

# **Balancing environment and economy in an agricultural basin: Moving beyond scenarios with multi-objective optimization**

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Multi-objective optimization seeks optimal solutions that balance competing objectives, using a model, satisfying strict constraints.

Formal optimization can find efficiencies missed by discrete scenarios.

# Project Background

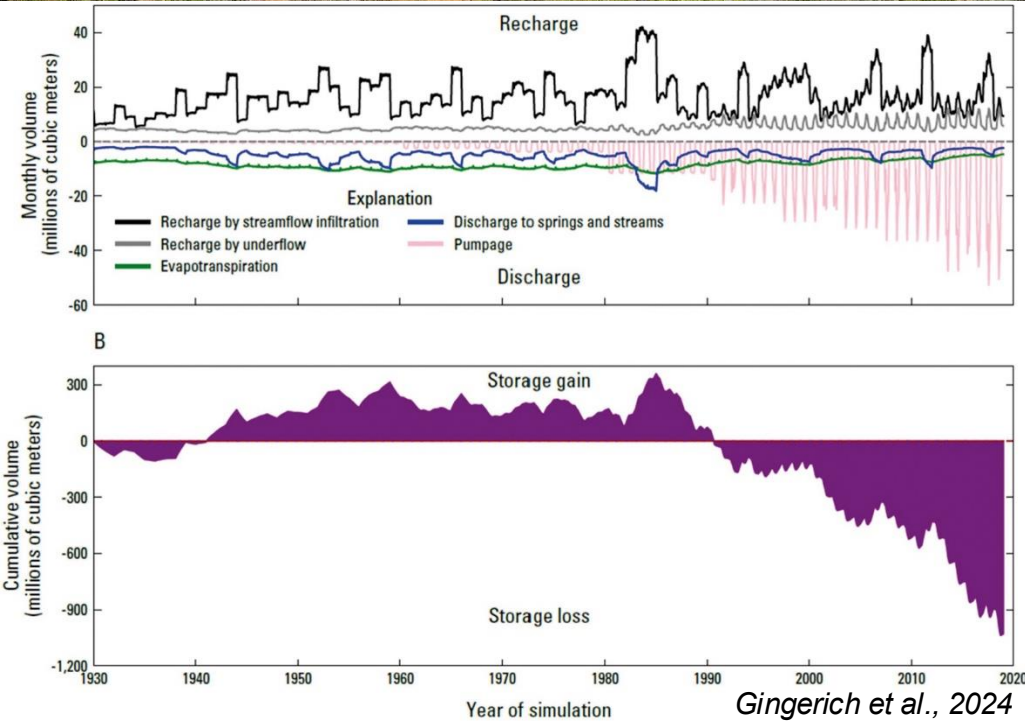


Harney Basin, southeastern Oregon

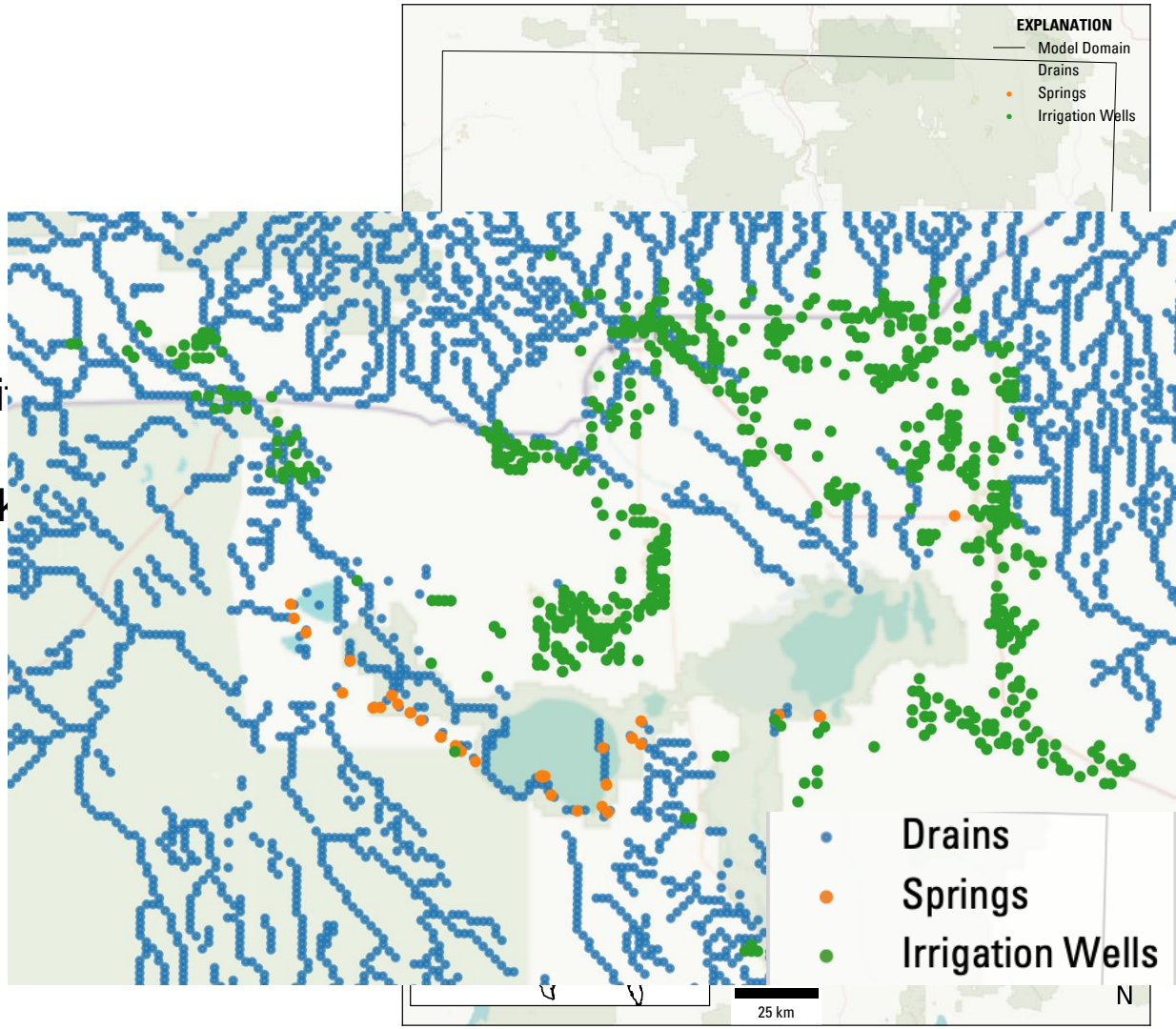
Primary crop: alfalfa

Permitted pumping for irrigation started increasing in 1990's, leading to water level/storage declines

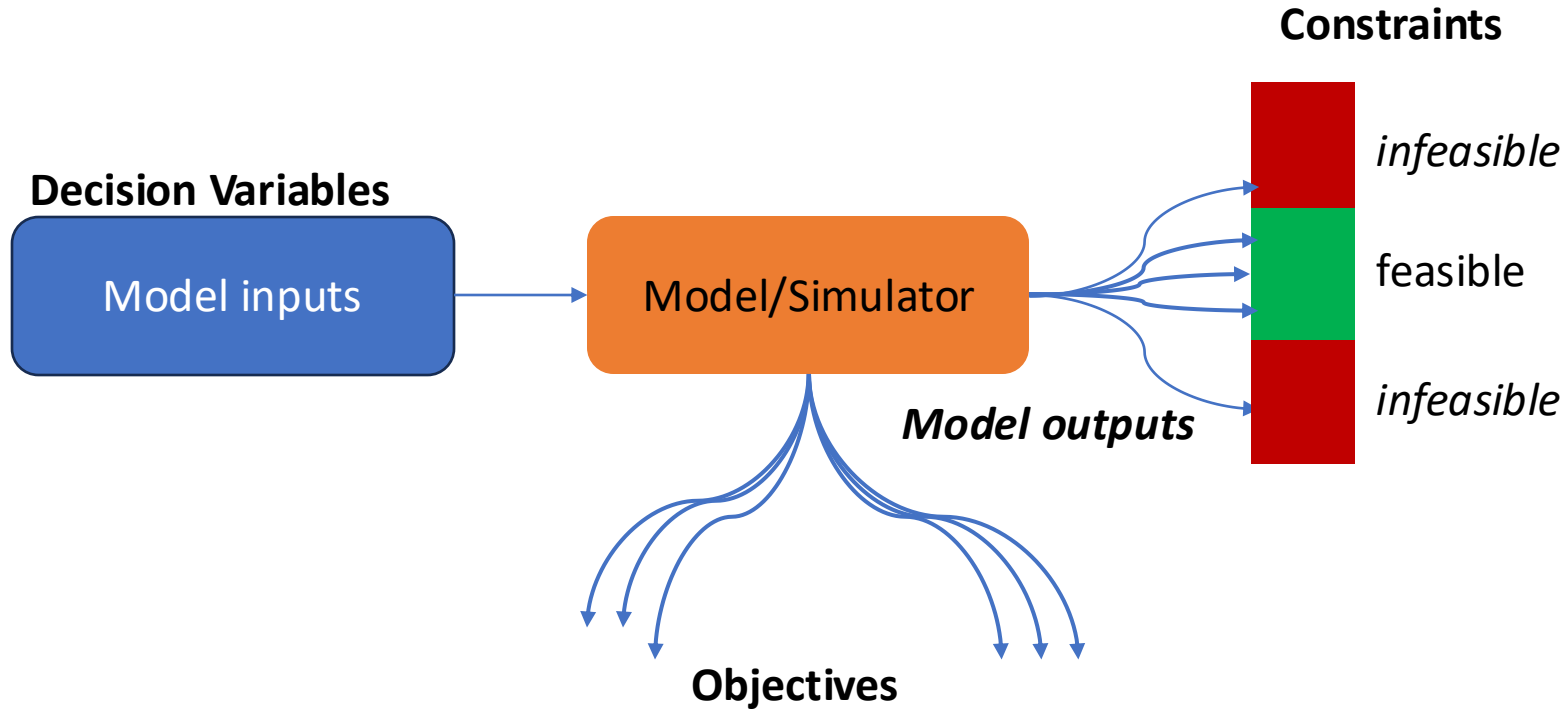
Agricultural economy is at odds with spring flow, streamflow, and habitat



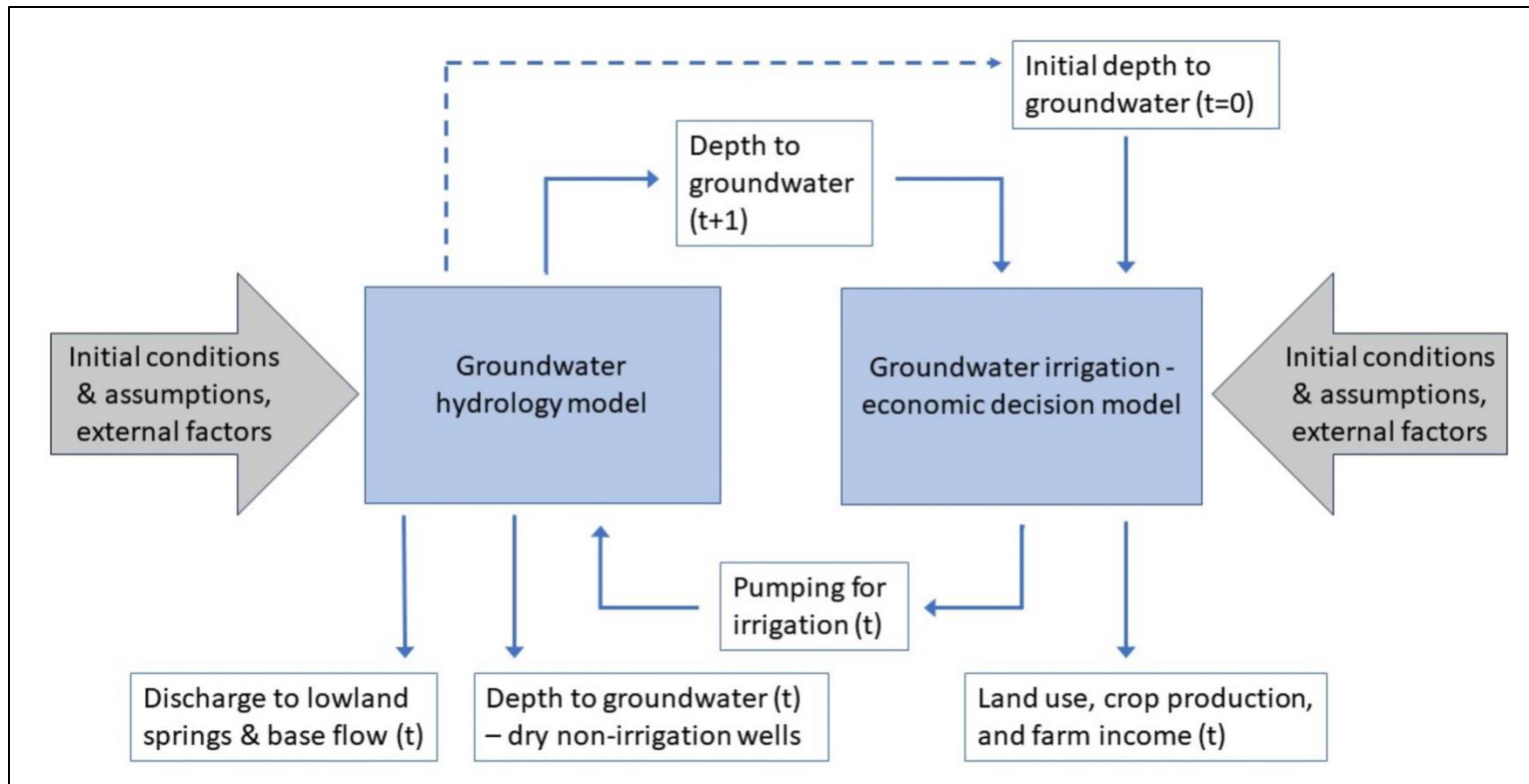
- Basin closed to new permits
- Pumping curtailment will likely be necessary



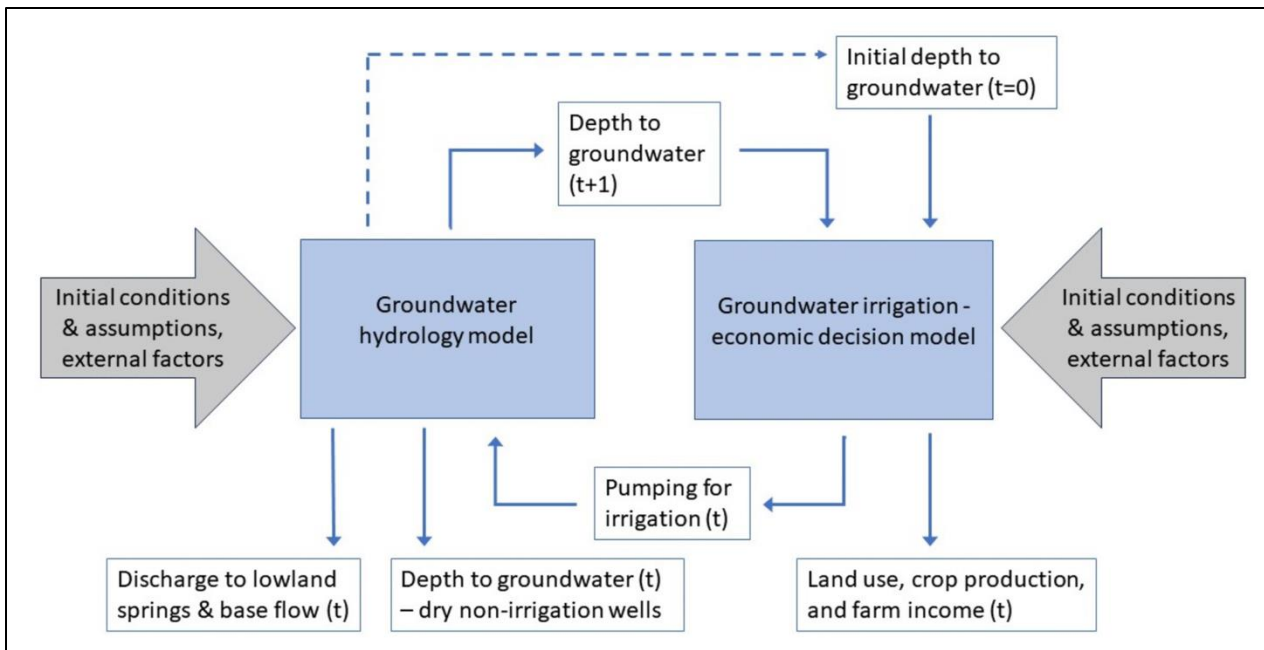
# Show me how to optimize



# Hydro-economic Model



# Decision Variables



Regulatory cap per well group limiting total pumping –*fun fact, model can self-limit*



# Competing Objectives

1. Cumulative profit (profit summed across all fields and over 30-year predictive period)
2. Cumulative spring flow (flow summed across all key spring groups and over 30-year predictive period)

MAXIMIZE  
Agricultural  
Profit

vs.

MAXIMIZE  
Flow in springs

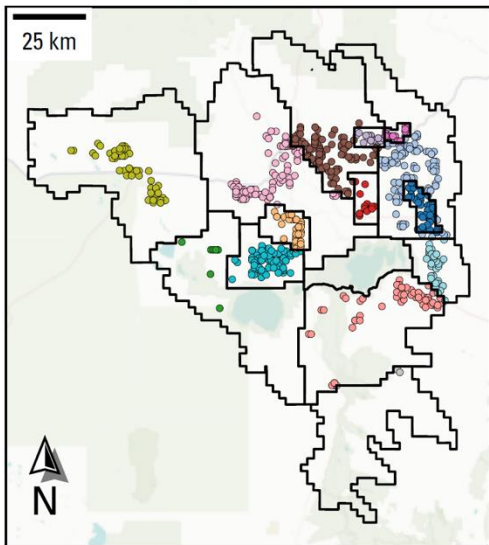


# Constraints

1. Spring flows cannot fall below 25% of their initial values
  2. Stream base flows cannot fall below 25% of their initial values
  3. Management area pumping rates cannot fall below 50% of their initial values
- Undesirable conditions
- Embeds fairness into optimization algorithm

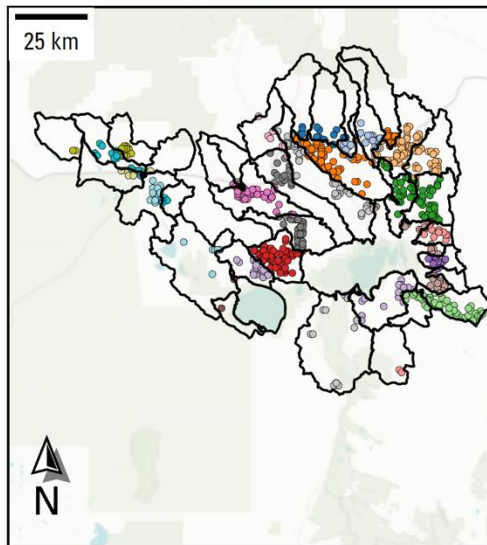
# Base Scenarios

Mgmt Scenario



14 areas

HUC12 Scenario

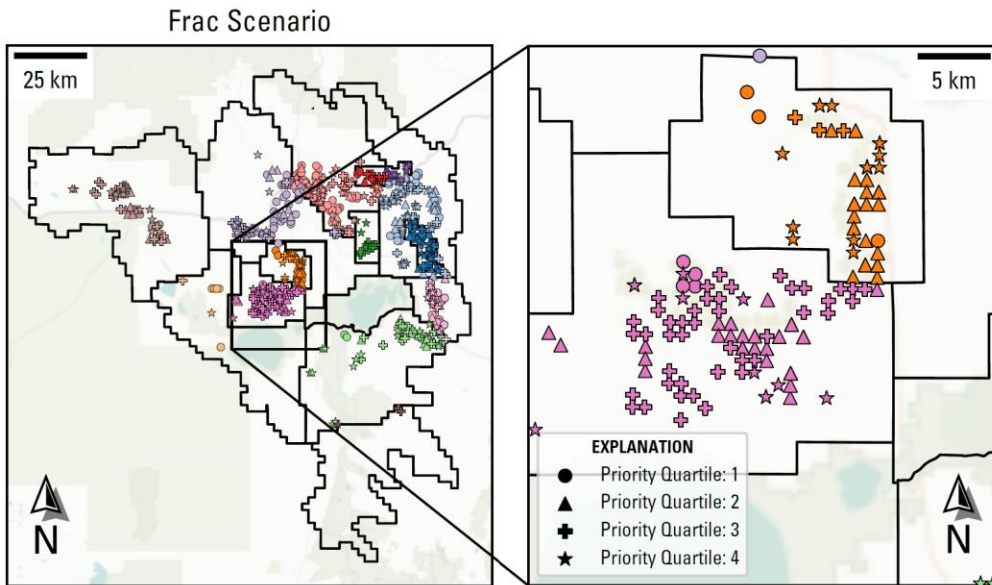


38 areas

Decision variables were set by management areas.

Wells within each area:  
Sorted by priority date.  
Most junior is cut to zero until  
the curtailment volume for a  
given year is achieved.

# Relaxing Prior Appropriations

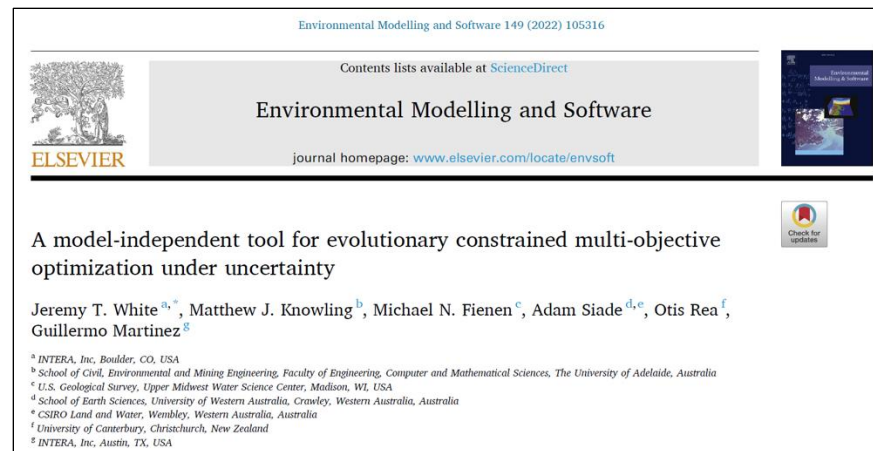


Pumping curtailed in a management area by fractionally reducing wells according to their priority date quartile

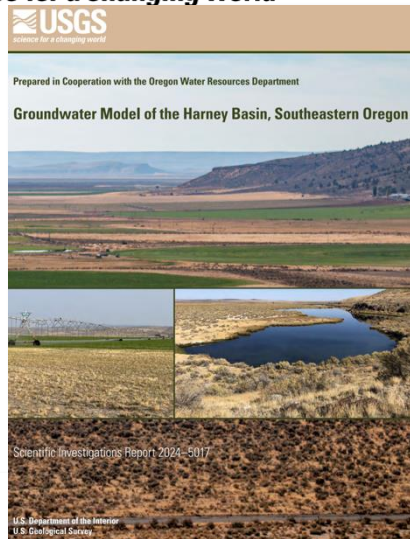
Lower bound for fraction set by quartile, 0.6 for most senior, down to 0 for most junior

# Optimization Algorithm and Tools

- PEST++ Multi-objective Optimization under Uncertainty (MOU)
- Environmental selector: Non-dominated sorting genetic algorithm (NSGA-II)
- Population generator: particle swarm optimization (PSO)
- Reproducible in python with FloPy, pyEMU, and git



# Off-the-Couch Model Files



## Water Resources Research<sup>1</sup>

RESEARCH ARTICLE  
10.1029/2023WR036972

### Key Points:

- A hydro-economic groundwater model (HEM) of the Harney Basin shows that HEMs can improve understanding and advance successful management
- Modeled scenarios reveal, for example, that proposed technology or quality-targeted solutions do little to stabilize groundwater levels
- HEM studies can alter the way researchers understand a system, bringing focus toward key factors or even changing the key research question

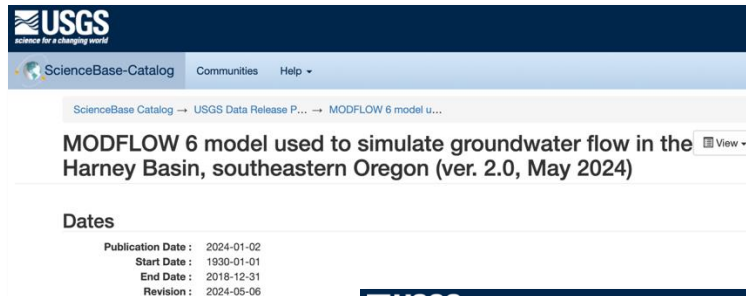
## Advancing Sustainable Groundwater Management With a Hydro-Economic System Model: Investigations in the Harney Basin, Oregon

W. K. Jaeger<sup>1</sup>, J. Antle<sup>1</sup>, S. B. Gingerich<sup>2</sup>, and D. Bigelow<sup>1</sup>

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**Abstract** Groundwater resources frequently trend toward unsustainable levels because, absent effective institutions, individual water users generally act independently without considering the impacts on other users. Hydro-economic models (HEMs) of human-natural systems can play a positive role toward successful groundwater management by yielding valuable knowledge and insight. The current study explores how an HEM that captures essential physical and economic characteristics of a system can shed light on the system's processes

U.S. Department of the Interior  
U.S. Geological Survey



### Citation

Gingerich, S.B., 2024, MODFLOW 6 model used to simulate g... Geological Survey data release, <https://doi.org/10.5066/9P0E>

### Summary

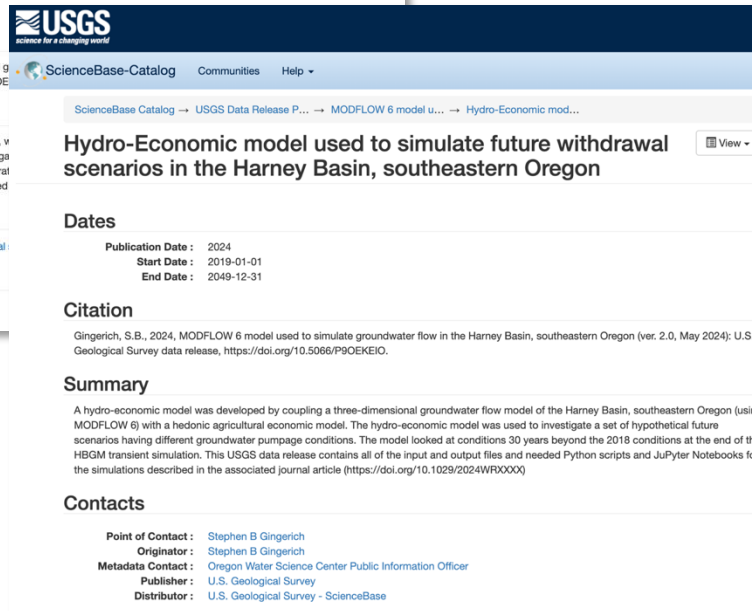
A three-dimensional groundwater flow model, MODFLOW 6, v Basin, southeastern Oregon. The model was used to investigate anthropogenic groundwater demands. The model was calibrated and output files for the simulation described in the associated

### Child Items (1)

Hydro-Economic model used to simulate future withdrawal

### Contacts

Point of Contact : Stephen B. Gingerich  
Originator : Stephen B. Gingerich

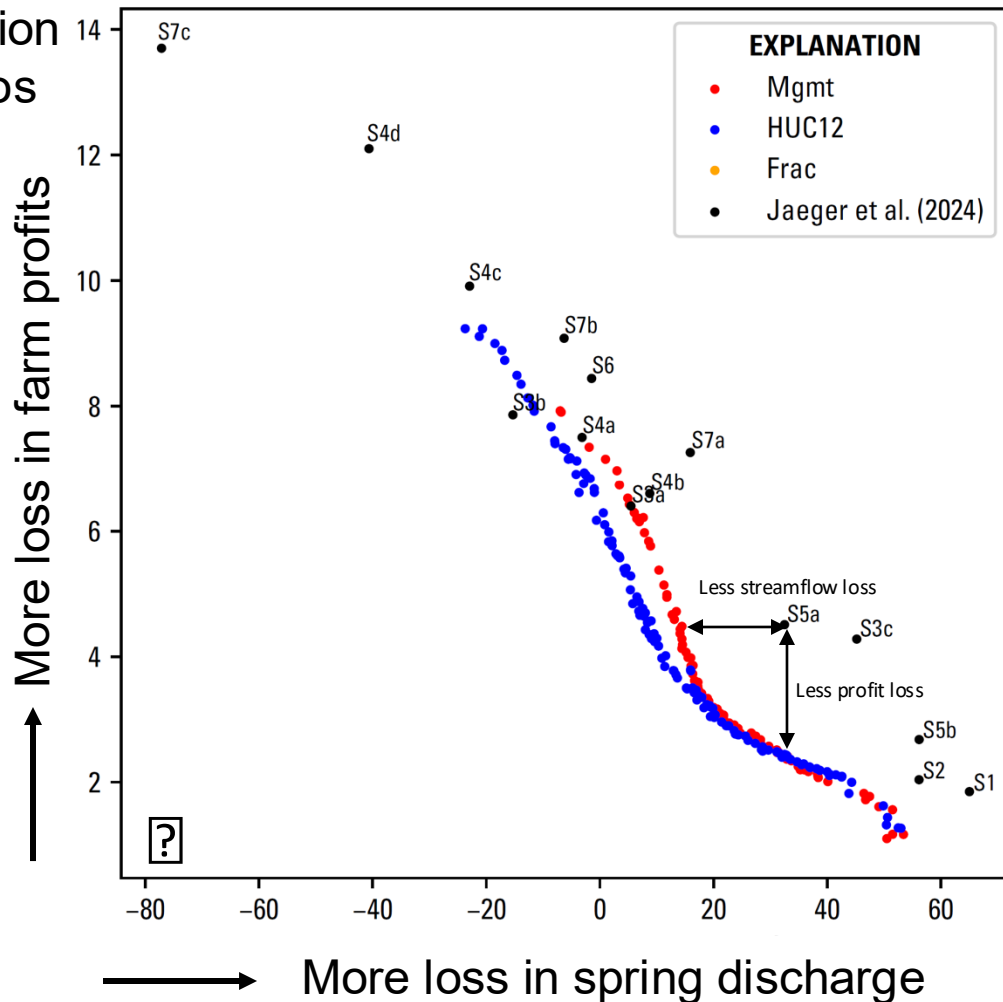


Pareto curve: set of optimal solutions that satisfy environmental and water rights constraints

**38 management area scenario**  
performs a bit better than

**14 management area scenario**

**More efficient than non-optimized scenarios!**



# Relaxed Prior appropriation Optimization Scenarios

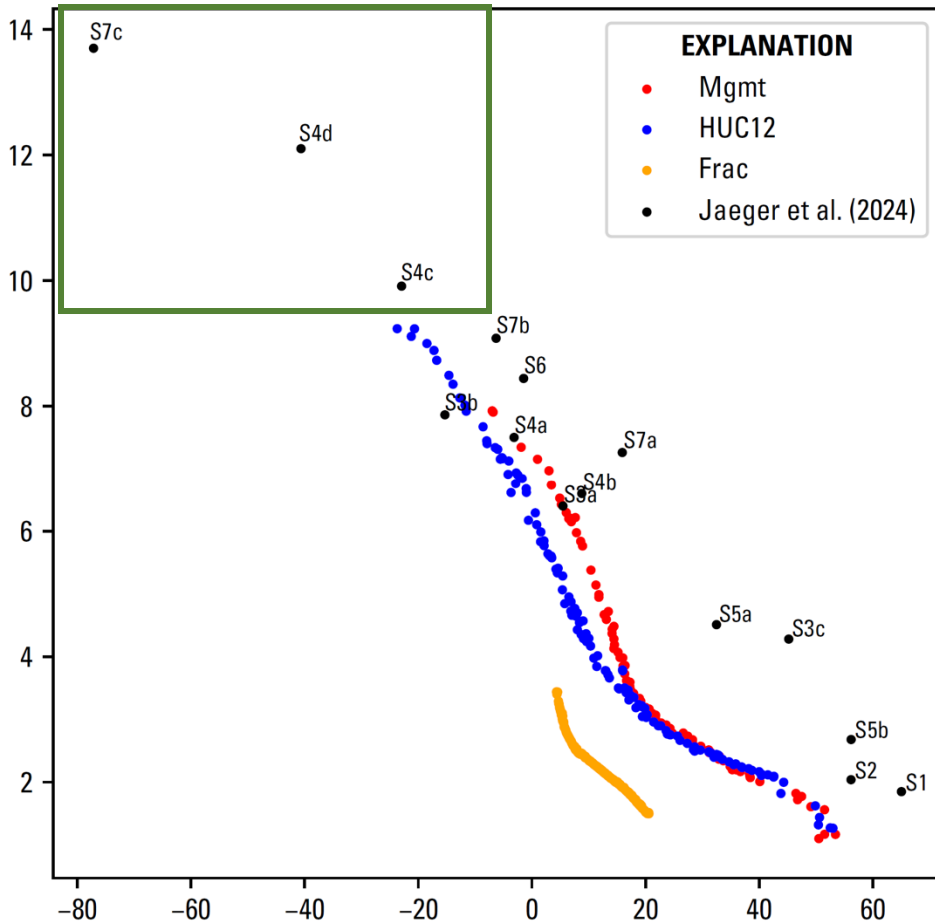
Most efficient allocation of  
water by far

Scenarios explore a wider range of  
pumping curtailment options

In this case, kind of unrealistic

Could be brought into the optimization

More loss in farm profits



More loss in spring discharge



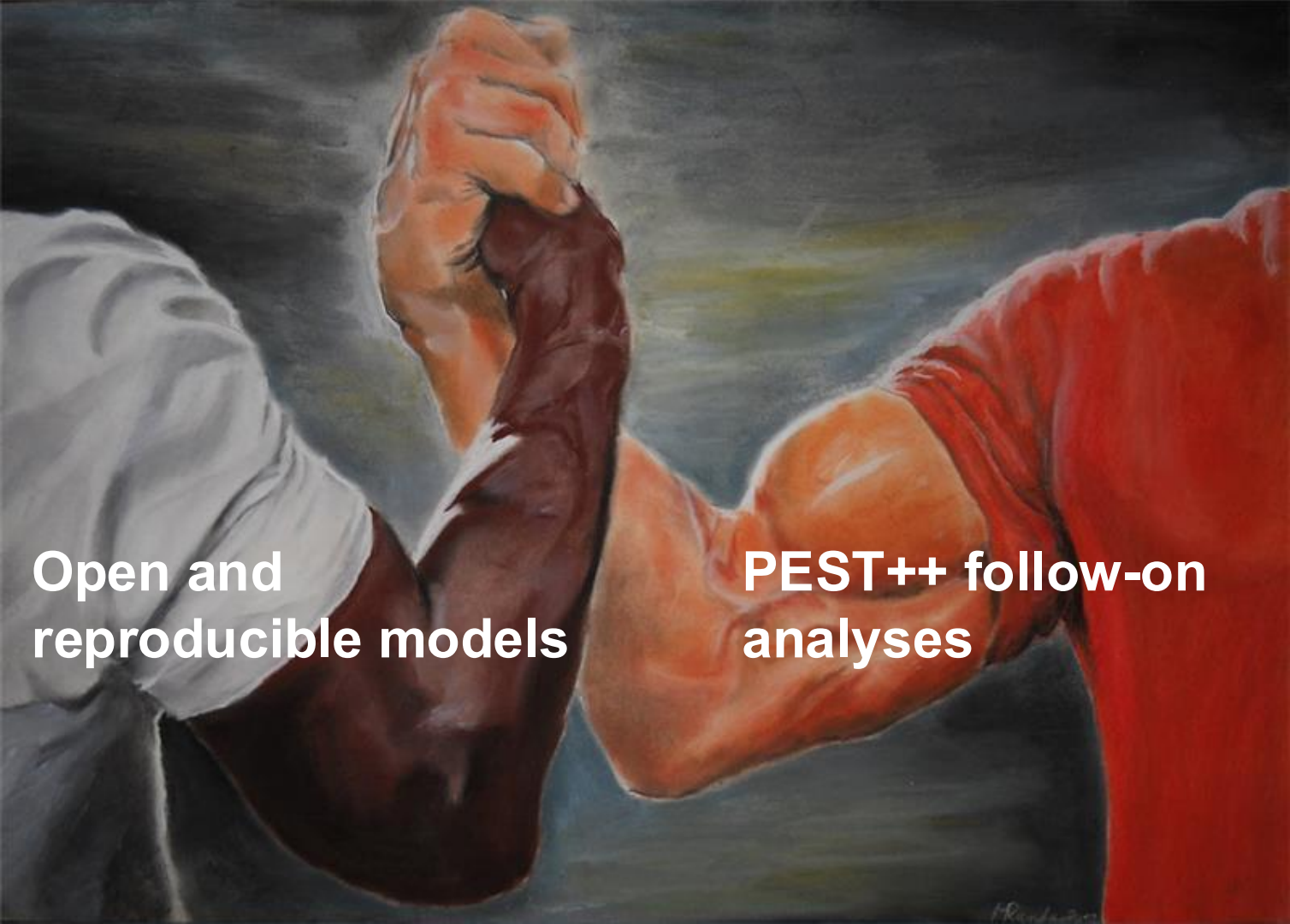
# Conclusions

Multi-objective optimization found optimal solutions (despite water rights constraints) that were more efficient than scenario-testing results

More management areas led to a little more efficient solution.  
A more sophisticated clustering approach may yield better results.

Least water-rights restrictive scenario was most efficient, but least realistic given prior appropriation law.

Could be used to target non-regulatory interventions?



**Open and  
reproducible models**

**PEST++ follow-on  
analyses**