Digital Signal Processing

Class 24 04/17/2025

ENGR 71

- Class Overview
 - Wrapup FIR Filter Design
 - Review solution to problem 10.1
 - Digital Filter Design
 - IIR filters
- Assignments
 - Reading:
 - Chapter 10: Design of Digital Filters
 - https://www.mathworks.com/help/signal/ug/fir-filter-design.html
 - Problems: 10.2, 10.3,10.6
 - Due April 20 (Sunday)Lab 3: "Fun with Filters"
 - Due May 4 (Sunday)

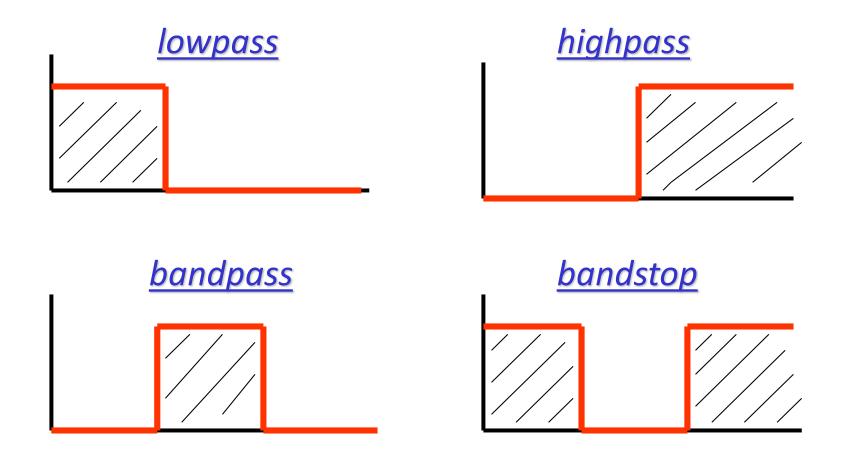
Project

Projects

- You can work in groups if you wish
- Presentation at time reserved for final exam
 - Friday, May 9, 7:00-10:00 PM
 - Science Center 264
- Submit a brief description of your proposed project and who you will be working with to the Project Description Dropbox
- Submit slides from presentation to Project Dropbox
- Submit written report to Project Dropbox by end of semester (May 15)

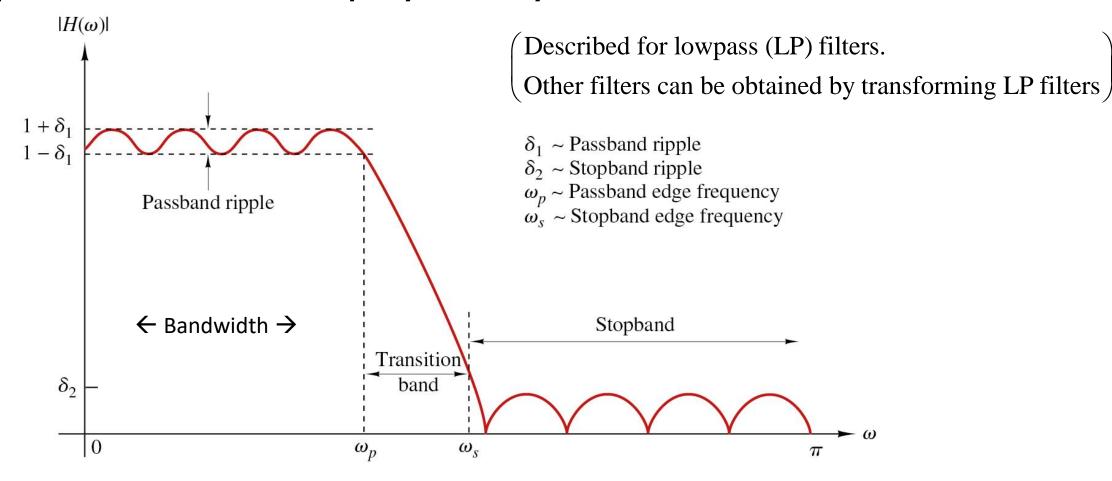
Filters

Design of Digital Filters



Filter Design

Specifications for physically realizable filters:



Filters

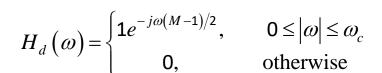
- Design of Digital Filters
 - Finite Impulse Response (FIR)
 - Used when linear-phase required in passband
 - Constant time delay
 - No dispersion as a function of frequency
 - Three methods discussed
 - Windows, Frequency sampling, Iterative method for optimum equiripple filters
 - Infinite Impulse Response (IIR)
 - No requirement on linear-phase
 - Better characteristics for fewer parameters
 - Less memory
 - Lower computational complexity

FIR Filter Design

- Finite Impulse Response (FIR) Filters Wrap Up
 - We have only talked about low-pass filters
 - How do you construct high-pass, band-pass, and band-stop filters with the windowing method?
 - The approach is the same as for the low pass
 - You define the ideal transfer function $H_d(\omega)$
 - With linear phase based on filter length built in
 - Find the ideal impulse response, $h_d(n)$, using the inverse DTFT
 - Window the result to get the final impulse response: $h(n)=w(n)h_d(n)$
 - To find the transfer function for the modified impulse response, take the forward DTFT to get $H(\omega)$

FIR Filter Design - Lowpass

Lowpass





$$h_{d}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} H_{d}(\omega) e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{-\omega_{c}}^{\omega_{c}} 1 e^{-j\omega(M-1)/2} e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{-\omega_{c}}^{\omega_{c}} 1 e^{j\omega\left[n-(M-1)/2\right]} d\omega$$

$$= \frac{1}{2\pi} \frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]} \Big|_{-\omega}^{\omega_{c}} = \frac{2}{2\pi} \left[\frac{e^{j\omega_{c}\left[n-(M-1)/2\right]} - e^{-j\omega_{c}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]} \right]$$

$$h_d(n) = \frac{1}{\pi} \frac{\sin\left[\omega_c \left(n - \left(M - 1\right)/2\right)\right]}{\left\lceil n - \left(M - 1\right)/2\right\rceil} = \frac{\omega_c}{\pi} \operatorname{sinc}\left[\omega_c \left(n - \left(M - 1\right)/2\right)\right]$$

(unnormalized sinc function)

Filter Design - Highpass

• Highpass
$$H_d(\omega) = \begin{cases} 1e^{-j\omega(M-1)/2}, & \omega_c \leq |\omega| \leq \pi \\ 0, & \text{otherwise} \end{cases}$$



$$h_{d}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} H_{d}(\omega) e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{-\pi}^{-\omega_{c}} 1 e^{-j\omega(M-1)/2} e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{\omega_{c}}^{\pi} 1 e^{j\omega\left[n-(M-1)/2\right]} d\omega$$

$$=\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{-\pi}^{-\omega_{c}}+\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{\omega_{c}}^{\pi}=\frac{2}{2\pi}\left[\frac{e^{-j\omega_{c}\left[n-(M-1)/2\right]}-e^{-j\pi\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\right]+\frac{2}{2\pi}\left[\frac{e^{j\pi\left[n-(M-1)/2\right]}-e^{j\omega_{c}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\right]$$

$$= \frac{2}{2\pi} \left[\frac{e^{j\pi \left[n - (M-1)/2\right]} - e^{j\pi \left[n - (M-1)/2\right]}}{2j \left[n - (M-1)/2\right]} \right] - \frac{2}{2\pi} \left[\frac{e^{j\omega_c \left[n - (M-1)/2\right]} - e^{-j\omega_c \left[n - (M-1)/2\right]}}{2j \left[n - (M-1)/2\right]} \right]$$

integer

$$h_d(n) == \left\lceil \frac{\sin\left[\pi\left(n - (M-1)/2\right)\right]}{\pi\left[n - (M-1)/2\right]} \right\rceil - \frac{\omega_c}{\pi} \left\lceil \frac{\sin\left[\omega_c\left(n - (M-1)/2\right)\right]}{\left[n - (M-1)/2\right]} \right\rceil = \operatorname{sinc}\left[\pi\left(n - (M-1)/2\right)\right] - \frac{\omega_c}{\pi} \operatorname{sinc}\left[\omega_c\left(n - (M-1)/2\right)\right]$$

$$h_d(n) = \delta \left[n - (M - 1)/2 \right] - \operatorname{sinc} \left[\omega_c \left(n - (M - 1)/2 \right) \right] \quad \text{since } \sin(k)/(k) = 0 \text{ except when } k = 0, \text{ in which case it is } 1.$$

Filter Design - Bandpass

$$\textbf{Bandpass} \\ H_d\left(\omega\right) = \begin{cases} 0, & \text{for } 0 \leq \left|\omega\right| \leq \omega_1 \\ 1e^{-j\omega(M-1)/2}, & \text{for } \omega_1 < \left|\omega\right| < \omega_2 \\ 0, & \text{for } \omega_2 \leq \left|\omega\right| \leq \pi \end{cases}$$



$$h_{d}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} H_{d}(\omega) e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{-\omega_{2}}^{-\omega_{1}} 1 e^{-j\omega(M-1)/2} e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{\omega_{1}}^{\omega_{2}} 1 e^{j\omega\left[n-(M-1)/2\right]} d\omega$$

$$=\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{-\omega_{2}}^{-\omega_{1}}+\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{\omega_{1}}^{\omega_{2}}=\frac{2}{2\pi}\Bigg[\frac{e^{-j\omega_{1}\left[n-(M-1)/2\right]}-e^{-j\omega_{2}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\Bigg]+\frac{2}{2\pi}\Bigg[\frac{e^{j\omega_{2}\left[n-(M-1)/2\right]}-e^{j\omega_{1}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\Bigg]$$

$$=\frac{2}{2\pi}\left[\frac{e^{j\omega_{2}\left[n-(M-1)/2\right]}-e^{j\omega_{2}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\right]-\frac{2}{2\pi}\left[\frac{e^{j\omega_{1}\left[n-(M-1)/2\right]}-e^{-j\omega_{1}\left[n-(M-1)/2\right]}}{2j\left[n-(M-1)/2\right]}\right]$$

$$h_d(n) = \frac{\omega_2}{\pi} \frac{\sin\left[\omega_2\left(n - (M-1)/2\right)\right]}{\left[n - (M-1)/2\right]} - \frac{\omega_1}{\pi} \frac{\sin\left[\omega_1\left(n - (M-1)/2\right)\right]}{\omega_1\left[n - (M-1)/2\right]}$$

$$h_d(n) = \frac{\omega_2}{\pi} \operatorname{sinc} \left[\omega_2 \left(n - \left(M - 1 \right) / 2 \right) \right] - \frac{\omega_1}{\pi} \operatorname{sinc} \left[\omega_1 \left(n - \left(M - 1 \right) / 2 \right) \right]$$

Filter Design - Stopband

• Bandstop
$$H_{d}(\omega) = \begin{cases} 1e^{-j\omega(M-1)/2}, & \text{for } 0 \leq |\omega| \leq \omega_{1} \\ 0, & \text{for } \omega_{1} < |\omega| < \omega_{2} \\ 1e^{-j\omega(M-1)/2}, & \text{for } \omega_{2} \leq |\omega| \leq \pi \end{cases}$$



$$h_{d}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} H_{d}(\omega) e^{j\omega n} d\omega = \frac{1}{2\pi} \int_{-\pi}^{-\omega_{2}} 1 e^{j\omega \left[n - (M-1)/2\right]} d\omega + \frac{1}{2\pi} \int_{-\omega_{1}}^{\omega_{1}} 1 e^{-j\omega (M-1)/2} e^{j\omega n} d\omega + \frac{1}{2\pi} \int_{\omega_{2}}^{\pi} 1 e^{j\omega \left[n - (M-1)/2\right]} d\omega$$

$$=\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{-\pi}^{-\omega_{2}}+\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{-\omega_{1}}^{\omega_{1}}+\frac{1}{2\pi}\frac{e^{j\omega\left[n-(M-1)/2\right]}}{j\left[n-(M-1)/2\right]}\bigg|_{\omega_{2}}^{\pi}$$

$$h_d(n) = \frac{\sin\left[\pi\left(n - (M-1)/2\right)\right]}{\pi\left[n - (M-1)/2\right]} - \frac{\omega_2}{\pi} \frac{\sin\left[\omega_2\left(n - (M-1)/2\right)\right]}{\left[n - (M-1)/2\right]} + \frac{\omega_1}{\pi} \frac{\sin\left[\omega_1\left(n - (M-1)/2\right)\right]}{\omega_1\left[n - (M-1)/2\right]}$$

$$\left| h_d(n) = \delta \left[n - \left(M - 1 \right) / 2 \right] - \left\{ \frac{\omega_2}{\pi} \operatorname{sinc} \left[\omega_2 \left(n - \left(M - 1 \right) / 2 \right) \right] - \frac{\omega_1}{\pi} \operatorname{sinc} \left[\omega_1 \left(n - \left(M - 1 \right) / 2 \right) \right] \right\} \right|$$

FIR Filter Design

Notice the relationship between
 lowpass & highpass and bandpass & stopband filters

```
Lowpass: h_d^{LP}(n)

Highpass: h_d^{HP}(n) = \delta \left[ n - \left( M - 1 \right) / 2 \right] - h_d^{LP}(n);

Bandpass: h_d^{BP}(n)

Stopband: \delta \left[ n - \left( M - 1 \right) / 2 \right] - h_d^{BP}(n);
```

- This is assuming you have a delay of (M-1)/2
 - With no delay it would be:

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Lowpass: h_d^{LP}(n)

Highpass: h_d^{HP}(n) = \delta(n) - h_d^{LP}(n);

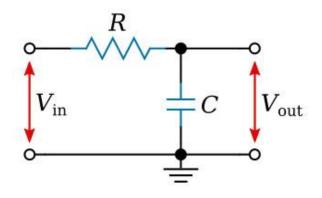
Bandpass: h_d^{BP}(n)

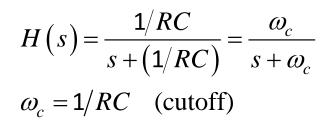
Stopband: \delta(n) - h_d^{BP}(n);
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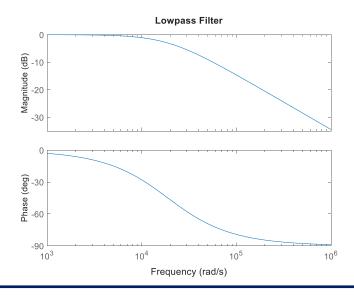
- Infinite Impulse Response Filters
 - Advantages
 - Usually require fewer coefficients to get similar response
 - Work faster
 - A consideration for hardware implementations
 - Require less memory
 - Again, probably on a consideration for hardware or firmware
 - Disadvantages
 - Nonlinear phase
 - Different frequency components have different delays
 - Causes distortion of signal's waveform shape

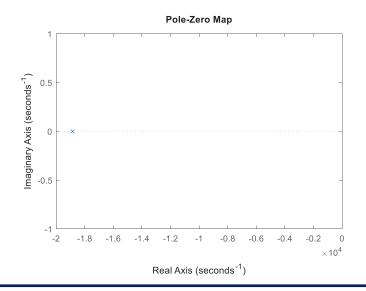
- Methods for designing IIR filters
 - Start with analog filter and convert to a digital filter
 - Specified in terms of H(s), transfer function in Laplace domain
 - In Laplace domain, derivatives become powers of s
 - Three methods
 - Approximation of derivatives in analog filter description
 - Impulse invariance
 - Involves sampling the continuous impulse response
 - Bilinear transformation

- Some simple analog filters
 - Lowpass

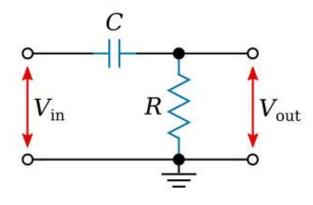


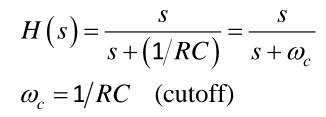


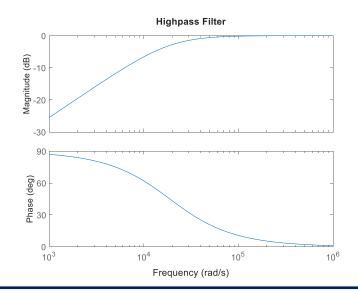


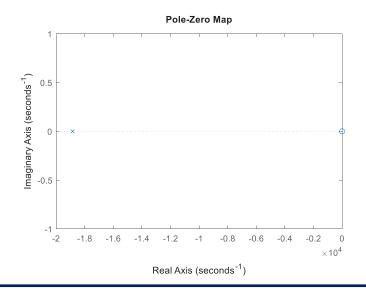


- Some simple analog filters
 - Highpass

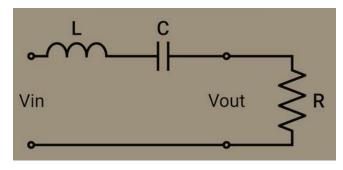


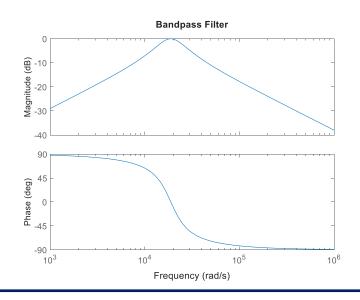






- Some simple analog filters
 - Bandpass:

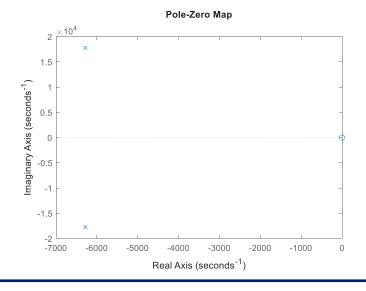




$$H(s) = \frac{(R/L)s}{s^2 + (R/L)s + (1/LC)} = \frac{\beta s}{s^2 + \beta s + \omega_0^2}$$

$$\omega_0 = \sqrt{1/LC} \quad \text{(center frequency)}$$

$$\beta = R/L \quad \text{(bandwidth)}$$



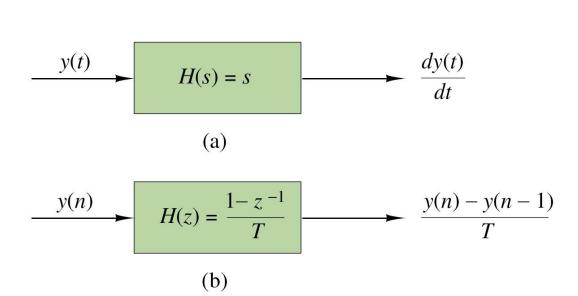
- Approximation of derivatives in analog filter
 - Convert Laplace domain system transfer function into discrete by first-order approximation of derivative

$$\frac{dy(t)}{dt} \approx \frac{y(nT) - y(nT - T)}{T} \to \frac{y(n) - y(n - 1)}{T}$$

$$\mathcal{Z}\left[\frac{y(n)-y(n-1)}{T}\right] = \frac{1-z^{-1}}{T}Y(z)$$

Replace *s* in analog transfer function with:

$$s \to \frac{1 - z^{-1}}{T}$$



Second order derivative is:

$$\frac{d^2y(t)}{dt^2} \approx \frac{\left[y(nT) - y(nT - T)\right]/T - \left[y(nT - T) - y(nT - 2T)\right]/T}{T} \rightarrow \frac{y(n) - 2y(n-1) + y(n-2)}{T}$$

$$\mathcal{Z}\left[\frac{y(n)-2y(n-1)+y(n-2)}{T^2}\right] = \frac{1-2z^{-1}-z^{-2}}{T^2}Y(z) = \left(\frac{1-z^{-1}}{T}\right)^2Y(z)$$

Replace s^2 in analog transfer function with:

$$s^2 \to \left(\frac{1-z^{-1}}{T}\right)^2$$

In general

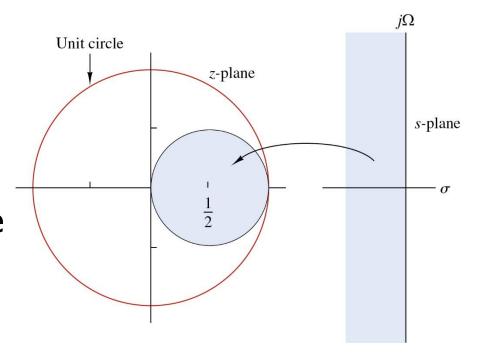
Replace s^k in analog transfer function with:

$$s^{k} \to \left(\frac{1-z^{-1}}{T}\right)^{k} \qquad \text{so} \qquad H(z) = H_{a}(s)\big|_{s=\left(1-z^{-1}\right)/T}$$

- Approximation of derivatives in analog filter
 - Transformation:

$$s = \frac{1 - z^{-1}}{T}$$
 ; $z = \frac{1}{1 - sT}$

- Maps negative half-plane of s into radius $\frac{1}{2}$ circle centered at $z = \frac{1}{2}$



Example for lowpass filter

$$H(s) = \frac{1/RC}{s + (1/RC)} = \frac{\omega_c}{s + \omega_c}$$

$$H(z) = \frac{\omega_c}{\left(1 + z^{-1}\right)/T + \omega_c} = \frac{\omega_c T}{1 + z^{-1} + \omega_c T}$$

$$H(z) = \frac{\omega_c T z}{(1 + \omega_c T) z + 1}$$

Set $f_s = 200000 \text{ Hz} \Rightarrow f_{NY} = 100000 \text{ Hz}$

(This will be 1 on the normalized frequency scale in freqz)

Set the cut-off frequency to 3000 Hz = 18850 rad/s

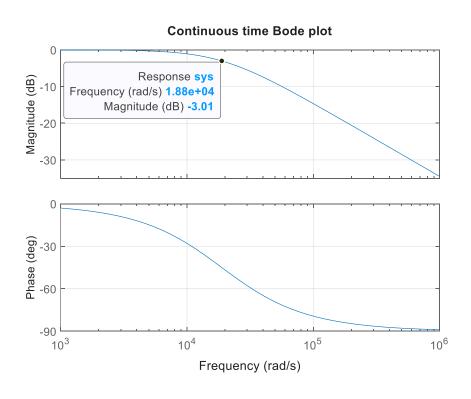
3000 is 0.03 of Nyquest, so the -3db point should be at normalized frequency 0.03

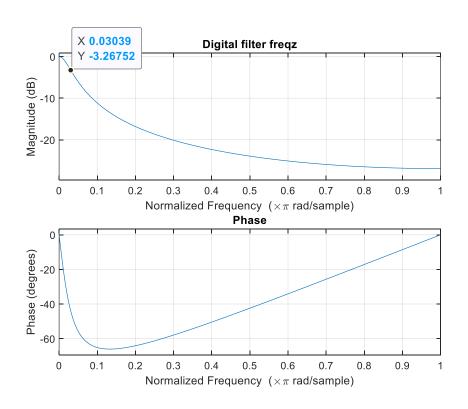
$$H(s) = \frac{1/RC}{s + (1/RC)} = \frac{\omega_c}{s + \omega_c}$$

$$H(z) = \frac{\omega_c}{\left(1 + z^{-1}\right)/T + \omega_c} = \frac{\omega_c T}{1 + z^{-1} + \omega_c T}$$

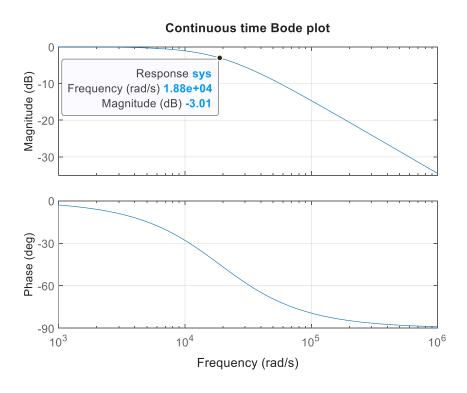
$$H(z) = \frac{\omega_c T z}{(1 + \omega_c T) z + 1}$$

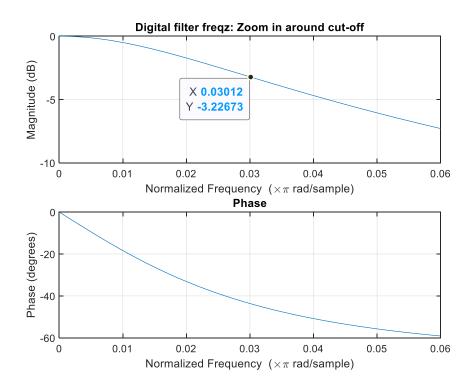
Example for lowpass filter



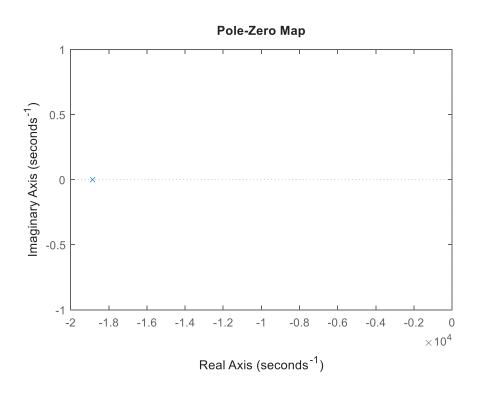


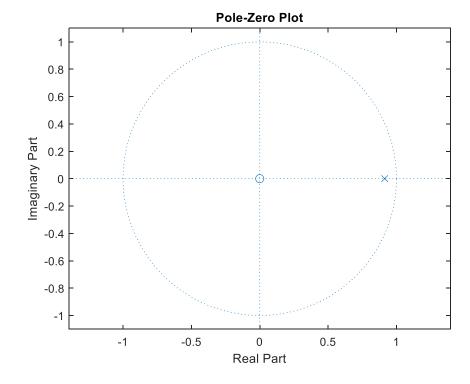
Example for lowpass filter





Example for lowpass filter





Example for highpass filter

$$H(s) = \frac{s}{s + (1/RC)} = \frac{s}{s + \omega_c}$$

$$H(z) = \frac{(1+z^{-1})/T}{(1+z^{-1})/T + \omega_c} = \frac{1+z^{-1}}{1+z^{-1} + \omega_c T}$$

$$H(z) = \frac{z+1}{(1+\omega_c T)z+1}$$

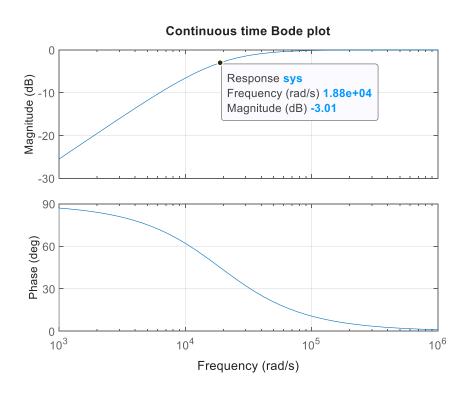
Set $f_s = 200000 \text{ Hz} \Rightarrow f_{NY} = 100000 \text{ Hz}$

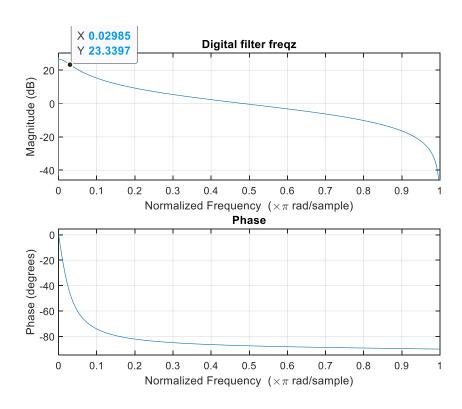
(This will be 1 on the normalized frequency scale in freqz)

Set the cut-off frequency to 3000 Hz = 18850 rad/s

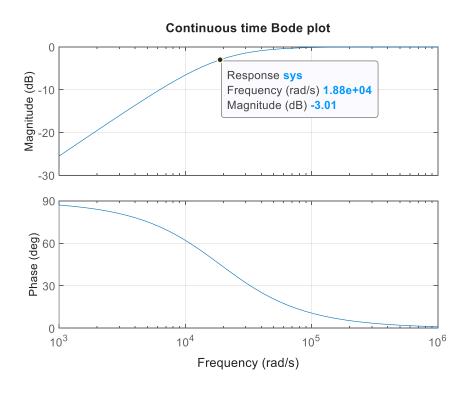
3000 is 0.03 of Nyquest, so the -3db point should be at normalized frequency 0.03

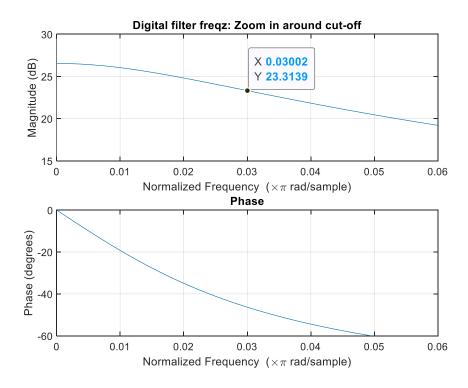
Example for highpass filter Does not work



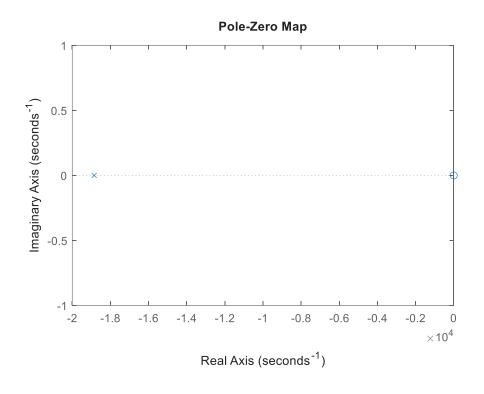


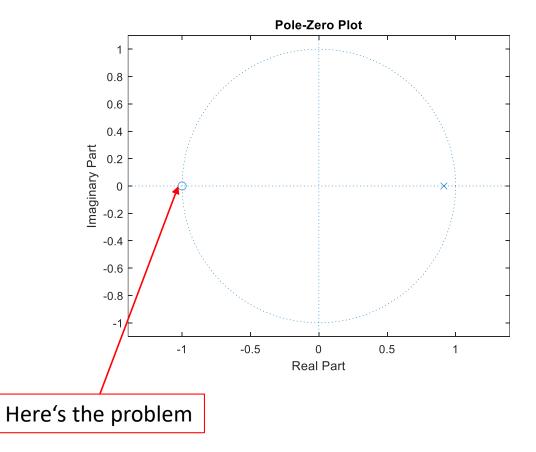
Example for highpass filter



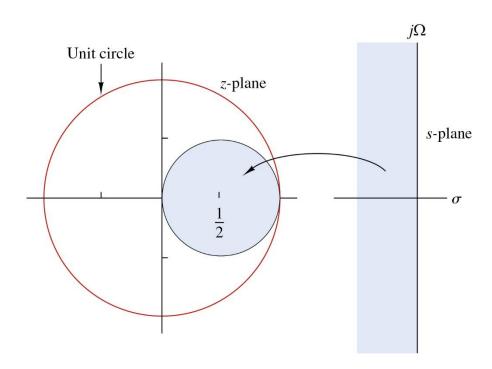


Example for highpass filter





- Mapping of complex s-plane to z-plane
 - Approximation of derivatives



Only works for lowpass filters (or bandpass if poles and zeros map into the half circle shown)

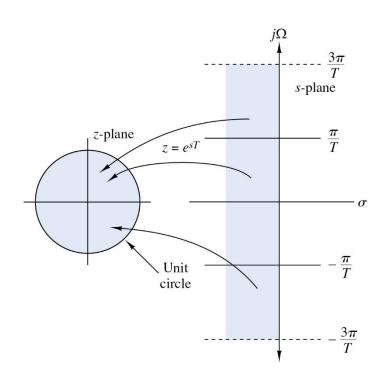
IIR Filters - Impulse Invariance

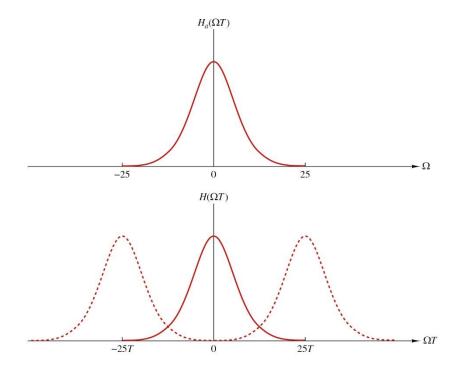
Impulse invariance

- Create sampled version of the continuous impulse response: $h(t) \rightarrow h(nT)$
- When you sample in time, makes multiple copies of spectrum in frequency domain
- Corresponds to mapping the s-plane to unit circle in z-plane multiple times.
- If sample interval, T, is small enough, will not get aliasing for lowpass filter design
 - But, cannot be avoided for highpass or bandstop filters.

IIR Filters - Impulse Invariance

• Impulse invariance





Aliasing is the reason it's not useful for highpass or bandstop filters

- Bilinear transformation
 - Useful transformation for analog → digital filter design because it can be used for all filter types (LP,HP,BP,BS)
 - Bilinear transformation:

$$s = \frac{2}{T} \left(\frac{1 - z^{-1}}{1 + z^{-1}} \right) = \frac{2}{T} \left(\frac{z - 1}{z + 1} \right) \qquad ; \qquad z = \frac{2}{T} \left(\frac{1 + sT/2}{1 - sT/2} \right)$$

 In complex variable theory this is a linear fractional transformation that has the general form:

$$w = \frac{az + b}{cz + d} \qquad ; \qquad z = \frac{-dw + b}{cw - a}$$

 In complex variable theory this is a linear fractional transformation that has the general form:

$$w = \frac{az+b}{cz+d} \qquad ; \qquad z = \frac{-dw+b}{cw-a}$$

For the bilinear transformation shown:

$$w = sT/2$$
, $a = 1$, $b = -1$, $c = 1$, $d = 1$

- This is a conformal mapping
 - Maps each point in the w domain to a unique point in the z domain (except at w = a/c)
 - Derivative in nonzero and analytic
 - Preserves local angle preservation

Motivation for bilinear transformation for DSP

• Consider simple first-order system:

Differential equation:
$$y'(t) + ay(t) = bx(t) \Rightarrow y'(t) = -ay(t) + bx(t)$$

System transfer function:
$$H(s) = \frac{b}{s+a}$$

Integrate the differential equation:
$$y(t) = \int_{t_0}^{t} y'(\tau) d\tau + y(t_0)$$

Approximating the integral by the trapezoidal rule at t = nT:

$$\begin{cases} Area = (b-a) \cdot \frac{1}{2} (f(a) + f(b)) \\ t_0 = nT - T; \quad b - a = T; \quad f(a) = y(nT); \quad f(b) = y(nT - T) \end{cases}$$

$$y(nT) = \frac{T}{2} [y'(nT) + y'(nT - T)] + y(nT - T)$$

Substituting y'(t) = -ay(t) + bx(t) at t = nT into $y(nT) = \frac{T}{2} [y'(nT) + y'(nT - T)] + y(nT - T)$

(labeling nT just by n)

$$y(nT) = \frac{T}{2} \Big[\Big(-ay(n) + bx(n) \Big) + \Big(-ay(n-1) + bx(n-1) \Big) \Big] + y(n-1)$$

Collect y on one side and x on the other:

$$y(n) + \frac{aT}{2}y(n) + \frac{aT}{2}y(n-1) - y(n-1) = \frac{bT}{2}[x(n) + x(n-1)]$$

$$\left| \left(1 + \frac{aT}{2} \right) y(n) - \left(1 - \frac{aT}{2} \right) y(n-1) \right| = \frac{bT}{2} \left[x(n) + x(n-1) \right]$$

Take the z-transform:
$$\mathcal{Z}\left\{\left(1+\frac{aT}{2}\right)y(n)-\left(1-\frac{aT}{2}\right)y(n-1)=\frac{bT}{2}\left[x(n)+x(n-1)\right]\right\}$$

$$\left[\left(1+\frac{aT}{2}\right)-\left(1-\frac{aT}{2}\right)z^{-1}\right]Y(z)=\frac{bT}{2}\left[1+z^{-1}\right]X(z)$$

The system transfer function is:

$$H(z) = \frac{bT/2(1+z^{-1})}{1+aT/2-(1-aT/2)z^{-1}} = \frac{b}{\frac{(1-z^{-1})+aT/2(1+z^{-1})}{T/2(1+z^{-1})}}$$

The system transfer function is:

$$H(z) = \frac{b}{\frac{2}{T} \left(\frac{1 - z^{-1}}{1 + z^{-1}}\right) + a}$$

Compare:
$$H(z) = \frac{b}{\frac{2(1-z^{-1})}{T(1+z^{-1})} + a}$$
 to continuous time transfer function: $H(s) = \frac{b}{s+a}$

The mapping from s to z plane is:

$$s = \frac{2}{T} \left(\frac{1 - z^{-1}}{1 + z^{-1}} \right)$$

which is the bilinear transform

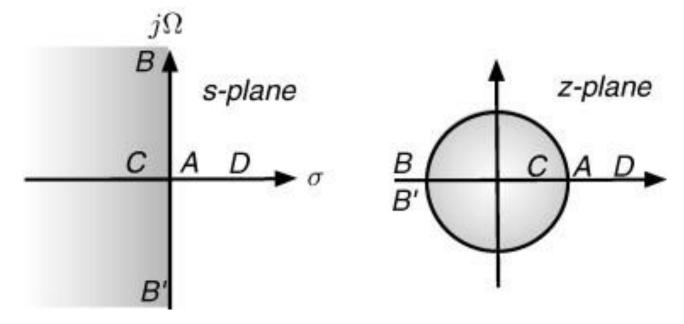
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Bilinear transform has some interesting properties



$$s = \frac{2}{T} \left(\frac{z - 1}{z + 1} \right)$$

$$s = \sigma + j\Omega; \quad z = re^{j\omega}$$

$$s = \frac{2}{T} \left(\frac{z - 1}{z + 1} \right) \qquad s = \sigma + j\Omega; \quad z = re^{j\omega}$$

$$\sigma = \frac{2}{T} \frac{r^2 - 1}{1 + r^2 + 2r \cos \omega}$$

$$\Omega = \frac{2}{T} \frac{2r \sin \omega}{1 + r^2 + 2r \cos \omega}$$

$$r < 1 \Leftrightarrow \sigma < 0$$
 Stable system

$$r > 1 \Leftrightarrow \sigma > 0$$
 Unstable system

$$r = 1 \Leftrightarrow \sigma = 0$$
 $j\Omega$ axis in s-plane

on the frequency axis (or unit circle)

$$\Omega = \frac{2}{T} \tan \left(\frac{\omega}{2} \right)$$

$$\omega = 2 \tan^{-1} \left(\frac{\Omega T}{2} \right)$$

very non-linear mapping of continuous to digital frequency

Frequency warping

