

Natural Language Processing

Lecture 6: Parsing with Context Free Grammars I.
CKY algorithm

10/1/2021

COMS W4705
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Formal Grammar and Parsing

- Formal Grammars are used in linguistics, NLP, programming languages.
- We want to build a compact model that describes a complete language.
- Need efficient algorithms to determine if a sentence is in the language or not (**recognition problem**).
- We also want to recover the structure imposed by the grammar (**parsing problem**).

Syntactic Parsing

- Formalisms like CFGs and Finite State Automata define the (possibly infinite) set of legal strings of a language.
- **Parsing algorithms** determine if an input string is part of this language or not. For CFGs, they assign each string one or more syntactic analyses.

Two Approaches to Parsing

- Bottom-up: Start at the words (terminal symbols) and see which subtrees you can build. Then combine these subtrees into larger trees. (Driven by the input sentence.)
CKY algorithm - requires Grammars in Chomsky Normal Form.
- Top-down: Start at the start symbol (S), try to apply production rules that are compatible with the input. (Driven by the grammar - next week)
Earley algorithm
- Both approaches can be seen as a kind of search problem (next week).

Chomsky Normal Form

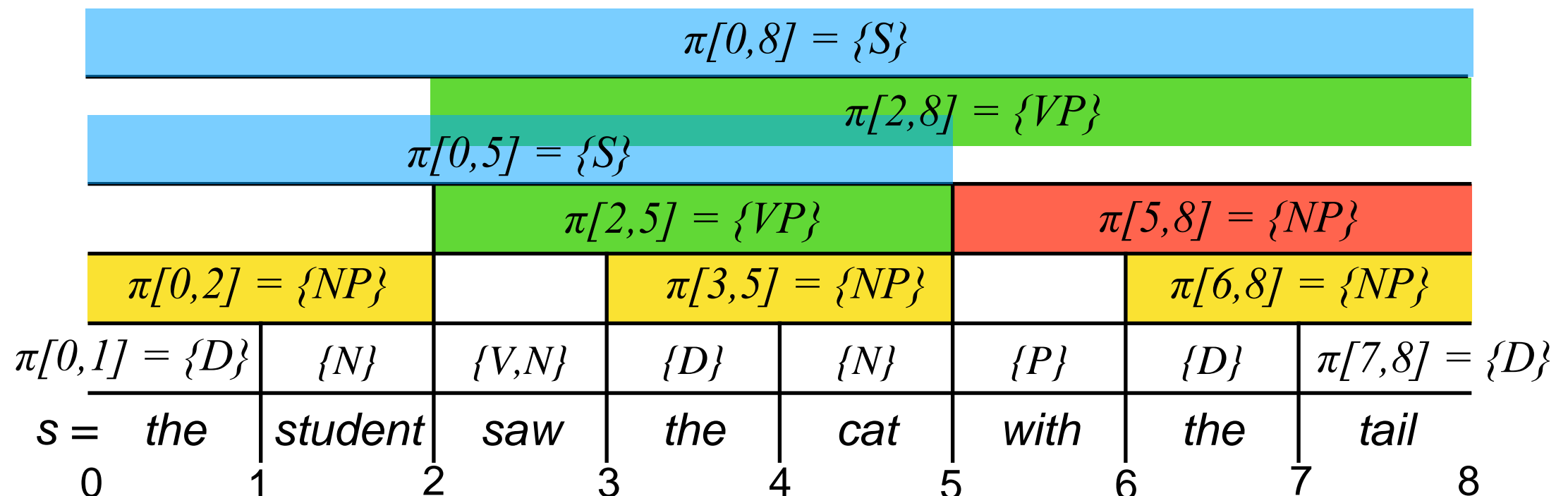
- A CFG $G=(N, \Sigma, R, S)$ is in Chomsky Normal Form (CNF) if the rules take one of the following forms:
 - $A \rightarrow B C$, where $A \in N, B \in N, C \in N$.
 - $A \rightarrow b$, where $A \in N, b \in \Sigma$.

$S \rightarrow NP VP$	$V \rightarrow \text{saw}$
$VP \rightarrow V NP$	$P \rightarrow \text{with}$
$VP \rightarrow VP PP$	$D \rightarrow \text{the}$
$PP \rightarrow P NP$	$N \rightarrow \text{cat}$
$NP \rightarrow D N$	$N \rightarrow \text{tail}$
$NP \rightarrow NP PP$	$N \rightarrow \text{student}$

Any CFG can be converted to an equivalent grammar in CNF that expresses the same language.

Cocke-Kasami-Younger (CKY) Algorithm - Motivation

- A nonterminal A covers a sub-span $[i,j]$ of the input string s if the rules in the grammar can derive $s[i,j]$ from A .
Let $\pi[i,j]$ be the set of nonterminals that cover $[i,j]$.
- The string is recognized by the grammar if $S \in \pi[i,j]$.
- Approach: Compute $\pi[i,j]$ for all sub-spans bottom-up, using dynamic-programming.



CKY Data Structure

- Use a 2-dimensional “parse table” to represent $\pi[i,j]$.

S \rightarrow NP VP	NP \rightarrow she
VP \rightarrow V NP	NP \rightarrow glasses
VP \rightarrow VP PP	D \rightarrow the
PP \rightarrow P NP	N \rightarrow cat
NP \rightarrow D N	N \rightarrow glasses
NP \rightarrow NP PP	V \rightarrow saw
	P \rightarrow with

0 she 1 saw 2 the 3 cat 4 with 5 glasses

0		0,1	0,2	0,3	0,4	0,5	0,6
1			1,2	1,3	1,4	1,5	1,6
2				2,3	2,4	2,5	2,6
3					3,4	3,5	3,6
4						4,5	4,6
5							5,6
6							

CKY Initialization

- For $i=0 \dots \text{length}(s)-1$:
 $\pi[i, i+1] = \{A \mid A \rightarrow s[\underline{i:i+1}] \in R\}$

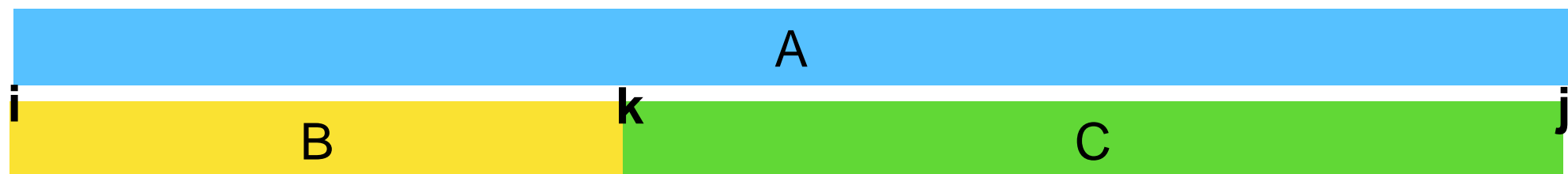
$S \rightarrow NP VP$	$NP \rightarrow \text{she}$
$VP \rightarrow V NP$	$NP \rightarrow \text{glasses}$
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$NP \rightarrow D N$	$N \rightarrow \text{glasses}$
$NP \rightarrow NP PP$	$V \rightarrow \text{saw}$
	$P \rightarrow \text{with}$

0 she 1 saw 2 the 3 cat 4 with 5 glasses

0	NP	0,2	0,3	0,4	0,5	0,6
1		V	1,3	1,4	1,5	1,6
2			D	2,4	2,5	2,6
3				N	3,5	3,6
4					P	4,6
5						NP,N
6						

CKY - finding the split

- CKY requires grammar to be in CNF.
- Assume subspan $[i,j]$ is covered by nonterminal A .
- Then this nonterminal was recognized by some production of the form $A \rightarrow B C$, where $A \in N$, $B \in N$, $C \in N$ (grammar is in CNF).
- Span $[i,j]$ can be split into two parts:
 $[i,k]$, which is covered by B , and
 $[k,j]$ which is covered by C .



CKY - Recursive Definition

- To compute $\pi[i, j]$, try all possible split points k , such that $i < k < j$.
- For each k , check if the nonterminals in $\pi[i, k]$ and $\pi[k, j]$ match any of the rules in the grammar.
- Recursive definition for $\pi[i, j]$:

$$\pi[i, j] =$$

$$\bigcup_{k=i+1 \dots j-1} \{A \mid A \rightarrow B C \in R \text{ and } B \in \pi[i, k] \text{ and } C \in \pi[k, j]\}$$

CKY Full Algorithm

- **Input:** Grammar $G=(N, \Sigma, R, S)$, input string s of length n .
- for $i=0\dots n-1$: initialization
 $\pi[i, i+1] = \{A \mid A \rightarrow s[i] \}$
- for $length=2\dots n$: main loop
 for $i=0\dots(n-length)$:
 $j = i+length$
 for $k=i+1\dots j-1$:
 $M = \{A \mid A \rightarrow BC \in R \text{ and } B \in \pi[i, k] \text{ and } C \in \pi[k, j]\}$
 $\pi[i, j] = \pi[i, j] \cup M$
- if $S \in \pi[0, i+1]$ return True, otherwise False

CKY Algorithm

for $i=0...(n-length)$:
 $j = i+length$
 for $k=i+1...j-1$:

....

$S \rightarrow NP VP$	$NP \rightarrow she$
$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=2$

$i=0, k=1, j=2$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

0		NP		0,3	0,4	0,5	0,6
1			V	1,3	1,4	1,5	1,6
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CKY Algorithm

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$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

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$i=2, k=3, j=4$

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$length=2$

$i=4, k=5, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

0		NP		0,3	0,4	0,5	0,6
1			V		1,4	1,5	1,6
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$i=0, k=1, j=3$

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1			V		1,4	1,5	1,6
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$length=3$

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1			V		VP	1,5	1,6
2				D	NP		2,6
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CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

S → NP VP	NP → she
VP → V NP	NP → glasses
VP → VP PP	D → the
PP → P NP	N → cat
NP → D N	N → glasses
NP → NP PP	V → saw
	P → with

$length=4$

$i=0, k=1, j=4$

$_0$ she $_1$ saw $_2$ the $_3$ cat $_4$ with $_5$ glasses

0	NP			S	0,5	0,6
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$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=4$

$i=0, k=2, j=4$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

0	NP			S	0,5	0,6
1		V		VP	1,5	1,6
2			D	NP		2,6
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$i=1, k=2, j=5$

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$length=4$

$i=2, k=3, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

0		NP			S	0,5	0,6
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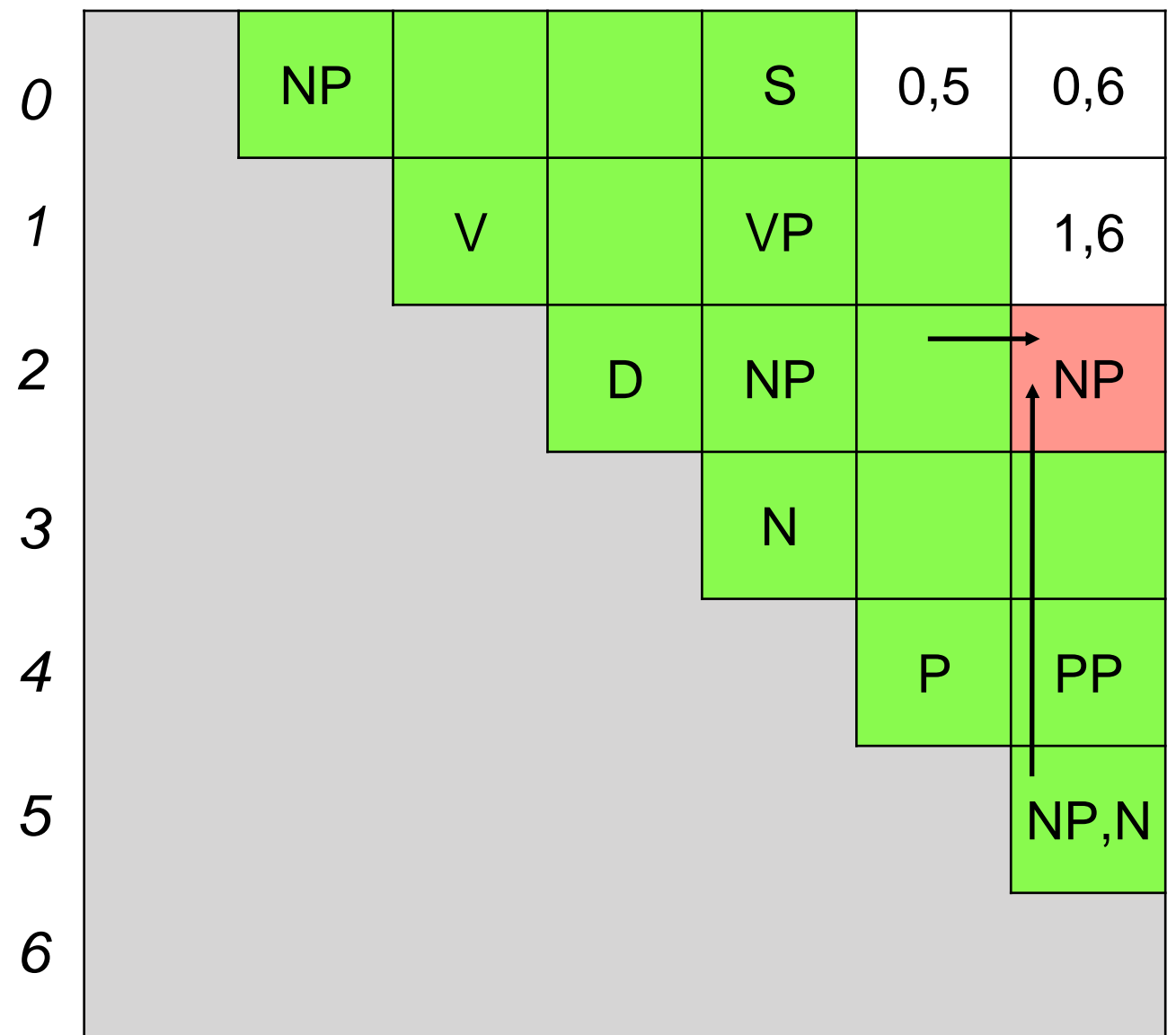
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$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=1, j=5$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

0	NP			S		0,6
1		V		VP		1,6
2			D	NP		NP
3				N		
4					P	PP
5						NP,N
6						

CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

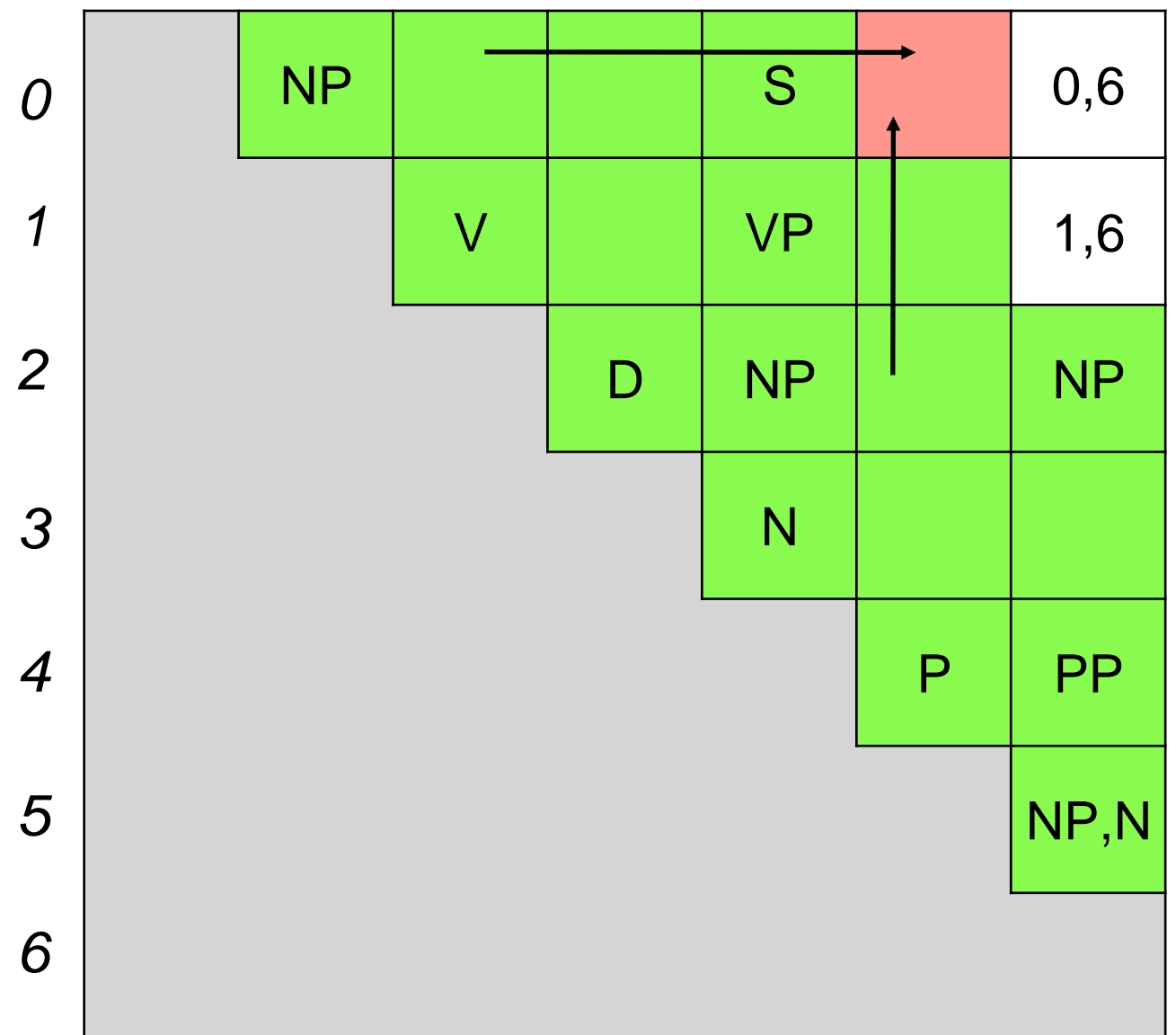
....

$S \rightarrow NP VP$	$NP \rightarrow she$
$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=2, j=5$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0...(n-length)$:
 $j = i+length$
 for $k=i+1...j-1$:

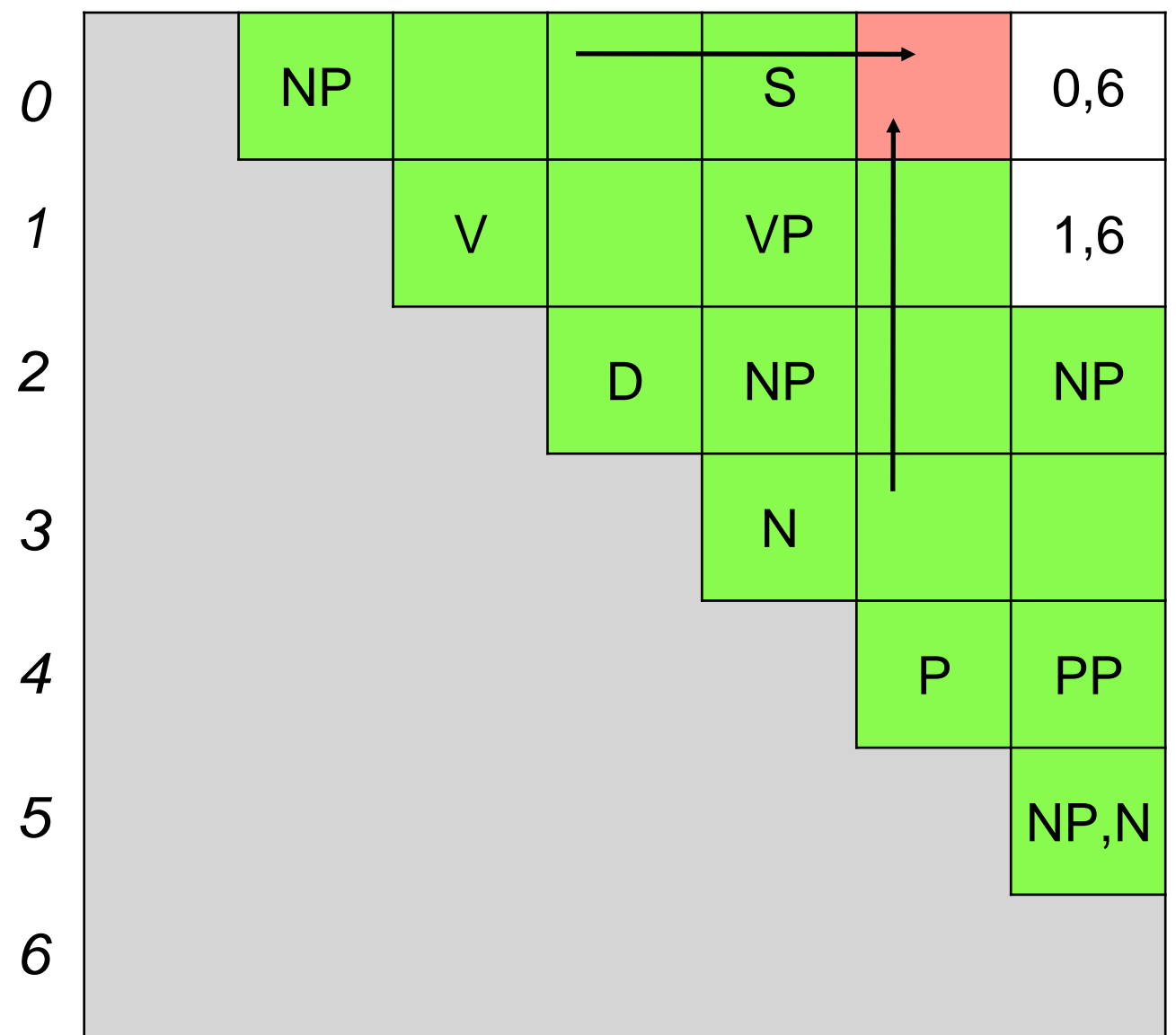
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$S \rightarrow NP VP$	$NP \rightarrow she$
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$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=3, j=5$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0 \dots (n-length)$:
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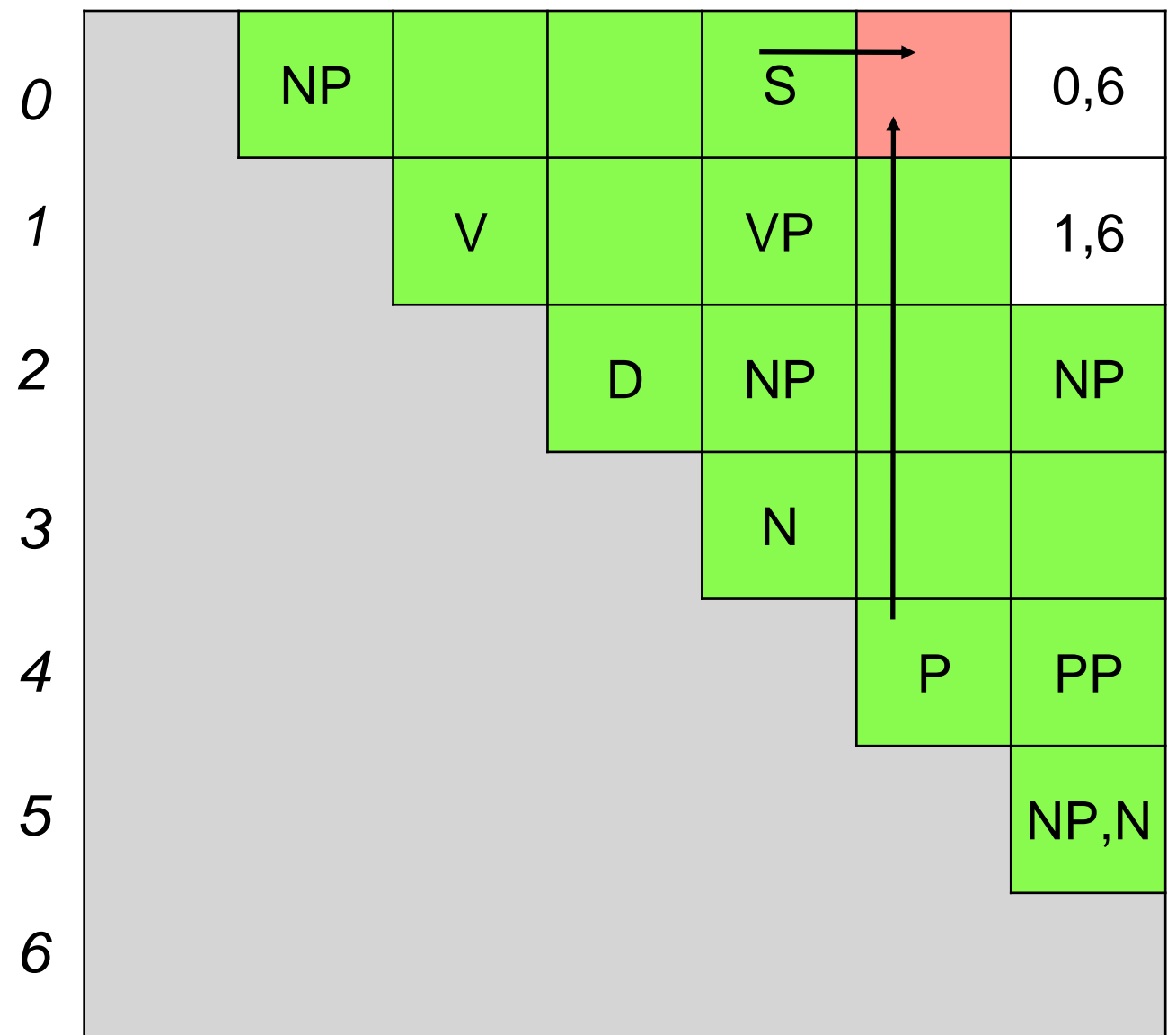
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$S \rightarrow NP VP$	$NP \rightarrow she$
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$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=4, j=5$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0 \dots (n-length)$:
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 for $k=i+1 \dots j-1$:

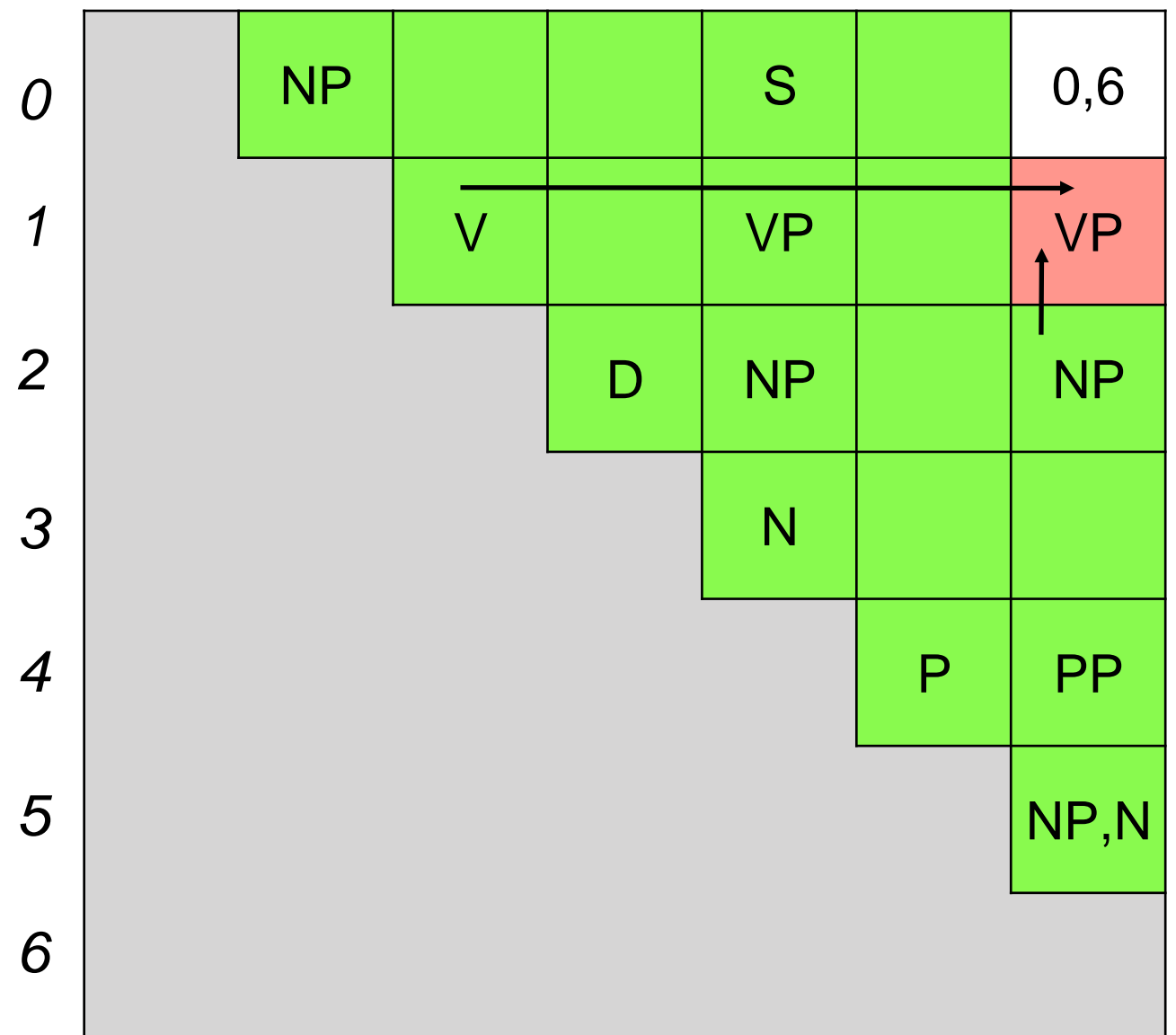
....

S \rightarrow NP VP	NP \rightarrow she
VP \rightarrow V NP	NP \rightarrow glasses
VP \rightarrow VP PP	D \rightarrow the
PP \rightarrow P NP	N \rightarrow cat
NP \rightarrow D N	N \rightarrow glasses
NP \rightarrow NP PP	V \rightarrow saw
	P \rightarrow with

$length=5$

$i=1, k=2, j=6$

$_0$ she $_1$ saw $_2$ the $_3$ cat $_4$ with $_5$ glasses



CKY Algorithm

for $i=0...(n-length)$:
 $j = i+length$
 for $k=i+1...j-1$:

....

S → NP VP	NP → she
VP → V NP	NP → glasses
VP → VP PP	D → the
PP → P NP	N → cat
NP → D N	N → glasses
NP → NP PP	V → saw
	P → with

$length=5$

$i=1, k=3, j=6$

$_0$ she $_1$ saw $_2$ the $_3$ cat $_4$ with $_5$ glasses

0	NP			S		0,6
1		V		VP		VP
2			D	NP		NP
3				N		
4					P	PP
5						NP,N
6						

CKY Algorithm

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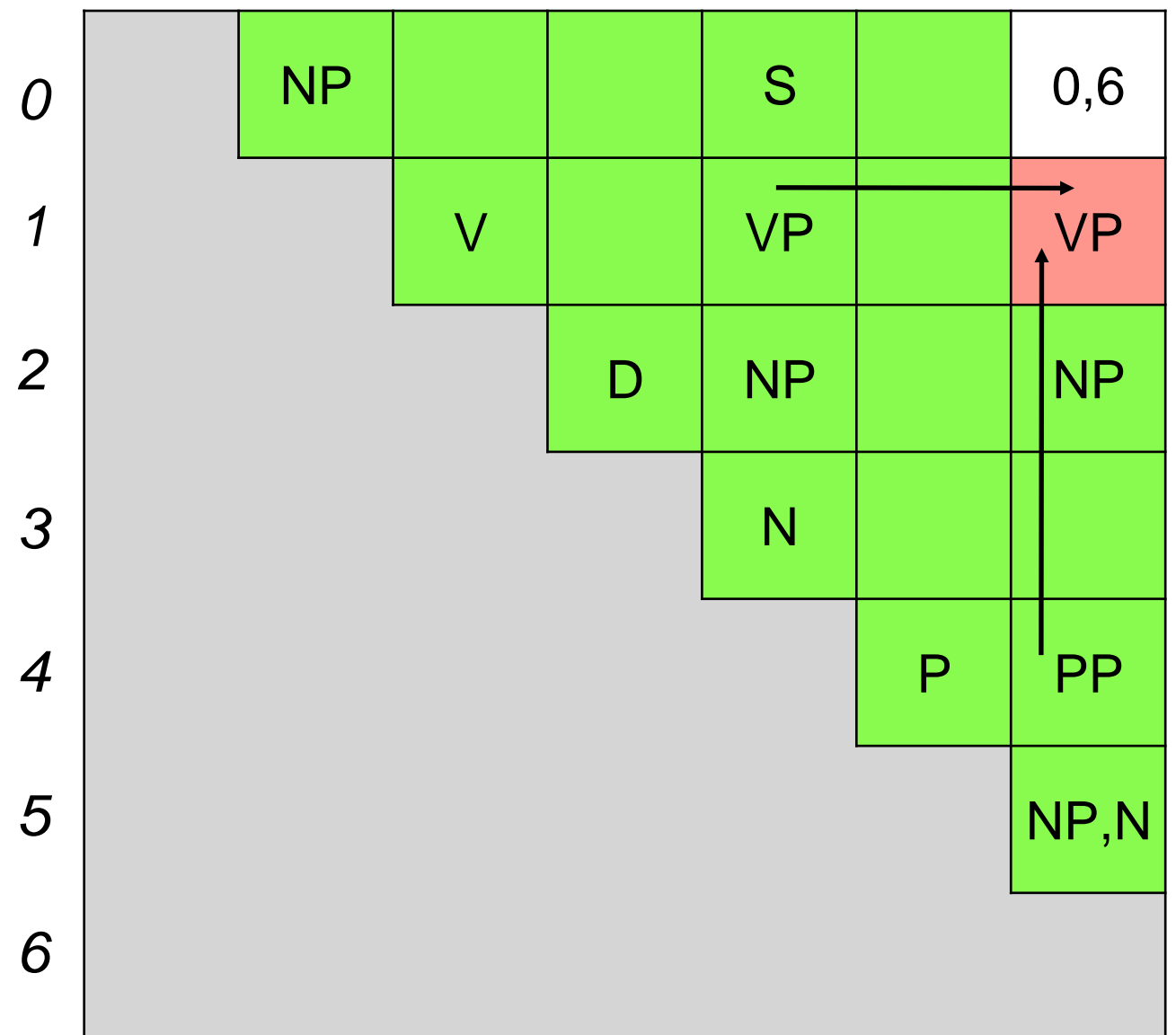
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$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=1, k=4, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



! We can build VP over [1,6] in two ways!

CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

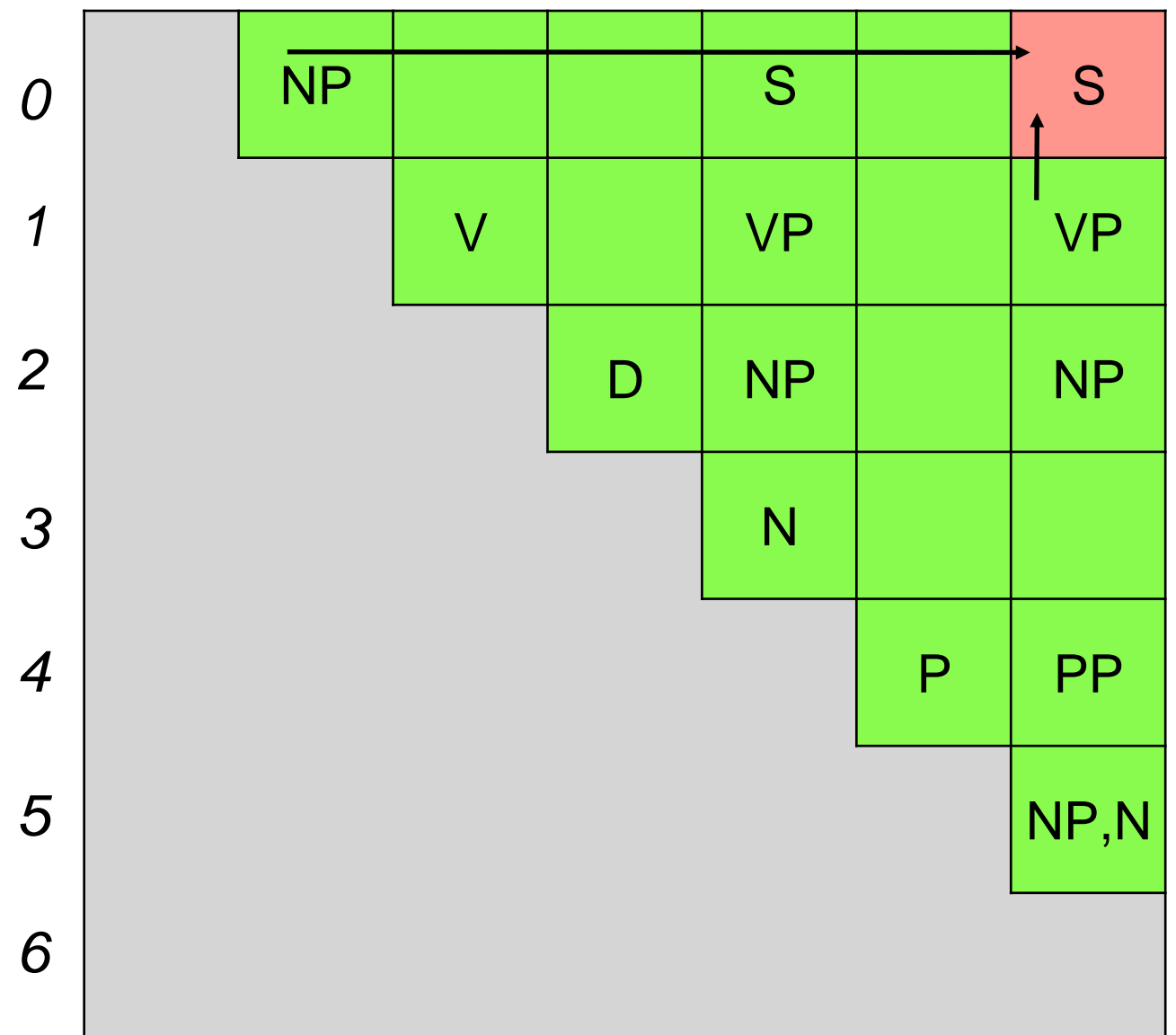
....

$S \rightarrow NP VP$	$NP \rightarrow she$
$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=1, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

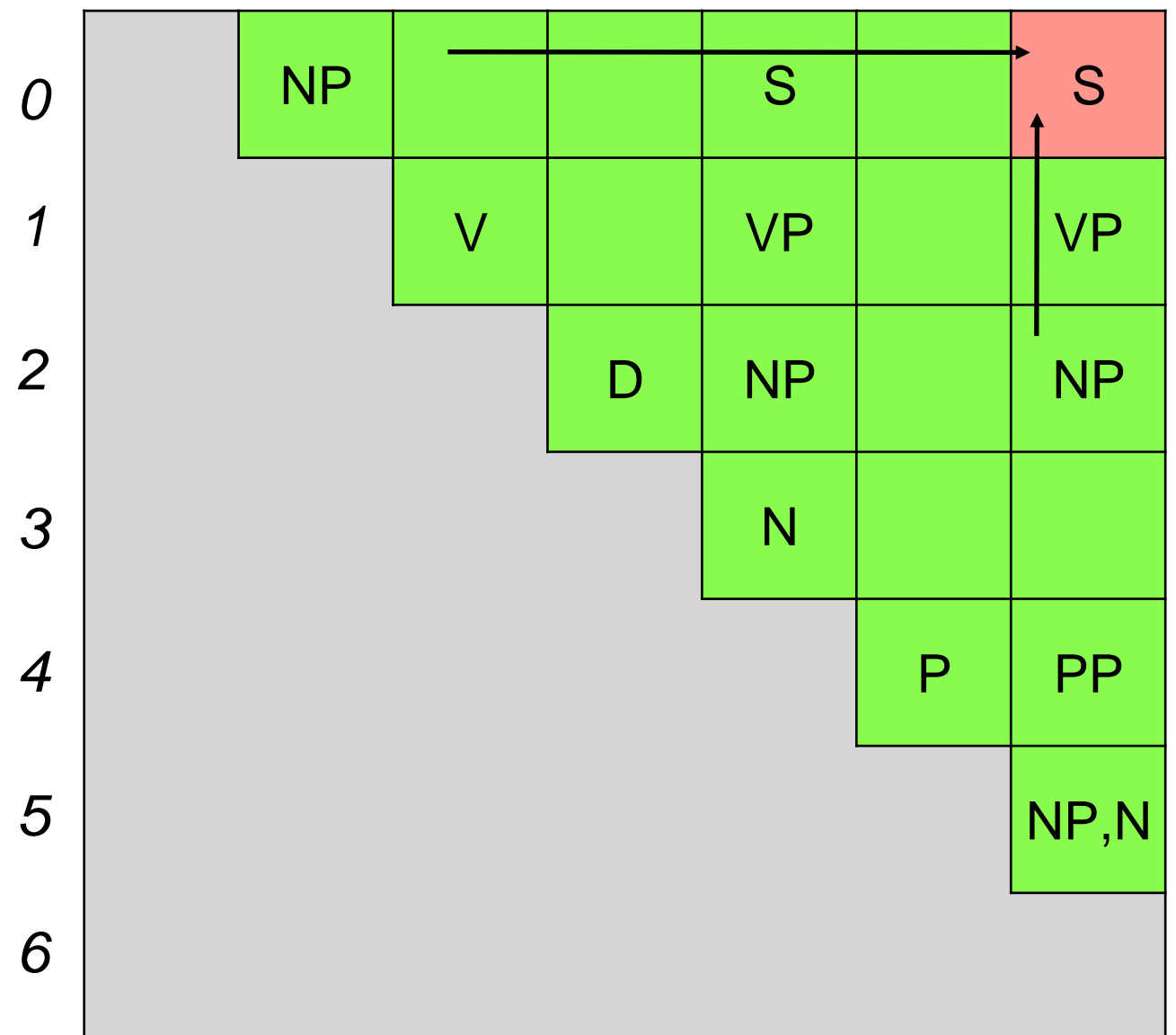
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$S \rightarrow NP VP$	$NP \rightarrow she$
$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=2, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

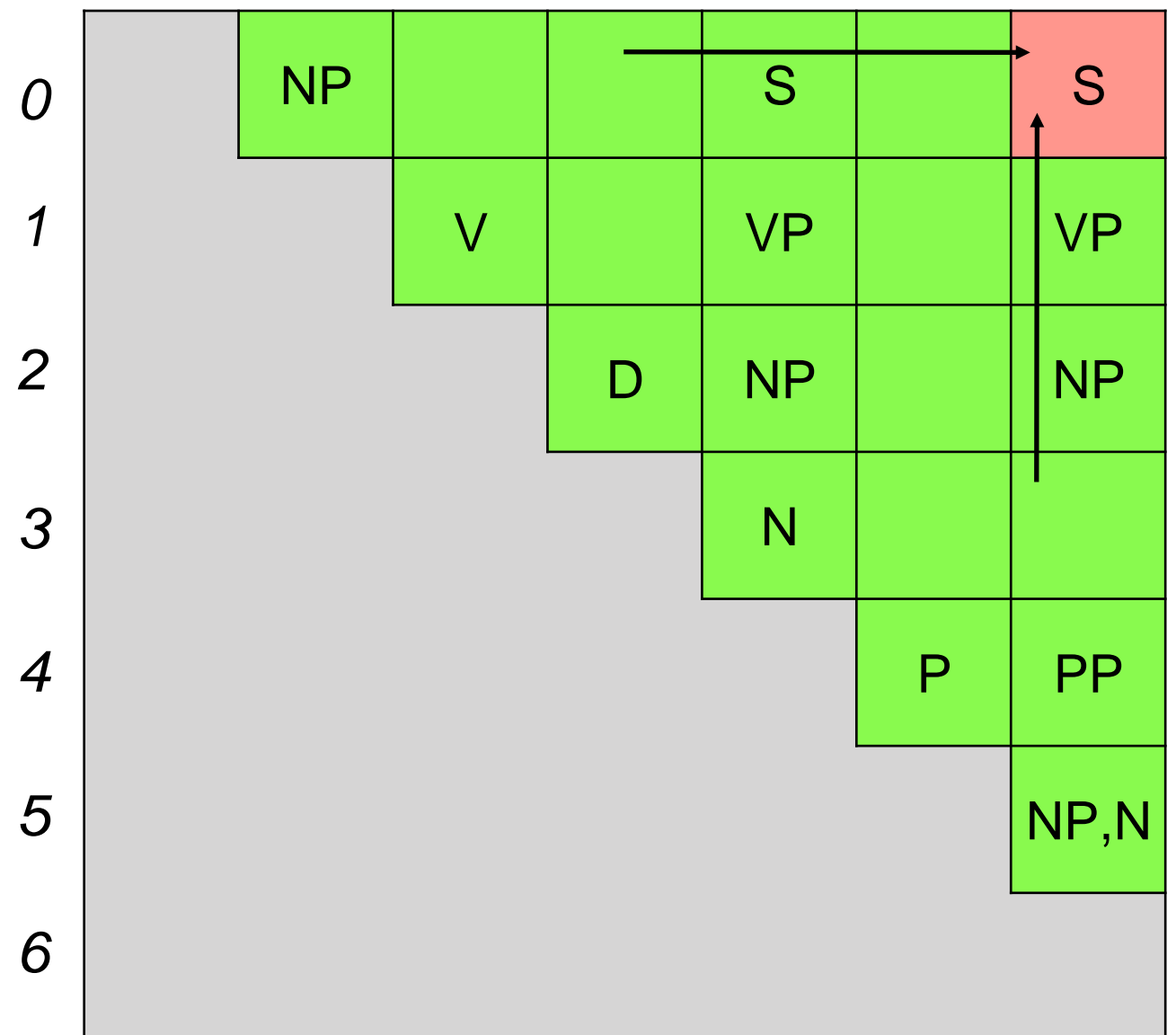
....

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$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=3, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0 \dots (n-length)$:
 $j = i+length$
 for $k=i+1 \dots j-1$:

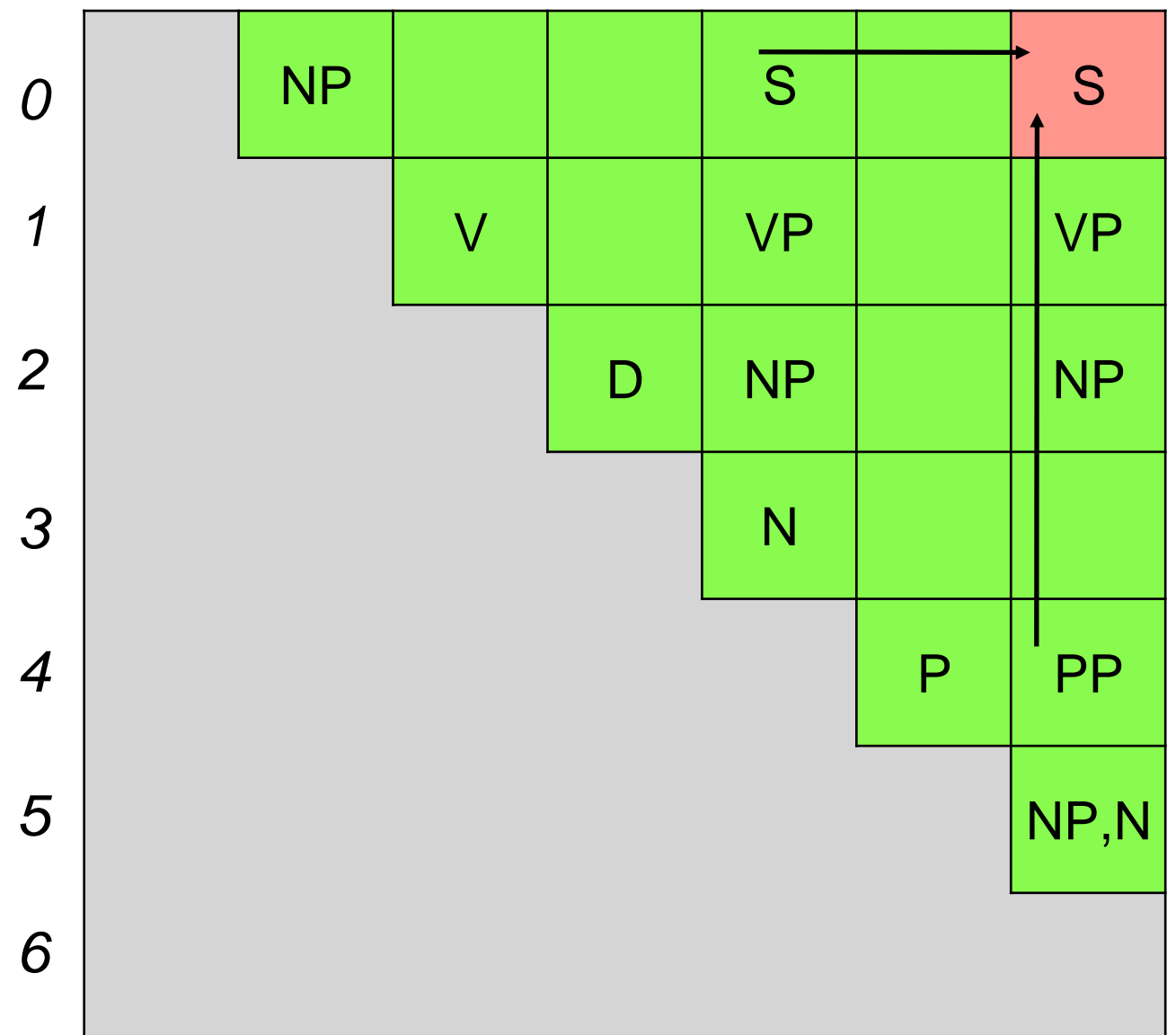
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$S \rightarrow NP VP$	$NP \rightarrow she$
$VP \rightarrow V NP$	$NP \rightarrow glasses$
$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=4, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$



CKY Algorithm

for $i=0...(n-length)$:
 $j = i+length$
 for $k=i+1...j-1$:

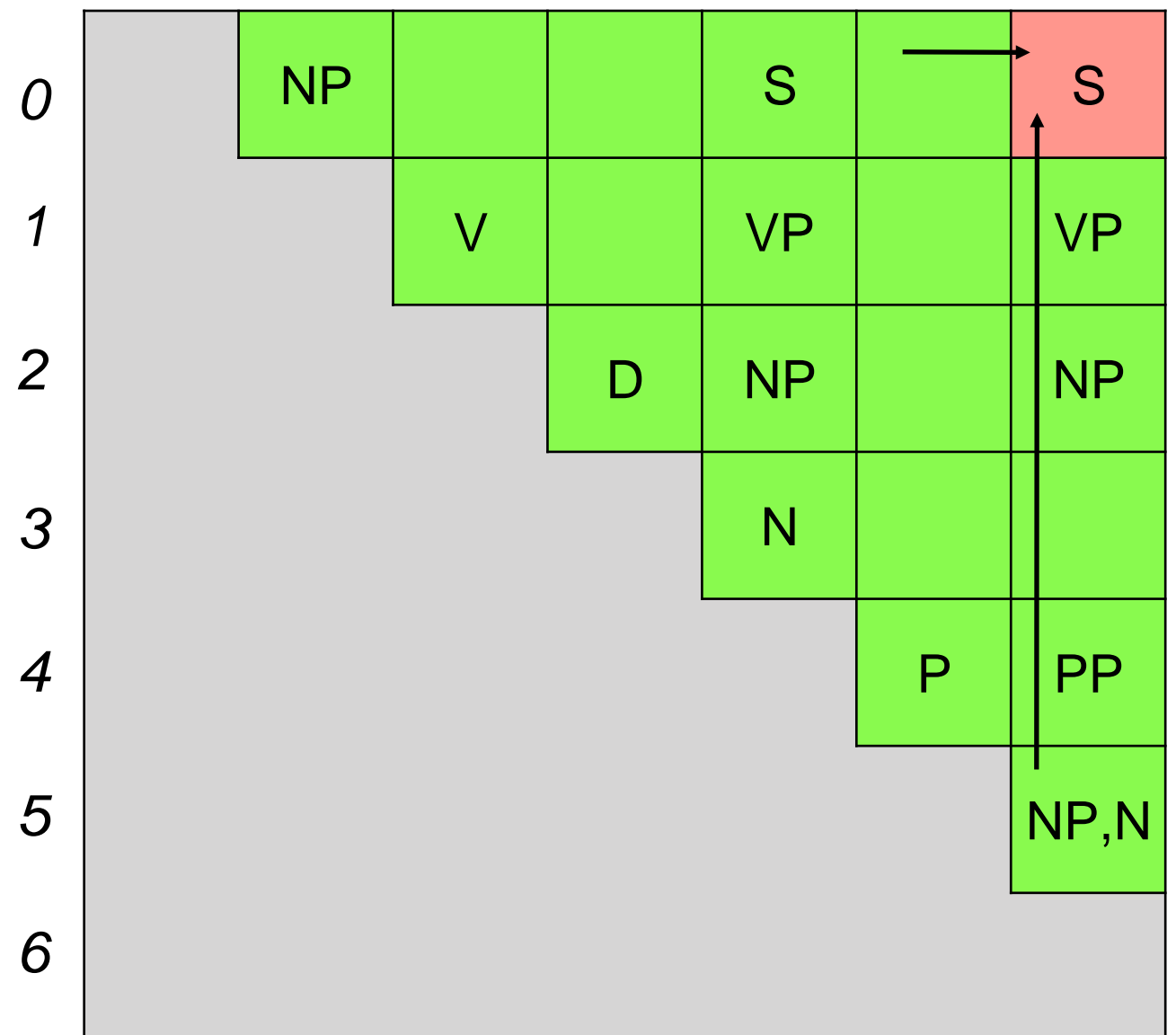
....

$S \rightarrow NP VP$	$NP \rightarrow she$
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$VP \rightarrow VP PP$	$D \rightarrow the$
$PP \rightarrow P NP$	$N \rightarrow cat$
$NP \rightarrow D N$	$N \rightarrow glasses$
$NP \rightarrow NP PP$	$V \rightarrow saw$
	$P \rightarrow with$

$length=5$

$i=0, k=5, j=6$

$_0 she \ _1 saw \ _2 the \ _3 cat \ _4 with \ _5 glasses$

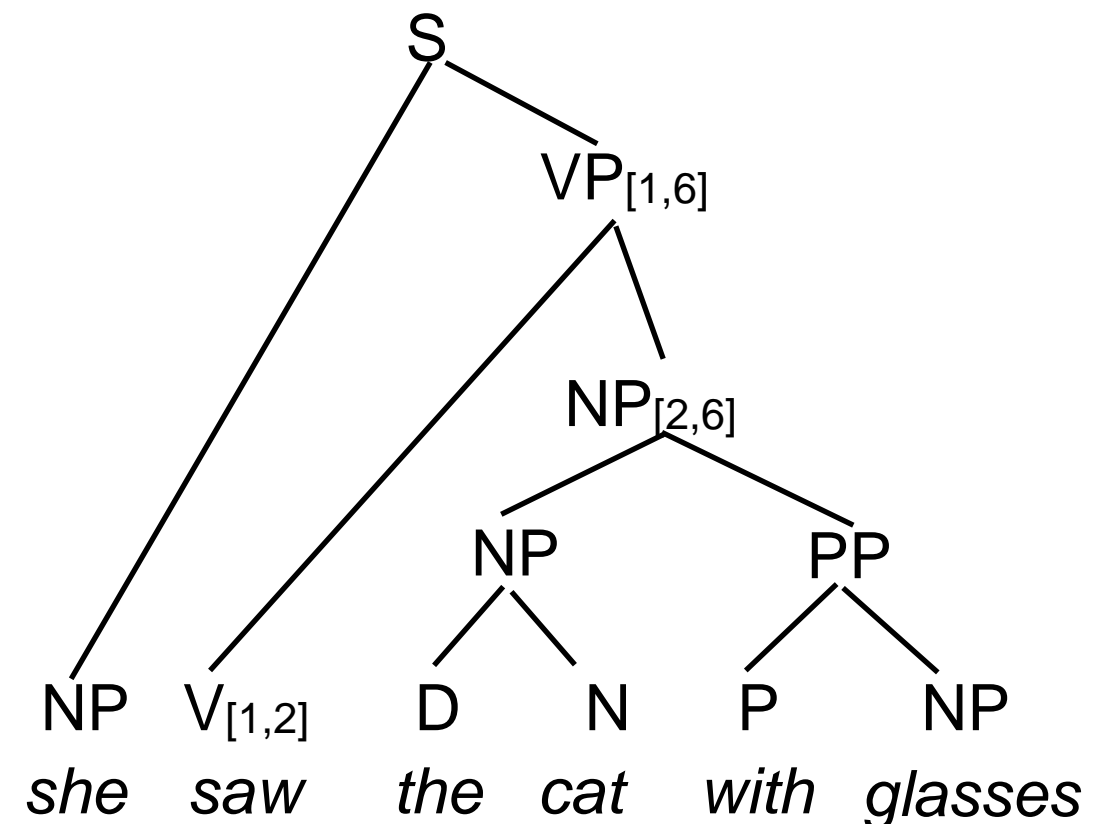
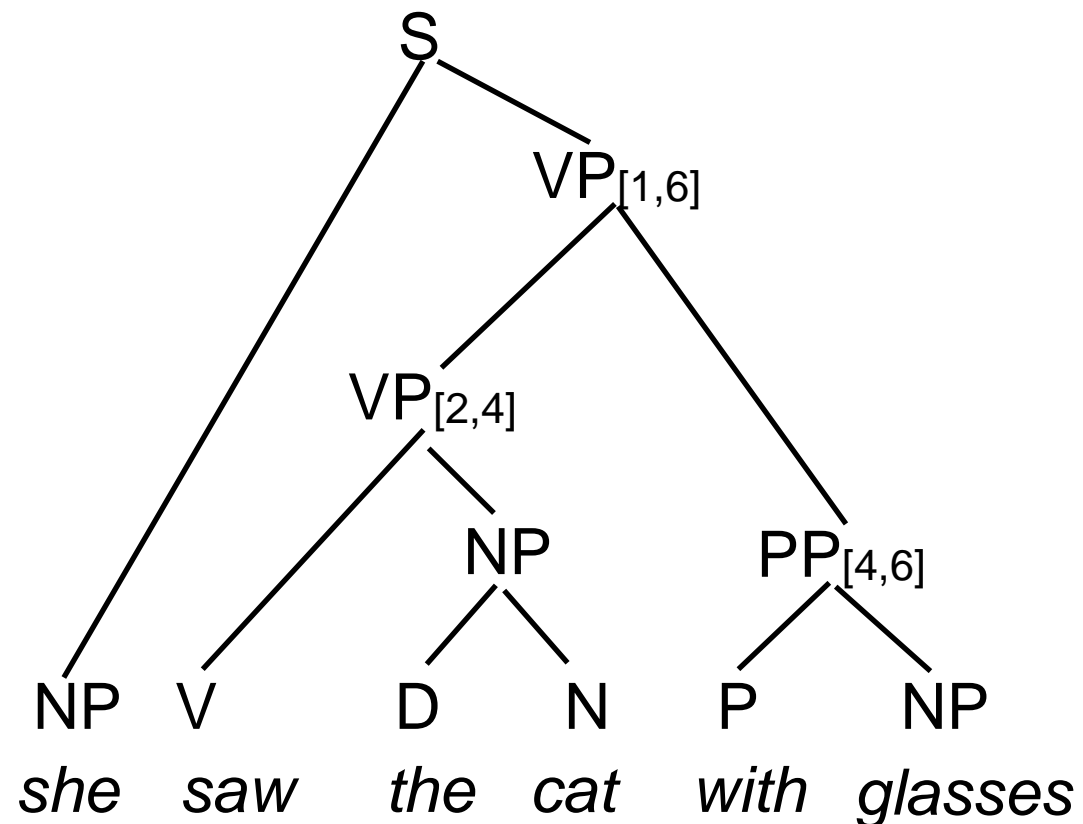


CKY Runtime

- **Input:** Grammar $G=(N, \Sigma, R, S)$, input string s of length n .
- for $i=0 \dots n-1$: $O(N \times |R|)$
 $\pi[i, i+1] = \{A \mid A \rightarrow s[i]\}$
- for $length=2 \dots n$: $O(N)$
 for $i=0 \dots (n-length)$: $O(N)$ **Total : $O(N^3 \times |R|)$**
 $j = i+length$ $O(N)$
 for $k=i+1 \dots j-1$:
 $M = \{A \mid A \rightarrow BC \in R \text{ and } B \in \pi[i, k] \text{ and } C \in \pi[k, j]\}$
 $\pi[i, j] = \pi[i, j] \cup M$
- if $S \in \pi[0, i+1]$ return True, otherwise False

Syntactic Ambiguity

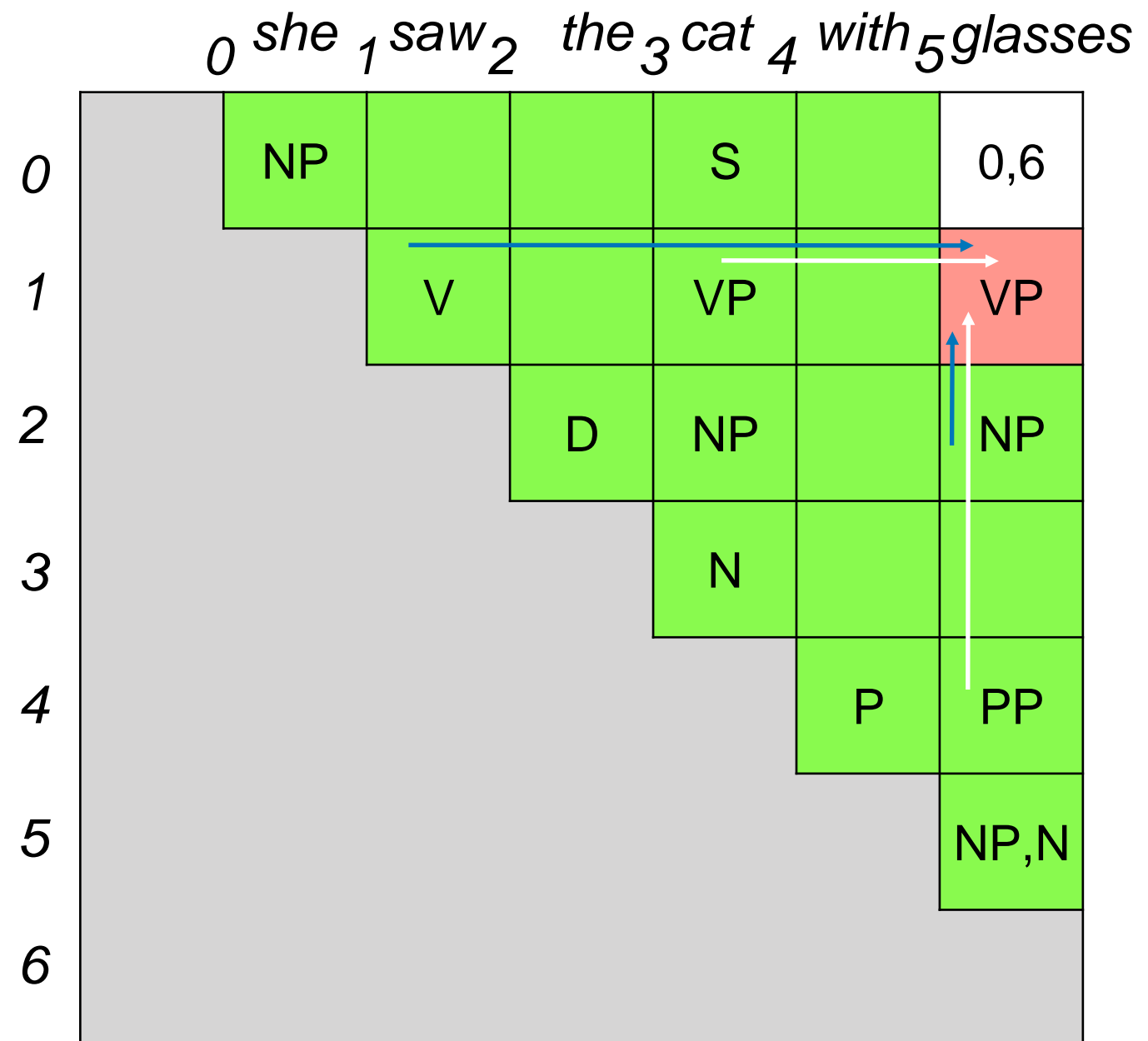
S → NP VP	NP → she
VP → V NP	NP → glasses
VP → VP PP	D → the
PP → P NP	N → cat
NP → D N	N → glasses
NP → NP PP	V → saw
	P → with



Backpointers

- The CKY algorithm presented so far determines if a sentence is recognized by a grammar.
- Also want to retrieve the parse trees!
- Instead of a set of nonterminals, store a list of instantiated rules and backpointers.

$$\left\{ \begin{array}{l} \text{VP}_{[1,6]} \rightarrow \text{V}_{[1,2]} \text{ NP}_{[2,6]} \\ \text{VP}_{[1,6]} \rightarrow \text{VP}_{[1,4]} \text{ PP}_{[4,6]} \end{array} \right\}$$



Retrieving Parse-Trees

- Start at the $[0,n]$ entry and recursively follow the backpointers. Return a set of subtrees from the recursion.