The transmission modes are configured according to the parameters in Table 6.1.

The parameters are chosen from the results of the physical layer analysis of section 5.2 and section 5.1. Non-uniform transmissions are used to broadcast the Agricultural Platooning Service advertisements.

The non-uniform transmission parameters enable the longest possible transmission range, which results in the lowest data rate. Since no high data rate is required to advertise the Service, I chose the parameters to maximize the transmission range. As aforementioned, ns-3 does not support DCM at the moment. In order to implement DCM in the simulation, I doubled the data length for DCM enabled transmissions to represent the overhead of transmitting redundant copies of data for DCM. DCM would utilize the copies to determine the correct data via maximum likelihood decoding. As a result, the communication would be more robust, as shown in section 5.1. This effect is not included in the current version of the simulation.

Guidance data in the Agricultural Platooning Service is exchanged between the FH and the TM via the uniform transmission mode. The process data in chapter 3 reflects that a short transmission range is sufficient to exchange the guidance data, which must still be robust against multipath effects. I chose the parameters to balance a high robustness and high data rate. The uniform transmission parameters are changed in the simulation to analyze the impact on the network performance metrics.

Similar to the ns-3 simulation in section 5.2, this simulation is based on the ns-3 ConstantSpeedPropagationDelayModel, the ns-3 FriisPropagationLossModel and the ns-3 TableBasedErrorRateModel.

## General Parameters

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Wi-Fi Standard	IEEE 802.11ax
GI	3200 ns
LDPC enabled	True
Frequency Spectrum	5.6 GHz
BW	20 MHz
Max. Transmission Power	25 dB
Antenna Gain	5 dB
Maximum retries	3

## Uniform Transmission Parameters

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MCS	5
Number MIMO Streams	2

## Non-Uniform Transmission Parameters

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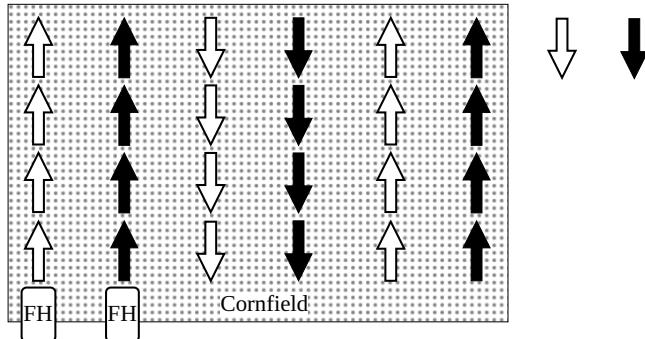
Broadcast MCS	0
ER mode enabled	True
DCM enabled	True

**Table 6.1** – Default simulation parameters for Wi-Fi Devices on Forage Harvester (FH) and Transport Machine (TM)

### Agricultural Platooning Service

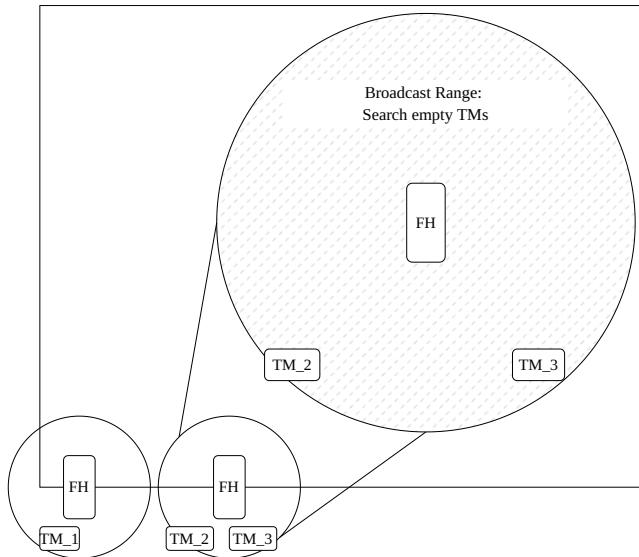
Every machine node runs an ns-3 Application responsible for the Agricultural Platooning Service. The application is identified by a unique identifier and runs a UDP socket to exchange data with other Agricultural Platooning Service applications. Every UDP socket can be addressed by an IP address and a port number. The IP address is the IP address of the machine node.

Every FH starts in the lower left corner of the field and harvests the corn along the path, which is displayed in Figure 6.1. As soon as a FH reaches a field border, it makes a U-turn and continues in the opposite direction. Every FH harvests the corn until it reaches the end of the field in the lower right corner. The initial position of the TMs is a row below the FHs, which is displayed in Figure 6.2.



**Figure 6.1 – Path of the Forage Harvester (FH) for harvesting corn on the field**

In the beginning, every FH broadcasts a search request *search\_TM* via the non-uniform transmission mode to find an empty TM to load the corn onto according to the procedure in Algorithm 6.1. As shown in Figure 6.2, multiple TMs receive the search request and answer with their current fill level of the TM's trailer. The FH chooses the TM with the lowest fill level and sends a connection request to the TM. As soon as the TM receives the connection request, it answers with a connection response. When the FH receives the connection response, the Agricultural Platooning Service is started. When no TM answers the search request, the FH repeats the search request every *search\_TM\_interval* seconds.



**Figure 6.2 – Start position of the Forage Harvester (FH)s and Transport Machine (TM)s, where a FH broadcasts a search request to find a TM to load the corn onto**

Next, the FH starts to harvest the corn. All steps of the harvest process and Agricultural Platooning Service are summarized in Algorithm 6.2.

The FH harvest process is defined by the harvest states in Table 6.2, where every harvest state represents a different PD and FH speed, which are derived from the key figures of a FH harvesting corn with a working width of 6.2 m on a 80 ha field in [7]. When the PD is low, the FH can harvest faster and vice versa.

Starting in the harvest state H1, the FH determines the next harvest state every 50 ms by the Markov chain in Figure 6.3. This Markov chain ensures that the harvest

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**Require:** Defined *search\_TM\_interval*, *search\_TM\_packet\_length*

- 1: Create packet *search\_TM* of length *search\_TM\_packet\_length* bytes
- 2: Broadcast *search\_TM* to all TMs
- 3: **if** no TM answers **then**
- 4:   Repeat Broadcasting *search\_TM* every *search\_TM\_interval* seconds
- 5: **else**
- 6:   Send connection request
- 7:   **if** No TM response **then**
- 8:     Repeat Broadcasting *search\_TM* every *search\_TM\_interval* seconds
- 9:   **else**
- 10:     Connection established
- 11:     Start Agricultural Platooning Service
- 12:   **end if**
- 13: **end if=0**

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**Algorithm 6.1** – Procedure of the Forage Harvester (FH) to search for a Transport Machine (TM) to load the corn onto

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**Require:** Defined *platoon\_data\_interval*, *platoon\_data\_packet\_length*

- 1: Calculate harvested volume
- 2: Advance FH position
- 3: Add harvested volume to TM fill level
- 4: **if** TM fill level is full **then**
- 5:   Disconnect from current TM
- 6:   Start Agricultural Platooning Service with waiting TM
- 7: **else**
- 8:   Create packet *TM\_data* of length *platoon\_data\_packet\_length* bytes, which contains the TM fill level and the new guidance position
- 9:   Send *TM\_data* to TM
- 10:   **if** TM fill level is half full **then**
- 11:     Start Algorithm 6.1 to search for new TM
- 12:   **end if**
- 13: **end if=0**

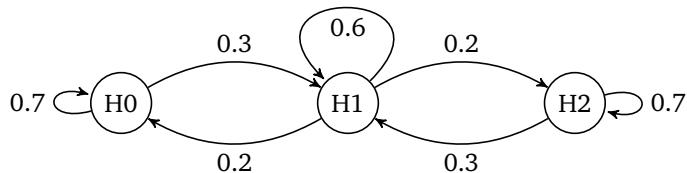
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**Algorithm 6.2** – Procedure of the Forage Harvester (FH) to send the Transport Machine (TM) fill level and the TM guidance position every *platoon\_data\_interval*

state can't transverse from H0 to H2 directly, which would represent a low PD immediately followed by a high PD. As I do not have enough harvest process data, which contain the PD and the FH speed, I chose the transition probabilities to the best of my knowledge. In future work, process data can be used to determine the transition probabilities.

Harvest State	PD	FH speed
H0	20 t/ha	8.06 km/h (2.24 m/s)
H1	30 t/ha	8.06 km/h (2.24 m/s)
H2	50 t/ha	6.65 km/h (1.845 m/s)

**Table 6.2** – Corn harvest states, which define a range of Plant Density (PD)s and Forage Harvester (FH) speeds, where the data is based on the key figures [7]



**Figure 6.3** – Markov Chain for the Harvest States 0, 1 and 2, which represent the current PD and harvest speed from Table 6.2

After determining the next harvest state, the FH sets the PD and the FH speed according to the defined values in Table 6.2. The position of the FH is advanced by the FH speed multiplied with 50 ms along the harvest path in Figure 6.1. The harvested volume during this *platoon\_data\_interval* is calculated with the following equations:

$$\text{Harvested Area} = \text{Harvest width} \times \text{Harvest speed} \times \text{Time step}, \quad (6.1)$$

$$\text{Harvested Volume} = \text{Harvested Area} \times \text{PD} \times \text{Corn volume per tonne}. \quad (6.2)$$

The harvested area is represented in ha, and the harvested volume is defined in m<sup>3</sup>. The needed parameters for the calculation are from [7] and are listed in Table 6.3. The calculated harvested volume is added to the TM's fill level, which is tracked by the FH's application. Keeping track of the fill level represents the knowledge of the FH about the TM's fill level, which the FH would normally get through a sprout guidance system.

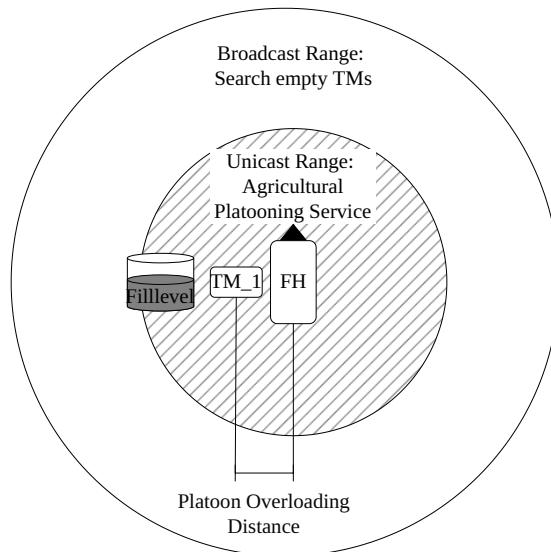
Next, the FH determines whether the TM is full. If the TM is full, the FH stops harvesting and sends a disconnect request to the TM. The TM answers with a disconnect response and leaves the field to unload the corn, as shown in Figure 6.5.

If the TM is not full, the FH sends the *TM\_data* to the TM, which contains the new TM fill level and the new guidance position. The TM updates the fill level and drives to the new position to load the corn, as shown in Figure 6.4. The guidance data positions the TM always 5 m left of the FH because this part of the field was already harvested. The distance between the FH and the TM is as the FH and the TM moved mostly with a distance of around 5 m in the recorded process data in chapter 3.

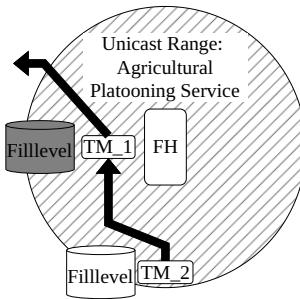
If the TM fill level is half full, the FH starts Algorithm 6.1 to search for a new TM to load the corn onto. This process is visualized in Figure 6.4. As soon as the FH finds a new TM, the FH connects to the new TM and starts sending the TM guidance positions, which place the TM 12 m behind the FH. The FH continues harvesting until the TM is fully loaded. Meanwhile, the new TM is waiting behind the FH and can take over the loading position next to the FH as soon as the TM, where the FH is currently loading onto, is full.

A fully loaded TM leaves the field to unload the corn and returns to the position where it disconnected from the FH. There, it waits for an incoming *search\_TM* request from a FH to connect to a FH again.

The corn harvest process is finished when the last FH has finished harvesting and moved to the end of the field as shown in Figure 6.1.



**Figure 6.4 –** Transport Machine (TM) position left of the Forage Harvester (FH) for overloading, where the TM is half full and the FH starts Algorithm 6.1 to search for a next empty TM, which can later take over the loading position.



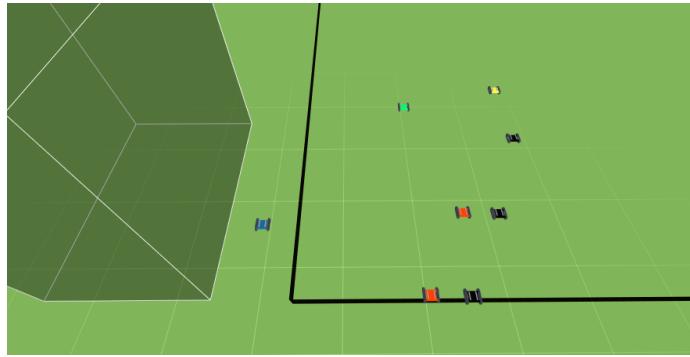
**Figure 6.5** – Change of Transport Machine (TM)s in the Application Agricultural Platooning Service, where  $TM\_1$  is full and leaves the field while the empty  $TM\_2$  takes over the overloading position.

Parameters	
<i>search_TM_interval</i>	1 s
<i>search_TM_packet_length</i>	0.5 kByte
<i>platoon_data_interval</i>	50 ms
<i>platoon_data_packet_length</i>	1 kByte
Platoon Overloading Distance	5 m
FH Working Width	6.2 m
TM Volume	50 m <sup>3</sup>

**Table 6.3** – Simulation parameters for the Application Agricultural Platooning Service

### Simulation Verification

Each corn harvest scenario is visualized to ensure the correctness of the simulation. The visualizations are created with the ns-3 extension Netsimulyzer. The corn field is marked as a black rectangle. Next to the lower left corner there is a cuboid which marks the location of the silo. Every node was displayed as the Netsimulyzer model of a landdrone, where all FHs are black and the TMs are either red, green, yellow or blue, depending on the TM state.



**Figure 6.6** – Screenshot of the simulation visualization in Netsimulyzer, where a blue Transport Machine (TM) is unloading in the back in front of the farm cuboid, two red TMs are in a Agricultural Platooning Service with the black Forage Harvester (FH)s, a green TM is empty and waiting for a new *search\_TM* request and a yellow TM is in waiting position behind a black FH.

An empty TM is displayed green and changes to yellow as soon as it is associated with a FH and in waiting position behind the FH. The TM is red as soon as the FH starts loading the corn onto the TM in an Agricultural Platooning Service. A full TM is displayed blue and moves to the farm cuboid next to the lower left corner of the field. As soon as the TM is empty, it changes back to green and waits for a new FH to connect to it at the last disconnection position.

I use this visualization to verify the correct mobility of the FH and the TM, the correct TM state transitions, that the simulation is ending properly and that the FH switches the waiting TM after disconnecting from the fully loaded TM.

Using the provided information from the ns-3 MonitorSnifferRxCallback and MonitorSnifferTxCallback I was able to review ongoing transmissions and whether the specified physical layer parameters are used correctly for non-uniform and uniform traffic.

Every simulation runs 2 TMs per FH. This does not correspond to the key figures of 10 TMs per FH in the corn harvest scenario [7], but is sufficient as there is no communication of TMs while transporting the harvested crop to the farm currently planned. For this reason, I have set the simulation so that a full TM is empty again after 10 s at the disconnect position. This is a reasonable value, as it ensures that every time a FH starts a *search\_TM* request, there is a TM in range to connect to.

Zhang et al. [8] defined a data frame of 32 Byte, which includes an identifier, timestamp, longitude, latitude, heading, speed and direction. This set of data comprises a basic set which is sufficient for the implementation of a platooning service, as the authors show. Schlingmann and Benishek [2] do not specify the amount of data further and point out that the required data rate for platooning services is low.

The use case requirements for vehicle platooning services in [73] specify data sizes of 300 kByte ... 1200 kByte, depending on the vehicle density.

I have set the data size *platoon\_data\_packet\_length* to 1 kByte for the simulation of platooning services. This data size is an abstraction of the storage space that may be needed for additional data or implementations of authentication and security mechanisms. In the Corn Harvest scenario, additional data could be, for example, the fill level of the transport machine, information about the environment, obstacles or other machines on the field.

Zhang et al. [8] already showed that an interval of 100 ms is sufficient for Agricultural Platooning Services in a harvest scenario.

I chose a *platoon\_data\_interval* of 50 ms for the Agricultural Platooning Service as Smolnik and Lücke [11] mention that their requirements analysis indicated that new guidance data should at least arrive every 50 ms.

## 6.2 Application-Level-Simulation Evaluation

I chose the following simulation configurations for the uniform transmission of guidance data: HE-MCS 3, 5 and 7 and STBC either enabled or disabled. Every simulation configuration runs 5 times, where every FH harvests 1 ha of corn, which equals to roughly 2.5 TM loads. Every simulation run has a different random seed. After the simulation run successfully and the simulation process is verified in the visualization, a mean value and confidence interval with a confidence level of 95 % is calculated per simulation configuration.

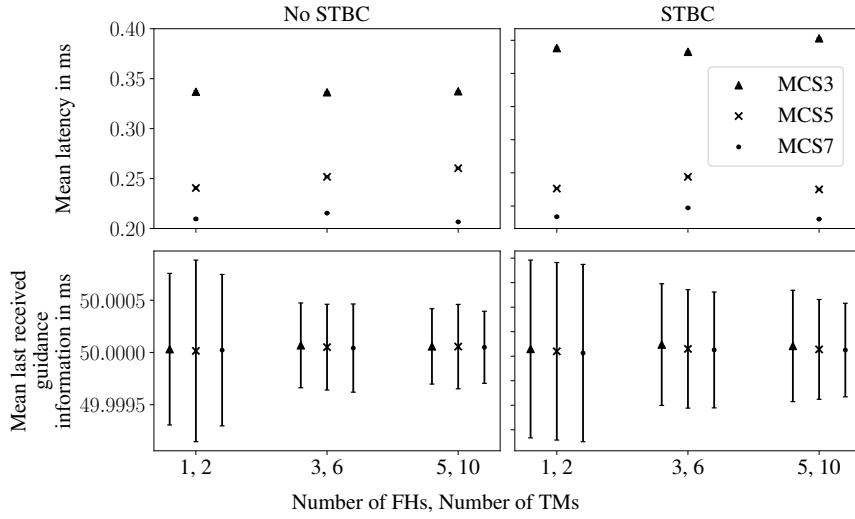
The results for defined metrics latency and data update rate are shown in Figure 6.7.

The desired *platoon\_data\_interval* of 50 ms can be achieved with every configuration.

The confidence interval for the mean update rate is always below 2  $\mu$ s. The mean latency is always below 0.4 ms. Using higher HE-MCS values reduces the mean latency of the Agricultural Platooning Service data exchange.

Applying STBC additionally increases the mean latency compared to non-STBC configurations. This is due to the fact that the STBC configuration uses the redundant copy of data to improve the reliability of the transmission by applying maximum likelihood decoding. This decreases the data rate and increases the mean latency. Nevertheless, the desired *platoon\_data\_interval* of 50 ms can be achieved when using STBC for every specified HE-MCS value and thereby increase the reliability of the transmission.

The recorded process data from the corn harvest scenario showed that the FH can also harvest at higher speeds around 10 km/h than the key figures in [7] report.



**Figure 6.7** – Mean latency and mean guidance data update interval of the Application Agricultural Platooning Service in regards to the number of FHs and TMs and the chosen HE-MCS value for a *platoon\_data\_interval* of 50 ms.

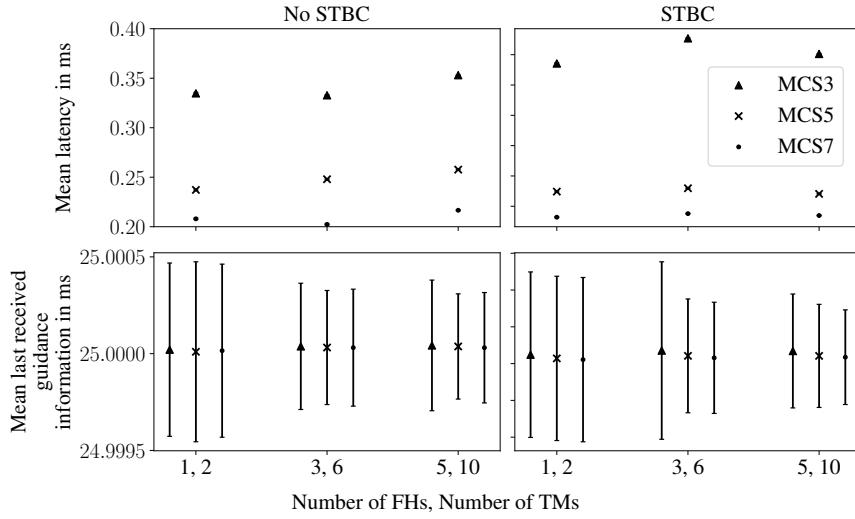
To test the applicability of the Agricultural Platooning Service for higher speeds, I ran a additional simulation where I changed the harvest states according to Table 6.4.

Harvest State	PD	FH speed
H0	20 t/ha	14 km/h (3.89 m/s)
H1	30 t/ha	12 km/h (3.33 m/s)
H2	50 t/ha	10 km/h (2.78 m/s)

**Table 6.4** – Corn harvest states, which define a range of Plant Density (PD) from [7] and Forage Harvester (FH) speeds, which I set higher than the key figures in [7] specify to increase the requirements on the Agricultural Platooning Service.

As I increased the FH speed, which leads each harvest platoon, the whole platoon harvests the field faster. Therefore, all TMs move faster. To provide faster TMs more often with guidance data, I decreased the *platoon\_data\_interval* to 25 ms to ensure, that a TM always stays in the harvest platoon position even at higher speeds.

This *platoon\_data\_interval* of 25 ms heads in the direction of the upper required update rate of 10 ms...25 ms for general vehicle platooning services in [73]. But the values in [73] correspond to higher platoon sizes, a velocity of 100 km/h and a vehicle distance of 1 m...2 m.



**Figure 6.8 –** Mean latency and mean guidance data update interval of the Application Agricultural Platooning Service in regards to the number of FHs and TMs and the chosen HE-MCS value for a *platoon\_data\_interval* of 25 ms.

The simulation runs with the same physical layer configurations as in Figure 6.7. The results displayed in Figure 6.8 reveal that the mean guidance data update interval is always around 25 ms, which is the desired *platoon\_data\_interval*. The mean latency is nearly the same as in Figure 6.7, which indicates that there is still some channel capacity left for further data exchange.

Both simulations were based on the abstracted and simplified corn harvest scenario, which is based on the ns-3 communication model and abstracted implementation of the physical layer and MAC layer in ns-3. In a real-world scenario, an Agricultural Platooning Service has to operate in the complex agricultural environment, which may impact signal propagation and communication performance.

The simulated communication is based on a BW of 20 MHz, which offers the highest possible signal power spectral density. Additional robustness is gained by using STBC, LDPC, low MCS values and CRs which are encoded for example in HE-MCS3 and are available since the IEEE 802.11n standard. These physical layer configurations are enough to transmit guidance data in an Agricultural Platooning Service.

Both simulations did not consider the impact of interference due to other ongoing transmissions. For example, WIC Video streaming services can increase the network load and consequently lead in higher latencies for the Agricultural Platooning Service. A solution would be to prioritize the Agricultural Platooning Service over other services to ensure a certain quality of service. When passing houses, industrial, or

farm buildings, additional Wi-Fi transmissions can be expected. The results showed that some channel capacity is still left for further data exchange.

Using IEEE 802.11ax, a new service discovery transmission mode is available. Additionally, to the lowest MCS and CR, IEEE 802.11ax can apply the ER mode with DCM which increases robustness as shown in section 5.1 and therefore increases the communication range. This physical layer amendment is designed to introduce a new level of long-range communication in IEEE 802.11. At the moment, I am not aware of any research that measured the resulting communication range in an agricultural environment.

If the communication range is not sufficient for service discovery, a road side unit at the field entrance can be used to exchange FH position data between TMs leaving and entering the field. Alternatively, a field planner can be used to give an overview of where a FH should be harvesting, when everything works as expected. These two options can provide FH position estimations, which can be used to guide TMs to a position where they are likely to receive service discovery broadcasts from FHs.

Add more information about the impact of interference.

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## Chapter 7

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# Conclusion

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- summarize again what your paper did, but now emphasize more the results, and comparisons
- write conclusions that can be drawn from the results found and the discussion presented in the paper
- future work (be very brief, explain what, but not much how, do not speculate about results or impact)
- recommended length: one page.

This thesis investigates the suitability of modern Wi-Fi for Wireless-Infield Communication (WIC), which describes a wireless process data exchange between agricultural machines in the field. The investigation is based on the prototypical application Agricultural Platooning Service in the corn harvest scenario. Agricultural Platooning Services exchange process data via WIC to guide a vehicle in a platoon with lateral and longitudinal offset to a leading vehicle in the field. In the corn harvest, Agricultural Platooning Services can be used to guide Transport Machine (TM)s to the overloading positions of forage from Forage Harvester (FH)s .

I analyzed corn harvest process data, which indicated that the overloading position is usually at a distance below 10 m at every angle relative to the FH.

A field measurement campaign in the corn harvest scenario indicated that the signal strength of Wi-Fi suffers from shadowing effects due to the machine sizes. In the open terrain of the agricultural domain, multipath effects occur easily and introduce additional interferences, which led to a packet error rate (PER) of 30.0 %. Additionally, I tested the Wi-Fi range in a farming environment and found that a communication range of 820 m for the 5 GHz band and 1.2 km for the 2.4 GHz band at a PER of 10.0 % is possible.

Werte sind Wunschvorstellungen, die aber mit den Ergebnissen aus dem Feldtest nach deren Durchführung korrigiert werden.

I simulated different Wi-Fi physical layer configurations to analyze their impact on data rate and robustness. IEEE 802.11ax introduces the new Extended Range (ER) mode, which enables a robust and long-range communication for service discovery transmission when used with Dual Carrier Modulation (DCM). The Guard Interval (GI) of 3.2  $\mu$ s in IEEE 802.11ax can be used to combat intersymbol interferences due to multipath effects in the agricultural environment. The guidance data exchange mode can be configured to use low Modulation and Coding Scheme (MCS) like High-Efficiency (HE)-MCS3 with Space-Time-Block-Code (STBC) and low-density parity-check (LDPC) to achieve a robust communication, which enables the WIC to meet the required latencies and message intervals for Agricultural Platooning Services in the corn harvest scenario.

In my work, I was able to show that IEEE 802.11ax provides many configuration options to enable robust communication at the required data rate, range and latency.

---

## List of Abbreviations

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<b>ACK</b>	Acknowledgement
<b>AEF</b>	Agricultural Industry Electronics Foundation
<b>AP</b>	Access Point
<b>BCC</b>	binary convolutional coding
<b>BSS</b>	Basic Service Set
<b>BW</b>	Bandwidth
<b>CH</b>	Combine Harvester
<b>CR</b>	Coding Rate
<b>CSMA/CA</b>	Carrier Sense Multiple Access/Collision Avoidance
<b>CTS</b>	Clear-to-Send
<b>DCF</b>	Distribution Coordination Function
<b>DCM</b>	Dual Carrier Modulation
<b>DIFS</b>	Distributed Coordination Function Interframe Space
<b>EDCA</b>	Enhanced Distributed Channel Access
<b>ER</b>	Extended Range
<b>ESS</b>	Extended Service Set
<b>FEC</b>	Forward Error Correction
<b>FH</b>	Forage Harvester
<b>FMIS</b>	Farm Management Information System
<b>GI</b>	Guard Interval
<b>GPS</b>	Global Positioning System
<b>HE</b>	High-Efficiency
<b>HT</b>	High-Throughput
<b>IBSS</b>	Independent Basic Service Set
<b>IFS</b>	Interframe Space
<b>LDPC</b>	low-density parity-check
<b>LOS</b>	Line-Of-Sight
<b>M2M</b>	Machine-To-Machine
<b>MCS</b>	Modulation and Coding Scheme
<b>MIMO</b>	Multiple Input Multiple Output

<b>MU</b>	Multi-User
<b>NAV</b>	Network Allocation Vector
<b>OFDM</b>	Orthogonal Frequency-Division Multiplexing
<b>OFDMA</b>	Orthogonal Frequency-Division Multiple Access
<b>PCF</b>	Point Coordination Function
<b>PD</b>	Plant Density
<b>PER</b>	packet error rate
<b>PPDU</b>	Physical layer convergence protocol data unit
<b>PSK</b>	Phase Shift Keying
<b>QAM</b>	Quadrature Amplitude Modulation
<b>RSS</b>	Received Signal Strength
<b>RTS</b>	Request-to-Send
<b>RU</b>	Resource Unit
<b>SIFS</b>	Short Interframe Space
<b>SNR</b>	Signal Noise Ratio
<b>SSID</b>	Service Set Identifier
<b>STA</b>	station
<b>STBC</b>	Space-Time-Block-Code
<b>SU</b>	Single-User
<b>TM</b>	Transport Machine
<b>UDP</b>	User Datagram Protocol
<b>V2X</b>	Vehicle-to-everything
<b>VHT</b>	Very-High-Throughput
<b>WIC</b>	Wireless-Infield Communication
<b>WPA</b>	Wi-Fi Protected Access

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