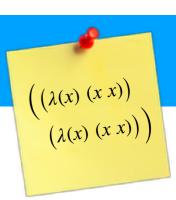
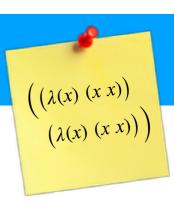
Programming with Recursion and Symbolic Expressions

CS 401/501 — Spring 2020

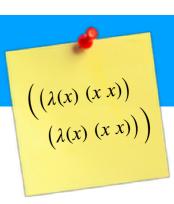
https://gilray.org/classes/spring2020/cs401/



Calculating factorial in Racket



Calculating factorial in Racket



Calculating factorial in Racket



and inductive / recursive case

```
 \frac{\left( \left( \lambda(x) \, (x \, x) \right) \right)}{\left( \lambda(x) \, (x \, x) \right) \right) }
```



```
(define (factorial n)
  (if (= n 0))
       (* n (factorial (sub1 n))))
 We can think of recursion as "substitution"
> (factorial 2)
= (if (= 2 0))
       (* 2 (factorial (sub1 2))))
```

Copy defn, substitute for argument n



```
(define (factorial n)
  (if (= n 0))
      (* n (factorial (sub1 n))))
 We can think of recursion as "substitution"
> (factorial 2)
= (if (= 2 0))
      (* 2 (factorial (sub1 2))))
= (if #t 1 (* 2 (factorial (sub1 2))))
```

Evaluate if



```
(define (factorial n)
  (if (= n 0))
       (* n (factorial (sub1 n)))))
 We can think of recursion as "substitution"
> (factorial 2)
= (if (= 2 0))
       (* 2 (factorial (sub1 2))))
= (if #t 1 (* 2 (factorial (sub1 2))))
= (* 2 (factorial (sub1 2)))
```

```
 \frac{\left( \left( \lambda(x) \, (x \, x) \right) \right)}{\left( \lambda(x) \, (x \, x) \right) \right) }
```

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      (* 2 (factorial (sub1 2))))
= (if #t 1 (* 2 (factorial (sub1 2))))
= (* 2 (factorial (sub1 2)))
= (* 2 (factorial 1))
```

Evaluate sub1

```
 \frac{\left( \left( \lambda(x) \, (x \, x) \right) \right)}{\left( \lambda(x) \, (x \, x) \right) \right) }
```

```
(define (factorial n)
  (if (= n 0)
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 We can think of recursion as "substitution"
> (factorial 2)
= (if (= 2 0))
      (* 2 (factorial (sub1 2))))
= (if #t 1 (* 2 (factorial (sub1 2))))
= (* 2 (factorial (sub1 2)))
= (* 2 (factorial 1))
= (* 2 (if (= 1 0))
                            Substitute (again)
         (* n (façtorial (sub1 1))))
```

```
 \frac{\left( \left( \lambda(x) \, (x \, x) \right) \right)}{\left( \lambda(x) \, (x \, x) \right) \right) }
```

```
(define (factorial n)
  (if (= n 0))
      (* n (factorial (sub1 n)))))
= (* 2 (if (= 1 0))
        (* 1 (factorial (sub1 1))))
= (* 2 (* 1 (factorial (sub1 1))))
= (* 2 (* 1 (factorial 0)))
= (* 2 (* 1 (if (= 0 0) 1 ...)))
= (* 2 (* 1 (if #t 1 ...)))
= (* 2 (* 1 1))
= (* 2 1)
```

```
 \frac{\left( \left( \lambda(x) \, (x \, x) \right) \right)}{\left( \lambda(x) \, (x \, x) \right) \right) }
```

```
(define (factorial n)
  (if (= n 0))
      (* n (factorial (sub1 n))))
= (* 2 (if (= 1 0))
         (* 1 (factorial (sub1 1))))
= (* 2 (* 1 (factorial (sub1 1))))
= (* 2 (* 1 (factorial 0)))
= (* 2 (* 1 (if (= 0 0) 1 ...)))
= (* 2 (* 1 (if #t 1 ...)))
= (* 2 (* 1 1))
                  This is "textual reduction" semantics
= (* 2 1)
                         More on this later
```



```
= (* 2 (if (= 2 0))
         (* n (factorial (sub1 2))))
= (* 2 (factorial 1))
= (* 2 (* 1 1))
= (* 2 1)
                   Notice we're building a big
= 2
                       stack of calls to *
```

Then recursion "bottoms out:" returns back to finish the work (More on this next week...)

Complete the following substitution for (log2 2)

```
(define (log2 n)
  (if (= n 1) 0 (+ 1 (log2 (/ n 2)))))

  (log2 2)
= (if (= 2 1) 0 (+ 1 (log2 (/ 2 2))))
= ???
= ...
= ???
```

```
(define (log2 n)
  (if (= n 1) 0 (+ 1 (log2 (/ n 2)))))
```

```
(log2 2)
= (if (= 2 1) 0 (+ 1 (log2 (/ 2 2))))
= (+ 1 (log2 (/ 2 2)))
= (+ 1 (log2 1))
= (+ 1 (if (= 1 1) 0 (+ 1 (log2 (/ 1 2)...))
= (+ 1 (if #t 0 (+ 1 (log2 (/ 1 2)...))
= (+ 1 0)
= 1
```

Write the definition of (fib n) in Racket using the following definition:

$$fib(n) = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ fib(n-1) + fib(n-2) & otherwise \end{cases}$$



Answer (one of many)



Question: what is the big-O time complexity of this implementation?

```
(define (fib n)
  (if (or (= n 0) (= n 1))
        n
        (+ (fib (- n 1)) (fib (- n 2)))))
```



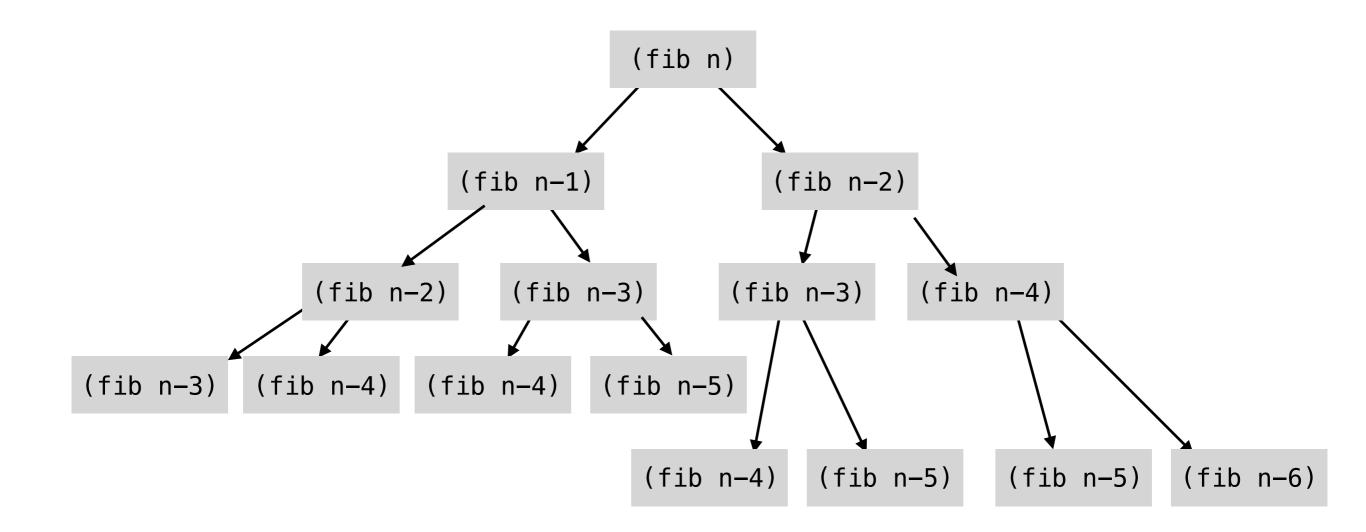
Answer: O(2ⁿ) or exponential

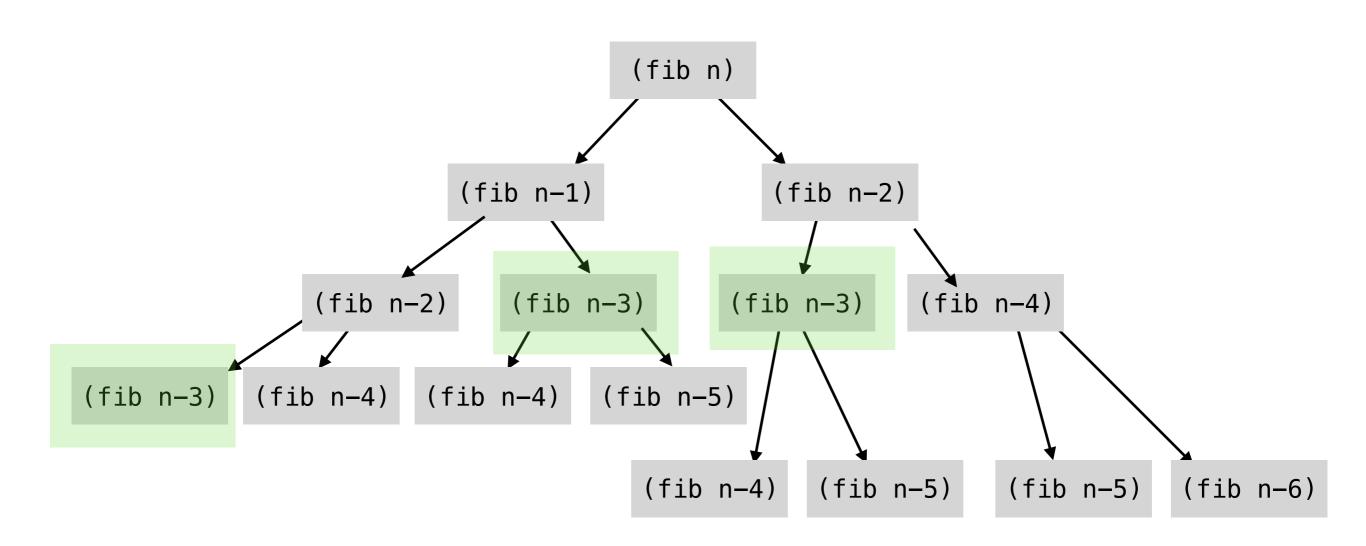
(Fun fact: actually φ^n , where φ is the golden ratio)



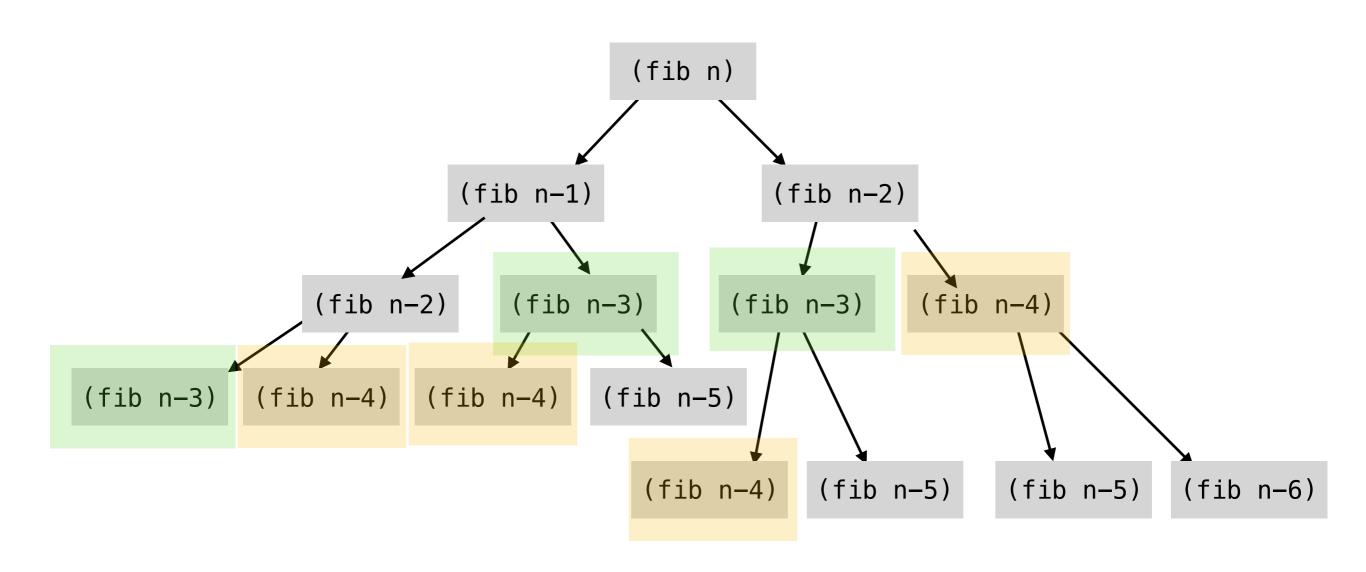
We say that this algorithm uses a "top-down" approach

Because it calculates each number by first calculating the previous two fibonacci numbers



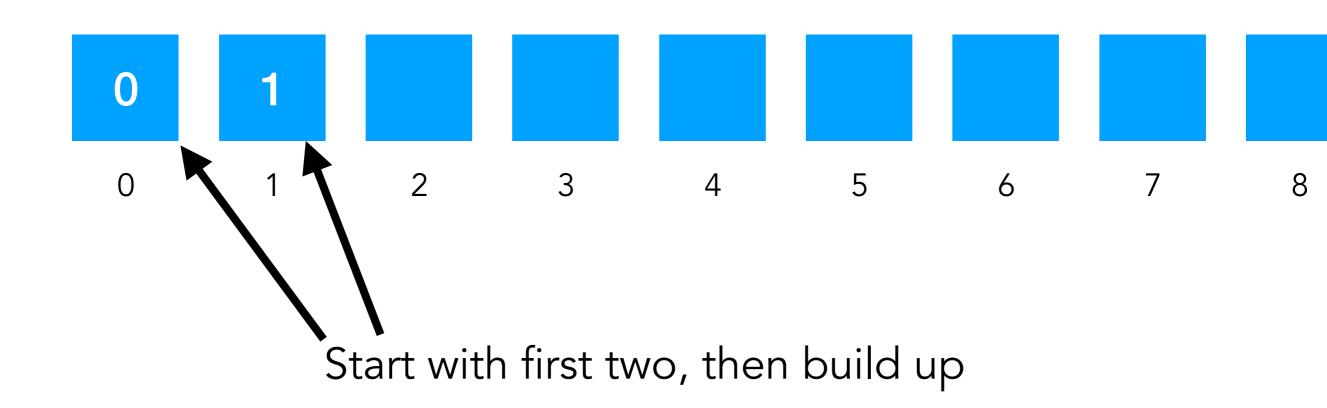


etc...

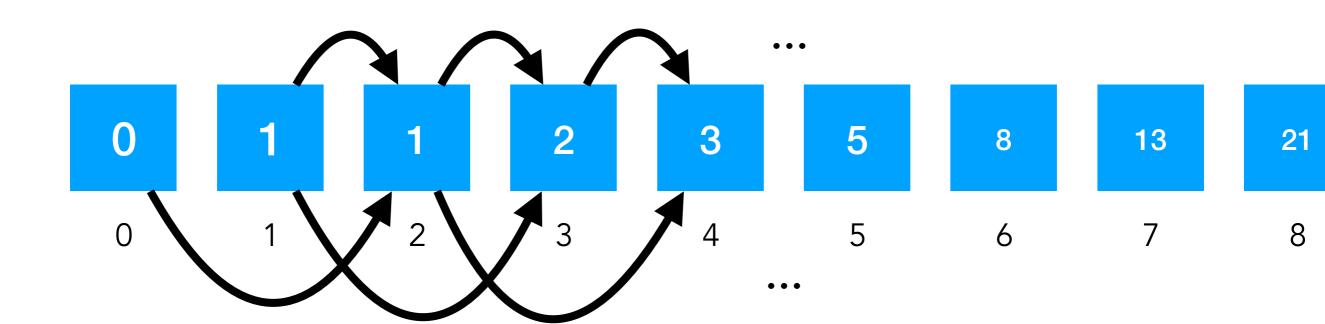


Lots of redundant work

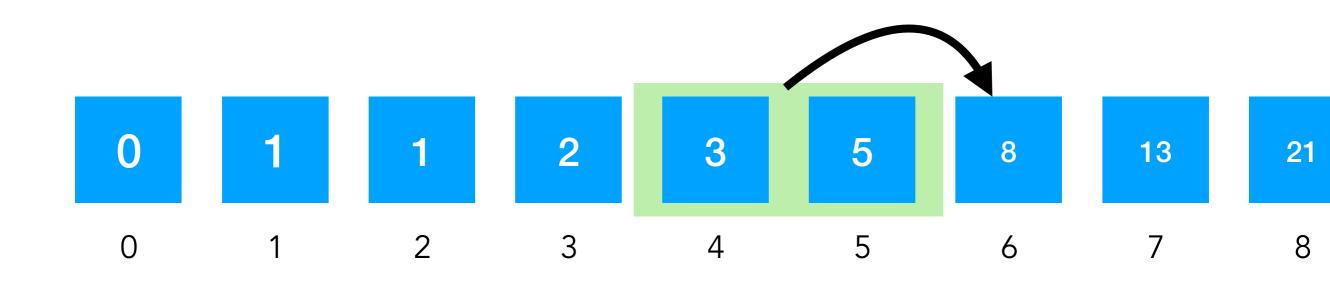
Instead, use *dynamic programming:*design a recursive solution top-down, but implement as a bottom-up algorithm!



Instead, use *dynamic programming:*design a recursive solution top-down, but implement as a bottom-up algorithm!



Key idea: only need to look at two most recent numbers





Accumulate via arguments

Question: what is the runtime complexity of fib?

Answer: O(n), fib-helper runs from n to 0

Consider how fib-h executes

```
(fib-helper 3 0 1)
= (if (= 3 0) 0 (fib-h (- 3 1) 1 (+ 0 1)))
= ...
= (fib-h 2 1 1)
= (if (= 2 0) 1 (fib-h (- 2 1) 1 (+ 1 1)))
= ...
= (fib-h 1 1 2)
```

Notice that we don't get the "stacking" behavior: recursive calls don't grow the stack

This is because fib-h is tail recursive

Intuitively: a callsite is in **tail-position** if it is the **last thing** a function will do before exiting (We call these **tail calls**)

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Intuitively: a callsite is in **tail-position** if it is the **last thing** a function will do before exiting (We call these **tail calls**)

Tail calls / tail recursion

- Unlike calls in general, *tail calls* do not affect the stack:
 - Tail calls do not grow (or shrink) the stack.
 - They are more like a goto/jump than a normal call.
- A subexpression is in *tail position* if it's the last subexpression to run, whose return value is also the value for its parent expression:
 - In (let ([x rhs]) body); body is in tail position...
 - In (if grd thn els); thn & els are in tail position...
- A function is *tail recursive* if all recursive calls in tail position
- Tail-recursive functions are analogous to loops in imperative langs

Which of the following is tail recursive?

```
(define (length-0 l)
  (if (null? l)
      (+ 1 (length-0 (cdr l)))))
(define (length-1 l n)
  (if (null? l)
      (length-1 (cdr l) (+ n 1)))
```

Answer

Structured Data

- A list is an example of a recursive data structure
 - Defined via a base case and inductive case:
 - A list is either the empty list / null / '()
 - Or a cons cell of any element and another list
- We can check whether it's null? or cons? or list?
- Can access via car and cdr; or first and rest
 - Many recursive functions on lists built using these



Write a function to calculate the sum of a list

```
; (sum-list '(1 2)) is 3
(define (sum-list l)
...)
```



Write a function to calculate the sum of a list

```
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(define (sum-list l)
...)
```

Answer (one of many)

Accumulator Passing

- Many functions can be written by *passing an accumulator*: a value that is repeatedly extended to obtain a final value.
- Esp. in tail-recursive / looping algorithms; e.g.:

S-exprs (symbolic expressions)

- The **S-expression** is our parenthesized notation for a list
 - Can use lists to group data common to some structure
- We can tag expressions with a symbol to note its "type"
 - '(point 2 3)
 - '(square (point 0 1) 5)
- Can define "constructor" functions

```
(define (mk-point x y)
  (list 'point x y))
(define (mk-square pt0 len)
  (list 'square pt0 len))
```

quasi-quotes

- Racket offers quasi-quotes to build S-expressions fast
- `(,x y 3) is equivalent to (list x `y `3)
 - I.e., Racket splices in values that are unquoted via,
 - (quasiquote ...) will substitute any expression , e with the return value of e within the quoted S-expression
- Works multiple levels deep:
 - `(square (point ,x0 ,y0) (point ,x1 ,y1))
- Can unquote entire expressions:
 - `(point ,(+ 1 \times 0) ,(- 1 \times 0))



Define mk-point and mk-square using Quasi-quotation:

```
(define (mk-point x y)
  (list 'point x y))
(define (mk-square pt0 pt1)
  (list 'square pt0 pt1))
```



Define mk-point and mk-square using Quasi-quotation:

```
(define (mk-point x y)
  (list 'point x y))
(define (mk-square pt0 pt1)
  (list 'square pt0 pt1))
```

Answer

```
(define (mk-point x y)
  `(point ,x ,y))
(define (mk-square pt0 pt1)
  `(square ,pt0 ,pt1))
```

Pattern Matching

- Racket also has pattern matching
 - (match e [pat₀ body₀] [pat₁ body₁]...)
- Evaluates e and then checks each **pattern**, in order
- Pattern can bind variables, body can use pattern variables
- Many patterns (check docs to learn various useful forms)
- Patterns checked in order, first matching body is executed
 - Later bodies won't be executed, even if they also match!

Never matches! Subsumed by previous case!

```
(match e
              ['hello 'goodbye]
              [(? number? n) (+ n 1)]
              [(? nonnegative-integer? n)
                (+ n 2)
              [(cons x y) x]
              🖊 (,a0 ,a1 ,a2) (+ a1 a2)])
Matches a cons cell, binds x and y
```

```
(match e
                   ['hello 'goodbye]
                    [(? number? n) (+ n 1)]
                    [(? nonnegative-integer? n)
                      (+ n 2)
                    [(cons x y) x]
                    [`(,a0 ,a1 ,a2) (+ a1 a2)])
              Matches a list of length three
       Binds first element as a0, second as a1, etc...
                Called a "quasi-pattern"
         Can also test predicates on bound vars:
`(,(? nonnegative-integer? x) ,(? positive? y))
```

```
(match e
  ['hello 'goodbye]
  [(? number? n) (+ n 1)]
  [(? nonnegative-integer? n)
    (+ n 2)
  [(cons x y) x]
  [`(,a0 ,a1 ,a2) (+ a1 a2)]
  [else 23])
```

Can also have a **default case** written via **else**



Define a function **foo** that returns:

- -twice its argument, if its argument is a number?
- -the first two elements of a list, if its argument is a list of length three, as a list
- -the string "error" if it is anything else



Define a function **foo** that returns:

- -twice its argument, if its argument is a number?
- -the first two elements of a list, if its argument is a list of length three, as a list
- -the string "error" if it is anything else

Answer (one of many)

```
(define (foo x)
    (match x
       [(? number? n) (* n 2)]
       [`(,a,b,_) `(,a,b)]
       [else "error"]))
```



Define a function **foo** that returns:

- -twice its argument, if its argument is a number?
- -the first two elements of a list, if its argument is a list of length three, as a list
- -the string "error" if it is anything else

```
Answer (one of many)

(define (foo x) quasiquotes interact

(match x

[(? number? n) (* n 2)]

[`(,a,b,_)`(,a,b)]

[else "error"]))
```

Structural Recursion

Structural recursion

- Recurs on some smaller piece of the input obtained by destructing (e.g., matching) on it.
- Easy to prove termination
 - Code is making input smaller at each recursive step, thus will eventually bottom out
- Much of the code you will write is structurally recursive
- But some things cannot be expressed in a structurally recursive way
 - E.g., generative recursion, other algorithms, ...



Consider that we define trees as follows:

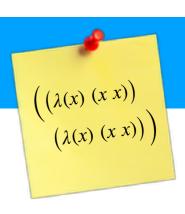
```
(define (tree? t)
    (match t
      [`(leaf ,n) #t]
      [`(node ,(? tree? t0) ,(? tree? t1)) #t]
      [else #f]))
```

Assuming trees are sorted, write a recursive function using match patterns, (least t) to get the smallest element in the tree (i.e., bottom left leaf).

```
(least (node (leaf 0) (leaf 1)) should be 0 (Hint: look at the definition of tree?)
```

Generative Recursion

- Generative recursion
 - Recurs on some structure built / calculated from input
- Not as easy (in general) to prove termination
 - How do we know it won't just loop forever?
- Strictly more powerful than structural recursion
 - Some programs we can't write w/ just structural recursion
 - E.g., QuickSort



QuickSort is a popular and fast sorting comparative sorting algorithm with O(n*log(n)) complexity

- To sort list I, first choose a **pivot** element (arbitrary), p, from I
- Next, construct I' of the elements in I that are < p
- Also, construct I" of the elements in I that are > p
- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")

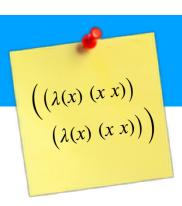
3

-5

2

0

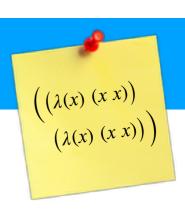
1



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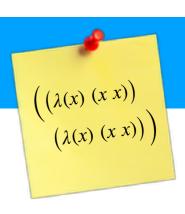




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- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")

-5

0

1

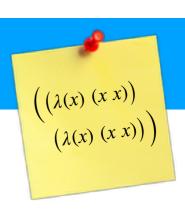
3

2

Now sort these!

Pivot

>



QuickSort is a popular and fast sorting comparative sorting algorithm with O(n*log(n)) complexity

- To sort list I, first choose a pivot element (arbitrary), p, from I
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- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")

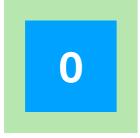


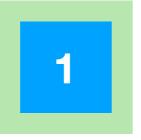


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- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")







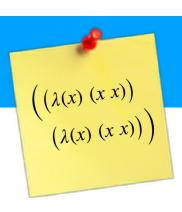




Now run quicksort on these

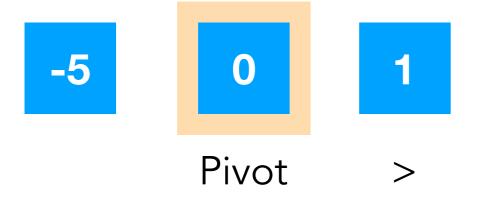
Pivot

>



QuickSort is a popular and fast sorting comparative sorting algorithm with O(n*log(n)) complexity

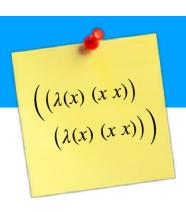
- To sort list I, first choose a **pivot** element (arbitrary), p, from I
- Next, construct I' of the elements in I that are < p
- Also, construct I" of the elements in I that are > p
- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")





2

Pivot



QuickSort is a popular and fast sorting comparative sorting algorithm with O(n*log(n)) complexity

- To sort list I, first choose a **pivot** element (arbitrary), p, from I
- Next, construct I' of the elements in I that are < p
- Also, construct I" of the elements in I that are > p
- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")

Now all sorted!

-5

0

1

3

2

Original pivot

Just returns 2



Write a function which returns the elements in a list, l, which are less than some number n

```
(define (elements< l n)
...)</pre>
```

Hint: use match



Write a function which returns the elements in a list, l, which are less than some number n

Answer (one of many)

Can also easily write elements>

```
(define (elements < l n)
  (match l
    ['() '()]
    [`(,first ,rest ...) #:when (< first n)</pre>
      (cons first (elements< rest n))]</pre>
    [else (elements< (rest l) n)]))</pre>
                              Redundant, will fix
(define (elements> l n)
                                  next week
  (match l
    ['() '()]
    [`(,first ,rest ...) #:when (> first n)
      (cons first (elements> rest n))]
    [else (elements> (rest l) n)]))
```



Complete the definition

- To sort list I, first choose a **pivot** element (arbitrary), p, from I
- Next, construct I' of the elements in I that are < p
- Also, construct I" of the elements in I that are > p
- Now, return...
 - QuickSort(l') ++ [p] ++ QuickSort(l")



Unfortunately, our implementation still has a bug!

Exercise: find a list I such that

```
(not (equal? (sort l <) (quicksort l)))</pre>
(define (quicksort l)
  (if (empty? l)
      (let* ([pivot (first l)]
             [restl (rest l)]
             [elements-lt (elements< restl pivot)]</pre>
             [elements-gt (elements> restl pivot)])
        (append
         (quicksort elements-lt)
         (list pivot)
         (quicksort elements-qt)))))
```

Our QuickSort "drops" numbers

```
(not (equal? (sort '(1 1) <)
                       (quicksort '(1 1))))
(define (quicksort l)
 (if (empty? l)
      (let* ([pivot (first l)]
             [restl (rest l)]
             [elements-lt (elements< restl pivot)]</pre>
             [elements-gt (elements> restl pivot)])
        (append
         (quicksort elements-lt)
         (list pivot)
         (quicksort elements-qt)))))
```

Solution is to make pivot a list!

```
(define (quicksort l)
 (if (empty? l)
      (let* ([pivot (first 1)]
             [pivot-list (elements= l pivot)]
             [restl (remove pivot l)]
             [elements-It (elements< restl pivot)]
             [elements/gt (elements> restl pivot)])
        (append
         (quicksort elements-lt)
         pivot-list
         (quicksort elements-gt)))))
```

Observe: QuickSort recursive on data **built from** input Thus, QuickSort uses **generative recursion**

```
(define (quicksort l)
  (if (empty? l)
      (let* ([pivot (first l)]
              [pivot-list (elements= l pivot)]
              [restl (remove pivot l)]
              [elements-lt (elements< restl pivot)]</pre>
              [elements-gt (elements> restl pivot)])
        (append
         (quicksort elements-lt)
         pivot-list
         (quicksort elements-gt)))))
```

Differential / Random Testing

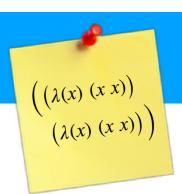
- Want to be very sure our code is right
- One strategy: **fuzzing** ("fuzz testing")
 - Generate huge amounts of input, throw it at our code
- One issue: need to check answer is correct
 - Idea one: compare against **known good** version
 - This is "differential" testing
 - Sometimes want a "slow" and "fast" version
 - Slow is obviously-correct but slow
 - Idea two: just check some **properties** of output
 - Property-based testing



Let's write a differential fuzzer for our QuickSort algorithm

Generate random list of length i, whose elements are all in [0, n-1]





Compare our quicksort against Racket's sort

```
(define (counterexamples numeries list-size max-n)
  (define (loop i l)
    (if (= i 0))
        (let* ([lst (random-1 st list-size max-n)]
               [sorted-via-sort (sort lst <)]</pre>
               [sorted-via-qsort (quicksort lst)])
          (if (equal? sorted-via-sort sorted-via-qsort)
              (loop (-i 1) l)
              (loop (- i 1) (cons lst l))))))
  (loop num-tries '()))
```



```
(define (counterexamples num-tries list-size max-n)
  (define (loop i l)
    (if (= i 0))
        (let* ([lst (random-list list-size max-n)]
               [sorted-via-sort (sort lst <)]</pre>
               [sorted-via-qsort (quicksort lst)])
          (if (equal? sorted-via-sort sorted-via-qsort)
              (loop (-i 1) l)
              (loop (- i 1) (cons lst l))))))
  (loop num-tries '()))
         (counterexamples 300 300 1000)
```