Welcome to Math 2033 (Math. Analysis)
Main Items in the Syllabus

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or by appointment

Prerequisite: Math 1014 or 1018 or 1020 or 1024

Website for Lecture Notes or Transparencies

https://www.math.ust.hk/n makyli/UG.html

Just scroll down to the Math 2033 part.

Grade by Curve

X+ to X
Not evenly divided

At to A
Approx.

Excentage > ~ 1570 ~ 2570 4070 to 4570 ~ 1570

Grading System: https://grading.math.ust.hk/checkgrade/

Grade Scheme: Tutorial Presentations

Homeworks 5%

Presentations 10%

Midterm 30%

Final Exam 55%

At the end of the course, student must get at least 40% out of the total 100% of the grade scheme above to pass the course.

Homework: Make a copy, then Submit the original. Homework Solutions must be legible!

Tutorial Presentations:

1 to 3 students per group

O for member who is absent

1 for member who tries with little success

2 for member who gets half way but not complete

3 for member who solves and presents Completely.

TA will choose one presenter for each problem and one member to answer questions for that problem.

Every member (who is not absent) may get different mark.

What is Analysis?

Algebra Equations

Geometry Figures

Number Theory Integers, Rational Numbers

Analysis

Limit, Continuity, Differentiation Integration, ...

Number Theory us Analysis

 $\frac{2^{x}-x^{2}}{4x^{4}+1}=987654321$

Number Theory: any integer solution?

Analysis: any real Solution?

$$f(x) = \frac{2^{x} - x^{2}}{4x^{4} + 1}$$
 is continuous on IR

$$f(0) = 1$$

$$f(100) = \frac{2^{100} - 100^{2}}{4 \cdot 100^{2} + 1} > \frac{10^{30} - 10^{4}}{10^{8}} > 10^{20}$$

$$> 987654321$$

There is a real solution in [0, 100]. We can find the solution to as many decimal place as we like by bisection method: Test xo= 50. Then

$$\chi_1 = 25$$
, $\chi_2 = 12.5$, ...

or
$$x_1=75$$
, ... $x=\lim_{n\to\infty}x_n$

Analysis solves equations by using limit concepts.

$$2^{x} - x^{2} = 987654321(4x^{4}+1)$$

If x is integer, then x cannot be negative (otherwise)

X FO 1 + 987654321

x = 1 (+ 987654321(5)

If $x \ge 2$, then $2^x = 4a$

 $\chi^2 = \begin{cases} (2b)^2 = 4b^2 & \text{if } \times \text{is even} \\ (2b+1)^2 = 4b^2 + 4b + 1 & \text{if } \times \text{is odd} \end{cases}$

 $2^{x} - x^{2} = \begin{cases} 4a - 4b^{2} = 4c \\ 4a - (4b^{2} + 4b + 1) = 4c - 1 \end{cases}$

987654321 $(4x^{4}+1)$ = $(4d+1)(4x^{4}+1)$ = 4e+1 $4c-1 \neq 4e+1$ no solution

Number theory solves equations by studying forms of numbers, not by approximations.

Limit lim fa) = L As x gets close to a,

Y=f(x) f(x) gets close to L." "close" is a feeling, no way to judge! $f_i(x) \rightarrow L_i$ f,(x)+fz(x)+...+f,(x) f₁₀₀₀(x) -> L₁₀₀₀ L1+L2+ ... + L1000 ?

Although we learned this as a fact in Calculus, one can challenge this fact as follow:

If $f_1(x)$, $f_2(x)$, ..., $f_{1000}(x)$ are 49.9 and

L1, L2, ..., L1000 are 50,

then $f_1(x)$ may be considered close to Li,
but $f_1(x)$ +...+ $f_{1000}(x)$ is 49900 and

L1+...+ $f_{1000}(x)$ is 50000,

which are 100 units apart, not that close.

If $\lim_{x\to a} f(x) = 0 = \lim_{x\to a} g(x)$, then $\lim_{x\to a} \frac{f(x)}{g(x)} = \lim_{x\to a} \frac{f(x)}{g'(x)}$ Is this correct? Let $f(x) = \chi^2 \sin \frac{1}{x}$ and $g(x) = \sin x$ 1x251x1 ≤ 1x12 -> 0 as x > 0 $\lim_{x\to 0} f(x) = 0 = \lim_{x\to 0} g(x).$ Apply formula above: 10 "oscillate" $\lim_{x\to 0} \frac{x^2 \sin \frac{1}{x}}{\sin x} = \lim_{x\to 0} \frac{2x \sin \frac{1}{x} - \cos \frac{1}{x}}{\cos x}$ I limit doesn't exist!

(5

How about

$$\frac{\chi^2 \sin \frac{1}{\chi}}{\sin \chi} = \left(\frac{\chi}{\sin \chi}\right) \left(\chi \sin \frac{1}{\chi}\right) \rightarrow 0$$

$$\frac{1}{\sqrt{2}} \cos \chi = \left(\frac{\chi}{\sin \chi}\right) \left(\chi \sin \frac{1}{\chi}\right) \rightarrow 0$$

$$\frac{1}{\sqrt{2}} \cos \chi = 0$$

 $\lim_{x \to 0} \frac{x^2 \sin \frac{1}{x}}{\sin x} = 0? \text{ This is correct!}$

When is $\lim_{x\to a} \frac{f(x)}{g(x)} = \lim_{x\to a} \frac{f(x)}{g(x)}$?

 $\lim_{x\to\infty} f(x) = 0 = \lim_{x\to\infty} g(x)$ is not enough.

What additional conditions do we need? Why those conditions are enough?

Need to do proofs!!!

The correct l'Hopital's rule requires the additional Condition lin f(x) = number or +00 or -00. This is not stated in Some secondary school textbooks. So don't just accept what books or teachers tell you. Look at a proof to decide.

Question: Let f be a continuous function on R. Must f be differentiable at every x in R?

Answer: No, f(x)= |x|= { x fx>0 -x fx<0

is continuous on R. However,

$$\lim_{h \to 0^{+}} \frac{f(o+h) - f(o)}{k} = \lim_{h \to 0^{+}} \frac{h - o}{h} = 1$$

$$\lim_{h \to 0^{-}} \frac{f(o+h) - f(o)}{k} = \lim_{h \to 0^{-}} \frac{-k - o}{h} = -1$$

So fico doesn't exist.

Question: What is the derivative of
$$f(x) = \begin{cases} x & \text{if } x > 0 \\ 0 & \text{if } x = 0 \end{cases}$$

$$\begin{cases} x^2 + x & \text{if } x < 0 \end{cases}$$

Is it
$$f(x) = \begin{cases} 1 & \text{if } x > 0 \\ 0 & \text{if } x = 0 \end{cases}$$
?
$$2x+1 & \text{if } x < 0$$

$$\lim_{R \to 0^+} \frac{f(0+R) - f(0)}{-R} = \lim_{R \to 0^+} \frac{R - 0}{-R} = 1$$

$$\frac{\lim_{R \to 0^{-}} f(0+R) - f(0)}{R} = \lim_{R \to 0^{-}} \frac{(R^{2}+R) - 0}{R}$$

$$= \lim_{n \to \infty} (n + 1)$$

$$= 1.$$

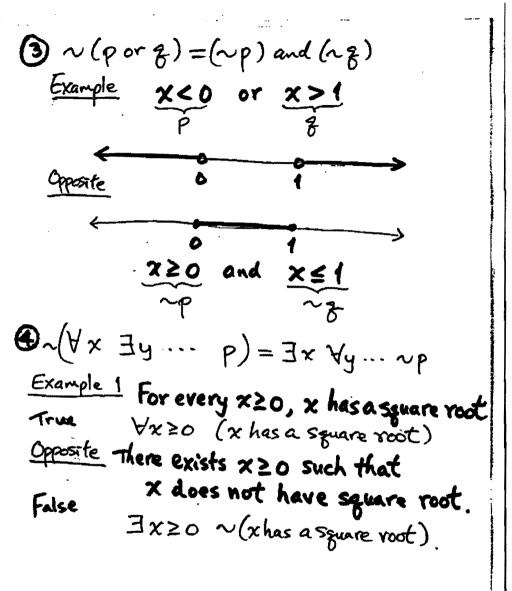
Question: Which one is true?

① Every continuous function f: R→R is differentiable at some xo



- There exists a continuous function f: R > IR, which is not differentiable at every xo.
 - ② is true. So we cannot always differentiate a continuous function!
 Why is ③ true? Need to do proofs!!!

Logic = Rules for Reasoning Chapter 1 Notations ~ (or -) not, the opposite of There is (at least and is) I there is (at least one), there exists, there are (some) P. & variables of statements or phrases Negation = Taking opposite ② ~ (p and g) = (~ p) or (~ g) Example x>0 and x<1



Example 2 For every x20, there is y20 Such that $y^2 = x$.

∀x≥0 ∃y≥0 y²=x

Opposite There exists x 20 such that for every $y \ge 0$, $y^2 \ne x$. False

 $\exists x \ge 0 \ \forall y \ge 0 \ \sim (y^2 = x).$

Conditional Statements (If-then statements)

If p, then q P implies & P only if & P is sufficient for g g is necessary for p

(5) $\sim (P \Rightarrow q) = P \text{ and } (nq)$

Example If $x \ge 0$, then |x| = xTrue $(x \ge 0) \Rightarrow (|x| = x)$

Opposite $x \ge 0$ and $|x| \ne x$ False $(x \ge 0)$ and $\sim (|x| = x)$

Remark

P=> 8 $= \sim (\sim (p \Rightarrow g)) \text{ by } 0$

= ~ (p and (ng)) by (5)

= (np) or n(nq) by @

= (2p) or q by 1.

Terminologies

For the statement "If p, then g" $(p \Rightarrow q)$, $(nq) \Rightarrow (np)$ its converse is "If g, then p" $(q \Rightarrow p)$, its Contrapositive is "If ~ g, then ~ p"(~q)=)(~p)

Examples 1

If x=-3, then x=9. (True) Statement

Converse If $x^2 = 9$, then x = -3

(False, as X maybe 3) Contrapositive If x2+9, then x+-3 (True)

Example 2

 $(\chi = -3) \Rightarrow (2\chi = -6)$ (True) Statement

Converse

 $(2x=-6) \Rightarrow (x=-3) \quad (True)$

Contrapositive $(2x+-6) \Rightarrow (x+-3)$ (True)

Example 3

Statement If |x|=3, then x=-3

Converse

If x=-3, then |x|=3 (True)

Contrapositive If x = -3, then |x| = 3

(False, as x may be 3)

Remarks () Contrapositive = statement

= ~ (~q) or (~p) by earlier remark = q or (np) · by ① $= (\sim p) \text{ or } q$ = p=> g by earlier remark

"If p, then g" and "If g, then p" are true We will say "p if and only if & "or "p is necessary and sufficient for g ."

Abbreviation if and only if = iff

3 An Ab = Ab Ad DE DE = DE DE

ANJB + JB AN - D EXYMPLE

Every student is assigned a number

((V student I number (student is assigned number) 3 number 4 student (Student is assigned number)

(There is a number such that every student is assigned the number.

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EXERCISES Negate each of the following

- ① If $\triangle ABC$ is a right triangle, then $a^2+b^2=c^2$
- 2>11-x01<8 ⇒ 1fxx-x1>0
- 3 No news is good news. Ambiguous statement
 More than one interpretations

Solutions

- OABC is a right triangle and $a+b^2+c^2$
- (3) Interpretation I All news are bad news Opposite: There exists a good news.

Interpretation II If no news is received, then it is good news.

Opposte: No news is received and it is not good news.

When ambiguous statement is presented, ask for the intended interpretation, then negate that interpretation.

Chapter 2 Sets + Language to communicate math efficiently and precisely A set is a collection of math "objects" usually numbers, functions, ordered - pairs, ... The objects in the set are the elements of the set. We write $x \in S$ iff x is an element of set S.

 $x \notin S$ iff x is not an element of set S. Example Let Z be the set of all integers. Then $-54 \in \mathbb{Z}$ and $\sqrt{2} \notin \mathbb{Z}$.

A set is finite iff it has finitely many elements. A set is infinite iff it has infinitely many elements. The empty set is the set having no element and is denoted by Ø.

Common Sets in Math natural number

IN the set of all positive integers

the set of all integers - "Z is for "Zahlen" [German for "Number"

Q the set of all retional numbers

R the set of all real numbers

C the set of all complex numbers

Set Descriptions

1 List elements enclosed in braces $S = \{1, 2, 3\}, N = \{1, 2, 3, 4, \dots\}, \emptyset = \{\},$ 7={...,-4,-3,-2,-1,0,1,2,3,4,...}

2 Write the form of the elements, followed by a colon, followed by descriptions of Variables inside braces.

Q={m: mEZ, nEN} $R = \{x : x \text{ is a real number } \}$ C = {x+iy: x ∈ R, y ∈ R, i= [-1]} $[a,b] = \{x : x \in \mathbb{R} \text{ and } a \leq x \leq b\}$ $l_m = \{(x,y) : x,y \in \mathbb{R} \text{ and } y = mx \}$ = f(x, mx) : x ∈ R }

Let A and B be sets.

A is a <u>subset</u> of B (or B <u>contains</u> A) iff
every element of A is also an element of B.

In this case, we write $A \subseteq B$.

In particular, $\emptyset \subseteq S$ for every set S.

We say A = B iff $A \subseteq B$ and $B \subseteq A$ A is a <u>proper subset</u> of B iff $A \subseteq B$ and $A \ne B$.

In this case, we write $A \subseteq B$.

Example Let A= {1,2}, B= {1,2,3} and C= {1,1,2,3}. Then ACB=C.

Remarks Repeated elements count only once. B and C are 3 element sets.

A has 2 elements

{4,4,4,4,...} has I element only.

tafinite set!

If $X \subseteq Y$, then the number of elements of X is less than or equal to the number of elements of Y.

Let S be a set. The power set of S is the set of all subsets of S. It is denoted by P(S) or 2^{S} .

Examples

 $S = \emptyset$ Then $\emptyset \subseteq S$ $P(S) = \{\emptyset\}$

 $S = \{x\}$ Then \emptyset , $\{x\} \subseteq S$ $P(S) = \{\emptyset, \{x\}\}$

 $S = \{x, y\}$ Then \emptyset , $\{x\}$, $\{y\}$, $\{x, y\} \subseteq S$ $P(S) = \{\emptyset$, $\{x\}$, $\{y\}$, $\{x, y\}$ $\{$

If S has n elements, then P(s) has 2^n elements.

<u>Set Operations</u> Let A, B, C, D, ... be sets. Their <u>union</u> is

Au BuCu Du ... = $\{x : x \text{ is an element} \}$ in at <u>least one</u> of the sets A, B,C,D,...}

Examples $\{p,q\} \cup \{r\} = \{p,q,r\}$ $\{x,y,Z\} \cup \{v,w,x,y\} = \{v,w,x,y,Z\}$ $\mathbb{R} \cup \mathbb{Q} = \mathbb{R} = \mathbb{Q} \cup \mathbb{R}$, $\mathbb{N} \cup \mathbb{Z} \cup \mathbb{Q} = \mathbb{Q}$ $\mathbb{S} \cup \emptyset = \mathbb{S} = \emptyset \cup \mathbb{S}$ for every set \mathbb{S} . 13

The intersection of A, B, C, D, ... is $A \cap B \cap C \cap D \cap \cdots = \{x : x \text{ is an element}\}$ in every one of the sets A, B, C, D, ... } Examples fp, 83 n fr3 = 0 1x, y, 23 n {v, w, x, y, 2} n {u, v, w, x} = {x} RNQ n [0,1] = {x: x ∈ Q and o ≤ x ≤ 1} $S \cap \emptyset = \emptyset = \emptyset \cap S$ for every set S. The <u>Cartesian product</u> of A, B, C, D, ... is $A \times B \times C \times D \times \dots = \{(a,b,c,d,\dots) : a \in A,$ beB, ceC, deD,...} Examples RxR={(x,y): x,y = R} = R2 [N × Z × {0,1} = { (x, y, 2) : x ∈ N, y ∈ Z, $S \times \emptyset = \emptyset = \emptyset \times S$ for every set S. If A & B, then A × B ≠ B × A.

The complement of B in A is $A \setminus B = \{x : x \in A \text{ and } x \notin B \}$ Examples R. Q is the set of all irrational numbers {x,y, 2} \ {w,x} = {y,z} $Q \times (R \setminus Q) = \{(u, v) : u \text{ rational}, v \text{ irrational}\}$ $S \setminus \emptyset = S$, $\emptyset \setminus S = \emptyset$ for every set S The sets A, B, C, D, ... are disjoint iff their intersection is the empty set. The sets A, B, C, D, ... are mutually disjoint iff the intersection of every two of the sets is the empty set Example Let A= fx, y3, B= fy, 25, C= fz,x} Then A, B, C are disjoint because $AnBnC=\emptyset$ but A, B, C are not mutually disjoint because An B= $\{y\} \neq \emptyset$ for instance. Remark Mutual disjoint => disjoint

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Some Notations n is a positive integer $S_1 \cup S_2 \cup \cdots \cup S_n = \bigcup_{k=1}^n S_k$ $Z' \cup Z' \cup \cdots \cup Z' = \bigcup_{v \in V} Z^{k}$ $S_1 \times S_2 \times \cdots \times S_n = \bigvee_{k=1}^n S_k$ $S_1 \cup S_2 \cup S_3 \cup \dots = \bigcup_{k=1}^{\infty} S_k \left(\bigcup_{k \in IN} S_k \right)$ not too good, no So set! (Similarly for intersection and Cartesian Product) Example If m ER, let lm be the line y=mx in the plane, then lm is not a vertical line Ulm= R2 {(0,y): y = 0} $\bigcap l_{m} = \{(0,0)\}$

Examples on Proof Problems If \bigcirc $A \subseteq B$ and \bigcirc $C \subseteq D$, then prove Anc SBnD.

Stratogy According to def. of \subseteq , we have to check "every $x \in AnC$ is also in BnD."

Proof: $x \in AnC \iff x \in A$ and $x \in C$ (by def. of \cap) $\Rightarrow x \in B$ and $x \in D$ (by $O, O, def. of <math>\subseteq$) $\Rightarrow x \in BnD$ (by def. of \cap)

.. Anc & BND (by def. of &).

Remarks Since this is proved, you may use it (If ASB and CSD, then ANC SBND) to prove any other statement.

Another Example Prove (AUB) \ C = (A\C) U (B\C). Strategy To get = , check \(\sigma\) and \(\sigma\). Proof $x \in (A \cup B) \setminus C \Leftrightarrow x \in A \cup B \text{ and } x \notin C$ (by def of \)

(\$\Rightarrow \int \text{X} \in B \rightarrow \text{and } \text{X} \in C \text{(by def of U)} Case 1 XEA and X&C | XEALC Case Z XEB and X&C) (xeB.C (by def of 1) ⇔ x ∈ (A \ C) ∪ (B \ C) (by def of U) : (AUB) C = (AC) U (BC) - (by def af ≤). x ∈ (A \ C) ∪ (B \ C) Reverse Steps! (=>) Case 1 or Case 2 above (by def of) You fill in the rest of the details!!!

 $f(x)=x^2$ $x \in \mathbb{R}$ $g(n)=n^2$, $n \in \mathbb{Z}$ Definitions Different functions A function (or map or mapping) f from a set A to a set B (denoted by $f:A \rightarrow B$) is a method of assigning to every a \in A exactly one be B. This b (denoted by f(a)) is the value of f at a. A function must be well-defined in the sense that if a=a', then f(a)=f(a'). A is the domain of f. A = dom f B is the codomain of f. B = codomf $f(A) = \{y : y \in B \text{ and } y = f(x) \text{ for some } x \in A\}$ is the range (or image) of f. We may say f is a function on A or f is a B-valued function The set {(x,f(x)): x ∈ A} is the graph of f. Two functions are equal iff their graphs are the

Examples

The absolute value function on \mathbb{R} is $h: \mathbb{R} \to \mathbb{R}$ given by $f(x) = \begin{cases} x & \text{if } x \ge 0 \end{cases}$

There may be more than one parts in the formula of the function.

In this example, the codomain can be any set Containing [0,00). The function will be the same because the graph is the same.

The following attempt to define a function is <u>not</u> well-defined. Let $x_n = (-1)^n$ for all $n \in \mathbb{N}$. Define $f: \{x_1, x_2, \dots\} \to \mathbb{R}$ by $f(x_n) = n$. It is not well-defined because $x_1 = -1 = x_3$, but $f(x_1) = 1 \neq 3 = f(x_2)$.

Types of Functions Definitions

① The identity function on a set S is $I_s: S \rightarrow S$ given by $I_s(x) = x$ for all $x \in S$.

② Let $f: A \rightarrow B$, $g: B' \rightarrow C$ be functions and $f(A) \subseteq B'$. The <u>composition</u> of g by f is $g \circ f: A \rightarrow C$ given by $(g \circ f)(x) = g(f(x))$.

3 Let $f: A \rightarrow B$ be a function and $C \subseteq A$. The <u>restriction</u> of f to C is $f|_{C}: C \rightarrow B$ given by $f|_{C}(x) = f(x)$ for all $x \in C$.

A = B is surjective (or onto) iff f(A) = B $A = f \Rightarrow B$

The <u>values</u> of f in B may repeat, but no element of B will be omitted as a value. So A has at least as many elements as B.

repeat, but some elements of B may be amitted as a value.

So B has at least as many elements as A.

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6 For an injective function $f: A \rightarrow B$, the inverse function of f is $f^{-1}: f(A) \rightarrow A$ given by $f^{-1}(y) = x \iff f(x) = y$.

① f:A→B is bijective (or a one-to-one Correspondence) iff f is injective and surjective.

A and B have the same number of elements.

Exercises

(a) f: A > B is a bijection iff I g: B -> A such that gof = IA and fog = IB.

- (b) If f:A→B and g:B→C are bijections, then gof:A→C is a bijection.
- (c) Let A, B⊆R and f: A→B be a function.

 If Y b∈B, the horizontal line y=b intersects

 the graph of f exactly once, then f is a bijection.

Equivalence Relation

Definition A relation on a set S is any subset of SxS.

A relation P on a set S is an equivelence relation

A relation R on a set S is an equivalence relation iff D $\forall x \in S$, $(x,x) \in R$ (Reflexive Prop.)

- ② $(x,y) \in \mathbb{R} \Rightarrow (y,x) \in \mathbb{R}$ (Symmetric Property)
- 3 $(x,y),(y,z)\in R \Rightarrow (x,z)\in R$ (Transitive Prop.)

Notations Write x~y iff (x,y)∈R.

Yxes, write [x]={y: x~y}

called" the equivalence class containing x."

Facts (ONTAINING X)

Facts (ONTAINING X)

ONTAINING X

ONTAINING X

- $2 \times 4 \Rightarrow [x] = [y] \text{ because}$ $2 \in [x] \Leftrightarrow x \sim Z \Leftrightarrow y \sim Z \Leftrightarrow 2 \in [y]$
- ③ × + y ⇒ [x] ∩ [y] = φ (otherwise Ze[x] ∩ [y] ⇒ [x] = [2] = [y] ⇒ x~y by ②).

[a][b][c][d]

[f][f][f]

Figurialence

Fartition

For S

For S

For S

Examples R={(T,Tz): T, is similar to Tz}

O (Geometry) S =the set of all triangles.

(T,T) RATION To Control To To Ris an Equivalence relation

[T] = the set of all triangles similar to T.

@ (Arithmetic) S= Z. Mrn > m-n is even

[0] = the set of all me Z such that m-0 is even

= {..., -4, -2, 0, 2, 4, ...}

[1] = the set of all m = 2 such that m-1 is even

= {..., -3,-1, 1, 3, ... }

1) and 2) are examples of equivalence relations.

3 Let 5= fo, 13 and R= f(1,1) }. Then R satisfies symmetric and transitive properties, but not reflexive property because OES and (0,0) & R. -: R is not an equivelence relation on S.

For sets S, and Sz, define R={(S,,Sz): 3 bijection f:S,>Sz} $S_1 \sim S_2 \iff \exists \text{ bijection } f: S_1 \rightarrow S_2$

This is an equivalence relation.

Si~Sz = say Si and Sz have some Cardinality

[S] = card S = |S| cardinal number of S

Notations card \$1,2,..., n } = n for positive integer n Card $\{1,2,3,...\}$ = card N = % = aleph-naught Card R = C + Cardinality of the Continuum

Chapter 3 Countability a property that distinguishes some

Definitions () A set S is countably infinite iff 3 bijection f:N→S (i.e. card S=Ho).

@ A set S is countable iff S is finite or Countably infinite. Uncountable = not countable

Observations

 $\exists \text{ bijection } f: \mathbb{N} \rightarrow \mathbb{S} \Rightarrow \mathbb{S} = \{f(i), f(2), f(3), ...\}$

a listing of elements of S

with no repetition nor omission S={s,,s2,s3,...} ⇒ f:N→S defined by

is a bijection.

Bijection Theorem Let 9:5-> T be a bijection. S is countable (=> T is countable.

Proof. For finite sets, it is clear as card S = card T. For infinite sets,

S counteble () 3 bijection f: N > S Countably infinite f=got 1 U h=gof

Tountable > 3 bijectom R: N > T

Remarks Let 9:5-T be a bijection.

5 is uncountable (=>) T is uncountable. This is the contrapositive of the bijection theorem.

Basic Gramples Lidentity function

OIN is countably infinite as IN: IN->IN is a bijection

2 It is countably infinite because

$$N = \{1, 2, 3, 4, 5, 6, 7, \dots \}$$

$$f \downarrow \qquad \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \dots$$

$$Z = \{0, 1, -1, 2, -2, 3, -3, \dots \}$$

$$f(n) = \begin{cases} \sqrt{2} & \text{neven} \\ -\left(\frac{n-1}{2}\right) & \text{nodd} \end{cases}, f(m) = \begin{cases} 2m & \text{m>0} \\ 1-2m & \text{m \le 0} \end{cases}$$
is a bijection

3) NXN = { (m,n): m,n ∈ N } is countably infinite.

(1,1) (1,2) (1,3) ... Define
$$f: N \to N \times N$$
 by (2,1) (2,2) (2,3) ... $f(i) = (1,1)$

(3,1) (3,2) (3,3) ...
$$f(z) = (2,1)$$
 Diagonal f(3) = (1,2) Counting

Schene

f injective because
$$f(4) = (3,1)$$

no ordered pair $f(5) = (2,2)$
is repeated. $f(6) = (1,3)$

f surjective because $V(m,n) \in IN \times IN$ $(m,n) = f(\sum_{k=0}^{m+n-2} k + n) = f(\frac{(m+n-2)(m+n-1)}{2} + n)$

(min) is the nth element on the m+n-1 diagonal.

$$x+y=2$$
 (1,1) (1,2) (1,3)
 $x+y=4$ (3,1) (2,2)
 $x+y=4$ (3,1) (m,n)

(20)

4) Open-interval (0,1)={x:xER and 0<x<1} is uncountable. R is uncountable.

Assume (0,1) is countably infinite. Then

3 bijection f: N > (0,1). So

 $f(1)=0. \, q_{11} \, q_{12} \, q_{13} \, q_{14} \dots \, f_{\frac{\text{surjective}}{\text{surjective}}}$ $f(z)=0. \, q_{21} \, q_{22} \, q_{23} \, q_{24} \dots \Rightarrow \text{every } x \in \{0,1\}$ $f(3)=0. \, q_{31} \, q_{32} \, q_{33} \, q_{34} \dots \, \text{is equal to}$ $f(4)=0. \, q_{41} \, q_{42} \, q_{43} \, q_{44} \dots \, \text{some } f(n)$

Consider x = 0. $b_1 b_2 b_3 \cdots$, where $b_n = \begin{cases} 2 & \text{if } a_{nn} = 1 \\ 1 & \text{if } a_{nn} \neq 1 \end{cases} \neq a_{nn}$,

Then 0 < x < 1. However $x \neq f(n)$ because $b_n \neq a_{nn}$ for all n = 1, 2, 3, ... Contradicting $2n^{++}$ digit of f(n) 1

-. (0,1) is uncountable. the surjectivity of f.

 $f:(0,1) \rightarrow \mathbb{R}$ given by $f(x) = \tan \pi(x-\frac{1}{2})$ is a bijection with $f_0^{-1}(x) = \frac{1}{2} + \frac{Arctan \times}{\pi}$ By bijection theorem, \mathbb{R} is uncountable. Countable Subset Theorem Let $A \subseteq B$.

If B is countable, then A is countable.

(Taking contrapositive, if A is uncountable, then B is uncountable.)

[no repetition, no omission Reason B countable \Rightarrow B = $\{b_1, b_2, b_3, \dots\}$ From the listing of B, we strike out the elements that are not in A. Then we get a listing of A. Since the listing of B has no repetition and $A \subseteq B$, the listing of A has no repetition nor omission.

Countable Union Theorem

If VneN, An is countable, then U An is countable.

(In general, if S is countable, say f: IN-> S is a bijection, and $\forall s \in S$, As is countable, then U As = U Af(n) is countable.)

SES NEIN f(n)

Reason $A_1 = \{a_{11}, a_{12}, a_{13}, \dots \}$, $\forall n \in \mathbb{N},$ $A_2 = \{a_{21}, a_{22}, a_{23}, \dots \}$, $A_n \text{ countable}$ $A_3 = \{a_{31}, a_{32}, a_{33}, \dots \}$, $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{32}, a_{33}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{32}, a_{33}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{32}, a_{33}, \dots \}$ $\forall A_n = \{a_{11}, a_{21}, a_{12}, a_{31}, a_{32}, a_{33}, \dots \}$

Product Theorem For nEIN, if A1, ..., An countable, then A1×...× An is countable.

Reason n=1 is clear.

n=2 $A_1 = \{x_1, x_2, x_3, \dots \}, A_2 = \{y_1, y_2, y_3, \dots \}$

 $\Rightarrow A_1 \times A_2 = \{ (x_1, y_1), (x_1, y_2), (x_1, y_3), \dots \}$ $(x_2, y_1), (x_2, y_2), (x_2, y_3), \dots$

diagonal (x3, y1), (x3, y2), (x3, y3),...
Counting scheme

= {(x,y,), (x2,y,), (x1,y2), (x3,y1), (x2,y2), ...} skip blanks if A1 or A2 is finite.

n>2 Assume case n-1 is true. Then $A_1 \times \cdots \times A_n = (A_1 \times \cdots \times A_{n-1}) \times A_n$ Case n-1 is true

Case 2 is true.

Product theorem does not hold for infinitely many countable sets. See example 10.

Examples (5) $Q = \bigcup_{n \in \mathbb{N}} S_n$, where $S_1 = \{ \dots, \frac{2}{7}, \frac{1}{7}, \frac{1}{7}, \frac{1}{7}, \frac{2}{7}, \dots \}$ $S_2 = \{ \dots, \frac{2}{3}, \frac{1}{3}, \frac{2}{3}, \frac{1}{3}, \frac{2}{3}, \dots \}$ $S_3 = \{ \dots, \frac{2}{3}, \frac{1}{3}, \frac{2}{3}, \frac{1}{3}, \frac{2}{3}, \dots \}$ $S_n = \{ \dots, \frac{2}{n}, \frac{1}{n}, \frac{2}{n}, \frac{1}{n}, \frac{2}{n}, \frac{1}{n}, \frac{2}{n}, \dots \}$

 $\forall n, f_n: \mathbb{Z} \rightarrow S_n, f_n(m) = \frac{m}{n}$, is a bijection (with $f_n^{-1}(\frac{m}{n}) = m$)

Since Z is countable, by bijection-theorem, Sn is countable. By countable union-theorem,

Q is countable.

(B) If A is uncountable and B is countable

then A B is uncountable.

(The Case A=R, B=Q ⇒ R-Q is uncountable)

Reason Assume A B is countable, then

AnB ⊆ B and B countable ⇒ AnB countable

countable subset theorem

AnB ∪ (A B) ∪ (A B) = A countable ← contradiction

⇒ (AnB) u (A\B) = A countable ← contradiction.

1 Countable by countable union theorem

(22)

D Since R = C and R is uncountable, by the countable subset theorem, C is uncountable.

NEZEQEREC Countable R.Q. uncountable

(8) Determine if A= {rJm: meN, re(0,1)}
open interve

B={rsm: mEN, re(0,1) nQ} Countable or not.

Solution Taking m=1, we see $(0,1)=\{r(1):r\in(0,1)\}\subseteq A$ uncountable : by countable subset theorem A is uncountable.

Then (0,1) \cap $\mathbb{Q} \subseteq \mathbb{Q} \implies (0,1) \cap \mathbb{Q}$ countable

Countable by countable subset
theorem $B_m = \bigcup \{rJm\} \text{ is countable union}$ $re(0,1) \cap \mathbb{Q}$ finite by countable union

Countable $B = \bigcup B_m \text{ is countable by countable union}$ Theorem CountableTheorem

9 Show that the set L of all lines with equation y = mx + b, where $m, b \in \mathbb{Q}$, is countable.

Solution Define $f: Q \times Q \rightarrow L$ by letting f(m,b) be the line with equation $y=m\times +b$. This is a bijection with f^{-1} sending the line with equation $y=m\times +b$ back to (m,b). Since $Q \times Q$ is countable by product theorem, f^{-1} countable by bijection theorem.

Det $A_1 = A_2 = A_3 = \cdots = fo, 13$, then $A_1 \times A_2 \times A_3 \times \cdots$ is uncountable.

Solution Assume $A_1 \times A_2 \times A_3 \times \cdots$ is countable.

Then \exists bijection $f: \mathbb{N} \rightarrow A_1 \times A_2 \times A_3 \times \cdots$ $f(1) = (a_{11}, a_{12}, a_{13}, \cdots)$ All $a_{ij} = 0$ or $\{a_{21}, a_{22}, a_{23}, \cdots\}$ $f(3) = (a_{31}, a_{32}, a_{33}, \cdots)$ Let $b = (b_1, b_2, b_3, \cdots)$, where $b_i = \{0 \text{ if } a_{ii} = 0 \text{ then } b \in A_1 \times A_2 \times A_3 \times \cdots$ Vi, $b_i \neq a_{ii} \Rightarrow b \neq f(i) \Rightarrow f$ not surjective contradiction.

1) Show P(N) is uncountable.

the set of all subsets of N Solution Define 9: P(N) -> A1 x A2 x A3x... by $g(S) = (a_1, a_2, a_3, ...)$, where For example, $a_{m} = \begin{cases} 1 & \text{if } m \in S \\ 0 & \text{if } m \notin S \end{cases}$

9({1,3,5,7,...}) = (1,0,1,0,1,0,1,...) $g(\phi) = (0,0,0,\cdots), g(N) = (1,1,1,\cdots)$

Note g ((a, az, az, ...)) = {m: am = 1}

i g is a bijection.

Since A1 x A2 x A3 x ··· is uncountable by example 10,

P(N) is uncountable by bijection theorem.

(12) Show that the set S of all polynomials with integer coefficients is countable.

Solution. Let So = Z. Let

Sn={anx+an-1x+...+a.: an,an-1,...,a.6]}

Define $f: S_n \rightarrow (Z \setminus \{0\}) \times Z \times \cdots \times Z$ by

 $f(a_n x^n + a_{n-1} x^{n-1} + \cdots + a_o) = (a_n, a_{n-1}, \cdots, a_o).$

This is a bijection with

f (an, an-1, ..., ao) = anx+ qu-1x+ ... + ao.

Since (Z. fo]) x Z x ... x Z is countable by product theorem, Sn is countable by bijection theorem.

 $S = S_0 \cup (\bigcup S_n)$ is countable by the Zr (ountable countable union theorem (ZA)

13 Is every real number a root of some nonconstant polynomial with integer coefficients?

Solution. Let Sn be as in example 12. Then

S* = U Sn is countable by countable union

Heorem.

T countable

 $\forall f \in S^*$, $\exists n \in \mathbb{N}$ such that $f \in S_n$. Then the set R_f of all roots of f is finite because R_f has at most $n = (degree \ f)$ elements.

Then T= U Rf is countable by the fest T countable union theorem.

Countable

By example 6,

uncountable countable. hence nonempty.

Therefore, there is a real number not in T.

Such a real number is not a root of any

nonconstant polynomial with integer coefficients.

(Such a number is called transcendental.)

(Stanford Problem)

-3-2-10123

DA submarine at time=0 is located at some integer n

(2) Submarine has a constant speed, S which is also an integer

3) At every second, you can fire a missile at some integer.

Is there a strategy to hit the submarine at some time?

Remarks on Examples of Chapter 3

1 Every interval I containing at least two numbers is uncountable.

Reason Say a, b & I with a < b. Then (a, b) & I Since $f:(0,1) \rightarrow (a,b)$ defined by

f(x) = (b-a)x+a has inverse function

 $g:(a,b) \rightarrow (o,1)$ $g(x) = \frac{x-a}{b-a}$

Since (0,1) is uncountable by example 4, (a,b) is uncountable by bijection theorem.

-: I is uncountable by countable subset theorem

2 We present a second solution to Example 9.

For every (m,b) EQXQ, let

 $L_{(m,b)}$ be the set consisted of the line with equation y = mx + b.

Then L = \(\(\(\(\(\)_{\text{(M,6)}} \) \)

(m,6) \(\)

Summary of Countable and uncountable sets

Countable Sets

Finite Sets

Polynomials with integer coefficients

N, Z,Q

N+N

Countable X Countable

Subsets of Countable Sets, like Qn[0,1]

Uncountable Sets

(0,1), TR, intervals with at least 2 numbers

C, R-Q

P(N)

{0,1}x {0,1}x {0,1} x

Uncountable x Nonempty by surjection theorem

Injection Theorem

Let f: A-B be injective. If B is countable, then A is Countable. (Contrapositive: if A is uncountable, then B is uncountable.)

Reason. Let $R: A \rightarrow f(A)$ be given by f(x) = f(x)Then -R is injective (since f is injective) and -R is surjective (since -R(A) = f(A)). .. It is bijective. Since f(A) = B and B is countable, we see f(A) is countable A is countable. The countable subset theorem.

Surjection Theorem.

Let g: A -> B be surjective. If A is countable, then Bis countable. (Contrapositive: if Bis uncountable, then A is uncountable.)

Reason. $B = g(A) = \bigcup \{g(x)\}\$ g surjective $x \in A \xrightarrow{2 \text{ finite}}$ Countable

is countable by the countable union theorem.

Examples (4) Define $f: Q \rightarrow \mathbb{Z} \times \mathbb{N}$ by f(x) = (m, n), where $x = \frac{m}{n}$ (meZ, neN) and the highest common factor of m, n is 1. or greatest Common divisor

 $f(x) = f(x') = (m,n) \implies x = \frac{m}{n} = x'$ f is injective. Since ZXN is countable by product theorem, Q is countable by the injection theorem.

(15) Let A, be uncountable and Az,..., A,00 be nonempty sets. Then AIX AZX ... × A100 is uncountable.

Solution Define g: A1 x Azx... x A100 -> A1 by $g(x_1, x_2, \dots, x_{100}) = x_1$. Since $A_2, \dots, A_{100} \neq p$, ∃ az∈ Az,..., a100 ∈ A100, Then ∀x∈A1, $g(x, a_2, \dots, a_{100}) = x$. So g is surjective. Since A, is uncountable, A, x Azx ... x A100 is uncountable by surjection-theorem.

FAMOUS OPEN MATH PROBLEM

Continuum Hypothesis For every uncountable set S, \(\frac{1}{2} \) injective \(f: \mathbb{R} \rightarrow S.

Question Is this a true statement?

1940 Kurt Gödel proved the opposite Statement would not lead to any contradiction.

Question Does this mean the opposite statement is true?

1966 Paul Cohen proved the original
Statement would not lead to
any contradiction.
He won the Fields' medal for this.

Moral: The method of 'proof by contradiction' may not be applied to every statement.