

# *Memory Management*

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# Disclaimer

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**Content has been taken mainly from the following books:**

Operating Systems Concepts By Silberschatz & Galvin,  
Operating Systems: Internals and Design Principles By William Stallings

[www.os-book.com](http://www.os-book.com)

[www.cs.jhu.edu/~yairamir/cs418/os2/sld001.htm](http://www.cs.jhu.edu/~yairamir/cs418/os2/sld001.htm)

[www.personal.kent.edu/~rmuhamma/OpSystems/os.html](http://www.personal.kent.edu/~rmuhamma/OpSystems/os.html)

[http://msdn.microsoft.com/en-us/library/ms685096\(VS.85\).aspx](http://msdn.microsoft.com/en-us/library/ms685096(VS.85).aspx)

<http://www.computer.howstuffworks.com/operating-system6.htm>

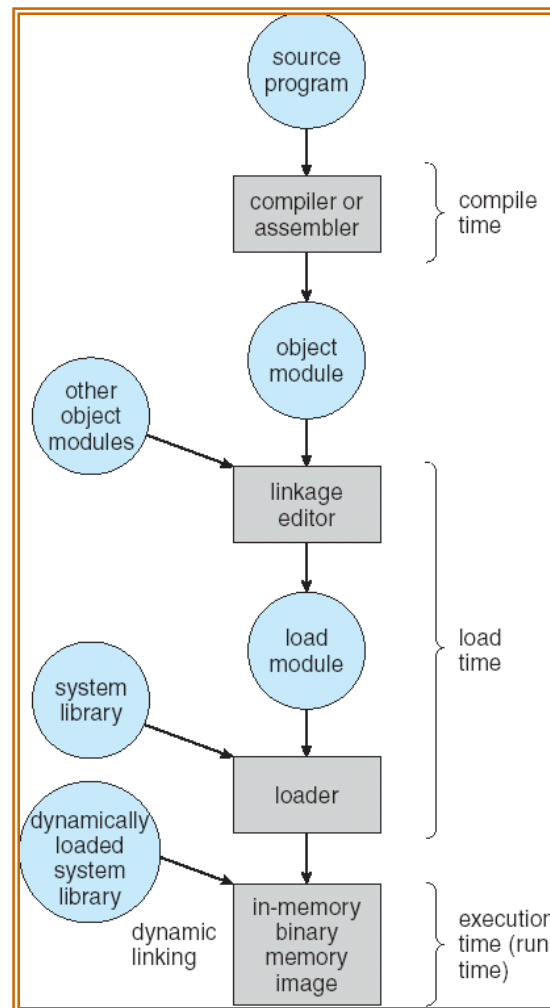
<http://williamstallings.com/OS/Animations.html>

*Etc...*

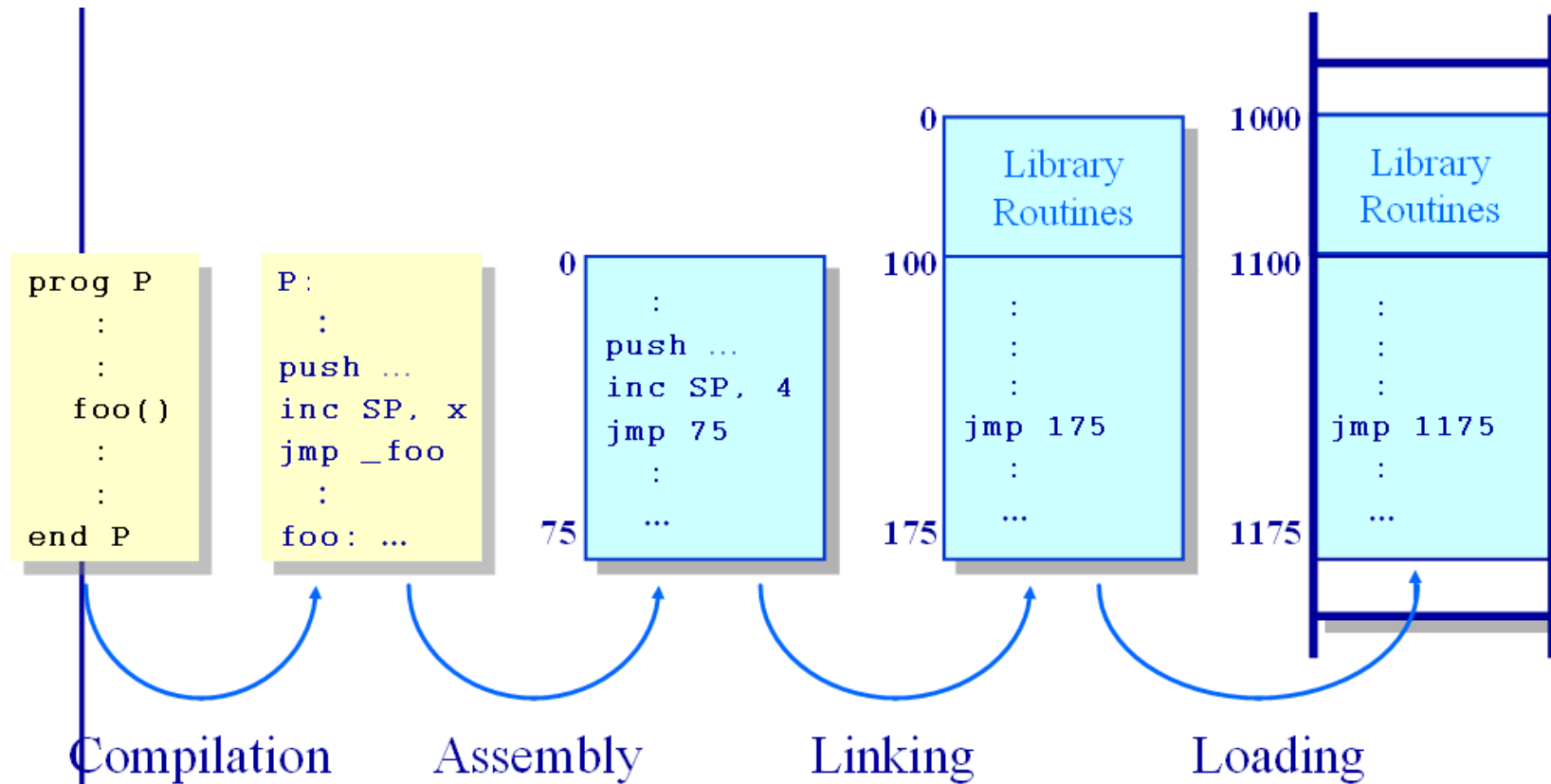
# Introduction

- Program must be brought into memory and placed within a process for it to be run
- *Input Queue* – collection of processes on the disk that are waiting to be brought into memory to run the program
- User programs go through several steps before being run

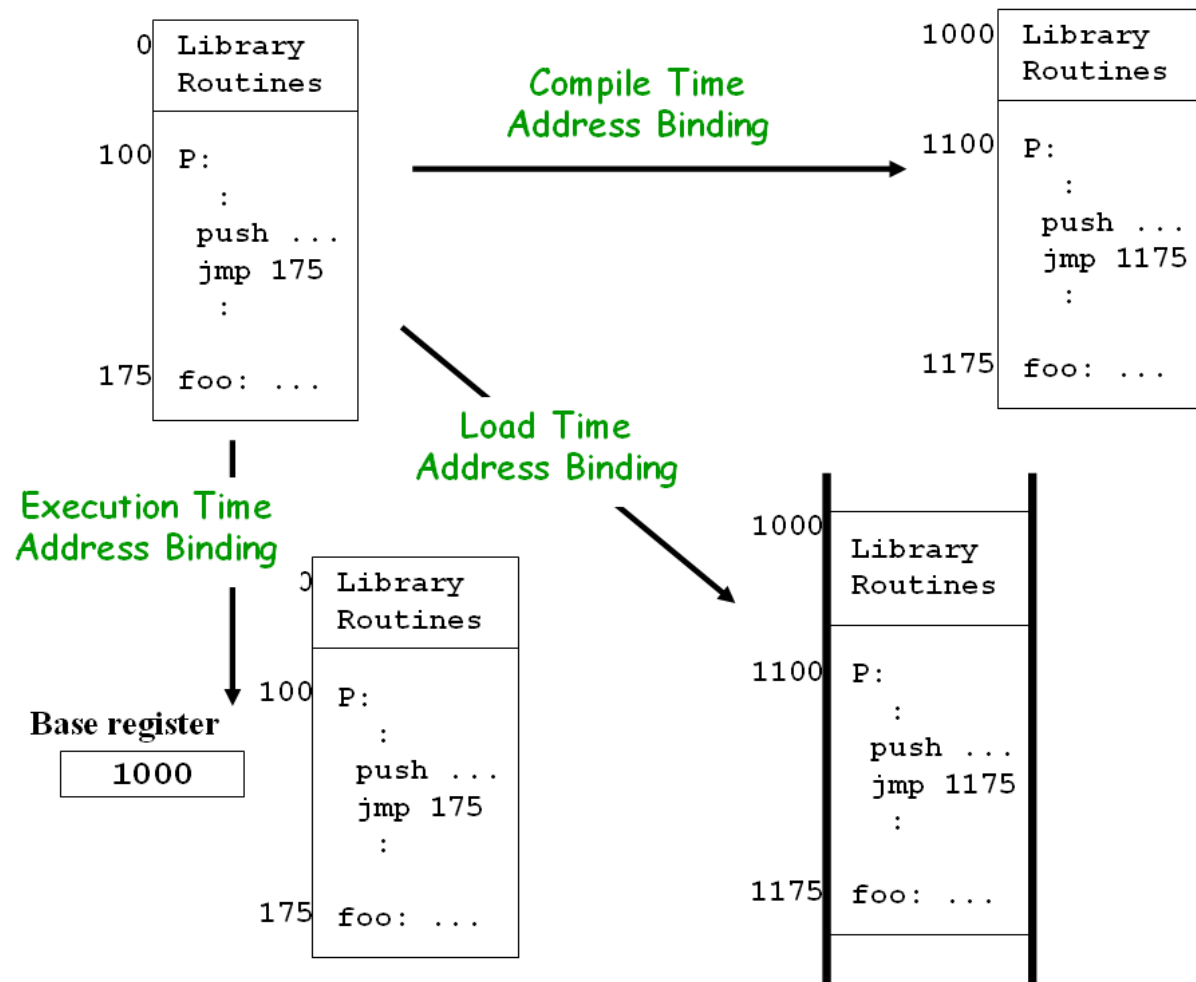
# *Multi-step Processing of User Program*



# Compilation Pipeline



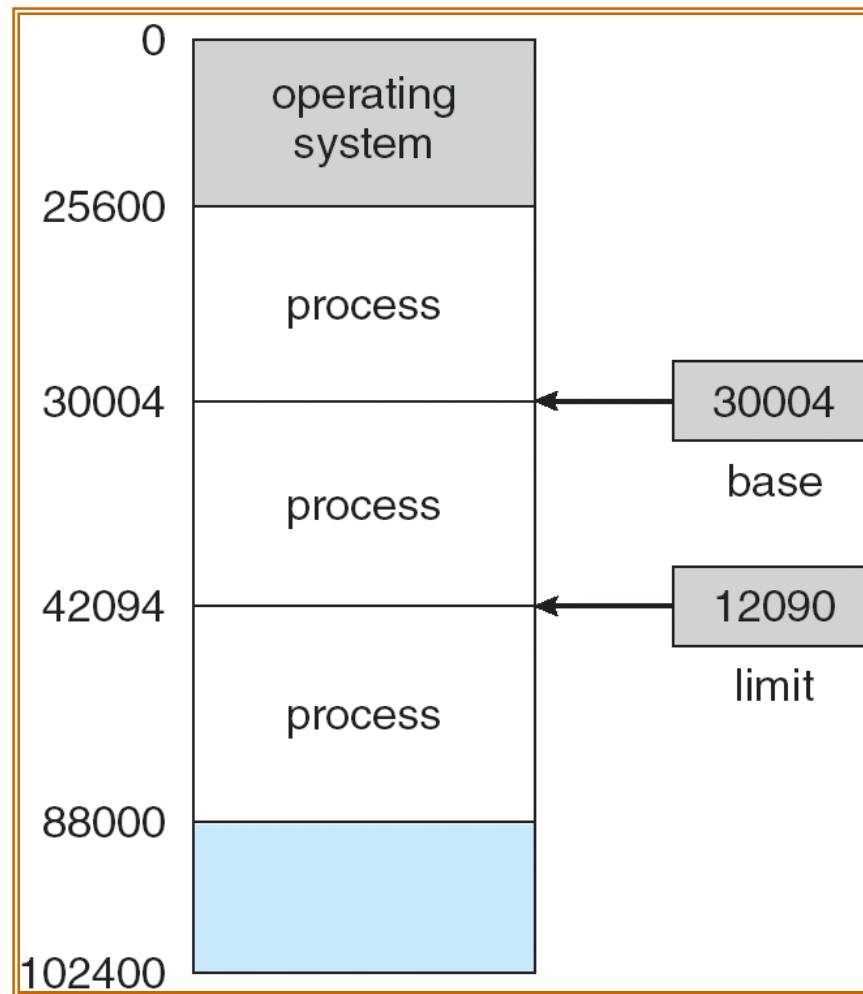
# Address Binding



# *Logical/Physical Address*

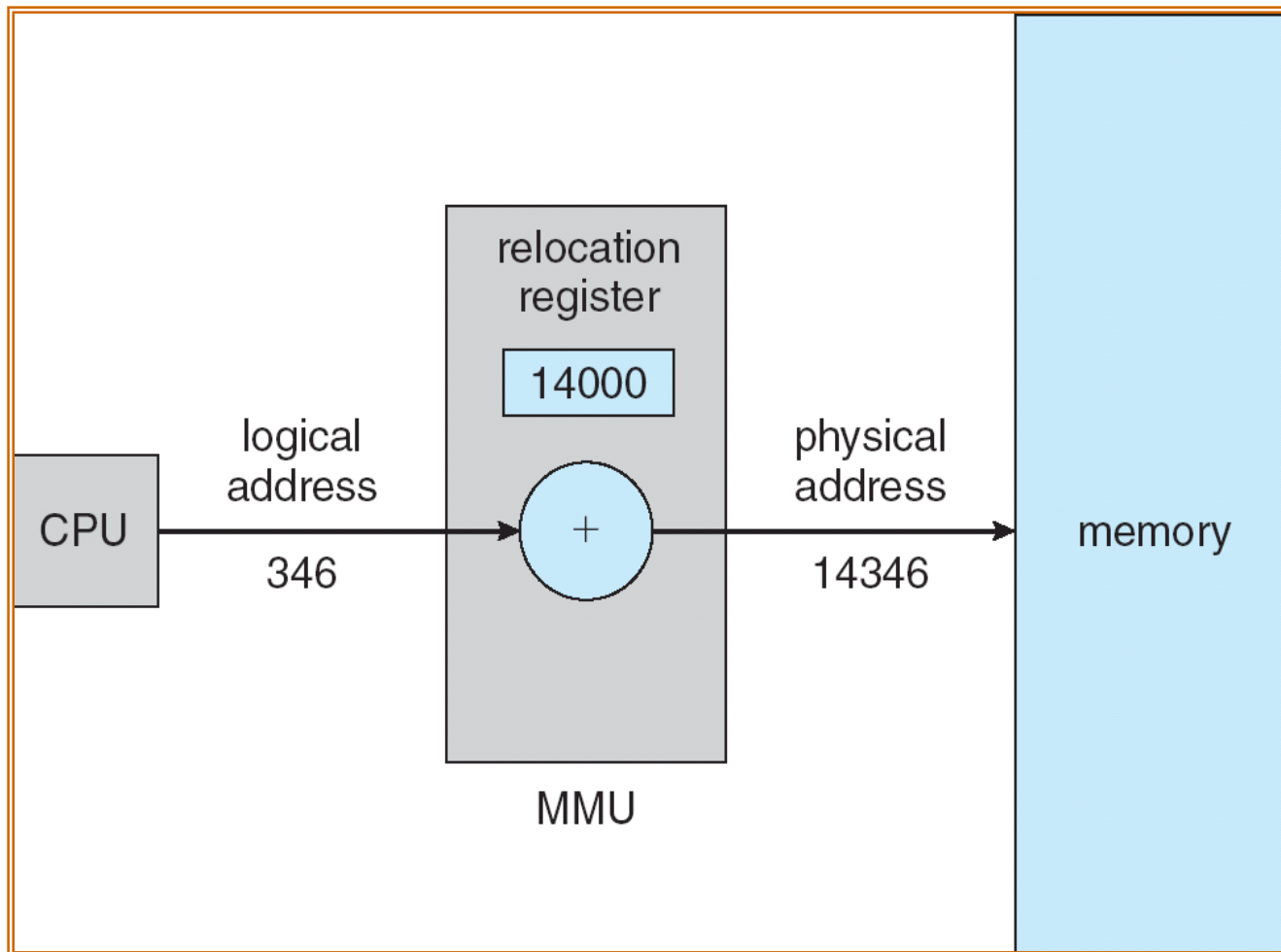
- Logical Address – Generated by the CPU, also referred to as virtual address
- Physical Address – Address seen by the memory unit
- Logical and Physical Addresses are the same in compile-time and load-time address-binding schemes.
- Logical (virtual) and Physical Addresses differ in execution-time address-binding scheme

# Base & Limit Register

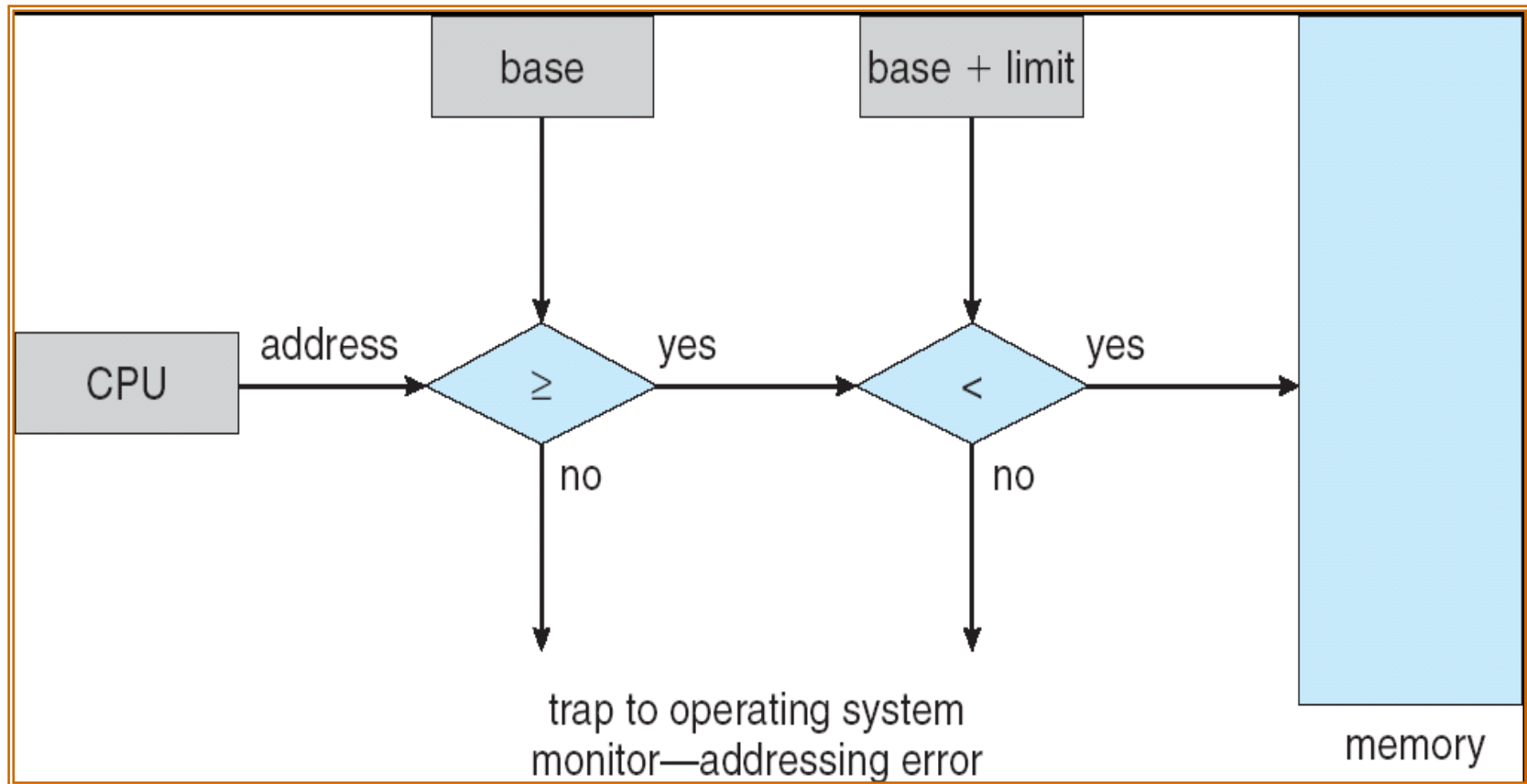




# MMU



# *H/W Protection*



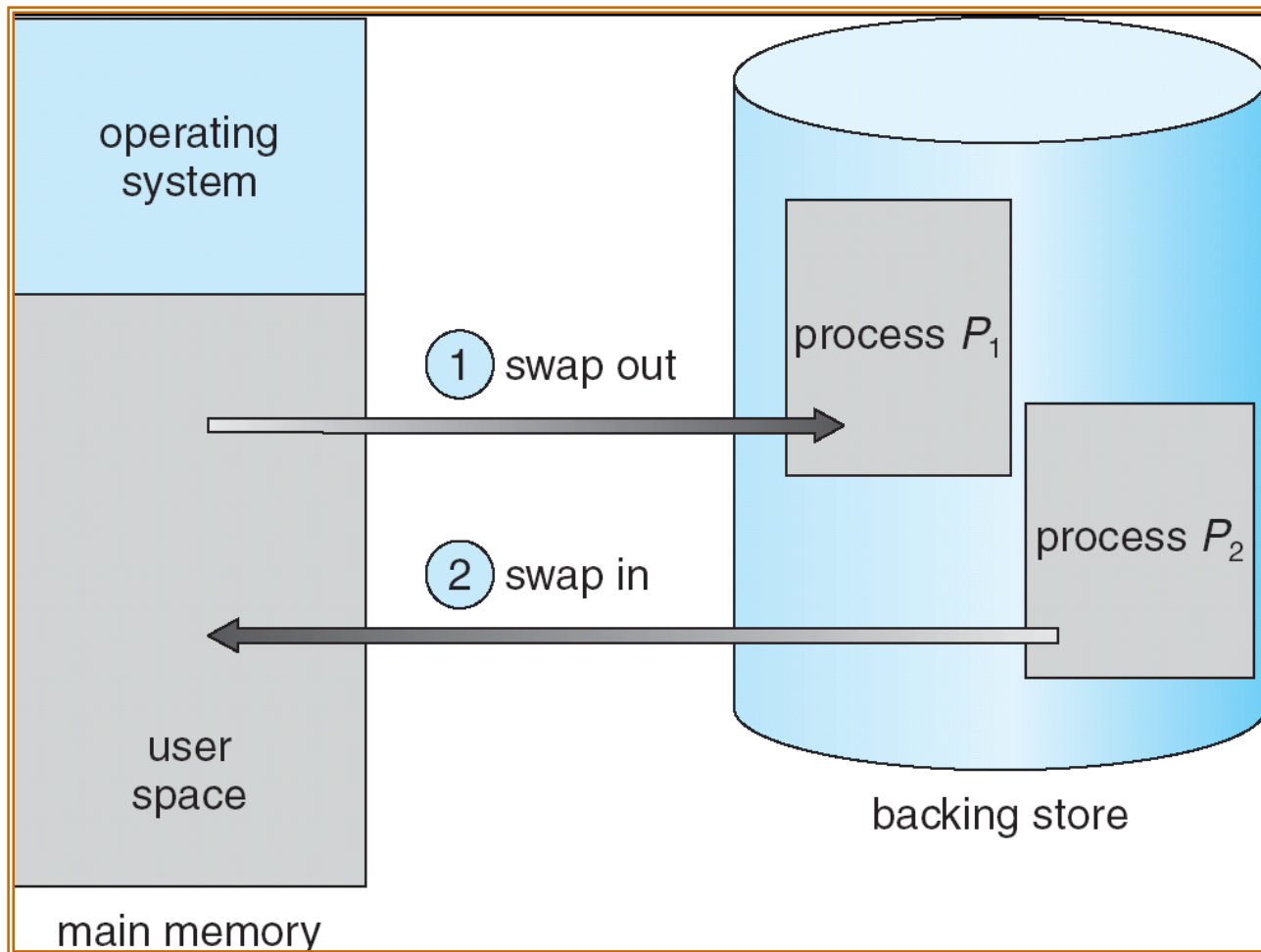
# *Dynamic Loading & Linking*

- Routine is *not loaded until it is called*.
- Better memory-space utilization; unused routine is never loaded
- Useful when large amounts of code are needed to handle infrequently occurring cases
- *Linking* postponed until Execution Time.
- *Dynamic Linking* is particularly useful for libraries

# Swapping

- A process can be swapped temporarily out of memory to a backing store, and then brought back into memory for continued execution
- *Backing Store* – fast disk large enough to accommodate copies of all memory images for all users
- *Roll Out, Roll In* – Swapping variant used for priority-based scheduling algorithms.
- Major part of swap time is Transfer Time; Total Transfer Time is directly proportional to the amount of memory swapped.

# Swapping (Conti...)



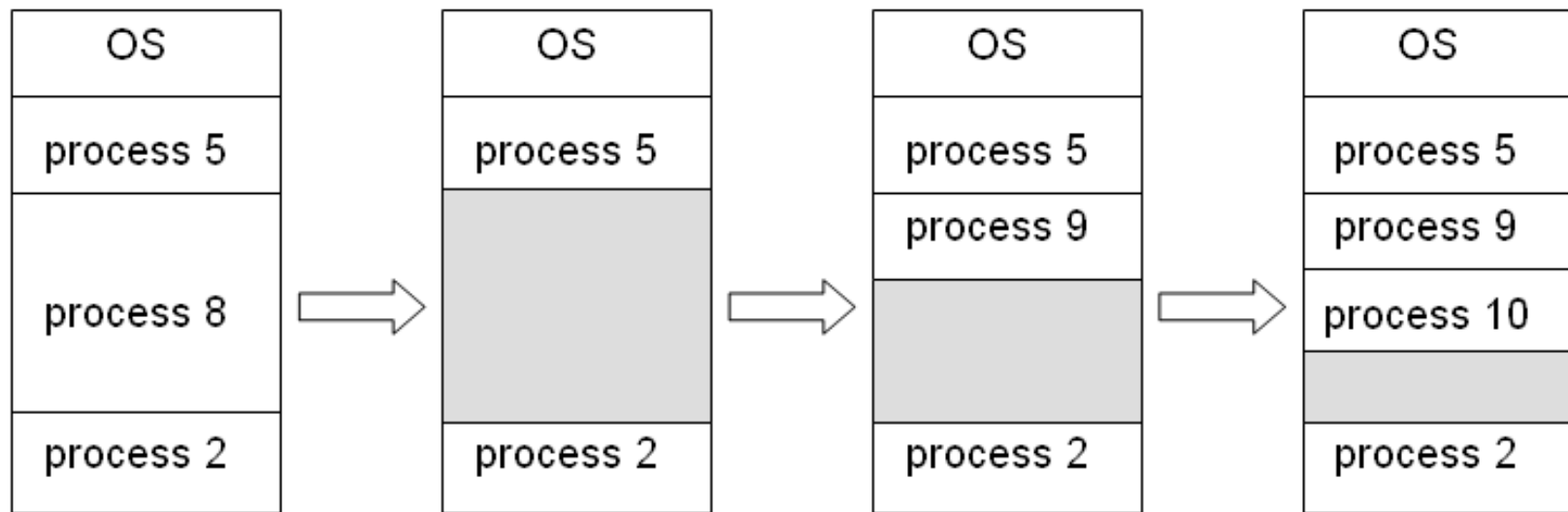
# *Contiguous Allocation*

- Main memory is divided usually into two partitions:
  - Resident Operating System
  - User processes

## Multiple-partition allocation

- Hole – Block of available Memory
- When a Process arrives, it is allocated memory from a hole large enough to accommodate it
- Operating system maintains information about:
  - a) Allocated Partitions    b) Free Partitions (hole)

# *Memory Allocation (Graphical Depiction)*



# *Memory Allocation Strategies*

- First-fit: Allocate the first hole that is big enough
- Best-fit: Allocate the smallest hole that is big enough; must search entire list, unless ordered by size
  - Produces the smallest leftover hole
- Worst-fit: Allocate the largest hole; must also search entire list
  - Produces the largest leftover hole



# *Fragmentation*

- External Fragmentation – total memory space exists to satisfy a request, but it is not contiguous
- Internal Fragmentation – allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used
- Reduce external fragmentation by compaction
  - Shuffle memory contents to place all free memory together in one large block
  - Compaction is possible only if relocation is dynamic, and is done at execution time

# Paging

- Logical address space of a process can be noncontiguous
- Divide *Physical Memory* into fixed-sized blocks called FRAMES
- Divide *Logical Memory* into blocks of same size called PAGES
- Keep track of all free frames
- To run a program of size  $n$  pages, need to find  $n$  free frames and load program
- Set up a page table to translate logical to physical addresses
- Internal Fragmentation

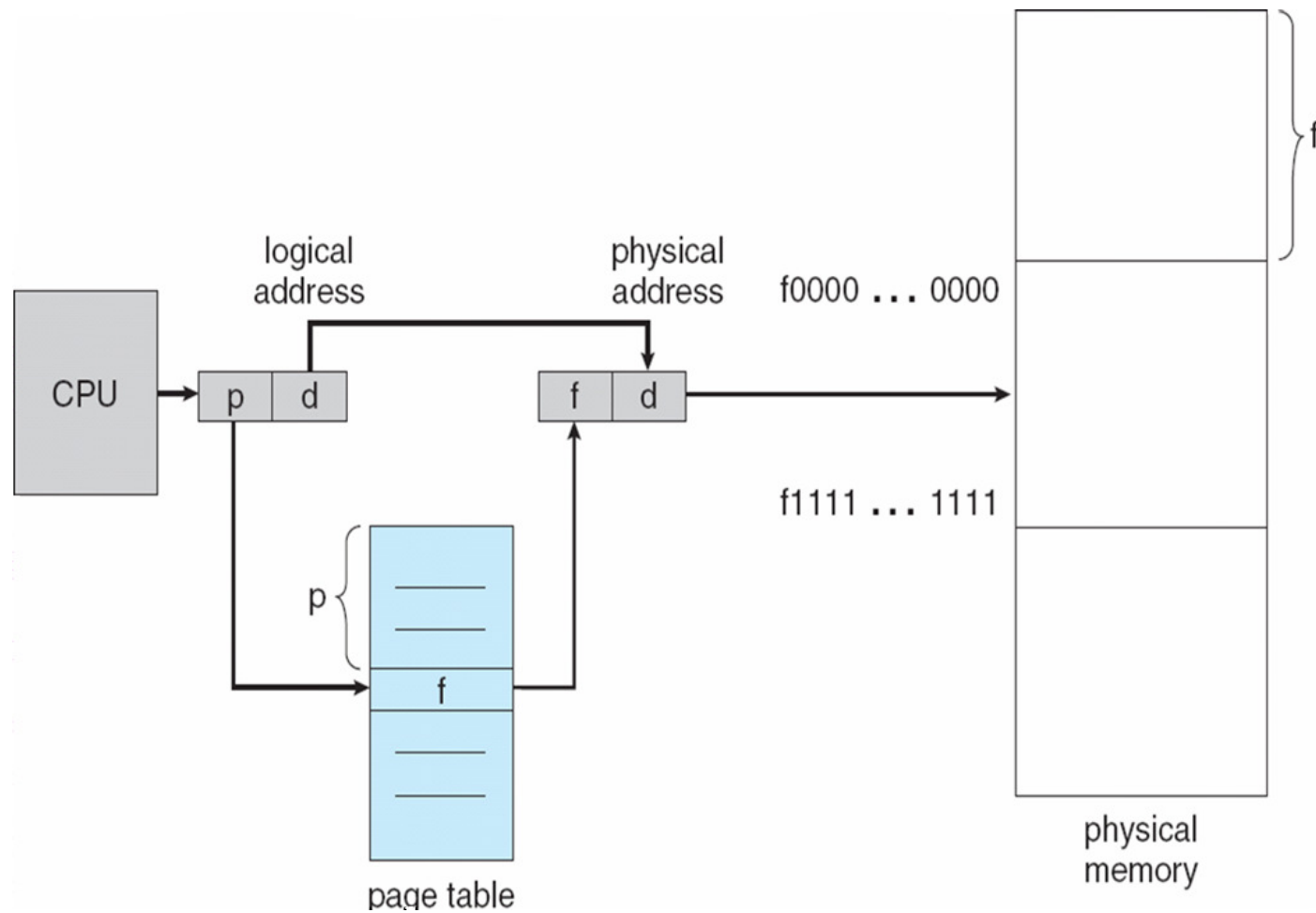
# Address Translation Scheme

- Address generated by CPU is divided into:
  - **Page number ( $p$ )** – used as an index into a *page table* which contains base address of each page in physical memory
  - **Page offset ( $d$ )** – combined with base address to define the physical memory address that is sent to the memory unit

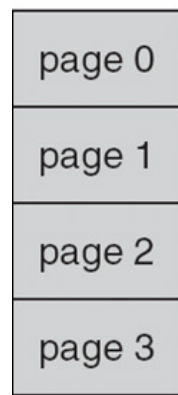
page number	page offset
$p$	$d$
$m - n$	$n$

- For given logical address space  $2^m$  and page size  $2^n$

# Paging Hardware



# Page Modeling of Logical & Physical Memory

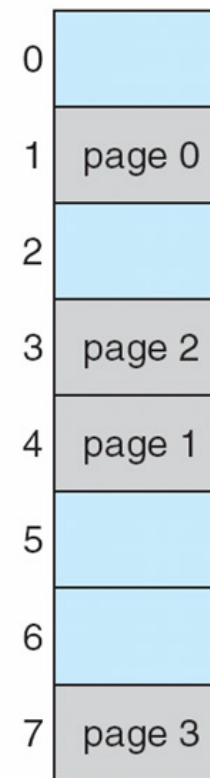


logical  
memory

0	1
1	4
2	3
3	7

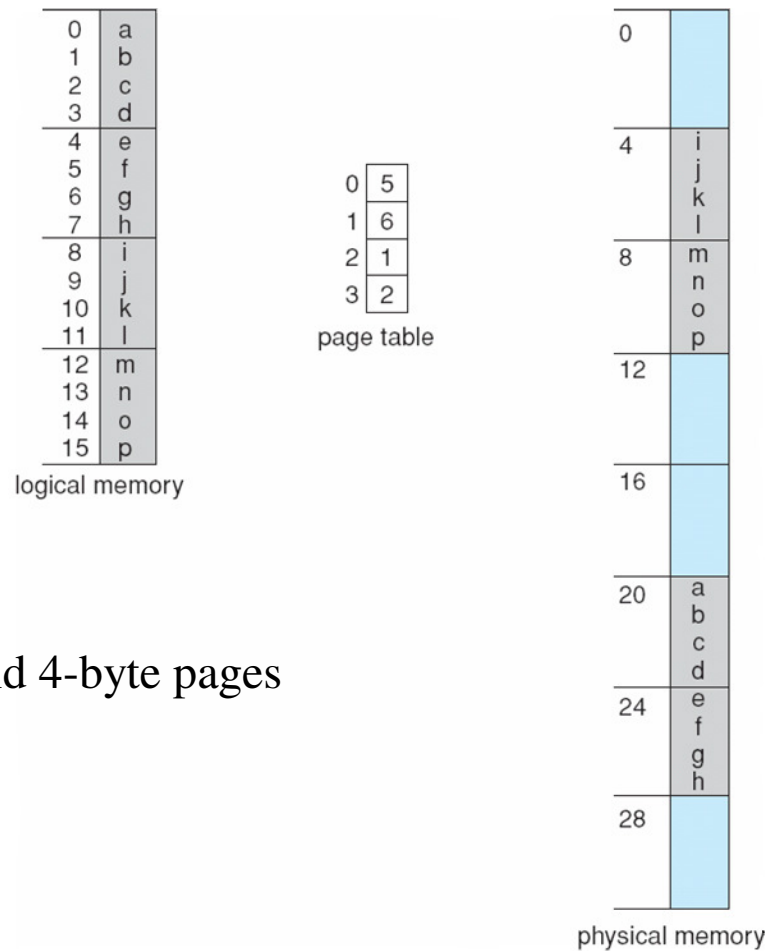
page table

frame  
number



physical  
memory

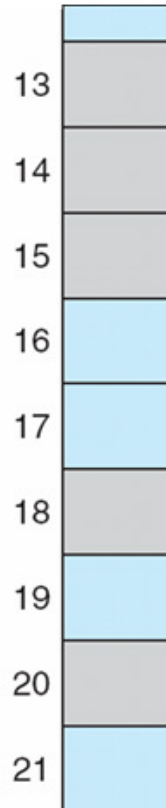
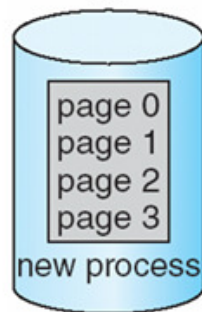
# Paging Example



32-byte memory and 4-byte pages

free-frame list

14  
13  
18  
20  
15

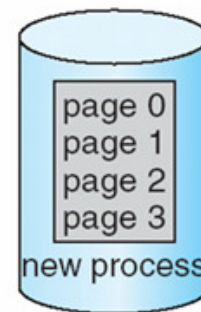


(a)

Before allocation

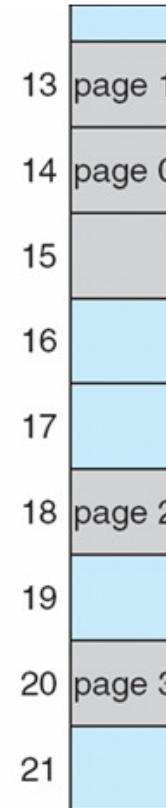
free-frame list

15



0	14
1	13
2	18
3	20

new-process page table



(b)

After allocation

# Implementation of Page Table

- Page table is kept in main memory
- Page-table Base Register (PTBR) points to the Page Table
- Page-table Length Register (PRLR) indicates size of the Page Table
- In this scheme every data/instruction access requires two memory accesses. One for the *Page Table* and one for the *Data/instruction*.
- The two memory access problem can be solved by the use of a special fast-lookup hardware cache called *Associative Memory* or *Translation Look-aside Buffers* (TLBs)



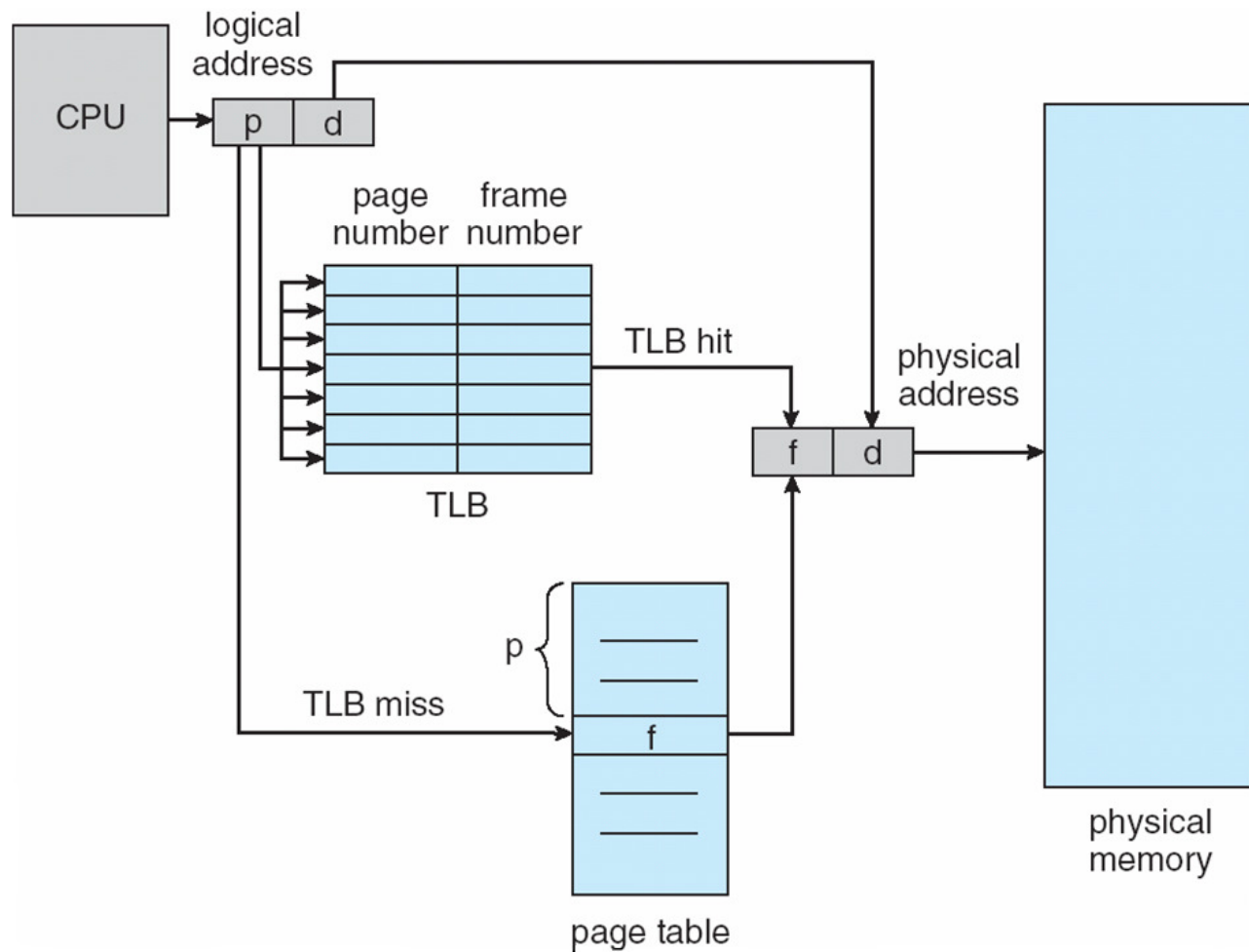
# Associative Memory

Page #	Frame #

Address translation (p, d)

- If p is in associative register, get frame # out
- Otherwise get frame # from page table in memory

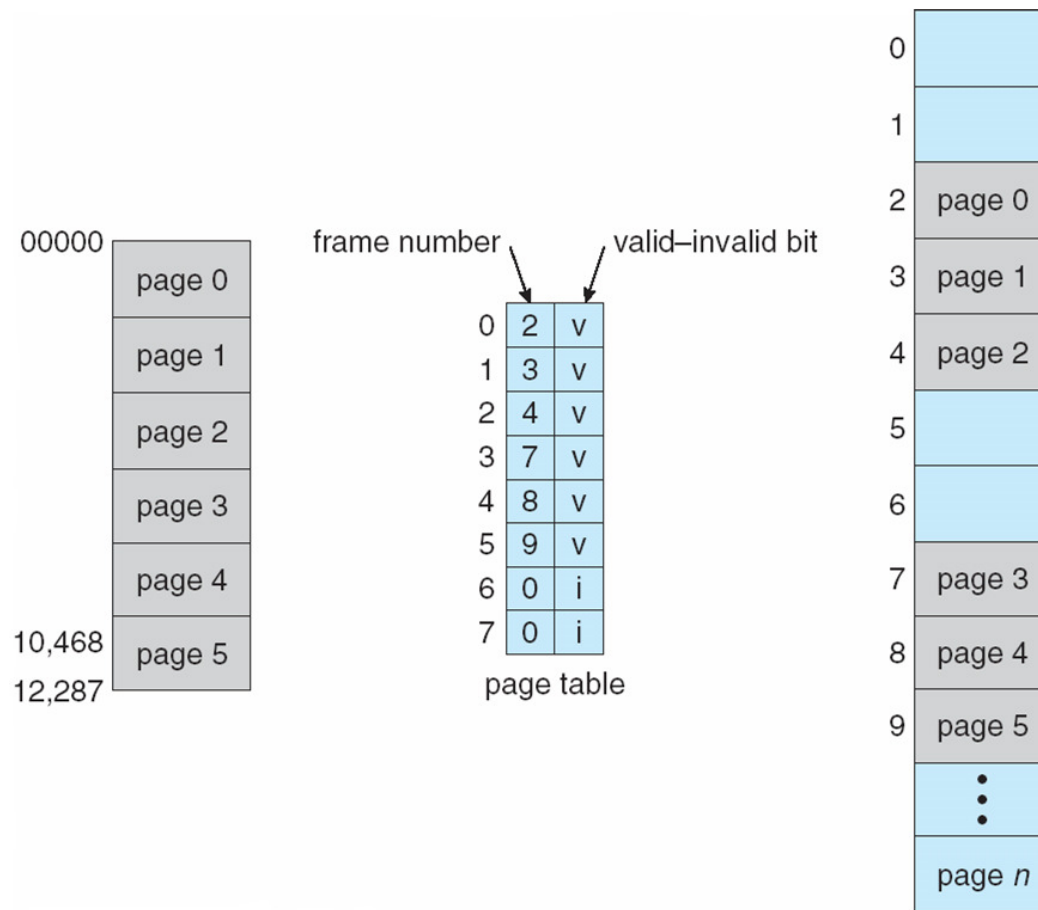
# Paging Hardware with TLB



# *Valid Invalid Bit for Protection*

- Memory protection implemented by associating protection bit with each frame
- Valid-invalid bit attached to each entry in the page table:
  - “valid” indicates that the associated page is in the process’ logical address space, and is thus a legal page
  - “invalid” indicates that the page is not in the process’ logical address space

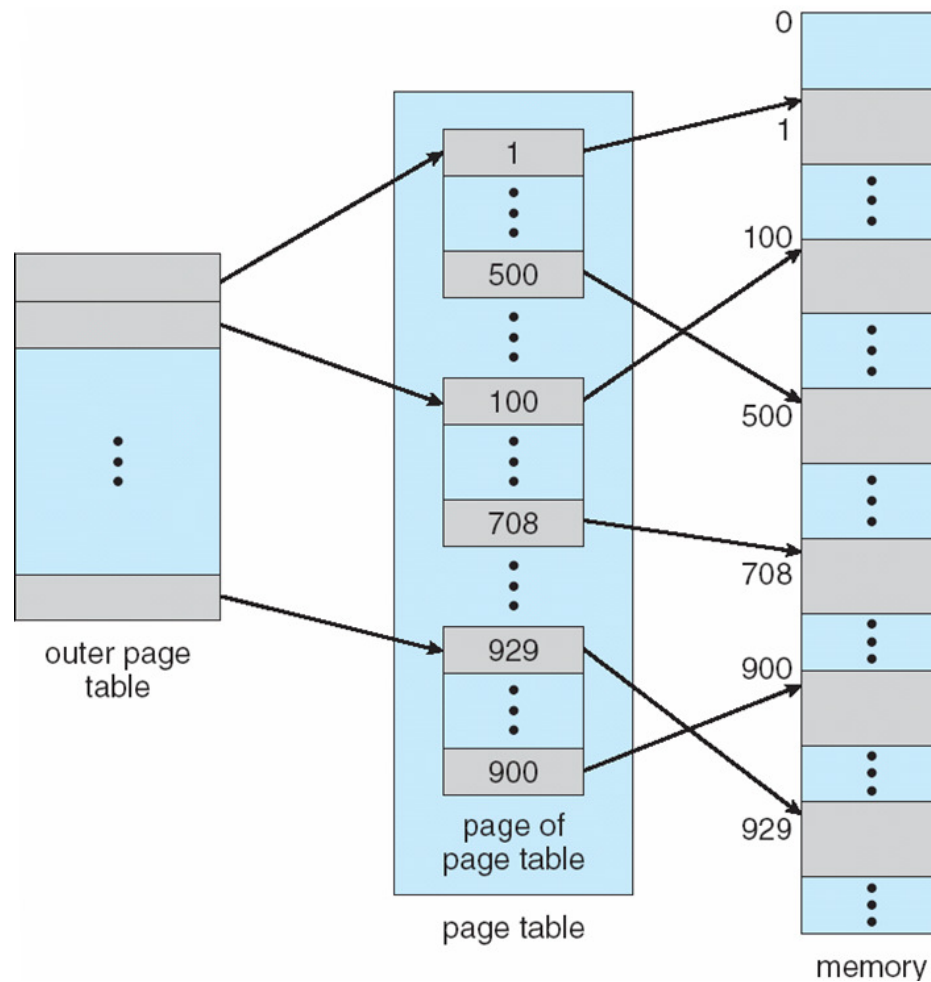
# Valid Invalid Bit in Page Table



# *Structure of PAGE TABLE*

- Hierarchical Paging
- Hashed Page Tables
- Inverted Page Tables

# Two Level Page Table Scheme



# *Two Level Paging Example*

- A logical address (on 32-bit machine with 1K page size) is divided into:
  - A Page number consisting of 22 bits
  - A Page offset consisting of 10 bits
- Since the PAGE TABLE IS PAGED, the page number is further divided into:
  - A 12-bit Page number
  - A 10-bit Page offset
- Thus, a Logical Address is as follows:

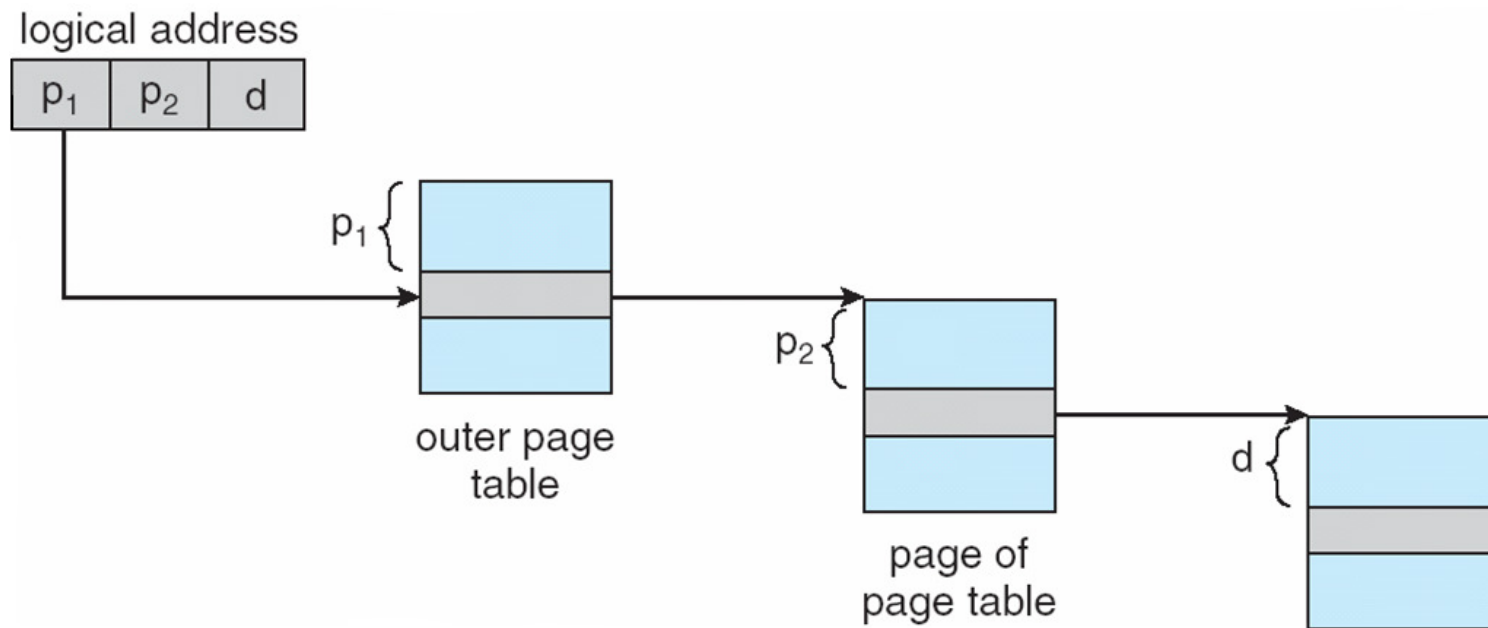
## *Two Level Paging Example (contd.)*

page number		page offset
$p_1$	$p_2$	$d$
12	10	10

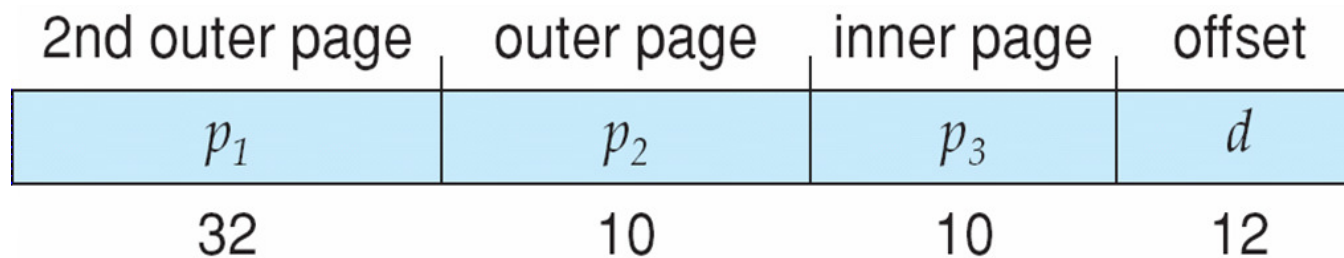
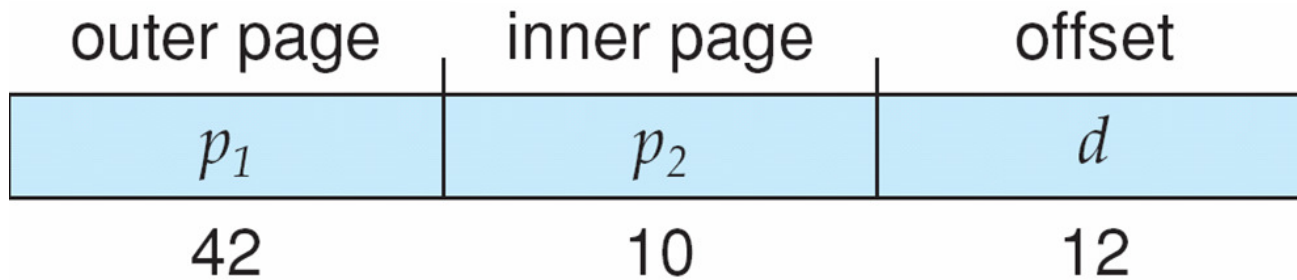
where  $p_1$  is an index into the outer page table, and  $p_2$  is the displacement within the page of the outer page table



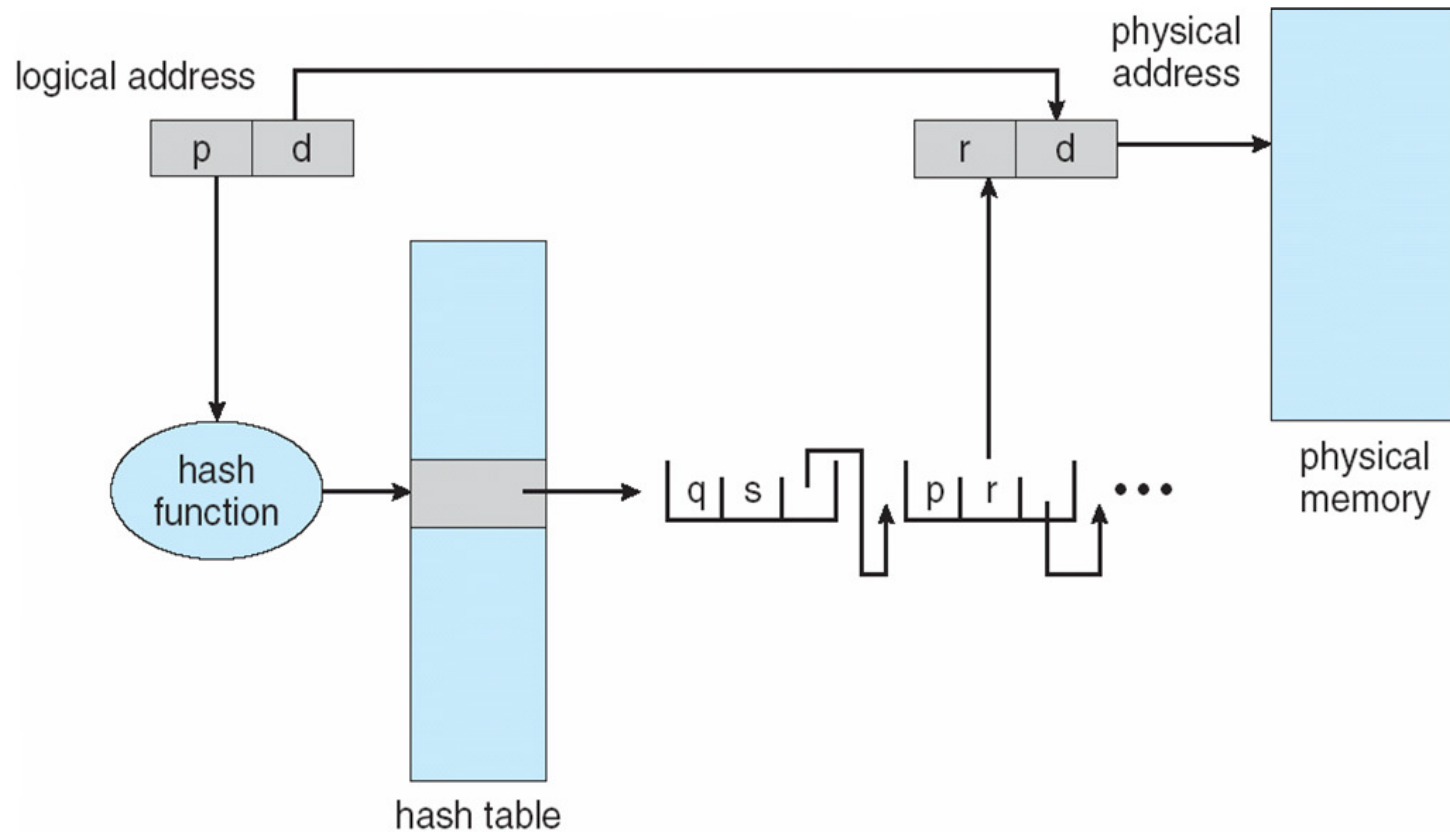
# Address Translation Scheme



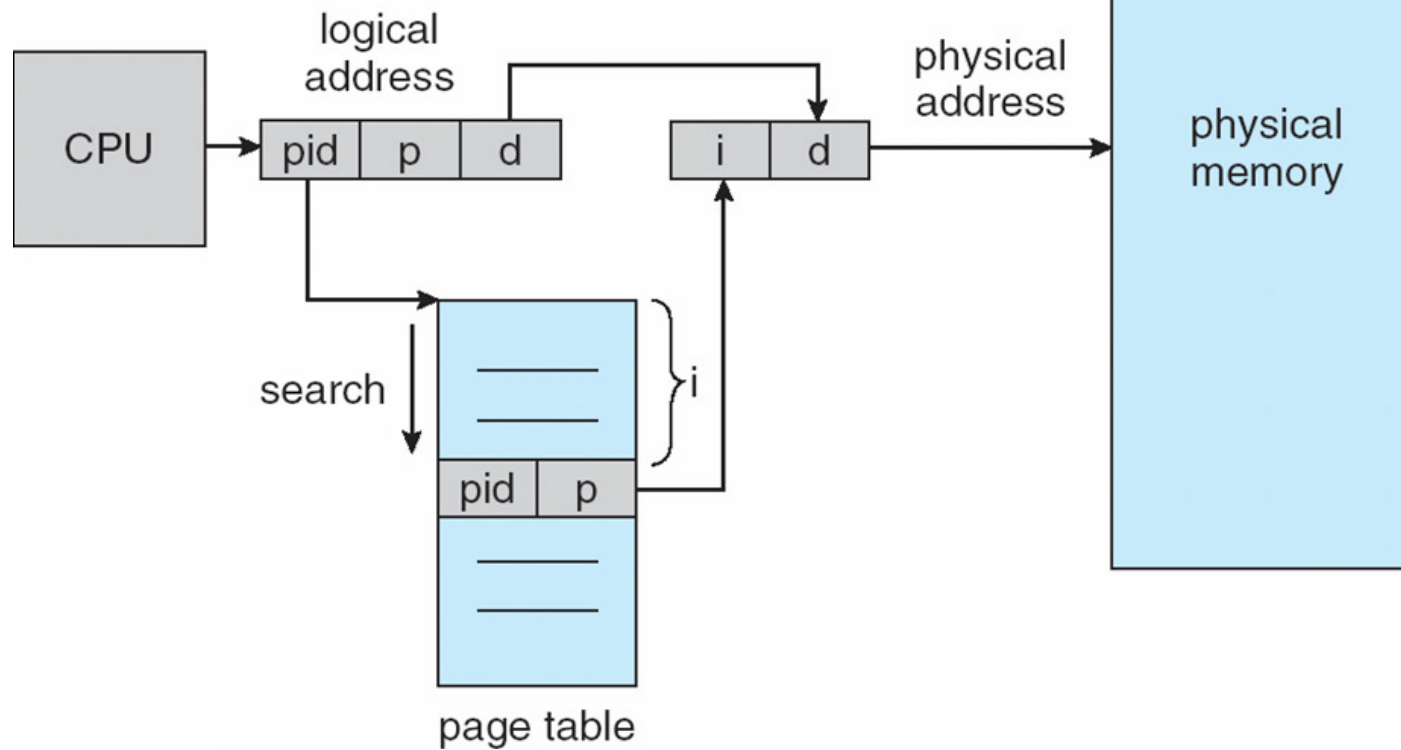
# Three Level Paging Scheme



# Hashed Page Table



# *Inverted Page Table*



# Segmentation

- *A Program is a collection of Segments*
  - A *SEGMENT* is a logical unit such as:

Main Program

Procedure

Function

Method

Object

Local Variables, Global Variables

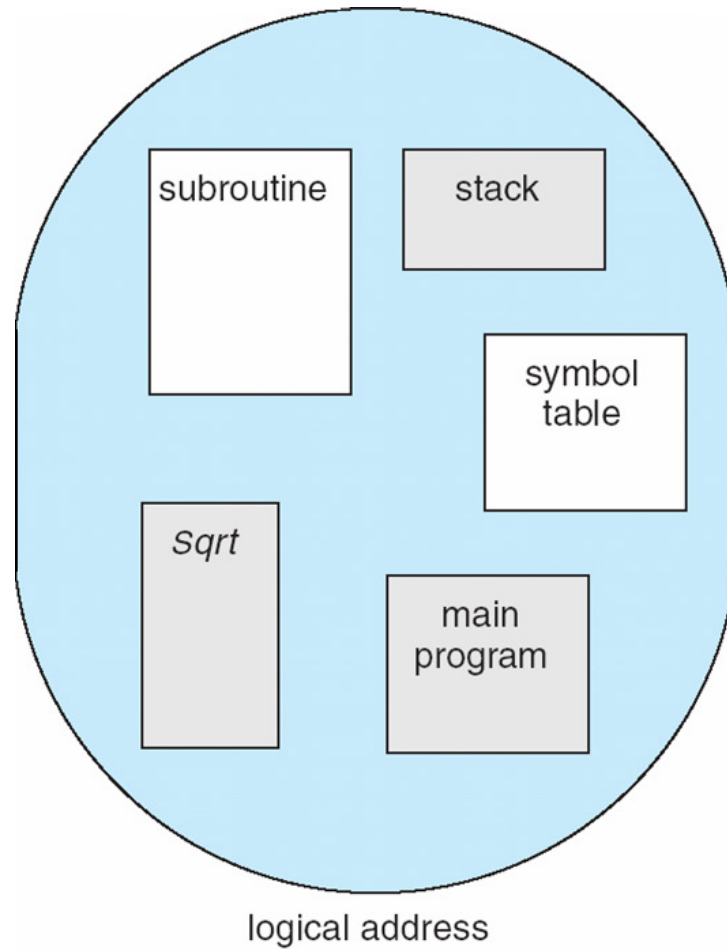
Common Block

Stack

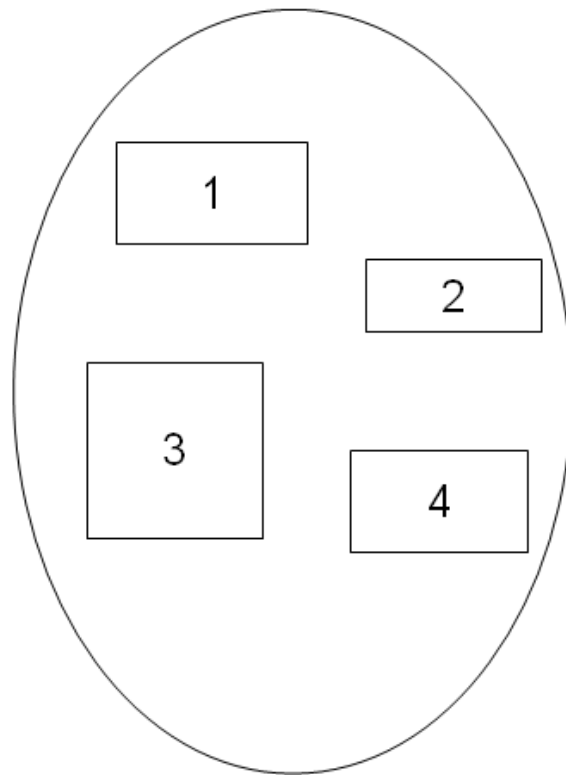
Symbol Table

Arrays

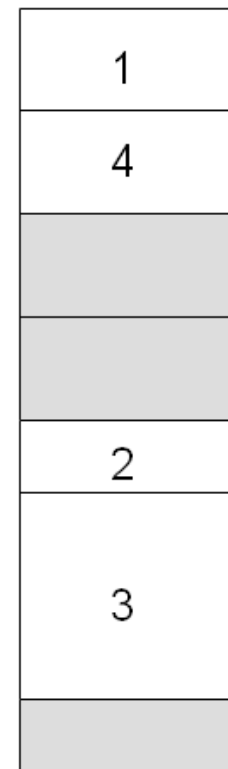
# *User's View of Program*



# *Logical View of Segmentation*



user space



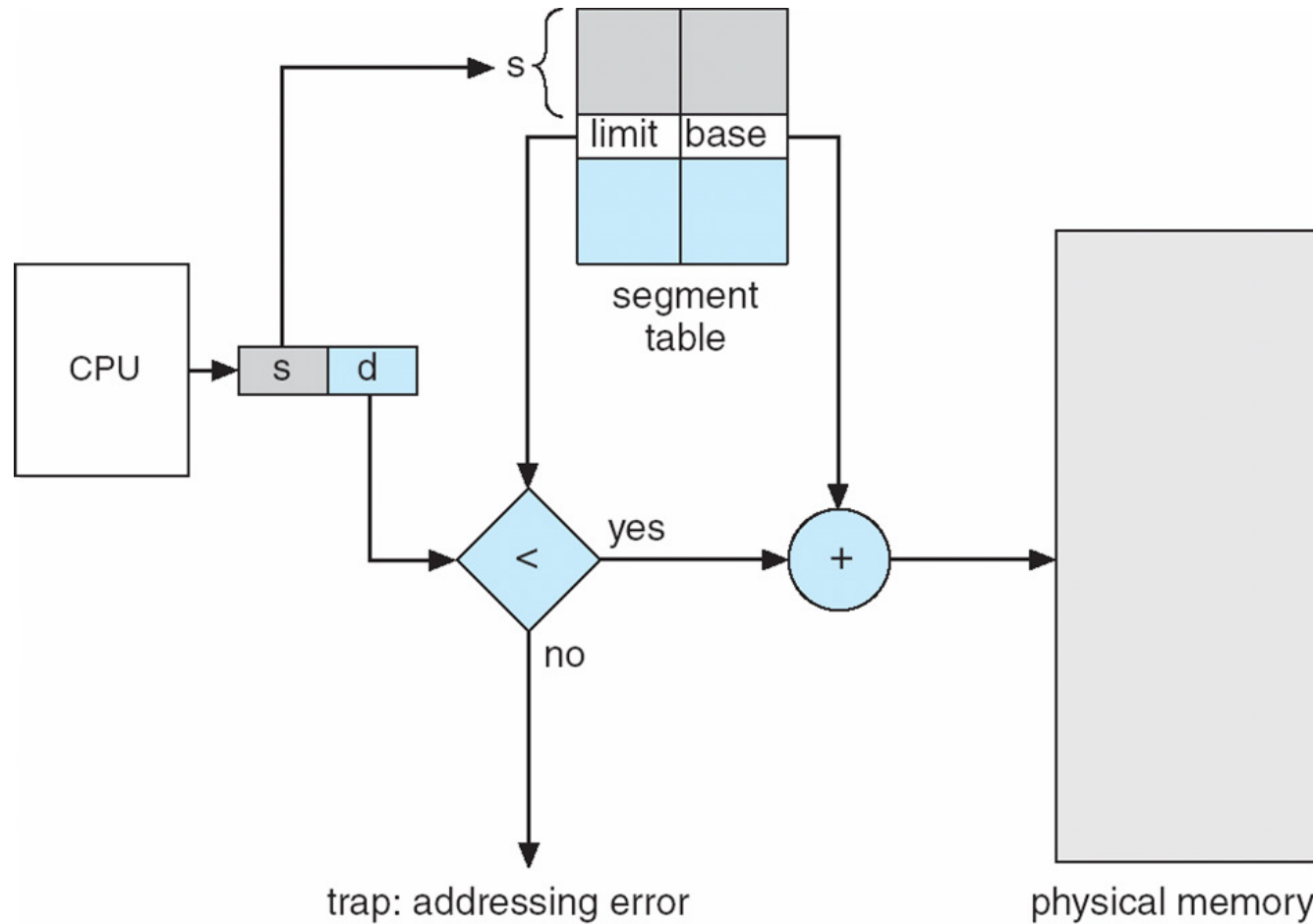
physical memory space

# *Segmentation Architecture*

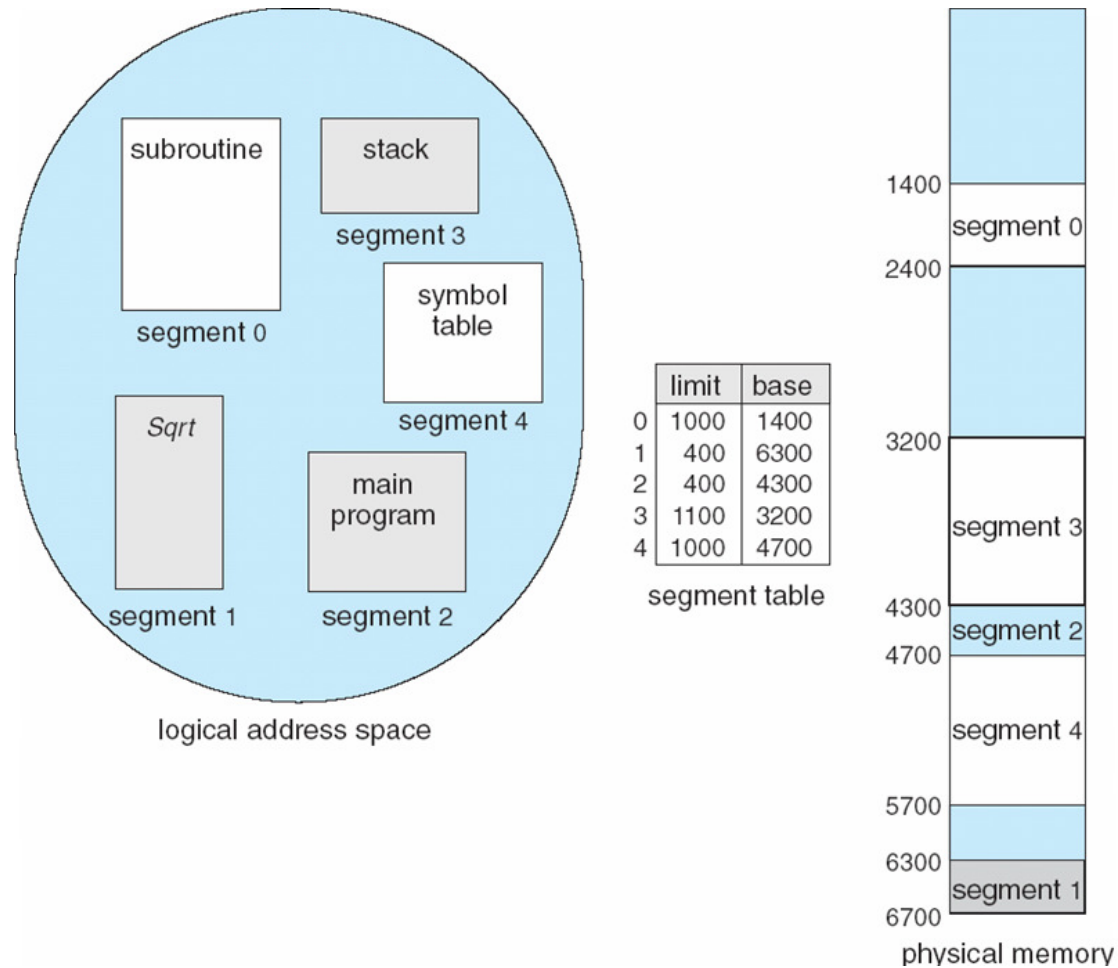
- Logical Address consists of a two tuple:  
 $\langle \text{segment-number}, \text{offset} \rangle$
- Segment Table – Maps two-dimensional physical addresses.
- Each Table entry has:
  - base – Contains the starting Physical Address where the segments reside in memory
  - limit – Specifies the Length of the Segment



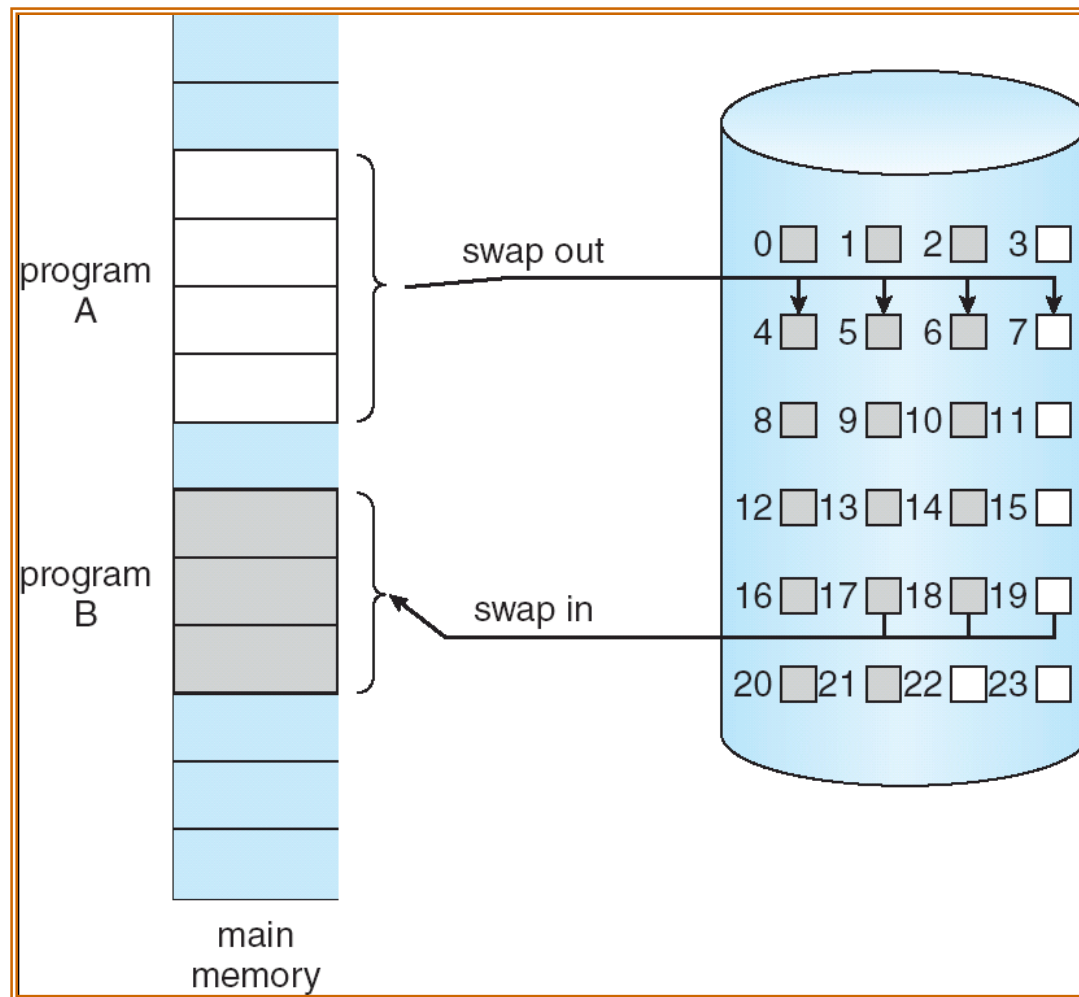
# Segmentation Hardware



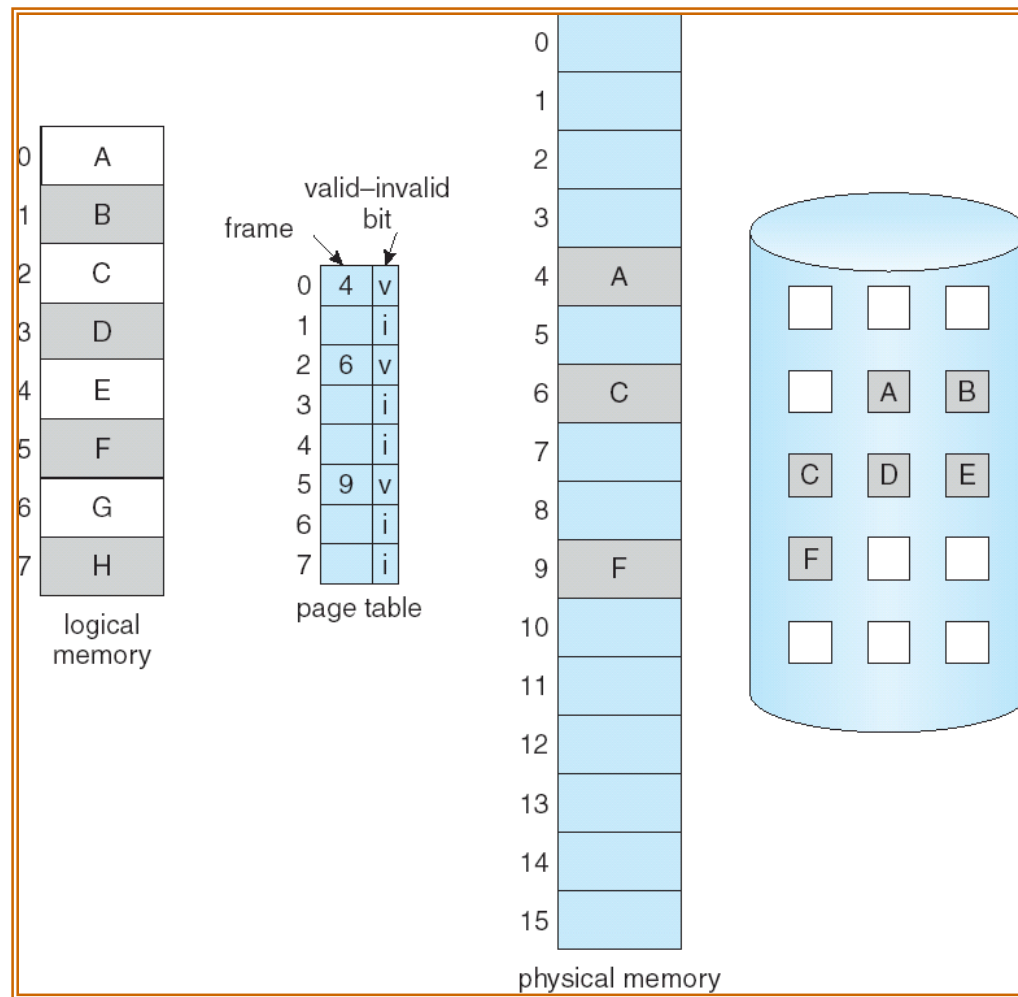
# Example of Segmentation



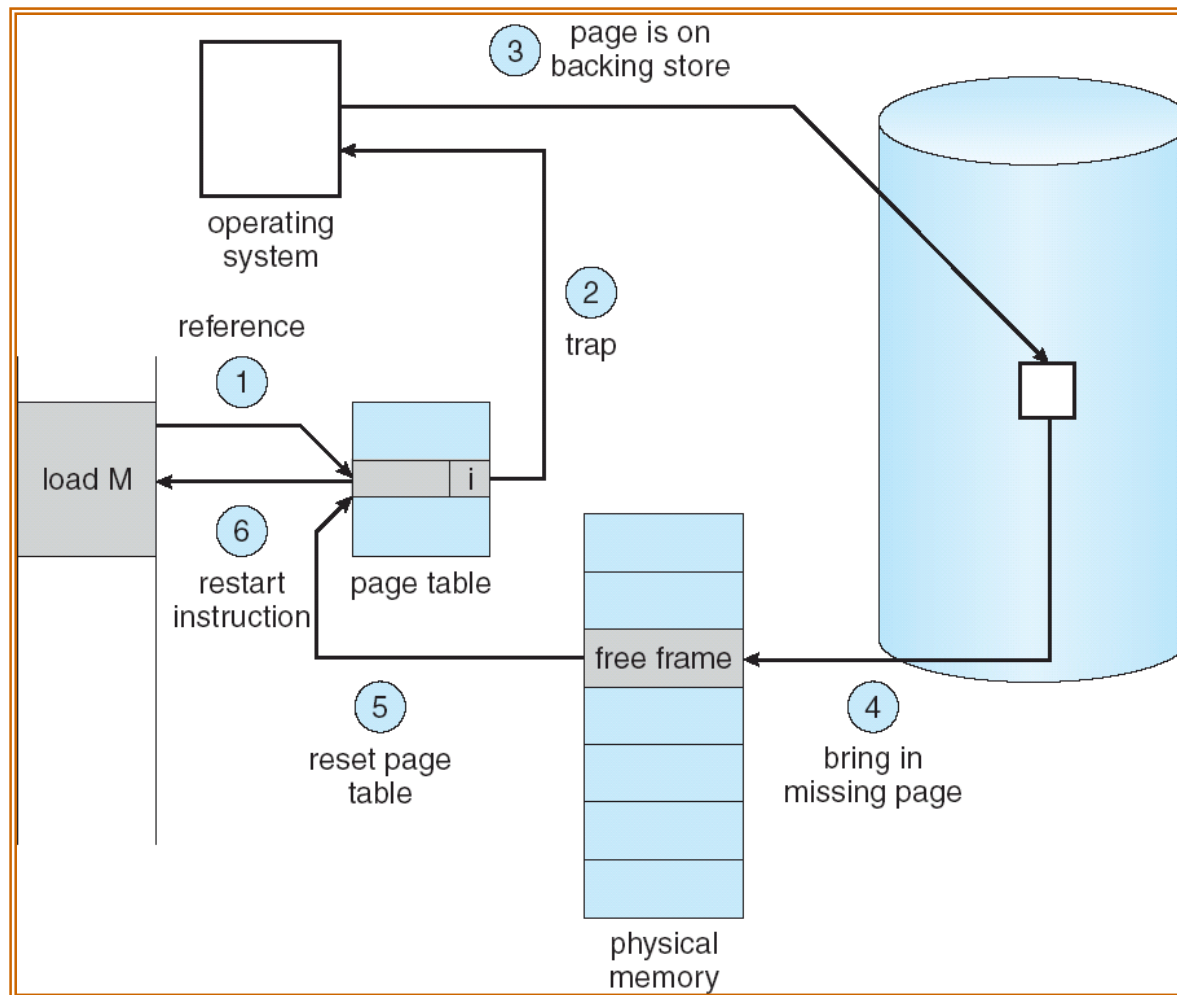
# *Transfer of a Paged Memory to Contiguous Disk Space*



# Page Table when some pages are not in Memory



# Steps in Handling Page Fault



# *What happens if there is no free frame?*

- Page replacement – find some page in memory, but not really in use, swap it out
  - Algorithm
  - performance – want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times

# Performance of Demand Paging

- Page Fault Rate  $0 \leq p \leq 1.0$ 
  - if  $p = 0$  no page faults
  - if  $p = 1$ , every reference is a fault
- *Effective Access Time (EAT)*

$$\begin{aligned} EAT = & (1 - p) \times \text{memory access} \\ & + p (\text{page fault overhead} \\ & + [\text{swap page out}] \\ & + \text{swap page in} \\ & + \text{restart overhead}) \end{aligned}$$

# Page Replacement

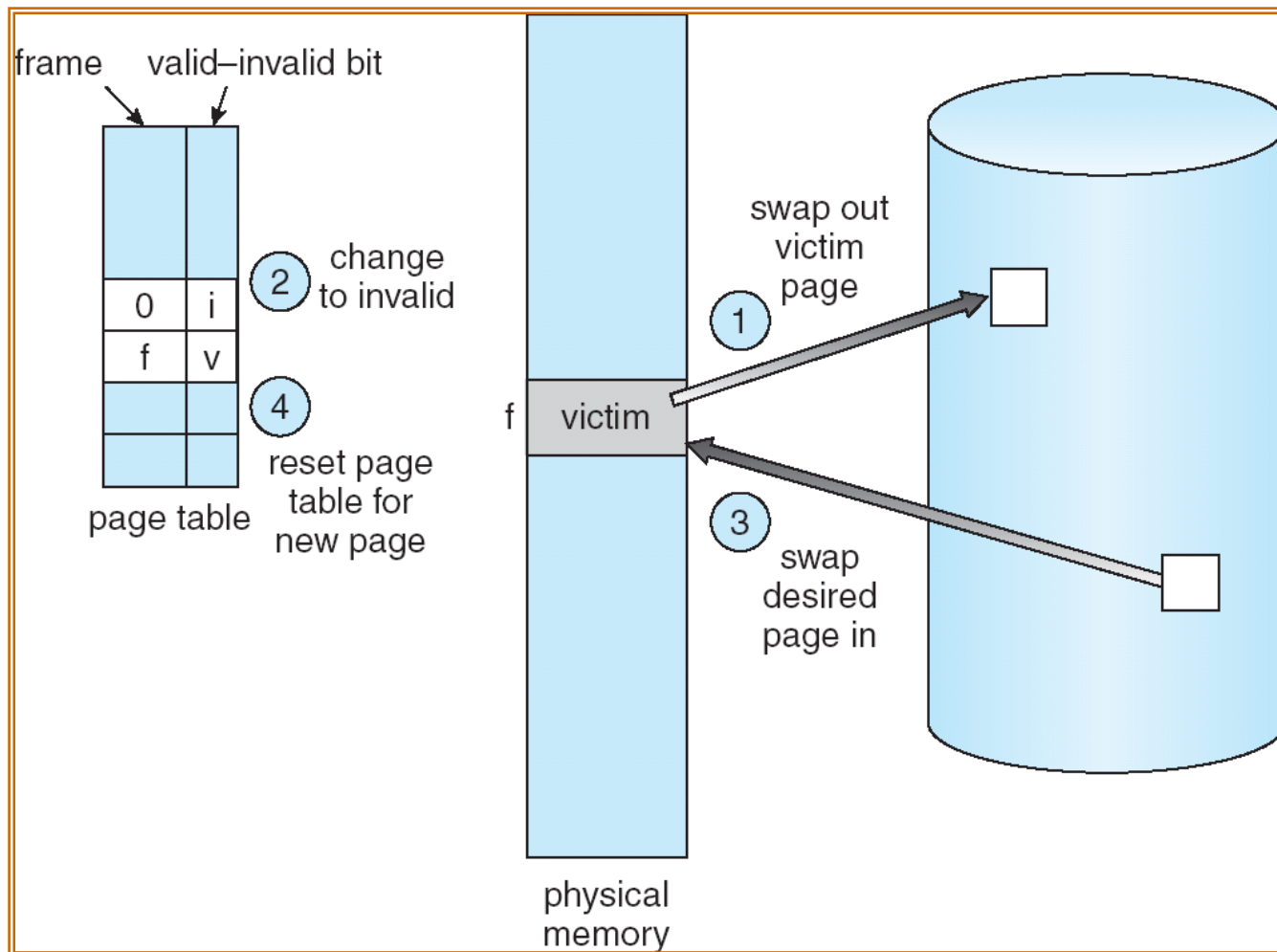
- Prevent over-allocation of memory by modifying page-fault service routine to include page replacement
- Use Modify (Dirty) Bit to reduce overhead of page transfers – only modified pages are written to disk



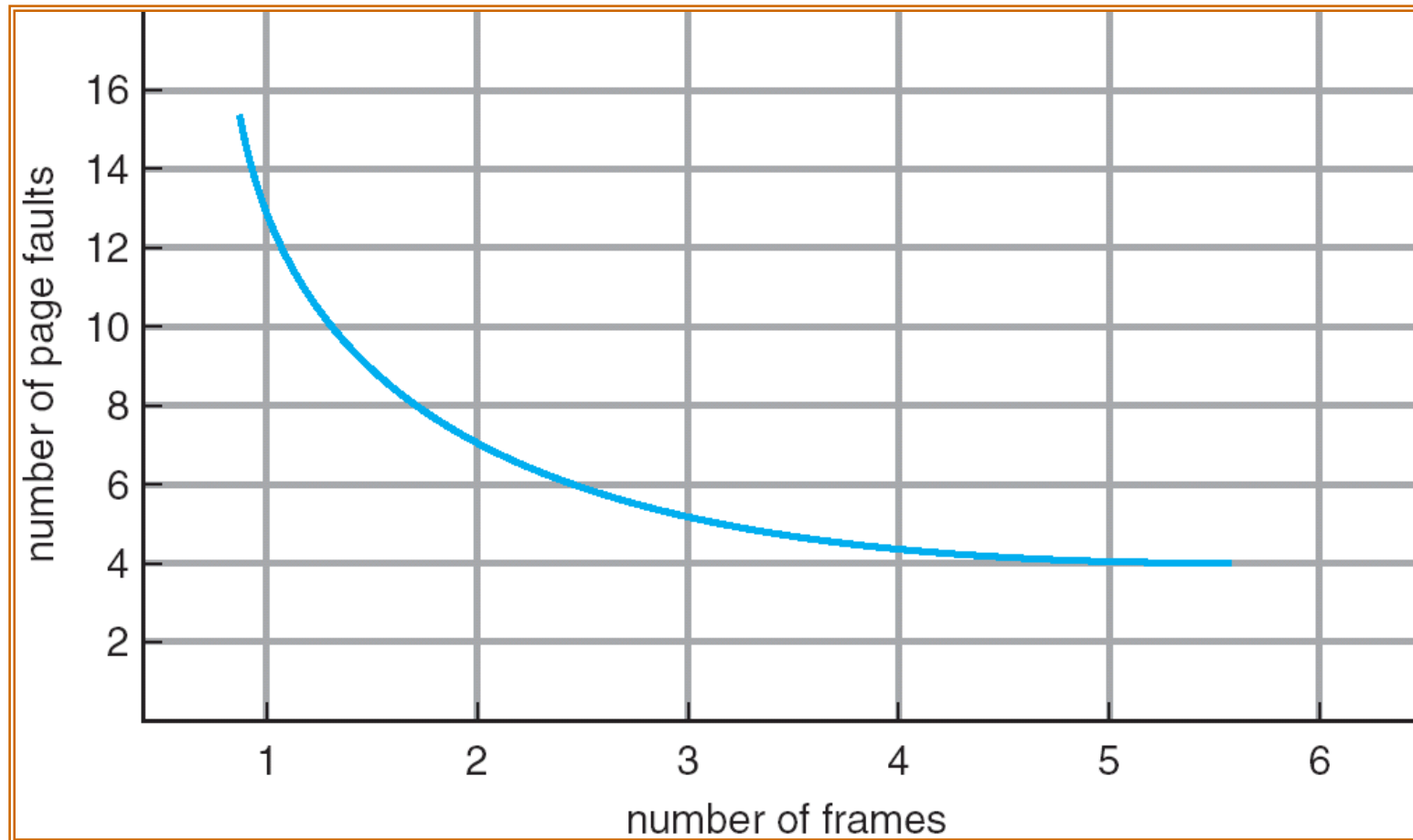
# *Basic Page Replacement*

1. Find the location of the desired page on disk
2. Find a free frame:
  - If there is a free frame, use it
  - If there is no free frame, use a page replacement algorithm to select a victim frame
3. Read the desired page into the (newly) free frame. Update the page and frame tables.
4. Restart the process

# Page Replacement



# *Page Fault versus No. of Frames*



# *FIFO – First In First Out*

- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5
- 3 frames (3 pages can be in memory at a time per process)

■ 4 frames	1	1	4	5	9 page faults
	2	2	1	3	
	3	3	2	4	

1	1	5	4	10 page faults
2	2	1	5	
3	3	2		
4	4	3		

- FIFO Replacement – Belady's Anomaly
  - more frames  $\Rightarrow$  more page faults

# *FIFO Page Replacement*

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

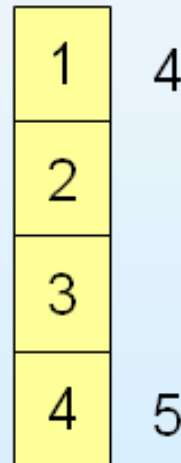
7	7	7	2		2	2	4	4	4	0			0	0			7	7	7
	0	0	0		3	3	3	2	2	2			1	1			1	0	0
		1	1		1	0	0	0	3	3			3	2			2	2	1

page frames

# Optimal Algorithm

- Replace page that will not be used for longest period of time
- 4 frames example

1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5



6 page faults

# Optimal Page Replacement

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

7	7	7	2		2		2			2		2					7
	0	0	0		0		4			0		0					0
		1	1		3		3			3		1					1

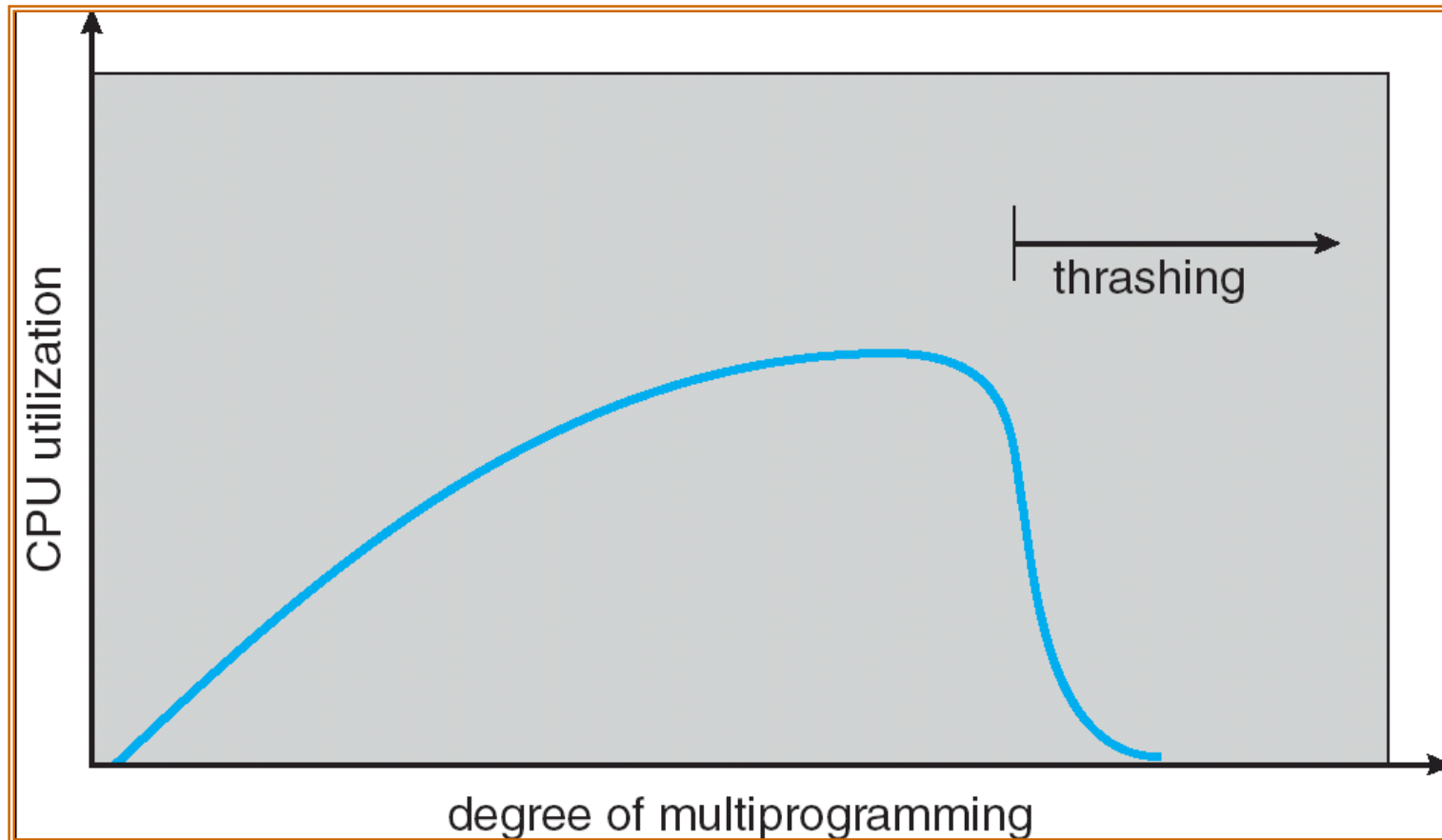
page frames

# Thrashing

- If a Process does not have “enough” pages, the Page-fault rate is very high. This leads to:
  - Low CPU Utilization
  - Operating System thinks that it needs to increase the degree of multiprogramming
  - Another Process added to the system
- Thrashing  $\equiv$  a process is busy swapping pages in and out



## *Thrashing (contd.)*



# *Least Recent Used Algorithm*

- Reference String: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

1	5	
2		
3	5	4
4	3	

## Counter Implementation

- Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
- When a page needs to be changed, look at the counters to determine which are to change

# LRU Page Replacement

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

7	7	7	2		2		4	4	4	0			1		1		1
	0	0	0		0		0	0	3	3			3		0		0
		1	1		3		3	2	2	2			2		2		7

page frames

# *LRU Algorithm (contd.)*

- *Stack Implementation* – Keep a Stack of page numbers in a double link form.
  - Page referenced:
    - Move it to the top
    - Requires 6 pointers to be changed
  - No search for replacement

## *Use of Stack to record the most recent Page Reference*

reference string

4 7 0 7 1 0 1 2 1 2 7 1 2



stack  
before  
a



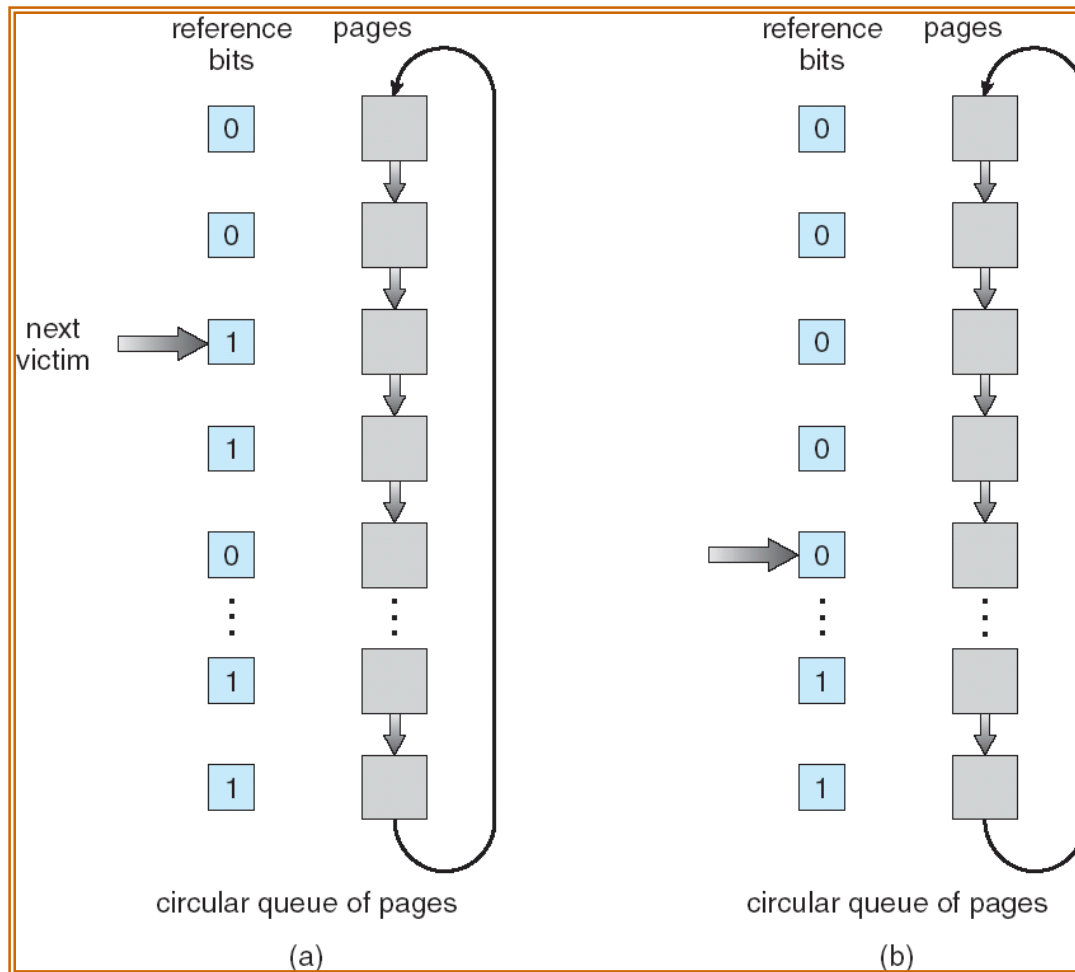
stack  
after  
b



# *LRU Approximation Algorithms*

- Reference bit
  - With each page associate a bit, initially = 0
  - When page is referenced bit set to 1
  - Replace the one which is 0 (if one exists). We do not know the order, however.
- Second chance
  - Need reference bit
  - Clock replacement
  - If page to be replaced (in clock order) has reference bit = 1 then:
    - set reference bit 0
    - leave page in memory
    - replace next page (in clock order), subject to same rules

# Second Chance (Clock) Page Replacement Algorithm



# Counting Algorithms

- Keep a counter of the number of references that have been made to each page.
- LFU Algorithm: Replaces Page with smallest count.
- MFU Algorithm: Based on the argument that the page with the smallest count was probably just brought in and has yet to be used



# Reference List

Operating Systems Concepts By Silberschatz & Galvin,  
*Operating systems By D M Dhamdhere,*  
*System Programming By John J Donovan,*

[www.os-book.com](http://www.os-book.com)

[www.cs.jhu.edu/~yairamir/cs418/os2/sld001.htm](http://www.cs.jhu.edu/~yairamir/cs418/os2/sld001.htm)

<http://gaia.ecs.csus.edu/~zhangd/oscal/pscheduling.html>

[http://www.edugrid.ac.in/iitmk/os/os\\_module03.htm](http://www.edugrid.ac.in/iitmk/os/os_module03.htm)

<http://williamstallings.com/OS/Animations.html>

*etc...*



*Thnx...*