

# New Binary Sequences with Good Aperiodic Autocorrelations Obtained by Evolutionary Algorithm

Xinmin Deng and Pingzhi Fan, *Member, IEEE*

**Abstract**—In this letter, new binary sequences for lengths up to 100 with good autocorrelation function properties are presented. The results obtained by an evolutionary algorithm are better than other known results in most cases.

**Index Terms**—Evolutionary algorithm, sequences.

## I. INTRODUCTION

SEQUENCES with low aperiodic autocorrelation function (ACF) sidelobes have found extensive applications in radar and communication systems. Barker sequences are the only known binary sequences with the lowest possible peak sidelobe level (PSL) of unity. Unfortunately, Barker sequences are few in number, and the longest known Barker sequence is of length 13. Efforts have been made to find longer Barker sequences or to prove that there are no others. What is known to date is that further binary can only exist for length  $N = 4C^2$  (with  $C$  an integer) and that no such sequence exists up to length 12 100. Therefore, it is generally believed, although not completely proved, that no other Barker sequence exists.

Since most practical applications require peak-to-sidelobe ratios much greater than 13, a compilation of sequences with the lowest possible sidelobes at the longer length is needed. Due to the fact that no known analytical technique to construct sequences with minimum PSL, only time-consuming and money-consuming exhaustive computer search program have been used to generate the best possible sequences. By this method, Lindner [1] found all binary sequences up to length 40 with minimum PSL in 1975. In 1990, with an improved algorithm, Cohen *et al.* [2] extended those results to length 48. It was also noted that there are no length 49 or 50 binary sequences with PSL of three or less. However, even with the most powerful computers, enumeration algorithms are only able to globally search for the best sequences with rather small length within a reasonable amount of time. Therefore, for longer length effective optimization method should be adopted to search sequences with good rather than the best aperiodic ACF properties. Using a neural network approach, Hu *et al.* [3] obtained useful binary sequences for lengths up to 100.

Manuscript received August 16, 1998. The associate editor coordinating the review of this letter and approving it for publication was Dr. B. R. Vojcic. This work was supported by the National Science Foundation of China under Grant 69772006 (e-mail: xmdeng@telekbird.com.cn).

The authors are with the Institute of Mobile Communications, Southwest Jiaotong University, Chengdu 610031, China.

Publisher Item Identifier S 1089-7798(99)04409-9.

In this letter, an evolutionary algorithm is applied to generate sequences with low PSL. It is shown that our results are better than that of [3].

## II. MERIT MEASURES

For general complex-valued sequence  $\{a_n\}$  of length  $N$ , the aperiodic ACF, for  $\tau \geq 0$ , is given by

$$C_a(\tau) = \sum_{n=0}^{N-\tau-1} a_n a_{n+\tau}^* \quad (1)$$

One of the most commonly used merit figures of aperiodic ACF is peak sidelobe level (PSL), which is the maximum magnitude of the out-of-phase ( $\tau \neq 0$ ) ACF, i.e.,

$$\text{PSL} = \max_{\tau \neq 0} |C_a(\tau)|. \quad (2)$$

Another important measure of aperiodic ACF, merit factor (MF), which specifies the ratio of the energy of ACF mainlobe to the energy of the ACF sidelobes, is defined as

$$\text{MF} = \frac{C_a(0)^2}{2 \sum_{\tau=1}^{N-1} |C_a(\tau)|^2}. \quad (3)$$

When the merit factor is expressed in decibels, it is called the integrated sidelobe level (ISL), which is widely used in radar literature.

It is desirable, in many applications, to make the PSL as small as possible and the MF as great as possible [4].

## III. OPTIMIZATION PROCEDURE

Natural evolution is a population-based optimization process. Simulation of this process on a computer results in stochastic optimization techniques that can often outperform classical methods of optimization when applied to difficult real-world problems [5]. Evolution algorithms abstract the basic principles of replication, variation and selection from Darwinian biological evolutionary theory. Those algorithms start from a randomly generated (rather poor quality) initial population of search space positions. During each search cycle, or generation, the population members are assessed according to a fitness function, and those with poor fitness are excluded. New population members are created by some specific reproduction operators (mutation and crossover).

TABLE I  
BINARY SEQUENCES WITH IMPROVED APERIODIC ACF

Seq Len	Min PSL	Min PSL in[3]	MF	Example Sequence	78	6	7	4.4023	10111001111000110100001001110011 00101110100000001101110101001011 0111111011
49	4	5	6.0025	0010011100100100001111011000001001 000001101010101	79	6	7	3.8861	101110000010010100010111000110000 101110011011111110100110011011011 0000101011
50	4	5	4.5788	100001111111110001101110001101000 1001101010110110	80	6	8	3.4335	001110000011001101000111011000001 1010011101010001100011001010010010 11111111010
51	4	5	6.1056	0101011010011101001110001011101100 0011011110111101	81	6	7	4.2494	001011111001000110010111100001100 0000000001100101011011000011001010 0001010101001
52	4	5	4.5369	0110100110110011001110001010000000 010111100001010110	82	6	7	3.5880	001110000000111000010000111010010 10000011001101111100101000100010100 100111001001
53	4	5	4.0128	1001010011000011100001010010011001 0000001011111010101	83	6	7	3.4138	1011011100111000110000000011111000 1011010011011010110001010100010001 001100000010010
54	4	5	5.0801	101100001000000011111100011110101 10101010110010011001	84	6	7	3.6522	0010100111001011110011101010001101 0110001011000000010000000111110110 0000111011001001
55	5	5	5.5	00110111101001101110011101000001011 011110001010000100001	85	6	7	4.1145	0000101111110101010000100110000000 0110001100011001011100011101000110 11010110100110110
56	5	6	5.0909	100111000000110011100011111110111 0100101011101101101010	86	6	7	3.3285	111000010101110110011001001011100 0010101011101011011100001010001 000010011100010000
57	5	6	4.8348	101101110110110010101111000000011 1011010111000011000010	87	6	8	3.4688	1010001100100100101010001010010111 1000000111101010010001110011010000 0001100011101101100
58	5	6	4.9326	0001001000110011111101011001011011 101010000111101000111100	88	6	7	3.5328	1111110100011110100101000101011000 0110010001011010001100101010011001 00000100001100111110
59	5	5	4.5208	0000100101011000100110111110000101 1001001111000110000100010	89	7	7	4.3049	011110000011110101101000101001111 101100011000110010101011011101001 100000100001000000110
60	5	6	4.2654	001101001110011101001000110011111 11101110010101110100000101	90	7	8	3.7886	0011011010000100010110101110010110 11000111111001100100000010001111000 100010101000001000010
61	5	6	5.0284	0001111000011110011001011001100100 101001000000011011110101010	91	7	7	3.9774	111000000000110011111111100111011 111001001110101100101010010111000 101010010010110011010
62	5	6	4.4184	1000110011011110011100000100101110 1010001111101111010101101	92	7	7	4.0268	0000110001111010110001001111101011 001000010010010111110111011000011 0111000101111101010111
63	5	5	4.4002	1001101011011110000100000001110100 00010111001010001101010110011	93	7	9	4.1343	1001010011110010110011110100011001 110110110111111110100100010010101 010000000111001011110001
64	5	6	5.0693	100010100100111101110110100101000 010100011001000000110111000011	94	7	8	3.3600	0001100011001011100011000100100111 1100001110100000010011100100010110 111101101111010110101010
65	5	6	4.0009	0111111011001101011011101101001010 1110101000011001111000111110000	95	7	8	4.3939	0101000011010110000100101110100110 0100111100110001101100000111001001 111111111110101010010111
66	5	6	4.3823	10101101001101011110011001101001 0001011000000011110111110001010	96	7	10	3.8658	0010101011111010101111110000

When applied to sequence search, this method can be implemented as follows.

- 1) Generate an initial population of  $P$  parent sequences at random.
- 2) Evaluate all initial parent sequences according to a predefined fitness function.

- 3) Mutation is applied to each parent sequence and creates a new sequence by randomly flip one or two bits.
- 4) Crossover is applied to two randomly chosen parent sequences and creates two offspring sequences by selecting a random position and splicing the section that appears before the selected position in the first sequence with the section that appears after the selected position in the

second sequence, and vice versa. This operation repeats until certain number of population is produced.

- 5) Evaluate all new candidate sequences according to the fitness function.
- 6) Competition is applied and the  $P$  best sequences (with the highest fitness) become the new parents in the next generation.
- 7) This process is terminated if no further improvement is possible within the predetermined time, or if the available computation is exhausted; otherwise go to step 3) and the cycle is repeated.

In our simulation, the fitness is selected as

$$F = \frac{\alpha}{\text{PSL}} + \beta \cdot \text{MF} \quad (4)$$

where PSL and MF are the ACF peak sidelobe level and merit factor of the sequences, respectively.  $\alpha$  and  $\beta$  are empirical weight coefficients, which determine the importance of PSL and MF in the process of optimization. Since we refer to the “good sequences” mainly as those with very low PSL throughout this letter, therefore,  $\alpha$  is chosen to be much greater than  $\beta$ .

#### IV. SIMULATION RESULTS

By employing the optimization procedure given above, a list of sequences of lengths 49–100 has been obtained, as shown in Table I. In this table, the sequence length, the achievable PSL for each length, the MF achieved with the given PSL and a sequence example obtained are given in columns 1, 2, 4, and 5, respectively, where 0's are used to represent  $-1$ 's to conserve space. In column 3 of the table, the minimum PSL obtained in reference [3] is also listed for comparison.

#### V. CONCLUDING REMARKS

In this letter, binary sequences with good aperiodic ACF, for lengths up to 100, is obtained by an evolutionary algorithm. Results show that this method outperforms the approach in [3]. Not surprisingly, we observed that it is quite efficient to find a good local optimum with the evolutionary algorithm, and some of the results are optimal with respect to PSL, say sequences of lengths 49 and 50. But in general, the search for global optimum probably depends on a lucky selection of the initial population of parent sequences. As the sequence length increases, it becomes easier to leave a local optimum, so the search procedure requires more time to find a good solution. Also, the solution quality improves with the number of generations. The more number of trials, the more confident we are to say about the optimality of the results. Although it is intended to search binary sequences, the same procedure can also be extended to nonbinary cases with slight modification.

#### REFERENCES

- [1] J. Lindner, “Binary sequences up to length 40 with best possible autocorrelation function,” *Electron. Lett.*, vol. 11, no. 21, p. 507, Oct. 1975.
- [2] M. N. Cohen, M. R. Fox, and J. M. Baden, “Minimum peak sidelobe pulse compression codes,” in *Proc. IEEE Int. Radar Conf.*, 1990, pp. 633–639.
- [3] F. Hu, P. Z. Fan, M. Darnell, and F. Jin, “Binary sequences with good aperiodic autocorrelation functions obtained by neural network search,” *Electron. Lett.*, vol. 33, no. 8, pp. 688–689, Apr. 1997.
- [4] P. Z. Fan and M. Darnell, *Sequence Design for Communication Applications*. New York: Wiley, 1996.
- [5] D. B. Fogel, “An introduction to simulated evolutionary optimization,” *IEEE Trans. Neural Networks*, vol. 5, pp. 3–14, Jan. 1994.