Lecture 15: PKI and Certificates

COSC362 Data and Network Security

Book 1: Chapter 14 - Book 2: Chapters 21 and 23

Spring Semester, 2021

Motivation

- Public key infrastructures imply the use of public digital certificates.
- ▶ Digital signatures provide these certificates.
- X.509 certificates are standardised and used in most network security applications.

Outline

Public Key Infrastructure (PKI)

Digital Certificates
Trust of Certificates

PKI Examples

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PKI Examples

Definition

NIST definition:

- "A public key infrastructure is the key management environment for public key information of a public key cryptographic system."
- Key management concerned with *lifecycle* of cryptographic keys:
 - Generation, distribution, storage and destruction of keys.
- Various legal or business (trusted) entities may be involved:
 - Registration authorities (RAs): vouching for the identity of an user.
 - Validation authorities (VAs): verifying that identity.
 - ► Certification authorities (CAs): issuing digital certificates (certifying the public key of the user).

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Digital Certificates

- How to be confident of the correct binding between a public key and its owner?
 - When using a public key to encrypt a message or to verify a digital signature.
- ▶ Achieved through the use of *digital certificates*:
 - ▶ They contain the public key and owner identity.
 - ► There is other information such as signature algorithm and validity period.
- ► Certificate digitally signed by a *certification authority* (CA):
 - ▶ CA should be trusted by the certificate verifier.
- Certificates play a central role in key management for PKIs.

Certification Authority (CA)

- A CA creates, issues and revokes certificates for subscribers and other CAs.
- ► A CA has a certification practice statement (CPS).
- A CPS covers issues such as:
 - Checks performed before certificate issue
 - Physical, personnel and procedural security controls for the CA
 - ► Technical and key pair protection and management controls
 - Certificate revocation management procedures
 - Accreditation information
 - Legal and privacy issues and liability limitations

X.509 Standard

- Most widely used standard:
 - Originally ITU standard.
 - Now RFC 5280.
 - Current version (number 3) allows flexible extensions.
- ► Important fields in X.509 certificates:
 - Version number
 - Serial number (set by the CA)
 - Signature algorithm identifier (algorithm used to digitally sign)
 - Issuer name (of the CA)
 - Subject name (of the user to which the certificate is issued)
 - Public key information
 - Validity period
 - Digital signature (of the certificate, generated by the CA)

Example



 Information about the subject (the user to which the certificate is issued)

Information about the issuer (the CA)

Example



- Serial number
- ➤ X.509 version (3)
- Signature algorithm
- Period validity
- Information about the public key
- Information about the signature

Using a Certificate

- Verifying a certificate:
 - By checking that the CA's signature is valid.
 - By checking that any conditions set in the certificate are correct.
- In order to verify a certificate:
 - The user of the certificate must have the correct public key of the CA.
- It does not matter how the user obtains the certificate.
- Public directories may store certificates:
 - Often, the owner of the public key sends the certificate to the user.

Certification Paths

- Suppose that the public key of the CA ca₀ is not already known and trusted.
- ▶ Then, ca_0 's public key can be certified by another CA ca_1 .
- ▶ In turn, ca₁'s public key can be certified by another CA ca₂.
- ► Thus, a *chain of trust* is set up, known as a *certification* path:

$$ca_n \rightarrow \cdots \rightarrow ca_2 \rightarrow ca_1 \rightarrow ca_0$$

- Suppose that an entity has a trusted copy of can's public key.
- ► The chain of trust is used with certificates for all the intermediate CAs to obtain a trusted copy of ca₀'s public key.

☐ Trust of Certificates

Phishing Attack

- The victim connects securely to a bogus site with the wrong certificate.
- ► The attacker makes the URL similar and the interface identical to a genuine site.



- If the website uses a certificate, then the padlock indicator still shows:
 - But the secure connection is to the attacker's site.
- Not always easy to tell if a certificate is one for a genuine site.

Trust of Certificates

Extended Validation Certificates



Browser indication:

- ▶ A color in the address bar to indicate that the certificate has been issued at a specified level.
- Agreement between browser developers and CAs:
 - No technical difference in the certificate.
 - Just signed by a specific intermediate CA.
- Surveys have shown that extended validation certificates are mostly ignored by users.

Trust of Certificates

Revocation

- Declaring a certificate invalid even though its validity period is current.
- The user must check which certificates have been revoked.
- Certificate revocation list (CRL):
 - Each CA periodically issues a list of revoked certificates which can be downloaded and then checked by clients before using a certificate.
- ➤ Online certificate status protocol (OCSP):
 - A server maintains a current list of revoked certificates and responds to requests about specific certificates.

☐ Trust of Certificates

Public Key Pinning

- ► Allowing browsers to fix for a certain time the public key used to verify certificates for certain sites.
- Preventing attacks due to compromised CAs.
- Supported by Firefox and other browsers.
- Previously supported by Chrome, but Google announced to remove it (Oct. 2017).

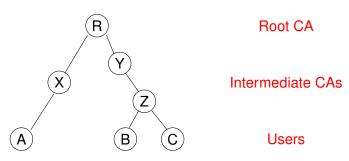
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Hierarchical PKI



- A CA certifies the public key of the entity below.
- ▶ In a non-hierarchical PKI, certification done between any CAs:
 - ▶ X can certify Y's public key, or Z can certify Y's public key.

Browser PKI

- Multiple hierarchies with preloaded public keys as root CAs.
- Intermediate CAs can be added.
- Users can also add their own certificates.
- Most servers send their public key and certificate to the browser at the start of a secure communication using TLS protocol.

OpenPGP PKI

- Used in PGP email security.
- Certificate includes ID, public key, validity period and self-signature.
- ▶ There is NO certification authorities:
 - ► Keys signed by anyone.
- Various key servers store keys:
 - ► Example: http://pqp.mit.edu
- Often known as web of trust.

Do we have some time left?

Yes, then let's start Lecture 16!